

SYMBOL	FUND FAMILY	FUND DESCRIPTION	SHARE CLASS	FUND TYPE	STATUS	CUT- OFF	IRA MIN	NON-IRA MIN
TNUIX	1290 FUNDS	1290 DIVERSIFIED BOND I	I	NO LOAD	OPEN	1600	0	0
TNURX	1290 FUNDS	1290 DIVERSIFIED BOND R	R	NO LOAD	REDEEM ONLY	1600	0	0
TNYRX	1290 FUNDS	1290 DOUBLELINE DYNAMIC ALLOCATION R	R	NO LOAD	REDEEM ONLY	1600	0	0
TNVAX	1290 FUNDS	1290 GAMCO SMALL MID CAP VALUE A	A	LOAD	OPEN	1600	100	100
TNVIX	1290 FUNDS	1290 GAMCO SMALL MID CAP VALUE I	I	NO LOAD	OPEN	1600	0	0
TNVRX	1290 FUNDS	1290 GAMCO SMALLMID CAP VALUE R	R	NO LOAD	REDEEM ONLY	1600	0	0
TNHIX	1290 FUNDS	1290 HIGH YIELD BOND I	I	NO LOAD	OPEN	1600	0	0
TNHRX	1290 FUNDS	1290 HIGH YIELD BOND R	R	NO LOAD	REDEEM ONLY	1600	0	0
TNMIX	1290 FUNDS	1290 MULTI ALTERNATIVE STRATEGIES I	I	NO LOAD	OPEN	1600	0	0
TNBIX	1290 FUNDS	1290 SMARTBETA EQTY I	I	NO LOAD	OPEN	1600	0	0
TNBRX	1290 FUNDS	1290 SMARTBETA EQUITY R	R	NO LOAD	REDEEM ONLY	1600	0	0
PSECX	1789 FUNDS	1789 GROWTH AND INCOME C	C	LOAD	OPEN	1600	1000	2000
PSEPX	1789 FUNDS	1789 GROWTH AND INCOME P	P	NO LOAD	OPEN	1600	1000	2000
SBFAX	1919 FUNDS	1919 FINL SVCS FD CL A	A	LOAD	OPEN	1600	0	0
SFSLX	1919 FUNDS	1919 FINL SVCS FD CL C	C	LOAD	OPEN	1600	0	0
LMRIX	1919 FUNDS	1919 FINL SVCS FD CL I	I	NO LOAD	OPEN	1600	0	0
SSIAx	1919 FUNDS	1919 SOCIALLY RESPONSIVE BAL FD CL A	A	LOAD	OPEN	1600	0	0
SESLX	1919 FUNDS	1919 SOCIALLY RESPONSIVE BAL FD CL C	C	LOAD	OPEN	1600	0	0
LMRNx	1919 FUNDS	1919 SOCIALLY RESPONSIVE BAL FD CL I	I	NO LOAD	OPEN	1600	0	0
ASDAX	AAM FUNDS	AAM HIMCO SHORT DURATION FD CL A	A	LOAD	OPEN	1600	2500	2500
ASDCX	AAM FUNDS	AAM HIMCO SHORT DURATION FD CL C	C	LOAD	OPEN	1600	2500	2500
ASDIX	AAM FUNDS	AAM HIMCO SHORT DURATION FD CL I	I	NO LOAD	OPEN	1600	0	0
CPUAX	AAM FUNDS	AAM INSIGHT SELECT INCOME FD CL A	A	LOAD	OPEN	1600	2500	2500
CPUIX	AAM FUNDS	AAM INSIGHT SELECT INCOME FD CL I	I	NO LOAD	OPEN	1600	0	0
AMFEX	AAMA	AAMA EQUITY FD	NO LOAD	NO LOAD	OPEN	1600	0	0
AMFIX	AAMA	AAMA INCOME FD	NO LOAD	NO LOAD	OPEN	1600	0	0
AMTZx	AB	AB ALL MARKET REAL RETURN Z	Z	NO LOAD	REDEEM ONLY	1600	100000	100000
ABWYx	AB	AB ALL MARKET TOTAL RETURN PORTFOLIO ADV	ADVISOR	NO LOAD	OPEN	1600	0	0
ABWAX	AB	AB ALL MARKET TOTAL RETURN PORTFOLIO CL A	A	LOAD	OPEN	1600	2500	2500
ABWCx	AB	AB ALL MARKET TOTAL RETURN PORTFOLIO CL C	C	LOAD	OPEN	1600	2500	2500
AMTAX	AB	AB ALL MKT REAL RETURN PORT A	A	LOAD	OPEN	1600	2500	2500
AMTYx	AB	AB ALL MKT REAL RETURN PORT ADV	ADVISOR	NO LOAD	OPEN	1600	0	0
ACMTx	AB	AB ALL MKT REAL RETURN PORT C	C	LOAD	OPEN	1600	2500	2500
AAZCx	AB	AB AZ PORT C	C	LOAD	OPEN	1600	2500	2500
ABNAX	AB	AB BD INFLAT STRAT A	A	LOAD	OPEN	1600	2500	2500
ABNYx	AB	AB BD INFLAT STRAT ADV	ADVISOR	NO LOAD	OPEN	1600	0	0
ABNCx	AB	AB BD INFLAT STRAT C	C	LOAD	OPEN	1600	2500	2500
ABNZx	AB	AB BOND INFLATION STRATEGY Z	Z	NO LOAD	REDEEM ONLY	1600	100000	100000
ALCVx	AB	AB CA PORT ADV	ADVISOR	NO LOAD	OPEN	1600	0	0
WPSIX	AB	AB CONCENTRATED GROWTH I	I	NO LOAD	REDEEM ONLY	1600	0	0
WPSZx	AB	AB CONCENTRATED GROWTH Z	Z	NO LOAD	REDEEM ONLY	1600	100000	100000

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WPASX	AB	AB CONCENTRATED GWTH A	A	LOAD	OPEN	1600	2500	2500
WPSGX	AB	AB CONCENTRATED GWTH ADV	ADVISOR	NO LOAD	OPEN	1600	0	0
WPCSX	AB	AB CONCENTRATED GWTH C	C	LOAD	OPEN	1600	2500	2500
CIAGX	AB	AB CONCENTRATED INTL GROWTH PORT A	A	LOAD	OPEN	1600	2500	2500
CIGYX	AB	AB CONCENTRATED INTL GROWTH PORT ADV	ADVISOR	NO LOAD	OPEN	1600	0	0
CICGX	AB	AB CONCENTRATED INTL GROWTH PORT C	C	LOAD	OPEN	1600	2500	2500
ADGAX	AB	AB CORE OPPORTUNITIES A	A	LOAD	OPEN	1600	2500	2500
ADGYX	AB	AB CORE OPPORTUNITIES ADV	ADVISOR	NO LOAD	OPEN	1600	0	0
ADGCX	AB	AB CORE OPPORTUNITIES C	C	LOAD	OPEN	1600	2500	2500
ADGIX	AB	AB CORE OPPORTUNITIES I	I	NO LOAD	REDEEM ONLY	1600	0	0
CHCYX	AB	AB DISCOVERY GWTH ADV	ADVISOR	NO LOAD	OPEN	1600	0	0
CHCCX	AB	AB DISCOVERY GWTH C	C	LOAD	OPEN	1600	2500	2500
CHCIX	AB	AB DISCOVERY GWTH I	I	NO LOAD	REDEEM ONLY	1600	100	100
CHCZX	AB	AB DISCOVERY GWTH Z	Z	NO LOAD	REDEEM ONLY	1600	0	0
ABASX	AB	AB DISCOVERY VAL A	A	LOAD	OPEN	1600	2500	2500
ABYSX	AB	AB DISCOVERY VAL ADV CL	ADVISOR	NO LOAD	OPEN	1600	0	0
ABCSX	AB	AB DISCOVERY VAL C	C	LOAD	OPEN	1600	2500	2500
ABSIX	AB	AB DISCOVERY VAL I	I	NO LOAD	REDEEM ONLY	1600	2500	2500
ABSZX	AB	AB DISCOVERY VAL Z	Z	NO LOAD	REDEEM ONLY	1600	0	0
ABAEX	AB	AB EMRG MKTS MULTI ASSET PORT A	A	LOAD	OPEN	1600	2500	2500
ABYEX	AB	AB EMRG MKTS MULTI ASSET PORT ADV	ADVISOR	NO LOAD	OPEN	1600	0	0
ABCEX	AB	AB EMRG MKTS MULTI ASSET PORT C	C	LOAD	OPEN	1600	2500	2500
AUIAX	AB	AB EQTY INCM A	A	LOAD	OPEN	1600	2500	2500
AUIYX	AB	AB EQTY INCM ADV	ADVISOR	NO LOAD	OPEN	1600	0	0
AUICX	AB	AB EQTY INCM C	C	LOAD	OPEN	1600	2500	2500
ANAGX	AB	AB GLOBAL BOND CL A	A	LOAD	OPEN	1600	2500	2500
ANAYX	AB	AB GLOBAL BOND CL ADV	ADVISOR	NO LOAD	OPEN	1600	0	0
ANACX	AB	AB GLOBAL BOND CL C	C	LOAD	OPEN	1600	2500	2500
ANAIX	AB	AB GLOBAL BOND CL I	I	NO LOAD	REDEEM ONLY	1600	100	100
ANAZX	AB	AB GLOBAL BOND CL Z	Z	NO LOAD	REDEEM ONLY	1600	0	0
GCEAX	AB	AB GLOBAL CORE EQUITY A	A	LOAD	OPEN	1600	100	100
GCEYX	AB	AB GLOBAL CORE EQUITY PORTFOLIO ADV	ADVISOR	NO LOAD	OPEN	1600	0	0
AREAX	AB	AB GLOBAL REAL EST INV A	A	LOAD	REDEEM ONLY	1600	2500	2500
ARSYX	AB	AB GLOBAL REAL EST INV ADV	ADVISOR	NO LOAD	REDEEM ONLY	1600	0	0
ARECX	AB	AB GLOBAL REAL EST INV C	C	LOAD	REDEEM ONLY	1600	2500	2500
ARIIX	AB	AB GLOBAL REAL EST INVT II FD CLASS I	I	NO LOAD	REDEEM ONLY	1600	2500	2500
ARRRX	AB	AB GLOBAL REAL ESTATE INVESTMENT R	R	NO LOAD	REDEEM ONLY	1600	0	0
CABNX	AB	AB GLOBAL RISK ALLOC A	A	LOAD	OPEN	1600	2500	2500
CBSYX	AB	AB GLOBAL RISK ALLOC ADV	ADVISOR	NO LOAD	OPEN	1600	0	0
CBACX	AB	AB GLOBAL RISK ALLOC C	C	LOAD	OPEN	1600	2500	2500
CABIX	AB	AB GLOBAL RISK ALLOCATION I	I	NO LOAD	REDEEM ONLY	1600	0	0

SYMBOL	FUND FAMILY	FUND DESCRIPTION	SHARE CLASS	FUND TYPE	STATUS	CUT- OFF	IRA MIN	NON-IRA MIN
AEYXX	AB	AB GOVERNMENT MONEY MARKET ADVISOR	ADVISOR	NO LOAD	REDEEM ONLY	1600	0	0
AEAXX	AB	AB GOVT MONEY MARKET PORTFOLIO A	A	LOAD	OPEN	1600	2500	2500
AECXX	AB	AB GOVT MONEY MARKET PORTFOLIO C	C	LOAD	OPEN	1600	2500	2500
AGRYX	AB	AB GROWTH FUND ADV CL	ADVISOR	NO LOAD	OPEN	1600	0	0
AGRFX	AB	AB GROWTH FUND CL A	A	LOAD	OPEN	1600	2500	2500
AGRCX	AB	AB GROWTH FUND CL C	C	LOAD	OPEN	1600	2500	2500
AGFIX	AB	AB GROWTH I	I	NO LOAD	REDEEM ONLY	1600	0	0
AGDAX	AB	AB HIGH INCM FD CL A	A	LOAD	OPEN	1600	2500	2500
AGDYX	AB	AB HIGH INCM FD CL ADV	ADVISOR	NO LOAD	OPEN	1600	0	0
AGDCX	AB	AB HIGH INCM FD CL C	C	LOAD	OPEN	1600	2500	2500
AGDIX	AB	AB HIGH INCM FD CL I	I	NO LOAD	REDEEM ONLY	1600	2500	2500
AGDZX	AB	AB HIGH INCM FD CL Z	Z	NO LOAD	REDEEM ONLY	1600	0	0
ABTHX	AB	AB HIGH INCM MUNI PORT A	A	LOAD	OPEN	1600	2500	2500
ABTYX	AB	AB HIGH INCM MUNI PORT ADV	ADVISOR	NO LOAD	OPEN	1600	0	0
ABTFX	AB	AB HIGH INCM MUNI PORT C	C	LOAD	OPEN	1600	2500	2500
ABIMX	AB	AB IMPACT MUNI INCM SHARES	INCOME	NO LOAD	REDEEM ONLY	1600	0	0
AKGAX	AB	AB INCM FD A	A	LOAD	OPEN	1600	2500	2500
ACGYX	AB	AB INCM FD ADV	ADVISOR	NO LOAD	OPEN	1600	0	0
AKGCX	AB	AB INCM FD C	C	LOAD	OPEN	1600	2500	2500
AICAX	AB	AB INTERM CA MUNI A	A	LOAD	OPEN	1600	2500	2500
AICYX	AB	AB INTERM CA MUNI ADV	ADVISOR	NO LOAD	OPEN	1600	0	0
ACMCX	AB	AB INTERM CA MUNI C	C	LOAD	OPEN	1600	2500	2500
AIDAX	AB	AB INTERM DIVERS MUNI A	A	LOAD	OPEN	1600	2500	2500
AIDYX	AB	AB INTERM DIVERS MUNI ADV	ADVISOR	NO LOAD	OPEN	1600	0	0
AIMCX	AB	AB INTERM DIVERS MUNI C	C	LOAD	OPEN	1600	2500	2500
ANIAX	AB	AB INTERM NY MUNI A	A	LOAD	OPEN	1600	2500	2500
ANIYX	AB	AB INTERM NY MUNI ADV	ADVISOR	NO LOAD	OPEN	1600	0	0
ANMCX	AB	AB INTERM NY MUNI C	C	LOAD	OPEN	1600	2500	2500
AIDZX	AB	AB INTERMEDIATE DIVERSIFIED MUNI Z	Z	NO LOAD	REDEEM ONLY	1600	100000	100000
ABIYX	AB	AB INTL VALUE FUND ADV CL	ADVISOR	NO LOAD	OPEN	1600	0	0
ABIAX	AB	AB INTL VALUE FUND CL A	A	LOAD	OPEN	1600	2500	2500
ABICX	AB	AB INTL VALUE FUND CL C	C	LOAD	OPEN	1600	2500	2500
AIVIX	AB	AB INTL VALUE FUND CL I	I	NO LOAD	REDEEM ONLY	1600	2500	2500
APGAX	AB	AB LARGE CAP GROWTH A	A	LOAD	OPEN	1600	2500	2500
APGYX	AB	AB LARGE CAP GROWTH ADV CL	ADVISOR	NO LOAD	OPEN	1600	0	0
APGCX	AB	AB LARGE CAP GROWTH C	C	LOAD	OPEN	1600	2500	2500
ALLIX	AB	AB LARGE CAP GROWTH I	I	NO LOAD	REDEEM ONLY	1600	2500	2500
ALCKX	AB	AB LARGE CAP GROWTH K	K	NO LOAD	REDEEM ONLY	1600	0	0
ABPRX	AB	AB LARGE CAP GROWTH R	R	NO LOAD	REDEEM ONLY	1600	0	0
APGZX	AB	AB LARGE CAP GROWTH Z	Z	NO LOAD	REDEEM ONLY	1600	0	0
AMAAX	AB	AB MA PORT A	A	LOAD	OPEN	1600	2500	2500

SYMBOL	FUND FAMILY	FUND DESCRIPTION	SHARE CLASS	FUND TYPE	STATUS	CUT- OFF	IRA MIN	NON-IRA MIN
AMNAX	AB	AB MN PORT A	A	LOAD	OPEN	1600	2500	2500
AUNAX	AB	AB MUNI BD INFLATION STRAT A	A	LOAD	OPEN	1600	2500	2500
AUNYX	AB	AB MUNI BD INFLATION STRAT ADV	ADVISOR	NO LOAD	OPEN	1600	0	0
AUNCX	AB	AB MUNI BD INFLATION STRAT C	C	LOAD	OPEN	1600	2500	2500
AAZAX	AB	AB MUNI INCM FD AZ PORT A	A	LOAD	OPEN	1600	2500	2500
ALCAX	AB	AB MUNI INCM FD CA PORT A	A	LOAD	OPEN	1600	2500	2500
ACACX	AB	AB MUNI INCM FD CA PORT C	C	LOAD	OPEN	1600	2500	2500
AMAYX	AB	AB MUNI INCM FD MA PORT ADV	ADVISOR	NO LOAD	OPEN	1600	0	0
AMACX	AB	AB MUNI INCM FD MA PORT C	C	LOAD	OPEN	1600	2500	2500
AMNCX	AB	AB MUNI INCM FD MN PORT C	C	LOAD	OPEN	1600	2500	2500
ALTHX	AB	AB MUNI INCM FD NATL PORT A	A	LOAD	OPEN	1600	2500	2500
ALTVX	AB	AB MUNI INCM FD NATL PORT ADV	ADVISOR	NO LOAD	OPEN	1600	0	0
ALNCX	AB	AB MUNI INCM FD NATL PORT C	C	LOAD	OPEN	1600	2500	2500
ANJCX	AB	AB MUNI INCM FD NJ PORT C	C	LOAD	OPEN	1600	2500	2500
ALNVX	AB	AB MUNI INCM FD NY PORT ADV	ADVISOR	NO LOAD	OPEN	1600	0	0
ANYCX	AB	AB MUNI INCM FD NY PORT C	C	LOAD	OPEN	1600	2500	2500
AOHAX	AB	AB MUNI INCM FD OH PORT A	A	LOAD	OPEN	1600	2500	2500
AOHCX	AB	AB MUNI INCM FD OH PORT C	C	LOAD	OPEN	1600	2500	2500
APAAX	AB	AB MUNI INCM FD PA PORT A	A	LOAD	OPEN	1600	2500	2500
APACX	AB	AB MUNI INCM FD PA PORT C	C	LOAD	OPEN	1600	2500	2500
AVAAX	AB	AB MUNI INCM FD VA PORT A	A	LOAD	OPEN	1600	2500	2500
AVAYX	AB	AB MUNI INCM FD VA PORT ADV	ADVISOR	NO LOAD	OPEN	1600	0	0
AVACX	AB	AB MUNI INCM FD VA PORT C	C	LOAD	OPEN	1600	2500	2500
ANJAX	AB	AB NJ PORT A	A	LOAD	OPEN	1600	2500	2500
ALNYX	AB	AB NY PORT A	A	LOAD	OPEN	1600	2500	2500
CBBYX	AB	AB RELATIVE VALUE FD ADV CL	ADVISOR	NO LOAD	OPEN	1600	0	0
CABDX	AB	AB RELATIVE VALUE FD CL A	A	LOAD	OPEN	1600	2500	2500
CBBCX	AB	AB RELATIVE VALUE FD CL C	C	LOAD	OPEN	1600	2500	2500
CBBIX	AB	AB RELATIVE VALUE FD I	I	NO LOAD	REDEEM ONLY	1600	0	0
CBBZX	AB	AB RELATIVE VALUE Z	Z	NO LOAD	REDEEM ONLY	1600	0	0
AUUCX	AB	AB SELECT US EQTY PORT C	C	LOAD	OPEN	1600	2500	2500
AUUAX	AB	AB SLCT US EQTY PORT A	A	LOAD	OPEN	1600	2500	2500
AUUYYX	AB	AB SLCT US EQTY PORT ADV	ADVISOR	NO LOAD	OPEN	1600	0	0
AUUIX	AB	AB SLCT US EQTY PORT I	I	NO LOAD	REDEEM ONLY	1600	2000000	2000000
ASLAX	AB	AB SLCT US LONG SHORT PORT A	A	LOAD	OPEN	1600	2500	2500
ASYLX	AB	AB SLCT US LONG SHORT PORT ADV	ADVISOR	NO LOAD	OPEN	1600	0	0
ASCLX	AB	AB SLCT US LONG SHORT PORT C	C	LOAD	OPEN	1600	2500	2500
ASILX	AB	AB SLCT US LONG SHORT PORT I	I	NO LOAD	REDEEM ONLY	1600	0	0
QUAYX	AB	AB SMALL CAP GROWTH ADV CL	ADVISOR	NO LOAD	OPEN	1600	0	0
QUASX	AB	AB SMALL CAP GROWTH PORT CL A	A	LOAD	OPEN	1600	2500	2500
QUACX	AB	AB SMALL CAP GROWTH PORT CL C	C	LOAD	OPEN	1600	2500	2500

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QUAIX	AB	AB SMALL CAP GROWTH PORT CL I	I	NO LOAD	REDEEM ONLY	1600	100	100
QUAKX	AB	AB SMALL CAP GROWTH PORT CL K	K	NO LOAD	REDEEM ONLY	1600	0	0
QUAZX	AB	AB SMALL CAP GROWTH PORT CL Z	Z	NO LOAD	REDEEM ONLY	1600	0	0
QUARX	AB	AB SMALL CAP GROWTH R	R	NO LOAD	REDEEM ONLY	1600	0	0
SCAVX	AB	AB SMALL CAP VALUE CL A	A	LOAD	OPEN	1600	2500	2500
SCYVX	AB	AB SMALL CAP VALUE CL ADV	ADVISOR	NO LOAD	OPEN	1600	0	0
ABPAX	AB	AB SUST THEMATIC BALANCED PORTFOLIO A	A	LOAD	OPEN	1600	2500	2500
ABPYX	AB	AB SUST THEMATIC BALANCED PORTFOLIO ADV	ADVISOR	NO LOAD	OPEN	1600	0	0
ABPCX	AB	AB SUST THEMATIC BALANCED PORTFOLIO C	C	LOAD	OPEN	1600	2500	2500
ALTFX	AB	AB SUSTAINABLE GLOBAL THEMATIC A	A	LOAD	OPEN	1600	2500	2500
ATEYX	AB	AB SUSTAINABLE GLOBAL THEMATIC ADV	ADVISOR	NO LOAD	OPEN	1600	0	0
ATECX	AB	AB SUSTAINABLE GLOBAL THEMATIC C	C	LOAD	OPEN	1600	2500	2500
AGTIX	AB	AB SUSTAINABLE GLOBAL THEMATIC I	I	NO LOAD	REDEEM ONLY	1600	0	0
AWPZX	AB	AB SUSTAINABLE INTERNATIONAL THEMATIC Z	Z	NO LOAD	REDEEM ONLY	1600	100000	100000
AWPYX	AB	AB SUSTAINABLE INTL THEMATIC FUND ADV CL	ADVISOR	NO LOAD	OPEN	1600	0	0
AWPAX	AB	AB SUSTAINABLE INTL THEMATIC FUND CL A	A	LOAD	OPEN	1600	2500	2500
AWPCX	AB	AB SUSTAINABLE INTL THEMATIC FUND CL C	C	LOAD	OPEN	1600	2500	2500
AWPIX	AB	AB SUSTAINABLE INTL THEMATIC FUND CL I	I	NO LOAD	REDEEM ONLY	1600	100	100
ATTYX	AB	AB TAX AWARE FXD INCM OPPTY FD ADV	ADVISOR	NO LOAD	OPEN	1600	0	0
ATTAX	AB	AB TAX AWARE FXD INCM OPPTY FD CL A	A	LOAD	OPEN	1600	2500	2500
ATWAX	AB	AB TAX MGD WEALTH APPREC STRAT CL A	A	LOAD	OPEN	1600	2500	2500
ATWYX	AB	AB TAX MGD WEALTH APPREC STRAT CL ADV	ADVISOR	NO LOAD	OPEN	1600	0	0
ATWCX	AB	AB TAX MGD WEALTH APPREC STRAT CL C	C	LOAD	OPEN	1600	2500	2500
ATCCX	AB	AB TAXAWARE FIXED INCOME OPPS C	C	LOAD	OPEN	1600	2500	2500
ABQYX	AB	AB TOTAL RETURN BOND PORTFOLIO FD ADV	ADVISOR	NO LOAD	OPEN	1600	0	0
ABQUX	AB	AB TOTAL RETURN BOND PORTFOLIO FD CL A	A	LOAD	OPEN	1600	2500	2500
ABQCX	AB	AB TOTAL RETURN BOND PORTFOLIO FD CL C	C	LOAD	OPEN	1600	2500	2500
ABQIX	AB	AB TOTAL RETURN BOND PORTFOLIO FD CL I	I	NO LOAD	REDEEM ONLY	1600	2000000	2000000
FFTYX	AB	AB US SUST US THEMATIC PORTFOLIO ADV	ADVISOR	NO LOAD	OPEN	1600	0	0
ABVYX	AB	AB VALUE FUND ADV CL	ADVISOR	NO LOAD	OPEN	1600	0	0
ABVAX	AB	AB VALUE FUND CL A	A	LOAD	OPEN	1600	2500	2500
ABVCX	AB	AB VALUE FUND CL C	C	LOAD	OPEN	1600	2500	2500
ABVIX	AB	AB VALUE FUND CL I	I	NO LOAD	REDEEM ONLY	1600	2500	2500
AWAAX	AB	AB WEALTH APPRECIATION STRAT A	A	LOAD	OPEN	1600	2500	2500
AWAYX	AB	AB WEALTH APPRECIATION STRAT ADV	ADVISOR	NO LOAD	OPEN	1600	0	0
AWACX	AB	AB WEALTH APPRECIATION STRAT C	C	LOAD	OPEN	1600	2500	2500
CHCLX	AB	ALLIANCEBERNSTEIN DISCOVERY GWTH A	A	LOAD	OPEN	1600	2500	2500
STESX	AB	BERNSTEIN INTERNATIONAL STRAT EQS SCB	SCB	NO LOAD	REDEEM ONLY	1600	100000	100000
GOPAX	ABERDEEN	ABERDEEN CHINA A SHARE EQUITY FD CL A	A	LOAD	OPEN	1600	1000	1000
GOPCX	ABERDEEN	ABERDEEN CHINA A SHARE EQUITY FD CL C	C	LOAD	OPEN	1600	0	0
GOPRX	ABERDEEN	ABERDEEN CHINA A SHARE EQUITY FD CL R	R	NO LOAD	REDEEM ONLY	1600	0	0

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GOPIX	ABERDEEN	ABERDEEN CHINA A SHARE EQUITY FD INST	INSTITUTIONAL	NO LOAD	OPEN	1600	1000000	1000000
GOPXS	ABERDEEN	ABERDEEN CHINA A SHARE EQUITY FD IS	SERVICE	NO LOAD	OPEN	1600	1000000	1000000
ADAVX	ABERDEEN	ABERDEEN DYNAMIC DIV A	A	LOAD	OPEN	1600	100	100
ADVDX	ABERDEEN	ABERDEEN DYNAMIC DIV INST	INSTITUTIONAL	NO LOAD	OPEN	1600	1000000	1000000
AEMSX	ABERDEEN	ABERDEEN EMERGING MARKETS INSTL SVC	SERVICE	NO LOAD	OPEN	1600	100	100
GLLAX	ABERDEEN	ABERDEEN EMERGING MKTS EXCHINA A	A	LOAD	OPEN	1600	1000	1000
GLLCX	ABERDEEN	ABERDEEN EMERGING MKTS EXCHINA C	C	LOAD	OPEN	1600	1000	1000
GWLIX	ABERDEEN	ABERDEEN EMERGING MKTS EXCHINA INST	INSTITUTIONAL	NO LOAD	OPEN	1600	1000000	1000000
GWLRX	ABERDEEN	ABERDEEN EMERGING MKTS EXCHINA R	R	NO LOAD	REDEEM ONLY	1600	0	0
GEGAX	ABERDEEN	ABERDEEN EMRG MKTS INST A	A	LOAD	OPEN	1600	1000	1000
GEGCX	ABERDEEN	ABERDEEN EMRG MKTS INST C	C	LOAD	OPEN	1600	1000	1000
ABEMX	ABERDEEN	ABERDEEN EMRG MKTS INSTL	INSTITUTIONAL	NO LOAD	OPEN	1600	1000000	1000000
JETAX	ABERDEEN	ABERDEEN GBL EQTY IMPACT A	A	LOAD	OPEN	1600	0	0
JETIX	ABERDEEN	ABERDEEN GBL EQTY IMPACT INST	I	NO LOAD	OPEN	1600	1000000	1000000
AIAFX	ABERDEEN	ABERDEEN GBL INFRASTRUCTURE A	A	LOAD	OPEN	1600	100	100
AIFRX	ABERDEEN	ABERDEEN GBL INFRASTRUCTURE INST	INSTITUTIONAL	NO LOAD	OPEN	1600	1000000	1000000
NTFAX	ABERDEEN	ABERDEEN INTERM MUNI INCOME A	A	LOAD	OPEN	1600	1000	1000
ABEIX	ABERDEEN	ABERDEEN INTERM MUNI INCOME INST	INSTITUTIONAL	NO LOAD	OPEN	1600	1000000	1000000
WVCCX	ABERDEEN	ABERDEEN INTL SMALL CAP A	A	LOAD	OPEN	1600	1000	1000
CPVCX	ABERDEEN	ABERDEEN INTL SMALL CAP C	C	LOAD	OPEN	1600	1000	1000
ABNIX	ABERDEEN	ABERDEEN INTL SMALL CAP INST	INSTITUTIONAL	NO LOAD	OPEN	1600	1000000	1000000
WPVAX	ABERDEEN	ABERDEEN INTL SMALL CAP R	R	NO LOAD	REDEEM ONLY	1600	0	0
BJBIX	ABERDEEN	ABERDEEN INTL SUST LEADERS A	A	LOAD	SUBS ONLY	1600	0	0
JIEIX	ABERDEEN	ABERDEEN INTL SUST LEADERS INST	INSTITUTIONAL	NO LOAD	OPEN	1600	1000000	1000000
AIAGX	ABERDEEN	ABERDEEN REALTY INCOME GROWTH A	A	LOAD	OPEN	1600	100	100
AIGYX	ABERDEEN	ABERDEEN REALTY INCOME GROWTH INST	INSTITUTIONAL	NO LOAD	OPEN	1600	1000000	1000000
AHYMX	ABERDEEN	ABERDEEN SHORT DUR HIGH YIELD MUNI INST	INSTITUTIONAL	NO LOAD	OPEN	1600	1000000	1000000
AAHMX	ABERDEEN	ABERDEEN SHORT DURATION HIGH YIELD MUNI A	A	LOAD	OPEN	1600	100	100
ATOAX	ABERDEEN	ABERDEEN ULTRA SHORT MUNI INCOME A	A	LOAD	OPEN	1600	100	100
ATOIX	ABERDEEN	ABERDEEN ULTRA SHORT MUNI INCOME INST	INSTITUTIONAL	NO LOAD	OPEN	1600	1000000	1000000
GSXAX	ABERDEEN	ABERDEEN US SMALL CAP EQTY A	A	LOAD	OPEN	1600	1000	1000
GSXCX	ABERDEEN	ABERDEEN US SMALL CAP EQTY C	C	LOAD	OPEN	1600	1000	1000
GSCIX	ABERDEEN	ABERDEEN US SMALL CAP EQTY INST	INSTITUTIONAL	NO LOAD	OPEN	1600	1000000	1000000
GSXIX	ABERDEEN	ABERDEEN US SMALL CAP EQTY INST SVC	INST SVC	NO LOAD	OPEN	1600	1000000	1000000
GNSRX	ABERDEEN	ABERDEEN US SMALL CAP EQTY R	R	NO LOAD	REDEEM ONLY	1600	0	0
MLSAX	ABERDEEN	ABERDEEN US SUST LDRS SMALL COMP A	A	LOAD	SUBS ONLY	1600	1000	1000
GGUIX	ABERDEEN	ABERDEEN US SUST LDRS SMALL COMP INST	INSTITUTIONAL	NO LOAD	OPEN	1600	1000000	1000000
GXXAX	ABERDEEN	ABERDEEN US SUST LEADERS A	A	LOAD	OPEN	1600	1000	1000
GXXCX	ABERDEEN	ABERDEEN US SUST LEADERS C	C	LOAD	OPEN	1600	1000	1000
GGLIX	ABERDEEN	ABERDEEN US SUST LEADERS INST	INSTITUTIONAL	NO LOAD	OPEN	1600	0	0
GXXIX	ABERDEEN	ABERDEEN US SUST LEADERS INST SVC	INST SVC	NO LOAD	OPEN	1600	1000000	1000000

SYMBOL	FUND FAMILY	FUND DESCRIPTION	SHARE CLASS	FUND TYPE	STATUS	CUT- OFF	IRA MIN	NON-IRA MIN
GLLSX	ABERDEEN	ABRDN EMERGING MARKETS EXCHINA INSTLSVC	INST SVC	NO LOAD	OPEN	1600	100	100
BJBH	ABERDEEN	ABRDN HIGH INCOME OPPORTUNITIES A	A	LOAD	OPEN	1600	0	0
JHYIX	ABERDEEN	ABRDN HIGH INCOME OPPORTUNITIES INST	I	NO LOAD	OPEN	1600	1000000	1000000
CUGAX	ABERDEEN	ABRDN INFRASTRUCTURE DEBT A	A	LOAD	OPEN	1600	100	100
AGCIX	ABERDEEN	ABRDN INFRASTRUCTURE DEBT INST	INSTITUTIONAL	NO LOAD	OPEN	1600	1000000	1000000
CGFIX	ABERDEEN	ABRDN INFRASTRUCTURE DEBT INST SVC	INST SVC	NO LOAD	OPEN	1600	100	100
ABRSX	ABR DYNAMIC FUNDS	ABR 50 50 VOLATILITY FD INST	I	NO LOAD	OPEN	1600	100000	100000
ABRJX	ABR DYNAMIC FUNDS	ABR 50 50 VOLATILITY FD INV	INVESTOR	NO LOAD	OPEN	1600	100	100
VOLSX	ABR DYNAMIC FUNDS	ABR 7525 VOLATILITY FUND	I	NO LOAD	OPEN	1600	100000	100000
VOLJX	ABR DYNAMIC FUNDS	ABR 7525 VOLATILITY FUND	INVESTOR	NO LOAD	OPEN	1600	100	100
ABRVX	ABR DYNAMIC FUNDS	ABR DYNAMIC BLEND EQTY & VOLATILITY INST	I	NO LOAD	OPEN	1600	100000	100000
ABRTX	ABR DYNAMIC FUNDS	ABR DYNAMIC BLEND EQTY & VOLATILITY INV	INVESTOR	NO LOAD	OPEN	1600	2500	2500
FORTX	ABRAHAM	ABRAHAM FORTRESS I	I	NO LOAD	OPEN	1600	10000	10000
AEMZX	ACADIAN	ACADIAN EMERGING MARKETS PORT I	INSTITUTIONAL	NO LOAD	OPEN	1600	0	0
AEMGX	ACADIAN	ACADIAN EMERGING MKTS PORTFOLIO INV CL	INVESTOR	NO LOAD	OPEN	1600	100	100
ACREX	ACR FUNDS	ACR INTL QUALITY RETURN FD CL I	I	NO LOAD	REDEEM ONLY	1600	0	0
ACROX	ACR FUNDS	ACR MULTI STRAT QLTY RET MQR I	I	NO LOAD	OPEN	1600	10000	10000
AFMCX	ACUITAS FUNDS	ACUITAS US MICROCAP FD INST	I	NO LOAD	OPEN	1600	10000	10000
ADKSX	ADIRONDACK FUNDS	ADIRONDACK SMALL CAP FUND	NO LOAD	NO LOAD	OPEN	1600	0	0
ACALX	ADVISORS CAPITAL	ADVISORS CAPITAL ACTIVE ALL CAP FD	NO LOAD	NO LOAD	REDEEM ONLY	1600	1000	2500
ACSMX	ADVISORS CAPITAL	ADVISORS CAPITAL SMALLMID CAP	INVESTOR	NO LOAD	REDEEM ONLY	1600	0	0
ACTIX	ADVISORS CAPITAL	ADVISORS CAPITAL TACTICAL FIXED INC	INVESTOR	NO LOAD	REDEEM ONLY	1600	0	0
ACUSX	ADVISORS CAPITAL	ADVISORS CAPITAL US DIVIDEND	INVESTOR	NO LOAD	REDEEM ONLY	1600	0	0
DYMI	ADVISORS PREFERRED TRUST	DYNAMIC ALPHA MACRO INSTITUTIONAL	INSTITUTIONAL	NO LOAD	OPEN	1600	0	0
QGLCX	ADVISORS PREFERRED TRUST	GOLD BULLION STRATEGY ADVISOR	ADVISOR	NO LOAD	REDEEM ONLY	1600	0	0
QGLDX	ADVISORS PREFERRED TRUST	GOLD BULLION STRATEGY FD INV	INVESTOR	NO LOAD	OPEN	1600	0	0
HFSAX	ADVISORS PREFERRED TRUST	HUNDREDFOLD SLCT ALT FD INV CL	INVESTOR	NO LOAD	OPEN	1600	0	0
SFHYX	ADVISORS PREFERRED TRUST	HUNDREDFOLD SLCT ALT FD SERV CL	SERVICE	LOAD	OPEN	1600	1000	5000
OTRGX	ADVISORS PREFERRED TRUST	ONTRACK CORE ADVISOR	ADVISOR	NO LOAD	OPEN	1600	0	0
OTRFX	ADVISORS PREFERRED TRUST	ONTRACK CORE FUND INV CL	INVESTOR	NO LOAD	OPEN	1600	1000	1000
QALAX	ADVISORS PREFERRED TRUST	QUANTIFIED ALTERNATIVE INVESTMENT ADV	ADVISOR	NO LOAD	REDEEM ONLY	1600	0	0
QALTX	ADVISORS PREFERRED TRUST	QUANTIFIED ALTERNATIVE INVSTMNT FD INV	INVESTOR	NO LOAD	OPEN	1600	0	0
QCGDX	ADVISORS PREFERRED TRUST	QUANTIFIED COMMON GROUND FD INV	INVESTOR	NO LOAD	OPEN	1600	0	0
QEVOX	ADVISORS PREFERRED TRUST	QUANTIFIED EVOLUTION PLUS INV	INVESTOR	NO LOAD	OPEN	1600	0	0
QGBLX	ADVISORS PREFERRED TRUST	QUANTIFIED GLOBAL FUND INVESTOR	INVESTOR	NO LOAD	OPEN	1600	100	100
QGITX	ADVISORS PREFERRED TRUST	QUANTIFIED GOVT INC TACT INVISOR	INVESTOR	NO LOAD	OPEN	1600	0	0
QBDAX	ADVISORS PREFERRED TRUST	QUANTIFIED MGD INCM FD ADV	ADVISOR	LOAD WAIVED	REDEEM ONLY	1600	0	0
QBDSX	ADVISORS PREFERRED TRUST	QUANTIFIED MGD INCM FD INV	INVESTOR	NO LOAD	OPEN	1600	0	0
QMLAX	ADVISORS PREFERRED TRUST	QUANTIFIED MKT LEADERS FD ADV	ADVISOR	LOAD WAIVED	REDEEM ONLY	1600	0	0
QMLFX	ADVISORS PREFERRED TRUST	QUANTIFIED MKT LEADERS FD INV	INVESTOR	NO LOAD	OPEN	1600	0	0
QSPMX	ADVISORS PREFERRED TRUST	QUANTIFIED PATTERN RECOGNITION INV	INVESTOR	NO LOAD	OPEN	1600	0	0

SYMBOL	FUND FAMILY	FUND DESCRIPTION	SHARE CLASS	FUND TYPE	STATUS	CUT- OFF	IRA MIN	NON-IRA MIN
QRDTX	ADVISORS PREFERRED TRUST	QUANTIFIED RISING DVD TCL INVESTOR	INVESTOR	NO LOAD	OPEN	1600	0	0
QSTAX	ADVISORS PREFERRED TRUST	QUANTIFIED STF FD ADV	ADVISOR	LOAD WAIVED	REDEEM ONLY	1600	0	0
QSTFX	ADVISORS PREFERRED TRUST	QUANTIFIED STF FD INV	INVESTOR	NO LOAD	OPEN	1600	0	0
QFITX	ADVISORS PREFERRED TRUST	QUANTIFIED TACTICAL FIXED INCM INV	INVESTOR	NO LOAD	OPEN	1600	0	0
QTSSX	ADVISORS PREFERRED TRUST	QUANTIFIED TACTICAL SECTORS INVESTOR	INVESTOR	NO LOAD	OPEN	1600	0	0
SAPEX	ADVISORS PREFERRED TRUST	SPECTRUM ACTIVE ADVANTAGE INV	INVESTOR	NO LOAD	OPEN	1600	0	0
SVARX	ADVISORS PREFERRED TRUST	SPECTRUM LOW VOLATILITY FD INV	INVESTOR	NO LOAD	OPEN	1600	0	0
AVALX	AEGIS	AEGIS VALUE FUND	I	NO LOAD	OPEN	1600	10000	10000
AKRIX	AKRE CAPITAL MANAGEMENT	AKRE FOCUS FD INST	INSTITUTIONAL	NO LOAD	OPEN	1600	250000	250000
AKREX	AKRE CAPITAL MANAGEMENT	AKRE FOCUS FD RETAIL	RETAIL	NO LOAD	OPEN	1600	100	100
VALAX	AL FRANK	AL FRANK FUND ADV CL	ADVISOR	NO LOAD	OPEN	1600	1000	1000
ATVPX	ALGER	ALGER 35 P	P	NO LOAD	OPEN	1600	500000	500000
ALCCX	ALGER	ALGER CAP APPR CLASS C	C	LOAD	OPEN	1600	500	1000
ACAAX	ALGER	ALGER CAP APPR FUND CL A	A	LOAD	OPEN	1600	0	0
ACAZX	ALGER	ALGER CAP APPRECIATION FUND CLASS Z	Z	NO LOAD	OPEN	1600	0	500000
ACAYX	ALGER	ALGER CAP APPRECIATION INST Y	Y	NO LOAD	REDEEM ONLY	1600	500000	500000
ACIZX	ALGER	ALGER CAP APPRECIATION INST Z2	Z2	NO LOAD	OPEN	1600	0	0
ALARX	ALGER	ALGER CAP APPRECIATION INSTITUTIONAL PORTFOLIO	I	NO LOAD	OPEN	1600	0	0
ACARX	ALGER	ALGER CAPITAL APPR INSTL R	R	NO LOAD	REDEEM ONLY	1600	0	0
ALVOX	ALGER	ALGER CAPITAL APPR PORT CL I2	I2	NO LOAD	REDEEM ONLY	1600	0	0
SPEDX	ALGER	ALGER DYNAMIC OPPORTUNITIES A	A	LOAD	OPEN	1600	0	0
ADOCX	ALGER	ALGER DYNAMIC OPPTY C	C	LOAD	OPEN	1600	0	0
ADOZX	ALGER	ALGER DYNAMIC OPPTY Z	Z	NO LOAD	OPEN	1600	0	500000
ACEMX	ALGER	ALGER EMERGING MARKETS C	C	LOAD	OPEN	1600	500	1000
AAEMX	ALGER	ALGER EMRG MKTS A	A	LOAD	OPEN	1600	0	0
AIEMX	ALGER	ALGER EMRG MKTS I	I	NO LOAD	OPEN	1600	0	0
AZEMX	ALGER	ALGER EMRG MKTS Z	Z	NO LOAD	OPEN	1600	0	500000
ALCFX	ALGER	ALGER FOCUS EQUITY FD C	C	LOAD	OPEN	1600	500	1000
ALAFX	ALGER	ALGER FOCUS EQUITY FD CL A	A	LOAD	OPEN	1600	0	0
ALGRX	ALGER	ALGER FOCUS EQUITY FD CL I	I	NO LOAD	OPEN	1600	0	0
ALZFX	ALGER	ALGER FOCUS EQUITY FD CL Z	Z	NO LOAD	OPEN	1600	0	500000
ALGYX	ALGER	ALGER FOCUS EQUITY Y	Y	NO LOAD	REDEEM ONLY	1600	500000	500000
CHUSX	ALGER	ALGER GLOBAL EQUITY FD CL A	A	LOAD	OPEN	1600	0	0
CHUCX	ALGER	ALGER GLOBAL EQUITY FD CL C	C	LOAD	OPEN	1600	500	1000
AFGIX	ALGER	ALGER GLOBAL EQUITY FD CL I	I	NO LOAD	OPEN	1600	0	0
AFGZX	ALGER	ALGER GLOBAL EQUITY FD CL Z	Z	NO LOAD	OPEN	1600	0	500000
AGIZX	ALGER	ALGER GROWTH & INCOME CL Z	Z	NO LOAD	OPEN	1600	0	500000
AIGOX	ALGER	ALGER GROWTH AND INCM PORT CL I2	I2	NO LOAD	REDEEM ONLY	1600	0	0
ALBAX	ALGER	ALGER GROWTH AND INCOME FD CL A	A	LOAD	OPEN	1600	0	0
ALBCX	ALGER	ALGER GROWTH AND INCOME FD CL C	C	LOAD	OPEN	1600	500	1000
AHSCX	ALGER	ALGER HEALTH SCIENCES C	C	LOAD	OPEN	1600	500	1000

SYMBOL	FUND FAMILY	FUND DESCRIPTION	SHARE CLASS	FUND TYPE	STATUS	CUT- OFF	IRA MIN	NON-IRA MIN
AHSAX	ALGER	ALGER HEALTH SCIENCES FUND CL A	A	LOAD	OPEN	1600	0	0
AHSZX	ALGER	ALGER HEALTH SCIENCES Z	Z	NO LOAD	OPEN	1600	0	500000
ALGAX	ALGER	ALGER INTL OPPORTUNITIES FD CL A	A	LOAD	OPEN	1600	0	0
AFGPX	ALGER	ALGER INTL OPPORTUNITIES FD CL B	B	LOAD	EXCH ONLY	1600	500	1000
ALGCX	ALGER	ALGER INTL OPPORTUNITIES FD CL C	C	LOAD	OPEN	1600	500	1000
AIGIX	ALGER	ALGER INTL OPPORTUNITIES FD CL I	I	NO LOAD	OPEN	1600	0	0
ALCZX	ALGER	ALGER INTL OPPORTUNITIES FD CL Z	Z	NO LOAD	OPEN	1600	0	500000
AAGOX	ALGER	ALGER LARGE CAP GROWTH PORT CL I2	I2	NO LOAD	REDEEM ONLY	1600	0	0
ALOAX	ALGER	ALGER MID CAP FOCUS A	A	LOAD	OPEN	1600	0	0
AFOIX	ALGER	ALGER MID CAP FOCUS I	I	NO LOAD	OPEN	1600	0	0
AFOZX	ALGER	ALGER MID CAP FOCUS Z	Z	NO LOAD	OPEN	1600	0	0
AMGAX	ALGER	ALGER MID CAP GROWTH FUND CL A	A	LOAD	OPEN	1600	0	0
AMCGX	ALGER	ALGER MID CAP GROWTH FUND CL B	B	LOAD	EXCH ONLY	1600	500	1000
AMGCX	ALGER	ALGER MID CAP GROWTH FUND CL C	C	LOAD	OPEN	1600	500	1000
AMCZX	ALGER	ALGER MID CAP GROWTH FUND CLASS Z	Z	NO LOAD	OPEN	1600	0	500000
ALMZX	ALGER	ALGER MID CAP GROWTH INST FD CL Z2	Z2	NO LOAD	OPEN	1600	0	0
ALMRX	ALGER	ALGER MID CAP GROWTH INSTITUTIONAL FUND CL I	I	NO LOAD	OPEN	1600	0	0
AMGOX	ALGER	ALGER MID CAP GROWTH PORT CL I2	I2	NO LOAD	REDEEM ONLY	1600	0	0
SPEGX	ALGER	ALGER RESPONSIBLE INVESTING FD A	A	LOAD	OPEN	1600	0	0
AGFCX	ALGER	ALGER RESPONSIBLE INVESTING FD C	C	LOAD	OPEN	1600	500	1000
AGIFX	ALGER	ALGER RESPONSIBLE INVESTING FD I	I	NO LOAD	OPEN	1600	0	0
ALGZX	ALGER	ALGER RESPONSIBLE INVESTING FD Z	Z	NO LOAD	OPEN	1600	0	500000
AOFAX	ALGER	ALGER SMALL CAP FOCUS FD CL A	A	LOAD	OPEN	1600	0	0
AOFCX	ALGER	ALGER SMALL CAP FOCUS FD CL C	C	LOAD	OPEN	1600	500	1000
AOFIX	ALGER	ALGER SMALL CAP FOCUS FD CL I	I	NO LOAD	OPEN	1600	0	0
AOFYX	ALGER	ALGER SMALL CAP FOCUS FD CL Y	Y	NO LOAD	REDEEM ONLY	1600	500000	500000
AGOZX	ALGER	ALGER SMALL CAP FOCUS FD CL Z	Z	NO LOAD	OPEN	1600	0	500000
ALSAX	ALGER	ALGER SMALL CAP GROWTH FUND CL A	A	LOAD	OPEN	1600	0	0
ALSCX	ALGER	ALGER SMALL CAP GROWTH FUND CL B	B	LOAD	EXCH ONLY	1600	500	1000
AGSCX	ALGER	ALGER SMALL CAP GROWTH FUND CL C	C	LOAD	OPEN	1600	500	1000
ASCZX	ALGER	ALGER SMALL CAP GROWTH FUND CLASS Z	Z	NO LOAD	OPEN	1600	0	500000
AISZX	ALGER	ALGER SMALL CAP GROWTH INST FD CL Z2	Z2	NO LOAD	OPEN	1600	0	0
ALSRX	ALGER	ALGER SMALL CAP GROWTH INSTITUTIONAL FUND CL I	I	NO LOAD	OPEN	1600	0	0
AASOX	ALGER	ALGER SMALL CAP GROWTH PORT CL I2	I2	NO LOAD	REDEEM ONLY	1600	0	0
SPECX	ALGER	ALGER SPECTRA FD CL A	A	LOAD	OPEN	1600	0	0
ASPCX	ALGER	ALGER SPECTRA FUND CL C	C	LOAD	OPEN	1600	500	1000
ASPZX	ALGER	ALGER SPECTRA FUND CL Z	Z	NO LOAD	OPEN	1600	0	500000
ASPIX	ALGER	ALGER SPECTRA I	I	NO LOAD	OPEN	1600	0	0
ASYMX	ALGER	ALGER WEATHERBIE SPECIALIZED GROWTH Y	Y	NO LOAD	REDEEM ONLY	1600	500000	500000
ALMAX	ALGER	ALGER WEATHERBIE SPECIALIZED GWTH FD CL A	A	LOAD	OPEN	1600	0	0
ALMCX	ALGER	ALGER WEATHERBIE SPECIALIZED GWTH FD CL C	C	LOAD	OPEN	1600	500	1000

SYMBOL	FUND FAMILY	FUND DESCRIPTION	SHARE CLASS	FUND TYPE	STATUS	CUT- OFF	IRA MIN	NON-IRA MIN
ASIMX	ALGER	ALGER WEATHERBIE SPECIALIZED GWTH FD CL I	I	NO LOAD	OPEN	1600	0	0
ASMZX	ALGER	ALGER WEATHERBIE SPECIALIZED GWTH FD CL Z	Z	NO LOAD	OPEN	1600	0	500000
WOTXX	ALLSPRING	ALLSPRING 100 TREASURY MMKT INST	INSTITUTIONAL	NO LOAD	OPEN	1600	1000000	1000000
WFTXX	ALLSPRING	ALLSPRING 100 TREASURY MONEY MARKET A	A	NO LOAD	OPEN	1600	50	1000
WARAX	ALLSPRING	ALLSPRING ABSOLUTE RETURN A	A	LOAD	OPEN	1600	50	1000
WARDX	ALLSPRING	ALLSPRING ABSOLUTE RETURN ADMIN	ADMINISTRATOR	NO LOAD	OPEN	1600	100	100
WARCX	ALLSPRING	ALLSPRING ABSOLUTE RETURN C	C	LOAD	OPEN	1600	250	1000
WABIX	ALLSPRING	ALLSPRING ABSOLUTE RETURN INST	INSTITUTIONAL	NO LOAD	OPEN	1600	1000000	1000000
WARRX	ALLSPRING	ALLSPRING ABSOLUTE RETURN R6	R6	NO LOAD	REDEEM ONLY	1600	0	0
ESAAX	ALLSPRING	ALLSPRING ADJUSTABLE RATE GOVERNMENT A	A	LOAD	OPEN	1600	50	1000
ESADX	ALLSPRING	ALLSPRING ADJUSTABLE RATE GOVERNMENT ADMIN	ADMINISTRATOR	NO LOAD	OPEN	1600	100	100
ESACX	ALLSPRING	ALLSPRING ADJUSTABLE RATE GOVERNMENT C	C	LOAD	OPEN	1600	250	1000
EKIZX	ALLSPRING	ALLSPRING ADJUSTABLE RATE GOVERNMENT INST	INSTITUTIONAL	NO LOAD	OPEN	1600	1000000	1000000
WRPIX	ALLSPRING	ALLSPRING ALTERNATIVE RISK PRE INSTL	INSTITUTIONAL	NO LOAD	OPEN	1600	0	0
EAAFX	ALLSPRING	ALLSPRING ASSET ALLOCATION A	A	LOAD	OPEN	1600	50	1000
EAIFX	ALLSPRING	ALLSPRING ASSET ALLOCATION ADMIN	ADMINISTRATOR	NO LOAD	OPEN	1600	0	0
EACFX	ALLSPRING	ALLSPRING ASSET ALLOCATION C	C	LOAD	OPEN	1600	250	1000
EAAIX	ALLSPRING	ALLSPRING ASSET ALLOCATION INST	INSTITUTIONAL	NO LOAD	OPEN	1600	1000000	1000000
SFCIX	ALLSPRING	ALLSPRING CALIFORNIA LIMITED TERM TAX FREE A	A	LOAD	OPEN	1600	50	1000
SCTIX	ALLSPRING	ALLSPRING CALIFORNIA LIMITED TERM TAX FREE ADMIN	ADMINISTRATOR	NO LOAD	OPEN	1600	100	100
SFCCX	ALLSPRING	ALLSPRING CALIFORNIA LIMITED TERM TAX FREE C	C	LOAD	OPEN	1600	250	1000
SFCNX	ALLSPRING	ALLSPRING CALIFORNIA LIMITED TERM TAX FREE INST	INSTITUTIONAL	NO LOAD	OPEN	1600	1000000	1000000
SCTAX	ALLSPRING	ALLSPRING CALIFORNIA TAX FREE A	A	LOAD	OPEN	1600	50	1000
SGCAX	ALLSPRING	ALLSPRING CALIFORNIA TAX FREE ADMIN	ADMINISTRATOR	NO LOAD	OPEN	1600	100	100
SCTCX	ALLSPRING	ALLSPRING CALIFORNIA TAX FREE C	C	LOAD	OPEN	1600	250	1000
SGTIX	ALLSPRING	ALLSPRING CALIFORNIA TAX FREE INST	INSTITUTIONAL	NO LOAD	OPEN	1600	1000000	1000000
SCSAX	ALLSPRING	ALLSPRING COMMON STOCK A	A	LOAD	OPEN	1600	50	1000
SCSDX	ALLSPRING	ALLSPRING COMMON STOCK ADMIN	ADMINISTRATOR	NO LOAD	OPEN	1600	0	0
STSAX	ALLSPRING	ALLSPRING COMMON STOCK C	C	LOAD	OPEN	1600	250	1000
SCNSX	ALLSPRING	ALLSPRING COMMON STOCK INST	INSTITUTIONAL	NO LOAD	OPEN	1600	1000000	1000000
WCIIX	ALLSPRING	ALLSPRING CONSERVATIVE INCOME INST	INSTITUTIONAL	NO LOAD	REDEEM ONLY	1600	1000000	1000000
MBFAX	ALLSPRING	ALLSPRING CORE BOND A	A	LOAD	OPEN	1600	50	1000
MNTRX	ALLSPRING	ALLSPRING CORE BOND ADMIN	ADMINISTRATOR	NO LOAD	OPEN	1600	100	100
MBFCX	ALLSPRING	ALLSPRING CORE BOND C	C	LOAD	OPEN	1600	250	1000
MBFIX	ALLSPRING	ALLSPRING CORE BOND INST	INSTITUTIONAL	NO LOAD	OPEN	1600	1000000	1000000
WTRIX	ALLSPRING	ALLSPRING CORE BOND R6	R6	NO LOAD	REDEEM ONLY	1600	0	0
STYAX	ALLSPRING	ALLSPRING CORE PLUS BOND A	A	LOAD	OPEN	1600	50	1000
WIPDX	ALLSPRING	ALLSPRING CORE PLUS BOND ADMIN	ADMINISTRATOR	NO LOAD	OPEN	1600	100	100
WFIPX	ALLSPRING	ALLSPRING CORE PLUS BOND C	C	LOAD	OPEN	1600	250	1000
WIPIX	ALLSPRING	ALLSPRING CORE PLUS BOND INST	INSTITUTIONAL	NO LOAD	OPEN	1600	1000000	1000000
STYJX	ALLSPRING	ALLSPRING CORE PLUS BOND R6	R6	NO LOAD	REDEEM ONLY	1600	0	0

SYMBOL	FUND FAMILY	FUND DESCRIPTION	SHARE CLASS	FUND TYPE	STATUS	CUT- OFF	IRA MIN	NON-IRA MIN
WDSAX	ALLSPRING	ALLSPRING DISCIPLINED SMALL CAP A	A	LOAD	OPEN	1600	100	100
NVSOX	ALLSPRING	ALLSPRING DISCIPLINED SMALL CAP ADMIN	ADMINISTRATOR	NO LOAD	OPEN	1600	0	0
WSCOX	ALLSPRING	ALLSPRING DISCIPLINED SMALL CAP INST	INSTITUTIONAL	NO LOAD	OPEN	1600	1000000	1000000
WSCJX	ALLSPRING	ALLSPRING DISCIPLINED SMALL CAP R6	R6	NO LOAD	REDEEM ONLY	1600	0	0
EVSAX	ALLSPRING	ALLSPRING DISCIPLINED U.S. CORE A	A	LOAD	OPEN	1600	50	1000
EVSYX	ALLSPRING	ALLSPRING DISCIPLINED U.S. CORE ADMIN	ADMINISTRATOR	NO LOAD	OPEN	1600	100	100
EVSTX	ALLSPRING	ALLSPRING DISCIPLINED U.S. CORE C	C	LOAD	OPEN	1600	250	1000
EVSIX	ALLSPRING	ALLSPRING DISCIPLINED U.S. CORE INST	INSTITUTIONAL	NO LOAD	OPEN	1600	1000000	1000000
EVSRX	ALLSPRING	ALLSPRING DISCIPLINED U.S. CORE R6	R6	NO LOAD	REDEEM ONLY	1600	0	0
EGWAX	ALLSPRING	ALLSPRING DISCOVERY LARGE CAP GROWTH A	A	LOAD	OPEN	1600	50	1000
EGWDX	ALLSPRING	ALLSPRING DISCOVERY LARGE CAP GROWTH ADMIN	ADMINISTRATOR	NO LOAD	OPEN	1600	0	0
EGWCX	ALLSPRING	ALLSPRING DISCOVERY SMALL CAP GROWTH C	C	LOAD	OPEN	1600	250	1000
EGRYX	ALLSPRING	ALLSPRING DISCOVERY SMALL CAP GROWTH INST	INSTITUTIONAL	NO LOAD	OPEN	1600	1000000	1000000
EGWRX	ALLSPRING	ALLSPRING DISCOVERY SMALL CAP GROWTH R6	R6	NO LOAD	REDEEM ONLY	1600	0	0
EKBAX	ALLSPRING	ALLSPRING DIVERSIFIED CAPITAL BUILDER A	A	LOAD	OPEN	1600	50	1000
EKBDX	ALLSPRING	ALLSPRING DIVERSIFIED CAPITAL BUILDER ADMIN	ADMINISTRATOR	NO LOAD	OPEN	1600	0	0
EKBCX	ALLSPRING	ALLSPRING DIVERSIFIED CAPITAL BUILDER C	C	LOAD	OPEN	1600	250	1000
EKBYX	ALLSPRING	ALLSPRING DIVERSIFIED CAPITAL BUILDER INST	INSTITUTIONAL	NO LOAD	OPEN	1600	1000000	1000000
EKSAX	ALLSPRING	ALLSPRING DIVERSIFIED INCOME BUILDER A	A	LOAD	OPEN	1600	50	1000
EKSDX	ALLSPRING	ALLSPRING DIVERSIFIED INCOME BUILDER ADMIN	ADMINISTRATOR	NO LOAD	OPEN	1600	0	0
EKSCX	ALLSPRING	ALLSPRING DIVERSIFIED INCOME BUILDER C	C	LOAD	OPEN	1600	250	1000
EKSYX	ALLSPRING	ALLSPRING DIVERSIFIED INCOME BUILDER INST	INSTITUTIONAL	NO LOAD	OPEN	1600	1000000	1000000
WEMAX	ALLSPRING	ALLSPRING EMERGING GROWTH A	A	LOAD	OPEN	1600	50	1000
WFGDX	ALLSPRING	ALLSPRING EMERGING GROWTH ADMIN	ADMINISTRATOR	NO LOAD	OPEN	1600	100	100
WEMCX	ALLSPRING	ALLSPRING EMERGING GROWTH C	C	LOAD	OPEN	1600	250	1000
WEMIX	ALLSPRING	ALLSPRING EMERGING GROWTH INST	INSTITUTIONAL	NO LOAD	OPEN	1600	1000000	1000000
EMGAX	ALLSPRING	ALLSPRING EMERGING MARKETS EQUITY A	A	LOAD	OPEN	1600	50	1000
EMGYX	ALLSPRING	ALLSPRING EMERGING MARKETS EQUITY ADMIN	ADMINISTRATOR	NO LOAD	OPEN	1600	100	100
EMGCX	ALLSPRING	ALLSPRING EMERGING MARKETS EQUITY C	C	LOAD	OPEN	1600	250	1000
EQIAX	ALLSPRING	ALLSPRING EMERGING MARKETS EQUITY INCOME A	A	LOAD	OPEN	1600	50	1000
EQIDX	ALLSPRING	ALLSPRING EMERGING MARKETS EQUITY INCOME ADMIN	ADMINISTRATOR	NO LOAD	OPEN	1600	0	0
EQICX	ALLSPRING	ALLSPRING EMERGING MARKETS EQUITY INCOME C	C	LOAD	OPEN	1600	250	1000
EQIIX	ALLSPRING	ALLSPRING EMERGING MARKETS EQUITY INCOME INST	INSTITUTIONAL	NO LOAD	OPEN	1600	1000000	1000000
EMGNX	ALLSPRING	ALLSPRING EMERGING MARKETS EQUITY INST	INSTITUTIONAL	NO LOAD	OPEN	1600	1000000	1000000
EMGDY	ALLSPRING	ALLSPRING EMERGING MARKETS EQUITY R6	R6	NO LOAD	REDEEM ONLY	1600	0	0
AGAQX	ALLSPRING	ALLSPRING GLOBAL LONGSHORT EQUITY FD CL A	A	LOAD	OPEN	1600	100	100
AGAWX	ALLSPRING	ALLSPRING GLOBAL LONGSHORT EQUITY FD CL R6	R6	NO LOAD	REDEEM ONLY	1600	0	0
AGAZX	ALLSPRING	ALLSPRING GLOBAL LONGSHORT EQUITY FD INSTL CL	INSTITUTIONAL	NO LOAD	OPEN	1600	1000000	1000000
WFGXX	ALLSPRING	ALLSPRING GOVERNMENT MONEY MARKET A	A	NO LOAD	REDEEM ONLY	1600	50	1000
GVIXX	ALLSPRING	ALLSPRING GOVERNMENT MONEY MARKET INST	INSTITUTIONAL	NO LOAD	OPEN	1600	1000000	1000000
NWGXX	ALLSPRING	ALLSPRING GOVERNMENT MONEY MARKET SVC	SERVICE	NO LOAD	OPEN	1600	100000	100000

SYMBOL	FUND FAMILY	FUND DESCRIPTION	SHARE CLASS	FUND TYPE	STATUS	CUT- OFF	IRA MIN	NON-IRA MIN
SGVDX	ALLSPRING	ALLSPRING GOVERNMENT SECURITIES A	A	LOAD	OPEN	1600	50	1000
WGSDX	ALLSPRING	ALLSPRING GOVERNMENT SECURITIES ADMIN	ADMINISTRATOR	NO LOAD	OPEN	1600	100	100
WGSCX	ALLSPRING	ALLSPRING GOVERNMENT SECURITIES C	C	LOAD	OPEN	1600	250	1000
SGVIX	ALLSPRING	ALLSPRING GOVERNMENT SECURITIES INST	INSTITUTIONAL	NO LOAD	OPEN	1600	1000000	1000000
SGRAX	ALLSPRING	ALLSPRING GROWTH A	A	LOAD	OPEN	1600	50	1000
SGRKX	ALLSPRING	ALLSPRING GROWTH ADMIN	ADMINISTRATOR	NO LOAD	OPEN	1600	100	100
WGFCX	ALLSPRING	ALLSPRING GROWTH C	C	LOAD	OPEN	1600	250	1000
SGRNX	ALLSPRING	ALLSPRING GROWTH INST	INSTITUTIONAL	NO LOAD	OPEN	1600	1000000	1000000
SGRHX	ALLSPRING	ALLSPRING GROWTH R6	R6	NO LOAD	REDEEM ONLY	1600	0	0
EKHAX	ALLSPRING	ALLSPRING HIGH YIELD BOND A	A	LOAD	OPEN	1600	50	1000
EKHXY	ALLSPRING	ALLSPRING HIGH YIELD BOND ADMIN	ADMINISTRATOR	NO LOAD	OPEN	1600	100	100
EKHXC	ALLSPRING	ALLSPRING HIGH YIELD BOND C	C	LOAD	OPEN	1600	250	1000
EKHIX	ALLSPRING	ALLSPRING HIGH YIELD BOND INST	INSTITUTIONAL	NO LOAD	OPEN	1600	1000000	1000000
WHYMX	ALLSPRING	ALLSPRING HIGH YIELD MUNICIPAL BOND A	A	LOAD	OPEN	1600	0	0
WHYDX	ALLSPRING	ALLSPRING HIGH YIELD MUNICIPAL BOND ADMIN	ADMINISTRATOR	NO LOAD	OPEN	1600	0	0
WHYCX	ALLSPRING	ALLSPRING HIGH YIELD MUNICIPAL BOND C	C	LOAD	OPEN	1600	250	1000
WHYIX	ALLSPRING	ALLSPRING HIGH YIELD MUNICIPAL BOND INST	INSTITUTIONAL	NO LOAD	OPEN	1600	1000000	1000000
WSIAX	ALLSPRING	ALLSPRING INCOME PLUS A	A	LOAD	OPEN	1600	100	100
WSIDX	ALLSPRING	ALLSPRING INCOME PLUS ADMIN	ADMINISTRATOR	NO LOAD	OPEN	1600	0	0
WSINX	ALLSPRING	ALLSPRING INCOME PLUS INST	INSTITUTIONAL	NO LOAD	OPEN	1600	1000000	1000000
WFILX	ALLSPRING	ALLSPRING INDEX A	A	LOAD	OPEN	1600	50	1000
WFIOX	ALLSPRING	ALLSPRING INDEX ADMIN	ADMINISTRATOR	NO LOAD	OPEN	1600	100	100
SFAAX	ALLSPRING	ALLSPRING INDEX ASSET ALLOCATION A	A	LOAD	OPEN	1600	50	1000
WFAIX	ALLSPRING	ALLSPRING INDEX ASSET ALLOCATION ADMIN	ADMINISTRATOR	NO LOAD	OPEN	1600	100	100
WFALX	ALLSPRING	ALLSPRING INDEX ASSET ALLOCATION C	C	LOAD	OPEN	1600	250	1000
WFATX	ALLSPRING	ALLSPRING INDEX ASSET ALLOCATION INST	INSTITUTIONAL	NO LOAD	OPEN	1600	1000000	1000000
WFINX	ALLSPRING	ALLSPRING INDEX C	C	LOAD	OPEN	1600	250	1000
WFSTX	ALLSPRING	ALLSPRING INNOVATION A	A	LOAD	OPEN	1600	50	1000
WFTDX	ALLSPRING	ALLSPRING INNOVATION ADMIN	ADMINISTRATOR	NO LOAD	OPEN	1600	100	100
WFTCX	ALLSPRING	ALLSPRING INNOVATION C	C	LOAD	OPEN	1600	250	1000
WFTIX	ALLSPRING	ALLSPRING INNOVATION INST	INSTITUTIONAL	NO LOAD	OPEN	1600	1000000	1000000
WFTAX	ALLSPRING	ALLSPRING INTERMEDIATE TAX AMT FREE A	A	LOAD	OPEN	1600	50	1000
WFITX	ALLSPRING	ALLSPRING INTERMEDIATE TAX AMT FREE ADMIN	ADMINISTRATOR	NO LOAD	OPEN	1600	100	100
WFTFX	ALLSPRING	ALLSPRING INTERMEDIATE TAX AMT FREE C	C	LOAD	OPEN	1600	250	1000
WITIX	ALLSPRING	ALLSPRING INTERMEDIATE TAX AMT FREE INST	INSTITUTIONAL	NO LOAD	OPEN	1600	1000000	1000000
WFRTX	ALLSPRING	ALLSPRING INTERMEDIATE TAX AMT FREE R6	R6	NO LOAD	REDEEM ONLY	1600	100000	100000
WFEAX	ALLSPRING	ALLSPRING INTERNATIONAL EQUITY A	A	LOAD	OPEN	1600	50	1000
WFEDX	ALLSPRING	ALLSPRING INTERNATIONAL EQUITY ADMIN	ADMINISTRATOR	NO LOAD	OPEN	1600	100	100
WFEFX	ALLSPRING	ALLSPRING INTERNATIONAL EQUITY C	C	LOAD	OPEN	1600	250	1000
WFENX	ALLSPRING	ALLSPRING INTERNATIONAL EQUITY INST	INSTITUTIONAL	NO LOAD	OPEN	1600	1000000	1000000
EGOAX	ALLSPRING	ALLSPRING LARGE CAP CORE A	A	LOAD	OPEN	1600	50	1000

SYMBOL	FUND FAMILY	FUND DESCRIPTION	SHARE CLASS	FUND TYPE	STATUS	CUT- OFF	IRA MIN	NON-IRA MIN
WFLX	ALLSPRING	ALLSPRING LARGE CAP CORE ADMIN	ADMINISTRATOR	NO LOAD	OPEN	1600	0	0
EGOCX	ALLSPRING	ALLSPRING LARGE CAP CORE C	C	LOAD	OPEN	1600	250	1000
EGOIX	ALLSPRING	ALLSPRING LARGE CAP CORE INST	INSTITUTIONAL	NO LOAD	OPEN	1600	1000000	1000000
STAFX	ALLSPRING	ALLSPRING LARGE CAP GROWTH A	A	LOAD	OPEN	1600	50	1000
STDFX	ALLSPRING	ALLSPRING LARGE CAP GROWTH ADMIN	ADMINISTRATOR	NO LOAD	OPEN	1600	100	100
STOFX	ALLSPRING	ALLSPRING LARGE CAP GROWTH C	C	LOAD	OPEN	1600	250	1000
STNFX	ALLSPRING	ALLSPRING LARGE CAP GROWTH INST	INSTITUTIONAL	NO LOAD	OPEN	1600	1000000	1000000
STFFX	ALLSPRING	ALLSPRING LARGE CAP GROWTH R6	R6	NO LOAD	REDEEM ONLY	1600	0	0
CBEAX	ALLSPRING	ALLSPRING LARGE CAP VALUE A	A	LOAD	OPEN	1600	50	1000
CBLIX	ALLSPRING	ALLSPRING LARGE CAP VALUE ADMIN	ADMINISTRATOR	NO LOAD	OPEN	1600	0	0
CBECX	ALLSPRING	ALLSPRING LARGE CAP VALUE C	C	LOAD	OPEN	1600	250	1000
CBLSX	ALLSPRING	ALLSPRING LARGE CAP VALUE INST	INSTITUTIONAL	NO LOAD	OPEN	1600	1000000	1000000
CBEJX	ALLSPRING	ALLSPRING LARGE CAP VALUE R6	R6	NO LOAD	REDEEM ONLY	1600	100000	100000
WLCAX	ALLSPRING	ALLSPRING LARGE COMPANY VALUE A	A	LOAD	OPEN	1600	50	1000
WWIDX	ALLSPRING	ALLSPRING LARGE COMPANY VALUE ADMIN	ADMINISTRATOR	NO LOAD	OPEN	1600	0	0
WFLVX	ALLSPRING	ALLSPRING LARGE COMPANY VALUE C	C	LOAD	OPEN	1600	250	1000
WLCIX	ALLSPRING	ALLSPRING LARGE COMPANY VALUE INST	INSTITUTIONAL	NO LOAD	OPEN	1600	1000000	1000000
AEPIX	ALLSPRING	ALLSPRING MANAGED ACCT COREBUILDER EPI	NO LOAD	NO LOAD	REDEEM ONLY	1600	0	0
SENAX	ALLSPRING	ALLSPRING MID CAP GROWTH A	A	LOAD	OPEN	1600	50	1000
SEPKX	ALLSPRING	ALLSPRING MID CAP GROWTH ADMIN	ADMINISTRATOR	NO LOAD	OPEN	1600	0	0
WENCX	ALLSPRING	ALLSPRING MID CAP GROWTH C	C	LOAD	OPEN	1600	250	1000
WFEIX	ALLSPRING	ALLSPRING MID CAP GROWTH INST	INSTITUTIONAL	NO LOAD	OPEN	1600	1000000	1000000
NMTFX	ALLSPRING	ALLSPRING MINNESOTA TAX FREE A	A	LOAD	OPEN	1600	50	1000
NWMIX	ALLSPRING	ALLSPRING MINNESOTA TAX FREE ADMIN	ADMINISTRATOR	NO LOAD	OPEN	1600	100	100
WMTCX	ALLSPRING	ALLSPRING MINNESOTA TAX FREE C	C	LOAD	OPEN	1600	250	1000
WMTIX	ALLSPRING	ALLSPRING MINNESOTA TAX FREE INST	INSTITUTIONAL	NO LOAD	OPEN	1600	1000000	1000000
STGXX	ALLSPRING	ALLSPRING MONEY MARKET A	A	NO LOAD	OPEN	1600	50	1000
STGBB	ALLSPRING	ALLSPRING MONEY MARKET C	C	LOAD	OPEN	1600	250	1000
WMPXX	ALLSPRING	ALLSPRING MONEY MARKET PREMIER	PREMIER	NO LOAD	OPEN	1600	100	100
WMOXX	ALLSPRING	ALLSPRING MONEY MARKET SVC	SERVICE	NO LOAD	OPEN	1600	100000	100000
WMFAX	ALLSPRING	ALLSPRING MUNICIPAL BOND A	A	LOAD	OPEN	1600	50	1000
WMFDX	ALLSPRING	ALLSPRING MUNICIPAL BOND ADMIN	ADMINISTRATOR	NO LOAD	OPEN	1600	100	100
WMFCX	ALLSPRING	ALLSPRING MUNICIPAL BOND C	C	LOAD	OPEN	1600	250	1000
WMBIX	ALLSPRING	ALLSPRING MUNICIPAL BOND INST	INSTITUTIONAL	NO LOAD	OPEN	1600	1000000	1000000
WMBRX	ALLSPRING	ALLSPRING MUNICIPAL BOND R6	R6	NO LOAD	REDEEM ONLY	1600	1000000	1000000
WMSAX	ALLSPRING	ALLSPRING MUNICIPAL SUSTAINABILITY A	A	LOAD WAIVED	REDEEM ONLY	1600	0	0
WMSDX	ALLSPRING	ALLSPRING MUNICIPAL SUSTAINABILITY ADMIN	ADMINISTRATOR	NO LOAD	REDEEM ONLY	1600	0	0
WMSIX	ALLSPRING	ALLSPRING MUNICIPAL SUSTAINABILITY INST	INSTITUTIONAL	NO LOAD	REDEEM ONLY	1600	1000000	1000000
NWMXX	ALLSPRING	ALLSPRING NATIONAL TAX FREE MONEY MARKET A	A	NO LOAD	OPEN	1600	50	1000
WNTXX	ALLSPRING	ALLSPRING NATIONAL TAX FREE MONEY MARKET ADMIN	ADMINISTRATOR	NO LOAD	OPEN	1600	100	100
WFNXX	ALLSPRING	ALLSPRING NATIONAL TAXFREE MMKT PREM	PREMIER	NO LOAD	OPEN	1600	1000000	1000000

SYMBOL	FUND FAMILY	FUND DESCRIPTION	SHARE CLASS	FUND TYPE	STATUS	CUT- OFF	IRA MIN	NON-IRA MIN
MMIXX	ALLSPRING	ALLSPRING NATIONAL TAXFREE MMKT SVC	SERVICE	NO LOAD	OPEN	1600	100000	100000
SOPVX	ALLSPRING	ALLSPRING OPPORTUNITY A	A	LOAD	OPEN	1600	50	1000
WOFDX	ALLSPRING	ALLSPRING OPPORTUNITY ADMIN	ADMINISTRATOR	NO LOAD	OPEN	1600	100	100
WFOPX	ALLSPRING	ALLSPRING OPPORTUNITY C	C	LOAD	OPEN	1600	250	1000
WOFNX	ALLSPRING	ALLSPRING OPPORTUNITY INST	INSTITUTIONAL	NO LOAD	OPEN	1600	1000000	1000000
EKVAX	ALLSPRING	ALLSPRING PENNSYLVANIA TAX FREE A	A	LOAD	SUBS ONLY	1600	0	0
EKVCX	ALLSPRING	ALLSPRING PENNSYLVANIA TAX FREE C	C	LOAD	OPEN	1600	250	1000
EKVYX	ALLSPRING	ALLSPRING PENNSYLVANIA TAX FREE INST	INSTITUTIONAL	NO LOAD	OPEN	1600	1000000	1000000
EKWAX	ALLSPRING	ALLSPRING PRECIOUS METALS A	A	LOAD	OPEN	1600	50	1000
EKWDX	ALLSPRING	ALLSPRING PRECIOUS METALS ADMIN	ADMINISTRATOR	NO LOAD	OPEN	1600	100	100
EKWCX	ALLSPRING	ALLSPRING PRECIOUS METALS C	C	LOAD	OPEN	1600	250	1000
EKWYX	ALLSPRING	ALLSPRING PRECIOUS METALS INST	INSTITUTIONAL	NO LOAD	OPEN	1600	1000000	1000000
EKJAX	ALLSPRING	ALLSPRING PREMIER LARGE COMPANY GROWTH A	A	LOAD	OPEN	1600	50	1000
WFPDX	ALLSPRING	ALLSPRING PREMIER LARGE COMPANY GROWTH ADMIN	ADMINISTRATOR	NO LOAD	OPEN	1600	0	0
EKJCX	ALLSPRING	ALLSPRING PREMIER LARGE COMPANY GROWTH C	C	LOAD	OPEN	1600	250	1000
EKJYX	ALLSPRING	ALLSPRING PREMIER LARGE COMPANY GROWTH INST	INSTITUTIONAL	NO LOAD	OPEN	1600	1000000	1000000
EKJFX	ALLSPRING	ALLSPRING PREMIER LARGE COMPANY GROWTH R6	R6	NO LOAD	REDEEM ONLY	1600	0	0
IPBAX	ALLSPRING	ALLSPRING REAL RETURN A	A	LOAD	OPEN	1600	50	1000
IPBIX	ALLSPRING	ALLSPRING REAL RETURN ADMIN	ADMINISTRATOR	NO LOAD	OPEN	1600	0	0
IPBCX	ALLSPRING	ALLSPRING REAL RETURN C	C	LOAD	OPEN	1600	250	1000
IPBNX	ALLSPRING	ALLSPRING REAL RETURN INST	INSTITUTIONAL	NO LOAD	OPEN	1600	1000000	1000000
IPBJX	ALLSPRING	ALLSPRING REAL RETURN R6	R6	NO LOAD	REDEEM ONLY	1600	0	0
MSDAX	ALLSPRING	ALLSPRING SHORT DURATION GOVERNMENT BOND A	A	LOAD	OPEN	1600	50	1000
MNSGX	ALLSPRING	ALLSPRING SHORT DURATION GOVERNMENT BOND ADMIN	ADMINISTRATOR	NO LOAD	OPEN	1600	100	100
MSDCX	ALLSPRING	ALLSPRING SHORT DURATION GOVERNMENT BOND C	C	LOAD	OPEN	1600	250	1000
WSGIX	ALLSPRING	ALLSPRING SHORT DURATION GOVERNMENT BOND INST	INSTITUTIONAL	NO LOAD	OPEN	1600	1000000	1000000
MSDRX	ALLSPRING	ALLSPRING SHORT DURATION GOVERNMENT BOND R6	R6	NO LOAD	REDEEM ONLY	1600	0	0
SSTVX	ALLSPRING	ALLSPRING SHORT TERM BOND PLUS A	A	LOAD	OPEN	1600	50	1000
WFSHX	ALLSPRING	ALLSPRING SHORT TERM BOND PLUS C	C	LOAD	OPEN	1600	250	1000
SSHIX	ALLSPRING	ALLSPRING SHORT TERM BOND PLUS INST	INSTITUTIONAL	NO LOAD	OPEN	1600	1000000	1000000
SSTHX	ALLSPRING	ALLSPRING SHORT TERM HIGH INCOME A	A	LOAD	OPEN	1600	50	1000
WDHYX	ALLSPRING	ALLSPRING SHORT TERM HIGH INCOME ADMIN	ADMINISTRATOR	NO LOAD	OPEN	1600	100	100
WFHYX	ALLSPRING	ALLSPRING SHORT TERM HIGH INCOME C	C	LOAD	OPEN	1600	250	1000
STYIX	ALLSPRING	ALLSPRING SHORT TERM HIGH INCOME INST	INSTITUTIONAL	NO LOAD	OPEN	1600	1000000	1000000
WSMAX	ALLSPRING	ALLSPRING SHORT TERM MUNICIPAL BOND A	A	LOAD	OPEN	1600	50	1000
WSTMX	ALLSPRING	ALLSPRING SHORT TERM MUNICIPAL BOND ADMIN	ADMINISTRATOR	NO LOAD	OPEN	1600	0	0
WSSCX	ALLSPRING	ALLSPRING SHORT TERM MUNICIPAL BOND C	C	LOAD	OPEN	1600	250	1000
WSBIX	ALLSPRING	ALLSPRING SHORT TERM MUNICIPAL BOND INST	INSTITUTIONAL	NO LOAD	OPEN	1600	1000000	1000000
WSSRX	ALLSPRING	ALLSPRING SHORT TERM MUNICIPAL BOND R6	R6	NO LOAD	REDEEM ONLY	1600	100000	100000
SSTYX	ALLSPRING	ALLSPRING SHORTTERM BD PL R6	R6	NO LOAD	REDEEM ONLY	1600	100000	100000
WFSAX	ALLSPRING	ALLSPRING SMALL COMPANY GROWTH A	A	LOAD	OPEN	1600	50	1000

SYMBOL	FUND FAMILY	FUND DESCRIPTION	SHARE CLASS	FUND TYPE	STATUS	CUT- OFF	IRA MIN	NON-IRA MIN
NVSCX	ALLSPRING	ALLSPRING SMALL COMPANY GROWTH ADMIN	ADMINISTRATOR	NO LOAD	OPEN	1600	100	100
WSMCX	ALLSPRING	ALLSPRING SMALL COMPANY GROWTH C	C	LOAD	OPEN	1600	250	1000
WSCGX	ALLSPRING	ALLSPRING SMALL COMPANY GROWTH INST	INSTITUTIONAL	NO LOAD	OPEN	1600	1000000	1000000
WSCRX	ALLSPRING	ALLSPRING SMALL COMPANY GROWTH R6	R6	NO LOAD	REDEEM ONLY	1600	0	0
SCVAX	ALLSPRING	ALLSPRING SMALL COMPANY VALUE A	A	LOAD	OPEN	1600	50	1000
SCVIX	ALLSPRING	ALLSPRING SMALL COMPANY VALUE ADMIN	ADMINISTRATOR	NO LOAD	OPEN	1600	100	100
SCVFX	ALLSPRING	ALLSPRING SMALL COMPANY VALUE C	C	LOAD	OPEN	1600	250	1000
SCVNX	ALLSPRING	ALLSPRING SMALL COMPANY VALUE INST	INSTITUTIONAL	NO LOAD	OPEN	1600	1000000	1000000
WFDAX	ALLSPRING	ALLSPRING SMID CAP GROWTH A	A	LOAD	OPEN	1600	50	1000
WFDDX	ALLSPRING	ALLSPRING SMID CAP GROWTH ADMIN	ADMINISTRATOR	NO LOAD	OPEN	1600	0	0
WDSCX	ALLSPRING	ALLSPRING SMID CAP GROWTH C	C	LOAD	OPEN	1600	250	1000
WFDSX	ALLSPRING	ALLSPRING SMID CAP GROWTH INST	INSTITUTIONAL	NO LOAD	OPEN	1600	1000000	1000000
WFDRX	ALLSPRING	ALLSPRING SMID CAP GROWTH R6	R6	NO LOAD	REDEEM ONLY	1600	0	0
EKGAX	ALLSPRING	ALLSPRING SPECIAL GLOBAL SMALL CAP A	A	LOAD	OPEN	1600	50	1000
EKGYX	ALLSPRING	ALLSPRING SPECIAL GLOBAL SMALL CAP ADMIN	ADMINISTRATOR	NO LOAD	OPEN	1600	100	100
EKGCX	ALLSPRING	ALLSPRING SPECIAL GLOBAL SMALL CAP C	C	LOAD	OPEN	1600	250	1000
EKGIX	ALLSPRING	ALLSPRING SPECIAL GLOBAL SMALL CAP INST	INSTITUTIONAL	NO LOAD	OPEN	1600	1000000	1000000
WICIX	ALLSPRING	ALLSPRING SPECIAL INTERNATIONAL SMALL CAP INST	INSTITUTIONAL	NO LOAD	OPEN	1600	1000000	1000000
WICRX	ALLSPRING	ALLSPRING SPECIAL INTL SM CP R6	R6	NO LOAD	REDEEM ONLY	1600	0	0
EIVAX	ALLSPRING	ALLSPRING SPECIAL LARGE CAP VALUE A	A	LOAD	OPEN	1600	50	1000
EIVDX	ALLSPRING	ALLSPRING SPECIAL LARGE CAP VALUE ADMIN	ADMINISTRATOR	NO LOAD	OPEN	1600	100	100
EIVCX	ALLSPRING	ALLSPRING SPECIAL LARGE CAP VALUE C	C	LOAD	OPEN	1600	250	1000
EIVIX	ALLSPRING	ALLSPRING SPECIAL LARGE CAP VALUE INST	INSTITUTIONAL	NO LOAD	OPEN	1600	1000000	1000000
WFPAX	ALLSPRING	ALLSPRING SPECIAL MID CAP VALUE A	A	LOAD	OPEN	1600	50	1000
WFMDX	ALLSPRING	ALLSPRING SPECIAL MID CAP VALUE ADMIN	ADMINISTRATOR	NO LOAD	OPEN	1600	100	100
WFPCX	ALLSPRING	ALLSPRING SPECIAL MID CAP VALUE C	C	LOAD	OPEN	1600	250	1000
WFMIX	ALLSPRING	ALLSPRING SPECIAL MID CAP VALUE INST	INSTITUTIONAL	NO LOAD	OPEN	1600	1000000	1000000
WFPRX	ALLSPRING	ALLSPRING SPECIAL MID CAP VALUE R6	R6	NO LOAD	REDEEM ONLY	1600	0	0
ESPAX	ALLSPRING	ALLSPRING SPECIAL SMALL CAP VALUE A	A	LOAD	SUBS ONLY	1600	50	1000
ESPIX	ALLSPRING	ALLSPRING SPECIAL SMALL CAP VALUE ADMIN	ADMINISTRATOR	NO LOAD	SUBS ONLY	1600	100	100
ESPCX	ALLSPRING	ALLSPRING SPECIAL SMALL CAP VALUE C	C	LOAD	SUBS ONLY	1600	250	1000
ESPNX	ALLSPRING	ALLSPRING SPECIAL SMALL CAP VALUE INST	INSTITUTIONAL	NO LOAD	SUBS ONLY	1600	1000000	1000000
ESPRX	ALLSPRING	ALLSPRING SPECIAL SMALL CAP VALUE R6	R6	NO LOAD	REDEEM ONLY	1600	0	0
WEADX	ALLSPRING	ALLSPRING SPECTRUM AGGRESSIVE GR ADMIN	ADMINISTRATOR	NO LOAD	OPEN	1600	100	100
WEAFX	ALLSPRING	ALLSPRING SPECTRUM AGGRESSIVE GROWTH A	A	LOAD	OPEN	1600	0	0
WEACX	ALLSPRING	ALLSPRING SPECTRUM AGGRESSIVE GROWTH C	C	LOAD	OPEN	1600	100	1000
WEAYX	ALLSPRING	ALLSPRING SPECTRUM AGGRESSIVE GROWTH INST	INSTITUTIONAL	NO LOAD	OPEN	1600	1000000	1000000
WMBGX	ALLSPRING	ALLSPRING SPECTRUM CONSERVATIVE GROWTH A	A	LOAD	OPEN	1600	50	1000
WMBFX	ALLSPRING	ALLSPRING SPECTRUM CONSERVATIVE GROWTH C	C	LOAD	OPEN	1600	100	1000
WMBZX	ALLSPRING	ALLSPRING SPECTRUM CONSERVATIVE GROWTH INST	INSTITUTIONAL	NO LOAD	OPEN	1600	1000000	1000000
WGAFX	ALLSPRING	ALLSPRING SPECTRUM GROWTH A	A	LOAD	OPEN	1600	50	1000

SYMBOL	FUND FAMILY	FUND DESCRIPTION	SHARE CLASS	FUND TYPE	STATUS	CUT- OFF	IRA MIN	NON-IRA MIN
WGCFX	ALLSPRING	ALLSPRING SPECTRUM GROWTH C	C	LOAD	OPEN	1600	100	1000
WGAYX	ALLSPRING	ALLSPRING SPECTRUM GROWTH INST	INSTITUTIONAL	NO LOAD	OPEN	1600	1000000	1000000
WCAFX	ALLSPRING	ALLSPRING SPECTRUM INCOME ALLOCATION A	A	LOAD	OPEN	1600	0	0
WCCFX	ALLSPRING	ALLSPRING SPECTRUM INCOME ALLOCATION C	C	LOAD	OPEN	1600	100	1000
WCYFX	ALLSPRING	ALLSPRING SPECTRUM INCOME ALLOCATION INST	INSTITUTIONAL	NO LOAD	OPEN	1600	1000000	1000000
WGBAX	ALLSPRING	ALLSPRING SPECTRUM MODERATE GROWTH A	A	LOAD	OPEN	1600	50	1000
WGBFX	ALLSPRING	ALLSPRING SPECTRUM MODERATE GROWTH C	C	LOAD	OPEN	1600	100	1000
WGBIX	ALLSPRING	ALLSPRING SPECTRUM MODERATE GROWTH INST	INSTITUTIONAL	NO LOAD	OPEN	1600	1000000	1000000
VMPAX	ALLSPRING	ALLSPRING STRATEGIC MUNICIPAL BOND A	A	LOAD	OPEN	1600	50	1000
VMPYX	ALLSPRING	ALLSPRING STRATEGIC MUNICIPAL BOND ADMIN	ADMINISTRATOR	NO LOAD	OPEN	1600	100	100
DHICX	ALLSPRING	ALLSPRING STRATEGIC MUNICIPAL BOND C	C	LOAD	OPEN	1600	250	1000
STRIX	ALLSPRING	ALLSPRING STRATEGIC MUNICIPAL BOND INST	INSTITUTIONAL	NO LOAD	OPEN	1600	1000000	1000000
WTPXX	ALLSPRING	ALLSPRING TREASURY PLUS MMKT ADM	ADMINISTRATOR	NO LOAD	OPEN	1600	1000000	1000000
PIVXX	ALLSPRING	ALLSPRING TREASURY PLUS MONEY MARKET A	A	NO LOAD	OPEN	1600	50	1000
PISXX	ALLSPRING	ALLSPRING TREASURY PLUS MONEY MARKET INST	INSTITUTIONAL	NO LOAD	OPEN	1600	1000000	1000000
SADAX	ALLSPRING	ALLSPRING ULTRA SHORT TERM INCOME A	A	LOAD	OPEN	1600	50	1000
WUSNX	ALLSPRING	ALLSPRING ULTRA SHORT TERM INCOME A2	A2	NO LOAD	OPEN	1600	250	1000
WUSDY	ALLSPRING	ALLSPRING ULTRA SHORT TERM INCOME ADMIN	ADMINISTRATOR	NO LOAD	OPEN	1600	100	100
WUSTX	ALLSPRING	ALLSPRING ULTRA SHORT TERM INCOME C	C	LOAD	OPEN	1600	250	1000
SADIX	ALLSPRING	ALLSPRING ULTRA SHORT TERM INCOME INST	INSTITUTIONAL	NO LOAD	OPEN	1600	1000000	1000000
SMAVX	ALLSPRING	ALLSPRING ULTRA SHORT TERM MUNICIPAL INCOME A	A	LOAD	OPEN	1600	50	1000
WUSMX	ALLSPRING	ALLSPRING ULTRA SHORT TERM MUNICIPAL INCOME ADMIN	ADMINISTRATOR	NO LOAD	OPEN	1600	0	0
WFUSX	ALLSPRING	ALLSPRING ULTRA SHORT TERM MUNICIPAL INCOME C	C	LOAD	OPEN	1600	250	1000
SMAIX	ALLSPRING	ALLSPRING ULTRA SHORT TERM MUNICIPAL INCOME INST	INSTITUTIONAL	NO LOAD	OPEN	1600	1000000	1000000
WUSRX	ALLSPRING	ALLSPRING ULTRA SHORT TERM MUNICIPAL INCOME R6	R6	NO LOAD	REDEEM ONLY	1600	0	0
WFUNX	ALLSPRING	ALLSPRING ULTRA SHORTTERM MUNI INC A2	A2	NO LOAD	OPEN	1600	250	1000
EVUAX	ALLSPRING	ALLSPRING UTILITY AND TELECOMMUNICATIONS A	A	LOAD	OPEN	1600	50	1000
EVUDX	ALLSPRING	ALLSPRING UTILITY AND TELECOMMUNICATIONS ADMIN	ADMINISTRATOR	NO LOAD	OPEN	1600	100	100
EVUCX	ALLSPRING	ALLSPRING UTILITY AND TELECOMMUNICATIONS C	C	LOAD	OPEN	1600	250	1000
EVUYX	ALLSPRING	ALLSPRING UTILITY AND TELECOMMUNICATIONS INST	INSTITUTIONAL	NO LOAD	OPEN	1600	1000000	1000000
WWTFX	ALLSPRING	ALLSPRING WISCONSIN TAX FREE A	A	LOAD	OPEN	1600	50	1000
WWTCX	ALLSPRING	ALLSPRING WISCONSIN TAX FREE C	C	LOAD	OPEN	1600	250	1000
WWTIX	ALLSPRING	ALLSPRING WISCONSIN TAX FREE INST	INSTITUTIONAL	NO LOAD	OPEN	1600	1000000	1000000
IOFAX	ALPHACENTRIC FUNDS	ALPHACENTRIC INCM OPPTY FD A	A	LOAD	OPEN	1600	2500	2500
IOFCX	ALPHACENTRIC FUNDS	ALPHACENTRIC INCM OPPTY FD C	C	LOAD	OPEN	1600	2500	2500
IOFIX	ALPHACENTRIC FUNDS	ALPHACENTRIC INCM OPPTY FD I	INSTITUTIONAL	NO LOAD	OPEN	1600	2500	2500
LYFAX	ALPHACENTRIC FUNDS	ALPHACENTRIC LIFESCI HEALTHCARE A	A	LOAD	OPEN	1600	0	0
LYFCX	ALPHACENTRIC FUNDS	ALPHACENTRIC LIFESCI HEALTHCARE C	C	LOAD	OPEN	1600	0	0
LYFIX	ALPHACENTRIC FUNDS	ALPHACENTRIC LIFESCI HEALTHCARE INST	INSTITUTIONAL	NO LOAD	OPEN	1600	0	0
HMXCX	ALPHACENTRIC FUNDS	ALPHACENTRIC PREMIUM OPPORTUNITY C	C	LOAD	OPEN	1600	0	0
HMXAX	ALPHACENTRIC FUNDS	ALPHACENTRIC PREMIUM OPPTY FD CL A	A	LOAD	OPEN	1600	0	0

SYMBOL	FUND FAMILY	FUND DESCRIPTION	SHARE CLASS	FUND TYPE	STATUS	CUT- OFF	IRA MIN	NON-IRA MIN
HMXIX	ALPHACENTRIC FUNDS	ALPHACENTRIC PREMIUM OPPTY FD CL I	INSTITUTIONAL	NO LOAD	OPEN	1600	0	0
GNXCX	ALPHACENTRIC FUNDS	ALPHACENTRIC ROBOTICS AND AUTOMATION C	C	LOAD	OPEN	1600	0	0
GNXAX	ALPHACENTRIC FUNDS	ALPHACENTRIC ROBOTICS AND AUTOMATION FD CL A	A	LOAD	OPEN	1600	0	0
GNXIX	ALPHACENTRIC FUNDS	ALPHACENTRIC ROBOTICS AND AUTOMATION FD INST	INSTITUTIONAL	NO LOAD	OPEN	1600	2500	2500
SIIX	ALPHACENTRIC FUNDS	ALPHACENTRIC STRAT REAL ESTATE INC A	A	LOAD	OPEN	1600	100	100
SIIX	ALPHACENTRIC FUNDS	ALPHACENTRIC STRAT REAL ESTATE INC I	I	NO LOAD	OPEN	1600	2500	2500
SIICX	ALPHACENTRIC FUNDS	ALPHACENTRIC STRATEGIC INCOME C	C	LOAD	OPEN	1600	0	0
SYMIX	ALPHACENTRIC FUNDS	ALPHACENTRIC SYMMETRY STRAT FD I	INSTITUTIONAL	NO LOAD	OPEN	1600	2500	2500
SYMAX	ALPHACENTRIC FUNDS	ALPHACENTRIC SYMMETRY STRATEGY A	A	LOAD	OPEN	1600	0	0
ALPBX	ALPS FUNDS	ALPS BALANCED OPPORTUNITY FUND I	I	NO LOAD	OPEN	1600	100000	100000
ALIBX	ALPS FUNDS	ALPS BALANCED OPPORTUNITY FUND INVESTOR	INVESTOR	NO LOAD	OPEN	1600	100	100
JCRCX	ALPS FUNDS	ALPS CORE CMDY MGMT CLASS C	C	LOAD	OPEN	1600	500	2500
JCCSX	ALPS FUNDS	ALPS CORE CMDY MGMT COMPLETE CMDY STRAT A	A	LOAD	OPEN	1600	500	2500
JCRIX	ALPS FUNDS	ALPS CORE CMDY MGMT COMPLETE CMDY STRAT I	I	NO LOAD	OPEN	1600	0	0
JCRAX	ALPS FUNDS	ALPS CORE CMDY MGMT COMPLETE CMDY STRAT INV	INVESTOR	NO LOAD	OPEN	1600	500	2500
LPFAX	ALPS FUNDS	ALPS GLOBAL OPPORTUNITY A	A	LOAD	OPEN	1600	500	2500
LPFCX	ALPS FUNDS	ALPS GLOBAL OPPORTUNITY C	C	LOAD	OPEN	1600	500	2500
LPEIX	ALPS FUNDS	ALPS GLOBAL OPPORTUNITY I	I	NO LOAD	OPEN	1600	0	0
LPEFX	ALPS FUNDS	ALPS GLOBAL OPPORTUNITY INV	INVESTOR	NO LOAD	OPEN	1600	100	100
LPERX	ALPS FUNDS	ALPS GLOBAL OPPORTUNITY R	R	NO LOAD	REDEEM ONLY	1600	0	0
INDAX	ALPS FUNDS	ALPS KOTAK INDIA GWTH FD CL INV	INVESTOR	NO LOAD	OPEN	1600	100	100
SMCRX	ALPS FUNDS	ALPS SMITH CREDIT OPPORTUNITIES FUND	I	NO LOAD	OPEN	1600	100000	100000
SMCVX	ALPS FUNDS	ALPS SMITH CREDIT OPPORTUNITIES FUND	INVESTOR	NO LOAD	OPEN	1600	100	100
SMDSX	ALPS FUNDS	ALPS SMITH SHORT DURATION BD INST	I	NO LOAD	OPEN	1600	0	0
SMRSX	ALPS FUNDS	ALPS SMITH SHORT DURATION BD INV	INVESTOR	NO LOAD	OPEN	1600	100	100
SMTHX	ALPS FUNDS	ALPS SMITH TOTAL RETURN BOND INST	I	NO LOAD	OPEN	1600	0	0
SMTRX	ALPS FUNDS	ALPS SMITH TOTAL RETURN BOND INV	INVESTOR	NO LOAD	OPEN	1600	100	100
INAAX	ALPS FUNDS	ALPSKOTAK INDIA GROWTH A	A	LOAD	OPEN	1600	500	2500
INFCX	ALPS FUNDS	ALPSKOTAK INDIA GROWTH C	C	LOAD	OPEN	1600	500	2500
SMASX	ALPS FUNDS	ALPSSMITH SHORT DURATION BOND A	A	LOAD	OPEN	1600	100	100
SMCMX	ALPS FUNDS	ALPSSMITH SHORT DURATION BOND C	C	LOAD	OPEN	1600	500	500
SMAMX	ALPS FUNDS	ALPSSMITH TOTAL RETURN BOND A	A	LOAD	OPEN	1600	500	2500
SMCHX	ALPS FUNDS	ALPSSMITH TOTAL RETURN BOND C	C	LOAD	OPEN	1600	500	2500
INDIX	ALPS FUNDS	KOTAK INDIA GROWTH FD CL I	I	NO LOAD	OPEN	1600	0	0
RAGIX	ALPS FUNDS	RIVERFRONT ASSET ALLOC GWTH & INCM A	A	LOAD	OPEN	1600	500	2500
RLGCX	ALPS FUNDS	RIVERFRONT ASSET ALLOC GWTH AND INCM CL C	C	LOAD	OPEN	1600	2500	2500
RLIIX	ALPS FUNDS	RIVERFRONT ASSET ALLOC GWTH AND INCM CL I	I	NO LOAD	OPEN	1600	0	0
RLGAX	ALPS FUNDS	RIVERFRONT ASSET ALLOC GWTH INC INV CL	A	NO LOAD	OPEN	1600	100	100
AQLGX	ALTA FUNDS	ALTA QUALITY GROWTH FD INST	INSTITUTIONAL	NO LOAD	OPEN	1600	10000	10000
RAAAX	ALTEGRIS FUNDS	ALTEGRIS AACA OPPRTUNSTC REAL ESTATE A	A	LOAD	OPEN	1600	2500	2500
RAAIX	ALTEGRIS FUNDS	ALTEGRIS AACA OPPRTUNSTC REAL ESTATE I	INSTITUTIONAL	NO LOAD	OPEN	1600	1000000	1000000

SYMBOL	FUND FAMILY	FUND DESCRIPTION	SHARE CLASS	FUND TYPE	STATUS	CUT- OFF	IRA MIN	NON-IRA MIN
CMSIX	ALTEGRIS FUNDS	ALTEGRIS CRABEL MULTISTRATEGY I	I	NO LOAD	REDEEM ONLY	1600	100000	100000
EVOAX	ALTEGRIS FUNDS	ALTEGRIS FUTURES EVOLUTION STRAT A	A	LOAD	OPEN	1600	2500	2500
EVOCX	ALTEGRIS FUNDS	ALTEGRIS FUTURES EVOLUTION STRAT C	C	LOAD	OPEN	1600	5000	5000
EVOIX	ALTEGRIS FUNDS	ALTEGRIS FUTURES EVOLUTION STRAT I	INSTITUTIONAL	NO LOAD	OPEN	1600	1000000	1000000
RCIAX	ALTERNATIVE CREDIT INCOME	ALTERNATIVE CREDIT INCOME A	A	LOAD	OPEN	1600	1000	2500
RCICX	ALTERNATIVE CREDIT INCOME	ALTERNATIVE CREDIT INCOME C	C	LOAD	OPEN	1600	1000	2500
RCIWX	ALTERNATIVE CREDIT INCOME	ALTERNATIVE CREDIT INCOME FUND CL W SHS	W	NO LOAD	OPEN	1600	0	0
RCIIX	ALTERNATIVE CREDIT INCOME	ALTERNATIVE CREDIT INCOME I	I	NO LOAD	OPEN	1600	1000	2500
LTAFX	ALTERNATIVE STRATEGIES FUNDS	ALTERNATIVE STRATEGIES INCOME A	A	LOAD WAIVED	OPEN	1600	100	100
AMIDX	AMANA FUNDS	AMANA DEVELOPING WORLD FD INST	INSTITUTIONAL	NO LOAD	OPEN	1600	100000	100000
AMDWX	AMANA FUNDS	AMANA DEVELOPING WORLD FD INV	INVESTOR	NO LOAD	OPEN	1600	100	100
AMIGX	AMANA FUNDS	AMANA GROWTH FUND INST	INSTITUTIONAL	NO LOAD	OPEN	1600	100000	100000
AMAGX	AMANA FUNDS	AMANA GROWTH FUND INV	INVESTOR	NO LOAD	OPEN	1600	100	100
AMINX	AMANA FUNDS	AMANA INCOME FUND INST	INSTITUTIONAL	NO LOAD	OPEN	1600	100000	100000
AMANX	AMANA FUNDS	AMANA INCOME FUND INV	INVESTOR	NO LOAD	OPEN	1600	100	100
AMIPX	AMANA FUNDS	AMANA PARTICIPATION FD INST	INSTITUTIONAL	NO LOAD	OPEN	1600	100000	100000
AMAPX	AMANA FUNDS	AMANA PARTICIPATION FD INV	INVESTOR	NO LOAD	OPEN	1600	100	100
TTRBX	AMBRUS FUNDS	AMBRUS CORE BOND INSTITUTIONAL	INSTITUTIONAL	NO LOAD	REDEEM ONLY	1600	0	0
TCCBX	AMBRUS FUNDS	AMBRUS TAXCONSCIOUS CA BD INST	INSTITUTIONAL	NO LOAD	REDEEM ONLY	1600	0	0
TCNBX	AMBRUS FUNDS	AMBRUS TAXCONSCIOUS NATL BD INST	INSTITUTIONAL	NO LOAD	REDEEM ONLY	1600	0	0
SBQAX	AMERICA FIRST	AMERICAFIRST LARGE CAP SHR BUYBACK A	A	NO LOAD	REDEEM ONLY	1600	0	0
SBQIX	AMERICA FIRST	AMERICAFIRST LARGE CAP SHR BUYBACK I	INSTITUTIONAL	NO LOAD	REDEEM ONLY	1600	0	0
AGUAX	AMERICAN BEACON	AB DEVELOPING WORLD INC A	A	LOAD	OPEN	1600	2500	2500
AGECX	AMERICAN BEACON	AB DEVELOPING WORLD INC C	C	LOAD	OPEN	1600	1000	1000
AGEPX	AMERICAN BEACON	AB DEVELOPING WORLD INC INV	INVESTOR	NO LOAD	OPEN	1600	0	0
AGEIX	AMERICAN BEACON	AB DEVELOPING WORLD INC R5	R5	NO LOAD	SUBS ONLY	1600	250000	250000
AGEYX	AMERICAN BEACON	AB DEVELOPING WORLD INC Y	Y	NO LOAD	OPEN	1600	100000	100000
AHLAX	AMERICAN BEACON	AMERICAN BEACON AHL MGD FUTS STRAT A	A	LOAD	OPEN	1600	2500	2500
AHLCX	AMERICAN BEACON	AMERICAN BEACON AHL MGD FUTS STRAT C	C	LOAD	OPEN	1600	1000	1000
AHLIX	AMERICAN BEACON	AMERICAN BEACON AHL MGD FUTURES STRAT FD CL R5	R5	NO LOAD	SUBS ONLY	1600	250000	250000
AHLPX	AMERICAN BEACON	AMERICAN BEACON AHL MNGD FUTURES STRAT INV	INVESTOR	NO LOAD	OPEN	1600	0	0
AHLYX	AMERICAN BEACON	AMERICAN BEACON AHL MNGD FUTURES STRAT Y	Y	NO LOAD	OPEN	1600	100000	100000
AHMYX	AMERICAN BEACON	AMERICAN BEACON AHL MULTIALTERNATIVES Y	Y	NO LOAD	OPEN	1600	0	0
AHMRX	AMERICAN BEACON	AMERICAN BEACON AHL MULTIALTERNATIVESR6	R6	NO LOAD	REDEEM ONLY	1600	0	0
AHTAX	AMERICAN BEACON	AMERICAN BEACON AHL TARGET RISK A	A	LOAD	OPEN	1600	2500	2500
AHACX	AMERICAN BEACON	AMERICAN BEACON AHL TARGET RISK C	C	LOAD	OPEN	1600	1000	1000
AHTPX	AMERICAN BEACON	AMERICAN BEACON AHL TARGET RISK INV	INVESTOR	NO LOAD	OPEN	1600	100	100
AHTIX	AMERICAN BEACON	AMERICAN BEACON AHL TARGETRISK FD CL R5	R5	NO LOAD	SUBS ONLY	1600	250000	250000
AHTYX	AMERICAN BEACON	AMERICAN BEACON AHL TARGETRISK Y	Y	NO LOAD	OPEN	1600	100000	100000
ADNRX	AMERICAN BEACON	AMERICAN BEACON ARK TRANSFMT INNOV R6	R6	NO LOAD	REDEEM ONLY	1600	0	0
ADNAX	AMERICAN BEACON	AMERICAN BEACON ARK TRANSFORMATIONAL INNOV A	A	LOAD	OPEN	1600	2500	2500

SYMBOL	FUND FAMILY	FUND DESCRIPTION	SHARE CLASS	FUND TYPE	STATUS	CUT- OFF	IRA MIN	NON-IRA MIN
ADNCX	AMERICAN BEACON	AMERICAN BEACON ARK TRANSFORMATIONAL INNOV C	C	LOAD	OPEN	1600	1000	1000
ADNIX	AMERICAN BEACON	AMERICAN BEACON ARK TRANSFORMATIONAL INNOV FD CL	R5	NO LOAD	SUBS ONLY	1600	0	0
ADNPX	AMERICAN BEACON	AMERICAN BEACON ARK TRANSFORMATIONAL INNOV INV	INVESTOR	NO LOAD	OPEN	1600	0	0
ADNYX	AMERICAN BEACON	AMERICAN BEACON ARK TRANSFORMATIONAL INNOV Y	Y	NO LOAD	OPEN	1600	100000	100000
ABLSX	AMERICAN BEACON	AMERICAN BEACON BALANCED ADV	ADVISOR	NO LOAD	OPEN	1600	100	100
ABFAX	AMERICAN BEACON	AMERICAN BEACON BALANCED CL A	A	LOAD	OPEN	1600	2500	2500
ABCCX	AMERICAN BEACON	AMERICAN BEACON BALANCED FD C	C	LOAD	OPEN	1600	1000	1000
AADBX	AMERICAN BEACON	AMERICAN BEACON BALANCED FD CL R5	R5	NO LOAD	SUBS ONLY	1600	250000	250000
AABPX	AMERICAN BEACON	AMERICAN BEACON BALANCED FD INV CL	INVESTOR	NO LOAD	OPEN	1600	0	0
ACBYX	AMERICAN BEACON	AMERICAN BEACON BALANCED FD Y	Y	NO LOAD	OPEN	1600	100000	100000
BLYAX	AMERICAN BEACON	AMERICAN BEACON BRIDGEWAY LG CAP GWTH A	A	LOAD	OPEN	1600	2500	2500
BRLGX	AMERICAN BEACON	AMERICAN BEACON BRIDGEWAY LG CAP GWTH FD CL R5	R5	NO LOAD	SUBS ONLY	1600	250000	250000
BLYPX	AMERICAN BEACON	AMERICAN BEACON BRIDGEWAY LG CAP GWTH INV	INVESTOR	NO LOAD	OPEN	1600	0	0
BLYXX	AMERICAN BEACON	AMERICAN BEACON BRIDGEWAY LG CAP GWTH Y	Y	NO LOAD	OPEN	1600	100000	100000
BRLVX	AMERICAN BEACON	AMERICAN BEACON BRIDGEWAY LG CAP VAL FD CL R5	R5	NO LOAD	SUBS ONLY	1600	250000	250000
BWLAX	AMERICAN BEACON	AMERICAN BEACON BRIDGEWAY LG CAP VALUE A	A	LOAD	OPEN	1600	2500	2500
BWLCX	AMERICAN BEACON	AMERICAN BEACON BRIDGEWAY LG CAP VALUE C	C	LOAD	OPEN	1600	1000	1000
BWLIX	AMERICAN BEACON	AMERICAN BEACON BRIDGEWAY LG CAP VALUE INV	INVESTOR	NO LOAD	OPEN	1600	0	0
BWLRX	AMERICAN BEACON	AMERICAN BEACON BRIDGEWAY LG CAP VALUE R6	R6	NO LOAD	REDEEM ONLY	1600	100000000	0
BWLYX	AMERICAN BEACON	AMERICAN BEACON BRIDGEWAY LG CAP VALUE Y	Y	NO LOAD	OPEN	1600	100000	100000
GHQIX	AMERICAN BEACON	AMERICAN BEACON GARCIA HAMILTON QUALITY BD FD CL R5	R5	NO LOAD	SUBS ONLY	1600	250000	250000
GHQPX	AMERICAN BEACON	AMERICAN BEACON GARCIA HAMILTON QUALITY BD INV	INVESTOR	NO LOAD	OPEN	1600	0	0
GHQYX	AMERICAN BEACON	AMERICAN BEACON GARCIA HAMILTON QUALITY BD Y	Y	NO LOAD	OPEN	1600	100000	100000
AAIEX	AMERICAN BEACON	AMERICAN BEACON INTL EQT FD CL R5	R5	NO LOAD	SUBS ONLY	1600	250000	250000
AIEAX	AMERICAN BEACON	AMERICAN BEACON INTL EQTY A	A	LOAD	OPEN	1600	2500	2500
AAISX	AMERICAN BEACON	AMERICAN BEACON INTL EQTY ADV	ADVISOR	NO LOAD	OPEN	1600	100	100
AILCX	AMERICAN BEACON	AMERICAN BEACON INTL EQTY C	C	LOAD	OPEN	1600	1000	1000
AAIPX	AMERICAN BEACON	AMERICAN BEACON INTL EQTY INV CL	INVESTOR	NO LOAD	OPEN	1600	0	0
AAERX	AMERICAN BEACON	AMERICAN BEACON INTL EQTY R6	R6	NO LOAD	REDEEM ONLY	1600	100000000	5000000
ABEYX	AMERICAN BEACON	AMERICAN BEACON INTL EQTY Y	Y	NO LOAD	OPEN	1600	100000	100000
ALVAX	AMERICAN BEACON	AMERICAN BEACON LARGE CAP VALUE A	A	LOAD	OPEN	1600	2500	2500
AADEX	AMERICAN BEACON	AMERICAN BEACON LARGE CAP VALUE FD CL R5	R5	NO LOAD	SUBS ONLY	1600	250000	250000
AAGPX	AMERICAN BEACON	AMERICAN BEACON LARGE CAP VAL INV CL	INVESTOR	NO LOAD	OPEN	1600	0	0
AVASX	AMERICAN BEACON	AMERICAN BEACON LG CAP VALUE ADV CL	ADVISOR	NO LOAD	OPEN	1600	100	100
ALVCX	AMERICAN BEACON	AMERICAN BEACON LG CAP VALUE C	C	LOAD	OPEN	1600	1000	1000
AALRX	AMERICAN BEACON	AMERICAN BEACON LG CAP VALUE R6	R6	NO LOAD	REDEEM ONLY	1600	5000000	5000000
ABLYX	AMERICAN BEACON	AMERICAN BEACON LG CAP VALUE Y	Y	NO LOAD	OPEN	1600	100000	100000
NISYX	AMERICAN BEACON	AMERICAN BEACON NIS CORE PLUS BOND Y	Y	NO LOAD	OPEN	1600	100000	100000
SHXIX	AMERICAN BEACON	AMERICAN BEACON SHAPIRO EQTY OPPTY FD CL R5	R5	NO LOAD	SUBS ONLY	1600	0	0
SHXPX	AMERICAN BEACON	AMERICAN BEACON SHAPIRO EQTY OPPTY INV	INVESTOR	NO LOAD	OPEN	1600	0	0
SHYXX	AMERICAN BEACON	AMERICAN BEACON SHAPIRO EQTY OPPTY Y	Y	NO LOAD	OPEN	1600	100000	100000

SYMBOL	FUND FAMILY	FUND DESCRIPTION	SHARE CLASS	FUND TYPE	STATUS	CUT- OFF	IRA MIN	NON-IRA MIN
SHEAX	AMERICAN BEACON	AMERICAN BEACON SHAPIRO SMID CAP EQ A	A	LOAD	OPEN	1600	100	100
SHDCX	AMERICAN BEACON	AMERICAN BEACON SHAPIRO SMID CAP EQ C	C	LOAD	OPEN	1600	1000	1000
SHDRX	AMERICAN BEACON	AMERICAN BEACON SHAPIRO SMID CAP EQ R6	R6	NO LOAD	REDEEM ONLY	1600	0	0
SHDIX	AMERICAN BEACON	AMERICAN BEACON SHAPIRO SMID CAP EQTY FD CL R5	R5	NO LOAD	SUBS ONLY	1600	250000	250000
SHDPX	AMERICAN BEACON	AMERICAN BEACON SHAPIRO SMID CAP EQTY INV	INVESTOR	NO LOAD	OPEN	1600	0	0
SHDYX	AMERICAN BEACON	AMERICAN BEACON SHAPIRO SMID CAP EQTY Y	Y	NO LOAD	OPEN	1600	100000	100000
SHOAX	AMERICAN BEACON	AMERICAN BEACON SIM HIGH YIELD OPPTY A	A	LOAD	OPEN	1600	2500	2500
SHOCX	AMERICAN BEACON	AMERICAN BEACON SIM HIGH YIELD OPPTY C	C	LOAD	OPEN	1600	1000	1000
SHOIX	AMERICAN BEACON	AMERICAN BEACON SIM HIGH YIELD OPPTY FD CL R5	R5	NO LOAD	SUBS ONLY	1600	250000	250000
SHYPX	AMERICAN BEACON	AMERICAN BEACON SIM HIGH YIELD OPPTY INV	INVESTOR	NO LOAD	OPEN	1600	0	0
SHOYX	AMERICAN BEACON	AMERICAN BEACON SIM HIGH YIELD OPPTY Y	Y	NO LOAD	OPEN	1600	100000	100000
ASVCX	AMERICAN BEACON	AMERICAN BEACON SM CAP VALUE C	C	LOAD	OPEN	1600	1000	1000
AVPAX	AMERICAN BEACON	AMERICAN BEACON SMALL CAP VAL INV CL	INVESTOR	NO LOAD	OPEN	1600	0	0
ABSAX	AMERICAN BEACON	AMERICAN BEACON SMALL CAP VALUE A	A	LOAD	OPEN	1600	2500	2500
AVFIX	AMERICAN BEACON	AMERICAN BEACON SMALL CAP VALUE FD CL R5	R5	NO LOAD	SUBS ONLY	1600	250000	250000
AASRX	AMERICAN BEACON	AMERICAN BEACON SMALL CAP VALUE R6	R6	NO LOAD	REDEEM ONLY	1600	0	0
AASSX	AMERICAN BEACON	AMERICAN BEACON SMALL CP VAL ADV	ADVISOR	NO LOAD	OPEN	1600	100	100
ABSYX	AMERICAN BEACON	AMERICAN BEACON SMALL CP VALUE Y	Y	NO LOAD	OPEN	1600	100000	100000
SPFLX	AMERICAN BEACON	AMERICAN BEACON SOUND POINT FLOATING RATE INCM FD	R5	NO LOAD	SUBS ONLY	1600	250000	250000
SOUCX	AMERICAN BEACON	AMERICAN BEACON SOUND POINT FLRTIN C	C	LOAD	OPEN	1600	1000	1000
SOUAX	AMERICAN BEACON	AMERICAN BEACON SOUND POINT FLTG RT INCM A	A	LOAD	OPEN	1600	2500	2500
SPFPX	AMERICAN BEACON	AMERICAN BEACON SOUND POINT FLTG RT INCM INV	INVESTOR	NO LOAD	OPEN	1600	100	100
SPFYX	AMERICAN BEACON	AMERICAN BEACON SOUND POINT FLTG RT INCM Y	Y	NO LOAD	OPEN	1600	100000	100000
PSCAX	AMERICAN BEACON	AMERICAN BEACON SSI ALT INCM FD INV	INVESTOR	NO LOAD	OPEN	1600	100	100
PSCIX	AMERICAN BEACON	AMERICAN BEACON SSI ALT INCM FD Y	Y	NO LOAD	OPEN	1600	100000	100000
SFMIX	AMERICAN BEACON	AMERICAN BEACON STEPHENS MID CAP GROWTH FD CL R5	R5	NO LOAD	SUBS ONLY	1600	250000	250000
SMFAX	AMERICAN BEACON	AMERICAN BEACON STEPHENS MID CAP GWTH A	A	LOAD	OPEN	1600	2500	2500
SMFCX	AMERICAN BEACON	AMERICAN BEACON STEPHENS MID CAP GWTH C	C	LOAD	OPEN	1600	1000	1000
STMGX	AMERICAN BEACON	AMERICAN BEACON STEPHENS MID CAP GWTH INV	INVESTOR	NO LOAD	OPEN	1600	0	0
SMFYX	AMERICAN BEACON	AMERICAN BEACON STEPHENS MID CAP GWTH Y	Y	NO LOAD	OPEN	1600	100000	100000
SPWAX	AMERICAN BEACON	AMERICAN BEACON STEPHENS SM CAP GWTH A	A	LOAD	OPEN	1600	2500	2500
SPWCX	AMERICAN BEACON	AMERICAN BEACON STEPHENS SM CAP GWTH C	C	LOAD	OPEN	1600	1000	1000
STSIX	AMERICAN BEACON	AMERICAN BEACON STEPHENS SM CAP GWTH FD CL R5	R5	NO LOAD	SUBS ONLY	1600	250000	250000
STSGX	AMERICAN BEACON	AMERICAN BEACON STEPHENS SM CAP GWTH INV	INVESTOR	NO LOAD	OPEN	1600	0	0
SPWYX	AMERICAN BEACON	AMERICAN BEACON STEPHENS SM CAP GWTH Y	Y	NO LOAD	OPEN	1600	100000	100000
ABCAX	AMERICAN BEACON	AMERICAN BEACON THE LONDON CO INCM EQ A	A	LOAD	OPEN	1600	2500	2500
ABECX	AMERICAN BEACON	AMERICAN BEACON THE LONDON CO INCM EQ C	C	LOAD	OPEN	1600	1000	1000
ABCIX	AMERICAN BEACON	AMERICAN BEACON THE LONDON CO INCM EQ FD CL R5	R5	NO LOAD	SUBS ONLY	1600	250000	250000
ABCYX	AMERICAN BEACON	AMERICAN BEACON THE LONDON CO INCM EQ Y	Y	NO LOAD	OPEN	1600	100000	100000
ABCVX	AMERICAN BEACON	AMERICAN BEACON THE LONDON CO INCM EQTY INV	INVESTOR	NO LOAD	OPEN	1600	0	0
TOVIX	AMERICAN BEACON	AMERICAN BEACON TOCQUEVILLE INTL VALUE FD CL R5	R5	NO LOAD	SUBS ONLY	1600	250000	250000

SYMBOL	FUND FAMILY	FUND DESCRIPTION	SHARE CLASS	FUND TYPE	STATUS	CUT- OFF	IRA MIN	NON-IRA MIN
TIVFX	AMERICAN BEACON	AMERICAN BEACON TOCQUEVILLE INTL VALUE INV	INVESTOR	NO LOAD	OPEN	1600	100	100
TOVYX	AMERICAN BEACON	AMERICAN BEACON TOCQUEVILLE INTL VALUE Y	Y	NO LOAD	OPEN	1600	100000	100000
TFBAX	AMERICAN BEACON	AMERICAN BEACON TTFR SUST SHT TM BD A	A	LOAD	OPEN	1600	100	100
TFBYX	AMERICAN BEACON	AMERICAN BEACON TWENTYFOUR SHT TM BD Y	Y	NO LOAD	OPEN	1600	100000	100000
TFGCX	AMERICAN BEACON	AMERICAN BEACON TWENTYFOUR STRAT INC C	C	LOAD	OPEN	1600	1000	1000
TFSAX	AMERICAN BEACON	AMERICAN BEACON TWENTYFOUR STRAT INCM A	A	LOAD	OPEN	1600	2500	2500
TFGIX	AMERICAN BEACON	AMERICAN BEACON TWENTYFOUR STRAT INCM FD CL R5	R5	NO LOAD	SUBS ONLY	1600	250000	250000
TFGPX	AMERICAN BEACON	AMERICAN BEACON TWENTYFOUR STRAT INCM INV	INVESTOR	NO LOAD	OPEN	1600	0	0
TFGYX	AMERICAN BEACON	AMERICAN BEACON TWENTYFOUR STRAT INCM Y	Y	NO LOAD	OPEN	1600	100000	100000
AAOXX	AMERICAN BEACON	AMERICAN BEACON US GOVT MONEY MKT SLCT FD	SELECT CLASS SH	NO LOAD	REDEEM ONLY	1600	100000000	1000000
AVEEX	AMERICAN CENTURY	AMERICAN CENTURY AVANTIS EMRG MKTS EQUITY I	INSTITUTIONAL	NO LOAD	OPEN	1600	0	0
AVDEX	AMERICAN CENTURY	AMERICAN CENTURY AVANTIS INTL EQUITY I	INSTITUTIONAL	NO LOAD	OPEN	1600	0	0
AVDVX	AMERICAN CENTURY	AMERICAN CENTURY AVANTIS INTL SMALL CAP VALUE I	INSTITUTIONAL	NO LOAD	OPEN	1600	0	0
AVUSX	AMERICAN CENTURY	AMERICAN CENTURY AVANTIS US EQUITY I	INSTITUTIONAL	NO LOAD	OPEN	1600	0	0
AVUVX	AMERICAN CENTURY	AMERICAN CENTURY AVANTIS US SMALL CAP VALUE I	INSTITUTIONAL	NO LOAD	OPEN	1600	0	0
ABINX	AMERICAN CENTURY	AMERICAN CENTURY BALA CL I	I	NO LOAD	OPEN	1600	5000000	5000000
TWBIX	AMERICAN CENTURY	AMERICAN CENTURY BALANCED FD INV	INVESTOR	NO LOAD	OPEN	1600	250	250
CAYCX	AMERICAN CENTURY	AMERICAN CENTURY CA HIGH YIELD MUNI CL C	C	LOAD	OPEN	1600	250	250
BCHIX	AMERICAN CENTURY	AMERICAN CENTURY CA HIGH YIELD MUNI CL I	I	NO LOAD	OPEN	1600	5000000	5000000
BCHYX	AMERICAN CENTURY	AMERICAN CENTURY CA HIGH YIELD MUNI FUND	INVESTOR	NO LOAD	OPEN	1600	250	250
ACYHX	AMERICAN CENTURY	AMERICAN CENTURY CA HIGH YIELD MUNI Y	Y	NO LOAD	SUBS ONLY	1600	0	0
CAYAX	AMERICAN CENTURY	AMERICAN CENTURY CA HIGHYIELD MUNICIPAL FD CL A	A	LOAD	OPEN	1600	250	250
BCIYX	AMERICAN CENTURY	AMERICAN CENTURY CA INTER TERM TAX FREE BD C	C	LOAD	OPEN	1600	250	250
BCTIX	AMERICAN CENTURY	AMERICAN CENTURY CA INTER TERM TAX FREE BD CL I	I	NO LOAD	OPEN	1600	5000000	5000000
ACYTX	AMERICAN CENTURY	AMERICAN CENTURY CA INTERMT TFR BD Y	Y	NO LOAD	SUBS ONLY	1600	100000	100000
BCIAX	AMERICAN CENTURY	AMERICAN CENTURY CA INTRMTERM TAX FREE BD FD CL A	A	LOAD	OPEN	1600	250	250
BCITX	AMERICAN CENTURY	AMERICAN CENTURY CA INTRMTERM TAX FREE BD FD INV CL	INVESTOR	NO LOAD	OPEN	1600	250	250
BCTXX	AMERICAN CENTURY	AMERICAN CENTURY CA TAX FREE MONEY MARKET INV	INVESTOR	NO LOAD	OPEN	1600	250	250
CPFX	AMERICAN CENTURY	AMERICAN CENTURY CAPITAL PRESERVATION FD INV	INVESTOR	NO LOAD	REDEEM ONLY	1600	250	250
ACCQX	AMERICAN CENTURY	AMERICAN CENTURY CORE PLUS A	A	LOAD	OPEN	1600	250	250
ACCKX	AMERICAN CENTURY	AMERICAN CENTURY CORE PLUS C	C	LOAD	OPEN	1600	250	250
ACCTX	AMERICAN CENTURY	AMERICAN CENTURY CORE PLUS I	I	NO LOAD	OPEN	1600	5000000	5000000
ACCNX	AMERICAN CENTURY	AMERICAN CENTURY CORE PLUS INVST	INVESTOR	NO LOAD	OPEN	1600	250	250
ACCPX	AMERICAN CENTURY	AMERICAN CENTURY CORE PLUS R	R	NO LOAD	REDEEM ONLY	1600	250	250
ACCUX	AMERICAN CENTURY	AMERICAN CENTURY CORE PLUS R5	R5	NO LOAD	SUBS ONLY	1600	5000000	5000000
ADCIX	AMERICAN CENTURY	AMERICAN CENTURY DISCIP GWTH CL I	I	NO LOAD	OPEN	1600	5000000	5000000
ADRRX	AMERICAN CENTURY	AMERICAN CENTURY DISCIP GWTH CL R	R	NO LOAD	REDEEM ONLY	1600	250	250
ADGGX	AMERICAN CENTURY	AMERICAN CENTURY DISCIP GWTH R5	R5	NO LOAD	REDEEM ONLY	1600	5000000	5000000
ADCCX	AMERICAN CENTURY	AMERICAN CENTURY DISCIPLINED GROWTH C	C	LOAD	OPEN	1600	250	250
ADCVX	AMERICAN CENTURY	AMERICAN CENTURY DISCIPLINED GROWTH CL A	A	LOAD	OPEN	1600	250	250
ADSIX	AMERICAN CENTURY	AMERICAN CENTURY DISCIPLINED GROWTH INV	INVESTOR	NO LOAD	OPEN	1600	250	250

SYMBOL	FUND FAMILY	FUND DESCRIPTION	SHARE CLASS	FUND TYPE	STATUS	CUT- OFF	IRA MIN	NON-IRA MIN
ADCYX	AMERICAN CENTURY	AMERICAN CENTURY DISCIPLINED GROWTH Y	Y	NO LOAD	SUBS ONLY	1600	0	0
AICGX	AMERICAN CENTURY	AMERICAN CENTURY DISCIPLND COR VAL R5	R5	NO LOAD	SUBS ONLY	1600	0	0
AMADX	AMERICAN CENTURY	AMERICAN CENTURY DISIPLND COR VAL FD CL A	A	LOAD	OPEN	1600	250	250
ACGCX	AMERICAN CENTURY	AMERICAN CENTURY DISIPLND COR VAL FD CL C	C	LOAD	OPEN	1600	250	250
AMGIX	AMERICAN CENTURY	AMERICAN CENTURY DISIPLND COR VAL FD CL I	I	NO LOAD	OPEN	1600	5000000	5000000
AICRX	AMERICAN CENTURY	AMERICAN CENTURY DISIPLND COR VAL FD CL R	R	NO LOAD	REDEEM ONLY	1600	250	250
ACBPX	AMERICAN CENTURY	AMERICAN CENTURY DIVERS BD CL I	I	NO LOAD	OPEN	1600	5000000	5000000
ADVRX	AMERICAN CENTURY	AMERICAN CENTURY DIVERS BD R	R	NO LOAD	REDEEM ONLY	1600	250	250
ADDVX	AMERICAN CENTURY	AMERICAN CENTURY DIVERS BD R6	R6	NO LOAD	REDEEM ONLY	1600	0	0
CDBCX	AMERICAN CENTURY	AMERICAN CENTURY DIVERSIFIED BD C	C	LOAD	OPEN	1600	250	250
ADFAX	AMERICAN CENTURY	AMERICAN CENTURY DIVERSIFIED BD CL A	A	LOAD	OPEN	1600	250	250
ADFIX	AMERICAN CENTURY	AMERICAN CENTURY DIVERSIFIED BD INV CL	INVESTOR	NO LOAD	OPEN	1600	250	250
ADVYX	AMERICAN CENTURY	AMERICAN CENTURY DIVERSIFIED BOND Y	Y	NO LOAD	SUBS ONLY	1600	0	0
AEMMX	AMERICAN CENTURY	AMERICAN CENTURY EMERGING MARKETS CL A	A	LOAD	OPEN	1600	250	250
ACECX	AMERICAN CENTURY	AMERICAN CENTURY EMERGING MARKETS FD CL C	C	LOAD	OPEN	1600	250	250
AEGMX	AMERICAN CENTURY	AMERICAN CENTURY EMERGING MARKETS R5	R5	NO LOAD	SUBS ONLY	1600	0	0
AEYMX	AMERICAN CENTURY	AMERICAN CENTURY EMERGING MARKETS Y	Y	NO LOAD	SUBS ONLY	1600	0	0
AEHDX	AMERICAN CENTURY	AMERICAN CENTURY EMERGING MKTS DBT I	I	NO LOAD	OPEN	1600	5000000	5000000
AEDWX	AMERICAN CENTURY	AMERICAN CENTURY EMERGING MKTS DBT R	R	NO LOAD	REDEEM ONLY	1600	0	0
AMKIX	AMERICAN CENTURY	AMERICAN CENTURY EMRG MKTS CL I	I	NO LOAD	OPEN	1600	5000000	5000000
AEDVX	AMERICAN CENTURY	AMERICAN CENTURY EMRG MKTS DEBT INV	INVESTOR	NO LOAD	OPEN	1600	250	250
AEDJX	AMERICAN CENTURY	AMERICAN CENTURY EMRG MKTS DEBT R5	R5	NO LOAD	SUBS ONLY	1600	5000000	5000000
TWMIX	AMERICAN CENTURY	AMERICAN CENTURY EMRG MKTS FD INV CL	INVESTOR	NO LOAD	OPEN	1600	250	250
AEMRX	AMERICAN CENTURY	AMERICAN CENTURY EMRG MKTS R	R	NO LOAD	REDEEM ONLY	1600	250	250
AEDMX	AMERICAN CENTURY	AMERICAN CENTURY EMRG MKTS R6	R6	NO LOAD	REDEEM ONLY	1600	0	0
AEC SX	AMERICAN CENTURY	AMERICAN CENTURY EMRG MKTS SM CAP CL I	I	NO LOAD	OPEN	1600	5000000	5000000
AECVX	AMERICAN CENTURY	AMERICAN CENTURY EMRG MKTS SM CAP INV	INVESTOR	NO LOAD	OPEN	1600	250	250
AEYCX	AMERICAN CENTURY	AMERICAN CENTURY EQTY GROWTH C	C	LOAD	OPEN	1600	250	250
AEYIX	AMERICAN CENTURY	AMERICAN CENTURY EQTY INCM C	C	LOAD	OPEN	1600	250	250
BEQAX	AMERICAN CENTURY	AMERICAN CENTURY EQUITY GROWTH CL A	A	LOAD	OPEN	1600	250	250
AMEIX	AMERICAN CENTURY	AMERICAN CENTURY EQUITY GROWTH CL I	I	NO LOAD	OPEN	1600	5000000	5000000
AEYRX	AMERICAN CENTURY	AMERICAN CENTURY EQUITY GROWTH CL R	R	NO LOAD	REDEEM ONLY	1600	250	250
BEQGX	AMERICAN CENTURY	AMERICAN CENTURY EQUITY GROWTH FD INV	INVESTOR	NO LOAD	OPEN	1600	250	250
TWEAX	AMERICAN CENTURY	AMERICAN CENTURY EQUITY INCOME CL A	A	LOAD	OPEN	1600	250	250
ACIIX	AMERICAN CENTURY	AMERICAN CENTURY EQUITY INCOME CL I	I	NO LOAD	OPEN	1600	5000000	5000000
AEURX	AMERICAN CENTURY	AMERICAN CENTURY EQUITY INCOME CL R	R	NO LOAD	REDEEM ONLY	1600	250	250
AEIUX	AMERICAN CENTURY	AMERICAN CENTURY EQUITY INCOME CL R5	R5	NO LOAD	REDEEM ONLY	1600	0	0
AEUDX	AMERICAN CENTURY	AMERICAN CENTURY EQUITY INCOME CL R6	R6	NO LOAD	REDEEM ONLY	1600	0	0
TWEIX	AMERICAN CENTURY	AMERICAN CENTURY EQUITY INCOME FUND INV	INVESTOR	NO LOAD	OPEN	1600	250	250
AEIYX	AMERICAN CENTURY	AMERICAN CENTURY EQUITY INCOME Y	Y	NO LOAD	SUBS ONLY	1600	0	0
ACFNX	AMERICAN CENTURY	AMERICAN CENTURY FOCUSED DYNAMIC GR R6	R6	NO LOAD	REDEEM ONLY	1600	100000	100000

SYMBOL	FUND FAMILY	FUND DESCRIPTION	SHARE CLASS	FUND TYPE	STATUS	CUT- OFF	IRA MIN	NON-IRA MIN
ACFSX	AMERICAN CENTURY	AMERICAN CENTURY FOCUSED DYNAMIC GWTH CL I	I	NO LOAD	OPEN	1600	5000000	5000000
ACFDX	AMERICAN CENTURY	AMERICAN CENTURY FOCUSED DYNAMIC GWTH FD CL A	A	LOAD	OPEN	1600	250	250
ACFOX	AMERICAN CENTURY	AMERICAN CENTURY FOCUSED DYNAMIC GWTH INV	INVESTOR	NO LOAD	OPEN	1600	250	250
ACFCX	AMERICAN CENTURY	AMERICAN CENTURY FOCUSED DYNAMIC GWTH R	R	NO LOAD	REDEEM ONLY	1600	250	250
AGGRX	AMERICAN CENTURY	AMERICAN CENTURY FOCUSED GLOBAL FD CL A	A	LOAD	OPEN	1600	250	250
AGLCX	AMERICAN CENTURY	AMERICAN CENTURY FOCUSED GLOBAL FD CL C	C	LOAD	OPEN	1600	250	250
AGGIX	AMERICAN CENTURY	AMERICAN CENTURY FOCUSED GLOBAL FD CL I	I	NO LOAD	OPEN	1600	5000000	5000000
AGORX	AMERICAN CENTURY	AMERICAN CENTURY FOCUSED GLOBAL FD CL R	R	NO LOAD	REDEEM ONLY	1600	250	250
AGGDY	AMERICAN CENTURY	AMERICAN CENTURY FOCUSED GLOBAL FD CL R6	R6	NO LOAD	REDEEM ONLY	1600	0	0
TWGGX	AMERICAN CENTURY	AMERICAN CENTURY FOCUSED GLOBAL FD INV	INVESTOR	NO LOAD	OPEN	1600	250	250
AGYGX	AMERICAN CENTURY	AMERICAN CENTURY FOCUSED GLOBAL GR Y	Y	NO LOAD	SUBS ONLY	1600	0	0
AFCWX	AMERICAN CENTURY	AMERICAN CENTURY FOCUSED INTL GR R	R	NO LOAD	REDEEM ONLY	1600	0	0
AFCSX	AMERICAN CENTURY	AMERICAN CENTURY FOCUSED INTL GWTH CL I	I	NO LOAD	OPEN	1600	5000000	5000000
AFCNX	AMERICAN CENTURY	AMERICAN CENTURY FOCUSED INTL GWTH INV	INVESTOR	NO LOAD	OPEN	1600	250	250
ALPAX	AMERICAN CENTURY	AMERICAN CENTURY FOCUSED LARGE CAP VALUE A	A	LOAD	OPEN	1600	250	250
ALPCX	AMERICAN CENTURY	AMERICAN CENTURY FOCUSED LARGE CAP VALUE C	C	LOAD	OPEN	1600	250	250
ALVIX	AMERICAN CENTURY	AMERICAN CENTURY FOCUSED LARGE CAP VALUE INV	INVESTOR	NO LOAD	OPEN	1600	250	250
ACGGX	AMERICAN CENTURY	AMERICAN CENTURY GBL GOLD CL A	A	LOAD	OPEN	1600	250	250
BGNCX	AMERICAN CENTURY	AMERICAN CENTURY GINNIE MAE C	C	LOAD	OPEN	1600	250	250
AGMWX	AMERICAN CENTURY	AMERICAN CENTURY GINNIE MAE CL R	R	NO LOAD	REDEEM ONLY	1600	250	250
BGNAX	AMERICAN CENTURY	AMERICAN CENTURY GINNIE MAE FD CL A	A	LOAD	OPEN	1600	250	250
AGMHX	AMERICAN CENTURY	AMERICAN CENTURY GINNIE MAE I	I	NO LOAD	OPEN	1600	5000000	5000000
BGNMX	AMERICAN CENTURY	AMERICAN CENTURY GINNIE MAE INV	INVESTOR	NO LOAD	OPEN	1600	250	250
AGMNX	AMERICAN CENTURY	AMERICAN CENTURY GINNIE MAE R5	R5	NO LOAD	SUBS ONLY	1600	5000000	5000000
AGBAX	AMERICAN CENTURY	AMERICAN CENTURY GLBL BD A	A	LOAD	OPEN	1600	250	250
AGBHX	AMERICAN CENTURY	AMERICAN CENTURY GLOBAL BD I	I	NO LOAD	OPEN	1600	5000000	5000000
AGBVX	AMERICAN CENTURY	AMERICAN CENTURY GLOBAL BD INV	INVESTOR	NO LOAD	OPEN	1600	250	250
AGBNX	AMERICAN CENTURY	AMERICAN CENTURY GLOBAL BD R5	R5	NO LOAD	SUBS ONLY	1600	5000000	5000000
AGBDX	AMERICAN CENTURY	AMERICAN CENTURY GLOBAL BD R6	R6	NO LOAD	REDEEM ONLY	1600	0	0
AGBRX	AMERICAN CENTURY	AMERICAN CENTURY GLOBAL BOND R	R	NO LOAD	REDEEM ONLY	1600	0	0
AGYCX	AMERICAN CENTURY	AMERICAN CENTURY GLOBAL GOLD FUND CL C	C	LOAD	OPEN	1600	250	250
AGGNX	AMERICAN CENTURY	AMERICAN CENTURY GLOBAL GOLD FUND CL I	I	NO LOAD	OPEN	1600	5000000	5000000
BGEIX	AMERICAN CENTURY	AMERICAN CENTURY GLOBAL GOLD FUND INV	INVESTOR	NO LOAD	OPEN	1600	250	250
AGGWX	AMERICAN CENTURY	AMERICAN CENTURY GLOBAL GOLD R	R	NO LOAD	REDEEM ONLY	1600	250	250
ARYMX	AMERICAN CENTURY	AMERICAN CENTURY GLOBAL REAL ESTATE A	A	LOAD	OPEN	1600	250	250
ARYTX	AMERICAN CENTURY	AMERICAN CENTURY GLOBAL REAL ESTATE C	C	LOAD	OPEN	1600	250	250
ARYNX	AMERICAN CENTURY	AMERICAN CENTURY GLOBAL REAL ESTATE INST	I	NO LOAD	OPEN	1600	5000000	5000000
ARYVX	AMERICAN CENTURY	AMERICAN CENTURY GLOBAL REAL ESTATE INV CL	INVESTOR	NO LOAD	OPEN	1600	250	250
ARYWX	AMERICAN CENTURY	AMERICAN CENTURY GLOBAL REAL ESTATE R	R	NO LOAD	REDEEM ONLY	1600	0	0
ARYDX	AMERICAN CENTURY	AMERICAN CENTURY GLOBAL REAL ESTATE R6	R6	NO LOAD	REDEEM ONLY	1600	0	0
ARYYX	AMERICAN CENTURY	AMERICAN CENTURY GLOBAL REAL ESTATE Y	Y	NO LOAD	SUBS ONLY	1600	0	0

SYMBOL	FUND FAMILY	FUND DESCRIPTION	SHARE CLASS	FUND TYPE	STATUS	CUT- OFF	IRA MIN	NON-IRA MIN
AGCSX	AMERICAN CENTURY	AMERICAN CENTURY GLOBAL SM CAP CL I	I	NO LOAD	OPEN	1600	5000000	5000000
AGCVX	AMERICAN CENTURY	AMERICAN CENTURY GLOBAL SM CAP INV	INVESTOR	NO LOAD	OPEN	1600	250	250
AGCLX	AMERICAN CENTURY	AMERICAN CENTURY GLOBAL SMALL CAP A	A	LOAD	OPEN	1600	250	250
AGCWX	AMERICAN CENTURY	AMERICAN CENTURY GLOBAL SMALL CAP R	R	NO LOAD	REDEEM ONLY	1600	0	0
CPTNX	AMERICAN CENTURY	AMERICAN CENTURY GOVERNMENT BOND FUND	INVESTOR	NO LOAD	OPEN	1600	250	250
ABTRX	AMERICAN CENTURY	AMERICAN CENTURY GOVERNMENT BOND R	R	NO LOAD	REDEEM ONLY	1600	0	0
ABTAX	AMERICAN CENTURY	AMERICAN CENTURY GOVT BD CL A	A	LOAD	OPEN	1600	250	250
ABHTX	AMERICAN CENTURY	AMERICAN CENTURY GOVT BD I	I	NO LOAD	OPEN	1600	5000000	5000000
ABTIX	AMERICAN CENTURY	AMERICAN CENTURY GOVT BD R5	R5	NO LOAD	SUBS ONLY	1600	5000000	5000000
TWRCX	AMERICAN CENTURY	AMERICAN CENTURY GROWTH CL C	C	LOAD	OPEN	1600	250	250
TWGIX	AMERICAN CENTURY	AMERICAN CENTURY GROWTH CL I	I	NO LOAD	OPEN	1600	5000000	5000000
AGWRX	AMERICAN CENTURY	AMERICAN CENTURY GROWTH CL R	R	NO LOAD	REDEEM ONLY	1600	250	250
AGRDX	AMERICAN CENTURY	AMERICAN CENTURY GROWTH CL R6	R6	NO LOAD	REDEEM ONLY	1600	0	0
TCRAX	AMERICAN CENTURY	AMERICAN CENTURY GROWTH FD CL A	A	LOAD	OPEN	1600	250	250
TWCGX	AMERICAN CENTURY	AMERICAN CENTURY GROWTH FUND INV	INVESTOR	NO LOAD	OPEN	1600	250	250
AGYWX	AMERICAN CENTURY	AMERICAN CENTURY GROWTH Y	Y	NO LOAD	SUBS ONLY	1600	0	0
AHGCX	AMERICAN CENTURY	AMERICAN CENTURY HERITAGE C	C	LOAD	OPEN	1600	250	250
ATHIX	AMERICAN CENTURY	AMERICAN CENTURY HERITAGE CL I	I	NO LOAD	OPEN	1600	5000000	5000000
ATHAX	AMERICAN CENTURY	AMERICAN CENTURY HERITAGE FD CL A	A	LOAD	OPEN	1600	250	250
TWHIX	AMERICAN CENTURY	AMERICAN CENTURY HERITAGE FUND INV	INVESTOR	NO LOAD	OPEN	1600	250	250
ATHWX	AMERICAN CENTURY	AMERICAN CENTURY HERITAGE R	R	NO LOAD	REDEEM ONLY	1600	250	250
ATHDX	AMERICAN CENTURY	AMERICAN CENTURY HERITAGE R6	R6	NO LOAD	REDEEM ONLY	1600	0	0
ATHYX	AMERICAN CENTURY	AMERICAN CENTURY HERITAGE Y	Y	NO LOAD	SUBS ONLY	1600	0	0
AHIAX	AMERICAN CENTURY	AMERICAN CENTURY HIGH INCOME A	A	LOAD	OPEN	1600	250	250
AHIX	AMERICAN CENTURY	AMERICAN CENTURY HIGH INCOME FD CL I	I	NO LOAD	OPEN	1600	5000000	5000000
AHIVX	AMERICAN CENTURY	AMERICAN CENTURY HIGH INCOME FD CL INV	INVESTOR	NO LOAD	OPEN	1600	250	250
AHIDX	AMERICAN CENTURY	AMERICAN CENTURY HIGH INCOME FD CL R6	R6	NO LOAD	REDEEM ONLY	1600	0	0
NPHIX	AMERICAN CENTURY	AMERICAN CENTURY HIGH INCOME FD CL Y	Y	NO LOAD	SUBS ONLY	1600	100000	100000
AHDCX	AMERICAN CENTURY	AMERICAN CENTURY HIGH YIELD C	C	LOAD	OPEN	1600	250	250
AHYVX	AMERICAN CENTURY	AMERICAN CENTURY HIGH YIELD CL A	A	LOAD	OPEN	1600	250	250
AHYHX	AMERICAN CENTURY	AMERICAN CENTURY HIGH YIELD FD CL I	I	NO LOAD	OPEN	1600	5000000	5000000
AHYRX	AMERICAN CENTURY	AMERICAN CENTURY HIGH YIELD FD CL R	R	NO LOAD	REDEEM ONLY	1600	250	250
AHYDX	AMERICAN CENTURY	AMERICAN CENTURY HIGH YIELD FD CL R6	R6	NO LOAD	REDEEM ONLY	1600	0	0
ACYIX	AMERICAN CENTURY	AMERICAN CENTURY HIGH YIELD FD R5	R5	NO LOAD	SUBS ONLY	1600	5000000	5000000
ABHIX	AMERICAN CENTURY	AMERICAN CENTURY HIGH YIELD FUND INV	INVESTOR	NO LOAD	OPEN	1600	250	250
AYMCX	AMERICAN CENTURY	AMERICAN CENTURY HIGH YIELD MUNI C	C	LOAD	OPEN	1600	250	250
AYMIX	AMERICAN CENTURY	AMERICAN CENTURY HIGH YIELD MUNI CL I	I	NO LOAD	OPEN	1600	5000000	5000000
AYMAX	AMERICAN CENTURY	AMERICAN CENTURY HIGH YIELD MUNI FD CL A	A	LOAD	OPEN	1600	250	250
ABHYX	AMERICAN CENTURY	AMERICAN CENTURY HIGH YIELD MUNI FUND INV CL	INVESTOR	NO LOAD	OPEN	1600	250	250
AYMYX	AMERICAN CENTURY	AMERICAN CENTURY HIGHYIELD MUNI Y	Y	NO LOAD	SUBS ONLY	1600	100000	100000
AHYLX	AMERICAN CENTURY	AMERICAN CENTURY HIGHYIELD Y	Y	NO LOAD	SUBS ONLY	1600	0	0

SYMBOL	FUND FAMILY	FUND DESCRIPTION	SHARE CLASS	FUND TYPE	STATUS	CUT- OFF	IRA MIN	NON-IRA MIN
BIGRX	AMERICAN CENTURY	AMERICAN CENTURY INCOME & GROWTH FD INV	INVESTOR	NO LOAD	OPEN	1600	250	250
AINOX	AMERICAN CENTURY	AMERICAN CENTURY INFL ADJUSTED BD CL C	C	LOAD	OPEN	1600	250	250
AIARX	AMERICAN CENTURY	AMERICAN CENTURY INFL ADJUSTED BD CL R	R	NO LOAD	REDEEM ONLY	1600	250	250
AIAHX	AMERICAN CENTURY	AMERICAN CENTURY INFL ADJUSTED BD I	I	NO LOAD	OPEN	1600	5000000	5000000
AIANX	AMERICAN CENTURY	AMERICAN CENTURY INFL ADJUSTED BD R5	R5	NO LOAD	SUBS ONLY	1600	5000000	5000000
AIADX	AMERICAN CENTURY	AMERICAN CENTURY INFL ADJUSTED BD R6	R6	NO LOAD	REDEEM ONLY	1600	0	0
AIAYX	AMERICAN CENTURY	AMERICAN CENTURY INFLATION ADJS BOND Y	Y	NO LOAD	SUBS ONLY	1600	0	0
ACITX	AMERICAN CENTURY	AMERICAN CENTURY INFLATION ADJUSTED BOND	INVESTOR	NO LOAD	OPEN	1600	250	250
AIAVX	AMERICAN CENTURY	AMERICAN CENTURY INFLATION ADJUSTED BOND FD CL A	A	LOAD	OPEN	1600	250	250
TWTCX	AMERICAN CENTURY	AMERICAN CENTURY INTER TERM TAX FREE BD C	C	LOAD	OPEN	1600	250	250
AXBIX	AMERICAN CENTURY	AMERICAN CENTURY INTER TERM TAX FREE BD CL I	I	NO LOAD	OPEN	1600	5000000	5000000
ATBYX	AMERICAN CENTURY	AMERICAN CENTURY INTERMTRM TXFR BD Y	Y	NO LOAD	SUBS ONLY	1600	100000	100000
ATYGX	AMERICAN CENTURY	AMERICAN CENTURY INTERNATIONAL GR Y	Y	NO LOAD	SUBS ONLY	1600	0	0
AIORX	AMERICAN CENTURY	AMERICAN CENTURY INTERNATIONAL OPPS R	R	NO LOAD	REDEEM ONLY	1600	0	0
AIWCX	AMERICAN CENTURY	AMERICAN CENTURY INTL GROWTH C	C	LOAD	OPEN	1600	250	250
TGRIX	AMERICAN CENTURY	AMERICAN CENTURY INTL GROWTH CL I	I	NO LOAD	OPEN	1600	5000000	5000000
ATGRX	AMERICAN CENTURY	AMERICAN CENTURY INTL GROWTH CL R	R	NO LOAD	REDEEM ONLY	1600	250	250
ATGDY	AMERICAN CENTURY	AMERICAN CENTURY INTL GROWTH CL R6	R6	NO LOAD	REDEEM ONLY	1600	0	0
TWIEY	AMERICAN CENTURY	AMERICAN CENTURY INTL GROWTH FD INV	INVESTOR	NO LOAD	OPEN	1600	250	250
TWGAX	AMERICAN CENTURY	AMERICAN CENTURY INTL GROWTH FUND CL A	A	LOAD	OPEN	1600	250	250
AIVOX	AMERICAN CENTURY	AMERICAN CENTURY INTL OPPORTUNITIES A	A	LOAD	OPEN	1600	1000	2500
AIOIX	AMERICAN CENTURY	AMERICAN CENTURY INTL OPPORTUNITIES FD INV	INVESTOR	NO LOAD	OPEN	1600	250	250
ACIOX	AMERICAN CENTURY	AMERICAN CENTURY INTL OPPORTUNITIES I	I	NO LOAD	OPEN	1600	5000000	5000000
MEQAX	AMERICAN CENTURY	AMERICAN CENTURY INTL VAL CL A	A	LOAD	OPEN	1600	250	250
ACVUX	AMERICAN CENTURY	AMERICAN CENTURY INTL VAL CL I	I	NO LOAD	OPEN	1600	5000000	5000000
ACVRX	AMERICAN CENTURY	AMERICAN CENTURY INTL VAL CL R	R	NO LOAD	REDEEM ONLY	1600	250	250
ACVDX	AMERICAN CENTURY	AMERICAN CENTURY INTL VAL CL R6	R6	NO LOAD	REDEEM ONLY	1600	0	0
ACEVX	AMERICAN CENTURY	AMERICAN CENTURY INTL VAL INV	INVESTOR	NO LOAD	OPEN	1600	250	250
TWWOX	AMERICAN CENTURY	AMERICAN CENTURY INTRMTERM TAX FREE BD FD CL A	A	LOAD	OPEN	1600	250	250
TWTIX	AMERICAN CENTURY	AMERICAN CENTURY INTRMTERM TAX FREE BD FD INV CL	INVESTOR	NO LOAD	OPEN	1600	250	250
ALVSX	AMERICAN CENTURY	AMERICAN CENTURY LARGE CO VALUE CL I	I	NO LOAD	OPEN	1600	5000000	5000000
ALVRX	AMERICAN CENTURY	AMERICAN CENTURY LARGE CO VALUE CL R	R	NO LOAD	REDEEM ONLY	1600	250	250
ALVDX	AMERICAN CENTURY	AMERICAN CENTURY LARGE CO VALUE CL R6	R6	NO LOAD	REDEEM ONLY	1600	0	0
ACCLX	AMERICAN CENTURY	AMERICAN CENTURY MID CAP VALUE CL C	C	LOAD	OPEN	1600	250	250
AMVRX	AMERICAN CENTURY	AMERICAN CENTURY MID CAP VALUE CL R	R	NO LOAD	REDEEM ONLY	1600	250	250
AMDVX	AMERICAN CENTURY	AMERICAN CENTURY MID CAP VALUE CL R6	R6	NO LOAD	REDEEM ONLY	1600	0	0
AVUAX	AMERICAN CENTURY	AMERICAN CENTURY MID CAP VALUE FD CL I	I	NO LOAD	OPEN	1600	5000000	5000000
AMVGX	AMERICAN CENTURY	AMERICAN CENTURY MID CAP VALUE FD R5	R5	NO LOAD	REDEEM ONLY	1600	0	0
ACLAX	AMERICAN CENTURY	AMERICAN CENTURY MID CAP VALUE FUND CL A	A	LOAD	OPEN	1600	250	250
AMVYX	AMERICAN CENTURY	AMERICAN CENTURY MID CAP VALUE Y	Y	NO LOAD	SUBS ONLY	1600	0	0
ACMVX	AMERICAN CENTURY	AMERICAN CENTURY MIDCAP VALUE FD INVESTOR CL	INVESTOR	NO LOAD	OPEN	1600	250	250

SYMBOL	FUND FAMILY	FUND DESCRIPTION	SHARE CLASS	FUND TYPE	STATUS	CUT- OFF	IRA MIN	NON-IRA MIN
ASIGX	AMERICAN CENTURY	AMERICAN CENTURY MULTISECTOR INCOME I	I	NO LOAD	OPEN	1600	5000000	5000000
ASIEX	AMERICAN CENTURY	AMERICAN CENTURY MULTISECTOR INCOME INV	INVESTOR	NO LOAD	OPEN	1600	250	250
ASIWX	AMERICAN CENTURY	AMERICAN CENTURY MULTISECTOR INCOME R	R	NO LOAD	REDEEM ONLY	1600	0	0
ASIJX	AMERICAN CENTURY	AMERICAN CENTURY MULTISECTOR INCOME R5	R5	NO LOAD	SUBS ONLY	1600	5000000	5000000
ARSRX	AMERICAN CENTURY	AMERICAN CENTURY MY RETIREMENT CL R	R	NO LOAD	REDEEM ONLY	1600	0	0
ANVHX	AMERICAN CENTURY	AMERICAN CENTURY NON US INTRINSIC VAL I	I	NO LOAD	OPEN	1600	5000000	5000000
ANTUX	AMERICAN CENTURY	AMERICAN CENTURY NON US INTRINSIC VAL INV	INVESTOR	NO LOAD	OPEN	1600	250	250
ANVMX	AMERICAN CENTURY	AMERICAN CENTURY NON US INTRINSIC VAL R6	R6	NO LOAD	REDEEM ONLY	1600	0	0
ANVRX	AMERICAN CENTURY	AMERICAN CENTURY NONUS INTRS VAL R	R	NO LOAD	REDEEM ONLY	1600	250	250
AAAHX	AMERICAN CENTURY	AMERICAN CENTURY ONE CHC BLND 2015 I	I	NO LOAD	OPEN	1600	5000000	5000000
AAAFX	AMERICAN CENTURY	AMERICAN CENTURY ONE CHC BLND 2015 INV	INVESTOR	NO LOAD	OPEN	1600	250	250
AAAOX	AMERICAN CENTURY	AMERICAN CENTURY ONE CHC BLND 2020 I	I	NO LOAD	OPEN	1600	5000000	5000000
AAAMX	AMERICAN CENTURY	AMERICAN CENTURY ONE CHC BLND 2020 INV	INVESTOR	NO LOAD	OPEN	1600	250	250
AABKX	AMERICAN CENTURY	AMERICAN CENTURY ONE CHC BLND 2025 I	I	NO LOAD	OPEN	1600	5000000	5000000
AABJX	AMERICAN CENTURY	AMERICAN CENTURY ONE CHC BLND 2025 INV	INVESTOR	NO LOAD	OPEN	1600	250	250
AAEWX	AMERICAN CENTURY	AMERICAN CENTURY ONE CHC BLND 2030 I	I	NO LOAD	OPEN	1600	5000000	5000000
AABWX	AMERICAN CENTURY	AMERICAN CENTURY ONE CHC BLND 2030 INV	INVESTOR	NO LOAD	OPEN	1600	250	250
AACLX	AMERICAN CENTURY	AMERICAN CENTURY ONE CHC BLND 2035 I	I	NO LOAD	OPEN	1600	5000000	5000000
AACKX	AMERICAN CENTURY	AMERICAN CENTURY ONE CHC BLND 2035 INV	INVESTOR	NO LOAD	OPEN	1600	250	250
AACUX	AMERICAN CENTURY	AMERICAN CENTURY ONE CHC BLND 2040 I	I	NO LOAD	OPEN	1600	5000000	5000000
AACSX	AMERICAN CENTURY	AMERICAN CENTURY ONE CHC BLND 2040 INV	INVESTOR	NO LOAD	OPEN	1600	250	250
AADJX	AMERICAN CENTURY	AMERICAN CENTURY ONE CHC BLND 2045 I	I	NO LOAD	OPEN	1600	5000000	5000000
AADHX	AMERICAN CENTURY	AMERICAN CENTURY ONE CHC BLND 2045 INV	INVESTOR	NO LOAD	OPEN	1600	250	250
AADOX	AMERICAN CENTURY	AMERICAN CENTURY ONE CHC BLND 2050 I	I	NO LOAD	OPEN	1600	5000000	5000000
AADNX	AMERICAN CENTURY	AMERICAN CENTURY ONE CHC BLND 2050 INV	INVESTOR	NO LOAD	OPEN	1600	250	250
AADWX	AMERICAN CENTURY	AMERICAN CENTURY ONE CHC BLND 2055 I	I	NO LOAD	OPEN	1600	5000000	5000000
AADVX	AMERICAN CENTURY	AMERICAN CENTURY ONE CHC BLND 2055 INV	INVESTOR	NO LOAD	OPEN	1600	250	250
AAEGX	AMERICAN CENTURY	AMERICAN CENTURY ONE CHC BLND 2060 I	I	NO LOAD	OPEN	1600	5000000	5000000
AAEFX	AMERICAN CENTURY	AMERICAN CENTURY ONE CHC BLND 2060 INV	INVESTOR	NO LOAD	OPEN	1600	250	250
AAELX	AMERICAN CENTURY	AMERICAN CENTURY ONE CHC BLND 2065 I	I	NO LOAD	OPEN	1600	5000000	5000000
AAEKX	AMERICAN CENTURY	AMERICAN CENTURY ONE CHC BLND 2065 INV	INVESTOR	NO LOAD	OPEN	1600	250	250
ARWFX	AMERICAN CENTURY	AMERICAN CENTURY ONE CHOICE 2025 CL I	I	NO LOAD	OPEN	1600	5000000	5000000
ARWAX	AMERICAN CENTURY	AMERICAN CENTURY ONE CHOICE 2025 PORT CL A	A	LOAD	OPEN	1600	250	250
ARWCX	AMERICAN CENTURY	AMERICAN CENTURY ONE CHOICE 2025 PORT CL C	C	LOAD	OPEN	1600	250	250
ARWIX	AMERICAN CENTURY	AMERICAN CENTURY ONE CHOICE 2025 PORT INV CL	INVESTOR	NO LOAD	OPEN	1600	250	250
ARWDX	AMERICAN CENTURY	AMERICAN CENTURY ONE CHOICE 2025 R6	R6	NO LOAD	REDEEM ONLY	1600	0	0
ARCSX	AMERICAN CENTURY	AMERICAN CENTURY ONE CHOICE 2030 CL I	I	NO LOAD	OPEN	1600	5000000	5000000
ARCMX	AMERICAN CENTURY	AMERICAN CENTURY ONE CHOICE 2030 PORT CL A	A	LOAD	OPEN	1600	1000	2500
ARWOX	AMERICAN CENTURY	AMERICAN CENTURY ONE CHOICE 2030 PORT CL C	C	LOAD	OPEN	1600	250	250
ARCRX	AMERICAN CENTURY	AMERICAN CENTURY ONE CHOICE 2030 PORT CL R	R	NO LOAD	REDEEM ONLY	1600	250	250
ARCVX	AMERICAN CENTURY	AMERICAN CENTURY ONE CHOICE 2030 PORT INV CL	INVESTOR	NO LOAD	OPEN	1600	250	250

SYMBOL	FUND FAMILY	FUND DESCRIPTION	SHARE CLASS	FUND TYPE	STATUS	CUT- OFF	IRA MIN	NON-IRA MIN
ARCUX	AMERICAN CENTURY	AMERICAN CENTURY ONE CHOICE 2030 R6	R6	NO LOAD	REDEEM ONLY	1600	0	0
ARLIX	AMERICAN CENTURY	AMERICAN CENTURY ONE CHOICE 2035 CL I	I	NO LOAD	OPEN	1600	5000000	5000000
ARYAX	AMERICAN CENTURY	AMERICAN CENTURY ONE CHOICE 2035 PORT CL A	A	LOAD	OPEN	1600	250	250
ARLCX	AMERICAN CENTURY	AMERICAN CENTURY ONE CHOICE 2035 PORT CL C	C	LOAD	OPEN	1600	250	250
ARYIX	AMERICAN CENTURY	AMERICAN CENTURY ONE CHOICE 2035 PORT INV CL	INVESTOR	NO LOAD	OPEN	1600	250	250
ARLDX	AMERICAN CENTURY	AMERICAN CENTURY ONE CHOICE 2035 R6	R6	NO LOAD	REDEEM ONLY	1600	0	0
ARDSX	AMERICAN CENTURY	AMERICAN CENTURY ONE CHOICE 2040 CL I	I	NO LOAD	OPEN	1600	5000000	5000000
ARDMX	AMERICAN CENTURY	AMERICAN CENTURY ONE CHOICE 2040 PORT CL A	A	LOAD	OPEN	1600	250	250
ARNOX	AMERICAN CENTURY	AMERICAN CENTURY ONE CHOICE 2040 PORT CL C	C	LOAD	OPEN	1600	250	250
ARDRX	AMERICAN CENTURY	AMERICAN CENTURY ONE CHOICE 2040 PORT CL R	R	NO LOAD	REDEEM ONLY	1600	250	250
ARDVX	AMERICAN CENTURY	AMERICAN CENTURY ONE CHOICE 2040 PORT INV CL	INVESTOR	NO LOAD	OPEN	1600	250	250
ARDUX	AMERICAN CENTURY	AMERICAN CENTURY ONE CHOICE 2040 R6	R6	NO LOAD	REDEEM ONLY	1600	0	0
AOOIX	AMERICAN CENTURY	AMERICAN CENTURY ONE CHOICE 2045 CL I	I	NO LOAD	OPEN	1600	5000000	5000000
AROAX	AMERICAN CENTURY	AMERICAN CENTURY ONE CHOICE 2045 PORT CL A	A	LOAD	OPEN	1600	250	250
AROIX	AMERICAN CENTURY	AMERICAN CENTURY ONE CHOICE 2045 PORT INV CL	INVESTOR	NO LOAD	OPEN	1600	250	250
ARORX	AMERICAN CENTURY	AMERICAN CENTURY ONE CHOICE 2045 R	R	NO LOAD	REDEEM ONLY	1600	0	0
ARDOX	AMERICAN CENTURY	AMERICAN CENTURY ONE CHOICE 2045 R6	R6	NO LOAD	REDEEM ONLY	1600	0	0
ARFSX	AMERICAN CENTURY	AMERICAN CENTURY ONE CHOICE 2050 CL I	I	NO LOAD	OPEN	1600	5000000	5000000
ARFMX	AMERICAN CENTURY	AMERICAN CENTURY ONE CHOICE 2050 PORT CL A	A	LOAD	OPEN	1600	250	250
ARFDX	AMERICAN CENTURY	AMERICAN CENTURY ONE CHOICE 2050 PORT CL C	C	LOAD	OPEN	1600	250	250
ARFWX	AMERICAN CENTURY	AMERICAN CENTURY ONE CHOICE 2050 PORT CL R	R	NO LOAD	REDEEM ONLY	1600	250	250
ARFVX	AMERICAN CENTURY	AMERICAN CENTURY ONE CHOICE 2050 PORT INV CL	INVESTOR	NO LOAD	OPEN	1600	250	250
ARFEX	AMERICAN CENTURY	AMERICAN CENTURY ONE CHOICE 2050 R6	R6	NO LOAD	REDEEM ONLY	1600	0	0
ARENX	AMERICAN CENTURY	AMERICAN CENTURY ONE CHOICE 2055 CL I	I	NO LOAD	OPEN	1600	5000000	5000000
AREMX	AMERICAN CENTURY	AMERICAN CENTURY ONE CHOICE 2055 PORT CL A	A	LOAD	OPEN	1600	250	250
AREOX	AMERICAN CENTURY	AMERICAN CENTURY ONE CHOICE 2055 PORT CL R	R	NO LOAD	REDEEM ONLY	1600	250	250
AREVX	AMERICAN CENTURY	AMERICAN CENTURY ONE CHOICE 2055 PORT INV CL	INVESTOR	NO LOAD	OPEN	1600	250	250
AREUX	AMERICAN CENTURY	AMERICAN CENTURY ONE CHOICE 2055 R6	R6	NO LOAD	REDEEM ONLY	1600	0	0
ARGNX	AMERICAN CENTURY	AMERICAN CENTURY ONE CHOICE 2060 CL I	I	NO LOAD	OPEN	1600	5000000	5000000
ARGVX	AMERICAN CENTURY	AMERICAN CENTURY ONE CHOICE 2060 INV	INVESTOR	NO LOAD	OPEN	1600	250	250
ARGRX	AMERICAN CENTURY	AMERICAN CENTURY ONE CHOICE 2060 R	R	NO LOAD	REDEEM ONLY	1600	0	0
ARGDX	AMERICAN CENTURY	AMERICAN CENTURY ONE CHOICE 2060 R6	R6	NO LOAD	REDEEM ONLY	1600	0	0
ARHUX	AMERICAN CENTURY	AMERICAN CENTURY ONE CHOICE 2065 I	I	NO LOAD	OPEN	1600	5000000	5000000
ARHVX	AMERICAN CENTURY	AMERICAN CENTURY ONE CHOICE 2065 INV	INVESTOR	NO LOAD	OPEN	1600	250	250
ARHSX	AMERICAN CENTURY	AMERICAN CENTURY ONE CHOICE 2065 R6	R6	NO LOAD	REDEEM ONLY	1600	0	0
AORYX	AMERICAN CENTURY	AMERICAN CENTURY ONE CHOICE AGRSV R	R	NO LOAD	REDEEM ONLY	1600	0	0
AORSX	AMERICAN CENTURY	AMERICAN CENTURY ONE CHOICE CNSRV R	R	NO LOAD	REDEEM ONLY	1600	0	0
ATTCX	AMERICAN CENTURY	AMERICAN CENTURY ONE CHOICE IN RET C	C	LOAD	OPEN	1600	250	250
ARTAX	AMERICAN CENTURY	AMERICAN CENTURY ONE CHOICE IN RETIREMENT PORT CL A	A	LOAD	OPEN	1600	250	250
ARTOX	AMERICAN CENTURY	AMERICAN CENTURY ONE CHOICE IN RETIREMENT PORT INV	INVESTOR	NO LOAD	OPEN	1600	250	250
ATTIX	AMERICAN CENTURY	AMERICAN CENTURY ONE CHOICE IN RTRMT CL I	I	NO LOAD	OPEN	1600	5000000	5000000

SYMBOL	FUND FAMILY	FUND DESCRIPTION	SHARE CLASS	FUND TYPE	STATUS	CUT-OFF	IRA MIN	NON-IRA MIN
ARDTX	AMERICAN CENTURY	AMERICAN CENTURY ONE CHOICE IN RTRMT R6	R6	NO LOAD	REDEEM ONLY	1600	0	0
AORMX	AMERICAN CENTURY	AMERICAN CENTURY ONE CHOICE MOD R	R	NO LOAD	REDEEM ONLY	1600	0	0
AOGIX	AMERICAN CENTURY	AMERICAN CENTURY ONE CHOICE PORT AGGRESSIVE INV	INVESTOR	NO LOAD	OPEN	1600	250	250
AOCIX	AMERICAN CENTURY	AMERICAN CENTURY ONE CHOICE PORT CONSERVATIVE INV	INVESTOR	NO LOAD	OPEN	1600	250	250
AOMIX	AMERICAN CENTURY	AMERICAN CENTURY ONE CHOICE PORT MODERATE INV	INVESTOR	NO LOAD	OPEN	1600	250	250
AOVIX	AMERICAN CENTURY	AMERICAN CENTURY ONE CHOICE PORT VERY AGGR INV	INVESTOR	NO LOAD	OPEN	1600	250	250
AONIX	AMERICAN CENTURY	AMERICAN CENTURY ONE CHOICE PT VERY CONSERV INV	INVESTOR	NO LOAD	OPEN	1600	250	250
AORVX	AMERICAN CENTURY	AMERICAN CENTURY ONE CHOICE VRYAGRSV R	R	NO LOAD	REDEEM ONLY	1600	0	0
AORHX	AMERICAN CENTURY	AMERICAN CENTURY ONE CHOICE VRYCNSRV R	R	NO LOAD	REDEEM ONLY	1600	0	0
ACAXX	AMERICAN CENTURY	AMERICAN CENTURY PRIME MMF CL A	A	LOAD	OPEN	1600	250	250
ARCXX	AMERICAN CENTURY	AMERICAN CENTURY PRIME MONEY MKT FD C	C	LOAD	OPEN	1600	250	250
BPRXX	AMERICAN CENTURY	AMERICAN CENTURY PRIMEMMF INV CL	INVESTOR	NO LOAD	OPEN	1600	250	250
REAX	AMERICAN CENTURY	AMERICAN CENTURY REAL ESTATE FD CL I	I	NO LOAD	OPEN	1600	5000000	5000000
AREWX	AMERICAN CENTURY	AMERICAN CENTURY REAL ESTATE FD CL R	R	NO LOAD	REDEEM ONLY	1600	250	250
AREDX	AMERICAN CENTURY	AMERICAN CENTURY REAL ESTATE FD CL R6	R6	NO LOAD	REDEEM ONLY	1600	0	0
AREEX	AMERICAN CENTURY	AMERICAN CENTURY REAL ESTATE FUND CL A	A	LOAD	OPEN	1600	250	250
ARYCX	AMERICAN CENTURY	AMERICAN CENTURY REAL ESTATE FUND CL C	C	LOAD	OPEN	1600	250	250
ARYEX	AMERICAN CENTURY	AMERICAN CENTURY REAL ESTATE Y	Y	NO LOAD	SUBS ONLY	1600	0	0
REACX	AMERICAN CENTURY	AMERICAN CENTURY REALESTATE INVESTOR SHARES	INVESTOR	NO LOAD	OPEN	1600	250	250
TWCAX	AMERICAN CENTURY	AMERICAN CENTURY SELECT FUND CL A	A	LOAD	OPEN	1600	250	250
ACSLX	AMERICAN CENTURY	AMERICAN CENTURY SELECT FUND CL C	C	LOAD	OPEN	1600	250	250
TWSIX	AMERICAN CENTURY	AMERICAN CENTURY SELECT FUND CL I	I	NO LOAD	OPEN	1600	5000000	5000000
ASERX	AMERICAN CENTURY	AMERICAN CENTURY SELECT FUND CL R	R	NO LOAD	REDEEM ONLY	1600	250	250
ASDEX	AMERICAN CENTURY	AMERICAN CENTURY SELECT FUND CL R6	R6	NO LOAD	REDEEM ONLY	1600	0	0
TWCIX	AMERICAN CENTURY	AMERICAN CENTURY SELECT FUND INV	INVESTOR	NO LOAD	OPEN	1600	250	250
ASLWX	AMERICAN CENTURY	AMERICAN CENTURY SELECT Y	Y	NO LOAD	SUBS ONLY	1600	0	0
APOHX	AMERICAN CENTURY	AMERICAN CENTURY SH DUR INFL PROTECTION BD I	I	NO LOAD	OPEN	1600	5000000	5000000
APORX	AMERICAN CENTURY	AMERICAN CENTURY SH DUR INFL PROTECTION BD R	R	NO LOAD	REDEEM ONLY	1600	250	250
APISX	AMERICAN CENTURY	AMERICAN CENTURY SH DUR INFL PROTECTION BD R5	R5	NO LOAD	SUBS ONLY	1600	5000000	5000000
ASDHX	AMERICAN CENTURY	AMERICAN CENTURY SH DUR STRAT INCM I	I	NO LOAD	OPEN	1600	5000000	5000000
ASDVX	AMERICAN CENTURY	AMERICAN CENTURY SH DUR STRAT INCM INV	INVESTOR	NO LOAD	OPEN	1600	250	250
ASDJX	AMERICAN CENTURY	AMERICAN CENTURY SH DUR STRAT INCM R5	R5	NO LOAD	SUBS ONLY	1600	5000000	5000000
APODX	AMERICAN CENTURY	AMERICAN CENTURY SHORT DUR INF PRBD R6	R6	NO LOAD	REDEEM ONLY	1600	100000	100000
APOYX	AMERICAN CENTURY	AMERICAN CENTURY SHORT DUR INFL PROBD Y	Y	NO LOAD	SUBS ONLY	1600	0	0
APOAX	AMERICAN CENTURY	AMERICAN CENTURY SHORT DUR INFL PROTECTION BD A	A	LOAD	OPEN	1600	250	250
APOCX	AMERICAN CENTURY	AMERICAN CENTURY SHORT DUR INFL PROTECTION BD C	C	LOAD	OPEN	1600	250	250
APOIX	AMERICAN CENTURY	AMERICAN CENTURY SHORT DUR INFL PROTECTION BD INV	INVESTOR	NO LOAD	OPEN	1600	250	250
ASYDX	AMERICAN CENTURY	AMERICAN CENTURY SHORT DUR STRAT INC Y	Y	NO LOAD	SUBS ONLY	1600	0	0
ASADX	AMERICAN CENTURY	AMERICAN CENTURY SHORT DUR STRT INC A	A	LOAD	OPEN	1600	0	0
ASDRX	AMERICAN CENTURY	AMERICAN CENTURY SHORT DUR STRT INC R	R	NO LOAD	REDEEM ONLY	1600	0	0
ASDX	AMERICAN CENTURY	AMERICAN CENTURY SHORT DUR STRT INC R6	R6	NO LOAD	REDEEM ONLY	1600	100000	100000

SYMBOL	FUND FAMILY	FUND DESCRIPTION	SHARE CLASS	FUND TYPE	STATUS	CUT- OFF	IRA MIN	NON-IRA MIN
ACSQX	AMERICAN CENTURY	AMERICAN CENTURY SHORT DURATION A	A	LOAD	OPEN	1600	250	250
ACSKX	AMERICAN CENTURY	AMERICAN CENTURY SHORT DURATION C	C	LOAD	OPEN	1600	250	250
ASHHX	AMERICAN CENTURY	AMERICAN CENTURY SHORT DURATION I	I	NO LOAD	OPEN	1600	5000000	5000000
ACSNX	AMERICAN CENTURY	AMERICAN CENTURY SHORT DURATION INVST	INVESTOR	NO LOAD	OPEN	1600	250	250
ACSPX	AMERICAN CENTURY	AMERICAN CENTURY SHORT DURATION R	R	NO LOAD	REDEEM ONLY	1600	250	250
ACSUX	AMERICAN CENTURY	AMERICAN CENTURY SHORT DURATION R5	R5	NO LOAD	SUBS ONLY	1600	5000000	5000000
TWAVX	AMERICAN CENTURY	AMERICAN CENTURY SHORT TERM GOVT FD CL A	A	LOAD	OPEN	1600	250	250
TWUSX	AMERICAN CENTURY	AMERICAN CENTURY SHORT TERM GOVT FUND INV	INVESTOR	NO LOAD	OPEN	1600	250	250
ASGHX	AMERICAN CENTURY	AMERICAN CENTURY SHORT TERM GOVT I	I	NO LOAD	OPEN	1600	5000000	5000000
TWUOX	AMERICAN CENTURY	AMERICAN CENTURY SHORT TERM GOVT R5	R5	NO LOAD	SUBS ONLY	1600	5000000	5000000
TWARX	AMERICAN CENTURY	AMERICAN CENTURY SHORTTERM GOVT R	R	NO LOAD	REDEEM ONLY	1600	0	0
AMAHX	AMERICAN CENTURY	AMERICAN CENTURY SMALL CAP DIVIDEND A	A	LOAD	OPEN	1600	250	250
AMAFX	AMERICAN CENTURY	AMERICAN CENTURY SMALL CAP DIVIDEND I	I	NO LOAD	OPEN	1600	5000000	5000000
AMAEX	AMERICAN CENTURY	AMERICAN CENTURY SMALL CAP DIVIDEND INV	INVESTOR	NO LOAD	OPEN	1600	250	250
AMAJX	AMERICAN CENTURY	AMERICAN CENTURY SMALL CAP DIVIDEND R	R	NO LOAD	REDEEM ONLY	1600	250	250
AMAKX	AMERICAN CENTURY	AMERICAN CENTURY SMALL CAP DIVIDEND R6	R6	NO LOAD	REDEEM ONLY	1600	0	0
ANOAX	AMERICAN CENTURY	AMERICAN CENTURY SMALL CAP GROWTH CL A	A	LOAD	OPEN	1600	250	250
ANOCX	AMERICAN CENTURY	AMERICAN CENTURY SMALL CAP GROWTH CL C	C	LOAD	OPEN	1600	250	250
ANONX	AMERICAN CENTURY	AMERICAN CENTURY SMALL CAP GROWTH INSTL CL	I	NO LOAD	OPEN	1600	5000000	5000000
ANOIX	AMERICAN CENTURY	AMERICAN CENTURY SMALL CAP GROWTH INV CL	INVESTOR	NO LOAD	OPEN	1600	250	250
ANOYX	AMERICAN CENTURY	AMERICAN CENTURY SMALL CAP GROWTH Y	Y	NO LOAD	SUBS ONLY	1600	0	0
ANORX	AMERICAN CENTURY	AMERICAN CENTURY SMALL CAP GWTH R	R	NO LOAD	REDEEM ONLY	1600	250	250
ANODX	AMERICAN CENTURY	AMERICAN CENTURY SMALL CAP GWTH R6	R6	NO LOAD	REDEEM ONLY	1600	0	0
ACVIX	AMERICAN CENTURY	AMERICAN CENTURY SMALL CAP VAL CL I	I	NO LOAD	SUBS ONLY	1600	5000000	5000000
ASVDX	AMERICAN CENTURY	AMERICAN CENTURY SMALL CAP VAL CL R6	R6	NO LOAD	REDEEM ONLY	1600	0	0
ACSCX	AMERICAN CENTURY	AMERICAN CENTURY SMALL CAP VALUE FUND CL A	A	LOAD	SUBS ONLY	1600	250	250
ASVIX	AMERICAN CENTURY	AMERICAN CENTURY SMALL CAP VALUE FUND INV CLASS	INVESTOR	NO LOAD	SUBS ONLY	1600	250	250
ASVRX	AMERICAN CENTURY	AMERICAN CENTURY SMALL CAP VALUE R	R	NO LOAD	REDEEM ONLY	1600	0	0
ASVYX	AMERICAN CENTURY	AMERICAN CENTURY SMALL CAP VALUE Y	Y	NO LOAD	SUBS ONLY	1600	0	0
ASCQX	AMERICAN CENTURY	AMERICAN CENTURY SMALL CO FD CL I	I	NO LOAD	OPEN	1600	5000000	5000000
ASCRX	AMERICAN CENTURY	AMERICAN CENTURY SMALL CO FD CL R	R	NO LOAD	REDEEM ONLY	1600	250	250
ASQCX	AMERICAN CENTURY	AMERICAN CENTURY SMALL COMPANY C	C	LOAD	OPEN	1600	250	250
ASQIX	AMERICAN CENTURY	AMERICAN CENTURY SMALL COMPANY FUND	INVESTOR	NO LOAD	OPEN	1600	250	250
ASQAX	AMERICAN CENTURY	AMERICAN CENTURY SMALL COMPANY FUND CL A	A	LOAD	OPEN	1600	250	250
ACVAX	AMERICAN CENTURY	AMERICAN CENTURY STRAT ALLOC AGGR CL A	A	LOAD	OPEN	1600	250	250
AAAIX	AMERICAN CENTURY	AMERICAN CENTURY STRAT ALLOC AGGR CL I	I	NO LOAD	OPEN	1600	5000000	5000000
TWSAX	AMERICAN CENTURY	AMERICAN CENTURY STRAT ALLOC AGGR INV CL	INVESTOR	NO LOAD	OPEN	1600	250	250
AAARX	AMERICAN CENTURY	AMERICAN CENTURY STRAT ALLOC AGGR R	R	NO LOAD	REDEEM ONLY	1600	250	250
AAAUX	AMERICAN CENTURY	AMERICAN CENTURY STRAT ALLOC AGGR R6	R6	NO LOAD	REDEEM ONLY	1600	0	0
ACCIX	AMERICAN CENTURY	AMERICAN CENTURY STRAT ALLOC CONSERV CL I	I	NO LOAD	OPEN	1600	5000000	5000000
AACRX	AMERICAN CENTURY	AMERICAN CENTURY STRAT ALLOC CONSERV R	R	NO LOAD	REDEEM ONLY	1600	250	250

SYMBOL	FUND FAMILY	FUND DESCRIPTION	SHARE CLASS	FUND TYPE	STATUS	CUT- OFF	IRA MIN	NON-IRA MIN
AACDX	AMERICAN CENTURY	AMERICAN CENTURY STRAT ALLOC CONSERV R6	R6	NO LOAD	REDEEM ONLY	1600	0	0
TWSCX	AMERICAN CENTURY	AMERICAN CENTURY STRAT ALLOC CONSERVINVESTOR	INVESTOR	NO LOAD	OPEN	1600	250	250
ASAMX	AMERICAN CENTURY	AMERICAN CENTURY STRAT ALLOC MOD CL I	I	NO LOAD	OPEN	1600	5000000	5000000
ASMDX	AMERICAN CENTURY	AMERICAN CENTURY STRAT ALLOC MOD R6	R6	NO LOAD	REDEEM ONLY	1600	0	0
TWSMX	AMERICAN CENTURY	AMERICAN CENTURY STRAT ALLOC MODE	INVESTOR	NO LOAD	OPEN	1600	250	250
ASTCX	AMERICAN CENTURY	AMERICAN CENTURY STRAT ALLOC MODERATE C	C	LOAD	OPEN	1600	250	250
ACOAX	AMERICAN CENTURY	AMERICAN CENTURY STRAT ALLOC MODERATE CL A	A	LOAD	OPEN	1600	250	250
ASMRX	AMERICAN CENTURY	AMERICAN CENTURY STRAT ALLOC MODERATE CL R	R	NO LOAD	REDEEM ONLY	1600	250	250
ASTAX	AMERICAN CENTURY	AMERICAN CENTURY STRATALLOC AGGR C	C	LOAD	OPEN	1600	250	250
AACCX	AMERICAN CENTURY	AMERICAN CENTURY STRATALLOC CONSERV C	C	LOAD	OPEN	1600	250	250
ACCAX	AMERICAN CENTURY	AMERICAN CENTURY STRATEGIC ALLOC CONSERV CL A	A	LOAD	OPEN	1600	250	250
AFDAX	AMERICAN CENTURY	AMERICAN CENTURY SUSTAINABLE EQTY A	A	LOAD	OPEN	1600	250	250
AFDCX	AMERICAN CENTURY	AMERICAN CENTURY SUSTAINABLE EQTY C	C	LOAD	OPEN	1600	250	250
AFEIX	AMERICAN CENTURY	AMERICAN CENTURY SUSTAINABLE EQTY CL I	I	NO LOAD	OPEN	1600	5000000	5000000
AFDIX	AMERICAN CENTURY	AMERICAN CENTURY SUSTAINABLE EQTY INV	INVESTOR	NO LOAD	OPEN	1600	250	250
AFDRX	AMERICAN CENTURY	AMERICAN CENTURY SUSTAINABLE EQTY R	R	NO LOAD	REDEEM ONLY	1600	250	250
AFEDX	AMERICAN CENTURY	AMERICAN CENTURY SUSTAINABLE EQUITY R6	R6	NO LOAD	REDEEM ONLY	1600	100000	100000
AFYDX	AMERICAN CENTURY	AMERICAN CENTURY SUSTAINABLE EQUITY Y	Y	NO LOAD	SUBS ONLY	1600	0	0
BNTXX	AMERICAN CENTURY	AMERICAN CENTURY TAX FREE MONEY MKT FD INV	INVESTOR	NO LOAD	OPEN	1600	250	250
TWUIX	AMERICAN CENTURY	AMERICAN CENTURY ULTRA FD CL I	I	NO LOAD	OPEN	1600	5000000	5000000
AULRX	AMERICAN CENTURY	AMERICAN CENTURY ULTRA FD CL R	R	NO LOAD	REDEEM ONLY	1600	250	250
AULDY	AMERICAN CENTURY	AMERICAN CENTURY ULTRA FD CL R6	R6	NO LOAD	REDEEM ONLY	1600	0	0
TWUAX	AMERICAN CENTURY	AMERICAN CENTURY ULTRA FUND CL A	A	LOAD	OPEN	1600	250	250
TWCCX	AMERICAN CENTURY	AMERICAN CENTURY ULTRA FUND CL C	C	LOAD	OPEN	1600	250	250
TWCUX	AMERICAN CENTURY	AMERICAN CENTURY ULTRA FUND INV	INVESTOR	NO LOAD	OPEN	1600	250	250
AULGX	AMERICAN CENTURY	AMERICAN CENTURY ULTRA R5	R5	NO LOAD	SUBS ONLY	1600	0	0
AULYX	AMERICAN CENTURY	AMERICAN CENTURY ULTRA Y	Y	NO LOAD	SUBS ONLY	1600	0	0
TCRXX	AMERICAN CENTURY	AMERICAN CENTURY US GOVT MONEY MKT FUND	INVESTOR	NO LOAD	OPEN	1600	250	250
BULIX	AMERICAN CENTURY	AMERICAN CENTURY UTIL FD	INVESTOR	NO LOAD	OPEN	1600	250	250
ACLCX	AMERICAN CENTURY	AMERICAN CENTURY VALUE C	C	LOAD	OPEN	1600	250	250
TWADX	AMERICAN CENTURY	AMERICAN CENTURY VALUE FUND CL A	A	LOAD	OPEN	1600	250	250
AVLIX	AMERICAN CENTURY	AMERICAN CENTURY VALUE FUND CL I	I	NO LOAD	OPEN	1600	5000000	5000000
AVUDX	AMERICAN CENTURY	AMERICAN CENTURY VALUE FUND CL R6	R6	NO LOAD	REDEEM ONLY	1600	0	0
TWVLX	AMERICAN CENTURY	AMERICAN CENTURY VALUE FUND INV	INVESTOR	NO LOAD	OPEN	1600	250	250
AVUYX	AMERICAN CENTURY	AMERICAN CENTURY VALUE Y	Y	NO LOAD	SUBS ONLY	1600	0	0
ACTVX	AMERICAN CENTURY	AMERICAN CENTURY ZERO CUPON 2025 FD ADV CL	ADVISOR	NO LOAD	OPEN	1600	250	250
BTRRX	AMERICAN CENTURY	AMERICAN CENTURY ZERO CUPON 2025 FD INV CL	INVESTOR	NO LOAD	OPEN	1600	250	250
AVIGX	AMERICAN CENTURY	AVANTIS CORE FIXED INCOME INSTITUTIONAL	INSTITUTIONAL	NO LOAD	OPEN	1600	0	0
AVSFX	AMERICAN CENTURY	AVANTIS SHORTTERM FIXED INCOME INSTL	INSTITUTIONAL	NO LOAD	OPEN	1600	0	0
AVLVX	AMERICAN CENTURY	AVANTIS U.S. LARGE CAP VALUE INST	INSTITUTIONAL	NO LOAD	OPEN	1600	0	0
AVSCX	AMERICAN CENTURY	AVANTIS U.S. SMALL CAP EQUITY INST	INSTITUTIONAL	NO LOAD	OPEN	1600	0	0

SYMBOL	FUND FAMILY	FUND DESCRIPTION	SHARE CLASS	FUND TYPE	STATUS	CUT- OFF	IRA MIN	NON-IRA MIN
AMCPX	AMERICAN FUNDS	AMCAP FUND CL A AMERICAN FUNDS	A	LOAD	OPEN	1600	250	250
AMPFX	AMERICAN FUNDS	AMCAP FUND CL F1 AMERICAN FUNDS	F1	NO LOAD	OPEN	1600	100	100
AMPCX	AMERICAN FUNDS	AMCAP FUND INC CL C	C	LOAD	OPEN	1600	250	250
RLBAX	AMERICAN FUNDS	AMERICAN BALANCED FD R1	R1	NO LOAD	REDEEM ONLY	1600	0	0
BALCX	AMERICAN FUNDS	AMERICAN BALANCED FUND INC CL C	C	LOAD	OPEN	1600	250	250
AAATX	AMERICAN FUNDS	AMERICAN FD 2010 TARGET DATE RTRMT A	A	LOAD	OPEN	1600	250	250
FAATX	AMERICAN FUNDS	AMERICAN FD 2010 TARGET DATE RTRMT F1	F1	NO LOAD	OPEN	1600	100	100
FBATX	AMERICAN FUNDS	AMERICAN FD 2010 TARGET DATE RTRMT F2	F2	NO LOAD	REDEEM ONLY	1600	250	250
DJTFX	AMERICAN FUNDS	AMERICAN FD 2010 TARGET DATE RTRMT F3	F3	NO LOAD	REDEEM ONLY	1600	0	0
RAATX	AMERICAN FUNDS	AMERICAN FD 2010 TARGET DATE RTRMT R1	R1	NO LOAD	REDEEM ONLY	1600	0	0
RCATX	AMERICAN FUNDS	AMERICAN FD 2010 TARGET DATE RTRMT R3	R3	NO LOAD	REDEEM ONLY	1600	0	0
RDATX	AMERICAN FUNDS	AMERICAN FD 2010 TARGET DATE RTRMT R4	R4	NO LOAD	REDEEM ONLY	1600	0	0
REATX	AMERICAN FUNDS	AMERICAN FD 2010 TARGET DATE RTRMT R5	R5	NO LOAD	REDEEM ONLY	1600	0	0
RHATX	AMERICAN FUNDS	AMERICAN FD 2010 TARGET DATE RTRMT R5E	R5E	NO LOAD	REDEEM ONLY	1600	0	0
AABTX	AMERICAN FUNDS	AMERICAN FD 2015 TARGET DATE RTRMT A	A	LOAD	OPEN	1600	250	250
CCBTX	AMERICAN FUNDS	AMERICAN FD 2015 TARGET DATE RTRMT C	C	LOAD	OPEN	1600	250	250
FAKTX	AMERICAN FUNDS	AMERICAN FD 2015 TARGET DATE RTRMT F1	F1	NO LOAD	OPEN	1600	100	100
FBBTX	AMERICAN FUNDS	AMERICAN FD 2015 TARGET DATE RTRMT F2	F2	NO LOAD	REDEEM ONLY	1600	250	250
FDBTX	AMERICAN FUNDS	AMERICAN FD 2015 TARGET DATE RTRMT F3	F3	NO LOAD	REDEEM ONLY	1600	0	0
RBJTX	AMERICAN FUNDS	AMERICAN FD 2015 TARGET DATE RTRMT R2	R2	NO LOAD	REDEEM ONLY	1600	0	0
RCJTX	AMERICAN FUNDS	AMERICAN FD 2015 TARGET DATE RTRMT R3	R3	NO LOAD	REDEEM ONLY	1600	0	0
RDBTX	AMERICAN FUNDS	AMERICAN FD 2015 TARGET DATE RTRMT R4	R4	NO LOAD	REDEEM ONLY	1600	0	0
REJTX	AMERICAN FUNDS	AMERICAN FD 2015 TARGET DATE RTRMT R5	R5	NO LOAD	REDEEM ONLY	1600	0	0
RHBTX	AMERICAN FUNDS	AMERICAN FD 2015 TARGET DATE RTRMT R5E	R5E	NO LOAD	REDEEM ONLY	1600	0	0
AACTX	AMERICAN FUNDS	AMERICAN FD 2020 TARGET DATE RTRMT A	A	LOAD	OPEN	1600	250	250
CCCTX	AMERICAN FUNDS	AMERICAN FD 2020 TARGET DATE RTRMT C	C	LOAD	OPEN	1600	250	250
FAOTX	AMERICAN FUNDS	AMERICAN FD 2020 TARGET DATE RTRMT F1	F1	NO LOAD	OPEN	1600	100	100
FBCTX	AMERICAN FUNDS	AMERICAN FD 2020 TARGET DATE RTRMT F2	F2	NO LOAD	REDEEM ONLY	1600	250	250
FCCTX	AMERICAN FUNDS	AMERICAN FD 2020 TARGET DATE RTRMT F3	F3	NO LOAD	REDEEM ONLY	1600	0	0
RBCTX	AMERICAN FUNDS	AMERICAN FD 2020 TARGET DATE RTRMT R2	R2	NO LOAD	REDEEM ONLY	1600	0	0
RCCTX	AMERICAN FUNDS	AMERICAN FD 2020 TARGET DATE RTRMT R3	R3	NO LOAD	REDEEM ONLY	1600	0	0
RDCTX	AMERICAN FUNDS	AMERICAN FD 2020 TARGET DATE RTRMT R4	R4	NO LOAD	REDEEM ONLY	1600	0	0
RECTX	AMERICAN FUNDS	AMERICAN FD 2020 TARGET DATE RTRMT R5	R5	NO LOAD	REDEEM ONLY	1600	0	0
RHCTX	AMERICAN FUNDS	AMERICAN FD 2020 TARGET DATE RTRMT R5E	R5E	NO LOAD	REDEEM ONLY	1600	0	0
AADTX	AMERICAN FUNDS	AMERICAN FD 2025 TARGET DATE RTRMT A	A	LOAD	OPEN	1600	250	250
CCDTX	AMERICAN FUNDS	AMERICAN FD 2025 TARGET DATE RTRMT C	C	LOAD	OPEN	1600	250	250
FAPTX	AMERICAN FUNDS	AMERICAN FD 2025 TARGET DATE RTRMT F1	F1	NO LOAD	OPEN	1600	100	100
FBDTX	AMERICAN FUNDS	AMERICAN FD 2025 TARGET DATE RTRMT F2	F2	NO LOAD	REDEEM ONLY	1600	250	250
FDDTX	AMERICAN FUNDS	AMERICAN FD 2025 TARGET DATE RTRMT F3	F3	NO LOAD	REDEEM ONLY	1600	0	0
RADTX	AMERICAN FUNDS	AMERICAN FD 2025 TARGET DATE RTRMT R1	R1	NO LOAD	REDEEM ONLY	1600	0	0
RBDTX	AMERICAN FUNDS	AMERICAN FD 2025 TARGET DATE RTRMT R2	R2	NO LOAD	REDEEM ONLY	1600	0	0

SYMBOL	FUND FAMILY	FUND DESCRIPTION	SHARE CLASS	FUND TYPE	STATUS	CUT- OFF	IRA MIN	NON-IRA MIN
RCDTX	AMERICAN FUNDS	AMERICAN FD 2025 TARGET DATE RTRMT R3	R3	NO LOAD	REDEEM ONLY	1600	0	0
RDDTX	AMERICAN FUNDS	AMERICAN FD 2025 TARGET DATE RTRMT R4	R4	NO LOAD	REDEEM ONLY	1600	0	0
REDTX	AMERICAN FUNDS	AMERICAN FD 2025 TARGET DATE RTRMT R5	R5	NO LOAD	REDEEM ONLY	1600	0	0
RHDTX	AMERICAN FUNDS	AMERICAN FD 2025 TARGET DATE RTRMT R5E	R5E	NO LOAD	REDEEM ONLY	1600	0	0
AAETX	AMERICAN FUNDS	AMERICAN FD 2030 TARGET DATE RTRMT A	A	LOAD	OPEN	1600	250	250
CCETX	AMERICAN FUNDS	AMERICAN FD 2030 TARGET DATE RTRMT C	C	LOAD	OPEN	1600	250	250
FAETX	AMERICAN FUNDS	AMERICAN FD 2030 TARGET DATE RTRMT F1	F1	NO LOAD	OPEN	1600	100	100
FBETX	AMERICAN FUNDS	AMERICAN FD 2030 TARGET DATE RTRMT F2	F2	NO LOAD	REDEEM ONLY	1600	250	250
FCETX	AMERICAN FUNDS	AMERICAN FD 2030 TARGET DATE RTRMT F3	F3	NO LOAD	REDEEM ONLY	1600	0	0
RAETX	AMERICAN FUNDS	AMERICAN FD 2030 TARGET DATE RTRMT R1	R1	NO LOAD	REDEEM ONLY	1600	0	0
RBETX	AMERICAN FUNDS	AMERICAN FD 2030 TARGET DATE RTRMT R2	R2	NO LOAD	REDEEM ONLY	1600	0	0
RCETX	AMERICAN FUNDS	AMERICAN FD 2030 TARGET DATE RTRMT R3	R3	NO LOAD	REDEEM ONLY	1600	0	0
RDET X	AMERICAN FUNDS	AMERICAN FD 2030 TARGET DATE RTRMT R4	R4	NO LOAD	REDEEM ONLY	1600	0	0
REETX	AMERICAN FUNDS	AMERICAN FD 2030 TARGET DATE RTRMT R5	R5	NO LOAD	REDEEM ONLY	1600	0	0
RHETX	AMERICAN FUNDS	AMERICAN FD 2030 TARGET DATE RTRMT R5E	R5E	NO LOAD	REDEEM ONLY	1600	0	0
AAFTX	AMERICAN FUNDS	AMERICAN FD 2035 TARGET DATE RTRMT A	A	LOAD	OPEN	1600	250	250
CCFTX	AMERICAN FUNDS	AMERICAN FD 2035 TARGET DATE RTRMT C	C	LOAD	OPEN	1600	250	250
FAQTX	AMERICAN FUNDS	AMERICAN FD 2035 TARGET DATE RTRMT F1	F1	NO LOAD	OPEN	1600	100	100
FBFTX	AMERICAN FUNDS	AMERICAN FD 2035 TARGET DATE RTRMT F2	F2	NO LOAD	REDEEM ONLY	1600	250	250
FDFTX	AMERICAN FUNDS	AMERICAN FD 2035 TARGET DATE RTRMT F3	F3	NO LOAD	REDEEM ONLY	1600	0	0
RAFTX	AMERICAN FUNDS	AMERICAN FD 2035 TARGET DATE RTRMT R1	R1	NO LOAD	REDEEM ONLY	1600	0	0
RBFTX	AMERICAN FUNDS	AMERICAN FD 2035 TARGET DATE RTRMT R2	R2	NO LOAD	REDEEM ONLY	1600	0	0
RCFTX	AMERICAN FUNDS	AMERICAN FD 2035 TARGET DATE RTRMT R3	R3	NO LOAD	REDEEM ONLY	1600	0	0
RDFTX	AMERICAN FUNDS	AMERICAN FD 2035 TARGET DATE RTRMT R4	R4	NO LOAD	REDEEM ONLY	1600	0	0
REFTX	AMERICAN FUNDS	AMERICAN FD 2035 TARGET DATE RTRMT R5	R5	NO LOAD	REDEEM ONLY	1600	0	0
RHFTX	AMERICAN FUNDS	AMERICAN FD 2035 TARGET DATE RTRMT R5E	R5E	NO LOAD	REDEEM ONLY	1600	0	0
AAGTX	AMERICAN FUNDS	AMERICAN FD 2040 TARGET DATE RTRMT A	A	LOAD	OPEN	1600	250	250
CCGTX	AMERICAN FUNDS	AMERICAN FD 2040 TARGET DATE RTRMT C	C	LOAD	OPEN	1600	250	250
FAUTX	AMERICAN FUNDS	AMERICAN FD 2040 TARGET DATE RTRMT F1	F1	NO LOAD	OPEN	1600	100	100
FBGTX	AMERICAN FUNDS	AMERICAN FD 2040 TARGET DATE RTRMT F2	F2	NO LOAD	REDEEM ONLY	1600	250	250
FCGTX	AMERICAN FUNDS	AMERICAN FD 2040 TARGET DATE RTRMT F3	F3	NO LOAD	REDEEM ONLY	1600	0	0
RAKTX	AMERICAN FUNDS	AMERICAN FD 2040 TARGET DATE RTRMT R1	R1	NO LOAD	REDEEM ONLY	1600	0	0
RBKTX	AMERICAN FUNDS	AMERICAN FD 2040 TARGET DATE RTRMT R2	R2	NO LOAD	REDEEM ONLY	1600	0	0
RCKTX	AMERICAN FUNDS	AMERICAN FD 2040 TARGET DATE RTRMT R3	R3	NO LOAD	REDEEM ONLY	1600	0	0
RDGTX	AMERICAN FUNDS	AMERICAN FD 2040 TARGET DATE RTRMT R4	R4	NO LOAD	REDEEM ONLY	1600	0	0
REGTX	AMERICAN FUNDS	AMERICAN FD 2040 TARGET DATE RTRMT R5	R5	NO LOAD	REDEEM ONLY	1600	0	0
RHGTX	AMERICAN FUNDS	AMERICAN FD 2040 TARGET DATE RTRMT R5E	R5E	NO LOAD	REDEEM ONLY	1600	0	0
AAHTX	AMERICAN FUNDS	AMERICAN FD 2045 TARGET DATE RTRMT A	A	LOAD	OPEN	1600	250	250
CCHTX	AMERICAN FUNDS	AMERICAN FD 2045 TARGET DATE RTRMT C	C	LOAD	OPEN	1600	250	250
FATTX	AMERICAN FUNDS	AMERICAN FD 2045 TARGET DATE RTRMT F1	F1	NO LOAD	OPEN	1600	100	100
FBHTX	AMERICAN FUNDS	AMERICAN FD 2045 TARGET DATE RTRMT F2	F2	NO LOAD	REDEEM ONLY	1600	250	250

SYMBOL	FUND FAMILY	FUND DESCRIPTION	SHARE CLASS	FUND TYPE	STATUS	CUT- OFF	IRA MIN	NON-IRA MIN
FCHTX	AMERICAN FUNDS	AMERICAN FD 2045 TARGET DATE RTRMT F3	F3	NO LOAD	REDEEM ONLY	1600	0	0
RAHTX	AMERICAN FUNDS	AMERICAN FD 2045 TARGET DATE RTRMT R1	R1	NO LOAD	REDEEM ONLY	1600	0	0
RBHTX	AMERICAN FUNDS	AMERICAN FD 2045 TARGET DATE RTRMT R2	R2	NO LOAD	REDEEM ONLY	1600	0	0
RCHTX	AMERICAN FUNDS	AMERICAN FD 2045 TARGET DATE RTRMT R3	R3	NO LOAD	REDEEM ONLY	1600	0	0
RDHTX	AMERICAN FUNDS	AMERICAN FD 2045 TARGET DATE RTRMT R4	R4	NO LOAD	REDEEM ONLY	1600	0	0
REHTX	AMERICAN FUNDS	AMERICAN FD 2045 TARGET DATE RTRMT R5	R5	NO LOAD	REDEEM ONLY	1600	0	0
RHHTX	AMERICAN FUNDS	AMERICAN FD 2045 TARGET DATE RTRMT R5E	R5E	NO LOAD	REDEEM ONLY	1600	0	0
AALTX	AMERICAN FUNDS	AMERICAN FD 2050 TARGET DATE RTRMT A	A	LOAD	OPEN	1600	250	250
CCITX	AMERICAN FUNDS	AMERICAN FD 2050 TARGET DATE RTRMT C	C	LOAD	OPEN	1600	250	250
FAITX	AMERICAN FUNDS	AMERICAN FD 2050 TARGET DATE RTRMT F1	F1	NO LOAD	OPEN	1600	100	100
FBITX	AMERICAN FUNDS	AMERICAN FD 2050 TARGET DATE RTRMT F2	F2	NO LOAD	REDEEM ONLY	1600	250	250
DITFX	AMERICAN FUNDS	AMERICAN FD 2050 TARGET DATE RTRMT F3	F3	NO LOAD	REDEEM ONLY	1600	0	0
RAITX	AMERICAN FUNDS	AMERICAN FD 2050 TARGET DATE RTRMT R1	R1	NO LOAD	REDEEM ONLY	1600	0	0
RBITX	AMERICAN FUNDS	AMERICAN FD 2050 TARGET DATE RTRMT R2	R2	NO LOAD	REDEEM ONLY	1600	0	0
RCITX	AMERICAN FUNDS	AMERICAN FD 2050 TARGET DATE RTRMT R3	R3	NO LOAD	REDEEM ONLY	1600	0	0
RDITX	AMERICAN FUNDS	AMERICAN FD 2050 TARGET DATE RTRMT R4	R4	NO LOAD	REDEEM ONLY	1600	0	0
REITX	AMERICAN FUNDS	AMERICAN FD 2050 TARGET DATE RTRMT R5	R5	NO LOAD	REDEEM ONLY	1600	0	0
RHITX	AMERICAN FUNDS	AMERICAN FD 2050 TARGET DATE RTRMT R5E	R5E	NO LOAD	REDEEM ONLY	1600	0	0
AAMTX	AMERICAN FUNDS	AMERICAN FD 2055 TARGET DATE RTRMT A	A	LOAD	OPEN	1600	250	250
CCJTX	AMERICAN FUNDS	AMERICAN FD 2055 TARGET DATE RTRMT C	C	LOAD	OPEN	1600	250	250
FAJTX	AMERICAN FUNDS	AMERICAN FD 2055 TARGET DATE RTRMT F1	F1	NO LOAD	OPEN	1600	100	100
FBJTX	AMERICAN FUNDS	AMERICAN FD 2055 TARGET DATE RTRMT F2	F2	NO LOAD	REDEEM ONLY	1600	250	250
FCJTX	AMERICAN FUNDS	AMERICAN FD 2055 TARGET DATE RTRMT F3	F3	NO LOAD	REDEEM ONLY	1600	0	0
RAMTX	AMERICAN FUNDS	AMERICAN FD 2055 TARGET DATE RTRMT R1	R1	NO LOAD	REDEEM ONLY	1600	0	0
RBMTX	AMERICAN FUNDS	AMERICAN FD 2055 TARGET DATE RTRMT R2	R2	NO LOAD	REDEEM ONLY	1600	0	0
RCMTX	AMERICAN FUNDS	AMERICAN FD 2055 TARGET DATE RTRMT R3	R3	NO LOAD	REDEEM ONLY	1600	0	0
RDJTX	AMERICAN FUNDS	AMERICAN FD 2055 TARGET DATE RTRMT R4	R4	NO LOAD	REDEEM ONLY	1600	0	0
REKTX	AMERICAN FUNDS	AMERICAN FD 2055 TARGET DATE RTRMT R5	R5	NO LOAD	REDEEM ONLY	1600	0	0
RHJTX	AMERICAN FUNDS	AMERICAN FD 2055 TARGET DATE RTRMT R5E	R5E	NO LOAD	REDEEM ONLY	1600	0	0
RFKTX	AMERICAN FUNDS	AMERICAN FD 2055 TARGET DATE RTRMT R6	R6	NO LOAD	REDEEM ONLY	1600	0	0
AANTX	AMERICAN FUNDS	AMERICAN FD 2060 TARGET DATE RTRMT A	A	LOAD	OPEN	1600	250	250
CCKTX	AMERICAN FUNDS	AMERICAN FD 2060 TARGET DATE RTRMT C	C	LOAD	OPEN	1600	250	250
FAWTX	AMERICAN FUNDS	AMERICAN FD 2060 TARGET DATE RTRMT F1	F1	NO LOAD	OPEN	1600	100	100
FBKTX	AMERICAN FUNDS	AMERICAN FD 2060 TARGET DATE RTRMT F2	F2	NO LOAD	REDEEM ONLY	1600	250	250
FCKTX	AMERICAN FUNDS	AMERICAN FD 2060 TARGET DATE RTRMT F3	F3	NO LOAD	REDEEM ONLY	1600	0	0
RBNTX	AMERICAN FUNDS	AMERICAN FD 2060 TARGET DATE RTRMT R2	R2	NO LOAD	REDEEM ONLY	1600	250	250
RCNTX	AMERICAN FUNDS	AMERICAN FD 2060 TARGET DATE RTRMT R3	R3	NO LOAD	REDEEM ONLY	1600	250	250
RDKTX	AMERICAN FUNDS	AMERICAN FD 2060 TARGET DATE RTRMT R4	R4	NO LOAD	REDEEM ONLY	1600	250	250
REMTX	AMERICAN FUNDS	AMERICAN FD 2060 TARGET DATE RTRMT R5	R5	NO LOAD	REDEEM ONLY	1600	250	250
RHKTX	AMERICAN FUNDS	AMERICAN FD 2060 TARGET DATE RTRMT R5E	R5E	NO LOAD	REDEEM ONLY	1600	0	0
RFUTX	AMERICAN FUNDS	AMERICAN FD 2060 TARGET DATE RTRMT R6	R6	NO LOAD	REDEEM ONLY	1600	250	250

SYMBOL	FUND FAMILY	FUND DESCRIPTION	SHARE CLASS	FUND TYPE	STATUS	CUT- OFF	IRA MIN	NON-IRA MIN
RAQTX	AMERICAN FUNDS	AMERICAN FD 2065 TARGET DATE RTRMT R1	R1	LOAD WAIVED	REDEEM ONLY	1600	0	0
REOTX	AMERICAN FUNDS	AMERICAN FD 2065 TARGET DATE RTRMT R5	R5	NO LOAD	REDEEM ONLY	1600	0	0
RFVTX	AMERICAN FUNDS	AMERICAN FD 2065 TARGET DATE RTRMT R6	R6	NO LOAD	REDEEM ONLY	1600	0	0
RAFAX	AMERICAN FUNDS	AMERICAN FD AMCAP FD INC R1	R1	NO LOAD	REDEEM ONLY	1600	0	0
FMACX	AMERICAN FUNDS	AMERICAN FD AMCAP FUND F3	F3	NO LOAD	REDEEM ONLY	1600	0	0
RAFBX	AMERICAN FUNDS	AMERICAN FD AMCAP FUND R2	R2	NO LOAD	REDEEM ONLY	1600	0	0
RAFEX	AMERICAN FUNDS	AMERICAN FD AMCAP FUND R3	R3	NO LOAD	REDEEM ONLY	1600	100	100
RAFEX	AMERICAN FUNDS	AMERICAN FD AMCAP FUND R4	R4	NO LOAD	REDEEM ONLY	1600	100	100
RAFFX	AMERICAN FUNDS	AMERICAN FD AMCAP R5	R5	NO LOAD	REDEEM ONLY	1600	100	100
RLEFX	AMERICAN FUNDS	AMERICAN FD BALANCED FD CL R5E	R5E	NO LOAD	REDEEM ONLY	1600	0	0
RLBBX	AMERICAN FUNDS	AMERICAN FD BALANCED FD R2	R2	NO LOAD	REDEEM ONLY	1600	100	100
RLBCX	AMERICAN FUNDS	AMERICAN FD BALANCED FD R3	R3	NO LOAD	REDEEM ONLY	1600	100	100
RLBEX	AMERICAN FUNDS	AMERICAN FD BALANCED FD R4	R4	NO LOAD	REDEEM ONLY	1600	0	0
RLBFX	AMERICAN FUNDS	AMERICAN FD BALANCED FD R5	R5	NO LOAD	REDEEM ONLY	1600	100	100
BFFAX	AMERICAN FUNDS	AMERICAN FD BD FD OF AMERICA CL F3	F3	NO LOAD	REDEEM ONLY	1600	0	0
RBFAX	AMERICAN FUNDS	AMERICAN FD BD FD OF AMERICA R1	R1	NO LOAD	REDEEM ONLY	1600	0	0
RBFHX	AMERICAN FUNDS	AMERICAN FD BD FD OF AMERICA R5E	R5E	NO LOAD	REDEEM ONLY	1600	0	0
RBFBX	AMERICAN FUNDS	AMERICAN FD BOND FUND OF AMERICA R2	R2	NO LOAD	REDEEM ONLY	1600	100	100
RBFCX	AMERICAN FUNDS	AMERICAN FD BOND FUND OF AMERICA R3	R3	NO LOAD	REDEEM ONLY	1600	100	100
RBFEY	AMERICAN FUNDS	AMERICAN FD BOND FUND OF AMERICA R4	R4	NO LOAD	REDEEM ONLY	1600	100	100
RBFFX	AMERICAN FUNDS	AMERICAN FD BOND FUND OF AMERICA R5	R5	NO LOAD	REDEEM ONLY	1600	100	100
CFIHX	AMERICAN FUNDS	AMERICAN FD CAP INCM BLDR CL F3	F3	NO LOAD	REDEEM ONLY	1600	0	0
WFBFX	AMERICAN FUNDS	AMERICAN FD CAP WORLD BD FD CL F3	F3	NO LOAD	REDEEM ONLY	1600	0	0
RWIAX	AMERICAN FUNDS	AMERICAN FD CAP WORLD G&I FD R1	R1	NO LOAD	REDEEM ONLY	1600	0	0
FWGIX	AMERICAN FUNDS	AMERICAN FD CAP WORLD GWTH & INCM F3	F3	NO LOAD	REDEEM ONLY	1600	0	0
RIRBX	AMERICAN FUNDS	AMERICAN FD CAPITAL INCOME BUILDER FD R2	R2	NO LOAD	REDEEM ONLY	1600	100	100
RIRAX	AMERICAN FUNDS	AMERICAN FD CAPITAL INCOME BUILDER R1	R1	NO LOAD	REDEEM ONLY	1600	0	0
RIRCX	AMERICAN FUNDS	AMERICAN FD CAPITAL INCOME BUILDER R3	R3	NO LOAD	REDEEM ONLY	1600	100	100
RIREX	AMERICAN FUNDS	AMERICAN FD CAPITAL INCOME BUILDER R4	R4	NO LOAD	REDEEM ONLY	1600	100	100
RIRFX	AMERICAN FUNDS	AMERICAN FD CAPITAL INCOME BUILDER R5	R5	NO LOAD	REDEEM ONLY	1600	100	100
RCWCX	AMERICAN FUNDS	AMERICAN FD CAPITAL WORLD BOND FUND R3	R3	NO LOAD	REDEEM ONLY	1600	0	0
RCWEX	AMERICAN FUNDS	AMERICAN FD CAPITAL WORLD BOND FUND R4	R4	NO LOAD	REDEEM ONLY	1600	0	0
RCWFX	AMERICAN FUNDS	AMERICAN FD CAPITAL WORLD BOND R5	R5	NO LOAD	REDEEM ONLY	1600	100	100
RWIFX	AMERICAN FUNDS	AMERICAN FD CAPITAL WORLD GR & INC R5	R5	NO LOAD	REDEEM ONLY	1600	100	100
WGIFX	AMERICAN FUNDS	AMERICAN FD CAPITAL WORLD GROWTH & INC F2	F2	NO LOAD	REDEEM ONLY	1600	250	250
RWIBX	AMERICAN FUNDS	AMERICAN FD CAPITAL WORLD GROWTH & INCOME R2	R2	NO LOAD	REDEEM ONLY	1600	100	100
CWGIX	AMERICAN FUNDS	AMERICAN FD CAPITAL WORLD GWTH & INCM CL A	A	LOAD	OPEN	1600	250	250
CWGFY	AMERICAN FUNDS	AMERICAN FD CAPITAL WORLD GWTH & INCM CL F1	F1	NO LOAD	OPEN	1600	100	100
RWICX	AMERICAN FUNDS	AMERICAN FD CAPITAL WORLD GWTH & INCM FD R3	R3	NO LOAD	REDEEM ONLY	1600	100	100
RWIEY	AMERICAN FUNDS	AMERICAN FD CAPITAL WORLD GWTH & INCM FD R4	R4	NO LOAD	REDEEM ONLY	1600	100	100
RGOFX	AMERICAN FUNDS	AMERICAN FD CONSRV GWTH & INCM PORT R5E	R5E	NO LOAD	REDEEM ONLY	1600	0	0

SYMBOL	FUND FAMILY	FUND DESCRIPTION	SHARE CLASS	FUND TYPE	STATUS	CUT-OFF	IRA MIN	NON-IRA MIN
INPAX	AMERICAN FUNDS	AMERICAN FD CONSRV GWTH AND INC PORT CL A	A	LOAD	OPEN	1600	250	250
INPCX	AMERICAN FUNDS	AMERICAN FD CONSRV GWTH AND INC PORT CL C	C	LOAD	OPEN	1600	250	250
INPFX	AMERICAN FUNDS	AMERICAN FD CONSRV GWTH AND INC PORT CL F1	F1	NO LOAD	OPEN	1600	100	100
INPEX	AMERICAN FUNDS	AMERICAN FD CONSRV GWTH AND INC PORT CL F2	F2	NO LOAD	REDEEM ONLY	1600	250	250
INPDX	AMERICAN FUNDS	AMERICAN FD CONSRV GWTH AND INC PORT CL F3	F3	NO LOAD	REDEEM ONLY	1600	0	0
RNCCX	AMERICAN FUNDS	AMERICAN FD CONSRV GWTH AND INC PORT CL R3	R3	NO LOAD	REDEEM ONLY	1600	100	100
RINGX	AMERICAN FUNDS	AMERICAN FD CONSRV GWTH AND INC PORT CL R6	R6	NO LOAD	REDEEM ONLY	1600	250	250
BFCAX	AMERICAN FUNDS	AMERICAN FD CORP BD A	A	LOAD	OPEN	1600	250	250
BFCFX	AMERICAN FUNDS	AMERICAN FD CORP BD F1	F1	NO LOAD	OPEN	1600	100	100
BFCGX	AMERICAN FUNDS	AMERICAN FD CORP BD F2	F2	NO LOAD	REDEEM ONLY	1600	250	250
CFBFX	AMERICAN FUNDS	AMERICAN FD CORP BD F3	F3	NO LOAD	REDEEM ONLY	1600	0	0
DWGAX	AMERICAN FUNDS	AMERICAN FD DEV WRLD GWTH & INCM A	A	LOAD	OPEN	1600	250	250
DWGCX	AMERICAN FUNDS	AMERICAN FD DEV WRLD GWTH & INCM C	C	LOAD	OPEN	1600	250	250
DWGFY	AMERICAN FUNDS	AMERICAN FD DEV WRLD GWTH & INCM F1	F1	NO LOAD	OPEN	1600	100	100
DWGHX	AMERICAN FUNDS	AMERICAN FD DEV WRLD GWTH & INCM F2	F2	NO LOAD	REDEEM ONLY	1600	0	0
FDWGX	AMERICAN FUNDS	AMERICAN FD DEV WRLD GWTH & INCM F3	F3	NO LOAD	REDEEM ONLY	1600	0	0
EBNAX	AMERICAN FUNDS	AMERICAN FD EMRG MKTS BD A	A	LOAD	OPEN	1600	250	250
EBNCX	AMERICAN FUNDS	AMERICAN FD EMRG MKTS BD C	C	LOAD	OPEN	1600	0	0
EBNEX	AMERICAN FUNDS	AMERICAN FD EMRG MKTS BD F1	F1	NO LOAD	OPEN	1600	100	100
EBNFX	AMERICAN FUNDS	AMERICAN FD EMRG MKTS BD F2	F2	NO LOAD	REDEEM ONLY	1600	250	250
EBNGX	AMERICAN FUNDS	AMERICAN FD EMRG MKTS BD F3	F3	NO LOAD	REDEEM ONLY	1600	0	0
REGGX	AMERICAN FUNDS	AMERICAN FD EMRG MKTS BD R6	R6	NO LOAD	REDEEM ONLY	1600	0	0
RERAX	AMERICAN FUNDS	AMERICAN FD EUROPAC GWTH FD R1	R1	NO LOAD	REDEEM ONLY	1600	0	0
RERBX	AMERICAN FUNDS	AMERICAN FD EUROPACIFIC GROWTH FUND R2	R2	NO LOAD	REDEEM ONLY	1600	0	0
RERCX	AMERICAN FUNDS	AMERICAN FD EUROPACIFIC GROWTH FUND R3	R3	NO LOAD	REDEEM ONLY	1600	100	100
REREX	AMERICAN FUNDS	AMERICAN FD EUROPACIFIC GROWTH FUND R4	R4	NO LOAD	REDEEM ONLY	1600	100	100
RERFX	AMERICAN FUNDS	AMERICAN FD EUROPACIFIC GROWTH FUND R5	R5	NO LOAD	REDEEM ONLY	1600	100	100
FEUPX	AMERICAN FUNDS	AMERICAN FD EUROPACIFIC GWTH FD CL F3	F3	NO LOAD	REDEEM ONLY	1600	0	0
RERHX	AMERICAN FUNDS	AMERICAN FD EUROPACIFIC GWTH FD CL R5E	R5E	NO LOAD	REDEEM ONLY	1600	0	0
FUNFX	AMERICAN FUNDS	AMERICAN FD FUNDAMENTAL INV FD CL F3	F3	NO LOAD	REDEEM ONLY	1600	0	0
RFNHX	AMERICAN FUNDS	AMERICAN FD FUNDAMENTAL INV FD CL R5E	R5E	NO LOAD	REDEEM ONLY	1600	0	0
RFNBX	AMERICAN FUNDS	AMERICAN FD FUNDAMENTAL INVESTORS FUND R2	R2	NO LOAD	REDEEM ONLY	1600	0	0
RFNCX	AMERICAN FUNDS	AMERICAN FD FUNDAMENTAL INVESTORS FUND R3	R3	NO LOAD	REDEEM ONLY	1600	100	100
RFNEX	AMERICAN FUNDS	AMERICAN FD FUNDAMENTAL INVESTORS FUND R4	R4	NO LOAD	REDEEM ONLY	1600	100	100
RFNAX	AMERICAN FUNDS	AMERICAN FD FUNDAMENTAL INVESTORS INC R1	R1	NO LOAD	REDEEM ONLY	1600	0	0
RFNFX	AMERICAN FUNDS	AMERICAN FD FUNDAMENTAL INVS FD R5	R5	NO LOAD	REDEEM ONLY	1600	100	100
GBLAX	AMERICAN FUNDS	AMERICAN FD GLOBAL BALANCED FD A	A	LOAD	OPEN	1600	250	250
GBLCX	AMERICAN FUNDS	AMERICAN FD GLOBAL BALANCED FD C	C	LOAD	OPEN	1600	250	250
GBLEX	AMERICAN FUNDS	AMERICAN FD GLOBAL BALANCED FD F1	F1	NO LOAD	OPEN	1600	100	100
GBLFX	AMERICAN FUNDS	AMERICAN FD GLOBAL BALANCED FD F2	F2	NO LOAD	REDEEM ONLY	1600	250	250
GFBXL	AMERICAN FUNDS	AMERICAN FD GLOBAL BALANCED FD F3	F3	NO LOAD	REDEEM ONLY	1600	0	0

SYMBOL	FUND FAMILY	FUND DESCRIPTION	SHARE CLASS	FUND TYPE	STATUS	CUT- OFF	IRA MIN	NON-IRA MIN
RGBCX	AMERICAN FUNDS	AMERICAN FD GLOBAL BALANCED FD R3	R3	NO LOAD	REDEEM ONLY	1600	0	0
RGBEX	AMERICAN FUNDS	AMERICAN FD GLOBAL BALANCED FD R4	R4	NO LOAD	REDEEM ONLY	1600	0	0
RGBFX	AMERICAN FUNDS	AMERICAN FD GLOBAL BALANCED FD R5	R5	NO LOAD	REDEEM ONLY	1600	100	100
RGBGX	AMERICAN FUNDS	AMERICAN FD GLOBAL BALANCED FD R6	R6	NO LOAD	REDEEM ONLY	1600	0	0
PGGAX	AMERICAN FUNDS	AMERICAN FD GLOBAL GWTH PORT CL A	A	LOAD	OPEN	1600	250	250
GGPCX	AMERICAN FUNDS	AMERICAN FD GLOBAL GWTH PORT CL C	C	LOAD	OPEN	1600	250	250
PGGFX	AMERICAN FUNDS	AMERICAN FD GLOBAL GWTH PORT CL F1	F1	NO LOAD	OPEN	1600	100	100
PGWFX	AMERICAN FUNDS	AMERICAN FD GLOBAL GWTH PORT CL F2	F2	NO LOAD	REDEEM ONLY	1600	250	250
PGXFX	AMERICAN FUNDS	AMERICAN FD GLOBAL GWTH PORT CL F3	F3	NO LOAD	REDEEM ONLY	1600	0	0
RGLCX	AMERICAN FUNDS	AMERICAN FD GLOBAL GWTH PORT CL R3	R3	NO LOAD	REDEEM ONLY	1600	100	100
AGVDX	AMERICAN FUNDS	AMERICAN FD GLOBAL INSIGHT C	C	LOAD	OPEN	1600	250	250
AGVEX	AMERICAN FUNDS	AMERICAN FD GLOBAL INSIGHT F1	F1	NO LOAD	OPEN	1600	0	0
AGVGX	AMERICAN FUNDS	AMERICAN FD GLOBAL INSIGHT F2	F2	NO LOAD	REDEEM ONLY	1600	0	0
GWPCX	AMERICAN FUNDS	AMERICAN FD GROWTH PORT CL C	C	LOAD	OPEN	1600	250	250
GAIOX	AMERICAN FUNDS	AMERICAN FD GROWTH AND INCM PORT A	A	LOAD	OPEN	1600	250	250
GAITX	AMERICAN FUNDS	AMERICAN FD GROWTH AND INCM PORT C	C	LOAD	OPEN	1600	250	250
GAIFX	AMERICAN FUNDS	AMERICAN FD GROWTH AND INCM PORT F1	F1	NO LOAD	OPEN	1600	100	100
GAIEX	AMERICAN FUNDS	AMERICAN FD GROWTH AND INCM PORT F2	F2	NO LOAD	REDEEM ONLY	1600	0	0
GAIHX	AMERICAN FUNDS	AMERICAN FD GROWTH AND INCM PORT F3	F3	NO LOAD	REDEEM ONLY	1600	0	0
RGQFX	AMERICAN FUNDS	AMERICAN FD GROWTH AND INCM PORT R5E	R5E	NO LOAD	REDEEM ONLY	1600	0	0
RGNGX	AMERICAN FUNDS	AMERICAN FD GROWTH AND INCM PORT R6	R6	NO LOAD	REDEEM ONLY	1600	250	250
GAFFX	AMERICAN FUNDS	AMERICAN FD GROWTH FD OF AMERICA CL F3	F3	NO LOAD	REDEEM ONLY	1600	0	0
GAHXX	AMERICAN FUNDS	AMERICAN FD GROWTH FD OF AMERICA CL R5E	R5E	NO LOAD	REDEEM ONLY	1600	0	0
RGABX	AMERICAN FUNDS	AMERICAN FD GROWTH FUND OF AMERICA R2	R2	NO LOAD	REDEEM ONLY	1600	100	100
RGACX	AMERICAN FUNDS	AMERICAN FD GROWTH FUND OF AMERICA R3	R3	NO LOAD	REDEEM ONLY	1600	100	100
RGAEX	AMERICAN FUNDS	AMERICAN FD GROWTH FUND OF AMERICA R4	R4	NO LOAD	REDEEM ONLY	1600	0	0
RGAFX	AMERICAN FUNDS	AMERICAN FD GROWTH FUND OF AMERICA R5	R5	NO LOAD	REDEEM ONLY	1600	100	100
GWPAAX	AMERICAN FUNDS	AMERICAN FD GROWTH PORT CL A	A	LOAD	OPEN	1600	250	250
GWPEX	AMERICAN FUNDS	AMERICAN FD GROWTH PORT CL F2	F2	NO LOAD	REDEEM ONLY	1600	250	250
GWPDXX	AMERICAN FUNDS	AMERICAN FD GROWTH PORT CL F3	F3	NO LOAD	REDEEM ONLY	1600	0	0
RGPCX	AMERICAN FUNDS	AMERICAN FD GROWTH PORT CL R3	R3	NO LOAD	REDEEM ONLY	1600	100	100
RGWEX	AMERICAN FUNDS	AMERICAN FD GROWTH PORT CL R4	R4	NO LOAD	REDEEM ONLY	1600	0	0
RGSFX	AMERICAN FUNDS	AMERICAN FD GROWTH PORT CL R5E	R5E	NO LOAD	REDEEM ONLY	1600	0	0
RGWGX	AMERICAN FUNDS	AMERICAN FD GROWTH PORT CL R6	R6	NO LOAD	REDEEM ONLY	1600	0	0
GWPFXX	AMERICAN FUNDS	AMERICAN FD GROWTH PORT F1	F1	NO LOAD	OPEN	1600	100	100
RGAAAX	AMERICAN FUNDS	AMERICAN FD GWTH FD OF AMERICA R1	R1	NO LOAD	REDEEM ONLY	1600	0	0
RITAX	AMERICAN FUNDS	AMERICAN FD HI INCM TRUST R1	R1	NO LOAD	REDEEM ONLY	1600	0	0
HIMFX	AMERICAN FUNDS	AMERICAN FD HIGH INCOME MUNI BOND F3	F3	NO LOAD	REDEEM ONLY	1600	0	0
HIGFX	AMERICAN FUNDS	AMERICAN FD HIGH INCOME TRUST CL F3	F3	NO LOAD	REDEEM ONLY	1600	0	0
RITBX	AMERICAN FUNDS	AMERICAN FD HIGH INCOME TRUST R2	R2	NO LOAD	REDEEM ONLY	1600	0	0
RITCXX	AMERICAN FUNDS	AMERICAN FD HIGH INCOME TRUST R3	R3	NO LOAD	REDEEM ONLY	1600	100	100

SYMBOL	FUND FAMILY	FUND DESCRIPTION	SHARE CLASS	FUND TYPE	STATUS	CUT- OFF	IRA MIN	NON-IRA MIN
RITEX	AMERICAN FUNDS	AMERICAN FD HIGH INCOME TRUST R4	R4	NO LOAD	REDEEM ONLY	1600	100	100
RITFX	AMERICAN FUNDS	AMERICAN FD HIGH INCOME TRUST R5	R5	NO LOAD	REDEEM ONLY	1600	100	100
FIFAX	AMERICAN FUNDS	AMERICAN FD INCOME FUND OF AMERICA CL F3	F3	NO LOAD	REDEEM ONLY	1600	0	0
RIDBX	AMERICAN FUNDS	AMERICAN FD INCOME FUND OF AMERICA R2	R2	NO LOAD	REDEEM ONLY	1600	0	0
RIDCX	AMERICAN FUNDS	AMERICAN FD INCOME FUND OF AMERICA R3	R3	NO LOAD	REDEEM ONLY	1600	0	0
RIDEX	AMERICAN FUNDS	AMERICAN FD INCOME FUND OF AMERICA R4	R4	NO LOAD	REDEEM ONLY	1600	100	100
RIDFX	AMERICAN FUNDS	AMERICAN FD INCOME FUND OF AMERICA R5	R5	NO LOAD	REDEEM ONLY	1600	100	100
BFIFX	AMERICAN FUNDS	AMERICAN FD INFLATION LINKED BD FD F1	F1	NO LOAD	OPEN	1600	100	100
BFIAX	AMERICAN FUNDS	AMERICAN FD INFLTN LNKD BD FD A	A	LOAD	OPEN	1600	250	250
BFICX	AMERICAN FUNDS	AMERICAN FD INFLTN LNKD BD FD C	C	LOAD	OPEN	1600	250	250
BFIGX	AMERICAN FUNDS	AMERICAN FD INFLTN LNKD BD FD F2	F2	NO LOAD	REDEEM ONLY	1600	250	250
FILBX	AMERICAN FUNDS	AMERICAN FD INFLTN LNKD BD FD F3	F3	NO LOAD	REDEEM ONLY	1600	0	0
RILCX	AMERICAN FUNDS	AMERICAN FD INFLTN LNKD BD FD R3	R3	NO LOAD	REDEEM ONLY	1600	0	0
RILFX	AMERICAN FUNDS	AMERICAN FD INFLTN LNKD BD FD R6	R6	NO LOAD	REDEEM ONLY	1600	0	0
IFBFX	AMERICAN FUNDS	AMERICAN FD INTER BD FD OF AMERICA F3	F3	NO LOAD	REDEEM ONLY	1600	0	0
RBOAX	AMERICAN FUNDS	AMERICAN FD INTERMEDIATE BD FD OF AMERICA CL R1	R1	NO LOAD	REDEEM ONLY	1600	0	0
AIBAX	AMERICAN FUNDS	AMERICAN FD INTERMEDIATE BOND FD OF AMERICA CL A	A	LOAD	OPEN	1600	250	250
IBAFX	AMERICAN FUNDS	AMERICAN FD INTERMEDIATE BOND FD OF AMERICA F2	F2	NO LOAD	REDEEM ONLY	1600	250	250
RBOCX	AMERICAN FUNDS	AMERICAN FD INTERMEDIATE BOND FD OF AMERICA R3	R3	NO LOAD	REDEEM ONLY	1600	0	0
RBOEX	AMERICAN FUNDS	AMERICAN FD INTERMEDIATE BOND FD OF AMERICA R4	R4	NO LOAD	REDEEM ONLY	1600	0	0
RBOFX	AMERICAN FUNDS	AMERICAN FD INTERMEDIATE BOND FD OF AMERICA R5	R5	NO LOAD	REDEEM ONLY	1600	100	100
IGAAX	AMERICAN FUNDS	AMERICAN FD INTL GROWTH AND INCM CL A	A	LOAD	OPEN	1600	250	250
IGICX	AMERICAN FUNDS	AMERICAN FD INTL GROWTH AND INCM CL C	C	LOAD	OPEN	1600	250	250
IGIFX	AMERICAN FUNDS	AMERICAN FD INTL GROWTH AND INCM CL F1	F1	NO LOAD	OPEN	1600	100	100
IGFFX	AMERICAN FUNDS	AMERICAN FD INTL GROWTH AND INCM CL F2	F2	NO LOAD	REDEEM ONLY	1600	250	250
RIGAX	AMERICAN FUNDS	AMERICAN FD INTL GROWTH AND INCM CL R1	R1	NO LOAD	REDEEM ONLY	1600	0	0
RIGBX	AMERICAN FUNDS	AMERICAN FD INTL GROWTH AND INCM CL R2	R2	NO LOAD	REDEEM ONLY	1600	100	100
RGICX	AMERICAN FUNDS	AMERICAN FD INTL GROWTH AND INCM CL R3	R3	NO LOAD	REDEEM ONLY	1600	100	100
RIGEX	AMERICAN FUNDS	AMERICAN FD INTL GROWTH AND INCM CL R4	R4	NO LOAD	REDEEM ONLY	1600	100	100
RIGFX	AMERICAN FUNDS	AMERICAN FD INTL GROWTH AND INCM CL R5	R5	NO LOAD	REDEEM ONLY	1600	100	100
AIVBX	AMERICAN FUNDS	AMERICAN FD INTL VANTAGE A	A	LOAD	OPEN	1600	250	250
AIVCX	AMERICAN FUNDS	AMERICAN FD INTL VANTAGE C	C	LOAD	OPEN	1600	250	250
AIVEX	AMERICAN FUNDS	AMERICAN FD INTL VANTAGE F1	F1	NO LOAD	OPEN	1600	0	0
AIVFX	AMERICAN FUNDS	AMERICAN FD INTL VANTAGE F2	F2	NO LOAD	REDEEM ONLY	1600	0	0
AIVGX	AMERICAN FUNDS	AMERICAN FD INTL VANTAGE F3	F3	NO LOAD	REDEEM ONLY	1600	0	0
FFICX	AMERICAN FUNDS	AMERICAN FD INV CO OF AMERICA CL F3	F3	NO LOAD	REDEEM ONLY	1600	0	0
RICBX	AMERICAN FUNDS	AMERICAN FD INV CO OF AMERICA R2	R2	NO LOAD	REDEEM ONLY	1600	0	0
RICEX	AMERICAN FUNDS	AMERICAN FD INV CO OF AMERICA R4	R4	NO LOAD	REDEEM ONLY	1600	100	100
ICAFX	AMERICAN FUNDS	AMERICAN FD INVESTMENT CO OF AMERICA F2	F2	NO LOAD	REDEEM ONLY	1600	250	250
RICCX	AMERICAN FUNDS	AMERICAN FD INVESTMENT COMPANY OF AMERICA R3	R3	NO LOAD	REDEEM ONLY	1600	100	100
RICFX	AMERICAN FUNDS	AMERICAN FD INVESTMENT COMPANY OF AMERICA R5	R5	NO LOAD	REDEEM ONLY	1600	100	100

SYMBOL	FUND FAMILY	FUND DESCRIPTION	SHARE CLASS	FUND TYPE	STATUS	CUT- OFF	IRA MIN	NON-IRA MIN
RICAX	AMERICAN FUNDS	AMERICAN FD INVT CO OF AMERICA R1	R1	NO LOAD	REDEEM ONLY	1600	0	0
LTEBX	AMERICAN FUNDS	AMERICAN FD LTD TERM TAX EXMPT BD FD OF AMERICA A	A	LOAD	OPEN	1600	250	250
LTXCX	AMERICAN FUNDS	AMERICAN FD LTD TERM TAXEXEMPT BD FD OF AMERICA C	C	LOAD	OPEN	1600	250	250
LTXFX	AMERICAN FUNDS	AMERICAN FD LTD TERM TAXEXEMPT BD FD OF AMERICA F1	F1	NO LOAD	OPEN	1600	100	100
LTEFX	AMERICAN FUNDS	AMERICAN FD LTD TERM TAXEXEMPT BD FD OF AMERICA F2	F2	NO LOAD	REDEEM ONLY	1600	250	250
FLTEX	AMERICAN FUNDS	AMERICAN FD LTD TERM TAXEXEMPT BD FD OF AMERICA F3	F3	NO LOAD	REDEEM ONLY	1600	0	0
RGPFX	AMERICAN FUNDS	AMERICAN FD MOD GWTH & INCM PORT R5E	R5E	NO LOAD	REDEEM ONLY	1600	0	0
BLPAX	AMERICAN FUNDS	AMERICAN FD MOD GWTH AND INC PORT CL A	A	LOAD	OPEN	1600	250	250
BLPCX	AMERICAN FUNDS	AMERICAN FD MOD GWTH AND INC PORT CL C	C	LOAD	OPEN	1600	250	250
BLPFX	AMERICAN FUNDS	AMERICAN FD MOD GWTH AND INC PORT CL F1	F1	NO LOAD	OPEN	1600	100	100
BLPEX	AMERICAN FUNDS	AMERICAN FD MOD GWTH AND INC PORT CL F2	F2	NO LOAD	REDEEM ONLY	1600	0	0
BLPDX	AMERICAN FUNDS	AMERICAN FD MOD GWTH AND INC PORT CL F3	F3	NO LOAD	REDEEM ONLY	1600	0	0
RBAEX	AMERICAN FUNDS	AMERICAN FD MOD GWTH AND INC PORT CL R4	R4	NO LOAD	REDEEM ONLY	1600	0	0
RBAGX	AMERICAN FUNDS	AMERICAN FD MOD GWTH AND INC PORT CL R6	R6	NO LOAD	REDEEM ONLY	1600	0	0
MFAAX	AMERICAN FUNDS	AMERICAN FD MORTGAGE FD CL A	A	LOAD	OPEN	1600	250	250
MFACX	AMERICAN FUNDS	AMERICAN FD MORTGAGE FD CL C	C	LOAD	OPEN	1600	250	250
MFAEX	AMERICAN FUNDS	AMERICAN FD MORTGAGE FD CL F1	F1	NO LOAD	OPEN	1600	100	100
MFAFX	AMERICAN FUNDS	AMERICAN FD MORTGAGE FD CL F2	F2	NO LOAD	REDEEM ONLY	1600	0	0
AFFMX	AMERICAN FUNDS	AMERICAN FD MORTGAGE FD CL F3	F3	NO LOAD	REDEEM ONLY	1600	0	0
RMAGX	AMERICAN FUNDS	AMERICAN FD MORTGAGE FD CL R6	R6	NO LOAD	REDEEM ONLY	1600	0	0
FNEFX	AMERICAN FUNDS	AMERICAN FD NEW ECONOMY FD CL F3	F3	NO LOAD	REDEEM ONLY	1600	0	0
RNGAX	AMERICAN FUNDS	AMERICAN FD NEW ECONOMY FD R1	R1	NO LOAD	REDEEM ONLY	1600	0	0
RNGBX	AMERICAN FUNDS	AMERICAN FD NEW ECONOMY FD R2	R2	NO LOAD	REDEEM ONLY	1600	0	0
RNGCX	AMERICAN FUNDS	AMERICAN FD NEW ECONOMY FD R3	R3	NO LOAD	REDEEM ONLY	1600	0	0
RNGEX	AMERICAN FUNDS	AMERICAN FD NEW ECONOMY FD R4	R4	NO LOAD	REDEEM ONLY	1600	0	0
RNGFX	AMERICAN FUNDS	AMERICAN FD NEW ECONOMY FD R5	R5	NO LOAD	REDEEM ONLY	1600	100	100
FNPFX	AMERICAN FUNDS	AMERICAN FD NEW PERSPECTIVE F3	F3	NO LOAD	REDEEM ONLY	1600	0	0
RNPAX	AMERICAN FUNDS	AMERICAN FD NEW PERSPECTIVE FD R1	R1	NO LOAD	REDEEM ONLY	1600	0	0
RNPBX	AMERICAN FUNDS	AMERICAN FD NEW PERSPECTIVE FD R2	R2	NO LOAD	REDEEM ONLY	1600	100	100
RNPCX	AMERICAN FUNDS	AMERICAN FD NEW PERSPECTIVE FD R3	R3	NO LOAD	REDEEM ONLY	1600	0	0
RNPEX	AMERICAN FUNDS	AMERICAN FD NEW PERSPECTIVE FD R4	R4	NO LOAD	REDEEM ONLY	1600	100	100
RNPFX	AMERICAN FUNDS	AMERICAN FD NEW PERSPECTIVE R5	R5	NO LOAD	REDEEM ONLY	1600	0	0
RNPHX	AMERICAN FUNDS	AMERICAN FD NEW PERSPECTIVE R5E	R5E	NO LOAD	REDEEM ONLY	1600	0	0
FNWFX	AMERICAN FUNDS	AMERICAN FD NEW WORLD FD CL F3	F3	NO LOAD	REDEEM ONLY	1600	0	0
RNWBX	AMERICAN FUNDS	AMERICAN FD NEW WORLD FD R2	R2	NO LOAD	REDEEM ONLY	1600	0	0
RNWCX	AMERICAN FUNDS	AMERICAN FD NEW WORLD FD R3	R3	NO LOAD	REDEEM ONLY	1600	100	100
RNWEX	AMERICAN FUNDS	AMERICAN FD NEW WORLD FD R4	R4	NO LOAD	REDEEM ONLY	1600	0	0
RNWFY	AMERICAN FUNDS	AMERICAN FD NEW WORLD FD R5	R5	NO LOAD	REDEEM ONLY	1600	100	100
PPVAX	AMERICAN FUNDS	AMERICAN FD PRESERVATION PORT CL A	A	LOAD	OPEN	1600	250	250
PPVCX	AMERICAN FUNDS	AMERICAN FD PRESERVATION PORT CL C	C	LOAD	OPEN	1600	250	250
PPVFX	AMERICAN FUNDS	AMERICAN FD PRESERVATION PORT CL F1	F1	NO LOAD	OPEN	1600	100	100

SYMBOL	FUND FAMILY	FUND DESCRIPTION	SHARE CLASS	FUND TYPE	STATUS	CUT- OFF	IRA MIN	NON-IRA MIN
PPEFX	AMERICAN FUNDS	AMERICAN FD PRESERVATION PORT CL F2	F2	NO LOAD	REDEEM ONLY	1600	250	250
PPFFX	AMERICAN FUNDS	AMERICAN FD PRESERVATION PORT CL F3	F3	NO LOAD	REDEEM ONLY	1600	0	0
RPPCX	AMERICAN FUNDS	AMERICAN FD PRESERVATION PORT CL R3	R3	NO LOAD	REDEEM ONLY	1600	100	100
NAARX	AMERICAN FUNDS	AMERICAN FD RTRMT INCM PORT CONSERV A	A	LOAD	OPEN	1600	250	250
NGCRX	AMERICAN FUNDS	AMERICAN FD RTRMT INCM PORT CONSERV C	C	LOAD	OPEN	1600	250	250
FAFWX	AMERICAN FUNDS	AMERICAN FD RTRMT INCM PORT CONSERV F1	F1	NO LOAD	OPEN	1600	100	100
FDFWX	AMERICAN FUNDS	AMERICAN FD RTRMT INCM PORT CONSERV F2	F2	NO LOAD	REDEEM ONLY	1600	250	250
FICWX	AMERICAN FUNDS	AMERICAN FD RTRMT INCM PORT CONSERV F3	F3	NO LOAD	REDEEM ONLY	1600	0	0
RROPX	AMERICAN FUNDS	AMERICAN FD RTRMT INCM PORT CONSERV R5E	R5E	NO LOAD	REDEEM ONLY	1600	0	0
RTRPX	AMERICAN FUNDS	AMERICAN FD RTRMT INCM PORT CONSERV R6	R6	NO LOAD	REDEEM ONLY	1600	0	0
NDARX	AMERICAN FUNDS	AMERICAN FD RTRMT INCM PORT ENHANCED A	A	LOAD	OPEN	1600	250	250
NDCRX	AMERICAN FUNDS	AMERICAN FD RTRMT INCM PORT ENHANCED C	C	LOAD	OPEN	1600	250	250
FCFWX	AMERICAN FUNDS	AMERICAN FD RTRMT INCM PORT ENHANCED F1	F1	NO LOAD	OPEN	1600	100	100
FGFWX	AMERICAN FUNDS	AMERICAN FD RTRMT INCM PORT ENHANCED F2	F2	NO LOAD	REDEEM ONLY	1600	250	250
FIEWX	AMERICAN FUNDS	AMERICAN FD RTRMT INCM PORT ENHANCED F3	F3	NO LOAD	REDEEM ONLY	1600	0	0
NBARX	AMERICAN FUNDS	AMERICAN FD RTRMT INCM PORT MOD A	A	LOAD	OPEN	1600	250	250
NBCRX	AMERICAN FUNDS	AMERICAN FD RTRMT INCM PORT MOD C	C	LOAD	OPEN	1600	250	250
FBFWX	AMERICAN FUNDS	AMERICAN FD RTRMT INCM PORT MOD F1	F1	NO LOAD	OPEN	1600	100	100
FHFWX	AMERICAN FUNDS	AMERICAN FD RTRMT INCM PORT MOD F2	F2	NO LOAD	REDEEM ONLY	1600	250	250
FIMWX	AMERICAN FUNDS	AMERICAN FD RTRMT INCM PORT MOD F3	F3	NO LOAD	REDEEM ONLY	1600	0	0
ASBAX	AMERICAN FUNDS	AMERICAN FD SHORT TERM BD FD OF AMERICA A	A	LOAD	OPEN	1600	250	250
ASBCX	AMERICAN FUNDS	AMERICAN FD SHORT TERM BD FD OF AMERICA C	C	LOAD	OPEN	1600	250	250
ASBFX	AMERICAN FUNDS	AMERICAN FD SHORT TERM BD FD OF AMERICA F1	F1	NO LOAD	OPEN	1600	100	100
RAMCX	AMERICAN FUNDS	AMERICAN FD SHORT TERM BD FD OF AMERICA R3	R3	NO LOAD	REDEEM ONLY	1600	0	0
RAMEX	AMERICAN FUNDS	AMERICAN FD SHORT TERM BD FD OF AMERICA R4	R4	NO LOAD	REDEEM ONLY	1600	0	0
RAMFX	AMERICAN FUNDS	AMERICAN FD SHORT TERM BD FD OF AMERICA R5	R5	NO LOAD	REDEEM ONLY	1600	0	0
FSBTX	AMERICAN FUNDS	AMERICAN FD SHORT TERM BD OF AMERICA F3	F3	NO LOAD	REDEEM ONLY	1600	0	0
SFTEX	AMERICAN FUNDS	AMERICAN FD SHORT TERM TAX EXEMPT BD F3	F3	NO LOAD	REDEEM ONLY	1600	0	0
SFCWX	AMERICAN FUNDS	AMERICAN FD SMALL CAP WORLD FD CL F3	F3	NO LOAD	REDEEM ONLY	1600	0	0
RSLAX	AMERICAN FUNDS	AMERICAN FD SMALL CAP WORLD FD INC R1	R1	NO LOAD	REDEEM ONLY	1600	0	0
RSLBX	AMERICAN FUNDS	AMERICAN FD SMALL CAP WORLD FUND CL R2	R2	NO LOAD	REDEEM ONLY	1600	0	0
RSLCX	AMERICAN FUNDS	AMERICAN FD SMALL CAP WORLD FUND CL R3	R3	NO LOAD	REDEEM ONLY	1600	100	100
RSLEX	AMERICAN FUNDS	AMERICAN FD SMALL CAP WORLD FUND CL R4	R4	NO LOAD	REDEEM ONLY	1600	0	0
RSLFX	AMERICAN FUNDS	AMERICAN FD SMALL CAP WORLD R5	R5	NO LOAD	REDEEM ONLY	1600	100	100
ANBAX	AMERICAN FUNDS	AMERICAN FD STRATEGIC BD A	A	LOAD	OPEN	1600	250	250
ANBCX	AMERICAN FUNDS	AMERICAN FD STRATEGIC BD C	C	LOAD	OPEN	1600	250	250
ANBEX	AMERICAN FUNDS	AMERICAN FD STRATEGIC BD F1	F1	NO LOAD	OPEN	1600	100	100
ANBFX	AMERICAN FUNDS	AMERICAN FD STRATEGIC BD F2	F2	NO LOAD	REDEEM ONLY	1600	250	250
ANBGX	AMERICAN FUNDS	AMERICAN FD STRATEGIC BD F3	F3	NO LOAD	REDEEM ONLY	1600	0	0
RANCX	AMERICAN FUNDS	AMERICAN FD STRATEGIC BD R3	R3	NO LOAD	REDEEM ONLY	1600	0	0
RANEX	AMERICAN FUNDS	AMERICAN FD STRATEGIC BD R4	R4	NO LOAD	REDEEM ONLY	1600	0	0

SYMBOL	FUND FAMILY	FUND DESCRIPTION	SHARE CLASS	FUND TYPE	STATUS	CUT- OFF	IRA MIN	NON-IRA MIN
TAIAX	AMERICAN FUNDS	AMERICAN FD TAX AWARE CONSRV GWTH & INCM FD CL A	A	LOAD	OPEN	1600	250	250
TAICX	AMERICAN FUNDS	AMERICAN FD TAX AWARE CONSRV GWTH & INCM FD CL C	C	LOAD	OPEN	1600	250	250
TAIFX	AMERICAN FUNDS	AMERICAN FD TAX AWARE CONSRV GWTH & INCM FD CL F1	F1	NO LOAD	OPEN	1600	100	100
TXIFX	AMERICAN FUNDS	AMERICAN FD TAX AWARE CONSRV GWTH & INCM FD CL F2	F2	NO LOAD	REDEEM ONLY	1600	0	0
TYIFX	AMERICAN FUNDS	AMERICAN FD TAX AWARE CONSRV GWTH & INCM FD CL F3	F3	NO LOAD	REDEEM ONLY	1600	0	0
TFEBX	AMERICAN FUNDS	AMERICAN FD TAX EXEMPT BD OF AMERICA F3	F3	NO LOAD	REDEEM ONLY	1600	0	0
EXCAX	AMERICAN FUNDS	AMERICAN FD TAX EXEMPT FUND OF CA CL F3	F3	NO LOAD	REDEEM ONLY	1600	0	0
NYAFX	AMERICAN FUNDS	AMERICAN FD TAX EXEMPT FUND OF NY CL F2	F2	NO LOAD	REDEEM ONLY	1600	0	0
TFNYX	AMERICAN FUNDS	AMERICAN FD TAX EXEMPT FUND OF NY CL F3	F3	NO LOAD	REDEEM ONLY	1600	0	0
TYEFX	AMERICAN FUNDS	AMERICAN FD TAX EXEMPT PRSRVTN PORT F3	F3	NO LOAD	REDEEM ONLY	1600	0	0
TEPAX	AMERICAN FUNDS	AMERICAN FD TAX EXMP PRSRVTN PORT A	A	LOAD	OPEN	1600	250	250
AFTEX	AMERICAN FUNDS	AMERICAN FD TAXEXEMPT BD OF AMERICA A	A	LOAD	OPEN	1600	250	250
NYAAX	AMERICAN FUNDS	AMERICAN FD TAXEXEMPT FUND OF NY CL A	A	LOAD	OPEN	1600	1000	1000
NYAEX	AMERICAN FUNDS	AMERICAN FD TAXEXEMPT FUND OF NY CL F1	F1	NO LOAD	OPEN	1600	100	100
TEPCX	AMERICAN FUNDS	AMERICAN FD TAXEXEMPT PRSRVTN PORT C	C	LOAD	OPEN	1600	250	250
TEPFX	AMERICAN FUNDS	AMERICAN FD TAXEXEMPT PRSRVTN PORT F1	F1	NO LOAD	OPEN	1600	100	100
TXEFX	AMERICAN FUNDS	AMERICAN FD TAXEXEMPT PRSRVTN PORT F2	F2	NO LOAD	REDEEM ONLY	1600	250	250
RGVBX	AMERICAN FUNDS	AMERICAN FD U S GOVT SEC FD R2	R2	NO LOAD	REDEEM ONLY	1600	100	100
RGVCX	AMERICAN FUNDS	AMERICAN FD U.S. GOVT SECURITIES FD CL R3	R3	NO LOAD	REDEEM ONLY	1600	0	0
RGVEX	AMERICAN FUNDS	AMERICAN FD U.S. GOVT SECURITIES FD CL R4	R4	NO LOAD	REDEEM ONLY	1600	0	0
RGVFX	AMERICAN FUNDS	AMERICAN FD U.S. GOVT SECURITIES FD CL R5	R5	NO LOAD	REDEEM ONLY	1600	0	0
UGSCX	AMERICAN FUNDS	AMERICAN FD U.S. GOVT SECURITIES FUND CL C	C	LOAD	OPEN	1600	250	250
AFAXX	AMERICAN FUNDS	AMERICAN FD US GOVT MONEY MKT FD CL A	A	LOAD	OPEN	1600	1000	1000
AFCXX	AMERICAN FUNDS	AMERICAN FD US GOVT MONEY MKT FD CL C	C	LOAD	EXCH ONLY	1600	1000	1000
AFFXX	AMERICAN FUNDS	AMERICAN FD US GOVT MONEY MKT FD CL F1	F1	NO LOAD	OPEN	1600	250	250
AFGXX	AMERICAN FUNDS	AMERICAN FD US GOVT MONEY MKT FD CL F2	F2	NO LOAD	OPEN	1600	0	0
RADXX	AMERICAN FUNDS	AMERICAN FD US GOVT MONEY MKT FD CL R4	R4	NO LOAD	REDEEM ONLY	1600	1000	1000
RAEXX	AMERICAN FUNDS	AMERICAN FD US GOVT MONEY MKT FD CL R5	R5	NO LOAD	REDEEM ONLY	1600	250	1000
RAFXX	AMERICAN FUNDS	AMERICAN FD US GOVT MONEY MKT FD CL R6	R6	NO LOAD	REDEEM ONLY	1600	1000	1000
USGXX	AMERICAN FUNDS	AMERICAN FD US GOVT MONEY MKT FD F3	F3	NO LOAD	REDEEM ONLY	1600	0	0
USGFX	AMERICAN FUNDS	AMERICAN FD US GOVT SEC FD CL F3	F3	NO LOAD	REDEEM ONLY	1600	0	0
RGVAX	AMERICAN FUNDS	AMERICAN FD US GOVT SEC FD R1	R1	NO LOAD	REDEEM ONLY	1600	0	0
FWMIX	AMERICAN FUNDS	AMERICAN FD WA MUTUAL INV FD CL F3	F3	NO LOAD	REDEEM ONLY	1600	0	0
RWMHX	AMERICAN FUNDS	AMERICAN FD WA MUTUAL INV FD CL R5E	R5E	NO LOAD	REDEEM ONLY	1600	0	0
RWMAX	AMERICAN FUNDS	AMERICAN FD WA MUTUAL INVESTORS FD R1	R1	NO LOAD	REDEEM ONLY	1600	0	0
RWMGX	AMERICAN FUNDS	AMERICAN FD WA MUTUAL INVESTORS FD R6 SHRS	R6	NO LOAD	REDEEM ONLY	1600	250	250
RWMBX	AMERICAN FUNDS	AMERICAN FD WASHINGTON MUTUAL FUND R2	R2	NO LOAD	REDEEM ONLY	1600	100	100
RWMFX	AMERICAN FUNDS	AMERICAN FD WASHINGTON MUTUAL FUND R5	R5	NO LOAD	REDEEM ONLY	1600	100	100
RWMCX	AMERICAN FUNDS	AMERICAN FD WASHINGTON MUTUAL INVESTORS FD CL R3	R3	NO LOAD	REDEEM ONLY	1600	0	0
RWMEX	AMERICAN FUNDS	AMERICAN FD WASHINGTON MUTUAL INVESTORS FD CL R4	R4	NO LOAD	REDEEM ONLY	1600	100	100
RCWAX	AMERICAN FUNDS	AMERICAN FDS CAPITAL WORLD BD R1	R1	NO LOAD	REDEEM ONLY	1600	0	0

SYMBOL	FUND FAMILY	FUND DESCRIPTION	SHARE CLASS	FUND TYPE	STATUS	CUT- OFF	IRA MIN	NON-IRA MIN
MIAQX	AMERICAN FUNDS	AMERICAN FDS MULT SECTR INM FD CLASS A	A	LOAD	OPEN	1600	0	0
MIAWX	AMERICAN FUNDS	AMERICAN FDS MULT SECTR INM FD CLASS F 1	F1	NO LOAD	OPEN	1600	0	0
MIAYX	AMERICAN FUNDS	AMERICAN FDS MULT SECTR INM FD CLASS F 2	F2	NO LOAD	REDEEM ONLY	1600	0	0
RNWAX	AMERICAN FUNDS	AMERICAN FDS NEW WORLD R1	R1	NO LOAD	REDEEM ONLY	1600	0	0
RFTTX	AMERICAN FUNDS	AMERICAN FUNDS 2010 TARGET DATE RETIREMENT FD R6 SH	R6	NO LOAD	REDEEM ONLY	1600	0	0
CCATX	AMERICAN FUNDS	AMERICAN FUNDS 2010 TRGT DATE RETIRE C	C	LOAD	OPEN	1600	250	250
RFJTX	AMERICAN FUNDS	AMERICAN FUNDS 2015 TARGET DATE RETIREMENT FD R6 SH	R6	NO LOAD	REDEEM ONLY	1600	0	0
RRCTX	AMERICAN FUNDS	AMERICAN FUNDS 2020 TARGET DATE RETIREMENT FD R6 SH	R6	NO LOAD	REDEEM ONLY	1600	0	0
RFDTX	AMERICAN FUNDS	AMERICAN FUNDS 2025 TARGET DATE RETIREMENT FD R6 SH	R6	NO LOAD	REDEEM ONLY	1600	0	0
RFETX	AMERICAN FUNDS	AMERICAN FUNDS 2030 TARGET DATE RETIREMENT FD R6 SH	R6	NO LOAD	REDEEM ONLY	1600	0	0
RFFTX	AMERICAN FUNDS	AMERICAN FUNDS 2035 TARGET DATE RETIREMENT FD R6 SH	R6	NO LOAD	REDEEM ONLY	1600	0	0
RFGTX	AMERICAN FUNDS	AMERICAN FUNDS 2040 TARGET DATE RETIREMENT FD R6 SH	R6	NO LOAD	REDEEM ONLY	1600	0	0
RFHTX	AMERICAN FUNDS	AMERICAN FUNDS 2045 TARGET DATE RETIREMENT FD R6 SH	R6	NO LOAD	REDEEM ONLY	1600	0	0
RFITX	AMERICAN FUNDS	AMERICAN FUNDS 2050 TARGET DATE RETIREMENT FD R6 SH	R6	NO LOAD	REDEEM ONLY	1600	0	0
AAOTX	AMERICAN FUNDS	AMERICAN FUNDS 2065 TRGT DATE RETIRE A	A	LOAD	OPEN	1600	0	0
CCLTX	AMERICAN FUNDS	AMERICAN FUNDS 2065 TRGT DATE RETIRE C	C	LOAD	OPEN	1600	0	0
FAXTX	AMERICAN FUNDS	AMERICAN FUNDS 2065 TRGT DATE RETIRE F1	F1	NO LOAD	OPEN	1600	0	0
FBMTX	AMERICAN FUNDS	AMERICAN FUNDS 2065 TRGT DATE RETIRE F2	F2	NO LOAD	REDEEM ONLY	1600	0	0
RBOTX	AMERICAN FUNDS	AMERICAN FUNDS 2065 TRGT DATE RETIRE R2	R2	NO LOAD	REDEEM ONLY	1600	0	0
RCPTX	AMERICAN FUNDS	AMERICAN FUNDS 2065 TRGT DATE RETIRE R3	R3	NO LOAD	REDEEM ONLY	1600	0	0
RDLTX	AMERICAN FUNDS	AMERICAN FUNDS 2065 TRGT DATE RETIRE R4	R4	NO LOAD	REDEEM ONLY	1600	0	0
RHLTX	AMERICAN FUNDS	AMERICAN FUNDS 2065 TRGT DATE RETIRE R5E	R5E	NO LOAD	REDEEM ONLY	1600	100000	100000
RCAEX	AMERICAN FUNDS	AMERICAN FUNDS 2070 TRGT DATE RETIRE R4	R4	NO LOAD	REDEEM ONLY	1600	0	0
REAGX	AMERICAN FUNDS	AMERICAN FUNDS 2070 TRGT DATE RETIRE R5	R5	NO LOAD	REDEEM ONLY	1600	0	0
RAAJX	AMERICAN FUNDS	AMERICAN FUNDS 2070 TRGT DATE RETIRE R5E	R5E	NO LOAD	REDEEM ONLY	1600	0	0
RFBFX	AMERICAN FUNDS	AMERICAN FUNDS 2070 TRGT DATE RETIRE R6	R6	NO LOAD	REDEEM ONLY	1600	0	0
AMCFX	AMERICAN FUNDS	AMERICAN FUNDS AMCAP FD F2 SHRS	F2	NO LOAD	REDEEM ONLY	1600	250	250
RAFGX	AMERICAN FUNDS	AMERICAN FUNDS AMCAP FD R6 SHRS	R6	NO LOAD	REDEEM ONLY	1600	250	250
RAEFX	AMERICAN FUNDS	AMERICAN FUNDS AMCAP R5E	R5E	NO LOAD	REDEEM ONLY	1600	0	0
AHMFX	AMERICAN FUNDS	AMERICAN FUNDS AMERICAN HIGH INCM MUNI BD FD F2 SH	F2	NO LOAD	REDEEM ONLY	1600	250	250
AHIFX	AMERICAN FUNDS	AMERICAN FUNDS AMERICAN HIGH INCM TRUST FD F2 SHRS	F2	NO LOAD	REDEEM ONLY	1600	250	250
ABALX	AMERICAN FUNDS	AMERICAN FUNDS BALANCED FD CL A	A	LOAD	OPEN	1600	250	250
BALFX	AMERICAN FUNDS	AMERICAN FUNDS BALANCED FD CL F1	F1	NO LOAD	OPEN	1600	100	100
AMBFX	AMERICAN FUNDS	AMERICAN FUNDS BALANCED FD CL F2	F2	NO LOAD	REDEEM ONLY	1600	250	250
AFMBX	AMERICAN FUNDS	AMERICAN FUNDS BALANCED FD CL F3	F3	NO LOAD	REDEEM ONLY	1600	0	0
RLBGX	AMERICAN FUNDS	AMERICAN FUNDS BALANCED FD CL R6	R6	NO LOAD	REDEEM ONLY	1600	250	250
ABNFX	AMERICAN FUNDS	AMERICAN FUNDS BD FD OF AMERICA F2 SHRS	F2	NO LOAD	REDEEM ONLY	1600	250	250
RBFGX	AMERICAN FUNDS	AMERICAN FUNDS BD FD OF AMERICA R6 SHRS	R6	NO LOAD	REDEEM ONLY	1600	250	250
CAIFX	AMERICAN FUNDS	AMERICAN FUNDS CAPITAL INCM BUILDER FD F2 SHRS	F2	NO LOAD	REDEEM ONLY	1600	250	250
RIRGX	AMERICAN FUNDS	AMERICAN FUNDS CAPITAL INCM BUILDER FD R6 SHRS	R6	NO LOAD	REDEEM ONLY	1600	250	250
BFWFX	AMERICAN FUNDS	AMERICAN FUNDS CAPITAL WORLD BD FD F2 SHRS	F2	NO LOAD	REDEEM ONLY	1600	250	250

SYMBOL	FUND FAMILY	FUND DESCRIPTION	SHARE CLASS	FUND TYPE	STATUS	CUT- OFF	IRA MIN	NON-IRA MIN
RCWGX	AMERICAN FUNDS	AMERICAN FUNDS CAPITAL WORLD BD FD R6 SHRS	R6	NO LOAD	REDEEM ONLY	1600	250	250
RCWBX	AMERICAN FUNDS	AMERICAN FUNDS CAPITAL WORLD BOND R2	R2	NO LOAD	REDEEM ONLY	1600	0	0
RWIHX	AMERICAN FUNDS	AMERICAN FUNDS CAPITAL WORLD GR&INC R5E	R5E	NO LOAD	REDEEM ONLY	1600	0	0
RWIGX	AMERICAN FUNDS	AMERICAN FUNDS CAPITAL WORLD GROWTH AND INC FD R6	R6	NO LOAD	REDEEM ONLY	1600	250	250
RINFX	AMERICAN FUNDS	AMERICAN FUNDS CNSRV GR & INC R5	R5	NO LOAD	REDEEM ONLY	1600	0	0
BFCXX	AMERICAN FUNDS	AMERICAN FUNDS CORPORATE BOND C	C	LOAD	OPEN	1600	250	250
RCBCX	AMERICAN FUNDS	AMERICAN FUNDS CORPORATE BOND R3	R3	NO LOAD	REDEEM ONLY	1600	100000	100000
RCBEX	AMERICAN FUNDS	AMERICAN FUNDS CORPORATE BOND R5	R5	NO LOAD	REDEEM ONLY	1600	0	0
RDWCX	AMERICAN FUNDS	AMERICAN FUNDS DVLPG WLD GR&INC R3	R3	NO LOAD	REDEEM ONLY	1600	0	0
RDWEX	AMERICAN FUNDS	AMERICAN FUNDS DVLPG WLD GR&INC R4	R4	NO LOAD	REDEEM ONLY	1600	0	0
RDWFX	AMERICAN FUNDS	AMERICAN FUNDS DVLPG WLD GR&INC R5	R5	NO LOAD	REDEEM ONLY	1600	0	0
REGCX	AMERICAN FUNDS	AMERICAN FUNDS EMERGING MARKETS BD R3	R3	NO LOAD	REDEEM ONLY	1600	0	0
AEPFX	AMERICAN FUNDS	AMERICAN FUNDS EUROPACIFIC GROWTH FD F2 SHRS	F2	NO LOAD	REDEEM ONLY	1600	250	250
RERGX	AMERICAN FUNDS	AMERICAN FUNDS EUROPACIFIC GROWTH FD R6 SHRS	R6	NO LOAD	REDEEM ONLY	1600	250	250
FINFX	AMERICAN FUNDS	AMERICAN FUNDS FUNDAMENTAL INVESTORS FD F2 SHRS	F2	NO LOAD	REDEEM ONLY	1600	250	250
RFNGX	AMERICAN FUNDS	AMERICAN FUNDS FUNDAMENTAL INVESTORS FD R6 SHRS	R6	NO LOAD	REDEEM ONLY	1600	250	250
RGBLX	AMERICAN FUNDS	AMERICAN FUNDS GLOBAL BALANCED FD R1	R1	NO LOAD	REDEEM ONLY	1600	0	0
RGGFX	AMERICAN FUNDS	AMERICAN FUNDS GLOBAL GROWTH PORT R5	R5	NO LOAD	REDEEM ONLY	1600	0	0
AGVFX	AMERICAN FUNDS	AMERICAN FUNDS GLOBAL INSIGHT A	A	LOAD	OPEN	1600	250	250
AGVHX	AMERICAN FUNDS	AMERICAN FUNDS GLOBAL INSIGHT F3	F3	NO LOAD	REDEEM ONLY	1600	0	0
RGLBX	AMERICAN FUNDS	AMERICAN FUNDS GLOBAL INSIGHT R2	R2	LOAD WAIVED	REDEEM ONLY	1600	0	0
RGLDX	AMERICAN FUNDS	AMERICAN FUNDS GLOBAL INSIGHT R3	R3	NO LOAD	REDEEM ONLY	1600	0	0
RGNAX	AMERICAN FUNDS	AMERICAN FUNDS GROWTH AND INC PORT R1	R1	NO LOAD	REDEEM ONLY	1600	0	0
RGNBX	AMERICAN FUNDS	AMERICAN FUNDS GROWTH AND INC PORT R2	R2	LOAD WAIVED	REDEEM ONLY	1600	0	0
RAICX	AMERICAN FUNDS	AMERICAN FUNDS GROWTH AND INC PORT R3	R3	NO LOAD	REDEEM ONLY	1600	100000	100000
RGNFX	AMERICAN FUNDS	AMERICAN FUNDS GROWTH AND INC PORT R5	R5	NO LOAD	REDEEM ONLY	1600	0	0
GFFFX	AMERICAN FUNDS	AMERICAN FUNDS GROWTH FD OF AMERICA F2 SHRS	F2	NO LOAD	REDEEM ONLY	1600	250	250
RGWFX	AMERICAN FUNDS	AMERICAN FUNDS GROWTH PORTFOLIO R5	R5	NO LOAD	REDEEM ONLY	1600	0	0
RITGX	AMERICAN FUNDS	AMERICAN FUNDS HIGH INCOME TRUST CL R6	R6	NO LOAD	REDEEM ONLY	1600	250	250
RIDAX	AMERICAN FUNDS	AMERICAN FUNDS INC FUND OF AMERICA R1	R1	NO LOAD	REDEEM ONLY	1600	0	0
AMEFX	AMERICAN FUNDS	AMERICAN FUNDS INCM FD OF AMERICA F2 SHRS	F2	NO LOAD	REDEEM ONLY	1600	250	250
RIDGX	AMERICAN FUNDS	AMERICAN FUNDS INCM FD OF AMERICA R6 SHRS	R6	NO LOAD	REDEEM ONLY	1600	250	250
RIDHX	AMERICAN FUNDS	AMERICAN FUNDS INCOME FUND OF AMER R5E	R5E	NO LOAD	REDEEM ONLY	1600	0	0
RILEX	AMERICAN FUNDS	AMERICAN FUNDS INFLATION LINKED BD R5	R5	NO LOAD	REDEEM ONLY	1600	0	0
RBOGX	AMERICAN FUNDS	AMERICAN FUNDS INTER BD FD OF AMERICA R6 SHARES	R6	NO LOAD	REDEEM ONLY	1600	250	250
RIVIX	AMERICAN FUNDS	AMERICAN FUNDS INTERNATIONAL VNTG R3	R3	NO LOAD	REDEEM ONLY	1600	0	0
RIGGX	AMERICAN FUNDS	AMERICAN FUNDS INTL GROWTH AND INC FD R6 SHRS	R6	NO LOAD	REDEEM ONLY	1600	250	250
IGAIX	AMERICAN FUNDS	AMERICAN FUNDS INTL GWTH & INCM CL F3	F3	NO LOAD	REDEEM ONLY	1600	0	0
RICGX	AMERICAN FUNDS	AMERICAN FUNDS INVT CO OF AMERICA FD R6 SHRS	R6	NO LOAD	REDEEM ONLY	1600	250	250
RBACX	AMERICAN FUNDS	AMERICAN FUNDS MODERATE GR & INC R3	R3	NO LOAD	REDEEM ONLY	1600	100000	100000
RBAFX	AMERICAN FUNDS	AMERICAN FUNDS MODERATE GR & INC R5	R5	NO LOAD	REDEEM ONLY	1600	0	0

SYMBOL	FUND FAMILY	FUND DESCRIPTION	SHARE CLASS	FUND TYPE	STATUS	CUT- OFF	IRA MIN	NON-IRA MIN
RMACX	AMERICAN FUNDS	AMERICAN FUNDS MORTGAGE R3	R3	NO LOAD	REDEEM ONLY	1600	0	0
MIAUX	AMERICAN FUNDS	AMERICAN FUNDS MULTISECTOR INCOME C	C	LOAD	OPEN	1600	0	0
MIAZX	AMERICAN FUNDS	AMERICAN FUNDS MULTISECTOR INCOME F3	F3	NO LOAD	REDEEM ONLY	1600	0	0
RMDQX	AMERICAN FUNDS	AMERICAN FUNDS MULTISECTOR INCOME R3	R3	NO LOAD	REDEEM ONLY	1600	0	0
AMRMX	AMERICAN FUNDS	AMERICAN FUNDS MUTUAL FD CL A	A	LOAD	OPEN	1600	250	250
AMFCX	AMERICAN FUNDS	AMERICAN FUNDS MUTUAL FD CL C	C	LOAD	OPEN	1600	250	250
AMRFX	AMERICAN FUNDS	AMERICAN FUNDS MUTUAL FD CL F2	F2	NO LOAD	REDEEM ONLY	1600	250	250
AFMFX	AMERICAN FUNDS	AMERICAN FUNDS MUTUAL FD CL F3	F3	NO LOAD	REDEEM ONLY	1600	0	0
RMFAX	AMERICAN FUNDS	AMERICAN FUNDS MUTUAL FD CL R1	R1	NO LOAD	REDEEM ONLY	1600	0	0
RMFBX	AMERICAN FUNDS	AMERICAN FUNDS MUTUAL FD CL R2	R2	NO LOAD	REDEEM ONLY	1600	0	0
RMFCX	AMERICAN FUNDS	AMERICAN FUNDS MUTUAL FD CL R3	R3	NO LOAD	REDEEM ONLY	1600	0	0
RMFEX	AMERICAN FUNDS	AMERICAN FUNDS MUTUAL FD CL R4	R4	NO LOAD	REDEEM ONLY	1600	0	0
RMFFX	AMERICAN FUNDS	AMERICAN FUNDS MUTUAL FD CL R5	R5	NO LOAD	REDEEM ONLY	1600	100	100
RMFGX	AMERICAN FUNDS	AMERICAN FUNDS MUTUAL FD CL R6	R6	NO LOAD	REDEEM ONLY	1600	250	250
NEFFX	AMERICAN FUNDS	AMERICAN FUNDS NEW ECONOMY FD F2 SHRS	F2	NO LOAD	REDEEM ONLY	1600	250	250
RNGGX	AMERICAN FUNDS	AMERICAN FUNDS NEW ECONOMY FD R6 SHRS	R6	NO LOAD	REDEEM ONLY	1600	250	250
ANWFX	AMERICAN FUNDS	AMERICAN FUNDS NEW PERSPECTIVE FD F2 SHRS	F2	NO LOAD	REDEEM ONLY	1600	250	250
RNPGX	AMERICAN FUNDS	AMERICAN FUNDS NEW PERSPECTIVE FD R6 SHRS	R6	NO LOAD	REDEEM ONLY	1600	250	250
NFFFX	AMERICAN FUNDS	AMERICAN FUNDS NEW WORLD FD F2 SHRS	F2	NO LOAD	REDEEM ONLY	1600	250	250
RNWXG	AMERICAN FUNDS	AMERICAN FUNDS NEW WORLD FD R6 SHRS	R6	NO LOAD	REDEEM ONLY	1600	250	250
RNWHX	AMERICAN FUNDS	AMERICAN FUNDS NEW WORLD R5E	R5E	NO LOAD	REDEEM ONLY	1600	0	0
RPPFX	AMERICAN FUNDS	AMERICAN FUNDS PRESERVATION PORT R5	R5	NO LOAD	REDEEM ONLY	1600	0	0
RPPGX	AMERICAN FUNDS	AMERICAN FUNDS PRESERVATION PORT R6	R6	NO LOAD	REDEEM ONLY	1600	100000	100000
RJRPX	AMERICAN FUNDS	AMERICAN FUNDS RETIRE INC PORTCNSRV R3	R3	NO LOAD	REDEEM ONLY	1600	0	0
RMRPX	AMERICAN FUNDS	AMERICAN FUNDS RETIRE INC PORTCNSRV R4	R4	NO LOAD	REDEEM ONLY	1600	0	0
RQRPX	AMERICAN FUNDS	AMERICAN FUNDS RETIRE INC PORTCNSRV R5	R5	NO LOAD	REDEEM ONLY	1600	0	0
RLRPX	AMERICAN FUNDS	AMERICAN FUNDS RETIRE INC PORTENH R3	R3	NO LOAD	REDEEM ONLY	1600	0	0
RKRPX	AMERICAN FUNDS	AMERICAN FUNDS RETIRE INC PORTMOD R3	R3	NO LOAD	REDEEM ONLY	1600	0	0
SBFFX	AMERICAN FUNDS	AMERICAN FUNDS SHORT TERM BD FD OF AMERICA F2	F2	NO LOAD	REDEEM ONLY	1600	250	250
RMMGX	AMERICAN FUNDS	AMERICAN FUNDS SHORT TERM BD OF AMERICA CL R6	R6	NO LOAD	REDEEM ONLY	1600	250	250
ASTEX	AMERICAN FUNDS	AMERICAN FUNDS SHORT TERM TAX EXEMPT BD A	A	LOAD	OPEN	1600	250	250
FSTTX	AMERICAN FUNDS	AMERICAN FUNDS SHORT TERM TAX EXEMPT BD FD CL F1	F1	NO LOAD	OPEN	1600	100	100
ASTFX	AMERICAN FUNDS	AMERICAN FUNDS SHORT TERM TAX EXEMPT BD FD CL F2	F2	NO LOAD	REDEEM ONLY	1600	250	250
SMCFX	AMERICAN FUNDS	AMERICAN FUNDS SMALL CAP WORLD FD F2 SHRS	F2	NO LOAD	REDEEM ONLY	1600	250	250
RLLGX	AMERICAN FUNDS	AMERICAN FUNDS SMALL CAP WORLD FD R6 SHRS	R6	NO LOAD	REDEEM ONLY	1600	250	250
RANGX	AMERICAN FUNDS	AMERICAN FUNDS STRATEGIC BOND R6	R6	NO LOAD	REDEEM ONLY	1600	0	0
TEAFX	AMERICAN FUNDS	AMERICAN FUNDS TAX EXEMPT BD FD OF AMERICA F2	F2	NO LOAD	REDEEM ONLY	1600	250	250
TEFEX	AMERICAN FUNDS	AMERICAN FUNDS TAX EXEMPT FD OF CA F2 SHRS	F2	NO LOAD	REDEEM ONLY	1600	250	250
TECCX	AMERICAN FUNDS	AMERICAN FUNDS TAX EXEMPT SER II CALIF CL C	C	LOAD	OPEN	1600	1000	1000
NYACX	AMERICAN FUNDS	AMERICAN FUNDS TAXEXEMPT FUND OF NY C	C	LOAD	OPEN	1600	1000	1000
RGAGX	AMERICAN FUNDS	AMERICAN FUNDS THE GROWTH FD OF AMERICA R6 SHRS	R6	NO LOAD	REDEEM ONLY	1600	250	250

SYMBOL	FUND FAMILY	FUND DESCRIPTION	SHARE CLASS	FUND TYPE	STATUS	CUT- OFF	IRA MIN	NON-IRA MIN
RGVGX	AMERICAN FUNDS	AMERICAN FUNDS U.S. GOVT SECURITIES FD R6 SHRS	R6	NO LOAD	REDEEM ONLY	1600	250	250
RABXX	AMERICAN FUNDS	AMERICAN FUNDS US GOVERNMENT MMKT R2	R2	NO LOAD	REDEEM ONLY	1600	0	0
GVTFX	AMERICAN FUNDS	AMERICAN FUNDS US GOVT SECURITIES FD F2 SHRS	F2	NO LOAD	REDEEM ONLY	1600	250	250
WMFFX	AMERICAN FUNDS	AMERICAN FUNDS WA MUTUAL INVESTORS FD F2 SH	F2	NO LOAD	REDEEM ONLY	1600	250	250
AHICX	AMERICAN FUNDS	AMERICAN HIGH INCOME MUN BD INC CL C	C	LOAD	OPEN	1600	250	250
AMHIX	AMERICAN FUNDS	AMERICAN HIGH INCOME MUNICIPAL BOND FUND INC CL A	A	LOAD	OPEN	1600	250	250
AHTCX	AMERICAN FUNDS	AMERICAN HIGH INCOME TR CL C	C	LOAD	OPEN	1600	250	250
AHITX	AMERICAN FUNDS	AMERICAN HIGH INCOME TRUST CL A AMERICAN FUNDS	A	LOAD	OPEN	1600	250	250
AHTFX	AMERICAN FUNDS	AMERICAN HIGH INCOME TRUST CL F1 AMERICAN FUNDS	F1	NO LOAD	OPEN	1600	100	100
AMFFX	AMERICAN FUNDS	AMERICAN MUTUAL FUND CL F1 AMERICAN FUNDS	F1	NO LOAD	OPEN	1600	100	100
BFACX	AMERICAN FUNDS	BOND FUND AMER INC CL C	C	LOAD	OPEN	1600	250	250
ABNDX	AMERICAN FUNDS	BOND FUND OF AMERICA CL A AMERICAN FUNDS	A	LOAD	OPEN	1600	250	250
BFAFX	AMERICAN FUNDS	BOND FUND OF AMERICA CL F1 AMERICAN FUNDS	F1	NO LOAD	OPEN	1600	100	100
CIBCX	AMERICAN FUNDS	CAPITAL INCOME BLDR FUND CL C	C	LOAD	OPEN	1600	250	250
CAIBX	AMERICAN FUNDS	CAPITAL INCOME BUILDER CL A AMERICAN FUNDS	A	LOAD	OPEN	1600	250	250
CIBFX	AMERICAN FUNDS	CAPITAL INCOME BUILDER CL F1 AMERICAN FUNDS	F1	NO LOAD	OPEN	1600	100	100
CWBFX	AMERICAN FUNDS	CAPITAL WORLD BOND FUND CL A AMERICAN FUNDS	A	LOAD	OPEN	1600	250	250
CWBCX	AMERICAN FUNDS	CAPITAL WORLD BOND FUND CL C	C	LOAD	OPEN	1600	250	250
WBFFX	AMERICAN FUNDS	CAPITAL WORLD BOND FUND CL F1 AMERICAN FUNDS	F1	NO LOAD	OPEN	1600	100	100
CWGCX	AMERICAN FUNDS	CAPITAL WORLD GROWTH & INCOME FUND INC CL C	C	LOAD	OPEN	1600	250	250
AEPCX	AMERICAN FUNDS	EURO PAC GROWTH FUND CL C	C	LOAD	OPEN	1600	250	250
AEPGX	AMERICAN FUNDS	EUROPACIFIC GROWTH FUND CL A AMERICAN FUNDS	A	LOAD	OPEN	1600	250	250
AEGFX	AMERICAN FUNDS	EUROPACIFIC GROWTH FUND CL F1 AMERICAN FUNDS	F1	NO LOAD	OPEN	1600	100	100
ANCFX	AMERICAN FUNDS	FUNDAMENTAL INVESTORS FD CL A AMERICAN FUNDS	A	LOAD	OPEN	1600	250	250
AFIFX	AMERICAN FUNDS	FUNDAMENTAL INVESTORS FD CL F1 AMERICAN FUNDS	F1	NO LOAD	OPEN	1600	100	100
AFICX	AMERICAN FUNDS	FUNDAMENTAL INVS INC CL C	C	LOAD	OPEN	1600	250	250
GFACX	AMERICAN FUNDS	GROWTH FUND AMER INC CL C	C	LOAD	OPEN	1600	250	250
AGTHX	AMERICAN FUNDS	GROWTH FUND OF AMERICA CL A AMERICAN FUNDS	A	LOAD	OPEN	1600	250	250
GFAFX	AMERICAN FUNDS	GROWTH FUND OF AMERICA CL F1 AMERICAN FUNDS	F1	NO LOAD	OPEN	1600	100	100
ABHFX	AMERICAN FUNDS	HIGH INCOME MUNI BOND CL F1 AMERICAN FUNDS	F1	NO LOAD	OPEN	1600	100	100
IFACX	AMERICAN FUNDS	INCOME FUND AMER INC CL C	C	LOAD	OPEN	1600	250	250
AMECX	AMERICAN FUNDS	INCOME FUND OF AMERICA CL A AMERICAN FUNDS	A	LOAD	OPEN	1600	250	250
IFAFX	AMERICAN FUNDS	INCOME FUND OF AMERICA CL F1 AMERICAN FUNDS	F1	NO LOAD	OPEN	1600	100	100
IBFCX	AMERICAN FUNDS	INTERMEDIATE BOND FUND AMER CL C	C	LOAD	OPEN	1600	250	250
IBFFX	AMERICAN FUNDS	INTERMEDIATE BOND FUND CL F1 AMERICAN FUNDS	F1	NO LOAD	OPEN	1600	100	100
AICCX	AMERICAN FUNDS	INVESTMENT CO AMER CL C	C	LOAD	OPEN	1600	250	250
AIVSX	AMERICAN FUNDS	INVESTMENT CO OF AMERICA CL A AMERICAN FUNDS	A	LOAD	OPEN	1600	250	250
AICFX	AMERICAN FUNDS	INVESTMENT CO OF AMERICA CL F1 AMERICAN FUNDS	F1	NO LOAD	OPEN	1600	100	100
ANEFX	AMERICAN FUNDS	NEW ECONOMY FUND CL A AMERICAN FUNDS	A	LOAD	OPEN	1600	250	250
ANFCX	AMERICAN FUNDS	NEW ECONOMY FUND CL C	C	LOAD	OPEN	1600	250	250
ANFFX	AMERICAN FUNDS	NEW ECONOMY FUND CL F1 AMERICAN FUNDS	F1	NO LOAD	OPEN	1600	100	100

SYMBOL	FUND FAMILY	FUND DESCRIPTION	SHARE CLASS	FUND TYPE	STATUS	CUT- OFF	IRA MIN	NON-IRA MIN
ANWPX	AMERICAN FUNDS	NEW PERSPECTIVE FUND CL A AMERICAN FUNDS	A	LOAD	OPEN	1600	250	250
NPFFX	AMERICAN FUNDS	NEW PERSPECTIVE FUND CL F1 AMERICAN FUNDS	F1	NO LOAD	OPEN	1600	100	100
NPFCX	AMERICAN FUNDS	NEW PERSPECTIVE FUND INC CL C	C	LOAD	OPEN	1600	250	250
NEWFX	AMERICAN FUNDS	NEW WORLD FUND CL A AMERICAN FUNDS	A	LOAD	OPEN	1600	250	250
NWFFX	AMERICAN FUNDS	NEW WORLD FUND CL F1 AMERICAN FUNDS	F1	NO LOAD	OPEN	1600	100	100
NEWCX	AMERICAN FUNDS	NEW WORLD FUND INC NEW CL C	C	LOAD	OPEN	1600	250	250
RAMAX	AMERICAN FUNDS	SHORT TERM BD FD OF AMERICA R1	R1	NO LOAD	REDEEM ONLY	1600	0	0
SMCWX	AMERICAN FUNDS	SMALLCAP WORLD FUND CL A AMERICAN FUNDS	A	LOAD	OPEN	1600	250	250
SCWFX	AMERICAN FUNDS	SMALLCAP WORLD FUND CL F1 AMERICAN FUNDS	F1	NO LOAD	OPEN	1600	100	100
SCWCX	AMERICAN FUNDS	SMALLCAP WORLD FUND INC CL C	C	LOAD	OPEN	1600	250	250
TEBCX	AMERICAN FUNDS	TAX EXEMPT BOND FD AMER INC CL C	C	LOAD	OPEN	1600	250	250
AFTFX	AMERICAN FUNDS	TAXEXEMPT BOND FD CL F1 AMERICAN FUNDS	F1	NO LOAD	OPEN	1600	100	100
TAFTX	AMERICAN FUNDS	TAXEXEMPT FD OF CA CL A AMERICAN FUNDS	A	LOAD	OPEN	1600	1000	1000
TECFX	AMERICAN FUNDS	TAXEXEMPT FD OF CA CL F1 AMERICAN FUNDS	F1	NO LOAD	OPEN	1600	100	100
AMUSX	AMERICAN FUNDS	U.S. GOVT SECS FUND CL A AMERICAN FUNDS	A	LOAD	OPEN	1600	250	250
UGSFX	AMERICAN FUNDS	U.S. GOVT SECS FUND CL F1 AMERICAN FUNDS	F1	NO LOAD	OPEN	1600	100	100
WSHCX	AMERICAN FUNDS	WASHINGTON MUT INVS FD INC CL C	C	LOAD	OPEN	1600	250	250
AWSHX	AMERICAN FUNDS	WASHINGTON MUTUAL INV FD CL A AMERICAN FUNDS	A	LOAD	OPEN	1600	250	250
WSHFX	AMERICAN FUNDS	WASHINGTON MUTUAL INV FD CL F1 AMERICAN FUNDS	F1	NO LOAD	OPEN	1600	100	100
AMRAX	AMERICAN GROWTH	AMERICAN GROWTH A	A	LOAD	OPEN	1600	0	0
AMRCX	AMERICAN GROWTH	AMERICAN GROWTH C	C	LOAD	OPEN	1600	0	0
AMRGX	AMERICAN GROWTH	AMERICAN GROWTH D	D	LOAD	REDEEM ONLY	1600	0	0
AMRBX	AMERICAN GROWTH	AMERICAN GROWTH FD B	B	LOAD	OPEN	1600	0	0
IICAX	AMF	AMF LARGE CAP EQTY	AMF	NO LOAD	OPEN	1600	0	0
ADLIX	AMG FUNDS	AMG BEUTEL GOODMAN CORE PLUS BD FD CL I	I	NO LOAD	OPEN	1600	25000	100000
ADBLX	AMG FUNDS	AMG BEUTEL GOODMAN CORE PLUS BD FD CL N	N	NO LOAD	OPEN	1600	0	0
BRWIX	AMG FUNDS	AMG BOSTON COMMON GLOBAL IMPACT FD CL I	I	NO LOAD	OPEN	1600	1000	2000
MSSCX	AMG FUNDS	AMG FRONTIER SMALL CAP GWTH FD CL I	I	NO LOAD	OPEN	1600	25000	100000
MSSVX	AMG FUNDS	AMG FRONTIER SMALL CAP GWTH FD CL N	N	NO LOAD	OPEN	1600	100	100
MSSYX	AMG FUNDS	AMG FRONTIER SMALL CAP GWTH FD Z	Z	NO LOAD	OPEN	1600	5000000	5000000
MGBIX	AMG FUNDS	AMG GW AND K ESG BOND FD CL I	I	NO LOAD	OPEN	1600	25000	100000
MGFIX	AMG FUNDS	AMG GW AND K ESG BOND FD CL N	N	NO LOAD	OPEN	1600	100	100
SKSIX	AMG FUNDS	AMG GW AND K SMALL CAP VALUE I	I	NO LOAD	OPEN	1600	25000	100000
SKSEX	AMG FUNDS	AMG GW AND K SMALL CAP VALUE N	N	NO LOAD	OPEN	1600	100	100
MBDFX	AMG FUNDS	AMG GW&K CORE BOND ESG FD CL I	I	NO LOAD	OPEN	1600	25000	100000
MBGVX	AMG FUNDS	AMG GW&K CORE BOND ESG FD CL N	N	NO LOAD	OPEN	1600	100	100
MECIX	AMG FUNDS	AMG GW&K INTERNATIONAL SMALL CAP FD CL I	I	NO LOAD	OPEN	1600	25000	100000
MECAX	AMG FUNDS	AMG GW&K INTERNATIONAL SMALL CAP FD CL N	N	NO LOAD	OPEN	1600	100	100
MECZX	AMG FUNDS	AMG GW&K INTERNATIONAL SMALL CAP FD CL Z	Z	NO LOAD	REDEEM ONLY	1600	5000000	5000000
GWMIX	AMG FUNDS	AMG GW&K MUNI BD FD CL I	I	NO LOAD	OPEN	1600	25000	100000
GWMTX	AMG FUNDS	AMG GW&K MUNI BD FD CL N	N	NO LOAD	OPEN	1600	100	100

SYMBOL	FUND FAMILY	FUND DESCRIPTION	SHARE CLASS	FUND TYPE	STATUS	CUT- OFF	IRA MIN	NON-IRA MIN
GWMEX	AMG FUNDS	AMG GW&K MUNI ENHANCED YIELD FD CL I	I	NO LOAD	OPEN	1600	25000	100000
GWMNX	AMG FUNDS	AMG GW&K MUNI ENHANCED YIELD FD CL N	N	NO LOAD	OPEN	1600	100	100
MESHX	AMG FUNDS	AMG GW&K MUNICIPAL ENHANCED SMA SHARES	NO LOAD	NO LOAD	REDEEM ONLY	1600	0	0
GWEIX	AMG FUNDS	AMG GW&K SMALL CAP CORE FD CL I	I	NO LOAD	OPEN	1600	25000	100000
GWETX	AMG FUNDS	AMG GW&K SMALL CAP CORE FD CL N	N	NO LOAD	OPEN	1600	100	100
GWGIX	AMG FUNDS	AMG GW&K SMALL MID CAP CORE I	I	NO LOAD	OPEN	1600	25000	100000
GWGVX	AMG FUNDS	AMG GW&K SMALL MID CAP CORE N	N	NO LOAD	OPEN	1600	100	100
GWGZX	AMG FUNDS	AMG GW&K SMALL MID CAP CORE Z	Z	NO LOAD	OPEN	1600	5000000	5000000
ACWIX	AMG FUNDS	AMG GW&K SMALL MID CAP GROWTH I	I	NO LOAD	OPEN	1600	25000	100000
ACWDX	AMG FUNDS	AMG GW&K SMALL MID CAP GROWTH N	N	NO LOAD	OPEN	1600	0	0
MCGIX	AMG FUNDS	AMG MONTRUSCO BOLTON LG CAP GRWTH FD CL I	I	NO LOAD	OPEN	1600	25000	100000
MCGFX	AMG FUNDS	AMG MONTRUSCO BOLTON LG CAP GRWTH FD CL N	N	NO LOAD	OPEN	1600	0	0
PCSZX	AMG FUNDS	AMG PANTHEON CREDIT SOLUTIONS FD S CL	S	NO LOAD	REDEEM ONLY	1600	0	0
MRLTX	AMG FUNDS	AMG RENAISSANCE LARGE CAP GWTH CL N	N	NO LOAD	OPEN	1600	100	100
MRLIX	AMG FUNDS	AMG RENAISSANCE LARGE CAP GWTH CL Z	Z	NO LOAD	OPEN	1600	50000	100000
MRLSX	AMG FUNDS	AMG RENAISSANCE LARGE CAP GWTH I	I	NO LOAD	OPEN	1600	25000	100000
ARIDX	AMG FUNDS	AMG RIVER ROAD DIVIDEND ALL CAP VALUE CL I	I	NO LOAD	OPEN	1600	25000	100000
ARDEX	AMG FUNDS	AMG RIVER ROAD DIVIDEND ALL CAP VALUE CL N	N	NO LOAD	OPEN	1600	0	0
AFAVX	AMG FUNDS	AMG RIVER ROAD FOCUSED ABSOLUTE VALUE CL I	I	NO LOAD	OPEN	1600	25000	100000
ARRFX	AMG FUNDS	AMG RIVER ROAD FOCUSED ABSOLUTE VALUE CL N	N	NO LOAD	OPEN	1600	0	0
MEQFX	AMG FUNDS	AMG RIVER ROAD LG CAP VALUE SELECT I	I	NO LOAD	OPEN	1600	25000	100000
FQUAX	AMG FUNDS	AMG RIVER ROAD LG CAP VALUE SELECT N	N	NO LOAD	OPEN	1600	100	100
ABMIX	AMG FUNDS	AMG RIVER ROAD MID CAP VALUE FD CL I	I	NO LOAD	OPEN	1600	25000	100000
CHTTX	AMG FUNDS	AMG RIVER ROAD MID CAP VALUE FD CL N	N	NO LOAD	OPEN	1600	0	0
ABIZX	AMG FUNDS	AMG RIVER ROAD MID CAP VALUE FD CL Z	Z	NO LOAD	OPEN	1600	5000000	5000000
ARSIX	AMG FUNDS	AMG RIVER ROAD SMALL CAP VALUE CL I	I	NO LOAD	OPEN	1600	25000	100000
ARSVX	AMG FUNDS	AMG RIVER ROAD SMALL CAP VALUE CL N	N	NO LOAD	OPEN	1600	0	0
ARIMX	AMG FUNDS	AMG RIVER ROAD SMALL MID CAP VALUE FD CL I	I	NO LOAD	OPEN	1600	25000	100000
ARSMX	AMG FUNDS	AMG RIVER ROAD SMALL MID CAP VALUE FD CL N	N	NO LOAD	OPEN	1600	0	0
TQENX	AMG FUNDS	AMG TIMESSQUARE EMERGING MKTS SM CP N	N	NO LOAD	REDEEM ONLY	1600	1000	2000
TCMPX	AMG FUNDS	AMG TIMESSQUARE INTL SM CAP FD CL N	N	NO LOAD	OPEN	1600	100	100
TQTIX	AMG FUNDS	AMG TIMESSQUARE INTL SM CAP FD I	I	NO LOAD	OPEN	1600	25000	100000
TCMIX	AMG FUNDS	AMG TIMESSQUARE INTL SM CAP FD Z	Z	NO LOAD	OPEN	1600	5000000	5000000
TMDPX	AMG FUNDS	AMG TIMESSQUARE MID CAP GWTH FD CL N	N	NO LOAD	OPEN	1600	100	100
TMDIX	AMG FUNDS	AMG TIMESSQUARE MID CAP GWTH FD CL Z	Z	NO LOAD	OPEN	1600	5000000	5000000
TQMIX	AMG FUNDS	AMG TIMESSQUARE MID CAP GWTH FD I	I	NO LOAD	OPEN	1600	25000	100000
TSCPX	AMG FUNDS	AMG TIMESSQUARE SMALL CAP GWTH CL N	N	NO LOAD	OPEN	1600	100	100
TSCIX	AMG FUNDS	AMG TIMESSQUARE SMALL CAP GWTH CL Z	Z	NO LOAD	REDEEM ONLY	1600	5000000	5000000
TSQIX	AMG FUNDS	AMG TIMESSQUARE SMALL CAP GWTH I	I	NO LOAD	OPEN	1600	25000	100000
MSEIX	AMG FUNDS	AMG VERITAS ASIA PACIFIC FD CL I	I	NO LOAD	OPEN	1600	25000	100000
MGSEX	AMG FUNDS	AMG VERITAS ASIA PACIFIC FD CL N	N	NO LOAD	OPEN	1600	100	100

SYMBOL	FUND FAMILY	FUND DESCRIPTION	SHARE CLASS	FUND TYPE	STATUS	CUT- OFF	IRA MIN	NON-IRA MIN
MIMFX	AMG FUNDS	AMG VERITAS CHINA I	I	NO LOAD	OPEN	1600	25000	100000
MMCFX	AMG FUNDS	AMG VERITAS CHINA N	N	NO LOAD	OPEN	1600	1000	2000
MFQTX	AMG FUNDS	AMG VERITAS GLOBAL FOCUS I	I	NO LOAD	OPEN	1600	25000	100000
MFQAX	AMG FUNDS	AMG VERITAS GLOBAL FOCUS N	N	NO LOAD	OPEN	1600	100	100
BLUEX	AMG FUNDS	AMG VERITAS GLOBAL REAL RETURN FD CL I	I	NO LOAD	OPEN	1600	1000	2000
YACKX	AMG FUNDS	AMG YACKTMAN FD CL I	I	NO LOAD	OPEN	1600	25000	100000
YAFIX	AMG FUNDS	AMG YACKTMAN FOCUSED FD CL I	I	NO LOAD	OPEN	1600	25000	100000
YAFFX	AMG FUNDS	AMG YACKTMAN FOCUSED FD CL N	N	NO LOAD	OPEN	1600	0	0
YFSIX	AMG FUNDS	AMG YACKTMAN GLOBAL FUND CL I	I	NO LOAD	OPEN	1600	25000	100000
YASSX	AMG FUNDS	AMG YACKTMAN SPECIAL OPPTY FD CL I	I	NO LOAD	OPEN	1600	25000	100000
ATESX	ANCHOR CAPITAL FUNDS	ANCHOR RISK MANAGED EQUITY STRATEGIES FD INSTL	INSTITUTIONAL	NO LOAD	OPEN	1600	100	100
ATGSX	ANCHOR CAPITAL FUNDS	ANCHOR RISK MANAGED GLOBAL STRATEGIES FD INSTL	INSTITUTIONAL	NO LOAD	OPEN	1600	100	100
ATCAX	ANCHOR CAPITAL FUNDS	ANCHOR RISK MANAGED INC STRATS ADV CL	ADVISOR	NO LOAD	OPEN	1600	100	100
ATCSX	ANCHOR CAPITAL FUNDS	ANCHOR RISK MANAGED INC STRATS INST CL	INSTITUTIONAL	NO LOAD	OPEN	1600	100	100
ATEAX	ANCHOR CAPITAL FUNDS	ANCHOR RISK MGD EQUITY STRATEGIES ADV	ADVISOR	NO LOAD	OPEN	1600	100	100
ATAGX	ANCHOR CAPITAL FUNDS	ANCHOR RISK MGD GLOBAL STRATEGIES ADV	ADVISOR	NO LOAD	OPEN	1600	100	100
ADEIX	ANCORA	ANCORA DIV VALUE EQTY FD I	INSTITUTIONAL	NO LOAD	OPEN	1600	0	0
AAIIX	ANCORA	ANCORA INCM I	INSTITUTIONAL	NO LOAD	OPEN	1600	5000	5000
ANCIX	ANCORA	ANCORA MICROCAP I	INSTITUTIONAL	NO LOAD	OPEN	1600	5000	5000
AATIX	ANCORA	ANCORA THELEN SM MID CAP I	INSTITUTIONAL	NO LOAD	OPEN	1600	5000	5000
ANGLX	ANGEL OAK	ANGEL OAK MULTI STRATEGY INCM FD	A	LOAD	OPEN	1600	100	100
ANGIX	ANGEL OAK	ANGEL OAK MULTI STRATEGY INCM FD INSTL	INSTITUTIONAL	NO LOAD	OPEN	1600	0	0
ANGCX	ANGEL OAK	ANGEL OAK MULTISTRATEGY INCOME C	C	LOAD	OPEN	1600	1000	1000
AOUAX	ANGEL OAK	ANGEL OAK ULTRASHORT INCM A	A	NO LOAD	OPEN	1600	100	100
AOUIX	ANGEL OAK	ANGEL OAK ULTRASHORT INCM FD INST	INSTITUTIONAL	NO LOAD	OPEN	1600	0	0
CRDTX	APOLLO	APOLLO DIVERSIFIED CREDIT FD CL A	A	LOAD WAIVED	OPEN	1600	100	2500
CRDFX	APOLLO	APOLLO DIVERSIFIED CREDIT FD CL F	F	NO LOAD	REDEEM ONLY	1600	100000	100000
CRDIX	APOLLO	APOLLO DIVERSIFIED CREDIT FD CL I	F	NO LOAD	OPEN	1600	1000000	1000000
GCREX	APOLLO	APOLLO DIVERSIFIED REAL ESTATE FD C	C	LOAD	REDEEM ONLY	1600	50	2500
GRIFX	APOLLO	APOLLO DIVERSIFIED REAL ESTATE FD I	I	NO LOAD	OPEN	1600	0	0
GLREX	APOLLO	APOLLO DIVERSIFIED REAL ESTT L	L	LOAD WAIVED	REDEEM ONLY	1600	1000	2500
GMREX	APOLLO	APOLLO DIVERSIFIED REAL ESTT M	M	NO LOAD	OPEN	1600	1000	2500
GIREX	APOLLO	GRIFFIN APOLLO DIVERSIFIED RE A	A	LOAD	OPEN	1600	1000	2500
CGCCX	APOLLO	INSTITUTIONAL ACCESS CREDIT FUND	C	LOAD	REDEEM ONLY	1600	1000	2500
APPIX	APPLESEED FUND	APPLESEED FD INST CL	INSTITUTIONAL	NO LOAD	OPEN	1600	100000	100000
APPLX	APPLESEED FUND	APPLESEED FD INVESTOR CL	INVESTOR	NO LOAD	OPEN	1600	100	100
QRPIX	AQR	AQR ALTERNATIVE RISK PREMIA CL I	I	NO LOAD	OPEN	1600	5000000	5000000
QRPNX	AQR	AQR ALTERNATIVE RISK PREMIA CL N	N	NO LOAD	OPEN	1600	2500	2500
QRPRX	AQR	AQR ALTERNATIVE RISK PREMIA CL R6	R6	NO LOAD	SUBS ONLY	1600	50000000	50000000
QDARX	AQR	AQR DIVERSIFIED ARBITRAGE CL R6	R6	NO LOAD	SUBS ONLY	1600	50000000	50000000
ADAIX	AQR	AQR DIVERSIFIED ARBITRAGE FD CL I	I	NO LOAD	OPEN	1600	5000000	5000000

SYMBOL	FUND FAMILY	FUND DESCRIPTION	SHARE CLASS	FUND TYPE	STATUS	CUT- OFF	IRA MIN	NON-IRA MIN
ADANX	AQR	AQR DIVERSIFIED ARBITRAGE FD CL N	N	NO LOAD	OPEN	1600	2500	2500
QDSIX	AQR	AQR DIVERSIFYING STRATEGIES I	I	NO LOAD	OPEN	1600	5000000	5000000
QDSNX	AQR	AQR DIVERSIFYING STRATEGIES N	N	NO LOAD	OPEN	1600	2500	2500
QDSRX	AQR	AQR DIVERSIFYING STRATEGIES R6	R6	NO LOAD	SUBS ONLY	1600	50000000	50000000
QMNX	AQR	AQR EQTY MKT NEUTRAL FD CL I	I	NO LOAD	OPEN	1600	5000000	5000000
QMNNX	AQR	AQR EQTY MKT NEUTRAL FD CL N	N	NO LOAD	OPEN	1600	2500	2500
QMNRX	AQR	AQR EQTY MKT NEUTRAL FD CL R6	R6	NO LOAD	SUBS ONLY	1600	50000000	50000000
AQGIX	AQR	AQR GLOBAL EQTY FD CL I	I	NO LOAD	OPEN	1600	5000000	5000000
AQGNX	AQR	AQR GLOBAL EQTY FD CL N	N	NO LOAD	OPEN	1600	2500	2500
AQGRX	AQR	AQR GLOBAL EQTY FD CL R6	R6	NO LOAD	SUBS ONLY	1600	50000000	50000000
QGMIX	AQR	AQR GLOBAL MACRO FD CL I	I	NO LOAD	OPEN	1600	5000000	5000000
QGMNX	AQR	AQR GLOBAL MACRO FD CL N	N	NO LOAD	OPEN	1600	2500	2500
QGMRX	AQR	AQR GLOBAL MACRO R6	R6	NO LOAD	SUBS ONLY	1600	50000000	50000000
ANDIX	AQR	AQR INTL DEFENSIVE STYLE I	I	NO LOAD	OPEN	1600	5000000	5000000
ANDNX	AQR	AQR INTL DEFENSIVE STYLE N	N	NO LOAD	OPEN	1600	2500	2500
ANDRX	AQR	AQR INTL DEFENSIVE STYLE R6	R6	NO LOAD	SUBS ONLY	1600	50000000	50000000
AIMOX	AQR	AQR INTL MOMENTUM STYLE I	I	NO LOAD	OPEN	1600	5000000	5000000
AIONX	AQR	AQR INTL MOMENTUM STYLE N	N	NO LOAD	OPEN	1600	2500	2500
QIORX	AQR	AQR INTL MOMENTUM STYLE R6	R6	NO LOAD	SUBS ONLY	1600	50000000	50000000
QICLX	AQR	AQR INTL MULTI STYLE I	I	NO LOAD	OPEN	1600	5000000	5000000
QICNX	AQR	AQR INTL MULTISTYLE N	N	NO LOAD	OPEN	1600	2500	2500
QICRX	AQR	AQR INTL MULTISTYLE R6	R6	NO LOAD	SUBS ONLY	1600	50000000	50000000
AUEIX	AQR	AQR LG CAP DEFENSIVE STYLE I	I	NO LOAD	OPEN	1600	5000000	5000000
AUENX	AQR	AQR LG CAP DEFENSIVE STYLE N	N	NO LOAD	OPEN	1600	2500	2500
QUERX	AQR	AQR LG CAP DEFENSIVE STYLE R6	R6	NO LOAD	SUBS ONLY	1600	50000000	50000000
AMOMX	AQR	AQR LG CAP MOMENTUM STYLE I	I	NO LOAD	OPEN	1600	5000000	5000000
AMONX	AQR	AQR LG CAP MOMENTUM STYLE N	N	NO LOAD	OPEN	1600	2500	2500
QMORX	AQR	AQR LG CAP MOMENTUM STYLE R6	R6	NO LOAD	SUBS ONLY	1600	50000000	50000000
QCELX	AQR	AQR LG CAP MULTI STYLE I	I	NO LOAD	OPEN	1600	5000000	5000000
QCENX	AQR	AQR LG CAP MULTISTYLE N	N	NO LOAD	OPEN	1600	2500	2500
QCERX	AQR	AQR LG CAP MULTISTYLE R6	R6	NO LOAD	SUBS ONLY	1600	50000000	50000000
QLEIX	AQR	AQR LONG SHORT EQTY FD CL I	I	NO LOAD	OPEN	1600	5000000	5000000
QLENX	AQR	AQR LONG SHORT EQTY FD CL N	N	NO LOAD	OPEN	1600	2500	2500
QLERX	AQR	AQR LONG SHORT EQTY FD CL R6	R6	NO LOAD	SUBS ONLY	1600	50000000	50000000
AQMIX	AQR	AQR MGD FUTURES EQTY FD CL I	I	NO LOAD	OPEN	1600	5000000	5000000
AQMNX	AQR	AQR MGD FUTURES FD CL N	N	NO LOAD	OPEN	1600	2500	2500
AQMRX	AQR	AQR MGD FUTURES STRAT FD CL R6	R6	NO LOAD	SUBS ONLY	1600	50000000	50000000
QMHIX	AQR	AQR MGD FUTURES STRAT HV FD CL I	I	NO LOAD	OPEN	1600	5000000	5000000
QMHNX	AQR	AQR MGD FUTURES STRAT HV FD CL N	N	NO LOAD	OPEN	1600	2500	2500
QMHRX	AQR	AQR MGD FUTURES STRAT HV FD CL R6	R6	NO LOAD	SUBS ONLY	1600	50000000	50000000
AQRIX	AQR	AQR MULTIASSET FD CL I	I	NO LOAD	OPEN	1600	5000000	5000000

SYMBOL	FUND FAMILY	FUND DESCRIPTION	SHARE CLASS	FUND TYPE	STATUS	CUT- OFF	IRA MIN	NON-IRA MIN
AQRNX	AQR	AQR MULTIASSET FD CL N	N	NO LOAD	OPEN	1600	2500	2500
AQRX	AQR	AQR MULTIASSET FD CL R6	R6	NO LOAD	SUBS ONLY	1600	5000000	5000000
ARCIX	AQR	AQR RISK BALANCED CMDY STRAT CL I	I	NO LOAD	OPEN	1600	5000000	5000000
ARCX	AQR	AQR RISK BALANCED CMDY STRAT CL N	N	NO LOAD	OPEN	1600	2500	2500
QRCRX	AQR	AQR RISK BALANCED COMMODITIES STRAT R6	R6	NO LOAD	SUBS ONLY	1600	5000000	5000000
ASMOX	AQR	AQR SM CAP MOMENTUM STYLE I	I	NO LOAD	OPEN	1600	5000000	5000000
ASMNX	AQR	AQR SM CAP MOMENTUM STYLE N	N	NO LOAD	OPEN	1600	2500	2500
QSMRX	AQR	AQR SM CAP MOMENTUM STYLE R6	R6	NO LOAD	SUBS ONLY	1600	5000000	5000000
QSMX	AQR	AQR SM CAP MULTI STYLE I	I	NO LOAD	OPEN	1600	5000000	5000000
QSMNX	AQR	AQR SM CAP MULTISTYLE N	N	NO LOAD	OPEN	1600	2500	2500
QSERX	AQR	AQR SM CAP MULTISTYLE R6	R6	NO LOAD	SUBS ONLY	1600	5000000	5000000
QSPIX	AQR	AQR STYLE PREMIA ALT FD CL I	I	NO LOAD	OPEN	1600	5000000	5000000
QSPNX	AQR	AQR STYLE PREMIA ALT FD CL N	N	NO LOAD	OPEN	1600	2500	2500
QSPRX	AQR	AQR STYLE PREMIA ALT FD CL R6	R6	NO LOAD	SUBS ONLY	1600	5000000	5000000
QNZIX	AQR	AQR SUSTAINABLE LONGSHORT EQ CBN AWR I	I	NO LOAD	OPEN	1600	5000000	5000000
QNZNX	AQR	AQR SUSTAINABLE LONGSHORT EQ CBN AWR N	N	NO LOAD	OPEN	1600	2500	2500
QTENX	AQR	AQR TM EMRG MULTI STYLE FD N	N	NO LOAD	OPEN	1600	2500	2500
QTERX	AQR	AQR TM EMRG MULTI STYLE FD R6	R6	NO LOAD	SUBS ONLY	1600	5000000	5000000
QTELX	AQR	AQR TM EMRG MULTI STYLE I	I	NO LOAD	OPEN	1600	5000000	5000000
ATPAX	AQUILA FUNDS	AQUILA HIGH INCOME FUND A	A	LOAD	OPEN	1600	1000	1000
ATPCX	AQUILA FUNDS	AQUILA HIGH INCOME FUND C	C	LOAD	OPEN	1600	1000	1000
ATIPX	AQUILA FUNDS	AQUILA HIGH INCOME FUND I	I	NO LOAD	OPEN	1600	0	0
ATPYX	AQUILA FUNDS	AQUILA HIGH INCOME FUND Y	Y	NO LOAD	OPEN	1600	0	0
ATGAX	AQUILA FUNDS	AQUILA OPPORTUNITY GROWTH FUND A	A	LOAD	OPEN	1600	1000	1000
ATGCX	AQUILA FUNDS	AQUILA OPPORTUNITY GROWTH FUND C	C	LOAD	OPEN	1600	1000	1000
ATRIX	AQUILA FUNDS	AQUILA OPPORTUNITY GROWTH FUND I	I	NO LOAD	OPEN	1600	0	0
ATGYX	AQUILA FUNDS	AQUILA OPPORTUNITY GROWTH FUND Y	Y	NO LOAD	OPEN	1600	0	0
ARGAX	ARBITRAGE	ARBITRAGE A	A	LOAD	OPEN	1600	2000	2000
ARBFX	ARBITRAGE	ARBITRAGE FUND	R	NO LOAD	OPEN	1600	0	0
ARBNX	ARBITRAGE	ARBITRAGE FUND CL I	I	NO LOAD	OPEN	1600	0	0
ARBCX	ARBITRAGE	ARBITRAGE FUND CLASS C	C	LOAD	OPEN	1600	2000	2000
ARCFX	ARBITRAGE	WATER ISLAND CREDIT OPPTY FD CL R	R	NO LOAD	OPEN	1600	0	0
ACFIX	ARBITRAGE	WATER ISLAND CREDIT OPPTY FD INST	I	NO LOAD	OPEN	1600	0	0
AEDNX	ARBITRAGE	WATER ISLAND DIVERSIFIED EVENT DRIVEN FD CL I	I	NO LOAD	OPEN	1600	0	0
AEDFX	ARBITRAGE	WATER ISLAND DIVERSIFIED EVENT DRIVEN FD CL R	R	NO LOAD	OPEN	1600	0	0
ARCHX	ARCHER FUNDS	ARCHER BALANCED	NO LOAD	NO LOAD	OPEN	1600	2000	2000
ARDGX	ARCHER FUNDS	ARCHER DIVIDEND GROWTH FD	NO LOAD	NO LOAD	OPEN	1600	0	0
AFOCX	ARCHER FUNDS	ARCHER FOCUS A	NO LOAD	NO LOAD	OPEN	1600	2500	2500
ARINX	ARCHER FUNDS	ARCHER INCOME FUND	NO LOAD	NO LOAD	OPEN	1600	0	0
ALSMX	ARCHER FUNDS	ARCHER MULTI CAP	NO LOAD	NO LOAD	OPEN	1600	2500	2500
ARSKX	ARCHER FUNDS	ARCHER STOCK FUND	NO LOAD	NO LOAD	OPEN	1600	0	0

SYMBOL	FUND FAMILY	FUND DESCRIPTION	SHARE CLASS	FUND TYPE	STATUS	CUT- OFF	IRA MIN	NON-IRA MIN
ACSIX	ARENA CAPITAL	ARENA STRATEGIC INCOME I	I CLASS	NO LOAD	OPEN	1600	0	0
ARMIX	ARGA INVEST FUNDS	ARGA EMERGING MARKETS VALUE INSTL	INSTITUTIONAL	NO LOAD	OPEN	1600	0	0
ARVIX	ARGA INVEST FUNDS	ARGA INTERNATIONAL VALUE INSTITUTIONAL	INSTITUTIONAL	NO LOAD	OPEN	1600	0	0
CAAIX	ARIEL	ARIEL APPRECIATION FUND INST	INSTITUTIONAL	NO LOAD	OPEN	1600	1000000	1000000
CAAPX	ARIEL	ARIEL APPRECIATION FUND INV	INVESTOR	NO LOAD	OPEN	1600	100	100
AFOYX	ARIEL	ARIEL FOCUS FUND INST	INSTITUTIONAL	NO LOAD	OPEN	1600	1000000	1000000
ARFFX	ARIEL	ARIEL FOCUS FUND INV	INVESTOR	NO LOAD	OPEN	1600	100	100
ARAIX	ARIEL	ARIEL FUND INST	INSTITUTIONAL	NO LOAD	OPEN	1600	1000000	1000000
ARGFX	ARIEL	ARIEL FUND INV	INVESTOR	NO LOAD	OPEN	1600	100	100
AGLYX	ARIEL	ARIEL GLBL FD INST	INSTITUTIONAL	NO LOAD	OPEN	1600	1000000	1000000
AGLOX	ARIEL	ARIEL GLBL FD INV	INVESTOR	NO LOAD	OPEN	1600	100	100
AINIX	ARIEL	ARIEL INTL FD INST	INSTITUTIONAL	NO LOAD	OPEN	1600	1000000	1000000
AINTX	ARIEL	ARIEL INTL FD INV	INVESTOR	NO LOAD	OPEN	1600	100	100
ARALX	ARISTOTLE FUNDS SERIES TRUST	ARISTOTLE CORE EQ A	A	LOAD	OPEN	1600	100	100
ARILX	ARISTOTLE FUNDS SERIES TRUST	ARISTOTLE CORE EQ I	I	NO LOAD	OPEN	1600	500000	500000
PLNCX	ARISTOTLE FUNDS SERIES TRUST	ARISTOTLE CORE INCOME FD C	C	LOAD	OPEN	1600	1000	1000
AILLX	ARISTOTLE FUNDS SERIES TRUST	ARISTOTLE FDS SER TR	CLASS I2	NO LOAD	OPEN	1600	0	0
AIQQX	ARISTOTLE FUNDS SERIES TRUST	ARISTOTLE FDS SER TR	CLASS I2	NO LOAD	OPEN	1600	0	0
AIFFX	ARISTOTLE FUNDS SERIES TRUST	ARISTOTLE FDS SER TR	CLASS I2	NO LOAD	OPEN	1600	0	0
AIOOX	ARISTOTLE FUNDS SERIES TRUST	ARISTOTLE FDS SER TR	CLASS I2	NO LOAD	OPEN	1600	0	0
PLBCX	ARISTOTLE FUNDS SERIES TRUST	ARISTOTLE FLOATING RATE INCOME FD CL C	C	LOAD	OPEN	1600	1000	1000
ARABX	ARISTOTLE FUNDS SERIES TRUST	ARISTOTLE FUNDS SERIES TRUST SMALL CP II CL A	A	LOAD	OPEN	1600	1000	1000
ARAHX	ARISTOTLE FUNDS SERIES TRUST	ARISTOTLE FUNDS SERIES TRUST SMALL MD EQ CL A	A	LOAD	OPEN	1600	1000	1000
ARAGX	ARISTOTLE FUNDS SERIES TRUST	ARISTOTLE GROWTH EQUITY A	A	LOAD	OPEN	1600	1000	1000
ARIGX	ARISTOTLE FUNDS SERIES TRUST	ARISTOTLE GROWTH EQUITY I	I	NO LOAD	OPEN	1600	500000	500000
AIGGX	ARISTOTLE FUNDS SERIES TRUST	ARISTOTLE GROWTH EQUITY I2	CLASS I2	NO LOAD	OPEN	1600	0	0
PLCHX	ARISTOTLE FUNDS SERIES TRUST	ARISTOTLE HIGH YIELD BD FD CL C	C	LOAD	OPEN	1600	1000	1000
PLHIX	ARISTOTLE FUNDS SERIES TRUST	ARISTOTLE HIGH YIELD BOND I	I	NO LOAD	OPEN	1600	500000	500000
ARAFX	ARISTOTLE FUNDS SERIES TRUST	ARISTOTLE INTERNATIONAL EQ A	A	LOAD	OPEN	1600	100	100
ARIFX	ARISTOTLE FUNDS SERIES TRUST	ARISTOTLE INTERNATIONAL EQ I	I	NO LOAD	OPEN	1600	500000	500000
POCEX	ARISTOTLE FUNDS SERIES TRUST	ARISTOTLE PORT OPTIMIZATION AGGRESSIVE GROWTH FD CL C	C	LOAD	OPEN	1600	1000	1000
PODCX	ARISTOTLE FUNDS SERIES TRUST	ARISTOTLE PORT OPTIMIZATION GROWTH FD CL C	C	LOAD	OPEN	1600	1000	1000
POBCX	ARISTOTLE FUNDS SERIES TRUST	ARISTOTLE PORT OPTIMIZATION MODERATE CONSERVATIVE F	C	LOAD	OPEN	1600	1000	1000
POMCX	ARISTOTLE FUNDS SERIES TRUST	ARISTOTLE PORT OPTIMIZATION MODERATE FD CL C	C	LOAD	OPEN	1600	1000	1000
POACX	ARISTOTLE FUNDS SERIES TRUST	ARISTOTLE PORTFOLIO OPTIMIZATION CONSERVATIVE FD CL	C	LOAD	OPEN	1600	1000	1000
PLCSX	ARISTOTLE FUNDS SERIES TRUST	ARISTOTLE SHORT DURATION INCOME FD CL C	C	LOAD	OPEN	1600	1000	1000
ARIBX	ARISTOTLE FUNDS SERIES TRUST	ARISTOTLE SMALL CAP EQ I	I	NO LOAD	OPEN	1600	500000	500000
AIBBX	ARISTOTLE FUNDS SERIES TRUST	ARISTOTLE SMALL CAP EQUITY FD I2	CLASS I2	NO LOAD	OPEN	1600	0	0
AISBX	ARISTOTLE FUNDS SERIES TRUST	ARISTOTLE SMALL CAP EQUITY FD II CL C	C	LOAD	OPEN	1600	1000	1000
AISHX	ARISTOTLE FUNDS SERIES TRUST	ARISTOTLE SMALLMID CAP EQUITY FD CL C	C	LOAD	OPEN	1600	1000	1000
ARIHX	ARISTOTLE FUNDS SERIES TRUST	ARISTOTLE SMALLMID CAP EQUITY I	I	NO LOAD	OPEN	1600	500000	500000

SYMBOL	FUND FAMILY	FUND DESCRIPTION	SHARE CLASS	FUND TYPE	STATUS	CUT- OFF	IRA MIN	NON-IRA MIN
PLCNX	ARISTOTLE FUNDS SERIES TRUST	ARISTOTLE STRATEGIC INCOME FD CL C	C	LOAD	OPEN	1600	1000	1000
PLUAX	ARISTOTLE FUNDS SERIES TRUST	ARISTOTLE ULTRA SHORT INCOME A	A	LOAD	OPEN	1600	100	100
PLUIX	ARISTOTLE FUNDS SERIES TRUST	ARISTOTLE ULTRA SHORT INCOME FD CL I	I	NO LOAD	OPEN	1600	500000	500000
ARAQX	ARISTOTLE FUNDS SERIES TRUST	ARISTOTLE VALUE EQ A	A	LOAD	OPEN	1600	1000	1000
ARIQX	ARISTOTLE FUNDS SERIES TRUST	ARISTOTLE VALUE EQ I	I	NO LOAD	OPEN	1600	500000	500000
ARAOX	ARISTOTLE FUNDS SERIES TRUST	ARISTOTLESAUL GLOBAL EQ A	A	LOAD	OPEN	1600	100	100
ARIOX	ARISTOTLE FUNDS SERIES TRUST	ARISTOTLESAUL GLOBAL EQ I	I	NO LOAD	OPEN	1600	500000	500000
PLIAX	ARISTOTLE FUNDS SERIES TRUST	CORE INCOME FD CL A	A	LOAD	OPEN	1600	1000	1000
PLIIX	ARISTOTLE FUNDS SERIES TRUST	CORE INCOME FD CL I	I	NO LOAD	OPEN	1600	500000	500000
PLIDX	ARISTOTLE FUNDS SERIES TRUST	CORE INCOME FD CL I2	CLASS I2	NO LOAD	OPEN	1600	0	0
PLEBX	ARISTOTLE FUNDS SERIES TRUST	ESG CORE BD FD CL I	I	NO LOAD	OPEN	1600	500000	500000
PLEDX	ARISTOTLE FUNDS SERIES TRUST	ESG CORE BD FD CL I2	CLASS I2	NO LOAD	OPEN	1600	0	0
PLFLX	ARISTOTLE FUNDS SERIES TRUST	FLOATING RATE INCOME FD CL A	A	LOAD	OPEN	1600	1000	1000
PLFRX	ARISTOTLE FUNDS SERIES TRUST	FLOATING RATE INCOME FD CL I	CLASS I2	NO LOAD	OPEN	1600	0	0
PLFDX	ARISTOTLE FUNDS SERIES TRUST	FLOATING RATE INCOME FD CL I2	CLASS I2	NO LOAD	OPEN	1600	0	0
PLAHX	ARISTOTLE FUNDS SERIES TRUST	HIGH YIELD BD FD CL A	A	LOAD	OPEN	1600	1000	1000
PLHYX	ARISTOTLE FUNDS SERIES TRUST	HIGH YIELD BD FD CL I2	CLASS I2	NO LOAD	OPEN	1600	0	0
POEAX	ARISTOTLE FUNDS SERIES TRUST	PORT OPTIMIZATION AGGRESSIVE GROWTH FD CL A	A	LOAD	OPEN	1600	1000	1000
POEDX	ARISTOTLE FUNDS SERIES TRUST	PORT OPTIMIZATION AGGRESSIVE GROWTH FD CL I2	CLASS I2	NO LOAD	OPEN	1600	0	0
PODAX	ARISTOTLE FUNDS SERIES TRUST	PORT OPTIMIZATION GROWTH FD CL A	A	LOAD	OPEN	1600	1000	1000
PMADX	ARISTOTLE FUNDS SERIES TRUST	PORT OPTIMIZATION GROWTH FD CL I2	CLASS I2	NO LOAD	OPEN	1600	0	0
POBAX	ARISTOTLE FUNDS SERIES TRUST	PORT OPTIMIZATION MODERATE CONSERVATIVE FD CL A	A	LOAD	OPEN	1600	1000	1000
PMCDX	ARISTOTLE FUNDS SERIES TRUST	PORT OPTIMIZATION MODERATE CONSERVATIVE FD CL I2	CLASS I2	NO LOAD	OPEN	1600	0	0
POCAX	ARISTOTLE FUNDS SERIES TRUST	PORT OPTIMIZATION MODERATE FD CL A	A	LOAD	OPEN	1600	1000	1000
POMDX	ARISTOTLE FUNDS SERIES TRUST	PORT OPTIMIZATION MODERATE FD CL I2	CLASS I2	NO LOAD	OPEN	1600	0	0
POAAX	ARISTOTLE FUNDS SERIES TRUST	PORTFOLIO OPTIMIZATION CONSERVATIVE FD CL A	A	LOAD	OPEN	1600	1000	1000
PLCDX	ARISTOTLE FUNDS SERIES TRUST	PORTFOLIO OPTIMIZATION CONSERVATIVE FD CL I2	CLASS I2	NO LOAD	OPEN	1600	0	0
PLADX	ARISTOTLE FUNDS SERIES TRUST	SHORT DURATION INCOME FD CL A	A	LOAD	OPEN	1600	1000	1000
PLSDX	ARISTOTLE FUNDS SERIES TRUST	SHORT DURATION INCOME FD CL I	I	NO LOAD	OPEN	1600	500000	500000
PLDSX	ARISTOTLE FUNDS SERIES TRUST	SHORT DURATION INCOME FD CL I2	CLASS I2	NO LOAD	OPEN	1600	0	0
AIHHX	ARISTOTLE FUNDS SERIES TRUST	SMALLMID CAP EQUITY FD CL I2	CLASS I2	NO LOAD	OPEN	1600	0	0
PLSTX	ARISTOTLE FUNDS SERIES TRUST	STRATEGIC INCOME FD CL A	A	LOAD	OPEN	1600	1000	1000
PLSRX	ARISTOTLE FUNDS SERIES TRUST	STRATEGIC INCOME FD CL I	I	NO LOAD	OPEN	1600	500000	500000
PLSFX	ARISTOTLE FUNDS SERIES TRUST	STRATEGIC INCOME FD CL I2	CLASS I2	NO LOAD	OPEN	1600	0	0
PLUDX	ARISTOTLE FUNDS SERIES TRUST	ULTRA SHORT INCOME FD CL I2	CLASS I2	NO LOAD	OPEN	1600	0	0
DWAFX	ARROW FUNDS	ARROW DWA TACTICAL BALANCED FUND A	A	LOAD	OPEN	1600	2000	5000
DWATX	ARROW FUNDS	ARROW DWA TACTICAL BALANCED FUND C	C	LOAD	OPEN	1600	2000	5000
DWANX	ARROW FUNDS	ARROW DWA TACTICAL BALANCED FUND I	INSTITUTIONAL	NO LOAD	OPEN	1600	1000000	1000000
DWTFX	ARROW FUNDS	ARROW DWA TACTICAL MACRO FUND A	A	LOAD	OPEN	1600	2000	5000
DWTTX	ARROW FUNDS	ARROW DWA TACTICAL MACRO FUND C	C	LOAD	OPEN	1600	2000	5000
DWTNX	ARROW FUNDS	ARROW DWA TACTICAL MACRO FUND I	INSTITUTIONAL	NO LOAD	OPEN	1600	1000000	1000000

SYMBOL	FUND FAMILY	FUND DESCRIPTION	SHARE CLASS	FUND TYPE	STATUS	CUT- OFF	IRA MIN	NON-IRA MIN
MFTFX	ARROW FUNDS	ARROW MGD FUTURES STRAT FD CL A	A	LOAD	OPEN	1600	2000	5000
MFTTX	ARROW FUNDS	ARROW MGD FUTURES STRAT FD CL C	C	LOAD	OPEN	1600	2000	5000
MFTNX	ARROW FUNDS	ARROW MGD FUTURES STRAT FD INST	INSTITUTIONAL	NO LOAD	OPEN	1600	1000000	1000000
APDYX	ARTISAN	ARTISAN DEV WORLD FD ADV	ADVISOR	NO LOAD	OPEN	1600	0	0
APHYX	ARTISAN	ARTISAN DEV WORLD FD INST	INSTITUTIONAL	NO LOAD	SUBS ONLY	1600	1000000	1000000
ARTYX	ARTISAN	ARTISAN DEV WORLD FD INV	INVESTOR	NO LOAD	OPEN	1600	0	0
APDUX	ARTISAN	ARTISAN FLOATING RATE FD ADV CL	ADVISOR	NO LOAD	OPEN	1600	0	0
APHUX	ARTISAN	ARTISAN FLOATING RATE FD INSTL CL	INSTITUTIONAL	NO LOAD	SUBS ONLY	1600	1000000	1000000
ARTUX	ARTISAN	ARTISAN FLOATING RATE FD INV CL	INVESTOR	NO LOAD	OPEN	1600	0	0
APDTX	ARTISAN	ARTISAN FOCUS FD ADV	ADVISOR	NO LOAD	OPEN	1600	0	0
ARTTX	ARTISAN	ARTISAN FOCUS FD INV	INVESTOR	NO LOAD	OPEN	1600	0	0
APHTX	ARTISAN	ARTISAN FOCUS FUND INSTITUTIONAL	INSTITUTIONAL	NO LOAD	SUBS ONLY	1600	1000000	1000000
APDRX	ARTISAN	ARTISAN GLBL OPPTY ADV CL	ADVISOR	NO LOAD	OPEN	1600	0	0
APDGX	ARTISAN	ARTISAN GLBL VALUE FD ADV	ADVISOR	NO LOAD	OPEN	1600	0	0
APDDX	ARTISAN	ARTISAN GLOBAL DISCOVERY FD ADV	ADVISOR	NO LOAD	OPEN	1600	0	0
APFDX	ARTISAN	ARTISAN GLOBAL DISCOVERY FD INV	INVESTOR	NO LOAD	OPEN	1600	0	0
APHDX	ARTISAN	ARTISAN GLOBAL DISCOVERY INSTITUTIONAL	INSTITUTIONAL	NO LOAD	SUBS ONLY	1600	1000000	1000000
APHHX	ARTISAN	ARTISAN GLOBAL EQTY FD INST	INSTITUTIONAL	NO LOAD	SUBS ONLY	1600	1000000	1000000
ARTHX	ARTISAN	ARTISAN GLOBAL EQTY FD INV	INVESTOR	NO LOAD	OPEN	1600	0	0
APDHX	ARTISAN	ARTISAN GLOBAL EQUITY ADVISOR	ADVISOR	NO LOAD	OPEN	1600	0	0
APHRX	ARTISAN	ARTISAN GLOBAL OPPTY INST	INSTITUTIONAL	NO LOAD	SUBS ONLY	1600	1000000	1000000
ARTGX	ARTISAN	ARTISAN GLOBAL VALUE FD	INVESTOR	NO LOAD	OPEN	1600	0	0
APHGX	ARTISAN	ARTISAN GLOBAL VALUE FD INST	INSTITUTIONAL	NO LOAD	SUBS ONLY	1600	1000000	1000000
ARTRX	ARTISAN	ARTISAN GROWTH OPPTY INV CL	INVESTOR	NO LOAD	OPEN	1600	0	0
APDFX	ARTISAN	ARTISAN HIGH INCM FD ADV	ADVISOR	NO LOAD	SUBS ONLY	1600	0	0
APHFX	ARTISAN	ARTISAN HIGH INCM FD I	INSTITUTIONAL	NO LOAD	SUBS ONLY	1600	1000000	1000000
ARTFX	ARTISAN	ARTISAN HIGH INCM FD INV	INVESTOR	NO LOAD	SUBS ONLY	1600	0	0
ARTKX	ARTISAN	ARTISAN INTERNATIONAL VALUE FUND	INVESTOR	NO LOAD	OPEN	1600	0	0
ARDBX	ARTISAN	ARTISAN INTL EXPLORER ADVISOR	ADVISOR	NO LOAD	OPEN	1600	0	0
ARHBX	ARTISAN	ARTISAN INTL EXPLORER INST	INSTITUTIONAL	NO LOAD	SUBS ONLY	1600	1000000	1000000
APDIX	ARTISAN	ARTISAN INTL FD ADV	ADVISOR	NO LOAD	OPEN	1600	0	0
ARTIX	ARTISAN	ARTISAN INTL FD INV CL	INVESTOR	NO LOAD	OPEN	1600	0	0
APHIX	ARTISAN	ARTISAN INTL FUND INST SHRS	INSTITUTIONAL	NO LOAD	SUBS ONLY	1600	1000000	1000000
APDJX	ARTISAN	ARTISAN INTL SM MID FD ADV	ADVISOR	NO LOAD	SUBS ONLY	1600	0	0
APHJX	ARTISAN	ARTISAN INTL SM MID FD INST	INSTITUTIONAL	NO LOAD	SUBS ONLY	1600	1000000	1000000
ARTJX	ARTISAN	ARTISAN INTL SM MID FD INV	INVESTOR	NO LOAD	SUBS ONLY	1600	0	0
APDKX	ARTISAN	ARTISAN INTL VALUE FD ADV	ADVISOR	NO LOAD	OPEN	1600	0	0
APHKX	ARTISAN	ARTISAN INTL VALUE FUND INST	INSTITUTIONAL	NO LOAD	OPEN	1600	1000000	1000000
APDMX	ARTISAN	ARTISAN MID CAP FD ADV	ADVISOR	NO LOAD	OPEN	1600	0	0
APHMX	ARTISAN	ARTISAN MID CAP FD INST	INSTITUTIONAL	NO LOAD	SUBS ONLY	1600	1000000	1000000
ARTMX	ARTISAN	ARTISAN MID CAP FD INV CL	INVESTOR	NO LOAD	OPEN	1600	0	0

SYMBOL	FUND FAMILY	FUND DESCRIPTION	SHARE CLASS	FUND TYPE	STATUS	CUT- OFF	IRA MIN	NON-IRA MIN
ARTQX	ARTISAN	ARTISAN MID CAP VALUE FD	INVESTOR	NO LOAD	OPEN	1600	0	0
APDQX	ARTISAN	ARTISAN MID CAP VALUE FD ADV	ADVISOR	NO LOAD	OPEN	1600	0	0
APHQX	ARTISAN	ARTISAN MID CAP VALUE FD INST	INSTITUTIONAL	NO LOAD	SUBS ONLY	1600	1000000	1000000
APDWX	ARTISAN	ARTISAN PARTNERS FDS	ADVISOR	NO LOAD	OPEN	1600	0	0
APHWX	ARTISAN	ARTISAN PARTNERS FDS	INSTITUTIONAL	NO LOAD	SUBS ONLY	1600	1000000	1000000
APFWX	ARTISAN	ARTISAN PARTNERS FDS	INVESTOR	NO LOAD	OPEN	1600	0	0
APFOX	ARTISAN	ARTISAN PARTNERS FDS INC EMER MKT INV	INVESTOR	NO LOAD	OPEN	1600	0	0
APHOX	ARTISAN	ARTISAN PARTNERS FDS INC EMERG MKT INST	INSTITUTIONAL	NO LOAD	SUBS ONLY	1600	0	0
APDOX	ARTISAN	ARTISAN PARTNERS FDS INC EMERGI DB ADVI	ADVISOR	NO LOAD	OPEN	1600	0	0
APHPX	ARTISAN	ARTISAN PARTNERS FDS INC GLBL UNCON INST	INSTITUTIONAL	NO LOAD	SUBS ONLY	1600	1000000	1000000
APFPX	ARTISAN	ARTISAN PARTNERS FDS INC GLOBAL UNCON INV	INVESTOR	NO LOAD	OPEN	1600	0	0
APDPX	ARTISAN	ARTISAN PARTNERS FDS INC GLOBL UNCON ADVI	ADVISOR	NO LOAD	OPEN	1600	0	0
APDNX	ARTISAN	ARTISAN SELECT EQTY FD ADV	ADVISOR	NO LOAD	OPEN	1600	0	0
ARTNX	ARTISAN	ARTISAN SELECT EQTY FD INV	INVESTOR	NO LOAD	OPEN	1600	0	0
APHNX	ARTISAN	ARTISAN SELECT EQUITY INSTITUTIONAL	INSTITUTIONAL	NO LOAD	SUBS ONLY	1600	1000000	1000000
APDSX	ARTISAN	ARTISAN SM CAP FD ADV	ADVISOR	NO LOAD	OPEN	1600	0	0
APHSX	ARTISAN	ARTISAN SM CAP FD INST	INSTITUTIONAL	NO LOAD	SUBS ONLY	1600	1000000	1000000
ARTSX	ARTISAN	ARTISAN SMALL CAP FD INV CL	INVESTOR	NO LOAD	OPEN	1600	0	0
APDEX	ARTISAN	ARTISAN SUSTAINABLE EMERGING MARKETS ADV	ADVISOR	NO LOAD	OPEN	1600	0	0
APHEX	ARTISAN	ARTISAN SUSTAINABLE EMRG MKTS FD INST	INSTITUTIONAL	NO LOAD	SUBS ONLY	1600	1000000	1000000
ARTZX	ARTISAN	ARTISAN SUSTAINABLE EMRG MKTS FD INV	INVESTOR	NO LOAD	OPEN	1600	0	0
APDLX	ARTISAN	ARTISAN VALUE FD ADV	ADVISOR	NO LOAD	OPEN	1600	0	0
APHLX	ARTISAN	ARTISAN VALUE FUND INST	INSTITUTIONAL	NO LOAD	SUBS ONLY	1600	1000000	1000000
ARTLX	ARTISAN	ARTISAN VALUE FUND INV	INVESTOR	NO LOAD	OPEN	1600	0	0
EMECX	ASHMORE FUNDS	ASHMORE EMERGING MARKETS EQUITY C	C	LOAD	OPEN	1600	0	0
EMEAX	ASHMORE FUNDS	ASHMORE EMERGING MARKETS EQUITY FUND A	A	LOAD	OPEN	1600	100	100
ESFCX	ASHMORE FUNDS	ASHMORE EMERGING MARKETS SHORT DUR C	C	LOAD	OPEN	1600	0	0
ECDCX	ASHMORE FUNDS	ASHMORE EMERGING MKTS CORP INC C	C	LOAD	OPEN	1600	1000	1000
ELBCX	ASHMORE FUNDS	ASHMORE EMERGING MKTS LCL CCY BD C	C	LOAD	OPEN	1600	1000	1000
EMQAX	ASHMORE FUNDS	ASHMORE EMRG MKTS ACTIVE EQTY A	A	LOAD	OPEN	1600	100	100
EMQIX	ASHMORE FUNDS	ASHMORE EMRG MKTS ACTIVE EQTY INST	INSTITUTIONAL	NO LOAD	OPEN	1600	1000000	1000000
ECDAX	ASHMORE FUNDS	ASHMORE EMRG MKTS CORP DEBT FD A	A	LOAD	OPEN	1600	100	100
EMCIX	ASHMORE FUNDS	ASHMORE EMRG MKTS CORP DEBT FD INSTL	INSTITUTIONAL	NO LOAD	OPEN	1600	1000000	1000000
EMFIX	ASHMORE FUNDS	ASHMORE EMRG MKTS EQTY FD INST	INSTITUTIONAL	NO LOAD	OPEN	1600	0	0
EFEAX	ASHMORE FUNDS	ASHMORE EMRG MKTS FRONTIER EQTY A	A	LOAD	OPEN	1600	0	0
EFEIX	ASHMORE FUNDS	ASHMORE EMRG MKTS FRONTIER EQTY INST	INSTITUTIONAL	NO LOAD	OPEN	1600	1000000	1000000
ELBAX	ASHMORE FUNDS	ASHMORE EMRG MKTS LOCAL CURRENCY BD A	A	LOAD	OPEN	1600	100	100
ESFAX	ASHMORE FUNDS	ASHMORE EMRG MKTS SHORT DURATION A	A	LOAD	OPEN	1600	100	100
ESFIX	ASHMORE FUNDS	ASHMORE EMRG MKTS SHORT DURATION INST	INSTITUTIONAL	NO LOAD	OPEN	1600	1000000	1000000
ESSAX	ASHMORE FUNDS	ASHMORE EMRG MKTS SMALL CAP EQTY FD A	A	LOAD	OPEN	1600	100	100
ESCIX	ASHMORE FUNDS	ASHMORE EMRG MKTS SMALL CAP EQTY FD INST	INSTITUTIONAL	NO LOAD	OPEN	1600	1000000	1000000

SYMBOL	FUND FAMILY	FUND DESCRIPTION	SHARE CLASS	FUND TYPE	STATUS	CUT- OFF	IRA MIN	NON-IRA MIN
EMKAX	ASHMORE FUNDS	ASHMORE EMRG MKTS TOTAL RETURN A	A	LOAD	OPEN	1600	100	100
EMKIX	ASHMORE FUNDS	ASHMORE EMRG MKTS TOTAL RETURN INST	INSTITUTIONAL	NO LOAD	OPEN	1600	1000000	1000000
RMDFX	ASPIRIANT GLOBAL EQUITY TRUST	ASPIRIANT DEFENSIVE ALLOC FD	NO LOAD	NO LOAD	REDEEM ONLY	1600	0	0
RMMBX	ASPIRIANT GLOBAL EQUITY TRUST	ASPIRIANT RISK MANAGED MUNI BOND FD	NO LOAD	NO LOAD	REDEEM ONLY	1600	0	0
RMEAX	ASPIRIANT GLOBAL EQUITY TRUST	ASPIRIANT RISK MGD EQTY ALLOC FD ADV	ADVISOR	NO LOAD	REDEEM ONLY	1600	0	0
RMTBX	ASPIRIANT GLOBAL EQUITY TRUST	ASPIRIANT RISK MGD TAXABLE BOND FD	NO LOAD	NO LOAD	REDEEM ONLY	1600	0	0
ASTLX	ASTOR	ASTOR DYNAMIC ALLOC FD A	A	LOAD	OPEN	1600	1000	5000
ASTZX	ASTOR	ASTOR DYNAMIC ALLOC FD C	C	LOAD	OPEN	1600	1000	5000
ASTIX	ASTOR	ASTOR DYNAMIC ALLOC FD I	INSTITUTIONAL	NO LOAD	OPEN	1600	1000	5000
ASPGX	ASTOR	ASTOR SECTOR ALLOC FD A	A	LOAD	OPEN	1600	5000	5000
CSPGX	ASTOR	ASTOR SECTOR ALLOC FD C	C	LOAD	OPEN	1600	1000	5000
STARX	ASTOR	ASTOR SECTOR ALLOC FD I	INSTITUTIONAL	NO LOAD	OPEN	1600	1000	5000
ATCIX	ATAC FUNDS	ATAC ROTATION FD INST	INSTITUTIONAL	NO LOAD	OPEN	1600	25000	25000
ATACX	ATAC FUNDS	ATAC ROTATION FD INV	INVESTOR	NO LOAD	OPEN	1600	0	0
ATVIX	ATHENA VALUE FUNDS	ATHENA BEHAVIORAL TACTICAL FD CL I	INSTITUTIONAL	NO LOAD	OPEN	1600	100000	100000
AUERX	AUER FUNDS	AUER GROWTH FD	NO LOAD	NO LOAD	OPEN	1600	2000	2000
AUXAX	AUXIER FUNDS	AUXIER FOCUS A	A	LOAD	OPEN	1600	100	100
AUXIX	AUXIER FUNDS	AUXIER FOCUS FUND INST	I	NO LOAD	OPEN	1600	100000	100000
AUXFX	AUXIER FUNDS	AUXIER FOCUS FUND INV	INVESTOR	NO LOAD	OPEN	1600	100	100
AXSAX	AXONIC FUNDS	AXONIC STRATEGIC INCOME FD STRATEGC INC A	A	LOAD	OPEN	1600	0	0
AXSIX	AXONIC FUNDS	AXONIC STRATEGIC INCOME I	I	NO LOAD	OPEN	1600	0	0
AXSPX	AXS FUNDS	AXS ADAPTIVE PLUS I	INSTITUTIONAL	NO LOAD	OPEN	1600	0	0
ECHAX	AXS FUNDS	AXS CHESAPEAKE STRAT FD A	A	LOAD	OPEN	1600	100	100
EQCHX	AXS FUNDS	AXS CHESAPEAKE STRAT FD INST	I	NO LOAD	OPEN	1600	0	0
ECHCX	AXS FUNDS	AXS CHESAPEAKE STRATEGY C	C	LOAD	REDEEM ONLY	1600	2500	2500
LDVAX	AXS FUNDS	AXS FTSE VENTURE CAPITAL RETRN TRACK A	A	LOAD	OPEN	1600	2500	2500
LDVCX	AXS FUNDS	AXS FTSE VENTURE CAPITAL RETRN TRACK C	C	LOAD	OPEN	1600	2500	2500
LDVIX	AXS FUNDS	AXS FTSE VENTURE CAPITAL RETRN TRACK I	I	NO LOAD	OPEN	1600	0	0
OIODX	AXS FUNDS	AXS INCOME OPPORTUNITIES D	D	NO LOAD	SUBS ONLY	1600	2500	2500
OIOAX	AXS FUNDS	AXS INCOME OPPORTUNITIES FUND A	A	LOAD WAIVED	REDEEM ONLY	1600	100	100
OIOIX	AXS FUNDS	AXS INCOME OPPORTUNITIES FUND I	I	NO LOAD	OPEN	1600	1000	2500
COGIX	AXS FUNDS	AXS MARKET NEUTRAL INSTL	INSTITUTIONAL	NO LOAD	OPEN	1600	0	0
COGMX	AXS FUNDS	AXS MARKET NEUTRAL INV	INVESTOR	NO LOAD	SUBS ONLY	1600	100	100
GAKIX	AXS FUNDS	AXS MERGER INST	INSTITUTIONAL	NO LOAD	OPEN	1600	0	0
GAKAX	AXS FUNDS	AXS MERGER INV	INVESTOR	NO LOAD	SUBS ONLY	1600	0	0
TINAX	AXS FUNDS	INVESTMENT MANAGERS	A	LOAD	OPEN	1600	2500	2500
ADOAX	AXS FUNDS	INVESTMENT MANAGERS	A	LOAD WAIVED	OPEN	1600	2500	2500
TINIX	AXS FUNDS	INVESTMENT MANAGERS	I	NO LOAD	OPEN	1600	5000	5000
ADOIX	AXS FUNDS	INVESTMENT MANAGERS	I	NO LOAD	OPEN	1600	5000	5000
ADJEX	AZZAD FUNDS	AZZAD ETHICAL FUND	NO LOAD	NO LOAD	OPEN	1600	100	100
WISEX	AZZAD FUNDS	AZZAD WISE CAP FD	NO LOAD	NO LOAD	OPEN	1600	0	0

SYMBOL	FUND FAMILY	FUND DESCRIPTION	SHARE CLASS	FUND TYPE	STATUS	CUT- OFF	IRA MIN	NON-IRA MIN
AFNAX	BAHL GAYNOR	BAHL & GAYNOR INCOME GROWTH FD A	A	LOAD	OPEN	1600	2500	2500
AFYCX	BAHL GAYNOR	BAHL & GAYNOR INCOME GROWTH FD C	C	LOAD	REDEEM ONLY	1600	2500	2500
AFNIX	BAHL GAYNOR	BAHL & GAYNOR INCOME GROWTH FD I	I	NO LOAD	OPEN	1600	0	0
BCANX	BAILLIE GIFFORD	BAILLIE GIFFORD CHINA A SHARES INSTL	INSTITUTIONAL	NO LOAD	SUBS ONLY	1600	0	0
BGCBX	BAILLIE GIFFORD	BAILLIE GIFFORD CHINA EQUITIES INSTL	INSTITUTIONAL	NO LOAD	SUBS ONLY	1600	0	0
BSGPX	BAILLIE GIFFORD	BAILLIE GIFFORD DEVELOPED EAFE ALL CAP FD I	INSTITUTIONAL	NO LOAD	REDEEM ONLY	1600	0	0
BGPKX	BAILLIE GIFFORD	BAILLIE GIFFORD DEVELOPED EAFE ALL CAP K	K	NO LOAD	REDEEM ONLY	1600	0	0
BGCSX	BAILLIE GIFFORD	BAILLIE GIFFORD EAFE PLUS ALL CAP FD I	INSTITUTIONAL	NO LOAD	REDEEM ONLY	1600	0	0
BGEWX	BAILLIE GIFFORD	BAILLIE GIFFORD EMER MKT EX CHN INSTL	INSTITUTIONAL	NO LOAD	SUBS ONLY	1600	0	0
BGKEX	BAILLIE GIFFORD	BAILLIE GIFFORD EMRG MKTS EQUITIES FD CL K	K	NO LOAD	REDEEM ONLY	1600	0	0
BGEGX	BAILLIE GIFFORD	BAILLIE GIFFORD EMRG MKTS EQUITIES FD I	INSTITUTIONAL	NO LOAD	REDEEM ONLY	1600	0	0
BGASX	BAILLIE GIFFORD	BAILLIE GIFFORD GLBL ALPHA EQTY INST	INSTITUTIONAL	NO LOAD	REDEEM ONLY	1600	0	0
BGHBX	BAILLIE GIFFORD	BAILLIE GIFFORD HEALTH INN EQ INSTL	INSTITUTIONAL	NO LOAD	REDEEM ONLY	1600	0	0
BGIKX	BAILLIE GIFFORD	BAILLIE GIFFORD INTERNATIONAL ALPHA K	K	NO LOAD	REDEEM ONLY	1600	10000000	10000000
BGESX	BAILLIE GIFFORD	BAILLIE GIFFORD INTERNATIONAL GROWTH FD CL I	INSTITUTIONAL	NO LOAD	REDEEM ONLY	1600	0	0
BGEKX	BAILLIE GIFFORD	BAILLIE GIFFORD INTERNATIONAL GROWTH FD CL K	K	NO LOAD	REDEEM ONLY	1600	0	0
BINSX	BAILLIE GIFFORD	BAILLIE GIFFORD INTL ALPHA FD I	INSTITUTIONAL	NO LOAD	REDEEM ONLY	1600	0	0
BTLX	BAILLIE GIFFORD	BAILLIE GIFFORD INTL CONCENTRATED GWTH EQUITIES FD I	INSTITUTIONAL	NO LOAD	REDEEM ONLY	1600	0	0
BICIX	BAILLIE GIFFORD	BAILLIE GIFFORD INTL SMALLER CO FD I	INSTITUTIONAL	NO LOAD	REDEEM ONLY	1600	0	0
BSGLX	BAILLIE GIFFORD	BAILLIE GIFFORD LONG TERM GLBL GWTH FD I	INSTITUTIONAL	NO LOAD	REDEEM ONLY	1600	0	0
BGUIX	BAILLIE GIFFORD	BAILLIE GIFFORD US DISCOVERY INSTL	INSTITUTIONAL	NO LOAD	SUBS ONLY	1600	0	0
BGGSX	BAILLIE GIFFORD	BAILLIE GIFFORD US EQTY GWTH FD I	INSTITUTIONAL	NO LOAD	REDEEM ONLY	1600	0	0
BGGKX	BAILLIE GIFFORD	BAILLIE GIFFORD US EQUITY GROWTH K	K	NO LOAD	REDEEM ONLY	1600	10000000	10000000
BAGIX	BAIRD FUNDS	BAIRD AGGREGATE BOND INSTL	INSTITUTIONAL	NO LOAD	OPEN	1600	0	0
BAGSX	BAIRD FUNDS	BAIRD AGGREGATE BOND INV	INVESTOR	NO LOAD	OPEN	1600	100	100
BMNIX	BAIRD FUNDS	BAIRD CORE INTER MUNI BD INST	INSTITUTIONAL	NO LOAD	OPEN	1600	0	0
BMNSX	BAIRD FUNDS	BAIRD CORE INTER MUNI BD INV	INVESTOR	NO LOAD	OPEN	1600	100	100
BCOIX	BAIRD FUNDS	BAIRD CORE PLUS BD INST	INSTITUTIONAL	NO LOAD	OPEN	1600	0	0
BCOSX	BAIRD FUNDS	BAIRD CORE PLUS BD INV	INVESTOR	NO LOAD	OPEN	1600	100	100
BIMSX	BAIRD FUNDS	BAIRD INTERMEDIATE BD INV	INVESTOR	NO LOAD	OPEN	1600	100	100
BIMIX	BAIRD FUNDS	BAIRD INTERMEDIATE BOND INST	INSTITUTIONAL	NO LOAD	OPEN	1600	0	0
BMDSX	BAIRD FUNDS	BAIRD MID CAP FUND	INVESTOR	NO LOAD	OPEN	1600	100	100
BMDIX	BAIRD FUNDS	BAIRD MID CAP FUND INST	INSTITUTIONAL	NO LOAD	OPEN	1600	0	0
BMQIX	BAIRD FUNDS	BAIRD MUNI BD INST	INSTITUTIONAL	NO LOAD	OPEN	1600	0	0
BMQSX	BAIRD FUNDS	BAIRD MUNICIPAL BOND INVESTOR	INVESTOR	NO LOAD	OPEN	1600	100	100
BMBIX	BAIRD FUNDS	BAIRD QUALITY INTER MUNI BD INST	INSTITUTIONAL	NO LOAD	OPEN	1600	0	0
BMBSX	BAIRD FUNDS	BAIRD QUALITY INTER MUNI BD INV	INVESTOR	NO LOAD	OPEN	1600	100	100
BSBIX	BAIRD FUNDS	BAIRD SHORT TERM BD INST	INSTITUTIONAL	NO LOAD	OPEN	1600	0	0
BSBSX	BAIRD FUNDS	BAIRD SHORT TERM BD INV	INVESTOR	NO LOAD	OPEN	1600	100	100
BTMIX	BAIRD FUNDS	BAIRD SHORT TERM MUNI BD INST	INSTITUTIONAL	NO LOAD	OPEN	1600	0	0
BTMSX	BAIRD FUNDS	BAIRD SHORT TERM MUNI BD INV	INVESTOR	NO LOAD	OPEN	1600	100	100

SYMBOL	FUND FAMILY	FUND DESCRIPTION	SHARE CLASS	FUND TYPE	STATUS	CUT- OFF	IRA MIN	NON-IRA MIN
BSVIX	BAIRD FUNDS	BAIRD SMALLCAP VALUE FD INST	INSTITUTIONAL	NO LOAD	OPEN	1600	0	0
BSGIX	BAIRD FUNDS	BAIRD SMALLMID CAP GROWTH INSTITUTIONAL	INSTITUTIONAL	NO LOAD	OPEN	1600	0	0
BSNIX	BAIRD FUNDS	BAIRD STRATEGIC MUNI BD INST	INSTITUTIONAL	NO LOAD	OPEN	1600	0	0
BSNSX	BAIRD FUNDS	BAIRD STRATEGIC MUNICIPAL BOND INVESTOR	INVESTOR	NO LOAD	OPEN	1600	100	100
BUBIX	BAIRD FUNDS	BAIRD ULTRA SHORT BD FD INST	INSTITUTIONAL	NO LOAD	OPEN	1600	0	0
BUBSX	BAIRD FUNDS	BAIRD ULTRA SHORT BD FD INV	INVESTOR	NO LOAD	OPEN	1600	100	100
CCGIX	BAIRD FUNDS	CHAUTAUQUA GLBL GWTH INST	INSTITUTIONAL	NO LOAD	OPEN	1600	0	0
CCGSX	BAIRD FUNDS	CHAUTAUQUA GLBL GWTH INV	INVESTOR	NO LOAD	OPEN	1600	100	100
CCWIX	BAIRD FUNDS	CHAUTAUQUA INTL GWTH INST	INSTITUTIONAL	NO LOAD	OPEN	1600	0	0
CCWSX	BAIRD FUNDS	CHAUTAUQUA INTL GWTH INV	INVESTOR	NO LOAD	OPEN	1600	100	100
BARAX	BARON	BARON ASSET	RETAIL	NO LOAD	OPEN	1600	100	100
BARUX	BARON	BARON ASSET FD CL R6	R6	NO LOAD	REDEEM ONLY	1600	500000	500000
BARIX	BARON	BARON ASSET FD INST CL	INSTITUTIONAL	NO LOAD	OPEN	1600	100000	100000
BDFIX	BARON	BARON DISCOVERY FUND INST	INSTITUTIONAL	NO LOAD	OPEN	1600	100000	100000
BDFUX	BARON	BARON DISCOVERY FUND R6	R6	NO LOAD	REDEEM ONLY	1600	500000	500000
BDFFX	BARON	BARON DISCOVERY FUND RETAIL	RETAIL	NO LOAD	OPEN	1600	100	100
BDAIX	BARON	BARON DURABLE ADVANTAGE FD INST	INSTITUTIONAL	NO LOAD	OPEN	1600	100000	100000
BDAUX	BARON	BARON DURABLE ADVANTAGE FD R6	R6	NO LOAD	REDEEM ONLY	1600	500000	500000
BDAFX	BARON	BARON DURABLE ADVANTAGE FD RETAIL	RETAIL	NO LOAD	OPEN	1600	100	100
BEXIX	BARON	BARON EMRG MKTS FD INST	INSTITUTIONAL	NO LOAD	OPEN	1600	100000	100000
BEXUX	BARON	BARON EMRG MKTS FD R6	R6	NO LOAD	REDEEM ONLY	1600	500000	500000
BEXFX	BARON	BARON EMRG MKTS FD RETAIL	RETAIL	NO LOAD	OPEN	1600	100	100
BFTUX	BARON	BARON FIFTH AVENUE GROWTH FD R6	R6	NO LOAD	REDEEM ONLY	1600	500000	500000
BFTHX	BARON	BARON FIFTH AVENUE GROWTH FUND	RETAIL	NO LOAD	OPEN	1600	100	100
BFTIX	BARON	BARON FIFTH AVENUE GWTH FD INST CL	INSTITUTIONAL	NO LOAD	OPEN	1600	100000	100000
BFINX	BARON	BARON FIN TECH FD RETAIL	RETAIL	NO LOAD	OPEN	1600	100	100
BFIIX	BARON	BARON FIN TECH FUND	INSTITUTIONAL	NO LOAD	OPEN	1600	100000	100000
BFGIX	BARON	BARON FOCUSED GROWTH FD INST CL	INSTITUTIONAL	NO LOAD	OPEN	1600	100000	100000
BFGUX	BARON	BARON FOCUSED GROWTH FD R6	R6	NO LOAD	REDEEM ONLY	1600	500000	500000
BFGFX	BARON	BARON FOCUSED GROWTH FD RETAIL CL	RETAIL	NO LOAD	OPEN	1600	100	100
BGAIX	BARON	BARON GLBL ADVANTAGE FD INST SHRS	INSTITUTIONAL	NO LOAD	OPEN	1600	100000	100000
BGLUX	BARON	BARON GLBL ADVANTAGE FD R6	R6	NO LOAD	REDEEM ONLY	1600	500000	500000
BGAFX	BARON	BARON GLBL ADVANTAGE FD RETAIL SHRS	RETAIL	NO LOAD	OPEN	1600	100	100
BGRIX	BARON	BARON GROWTH FD INST CL	INSTITUTIONAL	NO LOAD	OPEN	1600	100000	100000
BGRUX	BARON	BARON GROWTH FD R6	R6	NO LOAD	REDEEM ONLY	1600	500000	500000
BGRFX	BARON	BARON GROWTH FUND	RETAIL	NO LOAD	OPEN	1600	100	100
BHCHX	BARON	BARON HEALTH CARE INST	INSTITUTIONAL	NO LOAD	OPEN	1600	100000	100000
BHCUX	BARON	BARON HEALTH CARE R6	R6	NO LOAD	REDEEM ONLY	1600	500000	500000
BHCFX	BARON	BARON HEALTH CARE RETAIL	RETAIL	NO LOAD	OPEN	1600	100	100
BINDX	BARON	BARON INDIA FUND INSTITUTIONAL	INSTITUTIONAL	NO LOAD	OPEN	1600	100000	100000
BINUX	BARON	BARON INDIA FUND R6	R6	NO LOAD	REDEEM ONLY	1600	500000	500000

SYMBOL	FUND FAMILY	FUND DESCRIPTION	SHARE CLASS	FUND TYPE	STATUS	CUT- OFF	IRA MIN	NON-IRA MIN
BINRX	BARON	BARON INDIA FUND RETAIL	RETAIL	NO LOAD	OPEN	1600	100	100
BIGFX	BARON	BARON INTL GROWTH FD	RETAIL	NO LOAD	OPEN	1600	100	100
BINIX	BARON	BARON INTL GROWTH FD INST CL	INSTITUTIONAL	NO LOAD	OPEN	1600	1000000	1000000
BIGUX	BARON	BARON INTL GROWTH FD R6	R6	NO LOAD	REDEEM ONLY	1600	5000000	5000000
BIOIX	BARON	BARON OPPORTUNITY FD INST CL	INSTITUTIONAL	NO LOAD	OPEN	1600	1000000	1000000
BIOUX	BARON	BARON OPPORTUNITY FD R6	R6	NO LOAD	REDEEM ONLY	1600	5000000	5000000
BIOPX	BARON	BARON OPPORTUNITY FUND	RETAIL	NO LOAD	OPEN	1600	100	100
BPTIX	BARON	BARON PARTNERS FD INST CL	INSTITUTIONAL	NO LOAD	OPEN	1600	1000000	1000000
BPTRX	BARON	BARON PARTNERS FUND	RETAIL	NO LOAD	OPEN	1600	100	100
BPTUX	BARON	BARON PARTNERS FUND R6	R6	NO LOAD	REDEEM ONLY	1600	5000000	5000000
BREIX	BARON	BARON REAL ESTATE FD INST	INSTITUTIONAL	NO LOAD	OPEN	1600	1000000	1000000
BREUX	BARON	BARON REAL ESTATE FD R6	R6	NO LOAD	REDEEM ONLY	1600	5000000	5000000
BREFX	BARON	BARON REAL ESTATE FD RETAIL	RETAIL	NO LOAD	OPEN	1600	100	100
BRIIX	BARON	BARON REAL ESTATE INCM FD INST	INSTITUTIONAL	NO LOAD	OPEN	1600	1000000	1000000
BRIUX	BARON	BARON REAL ESTATE INCM FD R6	R6	NO LOAD	REDEEM ONLY	1600	5000000	5000000
BRIFX	BARON	BARON REAL ESTATE INCM FD RETAIL	RETAIL	NO LOAD	OPEN	1600	100	100
BSCFX	BARON	BARON SMALL CAP	RETAIL	NO LOAD	OPEN	1600	100	100
BSFIX	BARON	BARON SMALL CAP FD INST CL	INSTITUTIONAL	NO LOAD	OPEN	1600	1000000	1000000
BSCUX	BARON	BARON SMALL CAP FD R6	R6	NO LOAD	REDEEM ONLY	1600	5000000	5000000
BTECX	BARON	BARON TECHNOLOGY FUND INST	INSTITUTIONAL	NO LOAD	OPEN	1600	1000000	1000000
BTEEX	BARON	BARON TECHNOLOGY FUND R	RETAIL	NO LOAD	OPEN	1600	2000	2000
BTEUX	BARON	BARON TECHNOLOGY R6	R6	NO LOAD	REDEEM ONLY	1600	5000000	5000000
BWBIX	BARON	BARON WEALTHBUILDER FD INST	INSTITUTIONAL	NO LOAD	OPEN	1600	1000000	1000000
BWBFX	BARON	BARON WEALTHBUILDER FD RETAIL	RETAIL	NO LOAD	OPEN	1600	100	100
BVSIX	BAYWOOD SKBA	BAYWOOD SOCIALLY RESPONSIBLE FD INST	I	NO LOAD	OPEN	1600	100000	100000
BVPIX	BAYWOOD SKBA	BAYWOOD VALUEPLUS INST	I	NO LOAD	OPEN	1600	100000	100000
BBNIX	BBH	BBH INCOME I	I	NO LOAD	OPEN	1600	25000	25000
BBIIX	BBH	BBH INTER MUNI BD I	I	NO LOAD	OPEN	1600	50000	50000
BBINX	BBH	BBH INTER MUNI BD N	N	NO LOAD	OPEN	1600	100	100
BBHLX	BBH	BBH INTL EQUITY FUND CL I	I	NO LOAD	OPEN	1600	25000	5000000
BBBIX	BBH	BBH LIMITED DURATION FD CL I	I	NO LOAD	OPEN	1600	0	0
BBBMX	BBH	BBH LIMITED DURATION FD CL N	N	NO LOAD	OPEN	1600	0	0
BBMIX	BBH	BBH SELECT SERIES MID CAP I	I	NO LOAD	OPEN	1600	10000	10000
BBLIX	BBH	BBH SLCT SERIES LG CAP FD CL I	I	NO LOAD	OPEN	1600	10000	10000
BARLX	BEACON TRUST	BEACON TRUST ACCELERATED RTN STRAT INST	INSTITUTIONAL	NO LOAD	OPEN	1600	1000000	1000000
BPR LX	BEACON TRUST	BEACON TRUST PLANNED RTN STRAT INST	INSTITUTIONAL	NO LOAD	OPEN	1600	1000000	1000000
BMPEX	BECK AND OLIVER	BECK MACK OLIVER PARTNERS	NO LOAD	NO LOAD	OPEN	1600	100	100
BEEHX	BEEHIVE	BEEHIVE FD	NO LOAD	NO LOAD	OPEN	1600	100	100
BFOCX	BERKSHIRE	BERKSHIRE FOCUS FUND	NO LOAD	NO LOAD	OPEN	1600	100	100
BFOXN	BIONDO FUNDS	BIONDO FOCUS INV CL	INVESTOR	NO LOAD	OPEN	1600	100	100
BHIA X	BISHOP STREET FUNDS	BISHOP STREET HAWAII MUNI BD A	A	LOAD	OPEN	1600	100	100

SYMBOL	FUND FAMILY	FUND DESCRIPTION	SHARE CLASS	FUND TYPE	STATUS	CUT- OFF	IRA MIN	NON-IRA MIN
BSHIX	BISHOP STREET FUNDS	BISHOP STREET HAWAII MUNI BD I	I	NO LOAD	OPEN	1600	500	1000
BICPX	BLACKROCK	BLACKROCK 20 80 TARGET ALLOC INST	INSTITUTIONAL	NO LOAD	OPEN	1600	2000000	2000000
BACPX	BLACKROCK	BLACKROCK 20 80 TARGET ALLOC INV A	INVESTOR A	LOAD	OPEN	1600	1000	1000
BCCPX	BLACKROCK	BLACKROCK 20 80 TARGET ALLOC INV C	C	LOAD	OPEN	1600	100	1000
BKCPX	BLACKROCK	BLACKROCK 2080 TARGET ALLOCATION K	K	NO LOAD	REDEEM ONLY	1600	100000000	5000000
BRCPX	BLACKROCK	BLACKROCK 2080 TARGET ALLOCATION R	R	NO LOAD	REDEEM ONLY	1600	100000000	100000000
BIMPX	BLACKROCK	BLACKROCK 40 60 TARGET ALLOC INST	INSTITUTIONAL	NO LOAD	OPEN	1600	2000000	2000000
BAMPX	BLACKROCK	BLACKROCK 40 60 TARGET ALLOC INV A	INVESTOR A	LOAD	OPEN	1600	1000	1000
BCMPX	BLACKROCK	BLACKROCK 40 60 TARGET ALLOC INV C	C	LOAD	OPEN	1600	100	1000
BKMPX	BLACKROCK	BLACKROCK 4060 TARGET ALLOCATION K	K	NO LOAD	REDEEM ONLY	1600	100000000	5000000
BRMPX	BLACKROCK	BLACKROCK 4060 TARGET ALLOCATION R	R	NO LOAD	REDEEM ONLY	1600	100000000	100000000
BIGPX	BLACKROCK	BLACKROCK 60 40 TARGET ALLOC INST	INSTITUTIONAL	NO LOAD	OPEN	1600	2000000	2000000
BAGPX	BLACKROCK	BLACKROCK 60 40 TARGET ALLOC INV A	INVESTOR A	LOAD	OPEN	1600	1000	1000
BCGPX	BLACKROCK	BLACKROCK 60 40 TARGET ALLOC INV C	C	LOAD	OPEN	1600	100	1000
BKGPX	BLACKROCK	BLACKROCK 6040 TARGET ALLOCATION K	K	NO LOAD	REDEEM ONLY	1600	100000000	5000000
BRGPX	BLACKROCK	BLACKROCK 6040 TARGET ALLOCATION R	R	NO LOAD	REDEEM ONLY	1600	100000000	100000000
BIAPX	BLACKROCK	BLACKROCK 80 20 TARGET ALLOC INST	INSTITUTIONAL	NO LOAD	OPEN	1600	2000000	2000000
BAAPX	BLACKROCK	BLACKROCK 80 20 TARGET ALLOC INV A	INVESTOR A	LOAD	OPEN	1600	1000	1000
BCAPX	BLACKROCK	BLACKROCK 80 20 TARGET ALLOC INV C	C	LOAD	OPEN	1600	100	1000
BKAPX	BLACKROCK	BLACKROCK 8020 TARGET ALLOCATION K	K	NO LOAD	REDEEM ONLY	1600	100000000	5000000
BRAPX	BLACKROCK	BLACKROCK 8020 TARGET ALLOCATION R	R	NO LOAD	REDEEM ONLY	1600	100000000	100000000
BCRAX	BLACKROCK	BLACKROCK ADVANTAGE COREALPHA BOND A	INVESTOR A	LOAD	OPEN	1600	1000	1000
BCRCX	BLACKROCK	BLACKROCK ADVANTAGE COREALPHA BOND C	C	LOAD	OPEN	1600	1000	1000
BCRIX	BLACKROCK	BLACKROCK ADVANTAGE COREALPHA BOND I	INSTITUTIONAL	NO LOAD	OPEN	1600	2000000	2000000
BCRKX	BLACKROCK	BLACKROCK ADVANTAGE COREALPHA BOND K	K	NO LOAD	SUBS ONLY	1600	100000000	5000000
BLSKX	BLACKROCK	BLACKROCK ADVANTAGE EMERGING MKTS K	K	NO LOAD	SUBS ONLY	1600	100000000	5000000
BLSCX	BLACKROCK	BLACKROCK ADVANTAGE EMRG MKT FD CL C	C	LOAD	OPEN	1600	100	1000
BLSIX	BLACKROCK	BLACKROCK ADVANTAGE EMRG MKT FD INST	INSTITUTIONAL	NO LOAD	OPEN	1600	2000000	2000000
BLSAX	BLACKROCK	BLACKROCK ADVANTAGE EMRG MKT INV A	INVESTOR A	LOAD	OPEN	1600	100	100
MCGCX	BLACKROCK	BLACKROCK ADVANTAGE GLOBAL CL C	C	LOAD	OPEN	1600	100	1000
MAGCX	BLACKROCK	BLACKROCK ADVANTAGE GLOBAL INSTL	INSTITUTIONAL	NO LOAD	OPEN	1600	2000000	2000000
MDGCX	BLACKROCK	BLACKROCK ADVANTAGE GLOBAL INV A	INVESTOR A	LOAD	OPEN	1600	1000	1000
MKGCX	BLACKROCK	BLACKROCK ADVANTAGE GLOBAL K	K	NO LOAD	SUBS ONLY	1600	100000000	5000000
MRGSX	BLACKROCK	BLACKROCK ADVANTAGE GLOBAL R	R	NO LOAD	REDEEM ONLY	1600	100	100
BROKX	BLACKROCK	BLACKROCK ADVANTAGE INTERNATIONAL K	K	NO LOAD	SUBS ONLY	1600	100000000	5000000
BROCX	BLACKROCK	BLACKROCK ADVANTAGE INTL FD CL C	C	LOAD	OPEN	1600	100	1000
BROIX	BLACKROCK	BLACKROCK ADVANTAGE INTL FD INST	INSTITUTIONAL	NO LOAD	OPEN	1600	2000000	2000000
BROAX	BLACKROCK	BLACKROCK ADVANTAGE INTL INV A	INVESTOR A	LOAD	OPEN	1600	1000	1000
MCLRX	BLACKROCK	BLACKROCK ADVANTAGE LARGE CAP CORE FD CL C	C	LOAD	OPEN	1600	100	1000
MRLRX	BLACKROCK	BLACKROCK ADVANTAGE LARGE CAP CORE FD CL R	R	NO LOAD	REDEEM ONLY	1600	100	100
MALRX	BLACKROCK	BLACKROCK ADVANTAGE LARGE CAP CORE FD INST	INSTITUTIONAL	NO LOAD	OPEN	1600	2000000	2000000

SYMBOL	FUND FAMILY	FUND DESCRIPTION	SHARE CLASS	FUND TYPE	STATUS	CUT-OFF	IRA MIN	NON-IRA MIN
MDLRX	BLACKROCK	BLACKROCK ADVANTAGE LARGE CAP CORE INV A	INVESTOR A	LOAD	OPEN	1600	1000	1000
MLRKX	BLACKROCK	BLACKROCK ADVANTAGE LARGE CAP CORE K	K	NO LOAD	SUBS ONLY	1600	100000000	5000000
BMCKX	BLACKROCK	BLACKROCK ADVANTAGE LARGE CAP GR K	K	NO LOAD	SUBS ONLY	1600	100000000	5000000
BMCCX	BLACKROCK	BLACKROCK ADVANTAGE LARGE CAP GWTH FD CL C	C	LOAD	OPEN	1600	100	1000
CMVIX	BLACKROCK	BLACKROCK ADVANTAGE LARGE CAP GWTH FD INST	INSTITUTIONAL	NO LOAD	OPEN	1600	2000000	2000000
BMCAX	BLACKROCK	BLACKROCK ADVANTAGE LARGE CAP GWTH INV A	INVESTOR A	LOAD	OPEN	1600	1000	1000
MLVKX	BLACKROCK	BLACKROCK ADVANTAGE LARGE CAP VAL K	K	NO LOAD	SUBS ONLY	1600	100000000	5000000
MCLVX	BLACKROCK	BLACKROCK ADVANTAGE LARGE CAP VALUE FD CL C	C	LOAD	OPEN	1600	100	1000
MRLVX	BLACKROCK	BLACKROCK ADVANTAGE LARGE CAP VALUE FD CL R	R	NO LOAD	REDEEM ONLY	1600	100	100
MALVX	BLACKROCK	BLACKROCK ADVANTAGE LARGE CAP VALUE FD INST	INSTITUTIONAL	NO LOAD	OPEN	1600	2000000	2000000
MDLVX	BLACKROCK	BLACKROCK ADVANTAGE LARGE CAP VALUE INV A	INVESTOR A	LOAD	OPEN	1600	1000	1000
BDSIX	BLACKROCK	BLACKROCK ADVANTAGE SM CAP CORE INST	INSTITUTIONAL	NO LOAD	OPEN	1600	2000000	2000000
BDSKX	BLACKROCK	BLACKROCK ADVANTAGE SM CAP CORE K	K	NO LOAD	OPEN	1600	100000000	5000000
BSGRX	BLACKROCK	BLACKROCK ADVANTAGE SM CAP GWTH R	R	NO LOAD	REDEEM ONLY	1600	100	100
BDSAX	BLACKROCK	BLACKROCK ADVANTAGE SMALL CAP CORE INV A	INVESTOR A	LOAD	OPEN	1600	100	100
BDSCX	BLACKROCK	BLACKROCK ADVANTAGE SMALL CAP CORE INV C	C	LOAD	OPEN	1600	1000	1000
PSGKX	BLACKROCK	BLACKROCK ADVANTAGE SMALL CAP GR K	K	NO LOAD	SUBS ONLY	1600	100000000	5000000
PSGIX	BLACKROCK	BLACKROCK ADVANTAGE SMALL CAP GWTH FD INST	INSTITUTIONAL	NO LOAD	OPEN	1600	2000000	2000000
CSGEX	BLACKROCK	BLACKROCK ADVANTAGE SMALL CAP GWTH INV A	INVESTOR A	LOAD	OPEN	1600	1000	1000
MCSPX	BLACKROCK	BLACKROCK ADVANTAGE SMID CAP INC C	C	LOAD	OPEN	1600	100	1000
MASPX	BLACKROCK	BLACKROCK ADVANTAGE SMID CAP INC INST	INSTITUTIONAL	NO LOAD	OPEN	1600	2000000	2000000
MDSPX	BLACKROCK	BLACKROCK ADVANTAGE SMID CAP INC INV A	INVESTOR A	LOAD	OPEN	1600	1000	1000
MRSPX	BLACKROCK	BLACKROCK ADVANTAGE SMID CAP R	R	NO LOAD	REDEEM ONLY	1600	100	100
BATIX	BLACKROCK	BLACKROCK ALLOCATION TARGET SH SER I PORT	NO LOAD	NO LOAD	REDEEM ONLY	1600	0	0
BATVX	BLACKROCK	BLACKROCK ALLOCATION TARGET SHARES V	NO LOAD	NO LOAD	REDEEM ONLY	1600	100000	100000
BATAX	BLACKROCK	BLACKROCK ALLOCATION TARGET SHRS SER A	NO LOAD	NO LOAD	REDEEM ONLY	1600	100000	100000
BRACX	BLACKROCK	BLACKROCK ALLOCATION TARGET SHRS SER C	NO LOAD	NO LOAD	REDEEM ONLY	1600	1000	2500
BATEX	BLACKROCK	BLACKROCK ALLOCATION TARGET SHRS SER E	NO LOAD	NO LOAD	REDEEM ONLY	1600	100000	100000
BRAMX	BLACKROCK	BLACKROCK ALLOCATION TARGET SHRS SER M	NO LOAD	NO LOAD	REDEEM ONLY	1600	1000	2500
BATPX	BLACKROCK	BLACKROCK ALLOCATION TARGET SHRS SER P	NO LOAD	NO LOAD	REDEEM ONLY	1600	100000	100000
BRASX	BLACKROCK	BLACKROCK ALLOCATION TARGET SHRS SER S	NO LOAD	NO LOAD	REDEEM ONLY	1600	1000	2500
MEHQX	BLACKROCK	BLACKROCK BOND FUND CL A1	A1	NO LOAD	REDEEM ONLY	1600	0	0
MACMX	BLACKROCK	BLACKROCK CA MUNI OPPTY INSTL	INSTITUTIONAL	NO LOAD	OPEN	1600	2000000	2000000
MECMX	BLACKROCK	BLACKROCK CA MUNI OPPTY INV A	INVESTOR A	LOAD	OPEN	1600	1000	1000
MDCMX	BLACKROCK	BLACKROCK CA MUNI OPPTY INV A1	A1	NO LOAD	REDEEM ONLY	1600	0	0
MFCMX	BLACKROCK	BLACKROCK CA MUNI OPPTY INV C	C	LOAD	OPEN	1600	100	1000
MKCMX	BLACKROCK	BLACKROCK CALIFORNIA MUNL OPPTS INV K	K	NO LOAD	SUBS ONLY	1600	100000000	5000000
MAFGX	BLACKROCK	BLACKROCK CAPITAL APPREC FD INSTL CL	INSTITUTIONAL	NO LOAD	OPEN	1600	2000000	2000000
MCFGX	BLACKROCK	BLACKROCK CAPITAL APPREC FD INV C CL	C	LOAD	OPEN	1600	100	1000
BFGBX	BLACKROCK	BLACKROCK CAPITAL APPREC FD K	K	NO LOAD	SUBS ONLY	1600	5000000	5000000
MDFGX	BLACKROCK	BLACKROCK CAPITAL APPREC INV A	INVESTOR A	LOAD	OPEN	1600	1000	1000

SYMBOL	FUND FAMILY	FUND DESCRIPTION	SHARE CLASS	FUND TYPE	STATUS	CUT-OFF	IRA MIN	NON-IRA MIN
MRFGX	BLACKROCK	BLACKROCK CAPITAL APPRECIATION R	R	NO LOAD	REDEEM ONLY	1600	100000000	100000000
CHILX	BLACKROCK	BLACKROCK CHINA A OPPTY FD INST	INSTITUTIONAL	NO LOAD	OPEN	1600	2000000	2000000
BICSX	BLACKROCK	BLACKROCK COMMODITY STRATEGIES INST	INSTITUTIONAL	NO LOAD	OPEN	1600	2000000	2000000
BCSAX	BLACKROCK	BLACKROCK COMMODITY STRATEGIES INV A	INVESTOR A	LOAD	OPEN	1600	1000	1000
BCSCX	BLACKROCK	BLACKROCK COMMODITY STRATEGIES INV C	C	LOAD	OPEN	1600	100	1000
BCSKX	BLACKROCK	BLACKROCK COMMODITY STRATEGIES K	K	NO LOAD	SUBS ONLY	1600	100000000	5000000
BCBCX	BLACKROCK	BLACKROCK CORE BD PORT CL C	C	LOAD	OPEN	1600	100	1000
BFMCX	BLACKROCK	BLACKROCK CORE BD PORT CL INSTL	INSTITUTIONAL	NO LOAD	OPEN	1600	2000000	2000000
CCBBX	BLACKROCK	BLACKROCK CORE BD PORT CL K	K	NO LOAD	SUBS ONLY	1600	5000000	5000000
BCBAX	BLACKROCK	BLACKROCK CORE BD PORT INV A	INVESTOR A	LOAD	OPEN	1600	1000	1000
CREDX	BLACKROCK	BLACKROCK CREDIT STRATEGIES INSTL	INSTITUTIONAL	NO LOAD	OPEN	1600	100	100
BDHIX	BLACKROCK	BLACKROCK DYNAMIC HIGH INCM PORT INST	INSTITUTIONAL	NO LOAD	OPEN	1600	2000000	2000000
BDHAX	BLACKROCK	BLACKROCK DYNAMIC HIGH INCM PORT INV A	INVESTOR A	LOAD	OPEN	1600	1000	1000
BDHCX	BLACKROCK	BLACKROCK DYNAMIC HIGH INCOME INV C	C	LOAD	OPEN	1600	1000	1000
BDHX	BLACKROCK	BLACKROCK DYNAMIC HIGH INCOME K	K	NO LOAD	SUBS ONLY	1600	100000000	5000000
MADCX	BLACKROCK	BLACKROCK EMERGING MKTS INST	INSTITUTIONAL	NO LOAD	OPEN	1600	2000000	2000000
MDDCX	BLACKROCK	BLACKROCK EMERGING MKTS INV A	INVESTOR A	LOAD	OPEN	1600	1000	1000
MCDCX	BLACKROCK	BLACKROCK EMERGING MKTS INV C	C	LOAD	OPEN	1600	100	1000
MKDCX	BLACKROCK	BLACKROCK EMERGING MKTS K	K	NO LOAD	SUBS ONLY	1600	100000000	5000000
BACCX	BLACKROCK	BLACKROCK ENERGY OPPORTUNITIES FD CL C	C	LOAD	OPEN	1600	100	1000
BACIX	BLACKROCK	BLACKROCK ENERGY OPPORTUNITIES FD INST	INSTITUTIONAL	NO LOAD	OPEN	1600	2000000	2000000
BACAX	BLACKROCK	BLACKROCK ENERGY OPPORTUNITIES INV A	INVESTOR A	LOAD	OPEN	1600	1000	1000
MADVX	BLACKROCK	BLACKROCK EQTY DIV INST CL	INSTITUTIONAL	NO LOAD	OPEN	1600	2000000	2000000
MRDVX	BLACKROCK	BLACKROCK EQTY DIV R	R	NO LOAD	REDEEM ONLY	1600	100	100
MSDVX	BLACKROCK	BLACKROCK EQTY DIV SERVICE CL	SERVICE	NO LOAD	OPEN	1600	100	100
MKDVX	BLACKROCK	BLACKROCK EQTY DIVIDEND FD CL K	K	NO LOAD	SUBS ONLY	1600	5000000	5000000
MDDVX	BLACKROCK	BLACKROCK EQUITY DIVIDEND INV A	INVESTOR A	LOAD	OPEN	1600	1000	1000
MCDVX	BLACKROCK	BLACKROCK EQUITYDIVIDEND CL C	C	LOAD	OPEN	1600	100	1000
MCEFX	BLACKROCK	BLACKROCK EUROFD C	C	LOAD	OPEN	1600	100	1000
MAEFX	BLACKROCK	BLACKROCK EUROFD I	INSTITUTIONAL	NO LOAD	OPEN	1600	2000000	2000000
MDEFX	BLACKROCK	BLACKROCK EUROFUND INV A	INVESTOR A	LOAD	OPEN	1600	1000	1000
MKEFX	BLACKROCK	BLACKROCK EUROFUND K	K	NO LOAD	OPEN	1600	100000000	5000000
MREFX	BLACKROCK	BLACKROCK EUROFUND R	R	NO LOAD	REDEEM ONLY	1600	100	100
BCLPX	BLACKROCK	BLACKROCK EVENT DRIVEN EQTY FD C	C	LOAD	OPEN	1600	100	1000
BILPX	BLACKROCK	BLACKROCK EVENT DRIVEN EQTY FD INST	INSTITUTIONAL	NO LOAD	OPEN	1600	2000000	2000000
BALPX	BLACKROCK	BLACKROCK EVENT DRIVEN EQTY INV A	INVESTOR A	LOAD	OPEN	1600	1000	1000
TFDXX	BLACKROCK	BLACKROCK FEDFUND INST	INSTITUTIONAL	NO LOAD	OPEN	1600	3000000	3000000
BFRIX	BLACKROCK	BLACKROCK FLOATING RATE INCM PORT INSTL	INSTITUTIONAL	NO LOAD	OPEN	1600	2000000	2000000
BFRAX	BLACKROCK	BLACKROCK FLOATING RATE INCM PORT INV A	INVESTOR A	LOAD	OPEN	1600	1000	1000
BFRCX	BLACKROCK	BLACKROCK FLOATING RATE INCM PORT INV C	C	LOAD	OPEN	1600	100	1000
BFRKX	BLACKROCK	BLACKROCK FLOATING RATE INCM PORT K	K	NO LOAD	REDEEM ONLY	1600	100000000	5000000

SYMBOL	FUND FAMILY	FUND DESCRIPTION	SHARE CLASS	FUND TYPE	STATUS	CUT-OFF	IRA MIN	NON-IRA MIN
BGDVX	BLACKROCK	BLACKROCK GA DISCIPLINED VOLATILITY EQ K	K	NO LOAD	SUBS ONLY	1600	5000000	5000000
BIDVX	BLACKROCK	BLACKROCK GA DISCIPLINED VOLATILITY EQTY INST	INSTITUTIONAL	NO LOAD	OPEN	1600	2000000	2000000
BGEEX	BLACKROCK	BLACKROCK GA DYNAMIC EQ K	K	NO LOAD	SUBS ONLY	1600	5000000	5000000
BIIEEX	BLACKROCK	BLACKROCK GA DYNAMIC EQTY INST	INSTITUTIONAL	NO LOAD	OPEN	1600	2000000	2000000
BGCCX	BLACKROCK	BLACKROCK GLBL LONG SHORT CREDIT C	C	LOAD	OPEN	1600	100	1000
BGCIX	BLACKROCK	BLACKROCK GLBL LONG SHORT CREDIT INSTL	INSTITUTIONAL	NO LOAD	OPEN	1600	2000000	2000000
BGCAX	BLACKROCK	BLACKROCK GLBL LONG SHORT CREDIT INV A	INVESTOR A	LOAD	OPEN	1600	1000	1000
BDMKX	BLACKROCK	BLACKROCK GLBL LONG SHORT CREDIT K	K	NO LOAD	REDEEM ONLY	1600	10000000	5000000
MRLOX	BLACKROCK	BLACKROCK GLOBAL ALLOC R	R	NO LOAD	REDEEM ONLY	1600	100	100
MKLOX	BLACKROCK	BLACKROCK GLOBAL ALLOCATION CL K	K	NO LOAD	REDEEM ONLY	1600	5000000	5000000
MALOX	BLACKROCK	BLACKROCK GLOBAL ALLOCATION FUND CL I	INSTITUTIONAL	NO LOAD	OPEN	1600	2000000	2000000
MDLOX	BLACKROCK	BLACKROCK GLOBAL ALLOCATION INV A	INVESTOR A	LOAD	OPEN	1600	1000	1000
BKBDX	BLACKROCK	BLACKROCK GLOBAL DIVIDEND K	K	NO LOAD	SUBS ONLY	1600	100000000	5000000
BCBDX	BLACKROCK	BLACKROCK GLOBAL DIVIDEND PORTFOLIO CL C	C	LOAD	OPEN	1600	100	1000
BIBDX	BLACKROCK	BLACKROCK GLOBAL DIVIDEND PORTFOLIO INST CL	INSTITUTIONAL	NO LOAD	OPEN	1600	2000000	2000000
BABDX	BLACKROCK	BLACKROCK GLOBAL DIVIDEND PORTFOLIO INV A	INVESTOR A	LOAD	OPEN	1600	1000	1000
BDMCX	BLACKROCK	BLACKROCK GLOBAL EQUITY MARKET NEUTRAL C	C	LOAD	OPEN	1600	1000	1000
BDMIX	BLACKROCK	BLACKROCK GLOBAL EQUITY MARKET NEUTRAL INST	INSTITUTIONAL	NO LOAD	OPEN	1600	2000000	2000000
BDMAX	BLACKROCK	BLACKROCK GLOBAL EQUITY MARKET NEUTRAL INV	INVESTOR A	LOAD	OPEN	1600	1000	1000
BGCKX	BLACKROCK	BLACKROCK GLOBAL EQUITY MKT NETRL K	K	LOAD WAIVED	SUBS ONLY	1600	100000000	5000000
MCLOX	BLACKROCK	BLACKROCK GLOBALALLOCATION CL C	C	LOAD	OPEN	1600	100	1000
BGNIX	BLACKROCK	BLACKROCK GNMA INSTL	INSTITUTIONAL	NO LOAD	OPEN	1600	2000000	2000000
BGPAX	BLACKROCK	BLACKROCK GNMA INV A	INVESTOR A	LOAD	OPEN	1600	1000	1000
BGPCX	BLACKROCK	BLACKROCK GNMA INV C	C	LOAD	OPEN	1600	100	1000
BBGPX	BLACKROCK	BLACKROCK GNMA K	K	NO LOAD	SUBS ONLY	1600	100000000	5000000
SHSX	BLACKROCK	BLACKROCK HEALTH SCIENCE OPPTY CL K	K	NO LOAD	SUBS ONLY	1600	5000000	5000000
BHSRX	BLACKROCK	BLACKROCK HEALTH SCIENCE OPPTY CL R	R	NO LOAD	REDEEM ONLY	1600	100	100
SHSCX	BLACKROCK	BLACKROCK HEALTH SCIENCEOPPTY CL C	C	LOAD	OPEN	1600	100	1000
SHSSX	BLACKROCK	BLACKROCK HEALTH SCIENCES OPPORTUNITIES CL INST	INSTITUTIONAL	NO LOAD	OPEN	1600	2000000	2000000
SHSAX	BLACKROCK	BLACKROCK HEALTH SCIENCES OPPORTUNITIES INV A	INVESTOR A	LOAD	OPEN	1600	1000	1000
BMECX	BLACKROCK	BLACKROCK HIGH EQUITY INCOME FD CL C	C	LOAD	OPEN	1600	100	1000
BMCIX	BLACKROCK	BLACKROCK HIGH EQUITY INCOME FD INST	INSTITUTIONAL	NO LOAD	OPEN	1600	2000000	2000000
BMEAX	BLACKROCK	BLACKROCK HIGH EQUITY INCOME INV A	INVESTOR A	LOAD	OPEN	1600	1000	1000
BHEIX	BLACKROCK	BLACKROCK HIGH EQUITY INCOME K	K	NO LOAD	SUBS ONLY	1600	5000000	5000000
MCYHX	BLACKROCK	BLACKROCK HIGH YIELD MUNI C	C	LOAD	OPEN	1600	1000	1000
MAYHX	BLACKROCK	BLACKROCK HIGH YIELD MUNI FD INST CL	INSTITUTIONAL	NO LOAD	OPEN	1600	2000000	2000000
MDYHX	BLACKROCK	BLACKROCK HIGH YIELD MUNI INV A	INVESTOR A	LOAD	OPEN	1600	1000	1000
MKYHX	BLACKROCK	BLACKROCK HIGH YIELD MUNICIPAL K	K	NO LOAD	SUBS ONLY	1600	100000000	5000000
BRHYX	BLACKROCK	BLACKROCK HIGH YLD PORTFOLIO CL K	K	NO LOAD	SUBS ONLY	1600	5000000	5000000
BHYRX	BLACKROCK	BLACKROCK HIGH YLD PORTFOLIO CL R	R	NO LOAD	REDEEM ONLY	1600	100	100
BHYIX	BLACKROCK	BLACKROCK HIGH YLD PORTFOLIO INSTL	INSTITUTIONAL	NO LOAD	OPEN	1600	2000000	2000000

SYMBOL	FUND FAMILY	FUND DESCRIPTION	SHARE CLASS	FUND TYPE	STATUS	CUT-OFF	IRA MIN	NON-IRA MIN
BHYAX	BLACKROCK	BLACKROCK HIGH YLD PORTFOLIO INV A	INVESTOR A	LOAD	OPEN	1600	1000	1000
BHYCX	BLACKROCK	BLACKROCK HIGH YLD PORTFOLIO INV C	C	LOAD	OPEN	1600	100	1000
BHYSX	BLACKROCK	BLACKROCK HIGH YLD PORTFOLIO SERVICE SHARES	SERVICE	NO LOAD	OPEN	1600	100	100
SHISX	BLACKROCK	BLACKROCK HLTH SCIENCES SVC	SERVICE	NO LOAD	OPEN	1600	100	100
BIGCX	BLACKROCK	BLACKROCK IMPACT MORTGAGE C	C	LOAD	OPEN	1600	100	1000
PNIGX	BLACKROCK	BLACKROCK IMPACT MORTGAGE INST	INSTITUTIONAL	NO LOAD	OPEN	1600	2000000	2000000
CIGAX	BLACKROCK	BLACKROCK IMPACT MORTGAGE INV A	INVESTOR A	LOAD	OPEN	1600	1000	1000
BIGLX	BLACKROCK	BLACKROCK IMPACT MORTGAGE K	K	NO LOAD	SUBS ONLY	1600	100000000	5000000
BGBRX	BLACKROCK	BLACKROCK IMPACT MORTGAGE R	R	NO LOAD	REDEEM ONLY	1600	100	100
BMSCX	BLACKROCK	BLACKROCK INCOME FD CL C	C	LOAD	OPEN	1600	1000	1000
BMSIX	BLACKROCK	BLACKROCK INCOME FD INST	INSTITUTIONAL	NO LOAD	OPEN	1600	2000000	2000000
BMSKX	BLACKROCK	BLACKROCK INCOME FUND K	K	NO LOAD	SUBS ONLY	1600	100000000	5000000
BMSAX	BLACKROCK	BLACKROCK INCOME INV A	INVESTOR A	LOAD	OPEN	1600	1000	1000
BPRIX	BLACKROCK	BLACKROCK INFLATION PROTECTED BD INSTL	INSTITUTIONAL	NO LOAD	OPEN	1600	2000000	2000000
BPRAX	BLACKROCK	BLACKROCK INFLATION PROTECTED BD INV A	INVESTOR A	LOAD	OPEN	1600	1000	1000
BPLBX	BLACKROCK	BLACKROCK INFLATION PROTECTED BD PORT CL K	K	NO LOAD	SUBS ONLY	1600	5000000	5000000
BPRCX	BLACKROCK	BLACKROCK INFLATION PROTECTED BOND CL C	C	LOAD	OPEN	1600	100	1000
MCILX	BLACKROCK	BLACKROCK INTERNATIONAL CL C	C	LOAD	OPEN	1600	100	1000
BREKX	BLACKROCK	BLACKROCK INTERNATIONAL DIVIDEND K	K	NO LOAD	SUBS ONLY	1600	100000000	5000000
MAILX	BLACKROCK	BLACKROCK INTERNATIONAL FD INSTL	INSTITUTIONAL	NO LOAD	OPEN	1600	2000000	2000000
MDILX	BLACKROCK	BLACKROCK INTERNATIONAL INV A	INVESTOR A	LOAD	OPEN	1600	1000	1000
MKILX	BLACKROCK	BLACKROCK INTERNATIONAL K	K	NO LOAD	SUBS ONLY	1600	100000000	5000000
BREAX	BLACKROCK	BLACKROCK INTERNATIONAL OPPORTUNITY INV A	INVESTOR A	LOAD	OPEN	1600	1000	1000
BIFRX	BLACKROCK	BLACKROCK INTERNATIONAL R	R	NO LOAD	REDEEM ONLY	1600	100000000	100000000
BRECX	BLACKROCK	BLACKROCK INTL DIVIDEND FD CL C	C	LOAD	OPEN	1600	100	1000
BISIX	BLACKROCK	BLACKROCK INTL DIVIDEND FD INST	INSTITUTIONAL	NO LOAD	OPEN	1600	2000000	2000000
MDBAX	BLACKROCK	BLACKROCK LARGE CAP FOCUS VALUE A	INVESTOR A	LOAD	OPEN	1600	1000	1000
MCBAX	BLACKROCK	BLACKROCK LARGE CAP FOCUS VALUE C	C	LOAD	OPEN	1600	100	1000
MABAX	BLACKROCK	BLACKROCK LARGE CAP FOCUS VALUE I	INSTITUTIONAL	NO LOAD	OPEN	1600	2000000	2000000
MBVIX	BLACKROCK	BLACKROCK LARGE CAP FOCUS VALUE K	K	NO LOAD	SUBS ONLY	1600	100000000	5000000
MDFOX	BLACKROCK	BLACKROCK LARGE CAP GROWTH EQ INC A	INVESTOR A	LOAD	OPEN	1600	1000	1000
MCFOX	BLACKROCK	BLACKROCK LARGE CAP GROWTH EQ INC C	C	LOAD	OPEN	1600	100	1000
MAFOX	BLACKROCK	BLACKROCK LARGE CAP GROWTH EQ INC I	INSTITUTIONAL	NO LOAD	OPEN	1600	2000000	2000000
MKFOX	BLACKROCK	BLACKROCK LARGE CAP GROWTH EQ INC K	K	NO LOAD	SUBS ONLY	1600	5000000	5000000
LPBAX	BLACKROCK	BLACKROCK LIFEPATH DYN 2025 INV A	INVESTOR A	LOAD	REDEEM ONLY	1600	1000	1000
LPBRX	BLACKROCK	BLACKROCK LIFEPATH DYN 2025 R	R	NO LOAD	REDEEM ONLY	1600	100	100
LPRNX	BLACKROCK	BLACKROCK LIFEPATH DYN 2030 R	R	NO LOAD	REDEEM ONLY	1600	100000000	100000000
LPJRX	BLACKROCK	BLACKROCK LIFEPATH DYN 2035 R	R	NO LOAD	REDEEM ONLY	1600	100	100
LPRKX	BLACKROCK	BLACKROCK LIFEPATH DYN 2040 R	R	NO LOAD	REDEEM ONLY	1600	100	100
LPHRX	BLACKROCK	BLACKROCK LIFEPATH DYN 2045 R	R	NO LOAD	REDEEM ONLY	1600	100	100
LPRPX	BLACKROCK	BLACKROCK LIFEPATH DYN 2050 R	R	NO LOAD	REDEEM ONLY	1600	100	100

SYMBOL	FUND FAMILY	FUND DESCRIPTION	SHARE CLASS	FUND TYPE	STATUS	CUT- OFF	IRA MIN	NON-IRA MIN
LPVAX	BLACKROCK	BLACKROCK LIFEPATH DYN 2055 INVESTOR A	INVESTOR A	LOAD	OPEN	1600	1000	1000
LPVRX	BLACKROCK	BLACKROCK LIFEPATH DYN 2055 R	R	NO LOAD	REDEEM ONLY	1600	100	100
LPWKX	BLACKROCK	BLACKROCK LIFEPATH DYN 2065 K	K	NO LOAD	SUBS ONLY	1600	100000000	5000000
LPRRX	BLACKROCK	BLACKROCK LIFEPATH DYN RETIREMENT R	R	NO LOAD	REDEEM ONLY	1600	100	100
LPBIX	BLACKROCK	BLACKROCK LIFEPATH DYNAMIC 2025 INST	INSTITUTIONAL	NO LOAD	REDEEM ONLY	1600	2000000	2000000
LPBKX	BLACKROCK	BLACKROCK LIFEPATH DYNAMIC 2025 K	K	NO LOAD	REDEEM ONLY	1600	5000000	5000000
STLDX	BLACKROCK	BLACKROCK LIFEPATH DYNAMIC 2030 INST	INSTITUTIONAL	NO LOAD	OPEN	1600	2000000	2000000
LPRDX	BLACKROCK	BLACKROCK LIFEPATH DYNAMIC 2030 INV A	INVESTOR A	LOAD	OPEN	1600	100	100
LPSDX	BLACKROCK	BLACKROCK LIFEPATH DYNAMIC 2030 K	K	NO LOAD	SUBS ONLY	1600	5000000	5000000
LPJIX	BLACKROCK	BLACKROCK LIFEPATH DYNAMIC 2035 INST	INSTITUTIONAL	NO LOAD	OPEN	1600	2000000	2000000
LPJAX	BLACKROCK	BLACKROCK LIFEPATH DYNAMIC 2035 INV A	INVESTOR A	LOAD	OPEN	1600	1000	1000
LPJKX	BLACKROCK	BLACKROCK LIFEPATH DYNAMIC 2035 K	K	NO LOAD	SUBS ONLY	1600	5000000	5000000
STLEX	BLACKROCK	BLACKROCK LIFEPATH DYNAMIC 2040 INST	INSTITUTIONAL	NO LOAD	OPEN	1600	2000000	2000000
LPREX	BLACKROCK	BLACKROCK LIFEPATH DYNAMIC 2040 INV A	INVESTOR A	LOAD	OPEN	1600	100	100
LPSFX	BLACKROCK	BLACKROCK LIFEPATH DYNAMIC 2040 K	K	NO LOAD	SUBS ONLY	1600	5000000	5000000
LPHIX	BLACKROCK	BLACKROCK LIFEPATH DYNAMIC 2045 INST	INSTITUTIONAL	NO LOAD	OPEN	1600	2000000	2000000
LPHAX	BLACKROCK	BLACKROCK LIFEPATH DYNAMIC 2045 INV A	INVESTOR A	LOAD	OPEN	1600	1000	1000
LPHKX	BLACKROCK	BLACKROCK LIFEPATH DYNAMIC 2045 K	K	NO LOAD	SUBS ONLY	1600	5000000	5000000
STLFX	BLACKROCK	BLACKROCK LIFEPATH DYNAMIC 2050 INST	INSTITUTIONAL	NO LOAD	OPEN	1600	2000000	2000000
LPRFX	BLACKROCK	BLACKROCK LIFEPATH DYNAMIC 2050 INV A	INVESTOR A	LOAD	OPEN	1600	100	100
LPSGX	BLACKROCK	BLACKROCK LIFEPATH DYNAMIC 2050 K	K	NO LOAD	SUBS ONLY	1600	5000000	5000000
LPVIX	BLACKROCK	BLACKROCK LIFEPATH DYNAMIC 2055 INST	INSTITUTIONAL	NO LOAD	OPEN	1600	2000000	2000000
LPVKX	BLACKROCK	BLACKROCK LIFEPATH DYNAMIC 2055 K	K	NO LOAD	SUBS ONLY	1600	5000000	5000000
LPDIX	BLACKROCK	BLACKROCK LIFEPATH DYNAMIC 2060 INST	INSTITUTIONAL	NO LOAD	OPEN	1600	2000000	2000000
LPDKX	BLACKROCK	BLACKROCK LIFEPATH DYNAMIC 2060 K	K	NO LOAD	REDEEM ONLY	1600	100000000	5000000
LPWIX	BLACKROCK	BLACKROCK LIFEPATH DYNAMIC 2065 INSTL	INSTITUTIONAL	NO LOAD	OPEN	1600	2000000	2000000
LPCRX	BLACKROCK	BLACKROCK LIFEPATH DYNAMIC RET C	C	LOAD	OPEN	1600	1000	1000
STLAX	BLACKROCK	BLACKROCK LIFEPATH DYNAMIC RET INST	INSTITUTIONAL	NO LOAD	OPEN	1600	2000000	2000000
LPRAX	BLACKROCK	BLACKROCK LIFEPATH DYNAMIC RET INV A	INVESTOR A	LOAD	OPEN	1600	1000	1000
LPSAX	BLACKROCK	BLACKROCK LIFEPATH DYNAMIC RET K	K	NO LOAD	SUBS ONLY	1600	5000000	5000000
LIBIX	BLACKROCK	BLACKROCK LIFEPATH INDEX 2025 INST	INSTITUTIONAL	NO LOAD	REDEEM ONLY	1600	2000000	2000000
LILAX	BLACKROCK	BLACKROCK LIFEPATH INDEX 2025 INV A	INVESTOR A	NO LOAD	REDEEM ONLY	1600	100	100
LIBKX	BLACKROCK	BLACKROCK LIFEPATH INDEX 2025 K	K	NO LOAD	REDEEM ONLY	1600	5000000	5000000
LINIX	BLACKROCK	BLACKROCK LIFEPATH INDEX 2030 INST	INSTITUTIONAL	NO LOAD	OPEN	1600	2000000	2000000
LINAX	BLACKROCK	BLACKROCK LIFEPATH INDEX 2030 INV A	INVESTOR A	NO LOAD	OPEN	1600	100	100
LINKX	BLACKROCK	BLACKROCK LIFEPATH INDEX 2030 K	K	NO LOAD	SUBS ONLY	1600	5000000	5000000
LJIIX	BLACKROCK	BLACKROCK LIFEPATH INDEX 2035 INST	INSTITUTIONAL	NO LOAD	OPEN	1600	2000000	2000000
LJIAX	BLACKROCK	BLACKROCK LIFEPATH INDEX 2035 INV A	INVESTOR A	NO LOAD	OPEN	1600	100	100
LJIKX	BLACKROCK	BLACKROCK LIFEPATH INDEX 2035 K	K	NO LOAD	SUBS ONLY	1600	5000000	5000000
LIKIX	BLACKROCK	BLACKROCK LIFEPATH INDEX 2040 INST	INSTITUTIONAL	NO LOAD	OPEN	1600	2000000	2000000
LIKAX	BLACKROCK	BLACKROCK LIFEPATH INDEX 2040 INV A	INVESTOR A	NO LOAD	OPEN	1600	100	100

SYMBOL	FUND FAMILY	FUND DESCRIPTION	SHARE CLASS	FUND TYPE	STATUS	CUT- OFF	IRA MIN	NON-IRA MIN
LIKKX	BLACKROCK	BLACKROCK LIFEPATH INDEX 2040 K	K	NO LOAD	SUBS ONLY	1600	5000000	5000000
LIHIX	BLACKROCK	BLACKROCK LIFEPATH INDEX 2045 INST	INSTITUTIONAL	NO LOAD	OPEN	1600	2000000	2000000
LIHAX	BLACKROCK	BLACKROCK LIFEPATH INDEX 2045 INV A	INVESTOR A	NO LOAD	OPEN	1600	100	100
LIHKX	BLACKROCK	BLACKROCK LIFEPATH INDEX 2045 K	K	NO LOAD	SUBS ONLY	1600	5000000	5000000
LIPIX	BLACKROCK	BLACKROCK LIFEPATH INDEX 2050 INST	INSTITUTIONAL	NO LOAD	OPEN	1600	2000000	2000000
LIPAX	BLACKROCK	BLACKROCK LIFEPATH INDEX 2050 INV A	INVESTOR A	NO LOAD	OPEN	1600	100	100
LIPKX	BLACKROCK	BLACKROCK LIFEPATH INDEX 2050 K	K	NO LOAD	SUBS ONLY	1600	5000000	5000000
LIVIX	BLACKROCK	BLACKROCK LIFEPATH INDEX 2055 INST	INSTITUTIONAL	NO LOAD	OPEN	1600	2000000	2000000
LIVAX	BLACKROCK	BLACKROCK LIFEPATH INDEX 2055 INV A	INVESTOR A	NO LOAD	OPEN	1600	100	100
LIVKX	BLACKROCK	BLACKROCK LIFEPATH INDEX 2055 K	K	NO LOAD	SUBS ONLY	1600	5000000	5000000
LIZIX	BLACKROCK	BLACKROCK LIFEPATH INDEX 2060 INST	INSTITUTIONAL	NO LOAD	OPEN	1600	2000000	2000000
LIZAX	BLACKROCK	BLACKROCK LIFEPATH INDEX 2060 INV A	INVESTOR A	NO LOAD	OPEN	1600	100	100
LIZKX	BLACKROCK	BLACKROCK LIFEPATH INDEX 2060 K	K	NO LOAD	SUBS ONLY	1600	5000000	5000000
LIWIX	BLACKROCK	BLACKROCK LIFEPATH INDEX 2065 INSTL	INSTITUTIONAL	NO LOAD	OPEN	1600	2000000	2000000
LIWKX	BLACKROCK	BLACKROCK LIFEPATH INDEX 2065 K	K	NO LOAD	SUBS ONLY	1600	5000000	5000000
LIRIX	BLACKROCK	BLACKROCK LIFEPATH INDEX RTRMT INST	INSTITUTIONAL	NO LOAD	OPEN	1600	2000000	2000000
LIRAX	BLACKROCK	BLACKROCK LIFEPATH INDEX RTRMT INV A	INVESTOR A	NO LOAD	OPEN	1600	100	100
LIRKX	BLACKROCK	BLACKROCK LIFEPATH INDEX RTRMT K	K	NO LOAD	SUBS ONLY	1600	5000000	5000000
BUPXX	BLACKROCK	BLACKROCK LIQUIDITY FEDFUND PRM	PREMIER	NO LOAD	OPEN	1600	3000000	3000000
TSTXX	BLACKROCK	BLACKROCK LIQUIDITY TFUND INSTL	INSTITUTIONAL	NO LOAD	OPEN	1600	3000000	3000000
BEMXX	BLACKROCK	BLACKROCK LIQUIDITY TFUND PRM	PREMIER	NO LOAD	OPEN	1600	3000000	3000000
TTTXX	BLACKROCK	BLACKROCK LIQUIDITY TREASURY INSTL	INSTITUTIONAL	NO LOAD	OPEN	1600	3000000	3000000
BLDAX	BLACKROCK	BLACKROCK LOW DURATION BD INV A	INVESTOR A	LOAD	OPEN	1600	1000	1000
CMGAX	BLACKROCK	BLACKROCK LOW DURATION BD INV A1	A1	NO LOAD	REDEEM ONLY	1600	0	0
CLDBX	BLACKROCK	BLACKROCK LOW DURATION BD PORT CL K	K	NO LOAD	SUBS ONLY	1600	5000000	5000000
BFMSX	BLACKROCK	BLACKROCK LOW DURATION BOND INSTITUTIONAL	INSTITUTIONAL	NO LOAD	OPEN	1600	2000000	2000000
BLDPX	BLACKROCK	BLACKROCK LOW DURATION BOND R	R	NO LOAD	REDEEM ONLY	1600	100	100
BLDCX	BLACKROCK	BLACKROCK LOW DURATIONBOND CL C	C	LOAD	OPEN	1600	100	1000
BLDIX	BLACKROCK	BLACKROCK MANAGED INCOME FD CL I	INSTITUTIONAL	NO LOAD	OPEN	1600	2000000	2000000
BMICX	BLACKROCK	BLACKROCK MANAGED INCOME FD INV C	C	LOAD	OPEN	1600	1000	1000
BLADX	BLACKROCK	BLACKROCK MANAGED INCOME INV A	INVESTOR A	LOAD	OPEN	1600	1000	1000
BLDRX	BLACKROCK	BLACKROCK MANAGED INCOME K	K	NO LOAD	SUBS ONLY	1600	100000000	5000000
BMGAX	BLACKROCK	BLACKROCK MID CAP GROWTH EQUITY INV A	INVESTOR A	LOAD	OPEN	1600	1000	1000
CMGIX	BLACKROCK	BLACKROCK MID CAP GROWTH EQUITY PORT INSTL	INSTITUTIONAL	NO LOAD	OPEN	1600	2000000	2000000
BMGCX	BLACKROCK	BLACKROCK MID CAP GROWTHEQUITY CL C	C	LOAD	OPEN	1600	100	1000
BMGXK	BLACKROCK	BLACKROCK MID CAP GWTH EQTY PORT K	K	NO LOAD	SUBS ONLY	1600	100000000	5000000
MDRFX	BLACKROCK	BLACKROCK MID CAP VALUE A	INVESTOR A	LOAD	OPEN	1600	1000	1000
MCRFX	BLACKROCK	BLACKROCK MID CAP VALUE C	C	LOAD	OPEN	1600	100	1000
MARFX	BLACKROCK	BLACKROCK MID CAP VALUE I	INSTITUTIONAL	NO LOAD	OPEN	1600	2000000	2000000
MRRFX	BLACKROCK	BLACKROCK MID CAP VALUE R	R	NO LOAD	REDEEM ONLY	1600	100	100
BMRRX	BLACKROCK	BLACKROCK MIDCAP GROWTH EQUITY R	R	NO LOAD	REDEEM ONLY	1600	100000000	100000000

SYMBOL	FUND FAMILY	FUND DESCRIPTION	SHARE CLASS	FUND TYPE	STATUS	CUT- OFF	IRA MIN	NON-IRA MIN
CMGSX	BLACKROCK	BLACKROCK MIDCAP GROWTH EQUITY SVC	SERVICE	NO LOAD	OPEN	1600	100	100
MJRFX	BLACKROCK	BLACKROCK MIDCAP VALUE K	K	NO LOAD	SUBS ONLY	1600	100000000	5000000
BIICX	BLACKROCK	BLACKROCK MULTI ASSET INCM PORT INST	INSTITUTIONAL	NO LOAD	OPEN	1600	2000000	2000000
BAICX	BLACKROCK	BLACKROCK MULTI ASSET INCM PORT INV A	INVESTOR A	LOAD	OPEN	1600	1000	1000
BCICX	BLACKROCK	BLACKROCK MULTI ASSET INCM PORT INV C	C	LOAD	OPEN	1600	100	1000
BKMIX	BLACKROCK	BLACKROCK MULTI ASSET INCM PORT K	K	NO LOAD	REDEEM ONLY	1600	100000000	5000000
MANLX	BLACKROCK	BLACKROCK MUNICIPAL NATIONAL I	INSTITUTIONAL	NO LOAD	OPEN	1600	2000000	2000000
MDNLX	BLACKROCK	BLACKROCK MUNICIPAL NATIONAL INV A	INVESTOR A	LOAD	OPEN	1600	1000	1000
MFNLX	BLACKROCK	BLACKROCK NATIONAL MUNI C	C	LOAD	OPEN	1600	1000	1000
BNMLX	BLACKROCK	BLACKROCK NATIONAL MUNI K	K	NO LOAD	REDEEM ONLY	1600	5000000	5000000
BNMSX	BLACKROCK	BLACKROCK NATIONAL MUNICIPAL SVC	SERVICE	NO LOAD	OPEN	1600	100	100
MAGRX	BLACKROCK	BLACKROCK NATURAL RESOURCES I	INSTITUTIONAL	NO LOAD	OPEN	1600	2000000	2000000
MDGRX	BLACKROCK	BLACKROCK NATURAL RESOURCES INV A	INVESTOR A	LOAD	OPEN	1600	1000	1000
MCGRX	BLACKROCK	BLACKROCK NATURAL RESOURCES TRUST CL C	C	LOAD	OPEN	1600	100	1000
MFNJX	BLACKROCK	BLACKROCK NJ MUNI BD C	C	LOAD	OPEN	1600	100	1000
MDNJX	BLACKROCK	BLACKROCK NJ MUNI BD FD INV A1	A1	NO LOAD	REDEEM ONLY	1600	0	0
MANJX	BLACKROCK	BLACKROCK NJ MUNI BD I	INSTITUTIONAL	NO LOAD	OPEN	1600	2000000	2000000
MENJX	BLACKROCK	BLACKROCK NJ MUNI BD INV A	INVESTOR A	LOAD	OPEN	1600	1000	1000
MSNJX	BLACKROCK	BLACKROCK NJ MUNICIPAL BOND SVC	SERVICE	NO LOAD	OPEN	1600	100	100
MANKX	BLACKROCK	BLACKROCK NY MUNI OPPTY INSTL	INSTITUTIONAL	NO LOAD	OPEN	1600	2000000	2000000
MENKX	BLACKROCK	BLACKROCK NY MUNI OPPTY INV A	INVESTOR A	LOAD	OPEN	1600	1000	1000
MDNKX	BLACKROCK	BLACKROCK NY MUNI OPPTY INV A1	A1	NO LOAD	REDEEM ONLY	1600	0	0
MFNKX	BLACKROCK	BLACKROCK NY MUNI OPPTY INV C	C	LOAD	OPEN	1600	100	1000
MKNKX	BLACKROCK	BLACKROCK NY MUNICIPAL OPPS K	K	NO LOAD	SUBS ONLY	1600	100000000	5000000
MDPYX	BLACKROCK	BLACKROCK PA MUNI BD A1	A1	NO LOAD	REDEEM ONLY	1600	0	0
MFPYX	BLACKROCK	BLACKROCK PA MUNI BD C	C	LOAD	OPEN	1600	100	1000
MEPYX	BLACKROCK	BLACKROCK PA MUNI BD FD INV A	INVESTOR A	LOAD	OPEN	1600	1000	1000
MAPYX	BLACKROCK	BLACKROCK PA MUNI BOND FUND INST CL	INSTITUTIONAL	NO LOAD	OPEN	1600	2000000	2000000
MKPYX	BLACKROCK	BLACKROCK PA MUNICIPAL BOND K	K	NO LOAD	SUBS ONLY	1600	100000000	5000000
MSPYX	BLACKROCK	BLACKROCK PA MUNICIPAL BOND SVC	SERVICE	NO LOAD	OPEN	1600	100	100
BIREX	BLACKROCK	BLACKROCK REAL ESTATE SEC INST	INSTITUTIONAL	NO LOAD	OPEN	1600	2000000	2000000
BAREX	BLACKROCK	BLACKROCK REAL ESTATE SEC INV A	INVESTOR A	LOAD	OPEN	1600	1000	1000
BCREX	BLACKROCK	BLACKROCK REAL ESTATE SEC INV C	C	LOAD	OPEN	1600	1000	1000
BISOX	BLACKROCK	BLACKROCK SHORT OBLIGATIONS FD INST	INSTITUTIONAL	NO LOAD	OPEN	1600	2000000	2000000
BASOX	BLACKROCK	BLACKROCK SHORT OBLIGATIONS INV A	INVESTOR A	NO LOAD	OPEN	1600	100	100
BBSOX	BLACKROCK	BLACKROCK SHORT OBLIGATIONS K	K	NO LOAD	SUBS ONLY	1600	100000000	5000000
MDLMX	BLACKROCK	BLACKROCK SHORT TERM MUNI A1	A1	NO LOAD	REDEEM ONLY	1600	0	0
MFLMX	BLACKROCK	BLACKROCK SHORT TERM MUNI C	C	LOAD	OPEN	1600	1000	1000
MPLMX	BLACKROCK	BLACKROCK SHORT TERM MUNI FD CL K	K	NO LOAD	SUBS ONLY	1600	5000000	5000000
MALMX	BLACKROCK	BLACKROCK SHORT TERM MUNI INST CL	INSTITUTIONAL	NO LOAD	OPEN	1600	2000000	2000000
MELMX	BLACKROCK	BLACKROCK SHORT TERM MUNI INV A	INVESTOR A	LOAD	OPEN	1600	1000	1000

SYMBOL	FUND FAMILY	FUND DESCRIPTION	SHARE CLASS	FUND TYPE	STATUS	CUT- OFF	IRA MIN	NON-IRA MIN
BSIKX	BLACKROCK	BLACKROCK STRAT INCM OPPTY PORT K	K	NO LOAD	OPEN	1600	5000000	5000000
MAMTX	BLACKROCK	BLACKROCK STRAT MUNI OPPTY INST	INSTITUTIONAL	NO LOAD	OPEN	1600	2000000	2000000
MEMTX	BLACKROCK	BLACKROCK STRAT MUNI OPPTY INV A	INVESTOR A	LOAD	OPEN	1600	1000	1000
MDMTX	BLACKROCK	BLACKROCK STRAT MUNI OPPTY INV A1	A1	NO LOAD	REDEEM ONLY	1600	0	0
MFMTX	BLACKROCK	BLACKROCK STRAT MUNI OPPTY INV C	C	LOAD	OPEN	1600	100	1000
MKMTX	BLACKROCK	BLACKROCK STRAT MUNI OPPTY K	K	NO LOAD	SUBS ONLY	1600	100000000	5000000
MHWIX	BLACKROCK	BLACKROCK STRATEGIC GLOBAL BD FD C	C	LOAD	OPEN	1600	100	1000
MAWIX	BLACKROCK	BLACKROCK STRATEGIC GLOBAL BD FD I	INSTITUTIONAL	NO LOAD	OPEN	1600	2000000	2000000
MDWIX	BLACKROCK	BLACKROCK STRATEGIC GLOBAL BD INV A	INVESTOR A	LOAD	OPEN	1600	1000	1000
MKWIX	BLACKROCK	BLACKROCK STRATEGIC GLOBAL BOND K	K	NO LOAD	REDEEM ONLY	1600	100000000	5000000
BSICX	BLACKROCK	BLACKROCK STRATEGIC INCM OPPS INV C	C	LOAD	OPEN	1600	100	1000
BSIIX	BLACKROCK	BLACKROCK STRATEGIC INCM OPPTY INSTL	INSTITUTIONAL	NO LOAD	OPEN	1600	2000000	2000000
BASIX	BLACKROCK	BLACKROCK STRATEGIC INCM OPPTY INV A	INVESTOR A	LOAD	OPEN	1600	1000	1000
MSIIX	BLACKROCK	BLACKROCK SUMMIT CASH RESERVES INST	INSTITUTIONAL	NO LOAD	REDEEM ONLY	1600	2000000	2000000
MSAXX	BLACKROCK	BLACKROCK SUMMIT CASH RESERVES INV A	INVESTOR A	LOAD	EXCH ONLY	1600	1000	1000
BIRCX	BLACKROCK	BLACKROCK SUST ADVTG LG CAP CORE C	C	LOAD	OPEN	1600	1000	1000
BIRIX	BLACKROCK	BLACKROCK SUST ADVTG LG CAP CORE INST	INSTITUTIONAL	NO LOAD	OPEN	1600	2000000	2000000
BIRAX	BLACKROCK	BLACKROCK SUST ADVTG LG CAP CORE INV A	INVESTOR A	LOAD	OPEN	1600	100	100
MCCPX	BLACKROCK	BLACKROCK SUSTAINABLE BALANCED C	C	LOAD	OPEN	1600	100	1000
MACPX	BLACKROCK	BLACKROCK SUSTAINABLE BALANCED INST	INSTITUTIONAL	NO LOAD	OPEN	1600	2000000	2000000
MDCPX	BLACKROCK	BLACKROCK SUSTAINABLE BALANCED INV A	INVESTOR A	LOAD	OPEN	1600	1000	1000
MKCPX	BLACKROCK	BLACKROCK SUSTAINABLE BALANCED K	K	NO LOAD	SUBS ONLY	1600	100000000	5000000
MRBPX	BLACKROCK	BLACKROCK SUSTAINABLE BALANCED R	R	NO LOAD	REDEEM ONLY	1600	100000000	100000000
MPCKX	BLACKROCK	BLACKROCK SUSTAINABLE EM MKTS EQ K	K	NO LOAD	OPEN	1600	100000000	5000000
MAPCX	BLACKROCK	BLACKROCK SUSTAINABLE EMERGING MARKETS EQUITY FUN	INSTITUTIONAL	NO LOAD	OPEN	1600	2000000	2000000
MDPCX	BLACKROCK	BLACKROCK SUSTAINABLE EMERGING MARKETS EQUITY FUN	INVESTOR A	LOAD	OPEN	1600	1000	1000
MRPCX	BLACKROCK	BLACKROCK SUSTAINABLE EMERGING MARKETS EQUITY FUN	R	NO LOAD	REDEEM ONLY	1600	100	100
BIMBX	BLACKROCK	BLACKROCK SYSTEMATIC MULTI STRATEGY FD INST	INSTITUTIONAL	NO LOAD	OPEN	1600	2000000	2000000
BAMBX	BLACKROCK	BLACKROCK SYSTEMATIC MULTI STRATEGY INV A	INVESTOR A	LOAD	OPEN	1600	100	100
BMBCX	BLACKROCK	BLACKROCK SYSTEMATIC MULTISTRAT INV C	C	LOAD	OPEN	1600	1000	1000
BKMBX	BLACKROCK	BLACKROCK SYSTEMATIC MULTISTRAT K	K	NO LOAD	REDEEM ONLY	1600	100000000	5000000
BRBCX	BLACKROCK	BLACKROCK TACTICAL OPPORTUNITIES FUND CL C	C	LOAD	OPEN	1600	100	1000
PBAIX	BLACKROCK	BLACKROCK TACTICAL OPPORTUNITIES FUND INST	INSTITUTIONAL	NO LOAD	OPEN	1600	2000000	2000000
PCBAX	BLACKROCK	BLACKROCK TACTICAL OPPORTUNITIES INV A	INVESTOR A	LOAD	OPEN	1600	1000	1000
PBAKX	BLACKROCK	BLACKROCK TACTICAL OPPORTUNITIES K	K	NO LOAD	SUBS ONLY	1600	100000000	5000000
PCBSX	BLACKROCK	BLACKROCK TACTICAL OPPORTUNITIES SERVICE	SERVICE	NO LOAD	OPEN	1600	100	100
BGSCX	BLACKROCK	BLACKROCK TECH OPPORTUNITIES FD CL C	C	LOAD	OPEN	1600	100	1000
BGSIX	BLACKROCK	BLACKROCK TECH OPPORTUNITIES FD INST	INSTITUTIONAL	NO LOAD	OPEN	1600	2000000	2000000
BGSAX	BLACKROCK	BLACKROCK TECH OPPORTUNITIES INV A	INVESTOR A	LOAD	OPEN	1600	1000	1000
BTEKX	BLACKROCK	BLACKROCK TECHNOLOGY OPPORTUNITIES K	K	NO LOAD	REDEEM ONLY	1600	100000000	5000000
BGSRX	BLACKROCK	BLACKROCK TECHNOLOGY OPPORTUNITIES R	R	NO LOAD	REDEEM ONLY	1600	100000000	100000000

SYMBOL	FUND FAMILY	FUND DESCRIPTION	SHARE CLASS	FUND TYPE	STATUS	CUT- OFF	IRA MIN	NON-IRA MIN
BSTSX	BLACKROCK	BLACKROCK TECHNOLOGY OPPORTUNITIES SVC	SERVICE	NO LOAD	OPEN	1600	100	100
MAHQX	BLACKROCK	BLACKROCK TOTAL RETURN CL INSTL	INSTITUTIONAL	NO LOAD	OPEN	1600	2000000	2000000
MFHQX	BLACKROCK	BLACKROCK TOTAL RETURN FD CL C	C	LOAD	OPEN	1600	100	1000
MPHQX	BLACKROCK	BLACKROCK TOTAL RETURN FD CL K	K	NO LOAD	SUBS ONLY	1600	5000000	5000000
MDHQX	BLACKROCK	BLACKROCK TOTAL RETURN INV A	INVESTOR A	LOAD	OPEN	1600	1000	1000
MRCBX	BLACKROCK	BLACKROCK TOTAL RETURN R	R	NO LOAD	REDEEM ONLY	1600	100	100
MSHQX	BLACKROCK	BLACKROCK TOTAL RETURN SVC	SERVICE	NO LOAD	OPEN	1600	100	100
MAEGX	BLACKROCK	BLACKROCK UNCONSTRAINED EQTY INST	INSTITUTIONAL	NO LOAD	OPEN	1600	2000000	2000000
MDEGX	BLACKROCK	BLACKROCK UNCONSTRAINED EQTY INV A	INVESTOR A	LOAD	OPEN	1600	1000	1000
MCEGX	BLACKROCK	BLACKROCK UNCONSTRAINED EQTY INV C	INVESTOR C	LOAD	OPEN	1600	100	1000
MREGX	BLACKROCK	BLACKROCK UNCONSTRAINED EQTY R	R	NO LOAD	REDEEM ONLY	1600	100	100
MSUMX	BLACKROCK	BLACKROCK US MORTGAGE PORT INSTL	INSTITUTIONAL	NO LOAD	OPEN	1600	2000000	2000000
BMPAX	BLACKROCK	BLACKROCK US MORTGAGE PORT INV A	INVESTOR A	LOAD	OPEN	1600	1000	1000
BMPCX	BLACKROCK	BLACKROCK US MORTGAGE PORT INV C	C	LOAD	OPEN	1600	1000	1000
BMCXX	BLACKROCK	BLACKROCK WEALTH LIQUID ENVIRONMENTALLY AWARE FD	C	LOAD	OPEN	1600	100	1000
PNIXX	BLACKROCK	BLACKROCK WEALTH LIQUID ENVIRONMENTALLY AWARE INST	INSTITUTIONAL	NO LOAD	OPEN	1600	0	0
PINXX	BLACKROCK	BLACKROCK WEALTH LIQUID ENVIRONMENTALLY AWARE INV	INVESTOR A	LOAD	OPEN	1600	1000	1000
PNPXX	BLACKROCK	BLACKROCK WEALTH LIQUID ENVIRONMENTALLY AWARE SVC	SERVICE	NO LOAD	OPEN	1600	5000	5000
BIRDY	BLACKROCK	ISHARES DEVELOPED REAL ESTATE INDEX INST	INSTITUTIONAL	NO LOAD	OPEN	1600	2000000	2000000
BARDX	BLACKROCK	ISHARES DEVELOPED REAL ESTATE INDEX INV A	INVESTOR A	NO LOAD	OPEN	1600	100	100
BKRDY	BLACKROCK	ISHARES DEVELOPED REAL ESTATE INDEX K	K	NO LOAD	REDEEM ONLY	1600	5000000	5000000
BTMKX	BLACKROCK	ISHARES MSCI EAFE INTL INDEX CL K	K	NO LOAD	SUBS ONLY	1600	5000000	5000000
MAIIX	BLACKROCK	ISHARES MSCI EAFE INTL INDEX INST	INSTITUTIONAL	NO LOAD	OPEN	1600	2000000	2000000
MDIIX	BLACKROCK	ISHARES MSCI EAFE INTL INDEX INV A	INVESTOR A	NO LOAD	OPEN	1600	100	100
BDOKX	BLACKROCK	ISHARES MSCI TOTAL INTL INDEX CL K	K	NO LOAD	SUBS ONLY	1600	5000000	5000000
BDOIX	BLACKROCK	ISHARES MSCI TOTAL INTL INDEX INST	INSTITUTIONAL	NO LOAD	OPEN	1600	2000000	2000000
BDOAX	BLACKROCK	ISHARES MSCI TOTAL INTL INDEX INV A	INVESTOR A	NO LOAD	OPEN	1600	100	100
BIDIX	BLACKROCK	ISHARES MUNICIPAL BOND INDEX INSTL	INSTITUTIONAL	NO LOAD	OPEN	1600	2000000	2000000
BIDAX	BLACKROCK	ISHARES MUNICIPAL BOND INDEX INVESTOR A	INVESTOR A	NO LOAD	OPEN	1600	100	100
BRGKX	BLACKROCK	ISHARES RUSSELL 1000 LARGE CAP INDEX FD CL K	K	NO LOAD	SUBS ONLY	1600	5000000	5000000
BRGNX	BLACKROCK	ISHARES RUSSELL 1000 LARGE CAP INDEX FD INST	INSTITUTIONAL	NO LOAD	OPEN	1600	2000000	2000000
BRGAX	BLACKROCK	ISHARES RUSSELL 1000 LG CAP INDEX INV A	INVESTOR A	NO LOAD	OPEN	1600	100	100
BSMKX	BLACKROCK	ISHARES RUSSELL 2000 SM MID CAP INDEX CL K	K	NO LOAD	SUBS ONLY	1600	5000000	5000000
MASKX	BLACKROCK	ISHARES RUSSELL 2000 SMALL CAP INDEX CL I	INSTITUTIONAL	NO LOAD	OPEN	1600	2000000	2000000
BDBKX	BLACKROCK	ISHARES RUSSELL 2000 SMALL CAP INDEX CL K	K	NO LOAD	SUBS ONLY	1600	5000000	5000000
MDSKX	BLACKROCK	ISHARES RUSSELL 2000 SMALL CAP INDEX INV A	INVESTOR A	NO LOAD	OPEN	1600	100	100
BRMKX	BLACKROCK	ISHARES RUSSELL MID CAP INDEX CL K	K	NO LOAD	SUBS ONLY	1600	5000000	5000000
BRMIX	BLACKROCK	ISHARES RUSSELL MID CAP INDEX INST	INSTITUTIONAL	NO LOAD	OPEN	1600	2000000	2000000
BRMAX	BLACKROCK	ISHARES RUSSELL MID CAP INDEX INV A	INVESTOR A	NO LOAD	OPEN	1600	100	100
BSMIX	BLACKROCK	ISHARES RUSSELL SM MID CAP INDEX INST	INSTITUTIONAL	NO LOAD	OPEN	1600	2000000	2000000
BSMAX	BLACKROCK	ISHARES RUSSELL SM MID CAP INDEX INV A	INVESTOR A	NO LOAD	OPEN	1600	100	1000

SYMBOL	FUND FAMILY	FUND DESCRIPTION	SHARE CLASS	FUND TYPE	STATUS	CUT-OFF	IRA MIN	NON-IRA MIN
WFSPX	BLACKROCK	ISHARES S P 500 INDEX FD CL K	K	NO LOAD	SUBS ONLY	1600	5000000	5000000
BSPIX	BLACKROCK	ISHARES S P 500 INDEX FD INST	INSTITUTIONAL	NO LOAD	OPEN	1600	2000000	2000000
BSPAX	BLACKROCK	ISHARES S P 500 INDEX FD INV A	INVESTOR A	NO LOAD	OPEN	1600	100	100
BSPSX	BLACKROCK	ISHARES S P 500 INDEX FD SVC	SERVICE	NO LOAD	SUBS ONLY	1600	100	100
BIIPX	BLACKROCK	ISHARES SHORT TERM TIPS BD INDEX INST	INSTITUTIONAL	NO LOAD	OPEN	1600	2000000	2000000
BAIPX	BLACKROCK	ISHARES SHORTTERM TIPS BOND IDX INV A	INVESTOR A	LOAD WAIVED	OPEN	1600	100	100
BKIPX	BLACKROCK	ISHARES SHORTTERM TIPS BOND IDX K	K	NO LOAD	SUBS ONLY	1600	5000000	5000000
BKTSX	BLACKROCK	ISHARES TOTAL US STOCK MARKET INDEX FUND	K	NO LOAD	SUBS ONLY	1600	5000000	5000000
BITSX	BLACKROCK	ISHARES TOTAL US STOCK MKT INDEX INST	INSTITUTIONAL	NO LOAD	OPEN	1600	2000000	2000000
BASMX	BLACKROCK	ISHARES TOTAL US STOCK MKT INDEX INV A	INVESTOR A	NO LOAD	OPEN	1600	100	100
BMOAX	BLACKROCK	ISHARES US AGGREGATE BD INDEX INV A	INVESTOR A	NO LOAD	OPEN	1600	100	100
WFBIX	BLACKROCK	ISHARES US AGGREGATE BOND INDEX FD CL K	K	NO LOAD	SUBS ONLY	1600	5000000	5000000
BMOIX	BLACKROCK	ISHARES US AGGREGATE BOND INDEX FD INST	INSTITUTIONAL	NO LOAD	OPEN	1600	2000000	2000000
BGFDX	BLACKSTONE	BLACKSTONE FLOATING RATE ENHANCED INC D	D	NO LOAD	REDEEM ONLY	1600	100	100
BGFLX	BLACKSTONE	BLACKSTONE FLOATING RATE ENHANCED INC I	I	NO LOAD	REDEEM ONLY	1600	10000	10000
BXMDX	BLACKSTONE ALTERNATIVE	BLACKSTONE ALT MULTI STRAT FD D	D	NO LOAD	OPEN	1600	100	100
BXMIX	BLACKSTONE ALTERNATIVE	BLACKSTONE ALT MULTI STRAT FD INST	INSTITUTIONAL	NO LOAD	OPEN	1600	100000	100000
BXMYX	BLACKSTONE ALTERNATIVE	BLACKSTONE ALT MULTI STRAT FD Y	Y	NO LOAD	REDEEM ONLY	1600	0	0
IIMCX	BLUEROCK	BLUEROCK HIGH INCOME INSTITUTIONAL CDT C	C	LOAD	OPEN	1600	0	0
TIPRX	BLUEROCK	BLUEROCK TOTAL INCM & REAL ESTATE FD CL A	A	LOAD	OPEN	1600	100	100
TIPPX	BLUEROCK	BLUEROCK TOTAL INCOME REAL ESTATE C	C	LOAD	OPEN	1600	0	0
TIPWX	BLUEROCK	BLUEROCK TOTAL INCOME REAL ESTATE I	I	NO LOAD	OPEN	1600	100000	100000
TIPLX	BLUEROCK	BLUEROCK TOTAL INCOME REAL ESTATE L	L	LOAD WAIVED	OPEN	1600	1000	2500
IIMAX	BLUEROCK CREDIT	BLUEROCK HIGH INCOME INSTITUTIONAL CDT A	A	LOAD	OPEN	1600	0	0
IIMWX	BLUEROCK CREDIT	BLUEROCK HIGH INCOME INSTITUTIONAL CDT I	I	NO LOAD	OPEN	1600	0	0
BTBFX	BOSTON AND WALDEN	BOSTON TRUST ASSET MGMT	NO LOAD	NO LOAD	OPEN	1600	100	100
BTEFX	BOSTON AND WALDEN	BOSTON TRUST EQUITY	NO LOAD	NO LOAD	REDEEM ONLY	1600	0	0
BTMFX	BOSTON AND WALDEN	BOSTON TRUST MIDCAP FD	NO LOAD	NO LOAD	OPEN	1600	0	0
WIEFX	BOSTON AND WALDEN	BOSTON TRUST WALDEN INTERNATIONAL EQ	NO LOAD	NO LOAD	OPEN	1600	100	100
BOSOX	BOSTON AND WALDEN	BOSTON TRUST WALDEN SMALL CAP FD	NO LOAD	NO LOAD	SUBS ONLY	1600	100	100
WSBFX	BOSTON AND WALDEN	WALDEN BALANCED FUND	NO LOAD	NO LOAD	OPEN	1600	100	100
WSEFX	BOSTON AND WALDEN	WALDEN EQUITY FUND	NO LOAD	NO LOAD	OPEN	1600	0	0
WAMFX	BOSTON AND WALDEN	WALDEN MID CAP FD	NO LOAD	NO LOAD	OPEN	1600	0	0
WASMX	BOSTON AND WALDEN	WALDEN SMID CAP FD	NO LOAD	NO LOAD	OPEN	1600	100	100
BPAIX	BOSTON PARTNERS FUND	BOSTON PARTNERS ALL CAP VAL INSTL	INSTITUTIONAL	NO LOAD	OPEN	1600	100000	100000
BPAVX	BOSTON PARTNERS FUND	BOSTON PARTNERS ALL CAP VAL INV	INVESTOR	NO LOAD	OPEN	1600	100	100
BELSX	BOSTON PARTNERS FUND	BOSTON PARTNERS EMRG MKTS L S INST	INSTITUTIONAL	NO LOAD	OPEN	1600	100000	100000
BPGIX	BOSTON PARTNERS FUND	BOSTON PARTNERS GLBL EQTY FD INST	INSTITUTIONAL	NO LOAD	OPEN	1600	100000	100000
BGLSX	BOSTON PARTNERS FUND	BOSTON PARTNERS GLBL LONG SHORT INST	INSTITUTIONAL	NO LOAD	OPEN	1600	0	0
BGRSX	BOSTON PARTNERS FUND	BOSTON PARTNERS GLBL LONG SHORT INV	INVESTOR	NO LOAD	OPEN	1600	0	0
BPGSX	BOSTON PARTNERS FUND	BOSTON PARTNERS GLOBAL SUSTAINABLY INS	INSTITUTIONAL	NO LOAD	OPEN	1600	0	0

SYMBOL	FUND FAMILY	FUND DESCRIPTION	SHARE CLASS	FUND TYPE	STATUS	CUT- OFF	IRA MIN	NON-IRA MIN
BPLSX	BOSTON PARTNERS FUND	BOSTON PARTNERS LONG SHORT EQ INST	INSTITUTIONAL	NO LOAD	OPEN	1600	100000	100000
BPLEX	BOSTON PARTNERS FUND	BOSTON PARTNERS LONG SHORT EQ INV	INVESTOR	NO LOAD	OPEN	1600	100	100
BPIRX	BOSTON PARTNERS FUND	BOSTON PARTNERS LONG SHORT RESEARCH INST	INSTITUTIONAL	NO LOAD	OPEN	1600	0	0
BPRRX	BOSTON PARTNERS FUND	BOSTON PARTNERS LONG SHORT RESEARCH INV	INVESTOR	NO LOAD	OPEN	1600	100	100
BPSIX	BOSTON PARTNERS FUND	BOSTON PARTNERS SMALL CAP VAL II INST	INSTITUTIONAL	NO LOAD	OPEN	1600	100000	100000
BPSCX	BOSTON PARTNERS FUND	BOSTON PARTNERS SMALL CAP VAL II INV	INVESTOR	NO LOAD	OPEN	1600	100	100
WPGHX	BOSTON PARTNERS FUND	WPG PARTNERS SELECT HEDGED INSTITUTIONAL	INSTITUTIONAL	NO LOAD	OPEN	1600	0	0
WPGSX	BOSTON PARTNERS FUND	WPG PARTNERS SELECT SMALL CAP VALUE INS	INSTITUTIONAL	NO LOAD	OPEN	1600	0	0
WPGTX	BOSTON PARTNERS FUND	WPG PARTNERS SMALL MICRO CAP VAL INST	INSTITUTIONAL	NO LOAD	OPEN	1600	100000	100000
BOYAX	BOYAR	BOYAR VALUE	A	LOAD	OPEN	1600	100	100
BWDIX	BOYD WATTERSON	BOYD WATTERSON LTD DUR ENHNCD INCM I	INSTITUTIONAL	NO LOAD	OPEN	1600	0	0
BWDTX	BOYD WATTERSON	BOYD WATTERSON LTD DUR ENHNCD INCM I2	I2	NO LOAD	REDEEM ONLY	1600	10000000	10000000
BRMSX	BRAMSHILL FUNDS	BRAMSHILL INCOME PERFORMANCE FD INSTL CL	INSTITUTIONAL	NO LOAD	OPEN	1600	1000	2500
BCPAX	BRANDES FUNDS	BRANDES CORE PLUS FIXED INCOME A	A	LOAD	OPEN	1600	100	100
BEMAX	BRANDES FUNDS	BRANDES EMERGING MARKETS VALUE CL A	A	LOAD	OPEN	1600	100	100
BEMCX	BRANDES FUNDS	BRANDES EMERGING MARKETS VALUE CL C	C	LOAD	OPEN	1600	1000	2500
BEMIX	BRANDES FUNDS	BRANDES EMERGING MARKETS VALUE CL I	I	NO LOAD	OPEN	1600	0	0
BEMRX	BRANDES FUNDS	BRANDES EMERGING MARKETS VALUE CL R6	R6	NO LOAD	REDEEM ONLY	1600	0	0
BGEAX	BRANDES FUNDS	BRANDES GLOBAL EQTY CL A	A	LOAD	OPEN	1600	100	100
BGVCX	BRANDES FUNDS	BRANDES GLOBAL EQUITY C	C	LOAD	OPEN	1600	1000	2500
BCPIX	BRANDES FUNDS	BRANDES INST CORE PLUS FIXED INCM FD CL I	I	NO LOAD	OPEN	1600	0	0
BGVIX	BRANDES FUNDS	BRANDES INST GLOBAL EQTY FD CL I	I	NO LOAD	OPEN	1600	0	0
BIECX	BRANDES FUNDS	BRANDES INTERNATIONAL EQUITY C	C	LOAD	OPEN	1600	1000	2500
BINCX	BRANDES FUNDS	BRANDES INTERNATIONAL SMALL CAP EQUITY C	C	LOAD	OPEN	1600	1000	2500
BIEAX	BRANDES FUNDS	BRANDES INTL EQUITY FD A	A	LOAD	OPEN	1600	100	100
BIERX	BRANDES FUNDS	BRANDES INTL EQUITY FD CL R6	R6	NO LOAD	REDEEM ONLY	1600	0	0
BIIEX	BRANDES FUNDS	BRANDES INTL EQUITY FD I	I	NO LOAD	OPEN	1600	0	0
BISAX	BRANDES FUNDS	BRANDES INTL SMALL CAP EQTY FD CL A	A	LOAD	OPEN	1600	100	100
BISMX	BRANDES FUNDS	BRANDES INTL SMALL CAP EQTY FD CL I	I	NO LOAD	OPEN	1600	0	0
BISRX	BRANDES FUNDS	BRANDES INTL SMALL CAP EQTY FD CL R6	R6	NO LOAD	REDEEM ONLY	1600	0	0
BSCAX	BRANDES FUNDS	BRANDES SMALL CAP VALUE FD CL A	A	LOAD	OPEN	1600	100	100
BSCMX	BRANDES FUNDS	BRANDES SMALL CAP VALUE FD CL INST	I	NO LOAD	OPEN	1600	0	0
BRGIX	BRIDGES INVESTMENT FUND	PROFESSIONALLY MANAG	NO LOAD	NO LOAD	OPEN	1600	999999	999999
BRAGX	BRIDGEWAY	BRIDGEWAY AGGRESSIVE INVESTORS 1 FD CL N	N	NO LOAD	OPEN	1600	2000	2000
BRBPX	BRIDGEWAY	BRIDGEWAY MANAGED VOLATILITY FD CL N	N	NO LOAD	REDEEM ONLY	1600	2000	2000
BOSVX	BRIDGEWAY	BRIDGEWAY OMNI SM CAP VALUE FD	N	NO LOAD	OPEN	1600	0	0
BRSVX	BRIDGEWAY	BRIDGEWAY SMALLCAP VALUE CL N	N	NO LOAD	OPEN	1600	2000	2000
BRUSX	BRIDGEWAY	BRIDGEWAY ULTRA SMALL COMPANY FD CL N	N	NO LOAD	REDEEM ONLY	1600	2000	2000
BRSIX	BRIDGEWAY	BRIDGEWAY ULTRA SMALL COMPANY MARKET FD CL N	N	NO LOAD	OPEN	1600	2000	2000
BQMGX	BRIGHT ROCK	BRIGHT ROCK MID CAP GROWTH INSTL	INSTITUTIONAL	NO LOAD	OPEN	1600	999999	999999
BQLCX	BRIGHT ROCK	BRIGHT ROCK QUALITY LARGE CAP INSTL	INSTITUTIONAL	NO LOAD	OPEN	1600	999999	999999

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DCFZX	BRINKER CAPITAL DESTINATION TRUST	DESTINATIONS CORE FIXED INCOME FD Z	Z	NO LOAD	REDEEM ONLY	1600	0	0
DCFFX	BRINKER CAPITAL DESTINATION TRUST	DESTINATIONS CORE FIXED INCOME I	INSTITUTIONAL	NO LOAD	OPEN	1600	0	0
DGEZX	BRINKER CAPITAL DESTINATION TRUST	DESTINATIONS EQUITY INCOME FD Z	Z	NO LOAD	REDEEM ONLY	1600	0	0
DGEFX	BRINKER CAPITAL DESTINATION TRUST	DESTINATIONS EQUITY INCOME I	INSTITUTIONAL	NO LOAD	OPEN	1600	0	0
DGFZX	BRINKER CAPITAL DESTINATION TRUST	DESTINATIONS GLBL FIXED INCOME OPPS FD Z	Z	NO LOAD	REDEEM ONLY	1600	0	0
DGFFX	BRINKER CAPITAL DESTINATION TRUST	DESTINATIONS GLOBAL FIXED INCOME OPPS I	INSTITUTIONAL	NO LOAD	OPEN	1600	0	0
DIEFX	BRINKER CAPITAL DESTINATION TRUST	DESTINATIONS INTERNATIONAL EQUITY I	INSTITUTIONAL	NO LOAD	OPEN	1600	0	0
DIEZX	BRINKER CAPITAL DESTINATION TRUST	DESTINATIONS INTL EQUITY FD Z	Z	NO LOAD	REDEEM ONLY	1600	0	0
DLCZX	BRINKER CAPITAL DESTINATION TRUST	DESTINATIONS LARGE CAP EQUITY FD Z	Z	NO LOAD	REDEEM ONLY	1600	0	0
DLCFX	BRINKER CAPITAL DESTINATION TRUST	DESTINATIONS LARGE CAP EQUITY I	INSTITUTIONAL	NO LOAD	OPEN	1600	0	0
DLDFX	BRINKER CAPITAL DESTINATION TRUST	DESTINATIONS LOW DURATION FIXED INC I	INSTITUTIONAL	NO LOAD	OPEN	1600	0	0
DLDZX	BRINKER CAPITAL DESTINATION TRUST	DESTINATIONS LOW DURATION FIXED INCM FD Z	Z	NO LOAD	REDEEM ONLY	1600	0	0
DMSZX	BRINKER CAPITAL DESTINATION TRUST	DESTINATIONS MULTI STRAT ALTS FD Z	Z	NO LOAD	REDEEM ONLY	1600	0	0
DMSFX	BRINKER CAPITAL DESTINATION TRUST	DESTINATIONS MULTI STRATEGY ALTS I	INSTITUTIONAL	NO LOAD	OPEN	1600	0	0
DMFZX	BRINKER CAPITAL DESTINATION TRUST	DESTINATIONS MUNI FIXED INCOME FD Z	Z	NO LOAD	REDEEM ONLY	1600	0	0
DMFFX	BRINKER CAPITAL DESTINATION TRUST	DESTINATIONS MUNICIPAL FIXED INCOME I	INSTITUTIONAL	NO LOAD	OPEN	1600	0	0
DSMFX	BRINKER CAPITAL DESTINATION TRUST	DESTINATIONS SMALLMID CAP EQUITY I	INSTITUTIONAL	NO LOAD	OPEN	1600	0	0
DSMZX	BRINKER CAPITAL DESTINATION TRUST	DESTINATIONS SMID CAP EQUITY FD Z	Z	NO LOAD	REDEEM ONLY	1600	0	0
BGLAX	BROOKFIELD	BROOKFIELD GLBL LISTED INFRASTRUCTURE A	A	LOAD WAIVED	REDEEM ONLY	1600	100	100
BGLYX	BROOKFIELD	BROOKFIELD GLBL LISTED INFRASTRUCTURE I	I	NO LOAD	OPEN	1600	1000	1000
BLRAX	BROOKFIELD	BROOKFIELD GLBL LISTED REAL ESTATE A	A	LOAD WAIVED	REDEEM ONLY	1600	100	100
BLRYX	BROOKFIELD	BROOKFIELD GLBL LISTED REAL ESTATE I	I	NO LOAD	OPEN	1600	1000	1000
BGLCX	BROOKFIELD	BROOKFIELD GLOBAL LISTED INFRASTRUCTURE C	C	LOAD	OPEN	1600	1000	1000
BLRCX	BROOKFIELD	BROOKFIELD GLOBAL LISTED REAL ESTATE C	C	LOAD	OPEN	1600	1000	1000
GRSIX	BROOKFIELD	BROOKFIELD GLOBAL RNWBLS & SST INFRS I	I	NO LOAD	OPEN	1600	1000000	1000000
CCCAX	BROOKFIELD	CENTER COAST BROOKFIELD MIDSTREAM FOCUS FD CL A	A	LOAD	OPEN	1600	0	0
CCCCX	BROOKFIELD	CENTER COAST BROOKFIELD MIDSTREAM FOCUS FD CL C	C	LOAD	OPEN	1600	1000	1000
CCCNX	BROOKFIELD	CENTER COAST BROOKFIELD MIDSTREAM FOCUS FD CL Y	Y	NO LOAD	OPEN	1600	1000	1000
OEQIX	BROOKFIELD	OAKTREE EMERGING MARKETS EQUITY I	I	NO LOAD	OPEN	1600	1000000	1000000
FFBAX	BROOKMONT FUNDS	FIRST FOUNDATION FIXED INCOME A	A	LOAD	OPEN	1600	0	0
FFBYX	BROOKMONT FUNDS	FIRST FOUNDATION FIXED INCOME Y	Y	NO LOAD	OPEN	1600	0	0
FBBAX	BROOKMONT FUNDS	FIRST FOUNDATION TOTAL RETURN A	A	LOAD	OPEN	1600	100	100
FBBYX	BROOKMONT FUNDS	FIRST FOUNDATION TOTAL RETURN Y	Y	NO LOAD	OPEN	1600	0	0
BCSFX	BROWN CAPITAL	BROWN CAP MGMT INTL SM CO INST	INSTITUTIONAL	NO LOAD	OPEN	1600	2000	500000
BCSVX	BROWN CAPITAL	BROWN CAP MGMT INTL SM CO INV	INVESTOR	NO LOAD	OPEN	1600	0	0
BCSSX	BROWN CAPITAL	BROWN CAP MGMT SMALL CO FD INST CL	INSTITUTIONAL	NO LOAD	OPEN	1600	2000	500000
BCSIX	BROWN CAPITAL	BROWN CAP MGMT SMALL CO FD INV CL	INVESTOR	NO LOAD	OPEN	1600	0	0
BCISX	BROWN CAPITAL	BROWN CAPITAL MGMT INTL ALL COMPANY INST	INSTITUTIONAL	NO LOAD	OPEN	1600	2000	500000
BCIIX	BROWN CAPITAL	BROWN CAPITAL MGMT INTL ALL COMPANY INV	INVESTOR	NO LOAD	OPEN	1600	0	0
BVALX	BROWNTIA	BROWN ADVISORY BEUTEL GOODMAN LG CAP VAL FD INST	INSTITUTIONAL	NO LOAD	OPEN	1600	1000000	1000000
BAQAX	BROWNTIA	BROWN ADVISORY EMRG MKTS SELECT FD ADV	ADVISOR	NO LOAD	OPEN	1600	100	100

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BAFQX	BROWNTIA	BROWN ADVISORY EMRG MKTS SELECT FD INST	INSTITUTIONAL	NO LOAD	OPEN	1600	1000000	1000000
BIAQX	BROWNTIA	BROWN ADVISORY EMRG MKTS SELECT FD INV	INVESTOR	NO LOAD	OPEN	1600	100	100
BIAVX	BROWNTIA	BROWN ADVISORY FDS BUTEL LRG INV CL	INVESTOR	NO LOAD	OPEN	1600	100	100
BASVX	BROWNTIA	BROWN ADVISORY FDS SUSTAINABLE INST	INSTITUTIONAL	NO LOAD	OPEN	1600	0	0
BISVX	BROWNTIA	BROWN ADVISORY FDS SUSTAINABLE INVS	INVESTOR	NO LOAD	OPEN	1600	100	100
BAFAX	BROWNTIA	BROWN ADVISORY FLEX EQTY FD ADV	ADVISOR	NO LOAD	OPEN	1600	100	100
BIAFX	BROWNTIA	BROWN ADVISORY FLEX EQTY FD INV	INVESTOR	NO LOAD	OPEN	1600	100	100
BAFFX	BROWNTIA	BROWN ADVISORY FLEXIBLE EQUITY INST	INSTITUTIONAL	NO LOAD	OPEN	1600	1000000	1000000
BAFLX	BROWNTIA	BROWN ADVISORY GLOBAL LEADERS FD INST	INSTITUTIONAL	NO LOAD	OPEN	1600	1000000	1000000
BIALX	BROWNTIA	BROWN ADVISORY GLOBAL LEADERS FD INV	INVESTOR	NO LOAD	OPEN	1600	100	100
BAFGX	BROWNTIA	BROWN ADVISORY GROWTH EQTY INST	INSTITUTIONAL	NO LOAD	OPEN	1600	1000000	1000000
BAGAX	BROWNTIA	BROWN ADVISORY GROWTH EQUITY ADV	ADVISOR	NO LOAD	OPEN	1600	100	100
BIAGX	BROWNTIA	BROWN ADVISORY GROWTH EQUITY INV	INVESTOR	NO LOAD	OPEN	1600	100	100
BIAIX	BROWNTIA	BROWN ADVISORY INTER INCOME INV	INVESTOR	NO LOAD	OPEN	1600	100	100
BAIAX	BROWNTIA	BROWN ADVISORY INTERMEDIATE INCOME FD ADVS CL	ADVISOR	NO LOAD	OPEN	1600	100	100
BIAMX	BROWNTIA	BROWN ADVISORY MD BD FD INV	INVESTOR	NO LOAD	OPEN	1600	100	100
BAFMX	BROWNTIA	BROWN ADVISORY MID CAP GROWTH FD INST	INSTITUTIONAL	NO LOAD	OPEN	1600	1000000	1000000
BMIDX	BROWNTIA	BROWN ADVISORY MID CAP GROWTH FD INV	INVESTOR	NO LOAD	OPEN	1600	1000000	1000000
BAFZX	BROWNTIA	BROWN ADVISORY MORTGAGE SEC FD INST	INSTITUTIONAL	NO LOAD	OPEN	1600	1000000	1000000
BIAZX	BROWNTIA	BROWN ADVISORY MORTGAGE SEC FD INV	INVESTOR	NO LOAD	OPEN	1600	100	100
BAUAX	BROWNTIA	BROWN ADVISORY SM CAP FUNDAMENTAL VAL ADV	ADVISOR	NO LOAD	SUBS ONLY	1600	100	100
BAUUX	BROWNTIA	BROWN ADVISORY SMALL CAP FUNDAMENTAL VAL INST	INSTITUTIONAL	NO LOAD	OPEN	1600	1000000	1000000
BIAUX	BROWNTIA	BROWN ADVISORY SMALL CAP FUNDAMENTAL VAL INV	INVESTOR	NO LOAD	OPEN	1600	100	100
BASAX	BROWNTIA	BROWN ADVISORY SMALL CAP GROWTH ADV	ADVISOR	NO LOAD	OPEN	1600	100	100
BAFSX	BROWNTIA	BROWN ADVISORY SMALL CAP GROWTH FUND CL I	INSTITUTIONAL	NO LOAD	OPEN	1600	1000000	1000000
BIASX	BROWNTIA	BROWN ADVISORY SMALL CAP GROWTH INV CL	INVESTOR	NO LOAD	OPEN	1600	100	100
BAISX	BROWNTIA	BROWN ADVISORY SUSTAINABLE BOND INST	INSTITUTIONAL	NO LOAD	OPEN	1600	1000000	1000000
BASBX	BROWNTIA	BROWN ADVISORY SUSTAINABLE BOND INV	INVESTOR	NO LOAD	OPEN	1600	100	100
BAWAX	BROWNTIA	BROWN ADVISORY SUSTAINABLE GROWTH FD ADV CL	ADVISOR	NO LOAD	SUBS ONLY	1600	100	100
BAFWX	BROWNTIA	BROWN ADVISORY SUSTAINABLE GROWTH FD INST	INSTITUTIONAL	NO LOAD	OPEN	1600	1000000	1000000
BIAWX	BROWNTIA	BROWN ADVISORY SUSTAINABLE GROWTH FD INV CL	INVESTOR	NO LOAD	OPEN	1600	100	100
BAFYX	BROWNTIA	BROWN ADVISORY SUSTAINABLE SMCP CORE I	INSTITUTIONAL	NO LOAD	OPEN	1600	1000000	1000000
BIAYX	BROWNTIA	BROWN ADVISORY SUSTAINABLE SMCP CORE INV	INVESTOR	NO LOAD	OPEN	1600	100	100
BTEIX	BROWNTIA	BROWN ADVISORY TAX EXMP BD INST	INSTITUTIONAL	NO LOAD	OPEN	1600	1000000	1000000
BIAEX	BROWNTIA	BROWN ADVISORY TAX EXMP BD INV	INVESTOR	NO LOAD	OPEN	1600	100	100
BITEX	BROWNTIA	BROWN ADVISORY TAX EXMP SUSTAINABLE BD INV	INVESTOR	NO LOAD	OPEN	1600	100	100
BAFJX	BROWNTIA	BROWN ADVISORY WMC JAPAN EQTY INST	INSTITUTIONAL	NO LOAD	OPEN	1600	0	0
BIJEX	BROWNTIA	BROWN ADVISORY WMC JAPAN EQUITY FD INVESTOR CLASS	INVESTOR	NO LOAD	OPEN	1600	0	0
BAHAX	BROWNTIA	BROWN ADVISORY WMC STRAT EURO EQTY ADV	ADVISOR	NO LOAD	OPEN	1600	100	100
BAFHX	BROWNTIA	BROWN ADVISORY WMC STRAT EURO EQTY INST	INSTITUTIONAL	NO LOAD	OPEN	1600	1000000	1000000
BIAHX	BROWNTIA	BROWN ADVISORY WMC STRAT EURO EQTY INV	INVESTOR	NO LOAD	OPEN	1600	100	100

SYMBOL	FUND FAMILY	FUND DESCRIPTION	SHARE CLASS	FUND TYPE	STATUS	CUT- OFF	IRA MIN	NON-IRA MIN
BAILX	BROWNTIA	SUSTAINABLE INTL LEADERS FD INSTL SHS	INSTITUTIONAL	NO LOAD	OPEN	1600	1000000	1000000
BISLX	BROWNTIA	SUSTAINABLE INTL LEADERS FD INV SHS	INVESTOR	NO LOAD	OPEN	1600	100	100
EEQIX	BTS	BTS ENHANCED EQUITY INCOME I	I	NO LOAD	OPEN	1600	0	0
BTSIX	BTS	BTS MANAGED INCM FD I	INSTITUTIONAL	NO LOAD	OPEN	1600	100000	100000
BTFCX	BTS	BTS TACTICAL FIX INCM FD CL C	C	LOAD	OPEN	1600	1000	1000
BTFAQ	BTS	BTS TACTICAL FIXED INCM FD CL A	A	LOAD	OPEN	1600	1000	1000
BTFIX	BTS	BTS TACTICAL FIXED INCM FD CL I	INSTITUTIONAL	NO LOAD	OPEN	1600	0	0
BTRFX	BTS	BTS TACTICAL FIXED INCOME R	R	NO LOAD	REDEEM ONLY	1600	100	100
BUFTX	BUFFALO	BUFFALO DISCOVERY FD	NO LOAD	NO LOAD	OPEN	1600	100	100
BUITX	BUFFALO	BUFFALO DISCOVERY FUND INST	INSTITUTIONAL	NO LOAD	OPEN	1600	250000	250000
BUFDX	BUFFALO	BUFFALO DIV FOCUS FUND	NO LOAD	NO LOAD	OPEN	1600	100	100
BUIDX	BUFFALO	BUFFALO DIV FOCUS FUND INST	INSTITUTIONAL	NO LOAD	OPEN	1600	250000	250000
BUFOX	BUFFALO	BUFFALO EMERGING OPPORTUNITIES FD	NO LOAD	NO LOAD	OPEN	1600	100	100
BUIOX	BUFFALO	BUFFALO EMRG OPPTY FD INST	INSTITUTIONAL	NO LOAD	OPEN	1600	250000	250000
BUFBX	BUFFALO	BUFFALO FLEXIBLE INCOME FUND	NO LOAD	NO LOAD	OPEN	1600	100	100
BUIBX	BUFFALO	BUFFALO FLEXIBLE INCOME FUND INST	INSTITUTIONAL	NO LOAD	OPEN	1600	250000	250000
BUFGX	BUFFALO	BUFFALO GROWTH FUND	NO LOAD	NO LOAD	OPEN	1600	100	100
BIIGX	BUFFALO	BUFFALO GROWTH FUND INST	INSTITUTIONAL	NO LOAD	OPEN	1600	250000	250000
BUFHX	BUFFALO	BUFFALO HIGH YIELD FUND	NO LOAD	NO LOAD	OPEN	1600	100	100
BUIHX	BUFFALO	BUFFALO HIGH YIELD FUND INST	INSTITUTIONAL	NO LOAD	OPEN	1600	250000	250000
BUFIX	BUFFALO	BUFFALO INTL FD	NO LOAD	NO LOAD	OPEN	1600	100	100
BUIIX	BUFFALO	BUFFALO INTL FD INST	INSTITUTIONAL	NO LOAD	OPEN	1600	250000	250000
BUFEX	BUFFALO	BUFFALO LARGE CAP FUND	NO LOAD	NO LOAD	OPEN	1600	100	100
BUIEX	BUFFALO	BUFFALO LARGE CAP FUND INST	INSTITUTIONAL	NO LOAD	OPEN	1600	250000	250000
BUFMX	BUFFALO	BUFFALO MID CAP FUND	NO LOAD	NO LOAD	OPEN	1600	100	100
BUIMX	BUFFALO	BUFFALO MID CAP FUND INST	INSTITUTIONAL	NO LOAD	OPEN	1600	250000	250000
BUFSX	BUFFALO	BUFFALO SMALL CAP FUND	NO LOAD	NO LOAD	OPEN	1600	100	100
BUISX	BUFFALO	BUFFALO SMALL CAP FUND INST	INSTITUTIONAL	NO LOAD	OPEN	1600	250000	250000
CAPIX	CALAMOS	CALAMOS AKSIA ALTERNAT CR & IN SHS BEN INT CL I	I	NO LOAD	OPEN	1600	0	0
SRORX	CALAMOS	CALAMOS ANTETOKOUNMPO SUS EQUI CALAMOS SU CL R6	R6	NO LOAD	REDEEM ONLY	1600	0	0
SROAX	CALAMOS	CALAMOS ANTETOKOUNMPO SUS EQUI CALAMOS SUS CL A	A	LOAD	OPEN	1600	500	2500
SROIX	CALAMOS	CALAMOS ANTETOKOUNMPO SUS EQUI CALAMOS SUS CL I	I	NO LOAD	OPEN	1600	1000000	1000000
CICVX	CALAMOS	CALAMOS CONV FD CL I	I	NO LOAD	OPEN	1600	1000000	1000000
CCVIX	CALAMOS	CALAMOS CONVERTIBLE FD CL A	A	LOAD	OPEN	1600	500	2500
CCVCX	CALAMOS	CALAMOS CONVERTIBLE FUND CLASS C	C	LOAD	OPEN	1600	500	2500
CADVX	CALAMOS	CALAMOS DIV GWTH FD CL A	A	LOAD	OPEN	1600	0	0
CIDVX	CALAMOS	CALAMOS DIV GWTH FD CL I	I	NO LOAD	OPEN	1600	1000000	1000000
CCDVX	CALAMOS	CALAMOS DIVIDEND GROWTH C	C	LOAD	OPEN	1600	500	2500
CNWXG	CALAMOS	CALAMOS EVOLVING WORLD GROWTH FD CL A	A	LOAD	OPEN	1600	500	2500
CNWDX	CALAMOS	CALAMOS EVOLVING WORLD GROWTH FD CL C	C	LOAD	OPEN	1600	500	2500
CNWXI	CALAMOS	CALAMOS EVOLVING WORLD GROWTH FD CL I	I	NO LOAD	OPEN	1600	1000000	1000000

SYMBOL	FUND FAMILY	FUND DESCRIPTION	SHARE CLASS	FUND TYPE	STATUS	CUT- OFF	IRA MIN	NON-IRA MIN
CAGCX	CALAMOS	CALAMOS GLOBAL CONV FD A	A	LOAD	OPEN	1600	100	100
CXGXC	CALAMOS	CALAMOS GLOBAL CONV FD I	I	NO LOAD	OPEN	1600	1000000	1000000
CCGCX	CALAMOS	CALAMOS GLOBAL CONVERTIBLE C	C	LOAD	OPEN	1600	500	2500
CCGEX	CALAMOS	CALAMOS GLOBAL EQTY C	C	LOAD	OPEN	1600	500	2500
CAGEX	CALAMOS	CALAMOS GLOBAL EQTY FD CL A	A	LOAD	OPEN	1600	500	2500
CIGEX	CALAMOS	CALAMOS GLOBAL EQTY FD CL I	I	NO LOAD	OPEN	1600	1000000	1000000
CGEOX	CALAMOS	CALAMOS GLOBAL EQUITY R6	R6	NO LOAD	REDEEM ONLY	1600	100000	100000
CGCIX	CALAMOS	CALAMOS GLOBAL GROWTH & INCM CL I	I	NO LOAD	OPEN	1600	1000000	1000000
CVLOX	CALAMOS	CALAMOS GLOBAL GROWTH & INCOME FD CL A	A	LOAD	OPEN	1600	500	2500
CVLCX	CALAMOS	CALAMOS GLOBAL GROWTH & INCOME FUND CLASS C	C	LOAD	OPEN	1600	500	2500
CVTRX	CALAMOS	CALAMOS GROWTH & INCOME FUND CL A	A	LOAD	OPEN	1600	500	2500
CVTCX	CALAMOS	CALAMOS GROWTH & INCOME FUND CLASS C	C	LOAD	OPEN	1600	500	2500
CGIIX	CALAMOS	CALAMOS GROWTH AND INCM FD CL I	I	NO LOAD	OPEN	1600	1000000	1000000
CGIOX	CALAMOS	CALAMOS GROWTH AND INCOME R6	R6	NO LOAD	REDEEM ONLY	1600	100000	100000
CVGRX	CALAMOS	CALAMOS GROWTH FD CL A	A	LOAD	OPEN	1600	500	2500
CGRIX	CALAMOS	CALAMOS GROWTH FUND CL I	I	NO LOAD	OPEN	1600	1000000	1000000
CVGCX	CALAMOS	CALAMOS GROWTH FUND CLASS C	C	LOAD	OPEN	1600	500	2500
CCHEX	CALAMOS	CALAMOS HEDGED EQTY FD C	C	LOAD	OPEN	1600	500	2500
CAHEX	CALAMOS	CALAMOS HEDGED EQTY INCM FD A	A	LOAD	OPEN	1600	100	100
CIHEX	CALAMOS	CALAMOS HEDGED EQTY INCM FD I	I	NO LOAD	OPEN	1600	1000000	1000000
CHYDX	CALAMOS	CALAMOS HIGH INCM OPPTY FD CL A	A	LOAD	OPEN	1600	500	2500
CCHYX	CALAMOS	CALAMOS HIGH INCM OPPTY FD CL C	C	LOAD	OPEN	1600	500	2500
CIHYX	CALAMOS	CALAMOS HIGH INCM OPPTY FD CL I	I	NO LOAD	OPEN	1600	1000000	1000000
CIGRX	CALAMOS	CALAMOS INTERNATIONAL GROWTH FUND CL A	A	LOAD	OPEN	1600	500	2500
CIGCX	CALAMOS	CALAMOS INTERNATIONAL GROWTH FUND CLASS C	C	LOAD	OPEN	1600	500	2500
CAISX	CALAMOS	CALAMOS INTERNATIONAL SMALL CAP GR A	A	LOAD	OPEN	1600	0	0
CSGIX	CALAMOS	CALAMOS INTERNATIONAL SMALL CAP GR I	I	NO LOAD	OPEN	1600	1000000	1000000
CISOX	CALAMOS	CALAMOS INTERNATIONAL SMALL CAP GR R6	R6	NO LOAD	REDEEM ONLY	1600	0	0
CIGOX	CALAMOS	CALAMOS INTL GROWTH FD CL R6	R6	NO LOAD	REDEEM ONLY	1600	0	0
CIGIX	CALAMOS	CALAMOS INTL GROWTH I	I	NO LOAD	OPEN	1600	1000000	1000000
CVSCX	CALAMOS	CALAMOS MARKET NEUTRAL FUND CLASS C	C	LOAD	OPEN	1600	500	2500
CVSIX	CALAMOS	CALAMOS MARKET NEUTRAL INCOME FD CL A	A	LOAD	OPEN	1600	500	2500
CMNIX	CALAMOS	CALAMOS MARKET NEUTRAL INCOME FUND CLASS I	I	NO LOAD	OPEN	1600	1000000	1000000
CVSOX	CALAMOS	CALAMOS MARKET NEUTRAL INCOME R6	R6	NO LOAD	REDEEM ONLY	1600	100000	100000
CMRAX	CALAMOS	CALAMOS MERGER ARBITRAGE FD CL A	A	LOAD	OPEN	1600	0	0
CMRGX	CALAMOS	CALAMOS MERGER ARBITRAGE FD CL I	I	NO LOAD	OPEN	1600	1000000	1000000
CPLSX	CALAMOS	CALAMOS PHINEUS LONG SHORT FD A	A	LOAD	OPEN	1600	500	2500
CPCLX	CALAMOS	CALAMOS PHINEUS LONG SHORT FD C	C	LOAD	OPEN	1600	500	2500
CPLIX	CALAMOS	CALAMOS PHINEUS LONG SHORT FD INST	I	NO LOAD	OPEN	1600	1000000	1000000
CVAAX	CALAMOS	CALAMOS SELECT FD CL A	A	LOAD	OPEN	1600	500	2500
CVACX	CALAMOS	CALAMOS SELECT FD CL C	C	LOAD	OPEN	1600	500	2500

SYMBOL	FUND FAMILY	FUND DESCRIPTION	SHARE CLASS	FUND TYPE	STATUS	CUT- OFF	IRA MIN	NON-IRA MIN
CVAIX	CALAMOS	CALAMOS SELECT FD CL I	I	NO LOAD	OPEN	1600	1000000	1000000
CSTBX	CALAMOS	CALAMOS SHORT TERM BD FD A	A	LOAD	OPEN	1600	0	0
CSTIX	CALAMOS	CALAMOS SHORT TERM BD FD I	I	NO LOAD	OPEN	1600	1000000	1000000
CTCSX	CALAMOS	CALAMOS TIMPANI SMALL CAP GROWTH C	C	LOAD	OPEN	1600	500	2500
CTASX	CALAMOS	CALAMOS TIMPANI SMALL CAP GROWTH FD CL A	A	LOAD	OPEN	1600	500	2500
CTSIX	CALAMOS	CALAMOS TIMPANI SMALL CAP GROWTH FD CL I	I	NO LOAD	OPEN	1600	1000000	1000000
CTSOX	CALAMOS	CALAMOS TIMPANI SMALL CAP GWTH R6	R6	NO LOAD	REDEEM ONLY	1600	0	0
CTAGX	CALAMOS	CALAMOS TIMPANI SMID GWTH A	A	LOAD	OPEN	1600	0	0
CTIGX	CALAMOS	CALAMOS TIMPANI SMID GWTH I	I	NO LOAD	OPEN	1600	1000000	1000000
CTOGX	CALAMOS	CALAMOS TIMPANI SMID GWTH R6	R6	NO LOAD	REDEEM ONLY	1600	0	0
CTRCX	CALAMOS	CALAMOS TOTAL RETURN BD C	C	LOAD	OPEN	1600	500	2500
CTRAX	CALAMOS	CALAMOS TOTAL RETURN BD FD CL A	A	LOAD	OPEN	1600	500	2500
CTRIX	CALAMOS	CALAMOS TOTAL RETURN BD I	I	NO LOAD	OPEN	1600	1000000	1000000
COAGX	CALDWELL	CALDWELL & ORKIN GATOR CAPITAL LONG SHORT FD	NO LOAD	NO LOAD	OPEN	1600	5000	5000
CSIFX	CALVERT	CALVERT BALANCED FUND CLASS A	A	LOAD	OPEN	1600	1000	2000
CSGCX	CALVERT	CALVERT BALANCED FUND CLASS C	C	LOAD	OPEN	1600	0	1000
CBAIX	CALVERT	CALVERT BALANCED FUND CLASS I	I	NO LOAD	OPEN	1600	0	0
CBARX	CALVERT	CALVERT BALANCED R6	R6	NO LOAD	REDEEM ONLY	1600	5000000	5000000
CSIBX	CALVERT	CALVERT BOND FUND CLASS A	A	LOAD	OPEN	1600	1000	2000
CSBCX	CALVERT	CALVERT BOND FUND CLASS C	C	LOAD	OPEN	1600	0	1000
CBDIX	CALVERT	CALVERT BOND FUND CLASS I	I	NO LOAD	OPEN	1600	0	0
CBORX	CALVERT	CALVERT BOND R6	R6	NO LOAD	REDEEM ONLY	1600	1000000	1000000
CFAIX	CALVERT	CALVERT CONSERV ALLOC FD CL I	I	NO LOAD	OPEN	1600	0	0
CCLAX	CALVERT	CALVERT CONSERVATIVE ALLOCATION FD CL A	A	LOAD	OPEN	1600	1000	2000
CALCX	CALVERT	CALVERT CONSERVATIVEALLOC C	C	LOAD	OPEN	1600	0	2000
CEFAX	CALVERT	CALVERT EMERGING MARKETS ADVANCEMENT A	A	LOAD	OPEN	1600	100	100
CEFIX	CALVERT	CALVERT EMERGING MARKETS ADVANCEMENT I	I	NO LOAD	OPEN	1600	250000	250000
CVMCX	CALVERT	CALVERT EMERGING MARKETS EQUITY C	C	LOAD	OPEN	1600	1000	1000
CVMAX	CALVERT	CALVERT EMRG MKTS EQTY A	A	LOAD	OPEN	1600	1000	2000
CVMIX	CALVERT	CALVERT EMRG MKTS EQTY I	I	NO LOAD	OPEN	1600	0	0
CVMRX	CALVERT	CALVERT EMRG MKTS EQTY R6	R6	NO LOAD	REDEEM ONLY	1600	1000000	1000000
CSIEX	CALVERT	CALVERT EQUITY FUND CLASS A	A	LOAD	OPEN	1600	1000	2000
CSECX	CALVERT	CALVERT EQUITY FUND CLASS C	C	LOAD	OPEN	1600	0	1000
CEYIX	CALVERT	CALVERT EQUITY FUND CLASS I	I	NO LOAD	OPEN	1600	0	0
CEYRX	CALVERT	CALVERT EQUITY R6	R6	NO LOAD	REDEEM ONLY	1600	1000000	1000000
CUBAX	CALVERT	CALVERT FLEXIBLE BOND FD CL A	A	LOAD	OPEN	1600	100	100
CUBIX	CALVERT	CALVERT FLEXIBLE BOND FD CL A	I	NO LOAD	OPEN	1600	0	0
CUBCX	CALVERT	CALVERT FLEXIBLE BOND FUND CLASS C	C	LOAD	OPEN	1600	1000	1000
CFOAX	CALVERT	CALVERT FLOATING RATE ADVANTAGE FD A	A	LOAD	OPEN	1600	100	100
CFOIX	CALVERT	CALVERT FLOATING RATE ADVANTAGE FD I	I	NO LOAD	OPEN	1600	0	0
CAEIX	CALVERT	CALVERT GLOBAL ALTERNATIVE ENERGY I	I	NO LOAD	OPEN	1600	0	0

SYMBOL	FUND FAMILY	FUND DESCRIPTION	SHARE CLASS	FUND TYPE	STATUS	CUT-OFF	IRA MIN	NON-IRA MIN
CGAEX	CALVERT	CALVERT GLOBAL ENERGY SOLUTIONS A	A	LOAD	OPEN	1600	1000	2000
CGACX	CALVERT	CALVERT GLOBAL ENERGY SOLUTIONS C	C	LOAD	OPEN	1600	1000	2000
CFWAX	CALVERT	CALVERT GLOBAL WATER FD CL A	A	LOAD	OPEN	1600	1000	2000
CFWCX	CALVERT	CALVERT GLOBAL WATER FD CL C	C	LOAD	OPEN	1600	1000	2000
CFWIX	CALVERT	CALVERT GLOBAL WATER FD CL I	I	NO LOAD	OPEN	1600	0	0
CGAFX	CALVERT	CALVERT GREEN BD FD A	A	LOAD	OPEN	1600	100	100
CGBIX	CALVERT	CALVERT GREEN BD FD I	I	NO LOAD	OPEN	1600	0	0
CBGRX	CALVERT	CALVERT GREEN BD FD R6	R6	NO LOAD	REDEEM ONLY	1600	10000000	1000000
CAAAX	CALVERT	CALVERT GROWTH ALLOCATION FD CL A	A	LOAD	OPEN	1600	1000	2000
CAACX	CALVERT	CALVERT GROWTH ALLOCATION FD CL C	C	LOAD	OPEN	1600	0	2000
CAGIX	CALVERT	CALVERT GROWTH ALLOCATION FD CL I	I	NO LOAD	OPEN	1600	0	0
CYBAX	CALVERT	CALVERT HIGH YIELD BD FD CL A	A	LOAD	OPEN	1600	1000	2000
CYBIX	CALVERT	CALVERT HIGH YIELD BD FD CL I	I	NO LOAD	OPEN	1600	0	0
CHBCX	CALVERT	CALVERT HIGH YIELD BOND FD CL C	C	LOAD	OPEN	1600	1000	1000
CIFCX	CALVERT	CALVERT INCM C	C	LOAD	OPEN	1600	0	2000
CINCX	CALVERT	CALVERT INCOME CL I	I	NO LOAD	OPEN	1600	0	0
CFICX	CALVERT	CALVERT INCOME FUND CL A	A	LOAD	OPEN	1600	1000	2000
CIESX	CALVERT	CALVERT INTERNATIONAL EQUITY R6	R6	NO LOAD	REDEEM ONLY	1600	1000000	1000000
CDHRX	CALVERT	CALVERT INTERNATIONAL RESPONSIBLE IDX R6	A	NO LOAD	REDEEM ONLY	1600	1000000	1000000
CDHAX	CALVERT	CALVERT INTERNATIONAL RESPONSIBLE INDEX FUND CLASS	A	LOAD	OPEN	1600	100	100
CDHIX	CALVERT	CALVERT INTERNATIONAL RESPONSIBLE INDEX FUND CLASS	I	NO LOAD	OPEN	1600	0	0
CWVGX	CALVERT	CALVERT INTL EQ FD CL A	A	LOAD	OPEN	1600	1000	2000
CWVCX	CALVERT	CALVERT INTL EQUITY FD CL C	C	LOAD	OPEN	1600	0	2000
CWVIX	CALVERT	CALVERT INTL EQUITY FD CL I	I	NO LOAD	OPEN	1600	0	0
COIIX	CALVERT	CALVERT INTL OPP I	I	NO LOAD	OPEN	1600	0	0
CIOAX	CALVERT	CALVERT INTL OPPTY FD A	A	LOAD	OPEN	1600	1000	2000
COICX	CALVERT	CALVERT INTL OPPTY FD C	C	LOAD	OPEN	1600	1000	2000
CLDAX	CALVERT	CALVERT LONG TERM INCOME FUND CL A	A	LOAD	OPEN	1600	1000	2000
CLDIX	CALVERT	CALVERT LONG TERM INCOME FUND CL I	I	NO LOAD	OPEN	1600	0	0
CSMAX	CALVERT	CALVERT MGMT SER	A	LOAD	OPEN	1600	100	100
CGLAX	CALVERT	CALVERT MGMT SER	A	LOAD	OPEN	1600	0	0
CSPIX	CALVERT	CALVERT MGMT SER	I	NO LOAD	OPEN	1600	5000000	5000000
CGLIX	CALVERT	CALVERT MGMT SER	I	NO LOAD	OPEN	1600	5000000	5000000
CSQCX	CALVERT	CALVERT MGMT SER GLOBAL CL C	C	LOAD	OPEN	1600	1000	1000
CCAFX	CALVERT	CALVERT MIDCAP FUND CLASS A	A	LOAD	OPEN	1600	1000	2000
CCACX	CALVERT	CALVERT MIDCAP FUND CLASS C	C	LOAD	OPEN	1600	0	2000
CCPIX	CALVERT	CALVERT MIDCAP FUND CLASS I	I	NO LOAD	OPEN	1600	0	0
CMACX	CALVERT	CALVERT MODERATE ALLOC C	C	LOAD	OPEN	1600	0	2000
CLAIX	CALVERT	CALVERT MODERATE ALLOC FD CL I	I	NO LOAD	OPEN	1600	0	0
CMAAX	CALVERT	CALVERT MODERATE ALLOCATION FD CL A	A	LOAD	OPEN	1600	1000	2000
CMMAX	CALVERT	CALVERT MORTGAGE ACCESS A	A	LOAD	OPEN	1600	1000	1000

SYMBOL	FUND FAMILY	FUND DESCRIPTION	SHARE CLASS	FUND TYPE	STATUS	CUT- OFF	IRA MIN	NON-IRA MIN
CMMIX	CALVERT	CALVERT MORTGAGE ACCESS I	I	NO LOAD	OPEN	1600	1000000	1000000
CTTIX	CALVERT	CALVERT RESPONSIBLE MUNI INCOME FD I	I	NO LOAD	OPEN	1600	0	0
CTTCX	CALVERT	CALVERT RESPONSIBLE MUNICIPAL INCOME C	C	LOAD	OPEN	1600	1000	1000
CTTLX	CALVERT	CALVERT RESPONSIBLE MUNICIPAL INCOME FUND CLASS A	A	LOAD	OPEN	1600	1000	2000
CDSIX	CALVERT	CALVERT SHORT DURATION INCM I	I	NO LOAD	OPEN	1600	0	0
CSDAX	CALVERT	CALVERT SHORT DURATION INCOME FUND	A	LOAD	OPEN	1600	1000	2000
CDSRX	CALVERT	CALVERT SHORT DURATION INCOME R6	R6	NO LOAD	REDEEM ONLY	1600	5000000	5000000
CDICX	CALVERT	CALVERT SHORT DURATIONINCM C	C	LOAD	OPEN	1600	0	2000
CCVAX	CALVERT	CALVERT SMALL CAP FD A	A	LOAD	OPEN	1600	1000	2000
CSCCX	CALVERT	CALVERT SMALL CAP FD C	C	LOAD	OPEN	1600	1000	2000
CSVIX	CALVERT	CALVERT SMALL CAP FD I	I	NO LOAD	OPEN	1600	0	0
CALRX	CALVERT	CALVERT SMALLCAP R6	R6	NO LOAD	REDEEM ONLY	1600	5000000	5000000
CULRX	CALVERT	CALVERT ULTRA SHORT DURATION INCM R6	R6	NO LOAD	REDEEM ONLY	1600	1000000	1000000
CULAX	CALVERT	CALVERT ULTRASHORT DURATION INCOME FUND CLASS A	A	LOAD	OPEN	1600	1000	2000
CULIX	CALVERT	CALVERT ULTRASHORT DURATION INCOME FUND CLASS I	I	NO LOAD	OPEN	1600	0	0
CSXAX	CALVERT	CALVERT US LRG CAP CORE RESPONSIBLE INDX A	A	LOAD	OPEN	1600	1000	2000
CSXCX	CALVERT	CALVERT US LRG CAP CORE RESPONSIBLE INDX C	C	LOAD	OPEN	1600	2000	5000
CISIX	CALVERT	CALVERT US LRG CAP CORE RESPONSIBLE INDX I	I	NO LOAD	OPEN	1600	0	0
CSXRX	CALVERT	CALVERT US LRG CAP CORE RESPONSIBLE INDX R6	R6	NO LOAD	REDEEM ONLY	1600	1000000	1000000
CGJAX	CALVERT	CALVERT US LRG CAP GWTH RESPONSIBLE INDX A	A	LOAD	OPEN	1600	100	100
CGJIX	CALVERT	CALVERT US LRG CAP GWTH RESPONSIBLE INDX I	I	NO LOAD	OPEN	1600	0	0
CFJAX	CALVERT	CALVERT US LRG CAP VAL RESPONSIBLE INDX A	A	LOAD	OPEN	1600	100	100
CFJIX	CALVERT	CALVERT US LRG CAP VAL RESPONSIBLE INDX I	I	NO LOAD	OPEN	1600	0	0
CMJAX	CALVERT	CALVERT US MID CAP CORE RESPONSIBLE INDX A	A	LOAD	OPEN	1600	100	100
CMJIX	CALVERT	CALVERT US MID CAP CORE RESPONSIBLE INDX I	I	NO LOAD	OPEN	1600	0	0
CMPAX	CALVERT	SMALLMID CAP FD CL A	A	LOAD	OPEN	1600	1000	1000
CMPCX	CALVERT	SMALLMID CAP FD CL C	C	LOAD	OPEN	1600	1000	1000
CMCIX	CALVERT	SMALLMID CAP FD CL I	I	NO LOAD	OPEN	1600	5000000	5000000
CAMIX	CAMBIAR FUNDS	CAMBIAR INTERNATIONAL EQUITY FD INV CL	INVESTOR	NO LOAD	OPEN	1600	0	0
CAMYX	CAMBIAR FUNDS	CAMBIAR INTL EQTY FD INST	INSTITUTIONAL	NO LOAD	OPEN	1600	0	0
CAMOX	CAMBIAR FUNDS	CAMBIAR OPPORTUNITY FUND INV CL	INVESTOR	NO LOAD	OPEN	1600	0	0
CAMWX	CAMBIAR FUNDS	CAMBIAR OPPORTUNITY INSTL CL	INSTITUTIONAL	NO LOAD	OPEN	1600	0	0
CAMZX	CAMBIAR FUNDS	CAMBIAR SMALL CAP FD INSTL	INSTITUTIONAL	NO LOAD	OPEN	1600	0	0
CAMSX	CAMBIAR FUNDS	CAMBIAR SMALL CAP FD INV	INVESTOR	NO LOAD	OPEN	1600	0	0
CAMUX	CAMBIAR FUNDS	CAMBIAR SMID FD INST	INSTITUTIONAL	NO LOAD	OPEN	1600	0	0
CAMMX	CAMBIAR FUNDS	CAMBIAR SMID FD INV	INVESTOR	NO LOAD	OPEN	1600	0	0
EVDAX	CAMELOT	CAMELOT EVENT DRIVEN A	A	LOAD	OPEN	1600	100	100
EVDIX	CAMELOT	CAMELOT EVENT DRIVEN INST	INSTITUTIONAL	NO LOAD	OPEN	1600	0	0
EBSAX	CAMPBELL FUNDS	CAMPBELL SYSTEMATIC MACRO FD	A	LOAD	OPEN	1600	1000	2500
EBSCX	CAMPBELL FUNDS	CAMPBELL SYSTEMATIC MACRO FD	C	LOAD	OPEN	1600	2500	2500
EBSIX	CAMPBELL FUNDS	CAMPBELL SYSTEMATIC MACRO FD	I	NO LOAD	OPEN	1600	1000	1000

SYMBOL	FUND FAMILY	FUND DESCRIPTION	SHARE CLASS	FUND TYPE	STATUS	CUT- OFF	IRA MIN	NON-IRA MIN
CAPTX	CANTERBURY FUNDS	CANTERBURY PORTFOLIO THERMOSTAT I	INSTITUTIONAL	NO LOAD	OPEN	1600	5000	5000
CAFIX	CANTOR	CANTOR FITZGERALD INFRASTRUCTURE A	BENEFICIAL INTER	LOAD WAIVED	OPEN	1600	100	100
CFIIX	CANTOR	CANTOR FITZGERALD INFRASTRUCTURE I	I	NO LOAD	OPEN	1600	0	0
CFISX	CANTOR	CANTOR FITZGERALD INFRASTRUCTURE S	SHARES OF BENE	NO LOAD	REDEEM ONLY	1600	10000000	10000000
CFIKX	CANTOR	CANTOR FITZGERALD INTERNATIONAL EQ INST	INSTITUTIONAL	NO LOAD	OPEN	1600	0	0
FICGX	CANTOR	CANTOR FITZGERALD LARGE CAP FOCUSED	A	LOAD	OPEN	1600	250	1000
FICHX	CANTOR	CANTOR FITZGERALD LARGE CAP FOCUSED	INSTITUTIONAL	NO LOAD	OPEN	1600	0	0
FICIX	CANTOR	CANTOR FITZGERALD LARGE CAP FOCUSED	R6	NO LOAD	REDEEM ONLY	1600	0	0
FBPEX	CANTOR	CANTOR SELECT PORTFO	INSTITUTIONAL	NO LOAD	OPEN	1600	0	0
CIAOX	CAPITAL ADVISORS	CAPITAL ADVISORS GROWTH FD INV	INVESTOR	NO LOAD	OPEN	1600	0	0
BERIX	CARILLON FUNDS	CARILLON CHARTWELL INCOME	NO LOAD	NO LOAD	OPEN	1600	0	0
BERCX	CARILLON FUNDS	CARILLON CHARTWELL MID CAP VALUE	NO LOAD	NO LOAD	OPEN	1600	0	0
BERGX	CARILLON FUNDS	CARILLON CHARTWELL REAL INCOME A	A	NO LOAD	OPEN	1600	100	100
CWFAX	CARILLON FUNDS	CARILLON CHARTWELL SHORT DUR HI YLD A	A	LOAD	OPEN	1600	100	100
CWFIX	CARILLON FUNDS	CARILLON CHARTWELL SHORT DURATION HIGH	NO LOAD	NO LOAD	OPEN	1600	0	0
CWSGX	CARILLON FUNDS	CARILLON CHARTWELL SMALL CAP GROWTH	NO LOAD	NO LOAD	OPEN	1600	1000	1000
CWSIX	CARILLON FUNDS	CARILLON CHARTWELL SMALL CAP VALUE	NO LOAD	NO LOAD	OPEN	1600	0	0
HRCPX	CARILLON FUNDS	CARILLON CLARIVEST CAP APPR FD CL A	A	LOAD	OPEN	1600	500	1000
HRCCX	CARILLON FUNDS	CARILLON CLARIVEST CAP APPR FD CL C	C	LOAD	OPEN	1600	500	1000
HRCIX	CARILLON FUNDS	CARILLON CLARIVEST CAP APPR FD CL I	I	NO LOAD	OPEN	1600	0	0
EISAX	CARILLON FUNDS	CARILLON CLARIVEST INTL STK FD CL A	A	LOAD	OPEN	1600	500	1000
EISIX	CARILLON FUNDS	CARILLON CLARIVEST INTL STK FD CL I	I	NO LOAD	OPEN	1600	0	0
EISDX	CARILLON FUNDS	CARILLON CLARIVEST INTL STOCK C	C	LOAD	OPEN	1600	500	1000
EISVX	CARILLON FUNDS	CARILLON CLARIVEST INTL STOCK R6	R6	NO LOAD	REDEEM ONLY	1600	0	0
HAGAX	CARILLON FUNDS	CARILLON EAGLE MID CAP GROWTH FD CL A	A	LOAD	OPEN	1600	500	1000
HAGCX	CARILLON FUNDS	CARILLON EAGLE MID CAP GROWTH FD CL C	C	LOAD	OPEN	1600	500	1000
HAGIX	CARILLON FUNDS	CARILLON EAGLE MID CAP GROWTH FD CL I	I	NO LOAD	OPEN	1600	0	0
HRAUX	CARILLON FUNDS	CARILLON EAGLE MID CAP GROWTH FD CL R6	R6	NO LOAD	REDEEM ONLY	1600	0	0
HRSCX	CARILLON FUNDS	CARILLON EAGLE SMALL CAP GROWTH FD CL A	A	LOAD	OPEN	1600	500	1000
HSCCX	CARILLON FUNDS	CARILLON EAGLE SMALL CAP GROWTH FD CL C	C	LOAD	OPEN	1600	500	1000
HSIIX	CARILLON FUNDS	CARILLON EAGLE SMALL CAP GROWTH FD CL I	I	NO LOAD	OPEN	1600	0	0
HSRUX	CARILLON FUNDS	CARILLON EAGLE SMALL CAP GROWTH FD CL R6	R6	NO LOAD	REDEEM ONLY	1600	0	0
HRCVX	CARILLON FUNDS	CARILLON GROWTH AND INCM FD CL A	A	LOAD	OPEN	1600	500	1000
HIGCX	CARILLON FUNDS	CARILLON GROWTH AND INCM FD CL C	C	LOAD	OPEN	1600	500	1000
HIGJX	CARILLON FUNDS	CARILLON GROWTH AND INCM FD CL I	I	NO LOAD	OPEN	1600	0	0
SCCIX	CARILLON FUNDS	CARILLON REAMS CORE BD FD CL I	I	NO LOAD	OPEN	1600	100000	100000
CRCBX	CARILLON FUNDS	CARILLON REAMS CORE BOND A	A	LOAD	OPEN	1600	500	1000
CRCDX	CARILLON FUNDS	CARILLON REAMS CORE BOND C	C	LOAD	OPEN	1600	500	1000
SCPZX	CARILLON FUNDS	CARILLON REAMS CORE PLUS BD FD CL I	I	NO LOAD	OPEN	1600	100000	100000
SCPDX	CARILLON FUNDS	CARILLON REAMS CORE PLUS BOND A	A	LOAD	OPEN	1600	100	100
SUBTX	CARILLON FUNDS	CARILLON REAMS UNCONSTRAINED BD R6	R6	NO LOAD	REDEEM ONLY	1600	0	0

SYMBOL	FUND FAMILY	FUND DESCRIPTION	SHARE CLASS	FUND TYPE	STATUS	CUT- OFF	IRA MIN	NON-IRA MIN
SUBDX	CARILLON FUNDS	CARILLON REAMS UNCONSTRAINED BOND A	A	LOAD	OPEN	1600	100	100
SUBFX	CARILLON FUNDS	CARILLON REAMS UNCONSTRAINED BOND FD CL I	I	NO LOAD	OPEN	1600	250	1000
CSMEX	CARILLON FUNDS	CARILLON SCOUT MID CAP A	A	LOAD	OPEN	1600	0	0
CSMFX	CARILLON FUNDS	CARILLON SCOUT MID CAP C	C	LOAD	OPEN	1600	500	1000
UMBXM	CARILLON FUNDS	CARILLON SCOUT MID CAP FD CL I	I	NO LOAD	OPEN	1600	100	100
CSMUX	CARILLON FUNDS	CARILLON SCOUT MID CAP FD R6	R6	NO LOAD	REDEEM ONLY	1600	0	0
CSSAX	CARILLON FUNDS	CARILLON SCOUT SMALL CAP FD A	A	LOAD	OPEN	1600	500	1000
CSSJX	CARILLON FUNDS	CARILLON SCOUT SMALL CAP FD C	C	LOAD	OPEN	1600	500	1000
UMBHX	CARILLON FUNDS	CARILLON SCOUT SMALL CAP FD CL I	I	NO LOAD	OPEN	1600	100	100
CSSVX	CARILLON FUNDS	CARILLON SCOUT SMALL CAP FD R6	R6	NO LOAD	REDEEM ONLY	1600	0	0
TAKNX	CARLYLE	CARLYLE TACTICAL PRIVATE CREDIT N	N	NO LOAD	OPEN	1600	0	0
SEKSX	CARRET	CARRET KANSAS TAXEXEMPT BOND FD INSTITUTIONAL	INSTITUTIONAL	NO LOAD	OPEN	1600	0	0
CPEAX	CATALYST FUNDS	CATALYST DYNAMIC ALPHA CL A	A	LOAD	OPEN	1600	2500	2500
CPECX	CATALYST FUNDS	CATALYST DYNAMIC ALPHA CL C	C	LOAD	OPEN	1600	2500	2500
CPEIX	CATALYST FUNDS	CATALYST DYNAMIC ALPHA CL I	INSTITUTIONAL	NO LOAD	OPEN	1600	2500	2500
MLXAX	CATALYST FUNDS	CATALYST ENERGY INFRASTRUCTURE FD CL A	A	LOAD	OPEN	1600	2500	2500
MLXIX	CATALYST FUNDS	CATALYST ENERGY INFRASTRUCTURE FD INST	INSTITUTIONAL	NO LOAD	OPEN	1600	2500	2500
EIXAX	CATALYST FUNDS	CATALYST ENHANCED INCM STRAT A	A	LOAD	OPEN	1600	2500	2500
EIXIX	CATALYST FUNDS	CATALYST ENHANCED INCM STRAT I	INSTITUTIONAL	NO LOAD	OPEN	1600	2500	2500
EIXCX	CATALYST FUNDS	CATALYST ENHANCED INCOME STRATEGY C	C	LOAD	OPEN	1600	2500	2500
SHINX	CATALYST FUNDS	CATALYST EXCEED BUFFERED SHIELD FD C	C	LOAD	OPEN	1600	2500	2500
SHIEX	CATALYST FUNDS	CATALYST EXCEED BUFFERED SHIELD FUND A	A	LOAD	OPEN	1600	2500	2500
SHIIX	CATALYST FUNDS	CATALYST EXCEED BUFFERED SHIELD FUND I	INSTITUTIONAL	NO LOAD	OPEN	1600	2500	2500
CFRIX	CATALYST FUNDS	CATALYST FLOATING RATE INCM FD CL I	INSTITUTIONAL	NO LOAD	OPEN	1600	2500	2500
CFRAX	CATALYST FUNDS	CATALYST FLTG RATE INCM FD A	A	LOAD	OPEN	1600	2500	2500
DCXAX	CATALYST FUNDS	CATALYST HEDGED CMDTY STRAT FD A	A	LOAD	OPEN	1600	2500	2500
DCXCX	CATALYST FUNDS	CATALYST HEDGED CMDTY STRAT FD C	C	LOAD	OPEN	1600	2500	2500
DCXIX	CATALYST FUNDS	CATALYST HEDGED CMDTY STRAT FD I	INSTITUTIONAL	NO LOAD	OPEN	1600	2500	2500
INSCX	CATALYST FUNDS	CATALYST INSIDER BUYING C	C	LOAD	OPEN	1600	2500	2500
INSAX	CATALYST FUNDS	CATALYST INSIDER BUYING FD A	A	LOAD	OPEN	1600	2500	2500
INSIX	CATALYST FUNDS	CATALYST INSIDER BUYING FD I	INSTITUTIONAL	NO LOAD	OPEN	1600	2500	2500
IIXAX	CATALYST FUNDS	CATALYST INSIDER INCOME A	A	LOAD	OPEN	1600	2500	2500
IIXCX	CATALYST FUNDS	CATALYST INSIDER INCOME C	C	LOAD	OPEN	1600	2500	2500
IIXIX	CATALYST FUNDS	CATALYST INSIDER INCOME INST	INSTITUTIONAL	NO LOAD	OPEN	1600	0	0
CLTAX	CATALYST FUNDS	CATALYST LYONS TACTICAL ALLOC FD A	A	LOAD	OPEN	1600	2500	2500
CLTCX	CATALYST FUNDS	CATALYST LYONS TACTICAL ALLOC FD C	C	LOAD	OPEN	1600	2500	2500
CLTIX	CATALYST FUNDS	CATALYST LYONS TACTICAL ALLOC FD I	INSTITUTIONAL	NO LOAD	OPEN	1600	2500	2500
TRXAX	CATALYST FUNDS	CATALYST MAP GLOBAL BALANCED FD A	A	LOAD WAIVED	OPEN	1600	100	100
TRXIX	CATALYST FUNDS	CATALYST MAP GLOBAL BALANCED FD INST	INSTITUTIONAL	NO LOAD	OPEN	1600	2500	2500
CAXAX	CATALYST FUNDS	CATALYST MAP GLOBAL EQTY FD A	A	LOAD WAIVED	OPEN	1600	100	100
CAXIX	CATALYST FUNDS	CATALYST MAP GLOBAL EQTY FD I	INSTITUTIONAL	NO LOAD	OPEN	1600	2500	2500

SYMBOL	FUND FAMILY	FUND DESCRIPTION	SHARE CLASS	FUND TYPE	STATUS	CUT- OFF	IRA MIN	NON-IRA MIN
MBXAX	CATALYST FUNDS	CATALYST MILLBURN HEDGE STRAT FD A	A	LOAD	OPEN	1600	2500	2500
MBXCX	CATALYST FUNDS	CATALYST MILLBURN HEDGE STRAT FD C	C	LOAD	OPEN	1600	2500	2500
MBXIX	CATALYST FUNDS	CATALYST MILLBURN HEDGE STRAT FD I	INSTITUTIONAL	NO LOAD	OPEN	1600	2500	2500
MLXCX	CATALYST FUNDS	CATALYST MLP & INFRASTRUCTURE C	C	LOAD	OPEN	1600	2500	2500
CLPAX	CATALYST FUNDS	CATALYST NASDAQ 100 HEDGED EQUITY CL A	A	LOAD	OPEN	1600	2500	2500
CLPFX	CATALYST FUNDS	CATALYST NASDAQ 100 HEDGED EQUITY CL I	INSTITUTIONAL	NO LOAD	OPEN	1600	2500	2500
HIIX	CATALYST FUNDS	CATALYST SMH HIGH INCM CL I	INSTITUTIONAL	NO LOAD	OPEN	1600	2500	2500
CSIOX	CATALYST FUNDS	CATALYST STRATEGIC INCOME OPT SHS BEN INT	SHARES OF BENEF	NO LOAD	REDEEM ONLY	1600	1000	2500
ATRAX	CATALYST FUNDS	CATALYST SYSTEMATIC ALPHA FD CL A	A	LOAD	OPEN	1600	2500	2500
ATRCX	CATALYST FUNDS	CATALYST SYSTEMATIC ALPHA FD CL C	C	LOAD	OPEN	1600	2500	2500
ATRFX	CATALYST FUNDS	CATALYST SYSTEMATIC ALPHA FD CL I	INSTITUTIONAL	NO LOAD	OPEN	1600	2500	2500
CWXAX	CATALYST FUNDS	CATALYST WARRINGTON STRAT PROGRAM FD CL A	A	LOAD	OPEN	1600	2500	2500
CWXCX	CATALYST FUNDS	CATALYST WARRINGTON STRAT PROGRAM FD CL C	C	LOAD	OPEN	1600	2500	2500
CWXIX	CATALYST FUNDS	CATALYST WARRINGTON STRAT PROGRAM FD CL I	INSTITUTIONAL	NO LOAD	OPEN	1600	2500	2500
CASAX	CATALYST FUNDS	CATALYSTASPECT ENHANCED MULTIASSET A	A	LOAD	OPEN	1600	100	100
CASIX	CATALYST FUNDS	CATALYSTASPECT ENHANCED MULTIASSET I	I	NO LOAD	OPEN	1600	2500	2500
CFRCX	CATALYST FUNDS	CATALYSTCIFIC FLOATING RATE INCOME C	C	LOAD	OPEN	1600	2500	2500
TRXCX	CATALYST FUNDS	CATALYSTMAP GLOBAL BALANCED C	C	LOAD	OPEN	1600	2500	2500
CAXCX	CATALYST FUNDS	CATALYSTMAP GLOBAL EQUITY C	C	LOAD	OPEN	1600	2500	2500
MBXFX	CATALYST FUNDS	CATALYSTMILLBURN HEDGE STRATEGY C1	C1	LOAD	OPEN	1600	2500	2500
HIIFX	CATALYST FUNDS	CATALYSTSMH HIGH INCM CL A	A	LOAD	OPEN	1600	2500	2500
HIICX	CATALYST FUNDS	CATALYSTSMH HIGH INCM CL C	C	LOAD	OPEN	1600	2500	2500
TRIFX	CATALYST FUNDS	CATALYSTSMH TOTAL RET INCM A	A	LOAD	OPEN	1600	2500	2500
TRICX	CATALYST FUNDS	CATALYSTSMH TOTAL RET INCM C	C	LOAD	OPEN	1600	2500	2500
TRIIX	CATALYST FUNDS	CATALYSTSMH TOTAL RETURN INCOME I	I	NO LOAD	OPEN	1600	2500	2500
CWEAX	CATALYST FUNDS	CATALYSTWELTON ADVANTAGE MLTSTGY A	A	LOAD	OPEN	1600	100	100
CWEIX	CATALYST FUNDS	CATALYSTWELTON ADVANTAGE MLTSTGY I	I	NO LOAD	OPEN	1600	2500	2500
CRBSX	CATHOLIC RESPONSIBLE	CATHOLIC RESPONSIBLE INVESTMENTS BD INS	INSTITUTIONAL	NO LOAD	REDEEM ONLY	1600	5000000	5000000
CRBVX	CATHOLIC RESPONSIBLE	CATHOLIC RESPONSIBLE INVESTMENTS BD INV	INVESTOR	NO LOAD	OPEN	1600	5000	5000
CROX	CATHOLIC RESPONSIBLE	CATHOLIC RESPONSIBLE INVST OPP BD INS	INSTITUTIONAL	NO LOAD	REDEEM ONLY	1600	5000000	5000000
CROVX	CATHOLIC RESPONSIBLE	CATHOLIC RESPONSIBLE INVST OPP BD INV	INVESTOR	NO LOAD	OPEN	1600	5000	5000
CRQX	CATHOLIC RESPONSIBLE	CATHOLIC RSPNSBL INVST EQUITY IDX INS	INSTITUTIONAL	NO LOAD	REDEEM ONLY	1600	3000000	3000000
CRDSX	CATHOLIC RESPONSIBLE	CATHOLIC RSPNSBL INVST SHT DTN BD INS	INSTITUTIONAL	NO LOAD	REDEEM ONLY	1600	5000	5000
CRLSX	CATHOLIC RESPONSIBLE	CATHOLIC RSPNSBL INVSTMNTS INTL EQ INS	INSTITUTIONAL	NO LOAD	REDEEM ONLY	1600	5000000	5000000
CRLVX	CATHOLIC RESPONSIBLE	CATHOLIC RSPNSBL INVSTMNTS INTL EQ INV	INVESTOR	NO LOAD	OPEN	1600	5000	5000
CRSSX	CATHOLIC RESPONSIBLE	CATHOLIC RSPNSBL INVSTMNTS SMALLCAP INS	INSTITUTIONAL	NO LOAD	REDEEM ONLY	1600	3000000	3000000
CEMIX	CAUSEWAY	CAUSEWAY EMERGING MKTS FD INST	INSTITUTIONAL	NO LOAD	OPEN	1600	1000000	1000000
CEMVX	CAUSEWAY	CAUSEWAY EMERGING MKTS FD INV	INVESTOR	NO LOAD	OPEN	1600	100	100
CGVIX	CAUSEWAY	CAUSEWAY GLOBAL VALUE FD INST	INSTITUTIONAL	NO LOAD	OPEN	1600	1000000	1000000
CGVVX	CAUSEWAY	CAUSEWAY GLOBAL VALUE FD INV	INVESTOR	NO LOAD	OPEN	1600	100	100
CIOIX	CAUSEWAY	CAUSEWAY INTL OPPTY FD INST	INSTITUTIONAL	NO LOAD	OPEN	1600	1000000	1000000

SYMBOL	FUND FAMILY	FUND DESCRIPTION	SHARE CLASS	FUND TYPE	STATUS	CUT- OFF	IRA MIN	NON-IRA MIN
CIOVX	CAUSEWAY	CAUSEWAY INTL OPPTY FD INV	INVESTOR	NO LOAD	OPEN	1600	100	100
CIISX	CAUSEWAY	CAUSEWAY INTL SMALL CAP FD INST	INSTITUTIONAL	NO LOAD	OPEN	1600	1000000	1000000
CVISX	CAUSEWAY	CAUSEWAY INTL SMALL CAP FD INV	INVESTOR	NO LOAD	OPEN	1600	100	100
CIVIX	CAUSEWAY	CAUSEWAY INTL VALUE FD INST	INSTITUTIONAL	NO LOAD	OPEN	1600	1000000	1000000
CIVVX	CAUSEWAY	CAUSEWAY INTL VALUE FD INV	INVESTOR	NO LOAD	OPEN	1600	100	100
AABOX	CAVANAL HILL	CAVANAL HILL BD A	A	LOAD	OPEN	1600	0	0
APBDX	CAVANAL HILL	CAVANAL HILL BD FD INV	INVESTOR	NO LOAD	OPEN	1600	100	100
AIBNX	CAVANAL HILL	CAVANAL HILL BD INST	INSTITUTIONAL	NO LOAD	OPEN	1600	100000	100000
AALIX	CAVANAL HILL	CAVANAL HILL FDS A	A	LOAD	OPEN	1600	0	0
APCXX	CAVANAL HILL	CAVANAL HILL GOVERNMENT SECS MMKT ADM	ADMINISTRATIVE	NO LOAD	REDEEM ONLY	1600	1000	1000
AILIX	CAVANAL HILL	CAVANAL HILL HEDGED INCOME INSTITUTIONAL	INSTITUTIONAL	NO LOAD	OPEN	1600	0	0
APLIX	CAVANAL HILL	CAVANAL HILL HEDGED INCOME INVESTOR	INVESTOR	NO LOAD	OPEN	1600	0	0
AASTX	CAVANAL HILL	CAVANAL HILL LIMITED DURATION A	A	LOAD	OPEN	1600	0	0
AISTX	CAVANAL HILL	CAVANAL HILL LIMITED DURATION INST	INSTITUTIONAL	NO LOAD	OPEN	1600	100000	100000
APSTX	CAVANAL HILL	CAVANAL HILL LIMITED DURATION NL INV	INVESTOR	NO LOAD	OPEN	1600	100	100
AAENX	CAVANAL HILL	CAVANAL HILL STRATEGIC ENHANCED YLD A	A	LOAD WAIVED	REDEEM ONLY	1600	0	0
AIENX	CAVANAL HILL	CAVANAL HILL STRATEGIC ENHANCED YLD INST	INSTITUTIONAL	NO LOAD	OPEN	1600	100000	100000
APENX	CAVANAL HILL	CAVANAL HILL STRATEGIC ENHANCED YLD INV	INVESTOR	NO LOAD	OPEN	1600	100	100
AAUSX	CAVANAL HILL	CAVANAL HILL ULTR SHRT TAXFREE INC A	A	LOAD WAIVED	REDEEM ONLY	1600	100000	100000
AIUSX	CAVANAL HILL	CAVANAL HILL ULTRA SHORT TAX FREE INCM INST	INSTITUTIONAL	NO LOAD	OPEN	1600	100000	100000
APUSX	CAVANAL HILL	CAVANAL HILL ULTRA SHORT TAX FREE INCM INV	INVESTOR	NO LOAD	OPEN	1600	0	0
APGXX	CAVANAL HILL	CAVANAL HILL US TREASURY ADMINISTRATIVE	ADMINISTRATIVE	NO LOAD	REDEEM ONLY	1600	1000	1000
AAWEX	CAVANAL HILL	CAVANAL HILL WORLD ENERGY FD A	A	LOAD	OPEN	1600	0	0
ACWEX	CAVANAL HILL	CAVANAL HILL WORLD ENERGY FD C	C	LOAD	OPEN	1600	1000	1000
AIWEX	CAVANAL HILL	CAVANAL HILL WORLD ENERGY FD INST	INSTITUTIONAL	NO LOAD	OPEN	1600	100000	100000
APWEX	CAVANAL HILL	CAVANAL HILL WORLD ENERGY FD INV	INVESTOR	NO LOAD	OPEN	1600	0	0
COPLX	CENTAUR	COPLEY FUND	NO LOAD	NO LOAD	REDEEM ONLY	1600	1000	1000
CSIAx	CENTERSTONE FUNDS	CENTERSTONE INTERNATIONAL FD CL A	A	LOAD	OPEN	1600	1000	2500
CINTX	CENTERSTONE FUNDS	CENTERSTONE INTERNATIONAL FD CL I	I	NO LOAD	OPEN	1600	1000	2500
CETAX	CENTERSTONE FUNDS	CENTERSTONE INVESTORS FD CL A	A	LOAD	OPEN	1600	1000	2500
CENTX	CENTERSTONE FUNDS	CENTERSTONE INVESTORS FD CL I	I	NO LOAD	OPEN	1600	1000	2500
DHANX	CENTRE FUNDS	CENTRE AMERICAN SELECT EQTY INST	INSTITUTIONAL	NO LOAD	OPEN	1600	0	0
DHAMX	CENTRE FUNDS	CENTRE AMERICAN SELECT EQTY INV	INVESTOR	NO LOAD	OPEN	1600	100	100
DHINX	CENTRE FUNDS	CENTRE GLOBAL INFRASTRUCTURE FD INST	INSTITUTIONAL	NO LOAD	OPEN	1600	0	0
DHIVX	CENTRE FUNDS	CENTRE GLOBAL INFRASTRUCTURE FD INV	INVESTOR	NO LOAD	OPEN	1600	5000	5000
CIPMX	CHAMPLAIN FUNDS	CHAMPLAIN MID CAP FD ADV CL	ADVISOR	NO LOAD	OPEN	1600	100	100
CIPIX	CHAMPLAIN FUNDS	CHAMPLAIN MID CAP FD INST SHRS	INSTITUTIONAL	NO LOAD	OPEN	1600	1000000	1000000
CIPSX	CHAMPLAIN FUNDS	CHAMPLAIN SMALL COMPANY FUND ADV CL	ADVISOR	NO LOAD	SUBS ONLY	1600	100	100
CIPNX	CHAMPLAIN FUNDS	CHAMPLAIN SMALL COMPANY FUND INST CL	INSTITUTIONAL	NO LOAD	SUBS ONLY	1600	0	0
CIPTX	CHAMPLAIN FUNDS	CHAMPLAIN STRATEGIC FOCUS INSTITUTIONAL	INSTITUTIONAL	NO LOAD	OPEN	1600	1000000	1000000
CHAIX	CHASE INVESTMENT COUNSEL	CHASE GROWTH FD INST	INSTITUTIONAL	NO LOAD	OPEN	1600	1000000	1000000

SYMBOL	FUND FAMILY	FUND DESCRIPTION	SHARE CLASS	FUND TYPE	STATUS	CUT- OFF	IRA MIN	NON-IRA MIN
CHASX	CHASE INVESTMENT COUNSEL	CHASE GROWTH FUND CL N	N	NO LOAD	OPEN	1600	100	100
CHCGX	CHESAPEAKE	CHESAPEAKE GROWTH FUND	NO LOAD	NO LOAD	OPEN	1600	100	100
THMEX	CHEVY CHASE TRUST	CCT THEMATIC EQUITY INSTITUTIONAL	INSTITUTIONAL	NO LOAD	OPEN	1600	20000000	20000000
CCPAX	CHIRON FUNDS	FS CHIRON CAPITAL ALLOCATION A	A	LOAD	OPEN	1600	0	0
CCPCX	CHIRON FUNDS	FS CHIRON CAPITAL ALLOCATION C	C	LOAD	OPEN	1600	0	0
CCAPX	CHIRON FUNDS	FS CHIRON CAPITAL ALLOCATION I	I	NO LOAD	OPEN	1600	0	0
AWGIX	CIBC PRIVATE WEALTH	CIBC ATLAS ALL CAP GWTH FD INST	INSTITUTIONAL	NO LOAD	OPEN	1600	250000	250000
AWEIX	CIBC PRIVATE WEALTH	CIBC ATLAS DISCIPLINED EQTY FD INST	INSTITUTIONAL	NO LOAD	OPEN	1600	250000	250000
AWYIX	CIBC PRIVATE WEALTH	CIBC ATLAS EQTY INCM FD INST	INSTITUTIONAL	NO LOAD	OPEN	1600	250000	250000
AWIIX	CIBC PRIVATE WEALTH	CIBC ATLAS INCM OPPTY FD INST	INSTITUTIONAL	NO LOAD	OPEN	1600	250000	250000
AWWIX	CIBC PRIVATE WEALTH	CIBC ATLAS INTL GWTH FD INST	INSTITUTIONAL	NO LOAD	OPEN	1600	250000	250000
AWMIX	CIBC PRIVATE WEALTH	CIBC ATLAS MID CAP EQTY FD INST	INSTITUTIONAL	NO LOAD	OPEN	1600	250000	250000
ARACX	CIM FUNDS	CIM REAL ASSETS & CREDIT A	A	LOAD	OPEN	1600	1000	2500
RACRX	CIM FUNDS	CIM REAL ASSETS & CREDIT C	C	LOAD	OPEN	1600	1000	2500
IRACX	CIM FUNDS	CIM REAL ASSETS & CREDIT I	I	NO LOAD	OPEN	1600	0	0
CADEX	CION INVESTMENTS	CION ARES DIVERSIFIED CREDIT A	A	LOAD WAIVED	OPEN	1600	100	100
CADCX	CION INVESTMENTS	CION ARES DIVERSIFIED CREDIT C	C	LOAD	OPEN	1600	0	0
CADUX	CION INVESTMENTS	CION ARES DIVERSIFIED CREDIT I	I	NO LOAD	OPEN	1600	100000	100000
TARKX	CLARK FORK TRUST	TARKIO FD	NO LOAD	NO LOAD	OPEN	1600	0	0
CILGX	CLARKSTON PARTNERS	CLARKSTON FD INST	INSTITUTIONAL	NO LOAD	OPEN	1600	10000	10000
CFMDX	CLARKSTON PARTNERS	CLARKSTON FOUNDERS FOUNDERS	FOUNDER	NO LOAD	REDEEM ONLY	1600	0	0
CIMDX	CLARKSTON PARTNERS	CLARKSTON FOUNDERS FUND INST	INSTITUTIONAL	NO LOAD	OPEN	1600	0	0
CISMX	CLARKSTON PARTNERS	CLARKSTON PARTNERS FD INST	INSTITUTIONAL	NO LOAD	OPEN	1600	25000	25000
FSVXX	CLIFFORD CAPITAL FUNDS	CLIFFORD CAP FOCUSED SM CAP VALUE FD I	INSTITUTIONAL	NO LOAD	OPEN	1600	0	0
CLIFX	CLIFFORD CAPITAL FUNDS	CLIFFORD CAP PARTNERS FD INST	INSTITUTIONAL	NO LOAD	OPEN	1600	0	0
CCIVX	CLIFFORD CAPITAL FUNDS	CLIFFORD CAPITAL INTERNATIONAL VAL INSTL	INSTITUTIONAL	NO LOAD	OPEN	1600	100000	100000
CPEFX	CLIFFWATER FUNDS	CASCADE PRIVATE CAP FUND CL I SHS	I	NO LOAD	OPEN	1600	0	0
CCLFX	CLIFFWATER FUNDS	CLIFFWATER CORPORATE LENDING I	I	NO LOAD	OPEN	1600	0	0
CELFX	CLIFFWATER FUNDS	CLIFFWATER ENHANCED LENDING FD CL I SHS	I	NO LOAD	OPEN	1600	10000000	10000000
CMFIX	CM ADVISORS	CM ADVISERS FIXED INCOME	NO LOAD	NO LOAD	OPEN	1600	1000	2500
RIMHX	CNR FUNDS	CITY NATIONAL ROCHDALE EQUITY INC FUND	N	NO LOAD	OPEN	1600	500	1000
RIMOX	CNR FUNDS	CITY NATIONAL ROCHDALE FIXED INC OPP FD CL N	N	NO LOAD	OPEN	1600	500	1000
CNRMX	CNR FUNDS	CITY NATIONAL ROCHDALE MUNI HI INC SVC	SERVICING	NO LOAD	REDEEM ONLY	1600	100000	100000
CNRNX	CNR FUNDS	CITY NATIONAL ROCHDALE MUNI HIGH INCM FD N	N	NO LOAD	OPEN	1600	0	0
CNRVX	CNR FUNDS	CITY NATIONAL ROCHDALE US COR EQ SVC	SERVICING	NO LOAD	REDEEM ONLY	1600	100000	100000
CNRWX	CNR FUNDS	CITY NATIONAL ROCHDALE US CORE EQTY FD CL N	N	NO LOAD	OPEN	1600	0	0
CSUCX	COHEN & STEERS	COHEN & STEERS GLOBAL INFRASTRUCTURE C	C	LOAD	OPEN	1600	1000	1000
CSUAX	COHEN & STEERS	COHEN & STEERS GLOBAL INFRASTRUCTURE FD CL A	A	LOAD	OPEN	1600	0	0
CSUIX	COHEN & STEERS	COHEN & STEERS GLOBAL INFRASTRUCTURE FD CL I	I	NO LOAD	OPEN	1600	0	100000
CSUZX	COHEN & STEERS	COHEN & STEERS GLOBAL INFRASTRUCTURE Z	Z	NO LOAD	REDEEM ONLY	1600	100000	100000
CSFAX	COHEN & STEERS	COHEN & STEERS GLOBAL REALTY FUND CL A	A	LOAD	OPEN	1600	0	0

SYMBOL	FUND FAMILY	FUND DESCRIPTION	SHARE CLASS	FUND TYPE	STATUS	CUT-OFF	IRA MIN	NON-IRA MIN
CSFCX	COHEN & STEERS	COHEN & STEERS GLOBAL REALTY SHARES CL C	C	LOAD	OPEN	1600	1000	1000
CSSPX	COHEN & STEERS	COHEN & STEERS GLOBAL REALTY SHARES CL I	I	NO LOAD	OPEN	1600	0	100000
CSFZX	COHEN & STEERS	COHEN & STEERS GLOBAL REALTY SHARES Z	Z	NO LOAD	REDEEM ONLY	1600	0	0
IRFAX	COHEN & STEERS	COHEN & STEERS INTL REALTY CL A	A	LOAD	OPEN	1600	0	0
IRFCX	COHEN & STEERS	COHEN & STEERS INTL REALTY CL C	C	LOAD	OPEN	1600	1000	1000
IRFIX	COHEN & STEERS	COHEN & STEERS INTL REALTY FD CL I	I	NO LOAD	OPEN	1600	0	100000
LPXAX	COHEN & STEERS	COHEN & STEERS LOW DUR PREF AND INCM A	A	LOAD	OPEN	1600	0	0
LPXCX	COHEN & STEERS	COHEN & STEERS LOW DUR PREF AND INCM C	C	LOAD	OPEN	1600	0	0
LPXIX	COHEN & STEERS	COHEN & STEERS LOW DUR PREF AND INCM I	I	NO LOAD	OPEN	1600	0	100000
LPXFX	COHEN & STEERS	COHEN & STEERS LOW DURATION PREF & INC F	F	NO LOAD	OPEN	1600	0	0
LPXZX	COHEN & STEERS	COHEN & STEERS LOW DURATION PREF & INC Z	Z	NO LOAD	REDEEM ONLY	1600	100000	100000
MLOZX	COHEN & STEERS	COHEN & STEERS MLP & ENERGY OPP Z	Z	NO LOAD	REDEEM ONLY	1600	100000	100000
MLOAX	COHEN & STEERS	COHEN & STEERS MLP AND ENERGY OPPTY A	A	LOAD	OPEN	1600	1000	2500
MLOCX	COHEN & STEERS	COHEN & STEERS MLP AND ENERGY OPPTY C	C	LOAD	OPEN	1600	0	0
MLOIX	COHEN & STEERS	COHEN & STEERS MLP AND ENERGY OPPTY I	I	NO LOAD	OPEN	1600	0	100000
CPRRX	COHEN & STEERS	COHEN & STEERS PREFERRED SEC & INC R	R	NO LOAD	REDEEM ONLY	1600	0	0
CPXAX	COHEN & STEERS	COHEN & STEERS PREFERRED SEC AND INCM A	A	LOAD	OPEN	1600	0	0
CPXCX	COHEN & STEERS	COHEN & STEERS PREFERRED SEC AND INCM C	C	LOAD	OPEN	1600	1000	1000
CPXFX	COHEN & STEERS	COHEN & STEERS PREFERRED SEC AND INCM F	F	NO LOAD	REDEEM ONLY	1600	0	0
CPXIX	COHEN & STEERS	COHEN & STEERS PREFERRED SEC AND INCM I	I	NO LOAD	OPEN	1600	0	100000
CPXZX	COHEN & STEERS	COHEN & STEERS PREFERRED SEC AND INCM Z	Z	NO LOAD	REDEEM ONLY	1600	0	0
PISHX	COHEN & STEERS	COHEN & STEERS PRFRD SECS & INC SMA SHRS	NO LOAD	NO LOAD	REDEEM ONLY	1600	100000	100000
RAPCX	COHEN & STEERS	COHEN & STEERS REAL ASSETS C	C	LOAD	OPEN	1600	0	0
RAPAX	COHEN & STEERS	COHEN & STEERS REAL ASSETS CL A	A	LOAD	OPEN	1600	0	0
RAPIX	COHEN & STEERS	COHEN & STEERS REAL ASSETS CL I	I	NO LOAD	OPEN	1600	0	100000
RAPRX	COHEN & STEERS	COHEN & STEERS REAL ASSETS CL R	R	NO LOAD	REDEEM ONLY	1600	100	100
RAPZX	COHEN & STEERS	COHEN & STEERS REAL ASSETS CL Z	Z	NO LOAD	REDEEM ONLY	1600	250	250
CSEIX	COHEN & STEERS	COHEN & STEERS REAL ESTATE SECS A	A	LOAD	SUBS ONLY	1600	0	0
CSCIX	COHEN & STEERS	COHEN & STEERS REAL ESTATE SECS C	C	LOAD	SUBS ONLY	1600	1000	1000
CREFX	COHEN & STEERS	COHEN & STEERS REAL ESTATE SECS F	F	NO LOAD	REDEEM ONLY	1600	0	0
CSDIX	COHEN & STEERS	COHEN & STEERS REAL ESTATE SECS I	I	NO LOAD	SUBS ONLY	1600	0	100000
CSZIX	COHEN & STEERS	COHEN & STEERS REAL ESTATE SECS Z	Z	NO LOAD	REDEEM ONLY	1600	0	0
CIRRX	COHEN & STEERS	COHEN & STEERS REAL ESTATE SECURITIES R	R	NO LOAD	REDEEM ONLY	1600	0	0
CSRIX	COHEN & STEERS	COHEN & STEERS REALTY FD INST SHARES	I	NO LOAD	OPEN	1600	1000000	1000000
CSJAX	COHEN & STEERS	COHEN & STEERS REALTY SHARES A	A	LOAD	OPEN	1600	0	0
CSJCX	COHEN & STEERS	COHEN & STEERS REALTY SHARES C	C	LOAD	OPEN	1600	0	0
CSRSX	COHEN & STEERS	COHEN & STEERS REALTY SHARES CL L	L	NO LOAD	SUBS ONLY	1600	100	100
CSJIX	COHEN & STEERS	COHEN & STEERS REALTY SHARES I	I	NO LOAD	OPEN	1600	0	100000
CSJRX	COHEN & STEERS	COHEN & STEERS REALTY SHARES R	R	NO LOAD	REDEEM ONLY	1600	0	0
CSJZX	COHEN & STEERS	COHEN & STEERS REALTY SHARES Z	Z	NO LOAD	REDEEM ONLY	1600	0	0
COHOX	COHO FUNDS	COHO RELATIVE VALUE EQTY FD ADV	ADVISOR	NO LOAD	OPEN	1600	0	0

SYMBOL	FUND FAMILY	FUND DESCRIPTION	SHARE CLASS	FUND TYPE	STATUS	CUT-OFF	IRA MIN	NON-IRA MIN
CESGX	COHO FUNDS	COHO RELATIVE VALUE ESG FD	NO LOAD	NO LOAD	OPEN	1600	5000	5000
ACRSX	COLUMBIA	ACORN FD	S	NO LOAD	REDEEM ONLY	1600	0	0
CCIDX	COLUMBIA	ACORN INTL	S	NO LOAD	REDEEM ONLY	1600	0	0
ACFEX	COLUMBIA	ACORN INTL SELECT	S	NO LOAD	REDEEM ONLY	1600	0	0
COTDX	COLUMBIA	ACORN THERMOSTAT FD	S	NO LOAD	REDEEM ONLY	1600	0	0
NBGDX	COLUMBIA	CAP ALLOCATION MODERATE AGGRESSIVE PORT	S	NO LOAD	REDEEM ONLY	1600	0	0
LACAX	COLUMBIA	COLUMBIA ACORN CLASS A	A	LOAD	OPEN	1600	1000	2000
CAEAX	COLUMBIA	COLUMBIA ACORN EURO CL A	A	LOAD	OPEN	1600	1000	2500
CAEZ	COLUMBIA	COLUMBIA ACORN EUROPEAN INST	INSTITUTIONAL	NO LOAD	REDEEM ONLY	1600	0	0
CEARX	COLUMBIA	COLUMBIA ACORN FD CL ADV	ADVISOR	NO LOAD	REDEEM ONLY	1600	0	0
ACRN	COLUMBIA	COLUMBIA ACORN FD INST	INSTITUTIONAL	NO LOAD	REDEEM ONLY	1600	0	0
CRBRX	COLUMBIA	COLUMBIA ACORN FD INST 2	INSTITUTIONAL 2	NO LOAD	REDEEM ONLY	1600	0	0
LIACX	COLUMBIA	COLUMBIA ACORN FUND CLASS C	C	LOAD	OPEN	1600	1000	2000
CRBYX	COLUMBIA	COLUMBIA ACORN INST3	INSTITUTIONAL 3	NO LOAD	REDEEM ONLY	1600	100000	100000
CSIRX	COLUMBIA	COLUMBIA ACORN INTERNATIONAL SEL INST3	INSTITUTIONAL 3	NO LOAD	REDEEM ONLY	1600	100000	100000
LAFAX	COLUMBIA	COLUMBIA ACORN INTERNATIONAL SELECT FUND A	A	LOAD	OPEN	1600	1000	2000
LAIAX	COLUMBIA	COLUMBIA ACORN INTL FD CL A	A	LOAD	OPEN	1600	1000	2000
CCIRX	COLUMBIA	COLUMBIA ACORN INTL FD CL ADV	ADVISOR	NO LOAD	REDEEM ONLY	1600	0	0
ACINX	COLUMBIA	COLUMBIA ACORN INTL FD INST	INSTITUTIONAL	NO LOAD	REDEEM ONLY	1600	0	0
CAIRX	COLUMBIA	COLUMBIA ACORN INTL FD INST 2	INSTITUTIONAL 2	NO LOAD	REDEEM ONLY	1600	0	0
CCYIX	COLUMBIA	COLUMBIA ACORN INTL FD INST 3	INSTITUTIONAL 3	NO LOAD	REDEEM ONLY	1600	100000	100000
CILRX	COLUMBIA	COLUMBIA ACORN INTL SELECT FD CL ADV	ADVISOR	NO LOAD	REDEEM ONLY	1600	0	0
ACFFX	COLUMBIA	COLUMBIA ACORN INTL SELECT FD INST	INSTITUTIONAL	NO LOAD	REDEEM ONLY	1600	0	0
CRIRX	COLUMBIA	COLUMBIA ACORN INTL SELECT FD INST 2	INSTITUTIONAL 2	NO LOAD	REDEEM ONLY	1600	0	0
CRAAX	COLUMBIA	COLUMBIA ADAPTIVE RISK ALLOC A	A	LOAD	OPEN	1600	1000	2000
CARRX	COLUMBIA	COLUMBIA ADAPTIVE RISK ALLOC FD CL ADV	ADVISOR	NO LOAD	REDEEM ONLY	1600	0	0
CRAZX	COLUMBIA	COLUMBIA ADAPTIVE RISK ALLOC FD INST	INSTITUTIONAL	NO LOAD	REDEEM ONLY	1600	0	0
CRDRX	COLUMBIA	COLUMBIA ADAPTIVE RISK ALLOC FD INST 2	INSTITUTIONAL 2	NO LOAD	REDEEM ONLY	1600	0	0
CRACX	COLUMBIA	COLUMBIA ADAPTIVE RISK ALLOCATION C	C	LOAD	OPEN	1600	0	0
CRADX	COLUMBIA	COLUMBIA ADAPTIVE RISK ALLOCATION FUND S	S	NO LOAD	REDEEM ONLY	1600	0	0
CBDRX	COLUMBIA	COLUMBIA BALANCED FD CL ADV	ADVISOR	NO LOAD	REDEEM ONLY	1600	0	0
CBALX	COLUMBIA	COLUMBIA BALANCED FD INST	INSTITUTIONAL	NO LOAD	REDEEM ONLY	1600	0	0
CLREX	COLUMBIA	COLUMBIA BALANCED FD INST 2	INSTITUTIONAL 2	NO LOAD	REDEEM ONLY	1600	0	0
CBDYX	COLUMBIA	COLUMBIA BALANCED FD INST 3	INSTITUTIONAL 3	NO LOAD	REDEEM ONLY	1600	100000	100000
CBLAX	COLUMBIA	COLUMBIA BALANCED FUND CLASS A	A	LOAD	OPEN	1600	1000	2000
CBLCX	COLUMBIA	COLUMBIA BALANCED FUND CLASS C	C	LOAD	OPEN	1600	1000	2000
CBABX	COLUMBIA	COLUMBIA BALANCED FUND S	S	NO LOAD	REDEEM ONLY	1600	0	0
CBLRX	COLUMBIA	COLUMBIA BALANCED R	R	NO LOAD	REDEEM ONLY	1600	0	0
CNDAX	COLUMBIA	COLUMBIA BD A	A	LOAD	OPEN	1600	1000	2000
CNDRX	COLUMBIA	COLUMBIA BOND FD CL ADV	ADVISOR	NO LOAD	REDEEM ONLY	1600	0	0
UMMGX	COLUMBIA	COLUMBIA BOND FD INST	INSTITUTIONAL	NO LOAD	REDEEM ONLY	1600	0	0

SYMBOL	FUND FAMILY	FUND DESCRIPTION	SHARE CLASS	FUND TYPE	STATUS	CUT-OFF	IRA MIN	NON-IRA MIN
CNFRX	COLUMBIA	COLUMBIA BOND FD INST 2	INSTITUTIONAL 2	NO LOAD	REDEEM ONLY	1600	0	0
UMMDX	COLUMBIA	COLUMBIA BOND FUND S	S	NO LOAD	REDEEM ONLY	1600	0	0
CBFYX	COLUMBIA	COLUMBIA BOND INST3	INSTITUTIONAL 3	NO LOAD	REDEEM ONLY	1600	100000	100000
NACMX	COLUMBIA	COLUMBIA CA INTERM MUNI BD FD CL A	A	LOAD	OPEN	1600	1000	2000
CCMRX	COLUMBIA	COLUMBIA CA INTERM MUNI BD FD CL ADV	ADVISOR	NO LOAD	REDEEM ONLY	1600	0	0
NCMAX	COLUMBIA	COLUMBIA CA INTERM MUNI BD FD INST	INSTITUTIONAL	NO LOAD	REDEEM ONLY	1600	0	0
CNBRX	COLUMBIA	COLUMBIA CA INTERM MUNI BD FD INST 2	INSTITUTIONAL 2	NO LOAD	REDEEM ONLY	1600	0	0
NCMDX	COLUMBIA	COLUMBIA CALIFORNIA INTERMEDIATE MUNICIPAL BOND FU	S	NO LOAD	REDEEM ONLY	1600	0	0
CBVZX	COLUMBIA	COLUMBIA CAP ALLOC CONSRV PORT FD INST	INSTITUTIONAL	NO LOAD	REDEEM ONLY	1600	0	0
CGBRX	COLUMBIA	COLUMBIA CAP ALLOC MOD AGGR PORT FD CL ADV	ADVISOR	NO LOAD	REDEEM ONLY	1600	0	0
CHWRX	COLUMBIA	COLUMBIA CAP ALLOC MOD CONSRV PORT FD CL ADV	ADVISOR	NO LOAD	REDEEM ONLY	1600	0	0
AXBAX	COLUMBIA	COLUMBIA CAPITAL ALLOC AGGR PORT CL A	A	LOAD	OPEN	1600	1000	2000
RBGCX	COLUMBIA	COLUMBIA CAPITAL ALLOC AGGR PORT CL C	C	LOAD	OPEN	1600	1000	2000
CPARX	COLUMBIA	COLUMBIA CAPITAL ALLOC AGGR PORT R	R	NO LOAD	REDEEM ONLY	1600	0	0
ABDAX	COLUMBIA	COLUMBIA CAPITAL ALLOC CONSERVATIVE PORT CL A	A	LOAD	OPEN	1600	1000	2000
RPCCX	COLUMBIA	COLUMBIA CAPITAL ALLOC CONSERVATIVE PORT CL C	C	LOAD	OPEN	1600	1000	2000
NBGPX	COLUMBIA	COLUMBIA CAPITAL ALLOC MOD AGGR PORT FD INST	INSTITUTIONAL	NO LOAD	REDEEM ONLY	1600	0	0
NIPAX	COLUMBIA	COLUMBIA CAPITAL ALLOC MOD CONSRV PORT FD INST	INSTITUTIONAL	NO LOAD	REDEEM ONLY	1600	0	0
NBIAX	COLUMBIA	COLUMBIA CAPITAL ALLOC MODERATE AGGR PORT CL A	A	LOAD	OPEN	1600	1000	2000
NBICX	COLUMBIA	COLUMBIA CAPITAL ALLOC MODERATE AGGR PORT CL C	C	LOAD	OPEN	1600	1000	2000
CLBRX	COLUMBIA	COLUMBIA CAPITAL ALLOC MODERATE AGGR PORT CL R	R	NO LOAD	REDEEM ONLY	1600	0	0
NLGAX	COLUMBIA	COLUMBIA CAPITAL ALLOC MODERATE CONSERVATIVE PORT	A	LOAD	OPEN	1600	1000	2000
NIICX	COLUMBIA	COLUMBIA CAPITAL ALLOC MODERATE CONSERVATIVE PORT	C	LOAD	OPEN	1600	1000	2000
ABUAX	COLUMBIA	COLUMBIA CAPITAL ALLOC MODERATE PORT CL A	A	LOAD	OPEN	1600	1000	2000
AMTCX	COLUMBIA	COLUMBIA CAPITAL ALLOC MODERATE PORT CL C	C	LOAD	OPEN	1600	1000	2000
CPDAX	COLUMBIA	COLUMBIA CAPITAL ALLOCATION AGRSV ADV	ADVISOR	NO LOAD	REDEEM ONLY	1600	0	0
CPAZX	COLUMBIA	COLUMBIA CAPITAL ALLOCATION AGRSV INST	INSTITUTIONAL	NO LOAD	REDEEM ONLY	1600	0	0
CPCYX	COLUMBIA	COLUMBIA CAPITAL ALLOCATION CNSRV ADV	ADVISOR	NO LOAD	REDEEM ONLY	1600	0	0
CBMZC	COLUMBIA	COLUMBIA CAPITAL ALLOCATION MOD INST	INSTITUTIONAL	NO LOAD	REDEEM ONLY	1600	0	0
CCSAX	COLUMBIA	COLUMBIA CMDY STRATEGY A	A	LOAD	OPEN	1600	1000	2500
CCOMX	COLUMBIA	COLUMBIA CMDY STRATEGY FD CL ADV	ADVISOR	NO LOAD	REDEEM ONLY	1600	0	0
CCSZX	COLUMBIA	COLUMBIA CMDY STRATEGY FD INST	INSTITUTIONAL	NO LOAD	REDEEM ONLY	1600	0	0
CADLX	COLUMBIA	COLUMBIA CMDY STRATEGY FD INST 2	INSTITUTIONAL 2	NO LOAD	REDEEM ONLY	1600	0	0
LCCCX	COLUMBIA	COLUMBIA CONTRARIAN CORE C	C	LOAD	OPEN	1600	1000	2000
CORRX	COLUMBIA	COLUMBIA CONTRARIAN CORE FD CL ADV	ADVISOR	NO LOAD	REDEEM ONLY	1600	0	0
SMGIX	COLUMBIA	COLUMBIA CONTRARIAN CORE FD INST	INSTITUTIONAL	NO LOAD	REDEEM ONLY	1600	0	0
COFRX	COLUMBIA	COLUMBIA CONTRARIAN CORE FD INST 2	INSTITUTIONAL 2	NO LOAD	REDEEM ONLY	1600	0	0
COFYX	COLUMBIA	COLUMBIA CONTRARIAN CORE FD INST 3	INSTITUTIONAL 3	NO LOAD	REDEEM ONLY	1600	100000	100000
LCCAX	COLUMBIA	COLUMBIA CONTRARIAN CORE FUND A	A	LOAD	OPEN	1600	1000	2000
SMGEX	COLUMBIA	COLUMBIA CONTRARIAN CORE FUND S	S	NO LOAD	REDEEM ONLY	1600	0	0
CCCRX	COLUMBIA	COLUMBIA CONTRARIAN CORE R	R	NO LOAD	REDEEM ONLY	1600	0	0

SYMBOL	FUND FAMILY	FUND DESCRIPTION	SHARE CLASS	FUND TYPE	STATUS	CUT- OFF	IRA MIN	NON-IRA MIN
COVRX	COLUMBIA	COLUMBIA CONVERTIBLE SEC FD CL ADV	ADVISOR	NO LOAD	REDEEM ONLY	1600	0	0
COCRX	COLUMBIA	COLUMBIA CONVERTIBLE SEC FD INST 2	INSTITUTIONAL 2	NO LOAD	REDEEM ONLY	1600	0	0
CSFYX	COLUMBIA	COLUMBIA CONVERTIBLE SEC FD INST 3	INSTITUTIONAL 3	NO LOAD	REDEEM ONLY	1600	100000	100000
PACIX	COLUMBIA	COLUMBIA CONVERTIBLE SEC FUND CLASS A	A	LOAD	OPEN	1600	1000	2000
NCIAX	COLUMBIA	COLUMBIA CONVERTIBLE SECURITIES FD INST	INSTITUTIONAL	NO LOAD	REDEEM ONLY	1600	0	0
PHIKX	COLUMBIA	COLUMBIA CONVERTIBLE SECURITIES FUND C	C	LOAD	OPEN	1600	1000	2000
NCIDX	COLUMBIA	COLUMBIA CONVERTIBLE SECURITIES FUND S	S	NO LOAD	REDEEM ONLY	1600	0	0
LIIAX	COLUMBIA	COLUMBIA CORPORATE INCM FD A	A	LOAD	OPEN	1600	1000	2000
CIFRX	COLUMBIA	COLUMBIA CORPORATE INCM FD CL ADV	ADVISOR	NO LOAD	REDEEM ONLY	1600	0	0
SRINX	COLUMBIA	COLUMBIA CORPORATE INCM FD INST	INSTITUTIONAL	NO LOAD	REDEEM ONLY	1600	0	0
CPIRX	COLUMBIA	COLUMBIA CORPORATE INCM FD INST 2	INSTITUTIONAL 2	NO LOAD	REDEEM ONLY	1600	0	0
SRIJX	COLUMBIA	COLUMBIA CORPORATE INCOME FUND S	S	NO LOAD	REDEEM ONLY	1600	0	0
CRIYX	COLUMBIA	COLUMBIA CORPORATE INCOME INST3	INSTITUTIONAL 3	NO LOAD	REDEEM ONLY	1600	100000	100000
AQEAX	COLUMBIA	COLUMBIA DISCIPLINED CORE FD A	A	LOAD	OPEN	1600	1000	2000
RDCEX	COLUMBIA	COLUMBIA DISCIPLINED CORE FD C	C	LOAD	OPEN	1600	1000	2000
CLCQX	COLUMBIA	COLUMBIA DISCIPLINED CORE FD CL ADV	ADVISOR	NO LOAD	REDEEM ONLY	1600	0	0
RSIPX	COLUMBIA	COLUMBIA DISCIPLINED CORE FD INST 2	INSTITUTIONAL 2	NO LOAD	REDEEM ONLY	1600	0	0
CCRZX	COLUMBIA	COLUMBIA DISCIPLINED CORE INST	INSTITUTIONAL	NO LOAD	REDEEM ONLY	1600	0	0
RDLAX	COLUMBIA	COLUMBIA DISCIPLINED GROWTH FD A	A	LOAD	OPEN	1600	1000	2000
RDLCX	COLUMBIA	COLUMBIA DISCIPLINED GROWTH FD C	C	LOAD	OPEN	1600	1000	2000
CGQFX	COLUMBIA	COLUMBIA DISCIPLINED GROWTH FD CL ADV	ADVISOR	NO LOAD	REDEEM ONLY	1600	0	0
CGQYX	COLUMBIA	COLUMBIA DISCIPLINED GROWTH INST3	INSTITUTIONAL 3	NO LOAD	REDEEM ONLY	1600	100000	100000
COLEX	COLUMBIA	COLUMBIA DISCIPLINED VALUE ADV	ADVISOR	NO LOAD	REDEEM ONLY	1600	0	0
RLCAX	COLUMBIA	COLUMBIA DISCIPLINED VALUE FD A	A	LOAD	OPEN	1600	1000	2000
RDCCX	COLUMBIA	COLUMBIA DISCIPLINED VALUE FD C	C	LOAD	OPEN	1600	1000	2000
CVQZX	COLUMBIA	COLUMBIA DISCIPLINED VALUE FD INST	INSTITUTIONAL	NO LOAD	REDEEM ONLY	1600	0	0
CDIRX	COLUMBIA	COLUMBIA DIVIDEND INCM FD R	R	NO LOAD	REDEEM ONLY	1600	0	0
CVIRX	COLUMBIA	COLUMBIA DIVIDEND INCOME FD CL ADV	ADVISOR	NO LOAD	REDEEM ONLY	1600	0	0
GSFTX	COLUMBIA	COLUMBIA DIVIDEND INCOME FD INST	INSTITUTIONAL	NO LOAD	REDEEM ONLY	1600	0	0
CDDRX	COLUMBIA	COLUMBIA DIVIDEND INCOME FD INST 2	INSTITUTIONAL 2	NO LOAD	REDEEM ONLY	1600	0	0
CDDYX	COLUMBIA	COLUMBIA DIVIDEND INCOME FD INST 3	INSTITUTIONAL 3	NO LOAD	REDEEM ONLY	1600	100000	100000
LBSCX	COLUMBIA	COLUMBIA DIVIDEND INCOME FUND CLASS C	C	LOAD	OPEN	1600	1000	2000
GFSDX	COLUMBIA	COLUMBIA DIVIDEND INCOME FUND S	S	NO LOAD	REDEEM ONLY	1600	0	0
LBSAX	COLUMBIA	COLUMBIA DIVIDEND INCOMECL A	A	LOAD	OPEN	1600	1000	2000
CDOAX	COLUMBIA	COLUMBIA DIVIDEND OPPORTUNITY FUND	S	NO LOAD	REDEEM ONLY	1600	0	0
INUTX	COLUMBIA	COLUMBIA DIVIDEND OPPTY FD A	A	LOAD	OPEN	1600	1000	2000
ACUIX	COLUMBIA	COLUMBIA DIVIDEND OPPTY FD C	C	LOAD	OPEN	1600	1000	2000
CDORX	COLUMBIA	COLUMBIA DIVIDEND OPPTY FD CL ADV	ADVISOR	NO LOAD	REDEEM ONLY	1600	0	0
CDOZX	COLUMBIA	COLUMBIA DIVIDEND OPPTY FD INST	INSTITUTIONAL	NO LOAD	REDEEM ONLY	1600	0	0
RSDFX	COLUMBIA	COLUMBIA DIVIDEND OPPTY FD INST 2	INSTITUTIONAL 2	NO LOAD	REDEEM ONLY	1600	0	0
CDOYX	COLUMBIA	COLUMBIA DIVIDEND OPPTY FD INST 3	INSTITUTIONAL 3	NO LOAD	REDEEM ONLY	1600	100000	100000

SYMBOL	FUND FAMILY	FUND DESCRIPTION	SHARE CLASS	FUND TYPE	STATUS	CUT- OFF	IRA MIN	NON-IRA MIN
UMEMX	COLUMBIA	COLUMBIA EMERGING MARKETS FD INST	INSTITUTIONAL	NO LOAD	REDEEM ONLY	1600	0	0
UMEBX	COLUMBIA	COLUMBIA EMERGING MARKETS FUND	S	NO LOAD	REDEEM ONLY	1600	0	0
CEBSX	COLUMBIA	COLUMBIA EMERGING MKTS BD FD CL ADV	ADVISOR	NO LOAD	REDEEM ONLY	1600	0	0
CMBZX	COLUMBIA	COLUMBIA EMERGING MKTS BD FD INST	INSTITUTIONAL	NO LOAD	REDEEM ONLY	1600	0	0
CEBYX	COLUMBIA	COLUMBIA EMERGING MKTS BD INST 3	INSTITUTIONAL 3	NO LOAD	REDEEM ONLY	1600	100000	100000
CEMHX	COLUMBIA	COLUMBIA EMERGING MKTS FD CL ADV	ADVISOR	NO LOAD	REDEEM ONLY	1600	0	0
CEKRX	COLUMBIA	COLUMBIA EMERGING MRKTS FD INST 2	INSTITUTIONAL 2	NO LOAD	REDEEM ONLY	1600	0	0
EEMCX	COLUMBIA	COLUMBIA EMERGING MRKTS FUND CL C	C	LOAD	OPEN	1600	1000	2000
CEKYX	COLUMBIA	COLUMBIA EMERGING MRKTS FUND CL INST 3	INSTITUTIONAL 3	NO LOAD	REDEEM ONLY	1600	100000	100000
EEMAX	COLUMBIA	COLUMBIA EMRG MKTS A	A	LOAD	OPEN	1600	1000	2000
REBAX	COLUMBIA	COLUMBIA EMRG MKTS BD FD A	A	LOAD	OPEN	1600	1000	2000
CEBRX	COLUMBIA	COLUMBIA EMRG MKTS BD FD INST 2	INSTITUTIONAL 2	NO LOAD	REDEEM ONLY	1600	0	0
NSMDX	COLUMBIA	COLUMBIA FDS SER TR	S	NO LOAD	REDEEM ONLY	1600	0	0
CFIAX	COLUMBIA	COLUMBIA FLEXIBLE CAP INCM A	A	LOAD	OPEN	1600	1000	2000
CFIGX	COLUMBIA	COLUMBIA FLEXIBLE CAP INCM C	C	LOAD	OPEN	1600	1000	2000
CFCRX	COLUMBIA	COLUMBIA FLEXIBLE CAP INCM FD CL ADV	ADVISOR	NO LOAD	REDEEM ONLY	1600	0	0
CFXRX	COLUMBIA	COLUMBIA FLEXIBLE CAP INCM FD INST 2	INSTITUTIONAL 2	NO LOAD	REDEEM ONLY	1600	0	0
CFILX	COLUMBIA	COLUMBIA FLEXIBLE CAPITAL INCOME FUND S	S	NO LOAD	REDEEM ONLY	1600	0	0
CFIZX	COLUMBIA	COLUMBIA FLEXIBLE CAPITAL INCOME INST	INSTITUTIONAL	NO LOAD	REDEEM ONLY	1600	0	0
RFRAZ	COLUMBIA	COLUMBIA FLOATING RATE FD A	A	LOAD	OPEN	1600	1000	2000
RFRCX	COLUMBIA	COLUMBIA FLOATING RATE FD C	C	LOAD	OPEN	1600	1000	2000
CFLRX	COLUMBIA	COLUMBIA FLOATING RATE FD CL ADV	ADVISOR	NO LOAD	REDEEM ONLY	1600	0	0
CFRZX	COLUMBIA	COLUMBIA FLOATING RATE FD INST	INSTITUTIONAL	NO LOAD	REDEEM ONLY	1600	0	0
RFRFX	COLUMBIA	COLUMBIA FLOATING RATE FD INST 2	INSTITUTIONAL 2	NO LOAD	REDEEM ONLY	1600	0	0
CTYRX	COLUMBIA	COLUMBIA GBL TECHNOLOGY GWTH FD CL ADV	ADVISOR	NO LOAD	REDEEM ONLY	1600	0	0
IMRFX	COLUMBIA	COLUMBIA GLOBAL OPPT FUND CL A	A	LOAD	OPEN	1600	1000	2000
CTCAX	COLUMBIA	COLUMBIA GLOBAL TECH GROWTH FD A	A	LOAD	OPEN	1600	1000	2000
CTHCX	COLUMBIA	COLUMBIA GLOBAL TECH GROWTH FD C	C	LOAD	OPEN	1600	1000	2000
CMTFX	COLUMBIA	COLUMBIA GLOBAL TECH GROWTH FD INST	INSTITUTIONAL	NO LOAD	REDEEM ONLY	1600	0	0
CTHRX	COLUMBIA	COLUMBIA GLOBAL TECH GROWTH FD INST 2	INSTITUTIONAL 2	NO LOAD	REDEEM ONLY	1600	0	0
CGTUX	COLUMBIA	COLUMBIA GLOBAL TECH GROWTH INST 3	INSTITUTIONAL 3	NO LOAD	REDEEM ONLY	1600	100000	100000
CGTDX	COLUMBIA	COLUMBIA GLOBAL TECHNOLOGY GROWTH FUND S	S	NO LOAD	REDEEM ONLY	1600	0	0
RSEVX	COLUMBIA	COLUMBIA GLOBAL VALUE FD ADV	ADVISOR	NO LOAD	REDEEM ONLY	1600	0	0
IEVAX	COLUMBIA	COLUMBIA GLOBAL VALUE FD CL A	A	LOAD	OPEN	1600	1000	2000
REVCX	COLUMBIA	COLUMBIA GLOBAL VALUE FD CL C	C	LOAD	OPEN	1600	1000	2000
REVRX	COLUMBIA	COLUMBIA GLOBAL VALUE FD CL R	R	NO LOAD	REDEEM ONLY	1600	0	0
CEVZX	COLUMBIA	COLUMBIA GLOBAL VALUE FD INST	INSTITUTIONAL	NO LOAD	REDEEM ONLY	1600	0	0
RSEYX	COLUMBIA	COLUMBIA GLOBAL VALUE FD INST 2	INSTITUTIONAL 2	NO LOAD	REDEEM ONLY	1600	0	0
CEVYX	COLUMBIA	COLUMBIA GLOBAL VALUE FD INST 3	INSTITUTIONAL 3	NO LOAD	REDEEM ONLY	1600	100000	100000
CEVAX	COLUMBIA	COLUMBIA GLOBAL VALUE FUND S	S	NO LOAD	REDEEM ONLY	1600	0	0
CGMXX	COLUMBIA	COLUMBIA GOVERNMENT MONEY MARKET INST3	INSTITUTIONAL 3	NO LOAD	REDEEM ONLY	1600	0	0

SYMBOL	FUND FAMILY	FUND DESCRIPTION	SHARE CLASS	FUND TYPE	STATUS	CUT- OFF	IRA MIN	NON-IRA MIN
IDSXX	COLUMBIA	COLUMBIA GOVT MONEY MKT A	A	LOAD	OPEN	1600	1000	2000
IDYXX	COLUMBIA	COLUMBIA GOVT MONEY MKT FD INST	INSTITUTIONAL	NO LOAD	REDEEM ONLY	1600	0	0
CMRXX	COLUMBIA	COLUMBIA GOVT MONEY MKT FD INST 2	INSTITUTIONAL 2	NO LOAD	REDEEM ONLY	1600	0	0
CGCHX	COLUMBIA	COLUMBIA GREATER CHINA FD CL ADV	ADVISOR	NO LOAD	REDEEM ONLY	1600	0	0
LNGZX	COLUMBIA	COLUMBIA GREATER CHINA FD INST	INSTITUTIONAL	NO LOAD	REDEEM ONLY	1600	0	0
NGCAX	COLUMBIA	COLUMBIA GREATER CHINA FUND CL A	A	LOAD	OPEN	1600	1000	2000
INEAX	COLUMBIA	COLUMBIA HIGH YIELD BD FD A	A	LOAD	OPEN	1600	1000	2000
APECX	COLUMBIA	COLUMBIA HIGH YIELD BD FD C	C	LOAD	OPEN	1600	1000	2000
CYLRX	COLUMBIA	COLUMBIA HIGH YIELD BD FD CL ADV	ADVISOR	NO LOAD	REDEEM ONLY	1600	0	0
CHYZX	COLUMBIA	COLUMBIA HIGH YIELD BD FD INST	INSTITUTIONAL	NO LOAD	REDEEM ONLY	1600	0	0
RSHRX	COLUMBIA	COLUMBIA HIGH YIELD BD FD INST 2	INSTITUTIONAL 2	NO LOAD	REDEEM ONLY	1600	0	0
CHYYX	COLUMBIA	COLUMBIA HIGH YIELD BD FD INST 3	INSTITUTIONAL 3	NO LOAD	REDEEM ONLY	1600	100000	100000
CHBRX	COLUMBIA	COLUMBIA HIGH YIELD BD R	R	NO LOAD	REDEEM ONLY	1600	0	0
CHYEX	COLUMBIA	COLUMBIA HIGH YIELD BOND FUND S	S	NO LOAD	REDEEM ONLY	1600	0	0
CHIYX	COLUMBIA	COLUMBIA HIGH YIELD MUNI FD CL ADV	ADVISOR	NO LOAD	REDEEM ONLY	1600	0	0
CHMYX	COLUMBIA	COLUMBIA HIGH YIELD MUNI FD INST 2	INSTITUTIONAL 2	NO LOAD	REDEEM ONLY	1600	0	0
CHMCX	COLUMBIA	COLUMBIA HIGH YIELD MUNICIPAL FD CL C	C	LOAD	OPEN	1600	1000	2000
SRHMX	COLUMBIA	COLUMBIA HIGH YIELD MUNICIPAL FD INST	INSTITUTIONAL	NO LOAD	REDEEM ONLY	1600	0	0
SRHDX	COLUMBIA	COLUMBIA HIGH YIELD MUNICIPAL FUND S	S	NO LOAD	REDEEM ONLY	1600	0	0
LHIAX	COLUMBIA	COLUMBIA HIGH YIELD MUNICIPAL FUNDA	A	LOAD	OPEN	1600	1000	2000
CHHYX	COLUMBIA	COLUMBIA HIGH YIELD MUNICIPAL INST3	INSTITUTIONAL 3	NO LOAD	REDEEM ONLY	1600	100000	100000
RBBAX	COLUMBIA	COLUMBIA INCM BUILDER FD A	A	LOAD	OPEN	1600	1000	2000
RBBCX	COLUMBIA	COLUMBIA INCM BUILDER FD C	C	LOAD	OPEN	1600	1000	2000
CBUZX	COLUMBIA	COLUMBIA INCM BUILDER INST	INSTITUTIONAL	NO LOAD	REDEEM ONLY	1600	0	0
CKKRX	COLUMBIA	COLUMBIA INCM BUILDER INST 2	INSTITUTIONAL 2	NO LOAD	REDEEM ONLY	1600	0	0
CIOZX	COLUMBIA	COLUMBIA INCM OPPTS FD INST	INSTITUTIONAL	NO LOAD	REDEEM ONLY	1600	0	0
AIOAX	COLUMBIA	COLUMBIA INCM OPPTY FD A	A	LOAD	OPEN	1600	1000	2000
RIOCX	COLUMBIA	COLUMBIA INCM OPPTY FD C	C	LOAD	OPEN	1600	1000	2000
CIBYX	COLUMBIA	COLUMBIA INCOME BUILDER INST3	INSTITUTIONAL 3	NO LOAD	REDEEM ONLY	1600	100000	100000
CIODX	COLUMBIA	COLUMBIA INCOME OPPORTUNITIES FUND S	S	NO LOAD	REDEEM ONLY	1600	0	0
CPPRX	COLUMBIA	COLUMBIA INCOME OPPTS FD CL ADV	ADVISOR	NO LOAD	REDEEM ONLY	1600	0	0
CEPRX	COLUMBIA	COLUMBIA INCOME OPPTS FD INST 2	INSTITUTIONAL 2	NO LOAD	REDEEM ONLY	1600	0	0
CIOYX	COLUMBIA	COLUMBIA INCOME OPPTS INST 3	INSTITUTIONAL 3	NO LOAD	REDEEM ONLY	1600	100000	100000
ILGCX	COLUMBIA	COLUMBIA INTEGRATED LARGE CAP GWTH A	A	LOAD	OPEN	1600	1000	2000
ILGFX	COLUMBIA	COLUMBIA INTEGRATED LARGE CAP GWTH ADV	ADVISOR	NO LOAD	REDEEM ONLY	1600	0	0
ILGJX	COLUMBIA	COLUMBIA INTEGRATED LARGE CAP GWTH INSTL 3	INSTITUTIONAL 3	NO LOAD	REDEEM ONLY	1600	100000	100000
ILVBX	COLUMBIA	COLUMBIA INTEGRATED LARGE CAP VALUE A	A	LOAD	OPEN	1600	1000	2000
ILVEX	COLUMBIA	COLUMBIA INTEGRATED LARGE CAP VALUE ADV	ADVISOR	NO LOAD	REDEEM ONLY	1600	0	0
ISGLX	COLUMBIA	COLUMBIA INTEGRATED SMALL CAP GROWTH ADV	ADVISOR	NO LOAD	REDEEM ONLY	1600	0	0
ISGDY	COLUMBIA	COLUMBIA INTEGRATED SMALL CAP GWTH A	A	LOAD	OPEN	1600	1000	2000
LITAX	COLUMBIA	COLUMBIA INTERM DUR MUNI BD A	A	LOAD	OPEN	1600	1000	2000

SYMBOL	FUND FAMILY	FUND DESCRIPTION	SHARE CLASS	FUND TYPE	STATUS	CUT- OFF	IRA MIN	NON-IRA MIN
CIMRX	COLUMBIA	COLUMBIA INTERM DUR MUNI BD ADV	ADVISOR	NO LOAD	REDEEM ONLY	1600	0	0
LITCX	COLUMBIA	COLUMBIA INTERM DUR MUNI BD C	C	LOAD	OPEN	1600	1000	2000
SETMX	COLUMBIA	COLUMBIA INTERM DUR MUNI BD INST	INSTITUTIONAL	NO LOAD	REDEEM ONLY	1600	0	0
CTMRX	COLUMBIA	COLUMBIA INTERM DUR MUNI BD INST 2	INSTITUTIONAL 2	NO LOAD	REDEEM ONLY	1600	0	0
SETDX	COLUMBIA	COLUMBIA INTERMEDIATE DURATION MUNICIPAL BOND FUN S	S	NO LOAD	REDEEM ONLY	1600	0	0
CADPX	COLUMBIA	COLUMBIA INTERNATIONAL DIV INC INST2	INSTITUTIONAL 2	NO LOAD	REDEEM ONLY	1600	0	0
CLSYX	COLUMBIA	COLUMBIA INTERNATIONAL DIV INC INST3	INSTITUTIONAL 3	NO LOAD	REDEEM ONLY	1600	100000	100000
CSVAX	COLUMBIA	COLUMBIA INTERNATIONAL DIVIDEND INCOME A	A	LOAD	OPEN	1600	1000	2000
CSVEX	COLUMBIA	COLUMBIA INTERNATIONAL DIVIDEND INCOME FUND S	S	NO LOAD	REDEEM ONLY	1600	0	0
CSVFX	COLUMBIA	COLUMBIA INTERNATIONAL DIVIDEND INCOME INST	INSTITUTIONAL	NO LOAD	REDEEM ONLY	1600	0	0
NMIAX	COLUMBIA	COLUMBIA LARGE CAP ENHANCED CORE FD CL A	A	NO LOAD	OPEN	1600	1000	2000
CECFX	COLUMBIA	COLUMBIA LARGE CAP ENHANCED CORE FD CL ADV	ADVISOR	NO LOAD	REDEEM ONLY	1600	0	0
NMIMX	COLUMBIA	COLUMBIA LARGE CAP ENHANCED CORE FD INST	INSTITUTIONAL	NO LOAD	REDEEM ONLY	1600	0	0
NMIDX	COLUMBIA	COLUMBIA LARGE CAP ENHANCED CORE FUND S	S	NO LOAD	REDEEM ONLY	1600	0	0
CECYX	COLUMBIA	COLUMBIA LARGE CAP ENHANCED CORE INST3	INSTITUTIONAL 3	NO LOAD	REDEEM ONLY	1600	100000	100000
CCGRX	COLUMBIA	COLUMBIA LARGE CAP GROWTH FD CL ADV	ADVISOR	NO LOAD	REDEEM ONLY	1600	0	0
GEGTX	COLUMBIA	COLUMBIA LARGE CAP GROWTH FD INST	INSTITUTIONAL	NO LOAD	REDEEM ONLY	1600	0	0
CLWFX	COLUMBIA	COLUMBIA LARGE CAP GROWTH FD INST 2	INSTITUTIONAL 2	NO LOAD	REDEEM ONLY	1600	0	0
LEGAX	COLUMBIA	COLUMBIA LARGE CAP GROWTH FUND CL A	A	LOAD	OPEN	1600	1000	2000
LEGCX	COLUMBIA	COLUMBIA LARGE CAP GROWTH FUND CLASS C	C	LOAD	OPEN	1600	1000	2000
GEGDX	COLUMBIA	COLUMBIA LARGE CAP GROWTH FUND S	S	NO LOAD	REDEEM ONLY	1600	0	0
CGFYX	COLUMBIA	COLUMBIA LARGE CAP GROWTH INST3	INSTITUTIONAL 3	NO LOAD	REDEEM ONLY	1600	100000	100000
CLRYX	COLUMBIA	COLUMBIA LARGE CAP GROWTH OPP INST3	INSTITUTIONAL 3	NO LOAD	REDEEM ONLY	1600	100000	100000
NFEDX	COLUMBIA	COLUMBIA LARGE CAP GROWTH OPPORTUNITY FUND S	S	NO LOAD	REDEEM ONLY	1600	0	0
CSFRX	COLUMBIA	COLUMBIA LARGE CAP GWTH OPPTY FD ADV	ADVISOR	NO LOAD	REDEEM ONLY	1600	0	0
NFEAX	COLUMBIA	COLUMBIA LARGE CAP GWTH OPPTY FD CL A	A	LOAD	OPEN	1600	1000	2000
NFEPX	COLUMBIA	COLUMBIA LARGE CAP GWTH OPPTY FD INST	INSTITUTIONAL	NO LOAD	REDEEM ONLY	1600	0	0
CADRX	COLUMBIA	COLUMBIA LARGE CAP GWTH OPPTY FD INST 2	INSTITUTIONAL 2	NO LOAD	REDEEM ONLY	1600	0	0
NINDX	COLUMBIA	COLUMBIA LARGE CAP INDEX FD INST	INSTITUTIONAL	NO LOAD	REDEEM ONLY	1600	0	0
CLRX	COLUMBIA	COLUMBIA LARGE CAP INDEX FD INST 2	INSTITUTIONAL 2	NO LOAD	REDEEM ONLY	1600	0	0
NEIAX	COLUMBIA	COLUMBIA LARGE CAP INDEX FUND A	A	NO LOAD	OPEN	1600	1000	2000
CLPYX	COLUMBIA	COLUMBIA LARGE CAP INDEX INST3	INSTITUTIONAL 3	NO LOAD	REDEEM ONLY	1600	100000	100000
INDZX	COLUMBIA	COLUMBIA LARGE CAP VALUE FD CL A	A	LOAD	OPEN	1600	1000	2000
RDERX	COLUMBIA	COLUMBIA LARGE CAP VALUE FD CL ADV	ADVISOR	NO LOAD	REDEEM ONLY	1600	0	0
ADECX	COLUMBIA	COLUMBIA LARGE CAP VALUE FD CL C	C	LOAD	OPEN	1600	1000	2000
CDVZX	COLUMBIA	COLUMBIA LARGE CAP VALUE FD CL INST	INSTITUTIONAL	NO LOAD	REDEEM ONLY	1600	0	0
RSEDX	COLUMBIA	COLUMBIA LARGE CAP VALUE FD CL INST 2	INSTITUTIONAL 2	NO LOAD	REDEEM ONLY	1600	0	0
CDEYX	COLUMBIA	COLUMBIA LARGE CAP VALUE INST3	INSTITUTIONAL 3	NO LOAD	REDEEM ONLY	1600	100000	100000
CDLRX	COLUMBIA	COLUMBIA LIMITED DUR CREDIT FD CL ADV	ADVISOR	NO LOAD	REDEEM ONLY	1600	0	0
CTLRX	COLUMBIA	COLUMBIA LIMITED DUR CREDIT FD INST 2	INSTITUTIONAL 2	NO LOAD	REDEEM ONLY	1600	0	0
CLDZX	COLUMBIA	COLUMBIA LIMITED DURATION CREDIT INST	INSTITUTIONAL	NO LOAD	REDEEM ONLY	1600	0	0

SYMBOL	FUND FAMILY	FUND DESCRIPTION	SHARE CLASS	FUND TYPE	STATUS	CUT- OFF	IRA MIN	NON-IRA MIN
ALDAX	COLUMBIA	COLUMBIA LMTD DURATION CREDIT FD A	A	LOAD	OPEN	1600	1000	2000
RDCLX	COLUMBIA	COLUMBIA LMTD DURATION CREDIT FD C	C	LOAD	OPEN	1600	1000	2000
LMIAX	COLUMBIA	COLUMBIA MA INTERM MUNI BD FD CL A	A	LOAD	OPEN	1600	1000	2000
SEMAX	COLUMBIA	COLUMBIA MA INTERM MUNI BD FD INST	INSTITUTIONAL	NO LOAD	REDEEM ONLY	1600	0	0
CMDYX	COLUMBIA	COLUMBIA MID CAP INDEX FD CL INST 3	INSTITUTIONAL 3	NO LOAD	REDEEM ONLY	1600	100000	100000
NMPAX	COLUMBIA	COLUMBIA MID CAP INDEX FD INST	INSTITUTIONAL	NO LOAD	REDEEM ONLY	1600	0	0
CPXRX	COLUMBIA	COLUMBIA MID CAP INDEX FD INST 2	INSTITUTIONAL 2	NO LOAD	REDEEM ONLY	1600	0	0
NTIAX	COLUMBIA	COLUMBIA MID CAP INDEX FUND A	A	NO LOAD	OPEN	1600	1000	2000
IMNTX	COLUMBIA	COLUMBIA MINNESOTA TAXEXEMPT FD A	A	LOAD	OPEN	1600	1000	2000
RMTCX	COLUMBIA	COLUMBIA MINNESOTA TAXEXEMPT FD C	C	LOAD	OPEN	1600	1000	2000
CMNZX	COLUMBIA	COLUMBIA MINNESOTA TAXEXEMPT INST	INSTITUTIONAL	NO LOAD	REDEEM ONLY	1600	0	0
CADOX	COLUMBIA	COLUMBIA MINNESOTA TAXEXEMPT INST2	INSTITUTIONAL 2	NO LOAD	REDEEM ONLY	1600	0	0
CLMCX	COLUMBIA	COLUMBIA MORTGAGE OPPORTUNITIES C	C	LOAD	OPEN	1600	0	0
CLMDX	COLUMBIA	COLUMBIA MORTGAGE OPPORTUNITIES FUND S	S	NO LOAD	REDEEM ONLY	1600	0	0
CMOYX	COLUMBIA	COLUMBIA MORTGAGE OPPORTUNITIES INST3	INSTITUTIONAL 3	NO LOAD	REDEEM ONLY	1600	100000	100000
CLMAX	COLUMBIA	COLUMBIA MORTGAGE OPPTY FD A	A	LOAD	OPEN	1600	1000	2500
CLMFX	COLUMBIA	COLUMBIA MORTGAGE OPPTY FD CL ADV	ADVISOR	NO LOAD	REDEEM ONLY	1600	0	0
CLMZX	COLUMBIA	COLUMBIA MORTGAGE OPPTY FD INST	INSTITUTIONAL	NO LOAD	REDEEM ONLY	1600	0	0
CLMVX	COLUMBIA	COLUMBIA MORTGAGE OPPTY FD INST 2	INSTITUTIONAL 2	NO LOAD	REDEEM ONLY	1600	0	0
CLFUX	COLUMBIA	COLUMBIA MULTI STRAT ALTERNATIVES FD ADV	ADVISOR	NO LOAD	REDEEM ONLY	1600	0	0
CLAAX	COLUMBIA	COLUMBIA MULTI STRAT ALTERNATIVES FD CL A	A	LOAD	OPEN	1600	1000	2500
CLAZX	COLUMBIA	COLUMBIA MULTI STRAT ALTS INST	INSTITUTIONAL	NO LOAD	REDEEM ONLY	1600	0	0
CNYUX	COLUMBIA	COLUMBIA NEW YORK ITMT MUNICPL BD INST2	INSTITUTIONAL 2	NO LOAD	REDEEM ONLY	1600	0	0
LNAX	COLUMBIA	COLUMBIA NY INTERM MUNI BD FD CL A	A	LOAD	OPEN	1600	1000	2000
GNYTX	COLUMBIA	COLUMBIA NY INTERM MUNI BD FD INST	INSTITUTIONAL	NO LOAD	REDEEM ONLY	1600	0	0
COEAX	COLUMBIA	COLUMBIA OR INTERM MUNI BD FD CL A	A	LOAD	OPEN	1600	1000	2000
CORMX	COLUMBIA	COLUMBIA OR INTERM MUNI BD FD CL ADV	ADVISOR	NO LOAD	REDEEM ONLY	1600	0	0
CMBFX	COLUMBIA	COLUMBIA OR INTERM MUNI BD FD INST	INSTITUTIONAL	NO LOAD	REDEEM ONLY	1600	0	0
CODRX	COLUMBIA	COLUMBIA OR INTERM MUNI BD FD INST 2	INSTITUTIONAL 2	NO LOAD	REDEEM ONLY	1600	0	0
CMBCX	COLUMBIA	COLUMBIA OREGON INTERMEDIATE MUNICIPAL BOND FUND	S	NO LOAD	REDEEM ONLY	1600	0	0
COSAX	COLUMBIA	COLUMBIA OVERSEAS CORE A	A	LOAD	OPEN	1600	0	0
COSNX	COLUMBIA	COLUMBIA OVERSEAS CORE INSTITUTIONAL	INSTITUTIONAL	NO LOAD	REDEEM ONLY	1600	0	0
COSTX	COLUMBIA	COLUMBIA OVERSEAS CORE INSTITUTIONAL 2	INSTITUTIONAL 2	NO LOAD	REDEEM ONLY	1600	0	0
OSCBX	COLUMBIA	COLUMBIA OVERSEAS SMA COMPLETION	NO LOAD	NO LOAD	REDEEM ONLY	1600	100000	100000
COAVX	COLUMBIA	COLUMBIA OVERSEAS VALUE A	A	LOAD	OPEN	1600	1000	2000
COCVX	COLUMBIA	COLUMBIA OVERSEAS VALUE C	C	LOAD	OPEN	1600	1000	2000
COSVX	COLUMBIA	COLUMBIA OVERSEAS VALUE FD CL ADV	ADVISOR	NO LOAD	REDEEM ONLY	1600	0	0
COSZX	COLUMBIA	COLUMBIA OVERSEAS VALUE FD INST	INSTITUTIONAL	NO LOAD	REDEEM ONLY	1600	0	0
COSSX	COLUMBIA	COLUMBIA OVERSEAS VALUE FD INST 2	INSTITUTIONAL 2	NO LOAD	REDEEM ONLY	1600	0	0
COSBX	COLUMBIA	COLUMBIA OVERSEAS VALUE FUND S	S	NO LOAD	REDEEM ONLY	1600	0	0
COSYX	COLUMBIA	COLUMBIA OVERSEAS VALUE INST 3	INSTITUTIONAL 3	NO LOAD	REDEEM ONLY	1600	100000	100000

SYMBOL	FUND FAMILY	FUND DESCRIPTION	SHARE CLASS	FUND TYPE	STATUS	CUT-OFF	IRA MIN	NON-IRA MIN
PISOX	COLUMBIA	COLUMBIA PYRFORD INTERNATIONAL STK INS 2	INSTITUTIONAL 2	NO LOAD	REDEEM ONLY	1600	0	0
CUVRX	COLUMBIA	COLUMBIA QUALITY INCOME FD ADV	ADVISOR	NO LOAD	REDEEM ONLY	1600	0	0
AUGAX	COLUMBIA	COLUMBIA QUALITY INCOME FD CL A	A	LOAD	OPEN	1600	1000	2000
AUGCX	COLUMBIA	COLUMBIA QUALITY INCOME FD CL C	C	LOAD	OPEN	1600	1000	2000
CUGZX	COLUMBIA	COLUMBIA QUALITY INCOME FD INST	INSTITUTIONAL	NO LOAD	REDEEM ONLY	1600	0	0
CGVRX	COLUMBIA	COLUMBIA QUALITY INCOME FD INST 2	INSTITUTIONAL 2	NO LOAD	REDEEM ONLY	1600	0	0
CUGYX	COLUMBIA	COLUMBIA QUALITY INCOME FD INST 3	INSTITUTIONAL 3	NO LOAD	REDEEM ONLY	1600	100000	100000
CRERX	COLUMBIA	COLUMBIA REAL ESTATE EQTY FD CL ADV	ADVISOR	NO LOAD	REDEEM ONLY	1600	0	0
CRRVX	COLUMBIA	COLUMBIA REAL ESTATE EQTY FD INST 2	INSTITUTIONAL 2	NO LOAD	REDEEM ONLY	1600	0	0
CREEX	COLUMBIA	COLUMBIA REAL ESTATE EQUITY FD INST	INSTITUTIONAL	NO LOAD	REDEEM ONLY	1600	0	0
CREAX	COLUMBIA	COLUMBIA REAL ESTATE EQUITY FUND CL A	A	LOAD	OPEN	1600	1000	2000
CREHX	COLUMBIA	COLUMBIA REAL ESTATE EQUITY FUND S	S	NO LOAD	REDEEM ONLY	1600	0	0
CREYX	COLUMBIA	COLUMBIA REAL ESTATE EQUITY INST3	INSTITUTIONAL 3	NO LOAD	REDEEM ONLY	1600	100000	100000
IGLGX	COLUMBIA	COLUMBIA SELECT GLOBAL EQTY A	A	LOAD	OPEN	1600	1000	2000
RGCEX	COLUMBIA	COLUMBIA SELECT GLOBAL EQTY C	C	LOAD	OPEN	1600	1000	2000
CGEZX	COLUMBIA	COLUMBIA SELECT GLOBAL EQTY FD INST	INSTITUTIONAL	NO LOAD	REDEEM ONLY	1600	0	0
RGERX	COLUMBIA	COLUMBIA SELECT GLOBAL EQTY FD INST 2	INSTITUTIONAL 2	NO LOAD	REDEEM ONLY	1600	0	0
CSEYX	COLUMBIA	COLUMBIA SELECT GLOBAL EQUITY INST3	INSTITUTIONAL 3	NO LOAD	REDEEM ONLY	1600	100000	100000
NSGAX	COLUMBIA	COLUMBIA SELECT LARGE CAP EQUITY FD CL A	A	LOAD	OPEN	1600	1000	2000
NSGCX	COLUMBIA	COLUMBIA SELECT LARGE CAP EQUITY FD CL C	C	LOAD	OPEN	1600	1000	2000
NSEPX	COLUMBIA	COLUMBIA SELECT LARGE CAP EQUITY FD INST	INSTITUTIONAL	NO LOAD	REDEEM ONLY	1600	0	0
CLCRX	COLUMBIA	COLUMBIA SELECT LARGE CAP EQUITY FD INST 2	INSTITUTIONAL 2	NO LOAD	REDEEM ONLY	1600	0	0
NSEAX	COLUMBIA	COLUMBIA SELECT LARGE CAP EQUITY FUND S	S	NO LOAD	REDEEM ONLY	1600	0	0
CLEYX	COLUMBIA	COLUMBIA SELECT LARGE CAP EQUITY INST3	INSTITUTIONAL 3	NO LOAD	REDEEM ONLY	1600	100000	100000
ELGAX	COLUMBIA	COLUMBIA SELECT LARGE CAP GROWTH A	A	LOAD	OPEN	1600	1000	2000
UMLGX	COLUMBIA	COLUMBIA SELECT LARGE CAP GROWTH FD INST	INSTITUTIONAL	NO LOAD	REDEEM ONLY	1600	0	0
UMLAX	COLUMBIA	COLUMBIA SELECT LARGE CAP GROWTH FUND S	S	NO LOAD	REDEEM ONLY	1600	0	0
CSRRX	COLUMBIA	COLUMBIA SELECT LARGE CAP GRWTH FD CL ADV	ADVISOR	NO LOAD	REDEEM ONLY	1600	0	0
CGTRX	COLUMBIA	COLUMBIA SELECT LARGE CAP GRWTH FD INST 2	INSTITUTIONAL 2	NO LOAD	REDEEM ONLY	1600	0	0
CCWRX	COLUMBIA	COLUMBIA SELECT LARGE CAP GRWTH FD INST 3	INSTITUTIONAL 3	NO LOAD	REDEEM ONLY	1600	100000	100000
CSERX	COLUMBIA	COLUMBIA SELECT LARGE CAP VALUE ADV CL	ADVISOR	NO LOAD	REDEEM ONLY	1600	0	0
SLVAX	COLUMBIA	COLUMBIA SELECT LARGE CAP VALUE FD CL A	A	LOAD	OPEN	1600	1000	2000
SVLCX	COLUMBIA	COLUMBIA SELECT LARGE CAP VALUE FD CL C	C	LOAD	OPEN	1600	1000	2000
CSVGX	COLUMBIA	COLUMBIA SELECT LARGE CAP VALUE FUND S	S	NO LOAD	REDEEM ONLY	1600	0	0
CSVZX	COLUMBIA	COLUMBIA SELECT LARGE CAP VALUE INST	INSTITUTIONAL	NO LOAD	REDEEM ONLY	1600	0	0
SLVIX	COLUMBIA	COLUMBIA SELECT LARGE CAP VALUE INST 2	INSTITUTIONAL 2	NO LOAD	REDEEM ONLY	1600	0	0
CSRYX	COLUMBIA	COLUMBIA SELECT LARGE CAP VALUE INST3	INSTITUTIONAL 3	NO LOAD	REDEEM ONLY	1600	100000	100000
CMVYX	COLUMBIA	COLUMBIA SELECT MID CAP FD INST 3	INSTITUTIONAL 3	NO LOAD	REDEEM ONLY	1600	100000	100000
CBSAX	COLUMBIA	COLUMBIA SELECT MID CAP GROWTH A	A	LOAD	OPEN	1600	1000	2000
CPGRX	COLUMBIA	COLUMBIA SELECT MID CAP GROWTH ADV	ADVISOR	NO LOAD	REDEEM ONLY	1600	0	0
CMCCX	COLUMBIA	COLUMBIA SELECT MID CAP GROWTH C	C	LOAD	OPEN	1600	1000	2000

SYMBOL	FUND FAMILY	FUND DESCRIPTION	SHARE CLASS	FUND TYPE	STATUS	CUT-OFF	IRA MIN	NON-IRA MIN
CLSDX	COLUMBIA	COLUMBIA SELECT MID CAP GROWTH FUND S	S	NO LOAD	REDEEM ONLY	1600	0	0
CLSPX	COLUMBIA	COLUMBIA SELECT MID CAP GROWTH I	INSTITUTIONAL	NO LOAD	REDEEM ONLY	1600	0	0
CMGVX	COLUMBIA	COLUMBIA SELECT MID CAP GROWTH I2	INSTITUTIONAL 2	NO LOAD	REDEEM ONLY	1600	0	0
CMGYX	COLUMBIA	COLUMBIA SELECT MID CAP GROWTH I3	INSTITUTIONAL 3	NO LOAD	REDEEM ONLY	1600	100000	100000
NAMBX	COLUMBIA	COLUMBIA SELECT MID CAP VALUE FUND S	S	NO LOAD	REDEEM ONLY	1600	0	0
CSPRX	COLUMBIA	COLUMBIA SELECT SMALL CAP VALUE ADV	ADVISOR	NO LOAD	REDEEM ONLY	1600	0	0
SSCVX	COLUMBIA	COLUMBIA SELECT SMALL CAP VALUE FD CL A	A	LOAD	OPEN	1600	1000	2000
CSSZX	COLUMBIA	COLUMBIA SELECT SMALL CAP VALUE INST	INSTITUTIONAL	NO LOAD	REDEEM ONLY	1600	0	0
SSVIX	COLUMBIA	COLUMBIA SELECT SMALL CAP VALUE INST 2	INSTITUTIONAL 2	NO LOAD	REDEEM ONLY	1600	0	0
SHGTX	COLUMBIA	COLUMBIA SELIGMAN GLOBAL TECH FD A	A	LOAD	OPEN	1600	1000	2000
SHTCX	COLUMBIA	COLUMBIA SELIGMAN GLOBAL TECH FD C	C	LOAD	OPEN	1600	1000	2000
CCHRX	COLUMBIA	COLUMBIA SELIGMAN GLOBAL TECH FD CL ADV	ADVISOR	NO LOAD	REDEEM ONLY	1600	0	0
SGTTX	COLUMBIA	COLUMBIA SELIGMAN GLOBAL TECH FD INST 2	INSTITUTIONAL 2	NO LOAD	REDEEM ONLY	1600	0	0
CSGZX	COLUMBIA	COLUMBIA SELIGMAN GLOBAL TECH INST	INSTITUTIONAL	NO LOAD	REDEEM ONLY	1600	0	0
CSGAX	COLUMBIA	COLUMBIA SELIGMAN GLOBAL TECHNOLOGY FUND S	S	NO LOAD	REDEEM ONLY	1600	0	0
SCIOX	COLUMBIA	COLUMBIA SELIGMAN TECH AND INFO FD ADV	ADVISOR	NO LOAD	REDEEM ONLY	1600	0	0
SLMCX	COLUMBIA	COLUMBIA SELIGMAN TECH AND INFO FD CL A	A	LOAD	OPEN	1600	1000	2000
SCICX	COLUMBIA	COLUMBIA SELIGMAN TECH AND INFO FD CL C	C	LOAD	OPEN	1600	1000	2000
CCIZX	COLUMBIA	COLUMBIA SELIGMAN TECH AND INFO FD INST	INSTITUTIONAL	NO LOAD	REDEEM ONLY	1600	0	0
SCMIX	COLUMBIA	COLUMBIA SELIGMAN TECH AND INFO FD INST 2	INSTITUTIONAL 2	NO LOAD	REDEEM ONLY	1600	0	0
CCOYX	COLUMBIA	COLUMBIA SELIGMAN TECH AND INFO FD INST 3	INSTITUTIONAL 3	NO LOAD	REDEEM ONLY	1600	100000	100000
CCIFX	COLUMBIA	COLUMBIA SELIGMAN TECHNOLOGY AND INFORMATION FUN S	S	NO LOAD	REDEEM ONLY	1600	0	0
NSMMX	COLUMBIA	COLUMBIA SHORT DUR MUNI BD A	A	LOAD	OPEN	1600	1000	2000
CSMTX	COLUMBIA	COLUMBIA SHORT DUR MUNI BD ADV	ADVISOR	NO LOAD	REDEEM ONLY	1600	0	0
NSMIX	COLUMBIA	COLUMBIA SHORT DUR MUNI BD INST	INSTITUTIONAL	NO LOAD	REDEEM ONLY	1600	0	0
CNNRX	COLUMBIA	COLUMBIA SHORT DUR MUNI BD INST 2	INSTITUTIONAL 2	NO LOAD	REDEEM ONLY	1600	0	0
CSMYX	COLUMBIA	COLUMBIA SHORT DUR MUNI BD INST 3	INSTITUTIONAL 3	NO LOAD	REDEEM ONLY	1600	100000	100000
NSTDY	COLUMBIA	COLUMBIA SHORT DURATION MUNICIPAL BOND FUND S	S	NO LOAD	REDEEM ONLY	1600	0	0
CCBRX	COLUMBIA	COLUMBIA SHORT TERM BD FD INST 2	INSTITUTIONAL 2	NO LOAD	REDEEM ONLY	1600	0	0
CMDRX	COLUMBIA	COLUMBIA SHORT TERM BOND FD CL ADV	ADVISOR	NO LOAD	REDEEM ONLY	1600	0	0
NSTMX	COLUMBIA	COLUMBIA SHORT TERM BOND FD INST	INSTITUTIONAL	NO LOAD	REDEEM ONLY	1600	0	0
CSBYX	COLUMBIA	COLUMBIA SHORT TERM BOND FD INST 3	INSTITUTIONAL 3	NO LOAD	REDEEM ONLY	1600	100000	100000
NSTRX	COLUMBIA	COLUMBIA SHORT TERM BOND FUND CLASS A	A	LOAD	OPEN	1600	1000	2000
NSTIX	COLUMBIA	COLUMBIA SHORTTERM BOND C	C	LOAD	OPEN	1600	1000	2000
CMUAX	COLUMBIA	COLUMBIA SLCT MID CAP VALUE FD CL A	A	LOAD	OPEN	1600	1000	2000
CFDRX	COLUMBIA	COLUMBIA SLCT MID CAP VALUE FD CL ADV	ADVISOR	NO LOAD	REDEEM ONLY	1600	0	0
CMUCX	COLUMBIA	COLUMBIA SLCT MID CAP VALUE FD CL C	C	LOAD	OPEN	1600	1000	2000
CMVRX	COLUMBIA	COLUMBIA SLCT MID CAP VALUE FD CL R	R	NO LOAD	REDEEM ONLY	1600	0	0
NAMAX	COLUMBIA	COLUMBIA SLCT MID CAP VALUE FD INST	INSTITUTIONAL	NO LOAD	REDEEM ONLY	1600	0	0
CVERX	COLUMBIA	COLUMBIA SLCT MID CAP VALUE FD INST 2	INSTITUTIONAL 2	NO LOAD	REDEEM ONLY	1600	0	0
CHHRX	COLUMBIA	COLUMBIA SMALL CAP GROWTH FD ADV	ADVISOR	NO LOAD	REDEEM ONLY	1600	0	0

SYMBOL	FUND FAMILY	FUND DESCRIPTION	SHARE CLASS	FUND TYPE	STATUS	CUT- OFF	IRA MIN	NON-IRA MIN
CGOAX	COLUMBIA	COLUMBIA SMALL CAP GROWTH FD CL A	A	LOAD	OPEN	1600	1000	2000
CGOCX	COLUMBIA	COLUMBIA SMALL CAP GROWTH FD CL C	C	LOAD	OPEN	1600	1000	2000
CMSCX	COLUMBIA	COLUMBIA SMALL CAP GROWTH FD INST	INSTITUTIONAL	NO LOAD	REDEEM ONLY	1600	0	0
CSCRX	COLUMBIA	COLUMBIA SMALL CAP GROWTH FD INST 2	INSTITUTIONAL 2	NO LOAD	REDEEM ONLY	1600	0	0
CSGYX	COLUMBIA	COLUMBIA SMALL CAP GROWTH FD INST 3	INSTITUTIONAL 3	NO LOAD	REDEEM ONLY	1600	100000	100000
CMSHX	COLUMBIA	COLUMBIA SMALL CAP GROWTH FUND S	S	NO LOAD	REDEEM ONLY	1600	0	0
NMSCX	COLUMBIA	COLUMBIA SMALL CAP INDEX FD INST	INSTITUTIONAL	NO LOAD	REDEEM ONLY	1600	0	0
CXXRX	COLUMBIA	COLUMBIA SMALL CAP INDEX FD INST 2	INSTITUTIONAL 2	NO LOAD	REDEEM ONLY	1600	0	0
NMSAX	COLUMBIA	COLUMBIA SMALL CAP INDEX FUND CLASS A	A	NO LOAD	OPEN	1600	1000	2000
NSVBX	COLUMBIA	COLUMBIA SMALL CAP VALUE FUND II S	S	NO LOAD	REDEEM ONLY	1600	0	0
CSVYX	COLUMBIA	COLUMBIA SMALL CAP VALUE I CL INST 3	INSTITUTIONAL 3	NO LOAD	REDEEM ONLY	1600	100000	100000
CVVRX	COLUMBIA	COLUMBIA SMALL CAP VALUE I FD CL ADV	ADVISOR	NO LOAD	REDEEM ONLY	1600	0	0
CSCZX	COLUMBIA	COLUMBIA SMALL CAP VALUE I FD INST	INSTITUTIONAL	NO LOAD	REDEEM ONLY	1600	0	0
CUURX	COLUMBIA	COLUMBIA SMALL CAP VALUE I FD INST 2	INSTITUTIONAL 2	NO LOAD	REDEEM ONLY	1600	0	0
CSSCX	COLUMBIA	COLUMBIA SMALL CAP VALUE I FUND C	C	LOAD	OPEN	1600	1000	2000
CSMIX	COLUMBIA	COLUMBIA SMALL CAP VALUE I FUND CL A	A	LOAD	SUBS ONLY	1600	1000	2000
COVAX	COLUMBIA	COLUMBIA SMALL CAP VALUE II CL A	A	LOAD	OPEN	1600	1000	2000
CRRYX	COLUMBIA	COLUMBIA SMALL CAP VALUE II CL INST 3	INSTITUTIONAL 3	NO LOAD	REDEEM ONLY	1600	100000	100000
CLURX	COLUMBIA	COLUMBIA SMALL CAP VALUE II FD CL ADV	ADVISOR	NO LOAD	REDEEM ONLY	1600	0	0
NSVAX	COLUMBIA	COLUMBIA SMALL CAP VALUE II FD INST	INSTITUTIONAL	NO LOAD	REDEEM ONLY	1600	0	0
CRRRX	COLUMBIA	COLUMBIA SMALL CAP VALUE II FD INST 2	INSTITUTIONAL 2	NO LOAD	REDEEM ONLY	1600	0	0
CCARX	COLUMBIA	COLUMBIA STRAT CA MUNCPL INC ADV	ADVISOR	NO LOAD	REDEEM ONLY	1600	0	0
CCXYX	COLUMBIA	COLUMBIA STRAT CA MUNCPL INC INST3	INSTITUTIONAL 3	NO LOAD	REDEEM ONLY	1600	100000	100000
CCAZX	COLUMBIA	COLUMBIA STRATEGIC CA MUNI INCM INST	INSTITUTIONAL	NO LOAD	REDEEM ONLY	1600	0	0
CCAUX	COLUMBIA	COLUMBIA STRATEGIC CA MUNI INCM INST 2	INSTITUTIONAL 2	NO LOAD	REDEEM ONLY	1600	0	0
CLMPX	COLUMBIA	COLUMBIA STRATEGIC CA MUNICIPAL INCOME FUND CLASS A	A	LOAD	OPEN	1600	1000	2000
CCAOX	COLUMBIA	COLUMBIA STRATEGIC CA MUNICIPAL INCOME FUND CLASS C	C	LOAD	OPEN	1600	1000	2000
CMNRX	COLUMBIA	COLUMBIA STRATEGIC INC FD CL ADV	ADVISOR	NO LOAD	REDEEM ONLY	1600	0	0
CTIVX	COLUMBIA	COLUMBIA STRATEGIC INCM FD INST 2	INSTITUTIONAL 2	NO LOAD	REDEEM ONLY	1600	0	0
CPHUX	COLUMBIA	COLUMBIA STRATEGIC INCM FD INST 3	INSTITUTIONAL 3	NO LOAD	REDEEM ONLY	1600	100000	100000
LSIZX	COLUMBIA	COLUMBIA STRATEGIC INCOME FD INST	INSTITUTIONAL	NO LOAD	REDEEM ONLY	1600	0	0
COSIX	COLUMBIA	COLUMBIA STRATEGIC INCOME FUND A	A	LOAD	OPEN	1600	1000	2000
CLSCX	COLUMBIA	COLUMBIA STRATEGIC INCOME FUND CLASS C	C	LOAD	OPEN	1600	1000	2000
LSIDX	COLUMBIA	COLUMBIA STRATEGIC INCOME FUND S	S	NO LOAD	REDEEM ONLY	1600	0	0
CSCQX	COLUMBIA	COLUMBIA STRATEGIC INCOME FUND S	S	NO LOAD	REDEEM ONLY	1600	0	0
CATRX	COLUMBIA	COLUMBIA STRATEGIC MUNI INCM ADV	ADVISOR	NO LOAD	REDEEM ONLY	1600	0	0
INTAX	COLUMBIA	COLUMBIA STRATEGIC MUNI INCM CL A	A	LOAD	OPEN	1600	1000	2000
CADNX	COLUMBIA	COLUMBIA STRATEGIC MUNI INCM FD INST 2	INSTITUTIONAL 2	NO LOAD	REDEEM ONLY	1600	0	0
RTCEX	COLUMBIA	COLUMBIA STRATEGIC MUNI INCOME FD CL C	C	LOAD	OPEN	1600	1000	2000
CATZX	COLUMBIA	COLUMBIA STRATEGIC MUNI INCOME FD INST	INSTITUTIONAL	NO LOAD	REDEEM ONLY	1600	0	0
CATYX	COLUMBIA	COLUMBIA STRATEGIC MUNICIPAL INC INST3	INSTITUTIONAL 3	NO LOAD	REDEEM ONLY	1600	100000	100000

SYMBOL	FUND FAMILY	FUND DESCRIPTION	SHARE CLASS	FUND TYPE	STATUS	CUT- OFF	IRA MIN	NON-IRA MIN
CATSX	COLUMBIA	COLUMBIA STRATEGIC MUNICIPAL INCOME FUND S	S	NO LOAD	REDEEM ONLY	1600	0	0
CNYEX	COLUMBIA	COLUMBIA STRATEGIC NY MUNICIPAL INCOME FUND CL ADV	ADVISOR	NO LOAD	REDEEM ONLY	1600	0	0
CNYRX	COLUMBIA	COLUMBIA STRATEGIC NY MUNICIPAL INCOME FUND CL INST	INSTITUTIONAL 2	NO LOAD	REDEEM ONLY	1600	0	0
COLNX	COLUMBIA	COLUMBIA STRATEGIC NY MUNICIPAL INCOME FUND CLASS	A	LOAD	OPEN	1600	1000	2000
CNYCX	COLUMBIA	COLUMBIA STRATEGIC NY MUNICIPAL INCOME FUND CLASS	C	LOAD	OPEN	1600	1000	2000
CTERX	COLUMBIA	COLUMBIA TAX EXEMPT FD CL ADV	ADVISOR	NO LOAD	REDEEM ONLY	1600	0	0
CTEZC	COLUMBIA	COLUMBIA TAX EXEMPT FD INST	INSTITUTIONAL	NO LOAD	REDEEM ONLY	1600	0	0
CADMX	COLUMBIA	COLUMBIA TAX EXEMPT FD INST 2	INSTITUTIONAL 2	NO LOAD	REDEEM ONLY	1600	0	0
COLTX	COLUMBIA	COLUMBIA TAX EXEMPT FUND CL A	A	LOAD	OPEN	1600	1000	2000
COLCX	COLUMBIA	COLUMBIA TAXEXEMPT FUND CLASS C	C	LOAD	OPEN	1600	1000	2000
CTEDX	COLUMBIA	COLUMBIA TAXEXEMPT FUND S	S	NO LOAD	REDEEM ONLY	1600	0	0
CTEYX	COLUMBIA	COLUMBIA TAXEXEMPT INST3	INSTITUTIONAL 3	NO LOAD	REDEEM ONLY	1600	100000	100000
CTORX	COLUMBIA	COLUMBIA THERMOSTAT FD CL ADV	ADVISOR	NO LOAD	REDEEM ONLY	1600	0	0
COTZX	COLUMBIA	COLUMBIA THERMOSTAT FD INST	INSTITUTIONAL	NO LOAD	REDEEM ONLY	1600	0	0
CQTRX	COLUMBIA	COLUMBIA THERMOSTAT FD INST 2	INSTITUTIONAL 2	NO LOAD	REDEEM ONLY	1600	0	0
CTFAX	COLUMBIA	COLUMBIA THERMOSTAT FUND CL A	A	LOAD	OPEN	1600	1000	2000
CTFDX	COLUMBIA	COLUMBIA THERMOSTAT FUND CLASS C	C	LOAD	OPEN	1600	1000	2000
CYYYX	COLUMBIA	COLUMBIA THERMOSTAT INST3	INSTITUTIONAL 3	NO LOAD	REDEEM ONLY	1600	0	0
LIBAX	COLUMBIA	COLUMBIA TOTAL RETURN BD FD CL A	A	LOAD	OPEN	1600	1000	2000
CBNRX	COLUMBIA	COLUMBIA TOTAL RETURN BD FD CL ADV	ADVISOR	NO LOAD	REDEEM ONLY	1600	0	0
LIBCX	COLUMBIA	COLUMBIA TOTAL RETURN BD FD CL C	C	LOAD	OPEN	1600	1000	2000
SRBFX	COLUMBIA	COLUMBIA TOTAL RETURN BD FD INST	INSTITUTIONAL	NO LOAD	REDEEM ONLY	1600	0	0
CTBRX	COLUMBIA	COLUMBIA TOTAL RETURN BD FD INST 2	INSTITUTIONAL 2	NO LOAD	REDEEM ONLY	1600	0	0
SRBAX	COLUMBIA	COLUMBIA TOTAL RETURN BOND FUND S	S	NO LOAD	REDEEM ONLY	1600	0	0
CTBYX	COLUMBIA	COLUMBIA TOTAL RETURN BOND INST3	INSTITUTIONAL 3	NO LOAD	REDEEM ONLY	1600	100000	100000
USMBX	COLUMBIA	COLUMBIA ULTRA SHORT DUR MUNI BD A	A	LOAD WAIVED	OPEN	1600	1000	2000
USMDX	COLUMBIA	COLUMBIA ULTRA SHORT DUR MUNI BD ADV	ADVISOR	NO LOAD	OPEN	1600	0	0
CUSOX	COLUMBIA	COLUMBIA ULTRA SHORT TERM BOND A	A	NO LOAD	OPEN	1600	0	0
CUSHX	COLUMBIA	COLUMBIA ULTRA SHORT TERM BOND ADV	ADVISOR	NO LOAD	OPEN	1600	0	0
CUSBX	COLUMBIA	COLUMBIA ULTRA SHORT TERM BOND INSTL	INSTITUTIONAL	NO LOAD	REDEEM ONLY	1600	0	0
LUTAX	COLUMBIA	COLUMBIA US TREASURY INDEX CLASS A	A	NO LOAD	OPEN	1600	1000	2000
IUTIX	COLUMBIA	COLUMBIA US TREASURY INDEX FD INST	INSTITUTIONAL	NO LOAD	REDEEM ONLY	1600	0	0
CUTRX	COLUMBIA	COLUMBIA US TREASURY INDEX FD INST 2	INSTITUTIONAL 2	NO LOAD	REDEEM ONLY	1600	0	0
CZAMX	COLUMBIA	MULTIMANAGER ALTERNATIVE STRAT INST	INSTITUTIONAL	NO LOAD	REDEEM ONLY	1600	0	0
CDAZX	COLUMBIA	MULTIMANAGER DIRECTIONAL ALT STRAT INST	INSTITUTIONAL	LOAD WAIVED	REDEEM ONLY	1600	0	0
CZMGX	COLUMBIA	MULTIMANAGER GROWTH STRATEGIES INST	INSTITUTIONAL	NO LOAD	REDEEM ONLY	1600	0	0
CMIEX	COLUMBIA	MULTIMANAGER INTL EQ STRATS INSTL	INSTITUTIONAL	LOAD WAIVED	REDEEM ONLY	1600	0	0
CZMSX	COLUMBIA	MULTIMANAGER SMALL CAP EQ STRAT INST	INSTITUTIONAL	LOAD WAIVED	REDEEM ONLY	1600	0	0
CTRZX	COLUMBIA	MULTIMANAGER TOTAL RETURN BD STRAT INST	INSTITUTIONAL	NO LOAD	REDEEM ONLY	1600	0	0
CZMVX	COLUMBIA	MULTIMANAGER VALUE STRATEGIES INST	INSTITUTIONAL	NO LOAD	REDEEM ONLY	1600	0	0
PISKX	COLUMBIA	PYRFORD INTL STK FD ADVISOR CL	ADVISOR	NO LOAD	REDEEM ONLY	1600	0	0

SYMBOL	FUND FAMILY	FUND DESCRIPTION	SHARE CLASS	FUND TYPE	STATUS	CUT- OFF	IRA MIN	NON-IRA MIN
PISDX	COLUMBIA	PYRFORD INTL STK FD CL A	A	LOAD	OPEN	1600	100	100
PISQX	COLUMBIA	PYRFORD INTL STK FD INSTL 3 CL	INSTITUTIONAL 3	NO LOAD	REDEEM ONLY	1600	100000	100000
CFBNX	COMMERCE FUNDS	COMMERCE BD SHRS	NO LOAD	NO LOAD	OPEN	1600	0	0
CFGRX	COMMERCE FUNDS	COMMERCE GROWTH FUND	INSTITUTIONAL	NO LOAD	OPEN	1600	0	0
KTXIX	COMMERCE FUNDS	COMMERCE KS TX FR INTERM BD	INSTITUTIONAL	NO LOAD	OPEN	1600	0	0
CFAGX	COMMERCE FUNDS	COMMERCE MIDCAP GROWTH	NO LOAD	NO LOAD	OPEN	1600	0	0
CFMVX	COMMERCE FUNDS	COMMERCE MIDCAP VALUE	NO LOAD	NO LOAD	OPEN	1600	0	0
CFMOX	COMMERCE FUNDS	COMMERCE MO TAX FREE INT BD	INSTITUTIONAL	NO LOAD	OPEN	1600	0	0
CFNLX	COMMERCE FUNDS	COMMERCE NATL TAX FREE INTERM BD SHRS	NO LOAD	NO LOAD	OPEN	1600	0	0
CFSTX	COMMERCE FUNDS	COMMERCE SHORT TERM GOVT SHRS	NO LOAD	NO LOAD	OPEN	1600	0	0
CFVLX	COMMERCE FUNDS	COMMERCE VALUE FD SHRS	NO LOAD	NO LOAD	OPEN	1600	0	0
CAFRX	COMMONWEALTH	COMMONWEALTH AFRICA FD	NO LOAD	NO LOAD	OPEN	1600	100	100
CNZLX	COMMONWEALTH	COMMONWEALTH AUSTRALIANEW ZEALAND FUND	NO LOAD	NO LOAD	OPEN	1600	100	100
CNGLX	COMMONWEALTH	COMMONWEALTH GLOBAL FUND	NO LOAD	NO LOAD	OPEN	1600	100	100
CNJFX	COMMONWEALTH	COMMONWEALTH JAPAN FUND	NO LOAD	NO LOAD	OPEN	1600	200	200
CNREX	COMMONWEALTH	COMMONWEALTH REAL ESTATE SECURITIES FD	NO LOAD	NO LOAD	OPEN	1600	200	200
CRAIX	COMMUNITY CAPITAL MGMT	CCM COMMUNITY IMPACT BOND FD CRA	CRA	NO LOAD	REDEEM ONLY	1600	100	100
CRANX	COMMUNITY CAPITAL MGMT	CCM COMMUNITY IMPACT BOND FD INSTL	INSTITUTIONAL	NO LOAD	OPEN	1600	0	0
CRATX	COMMUNITY CAPITAL MGMT	CCM COMMUNITY IMPACT BOND FD RETAIL	RETAIL	NO LOAD	OPEN	1600	0	0
CMIRX	CONESTOGA FAMILY OF FUNDS	CONESTOGA DISCOVERY INST	INSTITUTIONAL	NO LOAD	OPEN	1600	250000	250000
CCMAX	CONESTOGA FAMILY OF FUNDS	CONESTOGA MID CAP INSTITUTIONAL	INSTITUTIONAL	NO LOAD	OPEN	1600	0	0
CCASX	CONESTOGA FAMILY OF FUNDS	CONESTOGA SMALL CAP	NO LOAD	NO LOAD	SUBS ONLY	1600	100	100
CCALX	CONESTOGA FAMILY OF FUNDS	CONESTOGA SMALL CAP INST	INSTITUTIONAL	NO LOAD	SUBS ONLY	1600	0	0
CCSMX	CONESTOGA FAMILY OF FUNDS	CONESTOGA SMID CAP FD I	INVESTOR	NO LOAD	OPEN	1600	100	100
CCSGX	CONESTOGA FAMILY OF FUNDS	CONESTOGA SMID CAP FD INST	INSTITUTIONAL	NO LOAD	OPEN	1600	0	0
CVRDX	CONNORS	CONNORS HEDGED EQUITY INSTITUTIONAL	INSTITUTIONAL	NO LOAD	OPEN	1600	25000	25000
COBYX	COOK & BYNUM FUND TRUST	COOK & BYNUM FD	NO LOAD	NO LOAD	OPEN	1600	1000	5000
CDGRX	COPELAND	COPELAND DIVIDEND GROWTH FD CL A	A	LOAD	OPEN	1600	250	1000
CDCRX	COPELAND	COPELAND DIVIDEND GROWTH FD CL C	C	LOAD	OPEN	1600	0	0
CDIVX	COPELAND	COPELAND DIVIDEND GROWTH FD CL INST	INSTITUTIONAL	NO LOAD	OPEN	1600	0	0
CISAX	COPELAND	COPELAND INTERNATIONAL SMALL CAP A	A	NO LOAD	OPEN	1600	100	100
CSIIX	COPELAND	COPELAND INTERNATIONAL SMALL CAP I	INSTITUTIONAL	NO LOAD	OPEN	1600	5000	5000
CSDGX	COPELAND	COPELAND SMID CAP DIV GWTH A	A	LOAD	OPEN	1600	250	5000
CSMDX	COPELAND	COPELAND SMID CAP DIV GWTH I	INSTITUTIONAL	NO LOAD	OPEN	1600	25000	25000
CSCJX	CORNERCAP	CORNERCAP SMALL CAP VALUE INST	INSTITUTIONAL	NO LOAD	OPEN	1600	2000	2000
CSCVX	CORNERCAP	CORNERCAP SMALLCAP VALUE ADVISOR	ADVISOR	NO LOAD	OPEN	1600	0	0
CPAEX	COUNTERPOINT	COUNTERPOINT TACTICAL EQTY FD A	A	LOAD	OPEN	1600	0	0
CPCEX	COUNTERPOINT	COUNTERPOINT TACTICAL EQTY FD C	C	LOAD	OPEN	1600	1000	5000
CPIEX	COUNTERPOINT	COUNTERPOINT TACTICAL EQTY FD INST	INSTITUTIONAL	NO LOAD	OPEN	1600	0	0
CPATX	COUNTERPOINT	COUNTERPOINT TACTICAL INCM FD A	A	LOAD	OPEN	1600	1000	5000
CPCTX	COUNTERPOINT	COUNTERPOINT TACTICAL INCM FD C	C	LOAD	OPEN	1600	1000	5000

SYMBOL	FUND FAMILY	FUND DESCRIPTION	SHARE CLASS	FUND TYPE	STATUS	CUT- OFF	IRA MIN	NON-IRA MIN
CPITX	COUNTERPOINT	COUNTERPOINT TACTICAL INCM FD INST	INSTITUTIONAL	NO LOAD	OPEN	1600	0	0
TMNAX	COUNTERPOINT	COUNTERPOINT TACTICAL MUNI FD A	A	LOAD	OPEN	1600	100	100
TMNIX	COUNTERPOINT	COUNTERPOINT TACTICAL MUNI FD I	INSTITUTIONAL	NO LOAD	OPEN	1600	0	0
TMNCX	COUNTERPOINT	COUNTERPOINT TACTICAL MUNICIPAL C	C	LOAD	OPEN	1600	1000	5000
CSCAX	COVE STREET CAPITAL	COVE STREET CAPITAL SM CAP VAL INST	INSTITUTIONAL	NO LOAD	OPEN	1600	0	0
TCBAX	COVERED BRIDGE FUND	COVERED BRIDGE FD CL A	A	LOAD	OPEN	1600	100	100
TCBIX	COVERED BRIDGE FUND	COVERED BRIDGE FD CL I	INSTITUTIONAL	NO LOAD	OPEN	1600	100000	100000
CRSCX	CREDIT SUISSE	CREDIT SUISSE COMMODITY RETURN STRAT C	C	LOAD	OPEN	1600	500	2500
CRSOX	CREDIT SUISSE	CREDIT SUISSE COMMODITY RETURN STRAT INST	INSTITUTIONAL	NO LOAD	OPEN	1600	0	0
CRSAX	CREDIT SUISSE	CREDIT SUISSE COMMODITY RETURN STRATEGY FD CL A	A	LOAD	OPEN	1600	500	2500
CHIAX	CREDIT SUISSE	CREDIT SUISSE FLOATING RATE HIGH INCM A	A	LOAD	OPEN	1600	500	2500
CHICX	CREDIT SUISSE	CREDIT SUISSE FLOATING RATE HIGH INCM FD CL C	C	LOAD	OPEN	1600	500	2500
CSHIX	CREDIT SUISSE	CREDIT SUISSE FLOATING RATE HIGH INCM INST	INSTITUTIONAL	NO LOAD	OPEN	1600	0	0
CSAAX	CREDIT SUISSE	CREDIT SUISSE MGD FUTURES STRAT A	A	LOAD	OPEN	1600	500	2500
CSAIX	CREDIT SUISSE	CREDIT SUISSE MGD FUTURES STRAT I	INSTITUTIONAL	NO LOAD	OPEN	1600	0	0
CSQAX	CREDIT SUISSE	CREDIT SUISSE MULTI ALT STRAT A	A	LOAD	OPEN	1600	500	2500
CSQIX	CREDIT SUISSE	CREDIT SUISSE MULTI ALT STRAT I	INSTITUTIONAL	NO LOAD	OPEN	1600	0	0
CSOAX	CREDIT SUISSE	CREDIT SUISSE STRAT INCM CL A	A	LOAD	OPEN	1600	500	2500
CSOIX	CREDIT SUISSE	CREDIT SUISSE STRAT INCM CL I	INSTITUTIONAL	NO LOAD	OPEN	1600	0	0
CSOCX	CREDIT SUISSE	CREDIT SUISSE STRATEGIC INCOME C	C	LOAD	OPEN	1600	0	0
CRIEX	CRM	CRM ALL CAP VALUE INST	INSTITUTIONAL	NO LOAD	OPEN	1600	1000000	1000000
CRMEX	CRM	CRM ALL CAP VALUE INV	INVESTOR	NO LOAD	OPEN	1600	100	100
CRIHX	CRM	CRM LONG SHORT OPPTY INST	INSTITUTIONAL	NO LOAD	OPEN	1600	0	0
CRIMX	CRM	CRM MID CAP VALUE FD INST CL	INSTITUTIONAL	NO LOAD	OPEN	1600	1000000	1000000
CRMMX	CRM	CRM MID CAP VALUE FUND INVESTOR SHARES	INVESTOR	NO LOAD	OPEN	1600	100	100
CRISX	CRM	CRM SMALL CAP VALUE FD INST CLASS	INSTITUTIONAL	NO LOAD	OPEN	1600	1000000	1000000
CRMSX	CRM	CRM SMALL CAP VALUE FUND INVESTOR CLASS	INVESTOR	NO LOAD	OPEN	1600	100	100
CRMAX	CRM	CRM SMALL MID CAP VAL FD INV CL	INVESTOR	NO LOAD	OPEN	1600	100	100
CRIAX	CRM	CRM SMALL MID CAP VALUE FUND INSTL CL	INSTITUTIONAL	NO LOAD	OPEN	1600	1000000	1000000
NRDCX	CROSSINGBRIDGE	CROSSINGBRIDGE NORDIC HIGH INCOME BOND FUND	INSTITUTIONAL	NO LOAD	OPEN	1600	0	0
CEMGX	CULLEN	CULLEN EMRG MKTS HIGH DIV C	C	LOAD	OPEN	1600	250	1000
CEMFX	CULLEN	CULLEN EMRG MKTS HIGH DIV INST	I	NO LOAD	OPEN	1600	0	0
CEMDX	CULLEN	CULLEN EMRG MKTS HIGH DIV RETAIL	RETAIL	NO LOAD	OPEN	1600	100	100
ENHCX	CULLEN	CULLEN ENHANCED EQTY INCM FD C	C	LOAD	OPEN	1600	250	1000
ENHNX	CULLEN	CULLEN ENHANCED EQTY INCM FD INST	I	NO LOAD	OPEN	1600	0	0
ENHRX	CULLEN	CULLEN ENHANCED EQTY INCM FD RETAIL	RETAIL	NO LOAD	OPEN	1600	100	100
CHVCX	CULLEN	CULLEN HIGH DIV EQTY C	C	LOAD	OPEN	1600	250	1000
CHDRX	CULLEN	CULLEN HIGH DIV EQTY R1	R1	NO LOAD	OPEN	1600	0	0
CHDPX	CULLEN	CULLEN HIGH DIV EQTY R2	R2	NO LOAD	OPEN	1600	0	0
CHDVX	CULLEN	CULLEN HIGH DIVIDEND EQUITY FUND CL I	I	NO LOAD	OPEN	1600	0	0
CHDEX	CULLEN	CULLEN HIGH DIVIDEND EQUITY FUND RETAIL CL	RETAIL	NO LOAD	OPEN	1600	100	100

SYMBOL	FUND FAMILY	FUND DESCRIPTION	SHARE CLASS	FUND TYPE	STATUS	CUT- OFF	IRA MIN	NON-IRA MIN
CIHCX	CULLEN	CULLEN INTL HIGH DIV CL C	C	LOAD	OPEN	1600	250	1000
CIHPX	CULLEN	CULLEN INTL HIGH DIV R2	R2	NO LOAD	OPEN	1600	0	0
CIHIX	CULLEN	CULLEN INTL HIGH DIVIDEND FUND CL I	I	NO LOAD	OPEN	1600	0	0
CIHDX	CULLEN	CULLEN INTL HIGH DIVIDEND FUND RETAIL	RETAIL	NO LOAD	OPEN	1600	0	0
CUSIX	CULLEN	CULLEN SMALL CAP VALUE FD CL I	I	NO LOAD	OPEN	1600	0	0
CUSRX	CULLEN	CULLEN SMALL CAP VALUE FD RETAIL	RETAIL	NO LOAD	OPEN	1600	100	100
CVLFX	CULLEN	CULLEN VALUE C	C	LOAD	OPEN	1600	0	0
CVLVX	CULLEN	CULLEN VALUE FD INST	I	NO LOAD	OPEN	1600	0	0
CVLEX	CULLEN	CULLEN VALUE FD RETAIL	RETAIL	NO LOAD	OPEN	1600	100	100
DIVHX	CUTLER	CUTLER EQUITY FUND	II	NO LOAD	OPEN	1600	2500	2500
DFMAX	DAVIDSON FUNDS	DAVIDSON MULTI CAP EQTY A	A	LOAD	OPEN	1600	100	100
DFMIX	DAVIDSON FUNDS	DAVIDSON MULTI CAP EQTY I	INSTITUTIONAL	NO LOAD	OPEN	1600	100000	100000
RPF CX	DAVIS	DAVIS BALANCED FD CL A	A	LOAD	OPEN	1600	1000	1000
DCSCX	DAVIS	DAVIS BALANCED FD CL C	C	LOAD	OPEN	1600	1000	1000
DCSYX	DAVIS	DAVIS BALANCED FD CL Y	Y	NO LOAD	OPEN	1600	5000000	5000000
RPF GX	DAVIS	DAVIS FINANCIAL FD CL A	A	LOAD	OPEN	1600	1000	1000
DFFCX	DAVIS	DAVIS FINANCIAL FD CL C	C	LOAD	OPEN	1600	1000	1000
DVFYX	DAVIS	DAVIS FINANCIAL Y	Y	NO LOAD	OPEN	1600	5000000	5000000
DGFAX	DAVIS	DAVIS GLOBAL FD CL A	A	LOAD	OPEN	1600	1000	1000
DGFCX	DAVIS	DAVIS GLOBAL FD CL C	C	LOAD	OPEN	1600	1000	1000
DGFYX	DAVIS	DAVIS GLOBAL FD CL Y	Y	NO LOAD	OPEN	1600	5000000	5000000
DGVCX	DAVIS	DAVIS GOVT BD C	C	LOAD	OPEN	1600	1000	1000
RFBAX	DAVIS	DAVIS GOVT BD FUND CL A	A	LOAD	OPEN	1600	1000	1000
DGVYX	DAVIS	DAVIS GOVT BD Y	Y	NO LOAD	OPEN	1600	5000000	5000000
RPGXX	DAVIS	DAVIS GOVT MONEY MKT CL A	A	LOAD	OPEN	1600	1000	1000
DCMZZ	DAVIS	DAVIS GOVT MONEY MKT FD C	C	LOAD	OPEN	1600	1000	1000
DILCX	DAVIS	DAVIS INTERNATIONAL C	C	LOAD	OPEN	1600	1000	1000
DILAX	DAVIS	DAVIS INTL FD CL A	A	LOAD	OPEN	1600	1000	1000
DILYX	DAVIS	DAVIS INTL FD CL Y	Y	NO LOAD	OPEN	1600	5000000	5000000
NYVTX	DAVIS	DAVIS NEW YORK VENTURE FUND CL A	A	LOAD	OPEN	1600	1000	1000
NYVBX	DAVIS	DAVIS NY VENTURE FD CL B	B	LOAD	REDEEM ONLY	1600	1000	1000
NYVCX	DAVIS	DAVIS NY VENTURE FD CL C	C	LOAD	OPEN	1600	1000	1000
DNVYX	DAVIS	DAVIS NY VENTURE FD CL Y	Y	NO LOAD	OPEN	1600	5000000	5000000
NYVRX	DAVIS	DAVIS NY VENTURE R	R	NO LOAD	OPEN	1600	500000	500000
DGOCX	DAVIS	DAVIS OPPORTUNITY C	C	LOAD	OPEN	1600	1000	1000
RPEAX	DAVIS	DAVIS OPPORTUNITY FUND CLASS A	A	LOAD	OPEN	1600	1000	1000
DGOYX	DAVIS	DAVIS OPPORTUNITY Y	Y	NO LOAD	OPEN	1600	5000000	5000000
DRECX	DAVIS	DAVIS REAL ESTATE C	C	LOAD	OPEN	1600	1000	1000
RPF RX	DAVIS	DAVIS REAL ESTATE FUND A	A	LOAD	OPEN	1600	1000	1000
DREYX	DAVIS	DAVIS REAL ESTATE Y	Y	NO LOAD	OPEN	1600	5000000	5000000
SLASX	DAVIS	SELECTED AMERICAN SHARES	S	NO LOAD	OPEN	1600	100	100

SYMBOL	FUND FAMILY	FUND DESCRIPTION	SHARE CLASS	FUND TYPE	STATUS	CUT- OFF	IRA MIN	NON-IRA MIN
SLADX	DAVIS	SELECTED AMERICAN SHARES CL D	D	NO LOAD	OPEN	1600	10000	10000
SLSDX	DAVIS	SELECTED INTL FD CL D	D	NO LOAD	OPEN	1600	10000	10000
SLSSX	DAVIS	SELECTED INTL FD CL S	S	NO LOAD	OPEN	1600	100	100
CFIMX	DAVIS FUNDS	CLIPPER FUND	NO LOAD	NO LOAD	OPEN	1600	100	100
DALCX	DEAN FAMILY OF FUNDS	DEAN MID CAP VALUE FUND	NO LOAD	NO LOAD	OPEN	1600	250	1000
DASCX	DEAN FAMILY OF FUNDS	DEAN SMALL CAP VALUE CL I	NO LOAD	NO LOAD	OPEN	1600	250	1000
DAEIX	DEAN FAMILY OF FUNDS	UNIFIED SERIES TRUST	NO LOAD	NO LOAD	OPEN	1600	250	1000
DVFNX	DELAWARE	DE TAX FREE NY C	C	LOAD	OPEN	1600	250	1000
DDVCX	DELAWARE	DE VALUE C	C	LOAD	OPEN	1600	250	1000
DDVIX	DELAWARE	DE VALUE INST	INSTITUTIONAL	NO LOAD	OPEN	1600	0	0
IEYAX	DELAWARE	DELAWARE CLIMATE SOLUTIONS A	A	LOAD	OPEN	1600	0	0
IEYCX	DELAWARE	DELAWARE CLIMATE SOLUTIONS C	C	LOAD	OPEN	1600	0	0
IVEIX	DELAWARE	DELAWARE CLIMATE SOLUTIONS I	I	NO LOAD	OPEN	1600	0	0
IYEFX	DELAWARE	DELAWARE CLIMATE SOLUTIONS R	R	NO LOAD	REDEEM ONLY	1600	0	0
IENRX	DELAWARE	DELAWARE CLIMATE SOLUTIONS R6	R6	NO LOAD	REDEEM ONLY	1600	0	0
IEYYX	DELAWARE	DELAWARE CLIMATE SOLUTIONS Y	Y	NO LOAD	OPEN	1600	0	0
VCTFX	DELAWARE	DELAWARE COLORADO TAX FREE CLASS A	A	LOAD	OPEN	1600	250	1000
DGCCX	DELAWARE	DELAWARE CORPORATE BD C	C	LOAD	OPEN	1600	250	1000
DGCIX	DELAWARE	DELAWARE CORPORATE BD INSTL	INSTITUTIONAL	NO LOAD	OPEN	1600	0	0
DGCAX	DELAWARE	DELAWARE CORPORATE BOND FUND CL A	A	LOAD	OPEN	1600	250	1000
DGCRX	DELAWARE	DELAWARE CORPORATE BOND FUND CL R	R	NO LOAD	REDEEM ONLY	1600	0	0
DGCZX	DELAWARE	DELAWARE CORPORATE BOND R6	R6	NO LOAD	REDEEM ONLY	1600	100000	100000
DPRFX	DELAWARE	DELAWARE DIVERSIFIED INCOME FD CL R	R	NO LOAD	REDEEM ONLY	1600	0	0
DPZRX	DELAWARE	DELAWARE DIVERSIFIED INCOME FD CL R6	R6	NO LOAD	REDEEM ONLY	1600	0	0
DPDFX	DELAWARE	DELAWARE DIVERSIFIED INCOME FUND CL A	A	LOAD	OPEN	1600	250	1000
DPCFX	DELAWARE	DELAWARE DIVERSIFIED INCOME FUND CL C	C	LOAD	OPEN	1600	250	1000
DPFFX	DELAWARE	DELAWARE DIVERSIFIED INCOME FUND CLASS I	INSTITUTIONAL	NO LOAD	OPEN	1600	0	0
DEDAX	DELAWARE	DELAWARE EMERGING MARKETS DEBT CORP A	A	LOAD	OPEN	1600	0	0
DEMEX	DELAWARE	DELAWARE EMERGING MARKETS R	R	NO LOAD	REDEEM ONLY	1600	0	0
DEMAX	DELAWARE	DELAWARE EMERGING MKTS FUND CL A	A	LOAD	OPEN	1600	250	1000
DEMCX	DELAWARE	DELAWARE EMERGING MKTS FUND CL C	C	LOAD	OPEN	1600	250	1000
DEMZX	DELAWARE	DELAWARE EMERGING MKTS FUND CL R6	R6	NO LOAD	REDEEM ONLY	1600	0	0
DEMIX	DELAWARE	DELAWARE EMERGING MKTS FUND CLASS I	INSTITUTIONAL	NO LOAD	OPEN	1600	0	0
DEDIX	DELAWARE	DELAWARE EMRG MKTS DEBT CORPORATE FD CL I	INSTITUTIONAL	NO LOAD	OPEN	1600	0	0
DEEAX	DELAWARE	DELAWARE EXTENDED DURATION BD A	A	LOAD	OPEN	1600	250	1000
DEEIX	DELAWARE	DELAWARE EXTENDED DURATION BD INST CL	INSTITUTIONAL	NO LOAD	OPEN	1600	0	0
DEECX	DELAWARE	DELAWARE EXTENDED DURATION BOND C	C	LOAD	OPEN	1600	250	1000
DEERX	DELAWARE	DELAWARE EXTENDED DURATION BOND R	R	NO LOAD	REDEEM ONLY	1600	0	0
DEZRX	DELAWARE	DELAWARE EXTENDED DURATION BOND R6	R6	NO LOAD	REDEEM ONLY	1600	0	0
DDFAX	DELAWARE	DELAWARE FLOATING RATE FD A	A	LOAD	OPEN	1600	250	1000
DDFCX	DELAWARE	DELAWARE FLOATING RATE FD C	C	LOAD	OPEN	1600	250	1000

SYMBOL	FUND FAMILY	FUND DESCRIPTION	SHARE CLASS	FUND TYPE	STATUS	CUT- OFF	IRA MIN	NON-IRA MIN
DDFLX	DELAWARE	DELAWARE FLOATING RATE FD INST	INSTITUTIONAL	NO LOAD	OPEN	1600	0	0
DDFZX	DELAWARE	DELAWARE FLOATING RATE R6	R6	NO LOAD	REDEEM ONLY	1600	0	0
DPREX	DELAWARE	DELAWARE GLOBAL LISTED REAL ASSETS FD CL A	A	LOAD	OPEN	1600	250	1000
DPRCX	DELAWARE	DELAWARE GLOBAL LISTED REAL ASSETS FD CL C	C	LOAD	OPEN	1600	250	1000
DPRSX	DELAWARE	DELAWARE GLOBAL LISTED REAL ASSETS FD INST	INSTITUTIONAL	NO LOAD	OPEN	1600	0	0
DPRDX	DELAWARE	DELAWARE GLOBAL LISTED REAL ASSETS R6	R6	NO LOAD	REDEEM ONLY	1600	0	0
FGINX	DELAWARE	DELAWARE GROWTH & INCOME FD CL A	A	LOAD	OPEN	1600	1000	2500
FGIQX	DELAWARE	DELAWARE GROWTH & INCOME FD CL R6	R6	NO LOAD	REDEEM ONLY	1600	2000000	2000000
FGIPX	DELAWARE	DELAWARE GROWTH & INCOME FD INST	INSTITUTIONAL	NO LOAD	OPEN	1600	500	1000
DLHAX	DELAWARE	DELAWARE HEALTHCARE A	A	LOAD	OPEN	1600	250	1000
DLHCX	DELAWARE	DELAWARE HEALTHCARE C	C	LOAD	OPEN	1600	250	1000
DLHIX	DELAWARE	DELAWARE HEALTHCARE I	INSTITUTIONAL	NO LOAD	OPEN	1600	0	0
DLRHX	DELAWARE	DELAWARE HEALTHCARE R	R	NO LOAD	REDEEM ONLY	1600	0	0
DULTX	DELAWARE	DELAWARE INVESTMENTS ULTRASHORT FD INST	INSTITUTIONAL	NO LOAD	OPEN	1600	0	0
WASCX	DELAWARE	DELAWARE IVY ASSET STRATEGY C	C	LOAD	OPEN	1600	0	0
WTRCX	DELAWARE	DELAWARE IVY CORE EQUITY C	C	LOAD	OPEN	1600	0	0
WRHIX	DELAWARE	DELAWARE IVY HIGH INCOME C	C	LOAD	OPEN	1600	0	0
IVIFX	DELAWARE	DELAWARE IVY INTL CORE EQUITY C	C	LOAD	OPEN	1600	0	0
WLGRX	DELAWARE	DELAWARE IVY LARGE CAP GROWTH FUND R	R	NO LOAD	REDEEM ONLY	1600	100	100
IMAXX	DELAWARE	DELAWARE IVY MULTI ASSET INCOME A	A	LOAD	OPEN	1600	0	0
IMACX	DELAWARE	DELAWARE IVY MULTI ASSET INCOME C	C	LOAD	OPEN	1600	0	0
IMAIX	DELAWARE	DELAWARE IVY MULTI ASSET INCOME I	I	NO LOAD	OPEN	1600	0	0
IMURX	DELAWARE	DELAWARE IVY MULTI ASSET INCOME N	N	NO LOAD	REDEEM ONLY	1600	0	0
IMAYX	DELAWARE	DELAWARE IVY MULTI ASSET INCOME Y	Y	NO LOAD	OPEN	1600	0	0
IGNCX	DELAWARE	DELAWARE IVY NAT RESOURCES C	C	LOAD	OPEN	1600	0	0
IGNRX	DELAWARE	DELAWARE IVY NATURAL RESOURCES R	R	NO LOAD	REDEEM ONLY	1600	100	100
WSTCX	DELAWARE	DELAWARE IVY SCI AND TECH C	C	LOAD	OPEN	1600	0	0
WSTRX	DELAWARE	DELAWARE IVY SCIENCE AND TECHNOLOGY R	R	NO LOAD	REDEEM ONLY	1600	100	100
WRGCX	DELAWARE	DELAWARE IVY SMALL CAP GR C	C	LOAD	OPEN	1600	0	0
WSGRX	DELAWARE	DELAWARE IVY SMALL CAP GROWTH R	R	NO LOAD	REDEEM ONLY	1600	100	100
IYSAX	DELAWARE	DELAWARE IVY SMID CAP CORE FUND A	A	LOAD	OPEN	1600	0	0
IYSCX	DELAWARE	DELAWARE IVY SMID CAP CORE FUND C	C	LOAD	OPEN	1600	0	0
IVVIX	DELAWARE	DELAWARE IVY SMID CAP CORE FUND I	I	NO LOAD	OPEN	1600	0	0
ISPVX	DELAWARE	DELAWARE IVY SMID CAP CORE FUND N	N	NO LOAD	REDEEM ONLY	1600	0	0
IYSMX	DELAWARE	DELAWARE IVY SMID CAP CORE FUND R	R	NO LOAD	REDEEM ONLY	1600	0	0
IYSYX	DELAWARE	DELAWARE IVY SMID CAP CORE FUND Y	Y	NO LOAD	OPEN	1600	0	0
IPOAX	DELAWARE	DELAWARE IVY SYST EMRG MRKTS EQTY A	A	LOAD	OPEN	1600	0	0
IPOCX	DELAWARE	DELAWARE IVY SYST EMRG MRKTS EQTY C	C	LOAD	OPEN	1600	0	0
IPOIX	DELAWARE	DELAWARE IVY SYST EMRG MRKTS EQTY I	I	NO LOAD	OPEN	1600	0	0
IMEGX	DELAWARE	DELAWARE IVY SYST EMRG MRKTS EQTY N	N	NO LOAD	REDEEM ONLY	1600	0	0
IYPCX	DELAWARE	DELAWARE IVY SYST EMRG MRKTS EQTY R	R	NO LOAD	REDEEM ONLY	1600	0	0

SYMBOL	FUND FAMILY	FUND DESCRIPTION	SHARE CLASS	FUND TYPE	STATUS	CUT- OFF	IRA MIN	NON-IRA MIN
IPOYX	DELAWARE	DELAWARE IVY SYST EMRG MRKTS EQTY Y	Y	NO LOAD	OPEN	1600	0	0
DLTRX	DELAWARE	DELAWARE LIMITEDTERM DIVERSIFIED INC R	R	NO LOAD	REDEEM ONLY	1600	0	0
DLTZX	DELAWARE	DELAWARE LIMITEDTERM DIVERSIFIED INC R6	R6	NO LOAD	REDEEM ONLY	1600	0	100000
DTINX	DELAWARE	DELAWARE LTD TERM DIVERS INCM I	INSTITUTIONAL	NO LOAD	OPEN	1600	0	0
DTICX	DELAWARE	DELAWARE LTD TERM DIVERSIFIED INCOME FD CL C	C	LOAD	OPEN	1600	250	1000
DTRIX	DELAWARE	DELAWARE LTMD TERM DIVERSIFIED INCM CL A	A	LOAD	OPEN	1600	250	1000
DEFFX	DELAWARE	DELAWARE MINNESOTA TAX FREE CLASS A	A	LOAD	OPEN	1600	250	1000
DVMHX	DELAWARE	DELAWARE MN HIGH YLD MUNI A	A	LOAD	OPEN	1600	250	1000
DVMMX	DELAWARE	DELAWARE MN HIGH YLD MUNI C	C	LOAD	OPEN	1600	250	1000
DMHIX	DELAWARE	DELAWARE MN HIGH YLD MUNI INST	INSTITUTIONAL	NO LOAD	OPEN	1600	0	0
DVHCX	DELAWARE	DELAWARE NATIONAL HI YLD MUNI BD C	C	LOAD	OPEN	1600	250	1000
CXHXY	DELAWARE	DELAWARE NATIONAL HIGH YLD MUNI BD A	A	LOAD	OPEN	1600	250	1000
DVHIX	DELAWARE	DELAWARE NATIONAL HIGH YLD MUNI BD INSTL	INSTITUTIONAL	NO LOAD	OPEN	1600	0	0
FIUSX	DELAWARE	DELAWARE OPPTY FD CL A	A	LOAD	OPEN	1600	1000	2500
FIVUX	DELAWARE	DELAWARE OPPTY FD CL INST	INSTITUTIONAL	NO LOAD	OPEN	1600	500	1000
FIVVX	DELAWARE	DELAWARE OPPTY FD CL R6	R6	NO LOAD	REDEEM ONLY	1600	2000000	2000000
IRSAX	DELAWARE	DELAWARE REAL ESTATE SECURITIES A	A	LOAD	OPEN	1600	0	0
IRSCX	DELAWARE	DELAWARE REAL ESTATE SECURITIES C	C	LOAD	OPEN	1600	0	0
IREIX	DELAWARE	DELAWARE REAL ESTATE SECURITIES I	I	NO LOAD	OPEN	1600	0	0
IRSRX	DELAWARE	DELAWARE REAL ESTATE SECURITIES R	R	NO LOAD	REDEEM ONLY	1600	100	100
IRSEX	DELAWARE	DELAWARE REAL ESTATE SECURITIES R6	R6	NO LOAD	REDEEM ONLY	1600	0	0
IRSYX	DELAWARE	DELAWARE REAL ESTATE SECURITIES Y	Y	NO LOAD	OPEN	1600	0	0
DCCAX	DELAWARE	DELAWARE SMALL CAP CORE A	A	LOAD	SUBS ONLY	1600	250	1000
DCCCX	DELAWARE	DELAWARE SMALL CAP CORE C	C	LOAD	SUBS ONLY	1600	250	1000
DCCIX	DELAWARE	DELAWARE SMALL CAP CORE I	INSTITUTIONAL	NO LOAD	OPEN	1600	0	0
DCZRX	DELAWARE	DELAWARE SMALL CAP CORE R6	R6	NO LOAD	REDEEM ONLY	1600	0	0
DEV CX	DELAWARE	DELAWARE SMALL CAP VALUE C	C	LOAD	OPEN	1600	250	1000
DEVLX	DELAWARE	DELAWARE SMALL CAP VALUE FUND CL A	A	LOAD	OPEN	1600	250	1000
DEVIX	DELAWARE	DELAWARE SMALL CAP VALUE FUND CLASS I	INSTITUTIONAL	NO LOAD	OPEN	1600	0	0
DVLRX	DELAWARE	DELAWARE SMALL CAP VALUE R	R	NO LOAD	REDEEM ONLY	1600	0	0
DVZRX	DELAWARE	DELAWARE SMALL CAP VALUE R6	R6	NO LOAD	REDEEM ONLY	1600	0	0
DEGGX	DELAWARE	DELAWARE STRATEGIC INCOME FD A	A	LOAD	OPEN	1600	250	1000
DUGCX	DELAWARE	DELAWARE STRATEGIC INCOME FD C	C	LOAD	OPEN	1600	250	1000
DUGIX	DELAWARE	DELAWARE STRATEGIC INCOME FD I	INSTITUTIONAL	NO LOAD	OPEN	1600	0	0
VAZIX	DELAWARE	DELAWARE TAX FREE ARIZONA FUND	A	LOAD	OPEN	1600	250	1000
DVACX	DELAWARE	DELAWARE TAX FREE AZ C	C	LOAD	OPEN	1600	250	1000
DAZIX	DELAWARE	DELAWARE TAX FREE AZ INST	INSTITUTIONAL	NO LOAD	OPEN	1600	0	0
DVFTX	DELAWARE	DELAWARE TAX FREE CA C	C	LOAD	OPEN	1600	250	1000
DVTAX	DELAWARE	DELAWARE TAX FREE CA FUND CL A	A	LOAD	OPEN	1600	250	1000
DVCTX	DELAWARE	DELAWARE TAX FREE CO C	C	LOAD	OPEN	1600	250	1000
DCOIX	DELAWARE	DELAWARE TAX FREE CO I	INSTITUTIONAL	NO LOAD	OPEN	1600	0	0

SYMBOL	FUND FAMILY	FUND DESCRIPTION	SHARE CLASS	FUND TYPE	STATUS	CUT- OFF	IRA MIN	NON-IRA MIN
VIDAX	DELAWARE	DELAWARE TAX FREE ID FUND CL A	A	LOAD	OPEN	1600	250	1000
DVICX	DELAWARE	DELAWARE TAX FREE ID FUND CL C	C	LOAD	OPEN	1600	1000	1000
DTIDX	DELAWARE	DELAWARE TAX FREE ID FUND CL I	INSTITUTIONAL	NO LOAD	OPEN	1600	0	0
DMNIX	DELAWARE	DELAWARE TAX FREE MN I	INSTITUTIONAL	NO LOAD	OPEN	1600	0	0
FTNYX	DELAWARE	DELAWARE TAX FREE NY A	A	LOAD	OPEN	1600	250	1000
DTNIX	DELAWARE	DELAWARE TAX FREE NY I	INSTITUTIONAL	NO LOAD	OPEN	1600	0	0
FTORX	DELAWARE	DELAWARE TAX FREE OR FD CL A	A	LOAD	OPEN	1600	0	0
FTOTX	DELAWARE	DELAWARE TAX FREE OR FD INST	INSTITUTIONAL	NO LOAD	OPEN	1600	500	1000
DPTCX	DELAWARE	DELAWARE TAX FREE PA FUND CL C	C	LOAD	OPEN	1600	1000	1000
DTPIX	DELAWARE	DELAWARE TAX FREE PA I	INSTITUTIONAL	NO LOAD	OPEN	1600	0	0
DELIX	DELAWARE	DELAWARE TAX FREE PENNSYLVANIA FUND CL A	A	LOAD	OPEN	1600	250	1000
DTFIX	DELAWARE	DELAWARE TAX FREE USA FD INST	INSTITUTIONAL	NO LOAD	OPEN	1600	0	0
DMTFX	DELAWARE	DELAWARE TAX FREE USA FUND CL A	A	LOAD	OPEN	1600	250	1000
DUSCX	DELAWARE	DELAWARE TAX FREE USA FUND CL C	C	LOAD	OPEN	1600	250	1000
DMUSX	DELAWARE	DELAWARE TAX FREE USA INTERMEDIATE A	A	LOAD	OPEN	1600	250	1000
DUICX	DELAWARE	DELAWARE TAX FREE USA INTERMEDIATE C	C	LOAD	OPEN	1600	250	1000
DUSIX	DELAWARE	DELAWARE TAX FREE USA INTERMEDIATE INST	INSTITUTIONAL	NO LOAD	OPEN	1600	0	0
DCTIX	DELAWARE	DELAWARE TAXFREE CA INSTITUTIONAL	INSTITUTIONAL	NO LOAD	OPEN	1600	0	0
DMOCX	DELAWARE	DELAWARE TAXFREE MN C	C	LOAD	OPEN	1600	250	1000
DDVAX	DELAWARE	DELAWARE VALUE A	A	LOAD	OPEN	1600	250	1000
DDZRX	DELAWARE	DELAWARE VALUE CL R6	R6	NO LOAD	REDEEM ONLY	1600	0	0
DDVRX	DELAWARE	DELAWARE VALUE R	R	NO LOAD	REDEEM ONLY	1600	0	0
DDIAX	DELAWARE	DELAWARE WEALTH BUILDER FD CL A	A	LOAD	OPEN	1600	250	1000
DDICX	DELAWARE	DELAWARE WEALTH BUILDER FD CL C	C	LOAD	OPEN	1600	250	1000
DDIIX	DELAWARE	DELAWARE WEALTH BUILDER FD INSTL	INSTITUTIONAL	NO LOAD	OPEN	1600	0	0
DDERX	DELAWARE	DELAWARE WEALTH BUILDER R6	R6	NO LOAD	REDEEM ONLY	1600	0	0
IVIAX	DELAWARE	INVY INTERNATIONAL CORE EQUITY CL A	A	LOAD	OPEN	1600	0	0
IVAEX	DELAWARE	IVY ASSET STRATEGY FD CL I	I	NO LOAD	OPEN	1600	0	0
WASYX	DELAWARE	IVY ASSET STRATEGY FD CL Y	Y	NO LOAD	OPEN	1600	0	0
WASAX	DELAWARE	IVY ASSET STRATEGY FUND CL A	A	LOAD	OPEN	1600	0	0
IASTX	DELAWARE	IVY ASSET STRATEGY FUND CL N	N	NO LOAD	REDEEM ONLY	1600	0	0
IASRX	DELAWARE	IVY ASSET STRATEGY FUND CL R	R	NO LOAD	REDEEM ONLY	1600	0	0
IBNCX	DELAWARE	IVY BALANCED C	C	LOAD	OPEN	1600	0	0
IBNAX	DELAWARE	IVY BALANCED FUND CL A	A	LOAD	OPEN	1600	0	0
IYBIX	DELAWARE	IVY BALANCED I	I	NO LOAD	OPEN	1600	0	0
IBARX	DELAWARE	IVY BALANCED N	N	NO LOAD	REDEEM ONLY	1600	0	0
IYBFX	DELAWARE	IVY BALANCED R	R	NO LOAD	REDEEM ONLY	1600	0	0
IBNYX	DELAWARE	IVY BALANCED Y	Y	NO LOAD	OPEN	1600	0	0
WCEAX	DELAWARE	IVY CORE EQUITY FUND CL A	A	LOAD	OPEN	1600	0	0
ICIEX	DELAWARE	IVY CORE EQUITY FUND CL I	I	NO LOAD	OPEN	1600	0	0
ICEQX	DELAWARE	IVY CORE EQUITY FUND CL N	N	NO LOAD	REDEEM ONLY	1600	0	0

SYMBOL	FUND FAMILY	FUND DESCRIPTION	SHARE CLASS	FUND TYPE	STATUS	CUT- OFF	IRA MIN	NON-IRA MIN
WCEYX	DELAWARE	IVY CORE EQUITY FUND CL Y	Y	NO LOAD	OPEN	1600	0	0
IYCEX	DELAWARE	IVY CORE EQUITY R	R	NO LOAD	REDEEM ONLY	1600	0	0
IVSAX	DELAWARE	IVY GLOBAL BD A	A	LOAD	OPEN	1600	0	0
IVSCX	DELAWARE	IVY GLOBAL BD C	C	LOAD	OPEN	1600	0	0
IVSIX	DELAWARE	IVY GLOBAL BD FD CL I	I	NO LOAD	OPEN	1600	0	0
IVSYX	DELAWARE	IVY GLOBAL BD FD CL Y	Y	NO LOAD	OPEN	1600	0	0
IVBDX	DELAWARE	IVY GLOBAL BD N	N	NO LOAD	REDEEM ONLY	1600	0	0
IYGOX	DELAWARE	IVY GLOBAL BOND R	R	NO LOAD	REDEEM ONLY	1600	0	0
IVINX	DELAWARE	IVY GLOBAL GROWTH FD CL A	A	LOAD	OPEN	1600	0	0
IVNCX	DELAWARE	IVY GLOBAL GROWTH FD CL C	C	LOAD	OPEN	1600	0	0
IGIIX	DELAWARE	IVY GLOBAL GROWTH FD CL I	I	NO LOAD	OPEN	1600	0	0
ITGRX	DELAWARE	IVY GLOBAL GROWTH FD CL N	N	NO LOAD	REDEEM ONLY	1600	0	0
IVIYX	DELAWARE	IVY GLOBAL GROWTH FD CL Y	Y	NO LOAD	OPEN	1600	0	0
IYIGX	DELAWARE	IVY GLOBAL GROWTH R	R	NO LOAD	REDEEM ONLY	1600	0	0
WHIAX	DELAWARE	IVY HIGH INCM A	A	LOAD	OPEN	1600	0	0
WHIYX	DELAWARE	IVY HIGH INCM FUND CL Y	Y	NO LOAD	OPEN	1600	0	0
IVHIX	DELAWARE	IVY HIGH INCM I	I	NO LOAD	OPEN	1600	0	0
IHIFX	DELAWARE	IVY HIGH INCOME CL N	N	NO LOAD	REDEEM ONLY	1600	0	0
IYHIX	DELAWARE	IVY HIGH INCOME R	R	NO LOAD	REDEEM ONLY	1600	100000	100000
ICEIX	DELAWARE	IVY INTERNATIONAL CORE EQUITY CL I	I	NO LOAD	OPEN	1600	0	0
IINCX	DELAWARE	IVY INTERNATIONAL CORE EQUITY CL N	N	NO LOAD	REDEEM ONLY	1600	0	0
IYITX	DELAWARE	IVY INTERNATIONAL CORE EQUITY R	R	NO LOAD	REDEEM ONLY	1600	0	0
IVVYX	DELAWARE	IVY INTL CORE EQTY FD Y	Y	NO LOAD	OPEN	1600	0	0
WLGAX	DELAWARE	IVY LARGE CAP GROWTH FD CL A	A	LOAD	OPEN	1600	0	0
WLGCX	DELAWARE	IVY LARGE CAP GROWTH FD CL C	C	LOAD	OPEN	1600	0	0
IYGIX	DELAWARE	IVY LARGE CAP GROWTH FD CL I	I	NO LOAD	OPEN	1600	0	0
ILGRX	DELAWARE	IVY LARGE CAP GROWTH FD CL N	N	NO LOAD	REDEEM ONLY	1600	0	0
WLGYX	DELAWARE	IVY LARGE CAP GROWTH FUND CL Y	Y	NO LOAD	OPEN	1600	0	0
WMGCX	DELAWARE	IVY MID CAP GROWTH C	C	LOAD	OPEN	1600	0	0
WMGAX	DELAWARE	IVY MID CAP GROWTH FUND CL A	A	LOAD	OPEN	1600	0	0
IGRFX	DELAWARE	IVY MID CAP GROWTH FUND CL N	N	NO LOAD	REDEEM ONLY	1600	0	0
WMGRX	DELAWARE	IVY MID CAP GROWTH FUND CL R	R	NO LOAD	REDEEM ONLY	1600	0	0
WMGYX	DELAWARE	IVY MID CAP GROWTH FUND CL Y	Y	NO LOAD	OPEN	1600	0	0
IYMIY	DELAWARE	IVY MID CAP GROWTH I	I	NO LOAD	OPEN	1600	0	0
IVOAX	DELAWARE	IVY MID CAP INCM OPPTY A	A	LOAD	OPEN	1600	0	0
IVOCX	DELAWARE	IVY MID CAP INCM OPPTY C	C	LOAD	OPEN	1600	0	0
IVOIX	DELAWARE	IVY MID CAP INCM OPPTY I	I	NO LOAD	OPEN	1600	0	0
IVOSX	DELAWARE	IVY MID CAP INCM OPPTY N	N	NO LOAD	REDEEM ONLY	1600	0	0
IVORX	DELAWARE	IVY MID CAP INCM OPPTY R	R	NO LOAD	REDEEM ONLY	1600	0	0
IVOYX	DELAWARE	IVY MID CAP INCM OPPTY Y	Y	NO LOAD	OPEN	1600	0	0
IGNAX	DELAWARE	IVY NATURAL RESOURCES CL A	A	LOAD	OPEN	1600	0	0

SYMBOL	FUND FAMILY	FUND DESCRIPTION	SHARE CLASS	FUND TYPE	STATUS	CUT- OFF	IRA MIN	NON-IRA MIN
IGNIX	DELAWARE	IVY NATURAL RESOURCES CL I	I	NO LOAD	OPEN	1600	0	0
INRSX	DELAWARE	IVY NATURAL RESOURCES CL N	N	NO LOAD	REDEEM ONLY	1600	0	0
IGNYX	DELAWARE	IVY NATURAL RESOURCES CL Y	Y	NO LOAD	OPEN	1600	0	0
ISTIX	DELAWARE	IVY SCIENCE & TECHNOLOGY FUND CL I	I	NO LOAD	OPEN	1600	0	0
ISTNX	DELAWARE	IVY SCIENCE & TECHNOLOGY FUND CL N	N	NO LOAD	REDEEM ONLY	1600	0	0
WSTAX	DELAWARE	IVY SCIENCE AND TECHNOLOGY FUND CL A	A	LOAD	OPEN	1600	0	0
WSTYX	DELAWARE	IVY SCIENCE AND TECHNOLOGY FUND CL Y	Y	NO LOAD	OPEN	1600	0	0
WSCYX	DELAWARE	IVY SMALL CAP GROWTH CL Y	Y	NO LOAD	OPEN	1600	0	0
WSGAX	DELAWARE	IVY SMALL CAP GROWTH FUND CL A	A	LOAD	OPEN	1600	0	0
IYSIX	DELAWARE	IVY SMALL CAP GROWTH FUND CL I	I	NO LOAD	OPEN	1600	0	0
IRGFX	DELAWARE	IVY SMALL CAP GROWTH FUND CL N	N	NO LOAD	REDEEM ONLY	1600	0	0
IWGAX	DELAWARE	IVY WILSHIRE GLOBAL ALLOCATION A	A	LOAD	OPEN	1600	0	0
IWGCX	DELAWARE	IVY WILSHIRE GLOBAL ALLOCATION C	C	LOAD	OPEN	1600	0	0
IWGIX	DELAWARE	IVY WILSHIRE GLOBAL ALLOCATION INST	I	NO LOAD	OPEN	1600	0	0
IWGNX	DELAWARE	IVY WILSHIRE GLOBAL ALLOCATION N	N	NO LOAD	REDEEM ONLY	1600	0	0
CEDIX	DESTRA CAPITAL	BLUEBAY DESTRA INTL EVENTDRIVEN CRDT I	I	NO LOAD	OPEN	1600	0	0
DPICX	DESTRA CAPITAL	DESTRA FLAHERTY & CRUMRINE PREFERRED & INCM C	C	LOAD	OPEN	1600	500	2500
DPIIX	DESTRA CAPITAL	DESTRA FLAHERTY & CRUMRINE PREFERRED & INCM I	I	NO LOAD	OPEN	1600	100000	100000
DPIAX	DESTRA CAPITAL	DESTRA FLAHERTY & CRUMRINE PREFERRED INCM A	A	LOAD	OPEN	1600	100	100
DFDMX	DF DENT FUNDS	DF DENT MIDCAP GWTH FD	NO LOAD	NO LOAD	OPEN	1600	100	100
DFMGX	DF DENT FUNDS	DF DENT MIDCAP GWTH FD INST	I	NO LOAD	OPEN	1600	500000	500000
DFDPX	DF DENT FUNDS	DF DENT PREMIER GROWTH	NO LOAD	NO LOAD	OPEN	1600	100	100
DFSGX	DF DENT FUNDS	DF DENT SMALL CAP GROWTH INSTITUTIONAL	I	NO LOAD	OPEN	1600	500000	500000
DFDSX	DF DENT FUNDS	DF DENT SMALL CAP GROWTH INVESTOR	INVESTOR	NO LOAD	OPEN	1600	100	100
DFRSX	DFA	DFA ASIA PACIFIC SMALL CO PORT INSTL	INSTITUTIONAL	NO LOAD	REDEEM ONLY	1600	0	0
DCIBX	DFA	DFA CA INTER TERM MUNI BD PORT INST	INSTITUTIONAL	NO LOAD	REDEEM ONLY	1600	0	0
DCARX	DFA	DFA CA MUNI REAL RETURN PORT INST	INSTITUTIONAL	NO LOAD	REDEEM ONLY	1600	0	0
DFCMX	DFA	DFA CA SHORT TERM MUNI BD INSTL	INSTITUTIONAL	NO LOAD	REDEEM ONLY	1600	0	0
DCMSX	DFA	DFA COMMODITY STRATEGY PORT INST	INSTITUTIONAL	NO LOAD	REDEEM ONLY	1600	0	0
DFCSX	DFA	DFA CONTINENTAL SMALL CO INSTL	INSTITUTIONAL	NO LOAD	REDEEM ONLY	1600	0	0
DRIBX	DFA	DFA DIMENSIONAL 2010 TGT DATE RET INCM I	INSTITUTIONAL	NO LOAD	REDEEM ONLY	1600	0	0
DRIQX	DFA	DFA DIMENSIONAL 2015 TGT DATE RET INCM I	INSTITUTIONAL	NO LOAD	REDEEM ONLY	1600	0	0
DRIRX	DFA	DFA DIMENSIONAL 2020 TGT DATE RET INCM I	INSTITUTIONAL	NO LOAD	REDEEM ONLY	1600	0	0
DRIUX	DFA	DFA DIMENSIONAL 2025 TGT DATE RET INCM I	INSTITUTIONAL	NO LOAD	REDEEM ONLY	1600	0	0
DRIWX	DFA	DFA DIMENSIONAL 2030 TGT DATE RET INCM I	INSTITUTIONAL	NO LOAD	REDEEM ONLY	1600	0	0
DRIGX	DFA	DFA DIMENSIONAL 2035 TGT DATE RET INCM I	INSTITUTIONAL	NO LOAD	REDEEM ONLY	1600	0	0
DRIHX	DFA	DFA DIMENSIONAL 2040 TGT DATE RET INCM I	INSTITUTIONAL	NO LOAD	REDEEM ONLY	1600	0	0
DRIIX	DFA	DFA DIMENSIONAL 2045 TGT DATE RET INCM I	INSTITUTIONAL	NO LOAD	REDEEM ONLY	1600	0	0
DRIJX	DFA	DFA DIMENSIONAL 2050 TGT DATE RET INCM I	INSTITUTIONAL	NO LOAD	REDEEM ONLY	1600	0	0
DRIKX	DFA	DFA DIMENSIONAL 2055 TGT DATE RET INCM I	INSTITUTIONAL	NO LOAD	REDEEM ONLY	1600	0	0
DRILX	DFA	DFA DIMENSIONAL 2060 TGT DATE RET INCM I	INSTITUTIONAL	NO LOAD	REDEEM ONLY	1600	0	0

SYMBOL	FUND FAMILY	FUND DESCRIPTION	SHARE CLASS	FUND TYPE	STATUS	CUT-OFF	IRA MIN	NON-IRA MIN
TDIFX	DFA	DFA DIMENSIONAL RTRMNT INCM FD I	INSTITUTIONAL	NO LOAD	REDEEM ONLY	1600	0	0
DFXIX	DFA	DFA DIVERSIFIED FIXED INCM PORT INST	INSTITUTIONAL	NO LOAD	REDEEM ONLY	1600	0	0
DFCEX	DFA	DFA EMERGING MKTS CORE EQTY PORT INSTL	INSTITUTIONAL	NO LOAD	REDEEM ONLY	1600	0	0
DAADX	DFA	DFA EMERGING MKTS EX CHINA CR EQ INSTL	INSTITUTIONAL	NO LOAD	REDEEM ONLY	1600	0	0
DFEMX	DFA	DFA EMERGING MKTS PORT INSTL	INSTITUTIONAL	NO LOAD	REDEEM ONLY	1600	0	0
DEMSX	DFA	DFA EMERGING MKTS SMALL CAP PORT INSTL	INSTITUTIONAL	NO LOAD	REDEEM ONLY	1600	0	0
DESIX	DFA	DFA EMERGING MKTS SUSTAINABILITY CORE 1 INST	INSTITUTIONAL	NO LOAD	REDEEM ONLY	1600	0	0
DFEVX	DFA	DFA EMERGING MKTS VALUE PORT INSTL	INSTITUTIONAL	NO LOAD	REDEEM ONLY	1600	0	0
DFESX	DFA	DFA EMRG MKTS SOCIAL CORE PORT INST	INSTITUTIONAL	NO LOAD	REDEEM ONLY	1600	0	0
DEMGX	DFA	DFA EMRG MKTS TGT VALUE PORT INST	INSTITUTIONAL	NO LOAD	REDEEM ONLY	1600	0	0
DFELX	DFA	DFA ENHANCED US LARGE CO PORT INSTL	INSTITUTIONAL	NO LOAD	REDEEM ONLY	1600	0	0
DFGBX	DFA	DFA FIVE YEAR GLBL FIXED INCM PORT INSTL	INSTITUTIONAL	NO LOAD	REDEEM ONLY	1600	0	0
DFGEX	DFA	DFA GLBL REAL ESTATE SEC PORT INST	INSTITUTIONAL	NO LOAD	REDEEM ONLY	1600	0	0
DGBEX	DFA	DFA GLBL SOCIAL CORE EQTY INST	INSTITUTIONAL	NO LOAD	REDEEM ONLY	1600	0	0
DGSFX	DFA	DFA GLBL SUSTAINABILITY FIXED INCM INST	INSTITUTIONAL	NO LOAD	REDEEM ONLY	1600	0	0
DGTSX	DFA	DFA GLOBAL ALLOC 2575 PORT INST	INSTITUTIONAL	NO LOAD	REDEEM ONLY	1600	0	0
DGSIX	DFA	DFA GLOBAL ALLOC 6040 PORT INST	INSTITUTIONAL	NO LOAD	REDEEM ONLY	1600	0	0
DGCFX	DFA	DFA GLOBAL CORE PLUS FIXED INCM INST	INSTITUTIONAL	NO LOAD	REDEEM ONLY	1600	0	0
DFAAX	DFA	DFA GLOBAL CORE PLUS REAL RETURN INSTL	INSTITUTIONAL	NO LOAD	REDEEM ONLY	1600	0	0
DGEIX	DFA	DFA GLOBAL EQUITY PORTFOLIO INST CLASS	INSTITUTIONAL	NO LOAD	REDEEM ONLY	1600	0	0
DGLIX	DFA	DFA GLOBAL SMALL CO PORT INST	INSTITUTIONAL	NO LOAD	REDEEM ONLY	1600	0	0
DIPSX	DFA	DFA INFLATION PROTECTED SEC PORT INSTL	INSTITUTIONAL	NO LOAD	REDEEM ONLY	1600	0	0
DFTEX	DFA	DFA INTER TERM EXTENDED QUALITY PORT INST CL	INSTITUTIONAL	NO LOAD	REDEEM ONLY	1600	0	0
DFIGX	DFA	DFA INTERM GOVT FIXED INCM PORT INSTL	INSTITUTIONAL	NO LOAD	REDEEM ONLY	1600	0	0
DFTIX	DFA	DFA INTERM TERM MUNI BD PORT INST	INSTITUTIONAL	NO LOAD	REDEEM ONLY	1600	0	0
DFIEX	DFA	DFA INTL CORE EQTY PORT INSTL	INSTITUTIONAL	NO LOAD	REDEEM ONLY	1600	0	0
DIHRX	DFA	DFA INTL HIGH PROFITABILITY PORT INST	INSTITUTIONAL	NO LOAD	REDEEM ONLY	1600	0	0
DILRX	DFA	DFA INTL LARGE CAP GWTH INST	INSTITUTIONAL	NO LOAD	REDEEM ONLY	1600	0	0
DFITX	DFA	DFA INTL REAL ESTATE SECURITIES PORT INSTL	INSTITUTIONAL	NO LOAD	REDEEM ONLY	1600	0	0
DISMX	DFA	DFA INTL SMALL CAP GWTH INST	INSTITUTIONAL	NO LOAD	REDEEM ONLY	1600	0	0
DISVX	DFA	DFA INTL SMALL CAP VALUE PORT INSTL	INSTITUTIONAL	NO LOAD	REDEEM ONLY	1600	0	0
DFISX	DFA	DFA INTL SMALL CO PORT INSTL	INSTITUTIONAL	NO LOAD	REDEEM ONLY	1600	0	0
DSCLX	DFA	DFA INTL SOCIAL CORE EQTY PORT INST	INSTITUTIONAL	NO LOAD	REDEEM ONLY	1600	0	0
DFSPX	DFA	DFA INTL SUSTAINABILITY CORE 1 PORT INSTL	INSTITUTIONAL	NO LOAD	REDEEM ONLY	1600	0	0
DFVIX	DFA	DFA INTL VALUE III PORT INST	INSTITUTIONAL	NO LOAD	REDEEM ONLY	1600	0	0
DFIVX	DFA	DFA INTL VALUE PORT INSTL	INSTITUTIONAL	NO LOAD	REDEEM ONLY	1600	0	0
DFVQX	DFA	DFA INTL VECTOR EQTY PORT INST	INSTITUTIONAL	NO LOAD	REDEEM ONLY	1600	0	0
DFAPX	DFA	DFA INVESTMENT GRADE PORT INSTL	INSTITUTIONAL	NO LOAD	REDEEM ONLY	1600	0	0
DFABX	DFA	DFA INVT DIMENSIONS GROUP INC SHORTT BD PO	INSTITUTIONAL	NO LOAD	REDEEM ONLY	1600	0	0
DFJSX	DFA	DFA JAPANESE SMALL CO INSTL	INSTITUTIONAL	NO LOAD	REDEEM ONLY	1600	0	0
DFALX	DFA	DFA LARGE CAP INTL PORT INSTL	INSTITUTIONAL	NO LOAD	REDEEM ONLY	1600	0	0

SYMBOL	FUND FAMILY	FUND DESCRIPTION	SHARE CLASS	FUND TYPE	STATUS	CUT-OFF	IRA MIN	NON-IRA MIN
DRXIX	DFA	DFA LTIP PORTFOLIO INST	INSTITUTIONAL	NO LOAD	REDEEM ONLY	1600	0	0
DMNBX	DFA	DFA MN MUNI BD PORT INST	INSTITUTIONAL	NO LOAD	REDEEM ONLY	1600	0	0
DFMPX	DFA	DFA MUNI BD PORT INST	INSTITUTIONAL	NO LOAD	REDEEM ONLY	1600	0	0
DMREX	DFA	DFA MUNI REAL RETURN PORT INST	INSTITUTIONAL	NO LOAD	REDEEM ONLY	1600	0	0
DNVMX	DFA	DFA NY MUNI BD PORT INST	INSTITUTIONAL	NO LOAD	REDEEM ONLY	1600	0	0
DFIHX	DFA	DFA ONE YEAR FIXED INCM PORT INSTL	INSTITUTIONAL	NO LOAD	REDEEM ONLY	1600	0	0
DOGMX	DFA	DFA OREGON MUNI BD PORT INST	INSTITUTIONAL	NO LOAD	REDEEM ONLY	1600	0	0
DFREX	DFA	DFA REAL ESTATE SECURITIES PORT INSTL	INSTITUTIONAL	NO LOAD	REDEEM ONLY	1600	0	0
DSSMX	DFA	DFA SELECTIVE STATE MUNICIPAL BOND INSTL	INSTITUTIONAL	NO LOAD	REDEEM ONLY	1600	0	0
DSHGX	DFA	DFA SELECTIVELY HEDGED GLBL EQTY PORT INST	INSTITUTIONAL	NO LOAD	REDEEM ONLY	1600	0	0
DFSHX	DFA	DFA SELECTIVELY HEDGED GLBL FIXED INCM PORT INST	INSTITUTIONAL	NO LOAD	REDEEM ONLY	1600	0	0
DFAIX	DFA	DFA SHORT DURATION REAL RETURN PORT INST	INSTITUTIONAL	NO LOAD	REDEEM ONLY	1600	0	0
DFEQX	DFA	DFA SHORT TERM EXTENDED QUALITY PORT INSTL	INSTITUTIONAL	NO LOAD	REDEEM ONLY	1600	0	0
DFFGX	DFA	DFA SHORT TERM GOVT PORT INSTL	INSTITUTIONAL	NO LOAD	REDEEM ONLY	1600	0	0
DFSMX	DFA	DFA SHORT TERM MUNI BOND PORT INSTL	INSTITUTIONAL	NO LOAD	REDEEM ONLY	1600	0	0
DSFIX	DFA	DFA SOCIAL FIXED INCM PORT INST	INSTITUTIONAL	NO LOAD	REDEEM ONLY	1600	0	0
DTCPX	DFA	DFA TARGETED CREDIT PORT INST	INSTITUTIONAL	NO LOAD	REDEEM ONLY	1600	0	0
DFCFX	DFA	DFA TWO YEAR FIXED INCM PORT INST	INSTITUTIONAL	NO LOAD	REDEEM ONLY	1600	0	0
DFGFX	DFA	DFA TWO YEAR GLBL FIXED INCM PORT INSTL	INSTITUTIONAL	NO LOAD	REDEEM ONLY	1600	0	0
DFYGX	DFA	DFA TWO YEAR GOVT PORT INST	INSTITUTIONAL	NO LOAD	REDEEM ONLY	1600	0	0
DFUKX	DFA	DFA UNITED KINGDOM SMALL CO PORT INSTL	INSTITUTIONAL	NO LOAD	REDEEM ONLY	1600	0	0
DFEOX	DFA	DFA US CORE EQTY 1 PORT INSTL	INSTITUTIONAL	NO LOAD	REDEEM ONLY	1600	0	0
DFQTX	DFA	DFA US CORE EQTY 2 PORT INSTL	INSTITUTIONAL	NO LOAD	REDEEM ONLY	1600	0	0
DURPX	DFA	DFA US HIGH RELATIVE PROFITABILITY PORT INST	INSTITUTIONAL	NO LOAD	REDEEM ONLY	1600	0	0
DUSQX	DFA	DFA US LARGE CAP EQUITY PORT INST	INSTITUTIONAL	NO LOAD	REDEEM ONLY	1600	0	0
DUSLX	DFA	DFA US LARGE CAP GROWTH INST	INSTITUTIONAL	NO LOAD	REDEEM ONLY	1600	0	0
DFUVX	DFA	DFA US LARGE CAP VALUE III PORT INST	INSTITUTIONAL	NO LOAD	REDEEM ONLY	1600	0	0
DFLVX	DFA	DFA US LARGE CAP VALUE PORT INSTL	INSTITUTIONAL	NO LOAD	REDEEM ONLY	1600	0	0
DFUSX	DFA	DFA US LARGE CO PORT INST	INSTITUTIONAL	NO LOAD	REDEEM ONLY	1600	0	0
DFSCX	DFA	DFA US MICRO CAP PORT INSTL	INSTITUTIONAL	NO LOAD	REDEEM ONLY	1600	0	0
DSCGX	DFA	DFA US SMALL CAP GROWTH INST	INSTITUTIONAL	NO LOAD	REDEEM ONLY	1600	0	0
DFSTX	DFA	DFA US SMALL CAP PORT INSTL	INSTITUTIONAL	NO LOAD	REDEEM ONLY	1600	0	0
DFSVX	DFA	DFA US SMALL CAP VALUE PORT INSTL	INSTITUTIONAL	NO LOAD	REDEEM ONLY	1600	0	0
DFUEX	DFA	DFA US SOCIAL CORE EQTY 2 PORT INST	INSTITUTIONAL	NO LOAD	REDEEM ONLY	1600	0	0
DFSIX	DFA	DFA US SUSTAINABILITY CORE 1 PORT INSTL	INSTITUTIONAL	NO LOAD	REDEEM ONLY	1600	0	0
DAABX	DFA	DFA US SUSTAINABILITY TARGETED VALUE PORTFOLIO INSTL	INSTITUTIONAL	NO LOAD	REDEEM ONLY	1600	0	0
DFFVX	DFA	DFA US TARGETED VALUE PORT INSTL	INSTITUTIONAL	NO LOAD	REDEEM ONLY	1600	0	0
DFVEX	DFA	DFA US VECTOR EQTY PORT INSTL	INSTITUTIONAL	NO LOAD	REDEEM ONLY	1600	0	0
DREIX	DFA	DFA WORLD CORE EQTY INST	INSTITUTIONAL	NO LOAD	REDEEM ONLY	1600	0	0
DFWIX	DFA	DFA WORLD EX US CORE EQTY INSTL	INSTITUTIONAL	NO LOAD	REDEEM ONLY	1600	0	0
DWFIX	DFA	DFA WORLD EX US GOVT FIX INC PORT INST	INSTITUTIONAL	NO LOAD	REDEEM ONLY	1600	0	0

SYMBOL	FUND FAMILY	FUND DESCRIPTION	SHARE CLASS	FUND TYPE	STATUS	CUT- OFF	IRA MIN	NON-IRA MIN
DWUSX	DFA	DFA WORLD EX US TARGETED VALUE PORT INST	INSTITUTIONAL	NO LOAD	REDEEM ONLY	1600	0	0
DFWVX	DFA	DFA WORLD EX US VALUE PORT INSTL	INSTITUTIONAL	NO LOAD	REDEEM ONLY	1600	0	0
DTDRX	DFA	DIMENSIONAL 2065 TARGET DATE RETIREMENT INCM FD	INSTITUTIONAL	NO LOAD	REDEEM ONLY	1600	0	0
DHLTX	DIAMOND HILL	DIAMOND HILL ALL CAP SLCT I	INSTITUTIONAL	NO LOAD	OPEN	1600	2500	2500
DHTYX	DIAMOND HILL	DIAMOND HILL ALL CAP SLCT Y	Y	NO LOAD	REDEEM ONLY	1600	500000	500000
DHRIX	DIAMOND HILL	DIAMOND HILL CORE BD I	INSTITUTIONAL	NO LOAD	OPEN	1600	2500	2500
DHRAX	DIAMOND HILL	DIAMOND HILL CORE BD INV	INVESTOR	NO LOAD	OPEN	1600	2500	2500
DHRYX	DIAMOND HILL	DIAMOND HILL CORE BOND Y	Y	NO LOAD	REDEEM ONLY	1600	500000	500000
DHIIIX	DIAMOND HILL	DIAMOND HILL INTL FD CL I	INSTITUTIONAL	NO LOAD	OPEN	1600	2500	2500
DHIAIX	DIAMOND HILL	DIAMOND HILL INTL INV	INVESTOR	NO LOAD	OPEN	1600	2500	2500
DHFIX	DIAMOND HILL	DIAMOND HILL LARGE CAP CONCENTRATED I	INSTITUTIONAL	NO LOAD	OPEN	1600	2500	2500
DHFAX	DIAMOND HILL	DIAMOND HILL LARGE CAP CONCENTRATED INV	INVESTOR	NO LOAD	OPEN	1600	2500	2500
DHLRX	DIAMOND HILL	DIAMOND HILL LARGE CAP FUND CL I	INSTITUTIONAL	NO LOAD	OPEN	1600	2500	2500
DHLAX	DIAMOND HILL	DIAMOND HILL LARGE CAP INV	INVESTOR	NO LOAD	OPEN	1600	2500	2500
DHLYX	DIAMOND HILL	DIAMOND HILL LARGE CAP Y	Y	NO LOAD	REDEEM ONLY	1600	500000	500000
DIAYX	DIAMOND HILL	DIAMOND HILL LONG SHORT FUND CL Y	Y	NO LOAD	REDEEM ONLY	1600	500000	500000
DHLSX	DIAMOND HILL	DIAMOND HILL LONG SHORT FUND CLASS I	INSTITUTIONAL	NO LOAD	OPEN	1600	2500	2500
DIAMX	DIAMOND HILL	DIAMOND HILL LONG SHORT INV	INVESTOR	NO LOAD	OPEN	1600	2500	2500
DHPIX	DIAMOND HILL	DIAMOND HILL MID CAP FD INST	INSTITUTIONAL	NO LOAD	OPEN	1600	2500	2500
DHPYX	DIAMOND HILL	DIAMOND HILL MID CAP FD Y	Y	NO LOAD	REDEEM ONLY	1600	500000	500000
DHPAX	DIAMOND HILL	DIAMOND HILL MID CAP INV	INVESTOR	NO LOAD	OPEN	1600	2500	2500
DHTAX	DIAMOND HILL	DIAMOND HILL SELECT INV	INVESTOR	NO LOAD	OPEN	1600	2500	2500
DHEYX	DIAMOND HILL	DIAMOND HILL SHORT DUR SECURITIZED BD Y	Y	NO LOAD	REDEEM ONLY	1600	500000	500000
DHEAX	DIAMOND HILL	DIAMOND HILL SHORT DURATION SEC BD INV	INVESTOR	NO LOAD	OPEN	1600	2500	2500
DHEIX	DIAMOND HILL	DIAMOND HILL SHORT DURATION SEC BOND FD CL I	INSTITUTIONAL	NO LOAD	OPEN	1600	2500	2500
DHSIX	DIAMOND HILL	DIAMOND HILL SMALL CAP FUND CL I	INSTITUTIONAL	NO LOAD	OPEN	1600	5000	5000
DHSYX	DIAMOND HILL	DIAMOND HILL SMALL CAP FUND CL Y	Y	NO LOAD	REDEEM ONLY	1600	500000	500000
DHSCX	DIAMOND HILL	DIAMOND HILL SMALL CAP INV	INVESTOR	NO LOAD	OPEN	1600	2500	2500
DHMIX	DIAMOND HILL	DIAMOND HILL SMALL MID CAP FD CL I	INSTITUTIONAL	NO LOAD	OPEN	1600	2500	2500
DHMYX	DIAMOND HILL	DIAMOND HILL SMALL MID CAP FD CL Y	Y	NO LOAD	REDEEM ONLY	1600	500000	500000
DHMAX	DIAMOND HILL	DIAMOND HILL SMALL MID CAP INV	INVESTOR	NO LOAD	OPEN	1600	2500	2500
DXKSX	DIREXION	DIREXION MONTHLY 710 YEAR TREASURY BEAR 1.75X INV	INVESTOR	NO LOAD	OPEN	1600	0	0
DXKLX	DIREXION	DIREXION MONTHLY 710 YEAR TREASURY BULL 1.75X INV	INVESTOR	NO LOAD	OPEN	1600	0	0
DXHYX	DIREXION	DIREXION MONTHLY HIGH YIELD BULL 1.2X INV	INVESTOR	NO LOAD	OPEN	1600	0	0
DXNLX	DIREXION	DIREXION MONTHLY NASDAQ 100 BULL 1.25X INV	INVESTOR	NO LOAD	OPEN	1600	0	0
DXQLX	DIREXION	DIREXION MONTHLY NASDAQ 100 BULL 1.75X INV	INVESTOR	NO LOAD	OPEN	1600	0	0
DXSLX	DIREXION	DIREXION MONTHLY S&P 500 BULL 1.75X INV	INVESTOR	NO LOAD	OPEN	1600	0	0
DXRLX	DIREXION	DIREXION MONTHLY SMALL CAP BULL 1.75X INV	INVESTOR	NO LOAD	OPEN	1600	0	0
HCYAX	DIREXION	HILTON TACTICAL INCOME FD INV	INVESTOR	NO LOAD	OPEN	1600	100	100
HCYIX	DIREXION	HILTON TACTICAL INCOME INST	INSTITUTIONAL	NO LOAD	OPEN	1600	50000	50000
DODBX	DODGE & COX	DODGE & COX BALANCED	I	NO LOAD	OPEN	1600	1000	2500

SYMBOL	FUND FAMILY	FUND DESCRIPTION	SHARE CLASS	FUND TYPE	STATUS	CUT- OFF	IRA MIN	NON-IRA MIN
DOXBX	DODGE & COX	DODGE & COX BALANCED FUND X	X	NO LOAD	REDEEM ONLY	1600	100000	100000
DODEX	DODGE & COX	DODGE & COX FDS	NO LOAD	NO LOAD	OPEN	1600	0	0
DODLX	DODGE & COX	DODGE & COX GLOBAL BD FD	I	NO LOAD	OPEN	1600	1000	2500
DOXLX	DODGE & COX	DODGE & COX GLOBAL BOND FUND X	X	NO LOAD	REDEEM ONLY	1600	100000	100000
DODWX	DODGE & COX	DODGE & COX GLOBAL STK FD	I	NO LOAD	OPEN	1600	1000	2500
DOXWX	DODGE & COX	DODGE & COX GLOBAL STOCK FUND X	X	NO LOAD	REDEEM ONLY	1600	100000	100000
DODIX	DODGE & COX	DODGE & COX INCOME	I	NO LOAD	OPEN	1600	1000	2500
DOXIX	DODGE & COX	DODGE & COX INCOME FUND X	X	NO LOAD	REDEEM ONLY	1600	100000	100000
DOXFX	DODGE & COX	DODGE & COX INTERNATIONAL STOCK X	X	NO LOAD	REDEEM ONLY	1600	100000	100000
DODFX	DODGE & COX	DODGE & COX INTL STOCK FD	I	NO LOAD	OPEN	1600	1000	2500
DODGX	DODGE & COX	DODGE & COX STOCK	I	NO LOAD	OPEN	1600	1000	2500
DOXGX	DODGE & COX	DODGE & COX STOCK FUND X	X	NO LOAD	REDEEM ONLY	1600	100000	100000
DSBIX	DOMINI	DOMINI IMPACT BOND FUND INST CL	INSTITUTIONAL	NO LOAD	OPEN	1600	0	0
DSBFX	DOMINI	DOMINI IMPACT BOND FUND INV CL	INVESTOR	NO LOAD	OPEN	1600	100	100
DSBYX	DOMINI	DOMINI IMPACT BOND Y	Y	NO LOAD	OPEN	1600	0	0
DIEQX	DOMINI	DOMINI IMPACT EQUITY FD INST SHR	INSTITUTIONAL	NO LOAD	SUBS ONLY	1600	0	0
DSEFX	DOMINI	DOMINI IMPACT EQUITY FD INV SHR	INVESTOR	NO LOAD	OPEN	1600	100	100
DSFRX	DOMINI	DOMINI IMPACT EQUITY FD R SHR	Y	NO LOAD	OPEN	1600	1000	2500
DOMOX	DOMINI	DOMINI IMPACT INTL EQTY INST	INSTITUTIONAL	NO LOAD	OPEN	1600	0	0
DOMIX	DOMINI	DOMINI IMPACT INTL EQTY INV CL	INVESTOR	NO LOAD	OPEN	1600	100	100
DOMYX	DOMINI	DOMINI IMPACT INTL EQTY Y	Y	NO LOAD	OPEN	1600	0	0
RISEX	DOMINI	DOMINI INTERNATIONAL OPPORTUNITIES INV	INVESTOR	NO LOAD	OPEN	1600	100	100
CAREX	DOMINI	DOMINI SUSTAINABLE SOLUTIONS FD INV	INVESTOR	NO LOAD	OPEN	1600	100	100
PWDAX	DONOGHUE FORLINES	DONOGHUE FORLINES DIVIDEND FD CL A	A	LOAD	OPEN	1600	100	100
PWDCX	DONOGHUE FORLINES	DONOGHUE FORLINES DIVIDEND FD CL C	C	LOAD	OPEN	1600	1000	1000
PWDIX	DONOGHUE FORLINES	DONOGHUE FORLINES DIVIDEND FD CL I	INSTITUTIONAL	NO LOAD	OPEN	1600	100000	100000
PWRAX	DONOGHUE FORLINES	DONOGHUE FORLINES INCOME FD CL A	A	LOAD	OPEN	1600	100	100
PWRIX	DONOGHUE FORLINES	DONOGHUE FORLINES INCOME FD CL I	INSTITUTIONAL	NO LOAD	OPEN	1600	100000	100000
MOJAX	DONOGHUE FORLINES	DONOGHUE FORLINES MOMENTUM FD CL A	A	LOAD	OPEN	1600	0	0
MOJCX	DONOGHUE FORLINES	DONOGHUE FORLINES MOMENTUM FD CL C	C	LOAD	OPEN	1600	1000	1000
MOJOX	DONOGHUE FORLINES	DONOGHUE FORLINES MOMENTUM FD CL I	INSTITUTIONAL	NO LOAD	OPEN	1600	100000	100000
FLOAX	DONOGHUE FORLINES	DONOGHUE FORLINES RISK MANAGED INCOME FD CL A	A	LOAD	OPEN	1600	1000	2500
FLOTX	DONOGHUE FORLINES	DONOGHUE FORLINES RISK MANAGED INCOME FD CL I	INSTITUTIONAL	NO LOAD	OPEN	1600	0	0
GTAAX	DONOGHUE FORLINES	DONOGHUE FORLINES TACT ALLOCATION FD CL A	A	LOAD	OPEN	1600	100	100
GTAIX	DONOGHUE FORLINES	DONOGHUE FORLINES TACT ALLOCATION FD CL I	INSTITUTIONAL	NO LOAD	OPEN	1600	100000	100000
DBLFX	DOUBLELINE FUNDS TRUST	DOUBLELINE CORE FIXED INCM FD CL I	I	NO LOAD	OPEN	1600	5000	100000
DLFNX	DOUBLELINE FUNDS TRUST	DOUBLELINE CORE FIXED INCM FD CL N	N	NO LOAD	OPEN	1600	100	100
DDCFV	DOUBLELINE FUNDS TRUST	DOUBLELINE CORE FIXED INCOME R6	R6	NO LOAD	REDEEM ONLY	1600	100000	100000
DBELX	DOUBLELINE FUNDS TRUST	DOUBLELINE EM MKTS LCL CCY BD I	I	NO LOAD	OPEN	1600	5000	100000
DLELX	DOUBLELINE FUNDS TRUST	DOUBLELINE EM MKTS LCL CCY BD N	N	NO LOAD	OPEN	1600	100	100
DBLEX	DOUBLELINE FUNDS TRUST	DOUBLELINE EMRG MKTS INCM FD CL I	I	NO LOAD	OPEN	1600	5000	100000

SYMBOL	FUND FAMILY	FUND DESCRIPTION	SHARE CLASS	FUND TYPE	STATUS	CUT- OFF	IRA MIN	NON-IRA MIN
DLENX	DOUBLELINE FUNDS TRUST	DOUBLELINE EMRG MKTS INCM FD CL N	N	NO LOAD	OPEN	1600	100	100
DFLEX	DOUBLELINE FUNDS TRUST	DOUBLELINE FLEXIBLE INCM I	I	NO LOAD	OPEN	1600	5000	100000
DLINX	DOUBLELINE FUNDS TRUST	DOUBLELINE FLEXIBLE INCM N	N	NO LOAD	OPEN	1600	100	100
DBFRX	DOUBLELINE FUNDS TRUST	DOUBLELINE FLOATING RATE FD CL I	I	NO LOAD	OPEN	1600	5000	100000
DLFRX	DOUBLELINE FUNDS TRUST	DOUBLELINE FLOATING RATE FD CL N	N	NO LOAD	OPEN	1600	100	100
DBLGX	DOUBLELINE FUNDS TRUST	DOUBLELINE GLBL BD FD I	I	NO LOAD	OPEN	1600	5000	100000
DLGBX	DOUBLELINE FUNDS TRUST	DOUBLELINE GLBL BD FD N	N	NO LOAD	OPEN	1600	100	100
DBLIX	DOUBLELINE FUNDS TRUST	DOUBLELINE INCOME FD I	I	NO LOAD	OPEN	1600	5000	100000
DBLNX	DOUBLELINE FUNDS TRUST	DOUBLELINE INCOME FD N	N	NO LOAD	OPEN	1600	100	100
BILDY	DOUBLELINE FUNDS TRUST	DOUBLELINE INFRASTRUCT INCM FD I	I	NO LOAD	OPEN	1600	5000	100000
BILTX	DOUBLELINE FUNDS TRUST	DOUBLELINE INFRASTRUCT INCM FD N	N	NO LOAD	OPEN	1600	100	100
DBLDX	DOUBLELINE FUNDS TRUST	DOUBLELINE LONG DUR TOTAL RTN BD I	I	NO LOAD	OPEN	1600	5000	100000
DLLDX	DOUBLELINE FUNDS TRUST	DOUBLELINE LONG DUR TOTAL RTN BD N	N	NO LOAD	OPEN	1600	100	100
DBLLX	DOUBLELINE FUNDS TRUST	DOUBLELINE LOW DUR EMRG MKTS FIX INCM I	I	NO LOAD	OPEN	1600	5000	100000
DBLSX	DOUBLELINE FUNDS TRUST	DOUBLELINE LOW DURATION BD I	I	NO LOAD	OPEN	1600	5000	100000
DLSNX	DOUBLELINE FUNDS TRUST	DOUBLELINE LOW DURATION BD N	N	NO LOAD	OPEN	1600	100	100
DDLDX	DOUBLELINE FUNDS TRUST	DOUBLELINE LOW DURATION BOND R6	R6	NO LOAD	REDEEM ONLY	1600	100000	100000
DELNX	DOUBLELINE FUNDS TRUST	DOUBLELINE LOW DURTN EMRG MKTS FIX INCM N	N	NO LOAD	OPEN	1600	100	100
DBMOX	DOUBLELINE FUNDS TRUST	DOUBLELINE MULTIASSET TREND I	I	NO LOAD	OPEN	1600	5000	100000
DLMOX	DOUBLELINE FUNDS TRUST	DOUBLELINE MULTIASSET TREND N	N	NO LOAD	OPEN	1600	100	100
DSEEX	DOUBLELINE FUNDS TRUST	DOUBLELINE SHILLER EHNCD CAPE FD I	I	NO LOAD	OPEN	1600	5000	100000
DSENX	DOUBLELINE FUNDS TRUST	DOUBLELINE SHILLER EHNCD CAPE FD N	N	NO LOAD	OPEN	1600	100	100
DSEUX	DOUBLELINE FUNDS TRUST	DOUBLELINE SHILLER EHNCD INTL CAPE I	I	NO LOAD	OPEN	1600	5000	100000
DLEUX	DOUBLELINE FUNDS TRUST	DOUBLELINE SHILLER EHNCD INTL CAPE N	N	NO LOAD	OPEN	1600	100	100
DDCPX	DOUBLELINE FUNDS TRUST	DOUBLELINE SHILLER ENHANCED CAPE R6	R6	NO LOAD	REDEEM ONLY	1600	0	0
DBCXM	DOUBLELINE FUNDS TRUST	DOUBLELINE STRATEGIC COMMODITY FD I	I	NO LOAD	OPEN	1600	5000	100000
DLCMX	DOUBLELINE FUNDS TRUST	DOUBLELINE STRATEGIC COMMODITY FD N	N	NO LOAD	OPEN	1600	100	100
DBLTX	DOUBLELINE FUNDS TRUST	DOUBLELINE TOTAL RETURN BD FD CL I	I	NO LOAD	OPEN	1600	5000	100000
DLTNX	DOUBLELINE FUNDS TRUST	DOUBLELINE TOTAL RETURN BD FD CL N	N	NO LOAD	OPEN	1600	100	100
DDTRX	DOUBLELINE FUNDS TRUST	DOUBLELINE TOTAL RETURN BD FD CL R6	R6	NO LOAD	REDEEM ONLY	1600	0	0
DNLDX	DREYFUS	BNY MELLON ACTIVE MIDCAP FD CL A	A	LOAD	OPEN	1600	750	1000
DNLGX	DREYFUS	BNY MELLON ACTIVE MIDCAP FD CL C	C	LOAD	OPEN	1600	750	1000
DNLRX	DREYFUS	BNY MELLON ACTIVE MIDCAP FD CL I	INSTITUTIONAL	NO LOAD	OPEN	1600	0	0
DMUAX	DREYFUS	BNY MELLON AMT FREE MUNI BD FD CL A	A	LOAD	OPEN	1600	750	1000
DMUCX	DREYFUS	BNY MELLON AMT FREE MUNI BD FD CL C	C	LOAD	OPEN	1600	750	1000
DMBIX	DREYFUS	BNY MELLON AMT FREE MUNI BD FD CL I	INSTITUTIONAL	NO LOAD	OPEN	1600	0	0
DRMBX	DREYFUS	BNY MELLON AMT FREE MUNI BD FD CL Z	Z	NO LOAD	SUBS ONLY	1600	100	100
DGIGX	DREYFUS	BNY MELLON APPRECIATION FD CL I	INSTITUTIONAL	NO LOAD	OPEN	1600	0	0
DGYGX	DREYFUS	BNY MELLON APPRECIATION FD CL Y	Y	NO LOAD	OPEN	1600	1000000	1000000
DGAGX	DREYFUS	BNY MELLON APPRECIATION FD INV	INVESTOR	NO LOAD	OPEN	1600	100	100
MIBLX	DREYFUS	BNY MELLON ASSET ALLOCATION INV	INVESTOR	NO LOAD	REDEEM ONLY	1600	100	100

SYMBOL	FUND FAMILY	FUND DESCRIPTION	SHARE CLASS	FUND TYPE	STATUS	CUT- OFF	IRA MIN	NON-IRA MIN
MPBLX	DREYFUS	BNY MELLON ASSET ALLOCATION M	M	NO LOAD	REDEEM ONLY	1600	0	10000
DBOAX	DREYFUS	BNY MELLON BALANCED OPPTY FD CL A	A	LOAD	OPEN	1600	750	1000
DBOCX	DREYFUS	BNY MELLON BALANCED OPPTY FD CL C	C	LOAD	OPEN	1600	750	1000
DBORX	DREYFUS	BNY MELLON BALANCED OPPTY FD CL I	INSTITUTIONAL	NO LOAD	OPEN	1600	0	0
THPBX	DREYFUS	BNY MELLON BALANCED OPPTY FD CL J	J	NO LOAD	SUBS ONLY	1600	0	0
DBOZX	DREYFUS	BNY MELLON BALANCED OPPTY FD CL Z	Z	NO LOAD	SUBS ONLY	1600	100	100
DBIRX	DREYFUS	BNY MELLON BD MKT INDEX FD CL I	INSTITUTIONAL	NO LOAD	OPEN	1600	0	0
DBMIX	DREYFUS	BNY MELLON BD MKT INDEX FD INV	INVESTOR	NO LOAD	OPEN	1600	100	100
MIBDX	DREYFUS	BNY MELLON BOND FUND INV SHR	INVESTOR	NO LOAD	REDEEM ONLY	1600	100	100
MPBFX	DREYFUS	BNY MELLON BOND M	M	NO LOAD	REDEEM ONLY	1600	0	100000
DCAAX	DREYFUS	BNY MELLON CA AMT FREE MUNI BD FD CL A	A	LOAD	OPEN	1600	750	1000
DCACX	DREYFUS	BNY MELLON CA AMT FREE MUNI BD FD CL C	C	LOAD	OPEN	1600	750	1000
DCMIX	DREYFUS	BNY MELLON CA AMT FREE MUNI BD FD CL I	INSTITUTIONAL	NO LOAD	OPEN	1600	0	0
DRCAX	DREYFUS	BNY MELLON CA AMT FREE MUNI BD FD CL Z	Z	NO LOAD	SUBS ONLY	1600	100	100
BYMMX	DREYFUS	BNY MELLON CORP BD M	M	NO LOAD	REDEEM ONLY	1600	0	10000
BYMIX	DREYFUS	BNY MELLON CORP BOND FD	INVESTOR	NO LOAD	REDEEM ONLY	1600	100	100
DRLAX	DREYFUS	BNY MELLON DEV MKTS REAL ESTATE SEC A	A	LOAD	OPEN	1600	750	1000
DGBCX	DREYFUS	BNY MELLON DEV MKTS REAL ESTATE SEC C	C	LOAD	OPEN	1600	750	1000
DRLIX	DREYFUS	BNY MELLON DEV MKTS REAL ESTATE SEC I	INSTITUTIONAL	NO LOAD	OPEN	1600	0	0
AVGAX	DREYFUS	BNY MELLON DYNAMIC TOTAL RETURN FD CL A	A	LOAD	OPEN	1600	750	1000
AVGCX	DREYFUS	BNY MELLON DYNAMIC TOTAL RETURN FD CL C	C	LOAD	OPEN	1600	750	1000
AVGRX	DREYFUS	BNY MELLON DYNAMIC TOTAL RETURN FD CL I	INSTITUTIONAL	NO LOAD	OPEN	1600	0	0
AVGYX	DREYFUS	BNY MELLON DYNAMIC TOTAL RETURN FD CL Y	Y	NO LOAD	REDEEM ONLY	1600	0	1000000
DAGVX	DREYFUS	BNY MELLON DYNAMIC VALUE FD CL A	A	LOAD	OPEN	1600	750	1000
DCGVX	DREYFUS	BNY MELLON DYNAMIC VALUE FD CL C	C	LOAD	OPEN	1600	750	1000
DRGVX	DREYFUS	BNY MELLON DYNAMIC VALUE FD CL I	INSTITUTIONAL	NO LOAD	OPEN	1600	0	0
DRGYX	DREYFUS	BNY MELLON DYNAMIC VALUE FD CL Y	Y	NO LOAD	REDEEM ONLY	1600	0	1000000
MIEGX	DREYFUS	BNY MELLON EMRG MKTS INV	INVESTOR	NO LOAD	REDEEM ONLY	1600	100	100
DQIAX	DREYFUS	BNY MELLON EQTY INCOME FD CL A	A	LOAD	OPEN	1600	750	1000
DQIRX	DREYFUS	BNY MELLON EQTY INCOME FD CL I	INSTITUTIONAL	NO LOAD	OPEN	1600	0	0
DQICX	DREYFUS	BNY MELLON EQUITY INCOME FUND C	C	LOAD	OPEN	1600	750	1000
DFLAX	DREYFUS	BNY MELLON FLOATING RATE INCOME FD CL A	A	LOAD	OPEN	1600	100	100
DFLIX	DREYFUS	BNY MELLON FLOATING RATE INCOME FD CL I	INSTITUTIONAL	NO LOAD	OPEN	1600	0	0
DFLYX	DREYFUS	BNY MELLON FLOATING RATE INCOME FD CL Y	Y	NO LOAD	REDEEM ONLY	1600	0	1000000
DGDAX	DREYFUS	BNY MELLON GLOBAL DYNAMIC BD INCOME FD CL A	A	LOAD	OPEN	1600	100	100
DGDIX	DREYFUS	BNY MELLON GLOBAL DYNAMIC BD INCOME FD CL I	INSTITUTIONAL	NO LOAD	OPEN	1600	0	0
DGDYX	DREYFUS	BNY MELLON GLOBAL DYNAMIC BD INCOME FD CL Y	Y	NO LOAD	REDEEM ONLY	1600	0	1000000
DGECX	DREYFUS	BNY MELLON GLOBAL EMERGING MKTS C	C	LOAD	OPEN	1600	750	1000
DGEAX	DREYFUS	BNY MELLON GLOBAL EMERGING MKTS FD CL A	A	LOAD	OPEN	1600	100	100
DGIEX	DREYFUS	BNY MELLON GLOBAL EMERGING MKTS FD CL I	INSTITUTIONAL	NO LOAD	OPEN	1600	0	0
DEQAX	DREYFUS	BNY MELLON GLOBAL EQTY INCOME FD CL A	A	LOAD	OPEN	1600	750	1000

SYMBOL	FUND FAMILY	FUND DESCRIPTION	SHARE CLASS	FUND TYPE	STATUS	CUT- OFF	IRA MIN	NON-IRA MIN
DEQCX	DREYFUS	BNY MELLON GLOBAL EQTY INCOME FD CL C	C	LOAD	OPEN	1600	750	1000
DQEIX	DREYFUS	BNY MELLON GLOBAL EQTY INCOME FD CL I	INSTITUTIONAL	NO LOAD	OPEN	1600	0	0
DHGAX	DREYFUS	BNY MELLON GLOBAL FIXED INCOME FD CL A	A	LOAD	OPEN	1600	750	1000
DHGCX	DREYFUS	BNY MELLON GLOBAL FIXED INCOME FD CL C	C	LOAD	OPEN	1600	750	1000
SDGIX	DREYFUS	BNY MELLON GLOBAL FIXED INCOME FD CL I	INSTITUTIONAL	NO LOAD	OPEN	1600	0	0
DSDYX	DREYFUS	BNY MELLON GLOBAL FIXED INCOME FD CL Y	Y	NO LOAD	OPEN	1600	0	1000000
DRRCX	DREYFUS	BNY MELLON GLOBAL REAL RETURN C	C	LOAD	OPEN	1600	750	1000
DRRAX	DREYFUS	BNY MELLON GLOBAL REAL RETURN FD CL A	A	LOAD	OPEN	1600	100	100
DRRIX	DREYFUS	BNY MELLON GLOBAL REAL RETURN FD CL I	INSTITUTIONAL	NO LOAD	OPEN	1600	0	0
DRRYX	DREYFUS	BNY MELLON GLOBAL REAL RETURN FD CL Y	Y	NO LOAD	REDEEM ONLY	1600	1000000	1000000
DGLAX	DREYFUS	BNY MELLON GLOBAL STOCK FD CL A	A	LOAD	OPEN	1600	750	1000
DGLCX	DREYFUS	BNY MELLON GLOBAL STOCK FD CL C	C	LOAD	OPEN	1600	750	1000
DGLRX	DREYFUS	BNY MELLON GLOBAL STOCK FD CL I	INSTITUTIONAL	NO LOAD	OPEN	1600	0	0
DGLYX	DREYFUS	BNY MELLON GLOBAL STOCK FD CL Y	Y	NO LOAD	REDEEM ONLY	1600	0	1000000
DPLTX	DREYFUS	BNY MELLON HIGH YIELD FD CL A	A	LOAD	OPEN	1600	750	1000
PTHIX	DREYFUS	BNY MELLON HIGH YIELD FD CL C	C	LOAD	OPEN	1600	750	1000
DLHRX	DREYFUS	BNY MELLON HIGH YIELD FD CL I	INSTITUTIONAL	NO LOAD	OPEN	1600	0	0
DHYAX	DREYFUS	BNY MELLON HIGH YIELD MUNI BD FD CL A	A	LOAD	OPEN	1600	750	1000
DHYCX	DREYFUS	BNY MELLON HIGH YIELD MUNI BD FD CL C	C	LOAD	OPEN	1600	1000	1000
DYBIX	DREYFUS	BNY MELLON HIGH YIELD MUNI BD FD CL I	INSTITUTIONAL	NO LOAD	OPEN	1600	0	0
DHMBX	DREYFUS	BNY MELLON HIGH YIELD MUNI BD FD CL Z	Z	NO LOAD	SUBS ONLY	1600	100	100
BMIAX	DREYFUS	BNY MELLON INCM STK A	A	LOAD	OPEN	1600	0	0
BMIIX	DREYFUS	BNY MELLON INCM STK I	INSTITUTIONAL	NO LOAD	OPEN	1600	0	0
DCPAX	DREYFUS	BNY MELLON INSIGHT CORE PLUS A	A	LOAD	OPEN	1600	100	100
DCPCX	DREYFUS	BNY MELLON INSIGHT CORE PLUS C	C	LOAD	OPEN	1600	750	1000
DCPIX	DREYFUS	BNY MELLON INSIGHT CORE PLUS I	INSTITUTIONAL	NO LOAD	OPEN	1600	0	0
DSPIX	DREYFUS	BNY MELLON INST S&P 500 STOCK INDEX FD CL I	INSTITUTIONAL	NO LOAD	OPEN	1600	0	0
MPIBX	DREYFUS	BNY MELLON INTERMEDIATE BOND M	M	NO LOAD	REDEEM ONLY	1600	0	100000
DITEX	DREYFUS	BNY MELLON INTERMEDIATE MUNI BD FD	NO LOAD	NO LOAD	OPEN	1600	100	100
MPITX	DREYFUS	BNY MELLON INTERNATIONAL M	M	NO LOAD	REDEEM ONLY	1600	100000	100000
DIBAX	DREYFUS	BNY MELLON INTL BD FD CL A	A	LOAD	OPEN	1600	750	1000
DIBCX	DREYFUS	BNY MELLON INTL BD FD CL C	C	LOAD	OPEN	1600	750	1000
DIBRX	DREYFUS	BNY MELLON INTL BD FD CL I	INSTITUTIONAL	NO LOAD	OPEN	1600	0	0
DIBYX	DREYFUS	BNY MELLON INTL BD FD CL Y	Y	NO LOAD	REDEEM ONLY	1600	0	1000000
DIEAX	DREYFUS	BNY MELLON INTL CORE EQTY FD CL A	A	LOAD	OPEN	1600	750	1000
DIECX	DREYFUS	BNY MELLON INTL CORE EQTY FD CL C	C	LOAD	OPEN	1600	750	1000
DIERX	DREYFUS	BNY MELLON INTL CORE EQTY FD CL I	INSTITUTIONAL	NO LOAD	OPEN	1600	0	0
NIEAX	DREYFUS	BNY MELLON INTL EQTY FD CL A	A	LOAD	OPEN	1600	1000	1000
NIECX	DREYFUS	BNY MELLON INTL EQTY FD CL C	C	LOAD	OPEN	1600	1000	1000
SNIEX	DREYFUS	BNY MELLON INTL EQTY FD CL I	INSTITUTIONAL	NO LOAD	OPEN	1600	0	0
NIEYX	DREYFUS	BNY MELLON INTL EQTY FD CL Y	Y	NO LOAD	REDEEM ONLY	1600	0	1000000

SYMBOL	FUND FAMILY	FUND DESCRIPTION	SHARE CLASS	FUND TYPE	STATUS	CUT- OFF	IRA MIN	NON-IRA MIN
MIINX	DREYFUS	BNY MELLON INTL INV	INVESTOR	NO LOAD	REDEEM ONLY	1600	100	100
DISAX	DREYFUS	BNY MELLON INTL STOCK FD CL A	A	LOAD	OPEN	1600	750	1000
DISCX	DREYFUS	BNY MELLON INTL STOCK FD CL C	C	LOAD	OPEN	1600	750	1000
DISRX	DREYFUS	BNY MELLON INTL STOCK FD CL I	INSTITUTIONAL	NO LOAD	OPEN	1600	0	0
DISYX	DREYFUS	BNY MELLON INTL STOCK FD CL Y	Y	NO LOAD	REDEEM ONLY	1600	0	1000000
DINIX	DREYFUS	BNY MELLON INTL STOCK INDEX FD CL I	INSTITUTIONAL	NO LOAD	OPEN	1600	0	0
DIISX	DREYFUS	BNY MELLON INTL STOCK INDEX FD INV	INVESTOR	NO LOAD	OPEN	1600	100	100
DLQAX	DREYFUS	BNY MELLON LARGE CAP EQTY FD CL A	A	LOAD	OPEN	1600	750	1000
DLQIX	DREYFUS	BNY MELLON LARGE CAP EQTY FD CL I	INSTITUTIONAL	NO LOAD	OPEN	1600	0	0
DLACX	DREYFUS	BNY MELLON LARGE CAP EQTY FD CL Y	Y	NO LOAD	REDEEM ONLY	1600	0	1000000
DEYCX	DREYFUS	BNY MELLON LARGE CAP EQUITY C	C	LOAD	OPEN	1600	750	1000
DREVX	DREYFUS	BNY MELLON LARGE CAP SEC FD	NO LOAD	NO LOAD	OPEN	1600	100	100
MMBIX	DREYFUS	BNY MELLON MA INTERM MUNI BD INV	INVESTOR	NO LOAD	REDEEM ONLY	1600	100	100
MMBMX	DREYFUS	BNY MELLON MA INTERM MUNI BD M	M	NO LOAD	REDEEM ONLY	1600	100000	100000
MIMSX	DREYFUS	BNY MELLON MID CAP MULTI STRATEGY FD INV SHARES	INVESTOR	NO LOAD	OPEN	1600	100	100
DMIDX	DREYFUS	BNY MELLON MIDCAP INDEX FD CL I	INSTITUTIONAL	NO LOAD	OPEN	1600	0	0
PESPX	DREYFUS	BNY MELLON MIDCAP INDEX FD INV	INVESTOR	NO LOAD	OPEN	1600	100	100
MOTIX	DREYFUS	BNY MELLON MUNI OPPTY INV	INVESTOR	NO LOAD	REDEEM ONLY	1600	100	100
MOTMX	DREYFUS	BNY MELLON MUNI OPPTY M	M	NO LOAD	REDEEM ONLY	1600	0	10000
MINSX	DREYFUS	BNY MELLON NATIONAL SHORT TERM MUNI BD INV	INVESTOR	NO LOAD	REDEEM ONLY	1600	100	100
MPNIX	DREYFUS	BNY MELLON NATL INTERM MUNI BD M	M	NO LOAD	REDEEM ONLY	1600	0	100000
MINMX	DREYFUS	BNY MELLON NATL INTERM MUNI BOND INV SHRS	INVESTOR	NO LOAD	REDEEM ONLY	1600	100	100
MNTXX	DREYFUS	BNY MELLON NATL MUNI MMKT INV	INVESTOR	LOAD	REDEEM ONLY	1600	0	10000
MPSTX	DREYFUS	BNY MELLON NATL SHORT TERM MUNI BD M	M	NO LOAD	REDEEM ONLY	1600	0	10000
DNLAX	DREYFUS	BNY MELLON NATURAL RESOURCES FD CL A	A	LOAD	OPEN	1600	750	1000
DLDCX	DREYFUS	BNY MELLON NATURAL RESOURCES FD CL C	C	LOAD	OPEN	1600	750	1000
DLDRX	DREYFUS	BNY MELLON NATURAL RESOURCES FD CL I	INSTITUTIONAL	NO LOAD	OPEN	1600	0	0
DLDYX	DREYFUS	BNY MELLON NATURAL RESOURCES Y	Y	NO LOAD	OPEN	1600	0	1000000
DNYAX	DREYFUS	BNY MELLON NEW YORK AMTFR CL Z	Z	NO LOAD	OPEN	1600	0	0
DRNJX	DREYFUS	BNY MELLON NJ MUNI BD FD CL A	A	LOAD	OPEN	1600	750	1000
DCNJX	DREYFUS	BNY MELLON NJ MUNI BD FD CL C	C	LOAD	OPEN	1600	1000	1000
DNMIX	DREYFUS	BNY MELLON NJ MUNI BD FD CL I	INSTITUTIONAL	NO LOAD	OPEN	1600	0	0
DZNIJX	DREYFUS	BNY MELLON NJ MUNI BD FD CL Z	Z	NO LOAD	SUBS ONLY	1600	100	100
PSNYX	DREYFUS	BNY MELLON NY AMT FREE MUNI BD FD CL A	A	LOAD	OPEN	1600	750	1000
PNYCX	DREYFUS	BNY MELLON NY AMT FREE MUNI BD FD CL C	C	LOAD	OPEN	1600	1000	1000
DNYIX	DREYFUS	BNY MELLON NY AMT FREE MUNI BD FD CL I	INSTITUTIONAL	NO LOAD	OPEN	1600	0	0
MNYIX	DREYFUS	BNY MELLON NY INTER TE BD INV	INVESTOR	NO LOAD	REDEEM ONLY	1600	100	100
MNYMX	DREYFUS	BNY MELLON NY INTER TE BD M	M	NO LOAD	REDEEM ONLY	1600	0	10000
DMCVX	DREYFUS	BNY MELLON OPPTY MIDCAP VALUE FD CL A	A	LOAD	OPEN	1600	750	1000
DVLCX	DREYFUS	BNY MELLON OPPTY MIDCAP VALUE FD CL C	C	LOAD	OPEN	1600	750	1000
DVLIX	DREYFUS	BNY MELLON OPPTY MIDCAP VALUE FD CL I	INSTITUTIONAL	NO LOAD	OPEN	1600	0	0

SYMBOL	FUND FAMILY	FUND DESCRIPTION	SHARE CLASS	FUND TYPE	STATUS	CUT- OFF	IRA MIN	NON-IRA MIN
PTEBX	DREYFUS	BNY MELLON OPPTY MUNI SEC FD CL A	A	LOAD	OPEN	1600	750	1000
DMBCX	DREYFUS	BNY MELLON OPPTY MUNI SEC FD CL C	C	LOAD	OPEN	1600	1000	1000
DMBVX	DREYFUS	BNY MELLON OPPTY MUNI SEC FD CL I	INSTITUTIONAL	NO LOAD	OPEN	1600	0	0
DMBZX	DREYFUS	BNY MELLON OPPTY MUNI SEC FD CL Z	Z	NO LOAD	SUBS ONLY	1600	100	100
DOPIX	DREYFUS	BNY MELLON OPPTY SM CAP FD CL I	INSTITUTIONAL	NO LOAD	OPEN	1600	0	0
DSCYX	DREYFUS	BNY MELLON OPPTY SM CAP FD CL Y	Y	NO LOAD	REDEEM ONLY	1600	0	1000000
DSCVX	DREYFUS	BNY MELLON OPPTY SM CAP FD INV	INVESTOR	NO LOAD	OPEN	1600	100	100
MIPAX	DREYFUS	BNY MELLON PA INTERM MUNI BD INV	INVESTOR	NO LOAD	REDEEM ONLY	1600	100	100
MPIX	DREYFUS	BNY MELLON PA INTERM MUNI BD M	M	NO LOAD	REDEEM ONLY	1600	0	10000
DWOAX	DREYFUS	BNY MELLON RESEARCH GROWTH FD CL A	A	LOAD	OPEN	1600	750	1000
DWOCX	DREYFUS	BNY MELLON RESEARCH GROWTH FD CL C	C	LOAD	OPEN	1600	750	1000
DWOIX	DREYFUS	BNY MELLON RESEARCH GROWTH FD CL I	INSTITUTIONAL	NO LOAD	OPEN	1600	0	0
DREQX	DREYFUS	BNY MELLON RESEARCH GROWTH FD CL Z	Z	NO LOAD	SUBS ONLY	1600	100	100
PEOPX	DREYFUS	BNY MELLON S&P 500 INDEX FD	NO LOAD	NO LOAD	OPEN	1600	100	100
DSTIX	DREYFUS	BNY MELLON SHORT TERM INCOME FD CL D	D	NO LOAD	OPEN	1600	100	100
DMBAX	DREYFUS	BNY MELLON SHORT TERM MUNICIPAL A	A	LOAD	OPEN	1600	100	100
DSIBX	DREYFUS	BNY MELLON SHORT TERM MUNICIPAL D	D	NO LOAD	OPEN	1600	100	100
DIMIX	DREYFUS	BNY MELLON SHORT TERM MUNICIPAL I	INSTITUTIONAL	NO LOAD	OPEN	1600	0	0
MISTX	DREYFUS	BNY MELLON SHORTTERM US GOVT SECS INV	INVESTOR	NO LOAD	REDEEM ONLY	1600	100	100
MPSUX	DREYFUS	BNY MELLON SHORTTERM US GOVT SECS M	M	NO LOAD	REDEEM ONLY	1600	0	10000
SSETX	DREYFUS	BNY MELLON SM CAP GROWTH FD CL I	INSTITUTIONAL	NO LOAD	OPEN	1600	0	0
RUDAX	DREYFUS	BNY MELLON SM CAP VALUE FD CL A	A	LOAD	OPEN	1600	100	1000
BOSCX	DREYFUS	BNY MELLON SM CAP VALUE FD CL C	C	LOAD	OPEN	1600	750	1000
STSVX	DREYFUS	BNY MELLON SM CAP VALUE FD CL I	INSTITUTIONAL	NO LOAD	OPEN	1600	0	0
DBMAX	DREYFUS	BNY MELLON SM MID CAP GROWTH FD CL A	A	LOAD	OPEN	1600	750	1000
MPSSX	DREYFUS	BNY MELLON SMALL CAP MULTI STRATEGY FD CL M	M	NO LOAD	REDEEM ONLY	1600	0	10000
MISCX	DREYFUS	BNY MELLON SMALL CAP MULTI STRATEGY INVESTOR CLASS	INVESTOR	NO LOAD	REDEEM ONLY	1600	100	100
DISIX	DREYFUS	BNY MELLON SMALL CAP STOCK INDEX CL I	INSTITUTIONAL	NO LOAD	OPEN	1600	0	0
DISSX	DREYFUS	BNY MELLON SMALL CAP STOCK INDEX FD INV	INVESTOR	NO LOAD	OPEN	1600	100	100
DBMCX	DREYFUS	BNY MELLON SMALL MID CAP GROWTH FD CL C	C	LOAD	OPEN	1600	750	1000
SDSCX	DREYFUS	BNY MELLON SMALL MID CAP GROWTH FD CL I	INSTITUTIONAL	NO LOAD	OPEN	1600	2500	2500
DBMYX	DREYFUS	BNY MELLON SMALL MID CAP GROWTH FD CL Y	Y	NO LOAD	REDEEM ONLY	1600	750	1000000
DBMZX	DREYFUS	BNY MELLON SMALL MID CAP GROWTH FD CL Z	Z	NO LOAD	SUBS ONLY	1600	0	0
DTCAX	DREYFUS	BNY MELLON SUSTAINABLE US EQTY FD CL A	A	LOAD	OPEN	1600	750	1000
DTCCX	DREYFUS	BNY MELLON SUSTAINABLE US EQTY FD CL C	C	LOAD	OPEN	1600	750	1000
DRTCX	DREYFUS	BNY MELLON SUSTAINABLE US EQTY FD CL I	INSTITUTIONAL	NO LOAD	OPEN	1600	0	0
DRTHX	DREYFUS	BNY MELLON SUSTAINABLE US EQTY FD CL Z	Z	NO LOAD	SUBS ONLY	1600	100	100
DTMGX	DREYFUS	BNY MELLON TAX MANAGED GROWTH FD CL A	A	LOAD	OPEN	1600	750	1000
DPTAX	DREYFUS	BNY MELLON TAX MANAGED GROWTH FD CL C	C	LOAD	OPEN	1600	750	1000
DPTRX	DREYFUS	BNY MELLON TAX MANAGED GROWTH FD CL I	INSTITUTIONAL	NO LOAD	OPEN	1600	0	0
DTGRX	DREYFUS	BNY MELLON TECHNOLOGY GROWTH FD CL A	A	LOAD	OPEN	1600	750	1000

SYMBOL	FUND FAMILY	FUND DESCRIPTION	SHARE CLASS	FUND TYPE	STATUS	CUT- OFF	IRA MIN	NON-IRA MIN
DTGCX	DREYFUS	BNY MELLON TECHNOLOGY GROWTH FD CL C	C	LOAD	OPEN	1600	750	1000
DGVRX	DREYFUS	BNY MELLON TECHNOLOGY GROWTH FD CL I	INSTITUTIONAL	NO LOAD	OPEN	1600	0	0
GPGAX	DREYFUS	BNY MELLON US MORTGAGE FD CL A	A	LOAD	OPEN	1600	750	1000
GPNCX	DREYFUS	BNY MELLON US MORTGAGE FD CL C	C	LOAD	OPEN	1600	750	1000
GPNIX	DREYFUS	BNY MELLON US MORTGAGE FD CL I	INSTITUTIONAL	NO LOAD	OPEN	1600	0	0
DRGMX	DREYFUS	BNY MELLON US MORTGAGE FD CL Z	Z	NO LOAD	SUBS ONLY	1600	100	100
PGROX	DREYFUS	BNY MELLON WORLDWIDE GROWTH FD CL A	A	LOAD	OPEN	1600	750	1000
PGRGX	DREYFUS	BNY MELLON WORLDWIDE GROWTH FD CL C	C	LOAD	OPEN	1600	750	1000
DPWRX	DREYFUS	BNY MELLON WORLDWIDE GROWTH FD CL I	INSTITUTIONAL	NO LOAD	OPEN	1600	0	0
DPRIX	DREYFUS	BNY MELLON WORLDWIDE GROWTH Y	Y	NO LOAD	REDEEM ONLY	1600	0	1000000
DABJX	DREYFUS	BNY MELLON YIELD ENHANCEMENT STRAT FD CL Y	Y	NO LOAD	REDEEM ONLY	1600	0	1000000
DABMX	DREYFUS	BNY MELLON YIELD ENHANCEMENT STRATEGY FD CL A	A	LOAD	OPEN	1600	100	100
DABKX	DREYFUS	BNY MELLON YIELD ENHANCEMENT STRATEGY FD CL I	INSTITUTIONAL	NO LOAD	OPEN	1600	0	0
GMMXX	DREYFUS	DREYFUS GENERAL MONEY MKT FD CL A	WEALTH CLASS SH	LOAD	OPEN	1600	750	2500
GTMXX	DREYFUS	DREYFUS GENERAL MUNI MONEY MARKET A	A	LOAD	SUBS ONLY	1600	750	2500
DGCXX	DREYFUS	DREYFUS GOVERNMENT CASH MGMT INSTL	INSTITUTIONAL	NO LOAD	OPEN	1600	0	10000000
DGUXX	DREYFUS	DREYFUS GOVERNMENT CASH MGMT SVC	SERVICE CLASS SH	LOAD	OPEN	1600	750	1000
DGQXX	DREYFUS	DREYFUS GOVERNMENT CASH MGMT WEALTH	WEALTH CLASS SH	LOAD	OPEN	1600	500	2500
DGVXX	DREYFUS	DREYFUS GOVT CASH MGMT FD INV	INVESTOR	LOAD	OPEN	1600	10000000	10000000
DGPXX	DREYFUS	DREYFUS GOVT SEC CASH MGMT PART CL	PARTICIPANT	LOAD	OPEN	1600	10000000	10000000
DSHXX	DREYFUS	DREYFUS INSTL PREFERRED GOVT MMKT HMLTN	HAMILTON	NO LOAD	REDEEM ONLY	1600	100000000	100000000
GMBXX	DREYFUS	DREYFUS MONEY MARKET FUND SERVICE CLASS SHARES	SERVICE CLASS SH	LOAD	EXCH ONLY	1600	750	2500
GMGXX	DREYFUS	DREYFUS MONEY MARKET PREMIER	PREMIER	NO LOAD	REDEEM ONLY	1600	750	10000
DTPXX	DREYFUS	DREYFUS TREASURY OBLIGATIONS CASH MGMT FD PART	PARTICIPANT	LOAD	OPEN	1600	10000000	10000000
DTRXX	DREYFUS	DREYFUS TREASURY OBLIGATIONS CSH MGT INS	INSTITUTIONAL	NO LOAD	OPEN	1600	0	10000000
DTVXX	DREYFUS	DREYFUS TREASURY OBLIGATIONS CSH MGT INV	INVESTOR	LOAD	OPEN	1600	0	1000000
DIRXX	DREYFUS	DREYFUS TREASURY SECS CASH MGMT INST	INSTITUTIONAL	NO LOAD	OPEN	1600	10000000	10000000
DTJXX	DREYFUS	DREYFUS TREASURY SECS CASH MGMT WLTH	WEALTH CLASS SH	LOAD	OPEN	1600	2500	2500
DVRXX	DREYFUS	DREYFUS TRSY SEC CASH MGMT FD INV	INVESTOR	LOAD	OPEN	1600	10000000	10000000
GNMXX	DREYFUS	GENERAL NEW YORK AMT FREE MUNI MONEY MKT FUND A	A	LOAD	SUBS ONLY	1600	750	1000
DREGX	DRIEHAUS	DRIEHAUS EMERGING MARKETS GROWTH FUND	NO LOAD	NO LOAD	OPEN	1600	100	100
DIEMX	DRIEHAUS	DRIEHAUS EMRG MKTS GWTH FD INST	INSTITUTIONAL	NO LOAD	OPEN	1600	500000	500000
DRESX	DRIEHAUS	DRIEHAUS EMRG MKTS SM CAP GWTH FD	NO LOAD	NO LOAD	OPEN	1600	100	100
DEVDX	DRIEHAUS	DRIEHAUS EVENT DRIVEN FD	NO LOAD	NO LOAD	OPEN	1600	100	100
DMAGX	DRIEHAUS	DRIEHAUS GLOBAL	NO LOAD	NO LOAD	OPEN	1600	100	100
DIDEX	DRIEHAUS	DRIEHAUS INTERNATIONAL DEVELOPED EQUITY FD	NO LOAD	NO LOAD	OPEN	1600	2000	10000
DRIOX	DRIEHAUS	DRIEHAUS INTL SMALL CAP GROWTH	NO LOAD	NO LOAD	OPEN	1600	100	100
DMCRX	DRIEHAUS	DRIEHAUS MICRO CAP GWTH FD INST	INSTITUTIONAL	NO LOAD	REDEEM ONLY	1600	100	100
DSMDX	DRIEHAUS	DRIEHAUS MUT FDS S M CAP INSTL CL	NO LOAD	NO LOAD	OPEN	1600	100	100
DNSMX	DRIEHAUS	DRIEHAUS SMALL CAP GROWTH FD INST	INSTITUTIONAL	NO LOAD	OPEN	1600	500000	500000
DVSMX	DRIEHAUS	DRIEHAUS SMALL CAP GROWTH FD INV	INVESTOR	NO LOAD	OPEN	1600	100	100

SYMBOL	FUND FAMILY	FUND DESCRIPTION	SHARE CLASS	FUND TYPE	STATUS	CUT-OFF	IRA MIN	NON-IRA MIN
DACGX	DUNHAM FUNDS	DUNHAM CORPORATE GOVT BD FD CL A	A	LOAD WAIVED	OPEN	1600	0	0
DNCGX	DUNHAM FUNDS	DUNHAM CORPORATE GOVT BD FD CL N	N	NO LOAD	OPEN	1600	0	0
DCCGX	DUNHAM FUNDS	DUNHAM CORPORATE GOVERNMENT BOND C	C	NO LOAD	REDEEM ONLY	1600	0	0
DAAVX	DUNHAM FUNDS	DUNHAM DYNAMIC MACRO FD CL A	A	LOAD WAIVED	OPEN	1600	0	0
DNAVX	DUNHAM FUNDS	DUNHAM DYNAMIC MACRO FD CL N	N	NO LOAD	OPEN	1600	0	0
DAEMX	DUNHAM FUNDS	DUNHAM EMRG MKTS STK FD CL A	A	LOAD WAIVED	OPEN	1600	0	0
DNEMX	DUNHAM FUNDS	DUNHAM EMRG MKTS STK FD CL N	N	NO LOAD	OPEN	1600	0	0
DAFRX	DUNHAM FUNDS	DUNHAM FLOATING RATE BD CL A	A	LOAD	OPEN	1600	0	0
DNFRX	DUNHAM FUNDS	DUNHAM FLOATING RATE BD CL N	N	NO LOAD	OPEN	1600	0	0
DAFGX	DUNHAM FUNDS	DUNHAM FOCUSED LARGE CAP GWTH A	A	LOAD	OPEN	1600	0	0
DNFGX	DUNHAM FUNDS	DUNHAM FOCUSED LARGE CAP GWTH N	N	NO LOAD	OPEN	1600	0	0
DAHGX	DUNHAM FUNDS	DUNHAM HIGH YIELD BD FD CL A	A	LOAD WAIVED	OPEN	1600	0	0
DNHGX	DUNHAM FUNDS	DUNHAM HIGH YIELD BOND FD CL N	N	NO LOAD	OPEN	1600	0	0
DCINX	DUNHAM FUNDS	DUNHAM INTERNATIONAL STOCK C	C	NO LOAD	REDEEM ONLY	1600	0	0
DAIOX	DUNHAM FUNDS	DUNHAM INTL OPPTY BD FD CL A	A	LOAD	OPEN	1600	0	0
DNIOX	DUNHAM FUNDS	DUNHAM INTL OPPTY BD FD CL N	N	NO LOAD	OPEN	1600	0	0
DAINX	DUNHAM FUNDS	DUNHAM INTL STK FD CL A	A	LOAD WAIVED	OPEN	1600	0	0
DNINX	DUNHAM FUNDS	DUNHAM INTL STOCK FD CL N	N	NO LOAD	OPEN	1600	0	0
DCLVX	DUNHAM FUNDS	DUNHAM LARGE CAP VALUE C	C	NO LOAD	REDEEM ONLY	1600	0	0
DALVX	DUNHAM FUNDS	DUNHAM LARGE CAP VALUE FD CL A	A	LOAD WAIVED	OPEN	1600	0	0
DNLVX	DUNHAM FUNDS	DUNHAM LARGE CAP VALUE FD CL N	N	NO LOAD	OPEN	1600	0	0
DNAIX	DUNHAM FUNDS	DUNHAM LONG SHORT CREDIT CL N	N	NO LOAD	OPEN	1600	0	0
DAAIX	DUNHAM FUNDS	DUNHAM LONG SHORT CREDIT FD CL A	A	LOAD WAIVED	OPEN	1600	0	0
DNMDX	DUNHAM FUNDS	DUNHAM MONTHLY DIST FD CL N	N	NO LOAD	OPEN	1600	0	0
DAMD	DUNHAM FUNDS	DUNHAM MONTHLY DISTRIBUTION FD CL A	A	LOAD	OPEN	1600	0	0
DCMDX	DUNHAM FUNDS	DUNHAM MONTHLY DISTRIBUTION FD CL C	C	LOAD	OPEN	1600	0	0
DAREX	DUNHAM FUNDS	DUNHAM REAL ESTATE STK FD CL A	A	LOAD WAIVED	OPEN	1600	0	0
DCREX	DUNHAM FUNDS	DUNHAM REAL ESTATE STOCK C	C	NO LOAD	REDEEM ONLY	1600	0	0
DNREX	DUNHAM FUNDS	DUNHAM REAL ESTATE STOCK FD CL N	N	NO LOAD	OPEN	1600	0	0
DADGX	DUNHAM FUNDS	DUNHAM SMALL CAP GROWTH FD CL A	A	LOAD	OPEN	1600	0	0
DCDGX	DUNHAM FUNDS	DUNHAM SMALL CAP GROWTH FUND CL C	C	LOAD	OPEN	1600	2000	5000
DNDGX	DUNHAM FUNDS	DUNHAM SMALL CAP GROWTH FUND CL N	N	NO LOAD	OPEN	1600	0	0
DCSVX	DUNHAM FUNDS	DUNHAM SMALL CAP VALUE C	C	NO LOAD	REDEEM ONLY	1600	0	0
DASVX	DUNHAM FUNDS	DUNHAM SMALL CAP VALUE FD CL A	A	LOAD WAIVED	REDEEM ONLY	1600	0	0
DNSVX	DUNHAM FUNDS	DUNHAM SMALL CAP VALUE FUND CL N	N	NO LOAD	OPEN	1600	0	0
DNSPX	DUNHAM FUNDS	DUNHAM U.S. ENHANCED MARKET N	N	NO LOAD	OPEN	1600	0	0
DUALX	DUPREE	DUPREE AL TAX FREE INCM	NO LOAD	NO LOAD	OPEN	1600	100	100
DPIGX	DUPREE	DUPREE INTERMEDIATE GOVT BOND FUND	NO LOAD	NO LOAD	OPEN	1600	100	100
KYTFX	DUPREE	DUPREE KY TAX FREE INCOME SHARES	NO LOAD	NO LOAD	OPEN	1600	100	100
KYSMX	DUPREE	DUPREE KY TAX FREE SHORT TO MEDIUM	NO LOAD	NO LOAD	OPEN	1600	100	100
DUMSX	DUPREE	DUPREE MS TAX FREE INCOME SERIES	NO LOAD	NO LOAD	OPEN	1600	100	100

SYMBOL	FUND FAMILY	FUND DESCRIPTION	SHARE CLASS	FUND TYPE	STATUS	CUT- OFF	IRA MIN	NON-IRA MIN
NTSMX	DUPREE	DUPREE NC TAX FREE SHORT TO MEDIUM	NO LOAD	NO LOAD	OPEN	1600	100	100
NTFIX	DUPREE	DUPREE NORTH CAROLINA TAX FREE INCOME SERIES	NO LOAD	NO LOAD	OPEN	1600	100	100
TNTIX	DUPREE	DUPREE TN TAX FREE INCM SERIES	NO LOAD	NO LOAD	OPEN	1600	100	100
TTSMX	DUPREE	DUPREE TN TAX FREE SHORT TO MEDIUM	NO LOAD	NO LOAD	OPEN	1600	100	100
KCTAX	DWS INVESTMENTS	DWS CA TAX FREE INCM FD CL A	A	LOAD	OPEN	1600	0	1000
KCTCX	DWS INVESTMENTS	DWS CA TAX FREE INCM FD CL C	C	LOAD	OPEN	1600	0	1000
SDCSX	DWS INVESTMENTS	DWS CA TAX FREE INCM FD CL S	S	NO LOAD	OPEN	1600	100	100
SDGAX	DWS INVESTMENTS	DWS CAPITAL GROWTH FD CL A	A	LOAD	OPEN	1600	0	1000
SDGCX	DWS INVESTMENTS	DWS CAPITAL GROWTH FD CL C	C	LOAD	OPEN	1600	0	1000
SCGSX	DWS INVESTMENTS	DWS CAPITAL GROWTH FD CL S	S	NO LOAD	OPEN	1600	100	100
SDGTX	DWS INVESTMENTS	DWS CAPITAL GROWTH FD INST	INSTITUTIONAL	NO LOAD	OPEN	1600	0	0
DOAXX	DWS INVESTMENTS	DWS CASH INVESTMENT TRUST FD CL A	A	LOAD	OPEN	1600	0	1000
DOCXX	DWS INVESTMENTS	DWS CASH INVESTMENT TRUST FD CL C	C	LOAD	OPEN	1600	0	1000
DOSXX	DWS INVESTMENTS	DWS CASH INVESTMENT TRUST FD CL S	S	LOAD	OPEN	1600	0	2500
COMAX	DWS INVESTMENTS	DWS COMMUNICATIONS FD CL A	A	LOAD	OPEN	1600	0	1000
COMZX	DWS INVESTMENTS	DWS COMMUNICATIONS INST	INSTITUTIONAL	NO LOAD	OPEN	1600	0	0
SUWAX	DWS INVESTMENTS	DWS CORE EQTY FD CL A	A	LOAD	OPEN	1600	0	1000
SUWCX	DWS INVESTMENTS	DWS CORE EQTY FD CL C	C	LOAD	OPEN	1600	0	1000
SCDGX	DWS INVESTMENTS	DWS CORE EQTY FD CL S	S	NO LOAD	OPEN	1600	100	100
SUWIX	DWS INVESTMENTS	DWS CORE EQTY FD INST	INSTITUTIONAL	NO LOAD	OPEN	1600	0	0
KDHAX	DWS INVESTMENTS	DWS CROCI EQTY DIVIDEND FD CL A	A	LOAD	OPEN	1600	0	1000
KDHCX	DWS INVESTMENTS	DWS CROCI EQTY DIVIDEND FD CL C	C	LOAD	OPEN	1600	0	1000
KDHSX	DWS INVESTMENTS	DWS CROCI EQTY DIVIDEND FD CL S	S	NO LOAD	OPEN	1600	100	100
KDHIX	DWS INVESTMENTS	DWS CROCI EQTY DIVIDEND FD INST	INSTITUTIONAL	NO LOAD	OPEN	1600	0	0
SUIAX	DWS INVESTMENTS	DWS CROCI INTL FD CL A	A	LOAD	OPEN	1600	0	1000
SUICX	DWS INVESTMENTS	DWS CROCI INTL FD CL C	C	LOAD	OPEN	1600	0	1000
SCINX	DWS INVESTMENTS	DWS CROCI INTL FD CL S	S	NO LOAD	OPEN	1600	100	100
SUIIX	DWS INVESTMENTS	DWS CROCI INTL FD INST	INSTITUTIONAL	NO LOAD	OPEN	1600	0	0
DCUAX	DWS INVESTMENTS	DWS CROCI US FD CL A	A	LOAD	OPEN	1600	0	1000
DCUCX	DWS INVESTMENTS	DWS CROCI US FD CL C	C	LOAD	OPEN	1600	0	1000
DCUSX	DWS INVESTMENTS	DWS CROCI US FD CL S	S	NO LOAD	OPEN	1600	100	100
DCUIX	DWS INVESTMENTS	DWS CROCI US FD INST	INSTITUTIONAL	NO LOAD	OPEN	1600	0	0
SEKAX	DWS INVESTMENTS	DWS EMERGING MARKETS EQTY FD CL A	A	LOAD	OPEN	1600	0	1000
SEKCX	DWS INVESTMENTS	DWS EMERGING MARKETS EQTY FD CL C	C	LOAD	OPEN	1600	0	1000
SEMGX	DWS INVESTMENTS	DWS EMERGING MARKETS EQTY FD CL S	S	NO LOAD	OPEN	1600	100	100
SEKRX	DWS INVESTMENTS	DWS EMERGING MARKETS EQUITY R6	R6	NO LOAD	REDEEM ONLY	1600	100000	100000
SEKIX	DWS INVESTMENTS	DWS EMRG MKTS EQTY INST	INSTITUTIONAL	NO LOAD	OPEN	1600	0	0
SZEAX	DWS INVESTMENTS	DWS EMRG MKTS FIXED INCM FD CL A	A	LOAD	OPEN	1600	0	1000
SCEMX	DWS INVESTMENTS	DWS EMRG MKTS FIXED INCM FD CL S	S	NO LOAD	OPEN	1600	100	100
SKNRX	DWS INVESTMENTS	DWS ENHANCED COMMODITY STRAT FD CL A	A	LOAD	OPEN	1600	0	1000
SKCRX	DWS INVESTMENTS	DWS ENHANCED COMMODITY STRAT FD CL C	C	LOAD	OPEN	1600	0	1000

SYMBOL	FUND FAMILY	FUND DESCRIPTION	SHARE CLASS	FUND TYPE	STATUS	CUT-OFF	IRA MIN	NON-IRA MIN
SKSRX	DWS INVESTMENTS	DWS ENHANCED COMMODITY STRAT FD CL S	S	NO LOAD	OPEN	1600	100	100
SKIRX	DWS INVESTMENTS	DWS ENHANCED COMMODITY STRAT FD INST	INSTITUTIONAL	NO LOAD	OPEN	1600	0	0
SKRRX	DWS INVESTMENTS	DWS ENHANCED COMMODITY STRATEGY R6	R6	NO LOAD	REDEEM ONLY	1600	100000	100000
BTIEX	DWS INVESTMENTS	DWS EQTY 500 INDEX FD CL S	S	NO LOAD	OPEN	1600	100	100
BTIIX	DWS INVESTMENTS	DWS EQTY 500 INDEX FD INST	INSTITUTIONAL	NO LOAD	OPEN	1600	0	0
SUPAX	DWS INVESTMENTS	DWS EQUITY SECTOR STRATEGY FUND	A	LOAD	OPEN	1600	0	1000
SUPCX	DWS INVESTMENTS	DWS EQUITY SECTOR STRATEGY FUND	C	LOAD	OPEN	1600	0	1000
SPGRX	DWS INVESTMENTS	DWS EQUITY SECTOR STRATEGY FUND	S	NO LOAD	OPEN	1600	100	100
DESAX	DWS INVESTMENTS	DWS ESG CORE EQUITY FD CL A	A	LOAD	OPEN	1600	0	1000
DESCX	DWS INVESTMENTS	DWS ESG CORE EQUITY FD CL C	C	LOAD	OPEN	1600	0	1000
DESSX	DWS INVESTMENTS	DWS ESG CORE EQUITY FD CL S	S	NO LOAD	OPEN	1600	100	100
DESGX	DWS INVESTMENTS	DWS ESG CORE EQUITY FD INST	INSTITUTIONAL	NO LOAD	OPEN	1600	0	0
DESRX	DWS INVESTMENTS	DWS ESG CORE EQUITY R	R	NO LOAD	REDEEM ONLY	1600	0	0
DURCX	DWS INVESTMENTS	DWS ESG INTERNATIONAL CORE EQ C	C	LOAD	OPEN	1600	0	1000
DURAX	DWS INVESTMENTS	DWS ESG INTL CORE EQ FD CL A	A	LOAD	OPEN	1600	100	100
DURSX	DWS INVESTMENTS	DWS ESG INTL CORE EQ FD CL S	S	NO LOAD	OPEN	1600	100	100
DURIX	DWS INVESTMENTS	DWS ESG INTL CORE EQ FD INST	INSTITUTIONAL	NO LOAD	OPEN	1600	0	0
DFRAX	DWS INVESTMENTS	DWS FLOATING RATE FD CL A	A	LOAD	OPEN	1600	0	1000
DFRCX	DWS INVESTMENTS	DWS FLOATING RATE FD CL C	C	LOAD	OPEN	1600	0	1000
DFRPX	DWS INVESTMENTS	DWS FLOATING RATE FD CL S	S	NO LOAD	OPEN	1600	100	100
DFRTX	DWS INVESTMENTS	DWS FLOATING RATE FD INST	INSTITUTIONAL	NO LOAD	OPEN	1600	0	0
KTRIX	DWS INVESTMENTS	DWS GBL INCOME BUILDER FD CL INST	INSTITUTIONAL	NO LOAD	OPEN	1600	0	0
SGHAX	DWS INVESTMENTS	DWS GLOBAL HIGH INCM FD CL A	A	LOAD	OPEN	1600	0	1000
SGHCX	DWS INVESTMENTS	DWS GLOBAL HIGH INCM FD CL C	C	LOAD	OPEN	1600	0	1000
SGHSX	DWS INVESTMENTS	DWS GLOBAL HIGH INCM FD CL S	S	NO LOAD	OPEN	1600	100	100
MGHYX	DWS INVESTMENTS	DWS GLOBAL HIGH INCM FD INST	INSTITUTIONAL	NO LOAD	OPEN	1600	0	0
SGHRX	DWS INVESTMENTS	DWS GLOBAL HIGH INCOME R6	R6	NO LOAD	REDEEM ONLY	1600	100000	100000
KTRAX	DWS INVESTMENTS	DWS GLOBAL INCM BUILDER FD CL A	A	LOAD	OPEN	1600	0	1000
KTRCX	DWS INVESTMENTS	DWS GLOBAL INCM BUILDER FD CL C	C	LOAD	OPEN	1600	0	1000
KTRZX	DWS INVESTMENTS	DWS GLOBAL INCM BUILDER FD CL R6	R6	NO LOAD	REDEEM ONLY	1600	0	0
KTRSX	DWS INVESTMENTS	DWS GLOBAL INCM BUILDER FD CL S	S	NO LOAD	OPEN	1600	100	100
TOLLX	DWS INVESTMENTS	DWS GLOBAL INFRASTRUCTURE FD CL A	A	LOAD	OPEN	1600	0	1000
TOLCX	DWS INVESTMENTS	DWS GLOBAL INFRASTRUCTURE FD CL C	C	LOAD	OPEN	1600	0	1000
TOLSX	DWS INVESTMENTS	DWS GLOBAL INFRASTRUCTURE FD CL S	S	NO LOAD	OPEN	1600	100	100
TOLIX	DWS INVESTMENTS	DWS GLOBAL INFRASTRUCTURE FD INST	INSTITUTIONAL	NO LOAD	OPEN	1600	0	0
DBISX	DWS INVESTMENTS	DWS GLOBAL MACRO FD CL A	A	LOAD	OPEN	1600	0	1000
DBICX	DWS INVESTMENTS	DWS GLOBAL MACRO FD CL C	C	LOAD	OPEN	1600	0	1000
DBIVX	DWS INVESTMENTS	DWS GLOBAL MACRO FD CL S	S	NO LOAD	OPEN	1600	100	100
MGINX	DWS INVESTMENTS	DWS GLOBAL MACRO FD INST	INSTITUTIONAL	NO LOAD	OPEN	1600	0	0
RRGAX	DWS INVESTMENTS	DWS GLOBAL REAL ESTATE SECURITIES FD CL A	A	LOAD	OPEN	1600	0	1000
RRGCX	DWS INVESTMENTS	DWS GLOBAL REAL ESTATE SECURITIES FD CL C	C	LOAD	OPEN	1600	0	1000

SYMBOL	FUND FAMILY	FUND DESCRIPTION	SHARE CLASS	FUND TYPE	STATUS	CUT- OFF	IRA MIN	NON-IRA MIN
RRGTX	DWS INVESTMENTS	DWS GLOBAL REAL ESTATE SECURITIES FD CL S	S	NO LOAD	OPEN	1600	100	100
RRGIX	DWS INVESTMENTS	DWS GLOBAL REAL ESTATE SECURITIES FD INST	INSTITUTIONAL	NO LOAD	OPEN	1600	0	0
KGDA	DWS INVESTMENTS	DWS GLOBAL SMALL CAP FD CL A	A	LOAD	OPEN	1600	0	1000
KGDCX	DWS INVESTMENTS	DWS GLOBAL SMALL CAP FD CL C	C	LOAD	OPEN	1600	0	1000
SGSCX	DWS INVESTMENTS	DWS GLOBAL SMALL CAP FD CL S	S	NO LOAD	OPEN	1600	100	100
KGDI	DWS INVESTMENTS	DWS GLOBAL SMALL CAP FD INST	INSTITUTIONAL	NO LOAD	OPEN	1600	0	0
GGGGX	DWS INVESTMENTS	DWS GNMA FD CL A	A	LOAD	OPEN	1600	0	1000
GCGGX	DWS INVESTMENTS	DWS GNMA FD CL C	C	LOAD	OPEN	1600	0	1000
SGINX	DWS INVESTMENTS	DWS GNMA FD CL S	S	NO LOAD	OPEN	1600	100	100
GIGGX	DWS INVESTMENTS	DWS GNMA FD INST	INSTITUTIONAL	NO LOAD	OPEN	1600	0	0
DTGXX	DWS INVESTMENTS	DWS GOVT AND AGENCY MONEY FD CLASS SHRS	MM	LOAD	OPEN	1600	500	1000
ICAXX	DWS INVESTMENTS	DWS GOVT MONEY MARKET SERIES FD INST	INSTITUTIONAL	LOAD	OPEN	1600	0	0
SUHAX	DWS INVESTMENTS	DWS HEALTH AND WELLNESS FD CL A	A	LOAD	OPEN	1600	0	1000
SUHCX	DWS INVESTMENTS	DWS HEALTH AND WELLNESS FD CL C	C	LOAD	OPEN	1600	0	1000
SUHIX	DWS INVESTMENTS	DWS HEALTH AND WELLNESS FD CL INST	INSTITUTIONAL	NO LOAD	OPEN	1600	0	0
SCHLX	DWS INVESTMENTS	DWS HEALTH AND WELLNESS FD CL S	S	NO LOAD	OPEN	1600	100	100
KHYAX	DWS INVESTMENTS	DWS HIGH INCM FD CL A	A	LOAD	OPEN	1600	0	1000
KHYCX	DWS INVESTMENTS	DWS HIGH INCM FD CL C	C	LOAD	OPEN	1600	0	1000
KHYSX	DWS INVESTMENTS	DWS HIGH INCM FD CL S	S	NO LOAD	OPEN	1600	100	100
KHYIX	DWS INVESTMENTS	DWS HIGH INCM FD INST	INSTITUTIONAL	NO LOAD	OPEN	1600	0	0
SZMAX	DWS INVESTMENTS	DWS INTERM TAX FREE FD CL A	A	LOAD	OPEN	1600	0	1000
SZMCX	DWS INVESTMENTS	DWS INTERM TAX FREE FD CL C	C	LOAD	OPEN	1600	0	1000
SCMTX	DWS INVESTMENTS	DWS INTERM TAX FREE FD CL S	S	NO LOAD	OPEN	1600	100	100
SZMIX	DWS INVESTMENTS	DWS INTERM TAX FREE FD INST	INSTITUTIONAL	NO LOAD	OPEN	1600	0	0
SGQAX	DWS INVESTMENTS	DWS INTL GROWTH FD CL A	A	LOAD	OPEN	1600	0	1000
SGQCX	DWS INVESTMENTS	DWS INTL GROWTH FD CL C	C	LOAD	OPEN	1600	0	1000
SCOBX	DWS INVESTMENTS	DWS INTL GROWTH FD CL S	S	NO LOAD	OPEN	1600	100	100
SGQIX	DWS INVESTMENTS	DWS INTL GROWTH FD INST	INSTITUTIONAL	NO LOAD	OPEN	1600	0	0
SGGAX	DWS INVESTMENTS	DWS LARGE CAP FOCUS GROWTH FD CL A	A	LOAD	OPEN	1600	0	1000
SGGCX	DWS INVESTMENTS	DWS LARGE CAP FOCUS GROWTH FD CL C	C	LOAD	OPEN	1600	0	1000
SCQGX	DWS INVESTMENTS	DWS LARGE CAP FOCUS GROWTH FD CL S	S	NO LOAD	OPEN	1600	100	100
SGGIX	DWS INVESTMENTS	DWS LARGE CAP FOCUS GROWTH INST	INSTITUTIONAL	NO LOAD	OPEN	1600	0	0
SLANX	DWS INVESTMENTS	DWS LATIN AMERICA EQTY FD CL A	A	LOAD	OPEN	1600	0	1000
SLAPX	DWS INVESTMENTS	DWS LATIN AMERICA EQTY FD CL C	C	LOAD	OPEN	1600	0	1000
SLAFX	DWS INVESTMENTS	DWS LATIN AMERICA EQTY FD CL S	S	NO LOAD	OPEN	1600	100	100
SLARX	DWS INVESTMENTS	DWS LATIN AMERICA EQTY INST	INSTITUTIONAL	NO LOAD	OPEN	1600	0	0
SMLAX	DWS INVESTMENTS	DWS MANAGED MUNI BD FD CL A	A	LOAD	OPEN	1600	0	1000
SMLCX	DWS INVESTMENTS	DWS MANAGED MUNI BD FD CL C	C	LOAD	OPEN	1600	0	1000
SCMBX	DWS INVESTMENTS	DWS MANAGED MUNI BD FD CL S	S	NO LOAD	OPEN	1600	100	100
SMLIX	DWS INVESTMENTS	DWS MANAGED MUNI BD FD INST	INSTITUTIONAL	NO LOAD	OPEN	1600	0	0
SQMAX	DWS INVESTMENTS	DWS MASS TAX FREE FD CL A	A	LOAD	OPEN	1600	0	1000

SYMBOL	FUND FAMILY	FUND DESCRIPTION	SHARE CLASS	FUND TYPE	STATUS	CUT- OFF	IRA MIN	NON-IRA MIN
SQMCX	DWS INVESTMENTS	DWS MASS TAX FREE FD CL C	C	LOAD	OPEN	1600	0	1000
SCMAX	DWS INVESTMENTS	DWS MASS TAX FREE FD CL S	S	NO LOAD	OPEN	1600	0	2500
KMMXX	DWS INVESTMENTS	DWS MONEY MARKET FUND CLASS SHRS	MM	LOAD	OPEN	1600	500	1000
SPDAX	DWS INVESTMENTS	DWS MULTI ASSET CONSERVATIVE ALLOC FD CL A	A	LOAD	OPEN	1600	0	1000
SPDCX	DWS INVESTMENTS	DWS MULTI ASSET CONSERVATIVE ALLOC FD CL C	C	LOAD	OPEN	1600	0	1000
SPBAX	DWS INVESTMENTS	DWS MULTI ASSET CONSERVATIVE ALLOC FD CL S	S	NO LOAD	OPEN	1600	100	100
PLUSX	DWS INVESTMENTS	DWS MULTI ASSET MODERATE ALLOC FD CL A	A	LOAD	OPEN	1600	0	1000
PLSCX	DWS INVESTMENTS	DWS MULTI ASSET MODERATE ALLOC FD CL C	C	LOAD	OPEN	1600	0	1000
PPLSX	DWS INVESTMENTS	DWS MULTI ASSET MODERATE ALLOC FD CL S	S	NO LOAD	OPEN	1600	100	100
KNTAX	DWS INVESTMENTS	DWS NY TAX FREE INCM FD CL A	A	LOAD	OPEN	1600	0	1000
KNTCX	DWS INVESTMENTS	DWS NY TAX FREE INCM FD CL C	C	LOAD	OPEN	1600	0	1000
SNWYX	DWS INVESTMENTS	DWS NY TAX FREE INCM FD CL S	S	NO LOAD	OPEN	1600	100	100
AAAAX	DWS INVESTMENTS	DWS REAL ASSETS FD CL A	A	LOAD	OPEN	1600	0	1000
AAAPX	DWS INVESTMENTS	DWS REAL ASSETS FD CL C	C	LOAD	OPEN	1600	0	1000
AAASX	DWS INVESTMENTS	DWS REAL ASSETS FD CL S	S	NO LOAD	OPEN	1600	100	100
AAAZX	DWS INVESTMENTS	DWS REAL ASSETS FD INST	INSTITUTIONAL	NO LOAD	OPEN	1600	0	0
RRRAX	DWS INVESTMENTS	DWS REAL ESTATE SECURITIES FD CL A	A	LOAD	OPEN	1600	0	1000
RRRCX	DWS INVESTMENTS	DWS REAL ESTATE SECURITIES FD CL C	C	LOAD	OPEN	1600	0	1000
RRRZX	DWS INVESTMENTS	DWS REAL ESTATE SECURITIES FD CL R6	R6	NO LOAD	REDEEM ONLY	1600	0	0
RRREX	DWS INVESTMENTS	DWS REAL ESTATE SECURITIES FD CL S	S	NO LOAD	OPEN	1600	100	100
RRRRX	DWS INVESTMENTS	DWS REAL ESTATE SECURITIES FD INST	INSTITUTIONAL	NO LOAD	OPEN	1600	0	0
RRGRX	DWS INVESTMENTS	DWS RREEF GLOBAL REAL ESTATE SECC R6	R6	NO LOAD	REDEEM ONLY	1600	100000	100000
AAAQX	DWS INVESTMENTS	DWS RREEF REAL ASSETS R	R	NO LOAD	REDEEM ONLY	1600	100000	100000
AAAVX	DWS INVESTMENTS	DWS RREEF REAL ASSETS R6	R6	NO LOAD	REDEEM ONLY	1600	0	0
RRRSX	DWS INVESTMENTS	DWS RREEF REAL ESTATE SECURITIES R	R	NO LOAD	REDEEM ONLY	1600	0	0
KTCAX	DWS INVESTMENTS	DWS SCIENCE AND TECHNOLOGY FD CL A	A	LOAD	OPEN	1600	0	1000
KTCCX	DWS INVESTMENTS	DWS SCIENCE AND TECHNOLOGY FD CL C	C	LOAD	OPEN	1600	0	1000
KTCSX	DWS INVESTMENTS	DWS SCIENCE AND TECHNOLOGY FD CL S	S	NO LOAD	OPEN	1600	100	100
KTCIX	DWS INVESTMENTS	DWS SCIENCE AND TECHNOLOGY FD INST	INSTITUTIONAL	NO LOAD	OPEN	1600	0	0
PPIAX	DWS INVESTMENTS	DWS SHORT DURATION FD CL A	A	NO LOAD	OPEN	1600	0	1000
PPLCX	DWS INVESTMENTS	DWS SHORT DURATION FD CL C	C	LOAD	OPEN	1600	0	1000
DBPIX	DWS INVESTMENTS	DWS SHORT DURATION FD CL S	S	NO LOAD	OPEN	1600	100	100
PPILX	DWS INVESTMENTS	DWS SHORT DURATION FD INST	INSTITUTIONAL	NO LOAD	OPEN	1600	0	0
SRMAX	DWS INVESTMENTS	DWS SHORT TERM MUNI BD FD CL A	A	NO LOAD	OPEN	1600	0	1000
SRMCX	DWS INVESTMENTS	DWS SHORT TERM MUNI BD FD CL C	C	LOAD	OPEN	1600	0	1000
SRMSX	DWS INVESTMENTS	DWS SHORT TERM MUNI BD FD CL S	S	NO LOAD	OPEN	1600	100	100
MGSMX	DWS INVESTMENTS	DWS SHORT TERM MUNI BD FD INST	INSTITUTIONAL	NO LOAD	OPEN	1600	0	0
SZCAX	DWS INVESTMENTS	DWS SMALL CAP CORE FD CL A	A	LOAD	OPEN	1600	0	1000
SZCCX	DWS INVESTMENTS	DWS SMALL CAP CORE FD CL C	C	LOAD	OPEN	1600	0	1000
SSLCX	DWS INVESTMENTS	DWS SMALL CAP CORE FD CL S	S	NO LOAD	OPEN	1600	100	100
SZCIX	DWS INVESTMENTS	DWS SMALL CAP CORE FD INST	INSTITUTIONAL	NO LOAD	OPEN	1600	0	0

SYMBOL	FUND FAMILY	FUND DESCRIPTION	SHARE CLASS	FUND TYPE	STATUS	CUT- OFF	IRA MIN	NON-IRA MIN
SSDAX	DWS INVESTMENTS	DWS SMALL CAP GROWTH FD CL A	A	LOAD	OPEN	1600	0	1000
SSDCX	DWS INVESTMENTS	DWS SMALL CAP GROWTH FD CL C	C	LOAD	OPEN	1600	0	1000
SSDIX	DWS INVESTMENTS	DWS SMALL CAP GROWTH FD CL I	INSTITUTIONAL	NO LOAD	OPEN	1600	0	0
SSDSX	DWS INVESTMENTS	DWS SMALL CAP GROWTH FD CL S	S	NO LOAD	OPEN	1600	100	100
SXPAX	DWS INVESTMENTS	DWS SP 500 INDEX FD CL A	A	LOAD	OPEN	1600	0	1000
SXPCX	DWS INVESTMENTS	DWS SP 500 INDEX FD CL C	C	LOAD	OPEN	1600	0	1000
SCPIX	DWS INVESTMENTS	DWS SP 500 INDEX FD CL S	S	NO LOAD	OPEN	1600	100	100
NOTAX	DWS INVESTMENTS	DWS STRATEGIC HIGH YIELD TAX FREE FD CL A	A	LOAD	OPEN	1600	0	1000
NOTCX	DWS INVESTMENTS	DWS STRATEGIC HIGH YIELD TAX FREE FD CL C	C	LOAD	OPEN	1600	0	1000
NOTIX	DWS INVESTMENTS	DWS STRATEGIC HIGH YIELD TAX FREE FD CL I	INSTITUTIONAL	NO LOAD	OPEN	1600	0	0
SHYTX	DWS INVESTMENTS	DWS STRATEGIC HIGH YIELD TAX FREE FD CL S	S	NO LOAD	OPEN	1600	100	100
DTCXX	DWS INVESTMENTS	DWS TAX FREE MONEY FD CL S	S	NO LOAD	OPEN	1600	0	2500
DTBXX	DWS INVESTMENTS	DWS TAXEXEMPT MONEY FD CLASS SHRS	MM	LOAD	OPEN	1600	500	1000
SZIAX	DWS INVESTMENTS	DWS TOTAL RETURN BD FD CL A	A	LOAD	OPEN	1600	0	1000
SZICX	DWS INVESTMENTS	DWS TOTAL RETURN BD FD CL C	C	LOAD	OPEN	1600	0	1000
SCSBX	DWS INVESTMENTS	DWS TOTAL RETURN BD FD CL S	S	NO LOAD	OPEN	1600	100	100
SZIIX	DWS INVESTMENTS	DWS TOTAL RETURN BD FD INST	INSTITUTIONAL	NO LOAD	OPEN	1600	0	0
IUSXX	DWS INVESTMENTS	DWS US TREASURY MONEY FD CL S	S	NO LOAD	OPEN	1600	0	2500
EGLAX	EAGLE MLP	EAGLE ENERGY INFRASTRUCTURE FD A	A	LOAD	OPEN	1600	2500	2500
EGLCX	EAGLE MLP	EAGLE ENERGY INFRASTRUCTURE FD C	C	LOAD	OPEN	1600	2500	2500
EGLIX	EAGLE MLP	EAGLE ENERGY INFRASTRUCTURE FD INST	INSTITUTIONAL	NO LOAD	OPEN	1600	100000	100000
EGLNX	EAGLE MLP	EAGLE ENERGY INFRASTRUCTURE FD N	N	NO LOAD	OPEN	1600	10000000	10000000
JAREX	EASTERLY FUNDS	EASTERLY GLOBAL REAL ESTATE FUND CLASS A	A	LOAD	OPEN	1600	0	250
JACRX	EASTERLY FUNDS	EASTERLY GLOBAL REAL ESTATE FUND CLASS C	C	LOAD	OPEN	1600	0	2500
JARIX	EASTERLY FUNDS	EASTERLY GLOBAL REAL ESTATE FUND CLASS I	I	NO LOAD	OPEN	1600	2500	2500
JARSX	EASTERLY FUNDS	EASTERLY GLOBAL REAL ESTATE FUND CLASS R6	R6	NO LOAD	REDEEM ONLY	1600	100000	100000
JDAEX	EASTERLY FUNDS	EASTERLY HEDGED EQUITY FUND CLASS A	A	LOAD	OPEN	1600	100	100
JDIEX	EASTERLY FUNDS	EASTERLY HEDGED EQUITY FUND CLASS C	I	NO LOAD	OPEN	1600	1000000	1000000
JSVCX	EASTERLY FUNDS	EASTERLY INCOME OPPORTUNITIES C	C	LOAD	OPEN	1600	2500	2500
JASVX	EASTERLY FUNDS	EASTERLY INCOME OPPORTUNITIES FUND A	A	LOAD	OPEN	1600	100	100
JSVIX	EASTERLY FUNDS	EASTERLY INCOME OPPORTUNITIES FUND I	I	NO LOAD	OPEN	1600	1000000	1000000
JASSX	EASTERLY FUNDS	EASTERLY STRUCTURED CREDIT VALUE FUND CLASS R6	R6	NO LOAD	REDEEM ONLY	1600	10000000	10000000
RMHIX	EASTERLY SNOW	EASTERLY ROCMUNI HIGH INCOME MUN BD FD INSTL	I	NO LOAD	OPEN	1600	1000	1000
RMHVX	EASTERLY SNOW	EASTERLY ROCMUNI HIGH INCOME MUN BD FD INV	INVESTOR	LOAD	OPEN	1600	1000	1000
RMSIX	EASTERLY SNOW	EASTERLY ROCMUNI SHORT TERM MUN BD FD INSTL	I	NO LOAD	OPEN	1600	1000	1000
RMSVX	EASTERLY SNOW	EASTERLY ROCMUNI SHORT TERM MUN BD FD INV	INVESTOR	NO LOAD	OPEN	1600	1000	1000
SNOAX	EASTERLY SNOW	EASTERLY SNOW LONG SHORT OPPORTUNITY A	A	LOAD	OPEN	1600	100	100
SNOCX	EASTERLY SNOW	EASTERLY SNOW LONG SHORT OPPORTUNITY C	C	LOAD	OPEN	1600	2500	2500
SNOIX	EASTERLY SNOW	EASTERLY SNOW LONG SHORT OPPORTUNITY I	I	NO LOAD	OPEN	1600	1000000	1000000
SNWAX	EASTERLY SNOW	EASTERLY SNOW SMALL CAP VALUE A	A	LOAD	OPEN	1600	100	100
SNWCX	EASTERLY SNOW	EASTERLY SNOW SMALL CAP VALUE C	C	LOAD	OPEN	1600	1000	2500

SYMBOL	FUND FAMILY	FUND DESCRIPTION	SHARE CLASS	FUND TYPE	STATUS	CUT- OFF	IRA MIN	NON-IRA MIN
SNWIX	EASTERLY SNOW	EASTERLY SNOW SMALL CAP VALUE I	I	NO LOAD	OPEN	1600	1000000	1000000
ETMBX	EATON VANCE	EATON VANCE AMT FREE MUNI INCM FD A	A	LOAD	OPEN	1600	1000	1000
ECMBX	EATON VANCE	EATON VANCE AMT FREE MUNI INCM FD C	C	LOAD	OPEN	1600	1000	1000
EVMBX	EATON VANCE	EATON VANCE AMT FREE MUNI INCM FD I	INSTITUTIONAL	NO LOAD	OPEN	1600	0	0
ESEAX	EATON VANCE	EATON VANCE ATLANTA CAP SLCT EQTY A	A	LOAD	OPEN	1600	0	0
ESECX	EATON VANCE	EATON VANCE ATLANTA CAP SLCT EQTY C	C	LOAD	OPEN	1600	1000	1000
ESEIX	EATON VANCE	EATON VANCE ATLANTA CAP SLCT EQTY I	INSTITUTIONAL	NO LOAD	OPEN	1600	0	0
ESERX	EATON VANCE	EATON VANCE ATLANTA CAP SLCT EQTY R6	R6	NO LOAD	REDEEM ONLY	1600	1000000	1000000
ERSMX	EATON VANCE	EATON VANCE ATLANTA CAP SMID CAP R	R	NO LOAD	REDEEM ONLY	1600	1000	1000
ERASX	EATON VANCE	EATON VANCE ATLANTA CAP SMID CAP R6	R6	NO LOAD	REDEEM ONLY	1600	1000000	1000000
EAGCX	EATON VANCE	EATON VANCE ATLANTA CAPITAL FOCUSED GR C	C	LOAD	OPEN	1600	1000	1000
EAALX	EATON VANCE	EATON VANCE ATLANTA CAPITAL FOCUSED GROWTH FD CL A	A	LOAD	OPEN	1600	1000	1000
EILGX	EATON VANCE	EATON VANCE ATLANTA CAPITAL FOCUSED GROWTH FD CL I	INSTITUTIONAL	NO LOAD	OPEN	1600	0	0
EAASX	EATON VANCE	EATON VANCE ATLANTA CAPITAL SMID CAP A	A	LOAD	OPEN	1600	1000	1000
ECASX	EATON VANCE	EATON VANCE ATLANTA CAPITAL SMID CAP C	C	LOAD	OPEN	1600	1000	1000
EISMX	EATON VANCE	EATON VANCE ATLANTA CAPITAL SMID CAP CL I	INSTITUTIONAL	NO LOAD	OPEN	1600	0	0
ETAZX	EATON VANCE	EATON VANCE AZ MUNI INCM A	A	LOAD	OPEN	1600	1000	1000
ECAZX	EATON VANCE	EATON VANCE AZ MUNI INCM C	C	LOAD	OPEN	1600	1000	1000
EIAZX	EATON VANCE	EATON VANCE AZ MUNI INCM I	INSTITUTIONAL	NO LOAD	OPEN	1600	0	0
ECIFX	EATON VANCE	EATON VANCE BALANCED C	C	LOAD	OPEN	1600	1000	1000
EVIFX	EATON VANCE	EATON VANCE BALANCED FD CL A	A	LOAD	OPEN	1600	1000	1000
EIIFX	EATON VANCE	EATON VANCE BALANCED FUND CL I	INSTITUTIONAL	NO LOAD	OPEN	1600	0	0
ESIFX	EATON VANCE	EATON VANCE BALANCED FUND CL R6	R6	NO LOAD	REDEEM ONLY	1600	1000000	1000000
EACAX	EATON VANCE	EATON VANCE CA MUNI OPPTY A	A	LOAD	OPEN	1600	1000	1000
ECCAX	EATON VANCE	EATON VANCE CA MUNI OPPTY C	C	LOAD	OPEN	1600	1000	1000
EICAX	EATON VANCE	EATON VANCE CA MUNI OPPTY I	INSTITUTIONAL	NO LOAD	OPEN	1600	0	0
EAGIX	EATON VANCE	EATON VANCE CORE BOND FD CL A	A	LOAD	OPEN	1600	1000	1000
EIGIX	EATON VANCE	EATON VANCE CORE BOND FD CL I	INSTITUTIONAL	NO LOAD	OPEN	1600	0	0
ETCTX	EATON VANCE	EATON VANCE CT MUNI INCM A	A	LOAD	OPEN	1600	1000	1000
ECCTX	EATON VANCE	EATON VANCE CT MUNI INCM C	C	LOAD	OPEN	1600	1000	1000
EICTX	EATON VANCE	EATON VANCE CT MUNICIPAL INCOME I	I	NO LOAD	OPEN	1600	250000	250000
EIUTX	EATON VANCE	EATON VANCE DIV BUILDER FUND CL I	INSTITUTIONAL	NO LOAD	OPEN	1600	0	0
ECTMX	EATON VANCE	EATON VANCE DIVIDEND BUILDER FD CL C	C	LOAD	OPEN	1600	1000	1000
EVTMX	EATON VANCE	EATON VANCE DIVIDEND BUILDER FUND CL A	A	LOAD	OPEN	1600	1000	1000
EACOX	EATON VANCE	EATON VANCE EMRG AND FRNTR COUNTRIES EQTY A	A	LOAD	OPEN	1600	100	100
EICOX	EATON VANCE	EATON VANCE EMRG AND FRNTR COUNTRIES EQTY INST	INSTITUTIONAL	NO LOAD	OPEN	1600	0	0
EADOX	EATON VANCE	EATON VANCE EMRG MKTS DEBT OPPTY A	A	LOAD	OPEN	1600	100	100
EIDOX	EATON VANCE	EATON VANCE EMRG MKTS DEBT OPPTY I	INSTITUTIONAL	NO LOAD	OPEN	1600	0	0
EEIAX	EATON VANCE	EATON VANCE EMRG MKTS LOCAL INC A	A	LOAD	OPEN	1600	1000	1000
EEICX	EATON VANCE	EATON VANCE EMRG MKTS LOCAL INC C	C	LOAD	OPEN	1600	1000	1000
EEIIX	EATON VANCE	EATON VANCE EMRG MKTS LOCAL INC I	INSTITUTIONAL	NO LOAD	OPEN	1600	0	0

SYMBOL	FUND FAMILY	FUND DESCRIPTION	SHARE CLASS	FUND TYPE	STATUS	CUT-OFF	IRA MIN	NON-IRA MIN
EVFHX	EATON VANCE	EATON VANCE FLOATING RATE & HI INCM FD CL A	A	LOAD	OPEN	1600	1000	1000
EIFHX	EATON VANCE	EATON VANCE FLOATING RATE & HIGH INC I	INSTITUTIONAL	NO LOAD	OPEN	1600	0	0
ESFHX	EATON VANCE	EATON VANCE FLOATING RATE & HIGH INC R6	R6	NO LOAD	REDEEM ONLY	1600	1000000	1000000
ECFAX	EATON VANCE	EATON VANCE FLOATING RATE ADV FD CL C	C	LOAD	OPEN	1600	1000	1000
EIFAX	EATON VANCE	EATON VANCE FLOATING RATE ADV FD CL I	INSTITUTIONAL	NO LOAD	OPEN	1600	0	0
EVFAX	EATON VANCE	EATON VANCE FLOATING RATE ADVANTAGE ADV CL	ADVISER	NO LOAD	OPEN	1600	100	100
EAFAX	EATON VANCE	EATON VANCE FLOATING RATE ADVANTAGE FD CL A	A	LOAD	OPEN	1600	0	0
EFRRX	EATON VANCE	EATON VANCE FLOATING RATE ADVANTAGE R6	R6	NO LOAD	REDEEM ONLY	1600	1000000	1000000
EABLX	EATON VANCE	EATON VANCE FLOATING RATE FD ADVISER CLASS	ADVISER	NO LOAD	OPEN	1600	100	100
ESBLX	EATON VANCE	EATON VANCE FLOATING RATE FD CL R6	R6	NO LOAD	REDEEM ONLY	1600	1000000	1000000
ECBLX	EATON VANCE	EATON VANCE FLOATING RATE FUND CL C	C	LOAD	OPEN	1600	1000	1000
EIBLX	EATON VANCE	EATON VANCE FLOATING RATE FUND CL I	INSTITUTIONAL	NO LOAD	OPEN	1600	0	0
EVBLX	EATON VANCE	EATON VANCE FLOATING RATE FUND CLASS A	A	LOAD	OPEN	1600	1000	1000
ECFHX	EATON VANCE	EATON VANCE FLOATING RATE HIGH INCOME FD CL C	C	LOAD	OPEN	1600	1000	1000
EAFHX	EATON VANCE	EATON VANCE FLOATING RATE HIGH INCOME FUND ADVISOR	ADVISER	NO LOAD	OPEN	1600	100	100
EIFGX	EATON VANCE	EATON VANCE FOCUSED GROWTH OPP I	INSTITUTIONAL	NO LOAD	OPEN	1600	0	0
ECFGX	EATON VANCE	EATON VANCE FOCUSED GROWTH OPPTS C	C	LOAD	OPEN	1600	1000	1000
EAFGX	EATON VANCE	EATON VANCE FOCUSED GWTH OPPTY A	A	LOAD	OPEN	1600	1000	1000
EIFVX	EATON VANCE	EATON VANCE FOCUSED VALUE OPP I	INSTITUTIONAL	NO LOAD	OPEN	1600	0	0
ECFVX	EATON VANCE	EATON VANCE FOCUSED VALUE OPPTS C	C	LOAD	OPEN	1600	1000	1000
EAFVX	EATON VANCE	EATON VANCE FOCUSED VALUE OPPTY A	A	LOAD	OPEN	1600	100	100
ETGAX	EATON VANCE	EATON VANCE GA MUNI INCM A	A	LOAD	OPEN	1600	1000	1000
ECGAX	EATON VANCE	EATON VANCE GA MUNI INCM C	C	LOAD	OPEN	1600	1000	1000
EIGAX	EATON VANCE	EATON VANCE GA MUNI INCM I	INSTITUTIONAL	NO LOAD	OPEN	1600	0	0
EGRAX	EATON VANCE	EATON VANCE GBL MACRO ABSOLUTE RET ADVANTAGE CL A	A	LOAD	OPEN	1600	1000	1000
EGRIX	EATON VANCE	EATON VANCE GBL MACRO ABSOLUTE RET ADVANTAGE CL I	INSTITUTIONAL	NO LOAD	OPEN	1600	0	0
EADIX	EATON VANCE	EATON VANCE GLOBAL EQUITY INCOME FD CL A	A	LOAD	OPEN	1600	1000	1000
ECDIX	EATON VANCE	EATON VANCE GLOBAL EQUITY INCOME FD CL C	C	LOAD	OPEN	1600	1000	1000
EIDIX	EATON VANCE	EATON VANCE GLOBAL EQUITY INCOME FD CL I	INSTITUTIONAL	NO LOAD	OPEN	1600	0	0
EDIAX	EATON VANCE	EATON VANCE GLOBAL INC BUILDER A	A	LOAD	OPEN	1600	1000	1000
EDICX	EATON VANCE	EATON VANCE GLOBAL INC BUILDER C	C	LOAD	OPEN	1600	1000	1000
EDIIX	EATON VANCE	EATON VANCE GLOBAL INC BUILDER I	INSTITUTIONAL	NO LOAD	OPEN	1600	0	0
EGRSX	EATON VANCE	EATON VANCE GLOBAL MACRO ABS RET ADVANTAGE R6	R6	NO LOAD	REDEEM ONLY	1600	1000000	1000000
EGMSX	EATON VANCE	EATON VANCE GLOBAL MACRO ABS RET R6	R6	NO LOAD	REDEEM ONLY	1600	1000000	1000000
EGRCX	EATON VANCE	EATON VANCE GLOBAL MACRO ABSOLUTE RETURN ADVTG C	C	LOAD	OPEN	1600	1000	1000
ECGMX	EATON VANCE	EATON VANCE GLOBAL MACRO ABSOLUTE RETURN C	C	LOAD	OPEN	1600	1000	1000
EAGMX	EATON VANCE	EATON VANCE GLOBAL MACRO ABSOLUTE RETURN FD CL A	A	LOAD	SUBS ONLY	1600	1000	1000
EIGMX	EATON VANCE	EATON VANCE GLOBAL MACRO ABSOLUTE RETURN FD CL I	INSTITUTIONAL	NO LOAD	OPEN	1600	0	0
EAIIX	EATON VANCE	EATON VANCE GLOBAL SOVEREIGN OPP A	A	LOAD	OPEN	1600	1000	1000
ECIMX	EATON VANCE	EATON VANCE GLOBAL SOVEREIGN OPP C	C	LOAD	OPEN	1600	1000	1000
EIIMX	EATON VANCE	EATON VANCE GLOBAL SOVEREIGN OPP INST	INSTITUTIONAL	NO LOAD	OPEN	1600	0	0

SYMBOL	FUND FAMILY	FUND DESCRIPTION	SHARE CLASS	FUND TYPE	STATUS	CUT-OFF	IRA MIN	NON-IRA MIN
EVGOX	EATON VANCE	EATON VANCE GOVT OPPORTUNITIES FD CL A	A	LOAD	OPEN	1600	1000	1000
ECGOX	EATON VANCE	EATON VANCE GOVT OPPORTUNITIES FD CL C	C	LOAD	OPEN	1600	1000	1000
EIGOX	EATON VANCE	EATON VANCE GOVT OPPORTUNITIES FD CL I	INSTITUTIONAL	NO LOAD	OPEN	1600	0	0
ECCGX	EATON VANCE	EATON VANCE GREATER CHINA GROWTH C	C	LOAD	OPEN	1600	1000	1000
EICGX	EATON VANCE	EATON VANCE GREATER CHINA GROWTH I	INSTITUTIONAL	NO LOAD	OPEN	1600	0	0
ECGIX	EATON VANCE	EATON VANCE GREATER INDIA FD CL C	C	LOAD	OPEN	1600	1000	1000
EGIIX	EATON VANCE	EATON VANCE GREATER INDIA FD CL I	INSTITUTIONAL	NO LOAD	OPEN	1600	0	0
EALCX	EATON VANCE	EATON VANCE GROWTH FD CL A	A	LOAD	OPEN	1600	1000	1000
ECLCX	EATON VANCE	EATON VANCE GROWTH FD CL C	C	LOAD	OPEN	1600	1000	1000
ELCIX	EATON VANCE	EATON VANCE GROWTH FD CL I	INSTITUTIONAL	NO LOAD	OPEN	1600	0	0
EVCGX	EATON VANCE	EATON VANCE GRTR CHINA GROWTH FUND CL A	A	LOAD	OPEN	1600	1000	1000
ETGIX	EATON VANCE	EATON VANCE GRTR INDIA FUND CL A	A	LOAD	OPEN	1600	1000	1000
ETHIX	EATON VANCE	EATON VANCE HIGH INCM OPPORTUNITIES FD CL A	A	LOAD	OPEN	1600	1000	1000
ECHIX	EATON VANCE	EATON VANCE HIGH INCOME OPPORTUNITIES FD CL C	C	LOAD	OPEN	1600	1000	1000
EIHIX	EATON VANCE	EATON VANCE HIGH INCOME OPPORTUNITIES I	INSTITUTIONAL	NO LOAD	OPEN	1600	0	0
ETHYX	EATON VANCE	EATON VANCE HIGH YIELD MUNI INCM A	A	LOAD	OPEN	1600	1000	1000
ECHYX	EATON VANCE	EATON VANCE HIGH YIELD MUNI INCM C	C	LOAD	OPEN	1600	1000	1000
EIHYX	EATON VANCE	EATON VANCE HIGH YIELD MUNI INCM I	INSTITUTIONAL	NO LOAD	OPEN	1600	0	0
EVBX	EATON VANCE	EATON VANCE INCOME FUND OF BOSTON CL A	A	LOAD	OPEN	1600	1000	1000
ECIBX	EATON VANCE	EATON VANCE INCOME FUND OF BOSTON CL C	C	LOAD	OPEN	1600	1000	1000
EIBIX	EATON VANCE	EATON VANCE INCOME FUND OF BOSTON CL I	INSTITUTIONAL	NO LOAD	OPEN	1600	0	0
EIBRX	EATON VANCE	EATON VANCE INCOME FUND OF BOSTON CL R6	R6	NO LOAD	REDEEM ONLY	1600	1000000	1000000
ERIBX	EATON VANCE	EATON VANCE INCOME FUND OF BOSTON R	R	NO LOAD	REDEEM ONLY	1600	100	100
EILAX	EATON VANCE	EATON VANCE INTERNATIONAL SMALLCAP A	A	LOAD	OPEN	1600	0	0
EILIX	EATON VANCE	EATON VANCE INTL SMALL CAP CL I	INSTITUTIONAL	NO LOAD	OPEN	1600	0	0
EHSTX	EATON VANCE	EATON VANCE LARGE CAP VALUE FUND CL A	A	LOAD	OPEN	1600	1000	1000
ECSTX	EATON VANCE	EATON VANCE LARGE CAP VALUE FUND CL C	C	LOAD	OPEN	1600	1000	1000
ERSTX	EATON VANCE	EATON VANCE LARGE CAP VALUE FUND CL R	R	NO LOAD	REDEEM ONLY	1600	100	100
ERLVX	EATON VANCE	EATON VANCE LARGE CAP VALUE FUND CL R6	R6	NO LOAD	REDEEM ONLY	1600	1000000	1000000
EILVX	EATON VANCE	EATON VANCE LARGE CAP VALUE I	INSTITUTIONAL	NO LOAD	OPEN	1600	0	0
ETMAX	EATON VANCE	EATON VANCE MA MUNI INCM A	A	LOAD	OPEN	1600	1000	1000
ECMMX	EATON VANCE	EATON VANCE MA MUNI INCM C	C	LOAD	OPEN	1600	1000	1000
EIMAX	EATON VANCE	EATON VANCE MA MUNI INCM I	INSTITUTIONAL	NO LOAD	OPEN	1600	0	0
ETMDX	EATON VANCE	EATON VANCE MD MUNI INCM A	A	LOAD	OPEN	1600	1000	1000
ECMDX	EATON VANCE	EATON VANCE MD MUNI INCM C	C	LOAD	OPEN	1600	1000	1000
EIMDX	EATON VANCE	EATON VANCE MD MUNI INCM I	INSTITUTIONAL	NO LOAD	OPEN	1600	0	0
ETMNX	EATON VANCE	EATON VANCE MN MUNI INCM A	A	LOAD	OPEN	1600	1000	1000
ECMNX	EATON VANCE	EATON VANCE MN MUNI INCM C	C	LOAD	OPEN	1600	1000	1000
EIMNX	EATON VANCE	EATON VANCE MN MUNI INCM I	INSTITUTIONAL	NO LOAD	OPEN	1600	0	0
ETMOX	EATON VANCE	EATON VANCE MO MUNI INCM A	A	LOAD	OPEN	1600	1000	1000
ECMOX	EATON VANCE	EATON VANCE MO MUNI INCM C	C	LOAD	OPEN	1600	1000	1000

SYMBOL	FUND FAMILY	FUND DESCRIPTION	SHARE CLASS	FUND TYPE	STATUS	CUT-OFF	IRA MIN	NON-IRA MIN
EIMOX	EATON VANCE	EATON VANCE MO MUNI INCM I	INSTITUTIONAL	NO LOAD	OPEN	1600	0	0
ECAMX	EATON VANCE	EATON VANCE MULTI ASSET CREDIT C	C	LOAD	OPEN	1600	1000	1000
EAAMX	EATON VANCE	EATON VANCE MULTI ASSET CREDIT FD CL A	A	LOAD	OPEN	1600	1000	1000
EIAMX	EATON VANCE	EATON VANCE MULTI ASSET CREDIT FD CL I	INSTITUTIONAL	NO LOAD	OPEN	1600	0	0
ERAMX	EATON VANCE	EATON VANCE MULTI ASSET CREDIT R6	R6	NO LOAD	REDEEM ONLY	1600	1000000	1000000
EMOAX	EATON VANCE	EATON VANCE MUNI OPPTY A	A	LOAD	OPEN	1600	1000	1000
EMOCX	EATON VANCE	EATON VANCE MUNI OPPTY C	C	LOAD	OPEN	1600	1000	1000
EMOIX	EATON VANCE	EATON VANCE MUNI OPPTY I	INSTITUTIONAL	NO LOAD	OPEN	1600	0	0
EINAX	EATON VANCE	EATON VANCE NAT LTD MAT MUNI INC I	INSTITUTIONAL	NO LOAD	OPEN	1600	0	0
EZNAX	EATON VANCE	EATON VANCE NATIONAL LTD MAT MUNIS C	C	LOAD	OPEN	1600	1000	1000
EXNAX	EATON VANCE	EATON VANCE NATIONAL LTD MATURITY MUNI FD CL A	A	LOAD	OPEN	1600	1000	1000
ECHMX	EATON VANCE	EATON VANCE NATIONAL MUNI BOND CL C	C	LOAD	OPEN	1600	1000	1000
EIHMX	EATON VANCE	EATON VANCE NATIONAL MUNICIPALS FD CL I	INSTITUTIONAL	NO LOAD	OPEN	1600	0	0
EANAX	EATON VANCE	EATON VANCE NATIONAL MUNICIPALS FUND CL A	A	LOAD	OPEN	1600	1000	1000
EXFLX	EATON VANCE	EATON VANCE NATIONAL ULTRA SHORT MUNI INC A	A	LOAD	OPEN	1600	1000	1000
EILMX	EATON VANCE	EATON VANCE NATIONAL ULTRA SHORT MUNI INC INST	INSTITUTIONAL	NO LOAD	OPEN	1600	0	0
ETNCX	EATON VANCE	EATON VANCE NC MUNI INCM A	A	LOAD	OPEN	1600	1000	1000
ECNCX	EATON VANCE	EATON VANCE NC MUNI INCM C	C	LOAD	OPEN	1600	1000	1000
EINCX	EATON VANCE	EATON VANCE NC MUNI INCM I	INSTITUTIONAL	NO LOAD	OPEN	1600	0	0
ETNJX	EATON VANCE	EATON VANCE NJ MUNI FD CL A	A	LOAD	OPEN	1600	1000	1000
ECNJX	EATON VANCE	EATON VANCE NJ MUNI FD CL C	C	LOAD	OPEN	1600	1000	1000
EINJX	EATON VANCE	EATON VANCE NJ MUNI INCM I	INSTITUTIONAL	NO LOAD	OPEN	1600	0	0
ETNYX	EATON VANCE	EATON VANCE NY MUNI INCM A	A	LOAD	OPEN	1600	1000	1000
ECNYX	EATON VANCE	EATON VANCE NY MUNI INCM C	C	LOAD	OPEN	1600	1000	1000
EINYX	EATON VANCE	EATON VANCE NY MUNI INCM I	INSTITUTIONAL	NO LOAD	OPEN	1600	0	0
EXNYX	EATON VANCE	EATON VANCE NY MUNI OPPTY A	A	LOAD	OPEN	1600	1000	1000
EZNYX	EATON VANCE	EATON VANCE NY MUNI OPPTY C	C	LOAD	OPEN	1600	1000	1000
ENYIX	EATON VANCE	EATON VANCE NY MUNI OPPTY I	INSTITUTIONAL	NO LOAD	OPEN	1600	0	0
ETOHX	EATON VANCE	EATON VANCE OH MUNI INCM A	A	LOAD	OPEN	1600	1000	1000
ECOHX	EATON VANCE	EATON VANCE OH MUNI INCM C	C	LOAD	OPEN	1600	1000	1000
EIOHX	EATON VANCE	EATON VANCE OH MUNI INCM I	INSTITUTIONAL	NO LOAD	OPEN	1600	0	0
ETORX	EATON VANCE	EATON VANCE OR MUNI INCM A	A	LOAD	OPEN	1600	1000	1000
ECORX	EATON VANCE	EATON VANCE OR MUNI INCM C	C	LOAD	OPEN	1600	1000	1000
EIORX	EATON VANCE	EATON VANCE OR MUNI INCM I	INSTITUTIONAL	NO LOAD	OPEN	1600	250000	250000
ETPAX	EATON VANCE	EATON VANCE PA MUNI INCM A	A	LOAD	OPEN	1600	1000	1000
ECPAX	EATON VANCE	EATON VANCE PA MUNI INCM C	C	LOAD	OPEN	1600	1000	1000
EIPAX	EATON VANCE	EATON VANCE PA MUNI INCM I	INSTITUTIONAL	NO LOAD	OPEN	1600	250000	250000
EALBX	EATON VANCE	EATON VANCE PARAMETRIC TABS 1 TO 10 YR LADDERED MUN	A	LOAD	OPEN	1600	100	100
EILBX	EATON VANCE	EATON VANCE PARAMETRIC TABS 1 TO 10 YR LADDERED MUN	INSTITUTIONAL	NO LOAD	OPEN	1600	0	0
EALTX	EATON VANCE	EATON VANCE PARAMETRIC TABS 5 TO 15 YR LADDERED MUN	A	LOAD	OPEN	1600	1000	1000
EILTX	EATON VANCE	EATON VANCE PARAMETRIC TABS 5 TO 15 YR LADDERED MUN	INSTITUTIONAL	NO LOAD	OPEN	1600	0	0

SYMBOL	FUND FAMILY	FUND DESCRIPTION	SHARE CLASS	FUND TYPE	STATUS	CUT-OFF	IRA MIN	NON-IRA MIN
EITAX	EATON VANCE	EATON VANCE PARAMETRIC TABS INTERM TERM MUNI BD FD	A	LOAD	OPEN	1600	1000	1000
EITCX	EATON VANCE	EATON VANCE PARAMETRIC TABS INTERM TERM MUNI BD FD	C	LOAD	OPEN	1600	1000	1000
ETIIX	EATON VANCE	EATON VANCE PARAMETRIC TABS INTERM TERM MUNI BD FD	INSTITUTIONAL	NO LOAD	OPEN	1600	0	0
EABSX	EATON VANCE	EATON VANCE PARAMETRIC TABS SHORT TERM MUNI BD FD	A	LOAD	OPEN	1600	1000	1000
ECBSX	EATON VANCE	EATON VANCE PARAMETRIC TABS SHORT TERM MUNI BD FD	C	LOAD	OPEN	1600	1000	1000
EIBSX	EATON VANCE	EATON VANCE PARAMETRIC TABS SHORT TERM MUNI BD FD	INSTITUTIONAL	NO LOAD	OPEN	1600	0	0
EARAX	EATON VANCE	EATON VANCE RICHARD BERNSTEIN ALL ASSET STRAT A	A	LOAD	OPEN	1600	1000	1000
ECRAX	EATON VANCE	EATON VANCE RICHARD BERNSTEIN ALL ASSET STRAT C	C	LOAD	OPEN	1600	1000	1000
EIRAX	EATON VANCE	EATON VANCE RICHARD BERNSTEIN ALL ASSET STRAT I	INSTITUTIONAL	NO LOAD	OPEN	1600	0	0
ERBAX	EATON VANCE	EATON VANCE RICHARD BERNSTEIN EQTY STRAT A	A	LOAD	OPEN	1600	1000	1000
ERBCX	EATON VANCE	EATON VANCE RICHARD BERNSTEIN EQTY STRAT C	C	LOAD	OPEN	1600	1000	1000
ERBIX	EATON VANCE	EATON VANCE RICHARD BERNSTEIN EQTY STRAT I	INSTITUTIONAL	NO LOAD	OPEN	1600	0	0
EASCX	EATON VANCE	EATON VANCE SC MUNI INCM A	A	LOAD	OPEN	1600	1000	1000
ECSCX	EATON VANCE	EATON VANCE SC MUNI INCM C	C	LOAD	OPEN	1600	1000	1000
EISCX	EATON VANCE	EATON VANCE SC MUNI INCM I	INSTITUTIONAL	NO LOAD	OPEN	1600	0	0
EALDX	EATON VANCE	EATON VANCE SHORT DUR GOVT INCM A	A	LOAD	OPEN	1600	1000	1000
ECLDX	EATON VANCE	EATON VANCE SHORT DUR GOVT INCM C	C	LOAD	OPEN	1600	1000	1000
EILDX	EATON VANCE	EATON VANCE SHORT DUR GOVT INCM I	INSTITUTIONAL	NO LOAD	OPEN	1600	0	0
ESHIX	EATON VANCE	EATON VANCE SHORT DUR HIGH INCM INST	INSTITUTIONAL	NO LOAD	OPEN	1600	0	0
EARRX	EATON VANCE	EATON VANCE SHORT DUR INFLATIONPROTECTED INC CL A	A	LOAD	OPEN	1600	1000	1000
ECRRX	EATON VANCE	EATON VANCE SHORT DUR INFLATIONPROTECTED INC CL C	C	LOAD	OPEN	1600	1000	1000
EIRRX	EATON VANCE	EATON VANCE SHORT DUR INFLATIONPROTECTED INC CL I	INSTITUTIONAL	NO LOAD	OPEN	1600	0	0
ESHAX	EATON VANCE	EATON VANCE SHORT DURATION HIGH INCOME A	A	LOAD	OPEN	1600	100	100
EXMAX	EATON VANCE	EATON VANCE SHORT DURATION MUNI OPPORTUNITIES A	A	LOAD	OPEN	1600	1000	1000
EZMAX	EATON VANCE	EATON VANCE SHORT DURATION MUNI OPPORTUNITIES C	C	LOAD	OPEN	1600	1000	1000
EMAIX	EATON VANCE	EATON VANCE SHORT DURATION MUNI OPPORTUNITIES I	INSTITUTIONAL	NO LOAD	OPEN	1600	0	0
ETEGX	EATON VANCE	EATON VANCE SMALL CAP FD CL A	A	LOAD	OPEN	1600	1000	1000
ECSMX	EATON VANCE	EATON VANCE SMALL CAP FD CL C	C	LOAD	OPEN	1600	1000	1000
EISGX	EATON VANCE	EATON VANCE SMALL CAP FD CL I	INSTITUTIONAL	NO LOAD	OPEN	1600	0	0
EAERX	EATON VANCE	EATON VANCE STOCK FD CL A	A	LOAD	OPEN	1600	1000	1000
ECERX	EATON VANCE	EATON VANCE STOCK FD CL C	C	LOAD	OPEN	1600	1000	1000
EIERX	EATON VANCE	EATON VANCE STOCK FD CL I	INSTITUTIONAL	NO LOAD	OPEN	1600	0	0
ETSIX	EATON VANCE	EATON VANCE STRATEGIC INCOME FUND A	A	LOAD	OPEN	1600	1000	1000
ECSIX	EATON VANCE	EATON VANCE STRATEGIC INCOME FUND C	C	LOAD	OPEN	1600	1000	1000
ESIIX	EATON VANCE	EATON VANCE STRATEGIC INCOME FUND I	INSTITUTIONAL	NO LOAD	OPEN	1600	0	0
EIEAX	EATON VANCE	EATON VANCE TAX MANAGED EQTY ASSET ALLOC I	INSTITUTIONAL	NO LOAD	OPEN	1600	0	0
ECTGX	EATON VANCE	EATON VANCE TAX MANAGED GROWTH FUND 1.1 CL C	C	LOAD	SUBS ONLY	1600	1000	1000
EXTGX	EATON VANCE	EATON VANCE TAX MANAGED GROWTH FUND 1.2 CL A	A	LOAD	OPEN	1600	1000	1000
EITGX	EATON VANCE	EATON VANCE TAX MANAGED GROWTH FUND 1.2 CL I	INSTITUTIONAL	NO LOAD	OPEN	1600	0	0
ETMGX	EATON VANCE	EATON VANCE TAX MANAGED SMALL CAP CL A	A	LOAD	OPEN	1600	1000	1000
EIMGX	EATON VANCE	EATON VANCE TAX MANAGED SML CAP FD CL I	INSTITUTIONAL	NO LOAD	OPEN	1600	0	0

SYMBOL	FUND FAMILY	FUND DESCRIPTION	SHARE CLASS	FUND TYPE	STATUS	CUT- OFF	IRA MIN	NON-IRA MIN
EATVX	EATON VANCE	EATON VANCE TAX MANAGED VALUE FD CL A	A	LOAD	OPEN	1600	1000	1000
ECEAX	EATON VANCE	EATON VANCE TAX MGD EQ ASSET ALLOC C	C	LOAD	OPEN	1600	1000	1000
EZTGX	EATON VANCE	EATON VANCE TAX MGD GROWTH 1.2 C	C	LOAD	OPEN	1600	1000	1000
EITMX	EATON VANCE	EATON VANCE TAX MGD GROWTH FD 1.1 CL I	INSTITUTIONAL	NO LOAD	SUBS ONLY	1600	0	0
EACPX	EATON VANCE	EATON VANCE TAX MGD MULTI CAP GROWTH FD CL A	A	LOAD	OPEN	1600	1000	1000
ECCPX	EATON VANCE	EATON VANCE TAX MGD MULTI CAP GROWTH FD CL C	C	LOAD	OPEN	1600	1000	1000
ECMGX	EATON VANCE	EATON VANCE TAX MGD SM CAP CL C	C	LOAD	OPEN	1600	1000	1000
ECTVX	EATON VANCE	EATON VANCE TAX MGD VALUE FD CL C	C	LOAD	OPEN	1600	1000	1000
EITVX	EATON VANCE	EATON VANCE TAX MGD VALUE FD CL I	INSTITUTIONAL	NO LOAD	OPEN	1600	0	0
ETTGX	EATON VANCE	EATON VANCE TAX MNGD GRWTH FD 1.1 CL A	A	LOAD	SUBS ONLY	1600	1000	1000
EAEAX	EATON VANCE	EATON VANCE TAXMGD EQTY ASSET ALLOCATION CL A	A	LOAD	OPEN	1600	1000	1000
EBABX	EATON VANCE	EATON VANCE TOTAL RETURN BOND A	A	LOAD	OPEN	1600	1000	1000
ECBAX	EATON VANCE	EATON VANCE TOTAL RETURN BOND C	C	LOAD	OPEN	1600	1000	1000
EIBAX	EATON VANCE	EATON VANCE TOTAL RETURN BOND INST	INSTITUTIONAL	NO LOAD	OPEN	1600	0	0
ERABX	EATON VANCE	EATON VANCE TOTAL RETURN BOND R6	R6	NO LOAD	REDEEM ONLY	1600	5000000	5000000
ETVAX	EATON VANCE	EATON VANCE VA MUNI INCM A	A	LOAD	OPEN	1600	1000	1000
ECVAX	EATON VANCE	EATON VANCE VA MUNI INCM C	C	LOAD	OPEN	1600	1000	1000
EVAIX	EATON VANCE	EATON VANCE VA MUNI INCM INST	INSTITUTIONAL	NO LOAD	OPEN	1600	0	0
EIHSX	EATON VANCE	EATON VANCE WORLDWIDE HEALTH SCI I	INSTITUTIONAL	NO LOAD	OPEN	1600	0	0
ECHSX	EATON VANCE	EATON VANCE WORLDWIDE HEALTH SCIENCES FD CL C	C	LOAD	OPEN	1600	1000	1000
ERHSX	EATON VANCE	EATON VANCE WORLDWIDE HEALTH SCIENCES FD CL R	R	NO LOAD	REDEEM ONLY	1600	100	100
ETHSX	EATON VANCE	EATON VANCE WORLDWIDE HEALTH SCIENCES FUND CL A	A	LOAD	OPEN	1600	1000	1000
EAPCX	EATON VANCE	PARAMETRIC CMDY STRAT CL A	A	NO LOAD	OPEN	1600	0	0
EIPCX	EATON VANCE	PARAMETRIC CMDY STRAT CL INST	INSTITUTIONAL	NO LOAD	OPEN	1600	50000	50000
EIPDX	EATON VANCE	PARAMETRIC DIV INCM FD INST	INSTITUTIONAL	NO LOAD	OPEN	1600	50000	50000
EAPDX	EATON VANCE	PARAMETRIC DIVIDEND INCOME A	A	NO LOAD	OPEN	1600	100	100
EAEMX	EATON VANCE	PARAMETRIC EMRG MKTS CL A	A	NO LOAD	OPEN	1600	100	100
ECEMX	EATON VANCE	PARAMETRIC EMRG MKTS CL C	C	LOAD	SUBS ONLY	1600	1000	1000
EIEMX	EATON VANCE	PARAMETRIC EMRG MKTS CL INST	INSTITUTIONAL	NO LOAD	OPEN	1600	50000	50000
EREMX	EATON VANCE	PARAMETRIC EMRG MKTS R6	R6	NO LOAD	REDEEM ONLY	1600	0	1000000
EAISX	EATON VANCE	PARAMETRIC INTL EQTY A	A	LOAD	OPEN	1600	1000	2500
EIISX	EATON VANCE	PARAMETRIC INTL EQTY CL INST	INSTITUTIONAL	NO LOAD	OPEN	1600	50000	50000
ESISX	EATON VANCE	PARAMETRIC INTL EQTY FD R6	R6	NO LOAD	REDEEM ONLY	1600	1000000	1000000
ECLTX	EATON VANCE	PARAMETRIC TABS 5 TO15 YR LAD MUNI BD C	C	LOAD	OPEN	1600	1000	1000
EITEX	EATON VANCE	PARAMETRIC TAX MGD EMRG MKTS CL INST	INSTITUTIONAL	NO LOAD	OPEN	1600	50000	50000
ETIGX	EATON VANCE	PARAMETRIC TAX MGD INTL EQTY CL A	A	NO LOAD	OPEN	1600	100	100
ECIGX	EATON VANCE	PARAMETRIC TAX MGD INTL EQTY CL C	C	LOAD	SUBS ONLY	1600	1000	1000
EITIX	EATON VANCE	PARAMETRIC TAX MGD INTL EQTY INST	INSTITUTIONAL	NO LOAD	OPEN	1600	50000	50000
EIVPX	EATON VANCE	PARAMETRIC VOLATILITY RISK PRM DEFENSIVE INST	INSTITUTIONAL	NO LOAD	OPEN	1600	50000	50000
LOMAX	EDGAR LOMAX	EDGAR LOMAX VALUE	INVESTOR	NO LOAD	REDEEM ONLY	1600	999999	999999
EGFIX	EDGEWOOD FUNDS	EDGEWOOD GROWTH INSTL	INSTITUTIONAL	NO LOAD	OPEN	1600	100000	100000

SYMBOL	FUND FAMILY	FUND DESCRIPTION	SHARE CLASS	FUND TYPE	STATUS	CUT- OFF	IRA MIN	NON-IRA MIN
EGFFX	EDGEWOOD FUNDS	EDGEWOOD GROWTH RETAIL	RETAIL	NO LOAD	OPEN	1600	100	100
EICVX	EIC VALUE FUNDS	EIC VALUE FD CL A	A	LOAD	OPEN	1600	1000	2500
EICCX	EIC VALUE FUNDS	EIC VALUE FD CL C	C	LOAD	OPEN	1600	2500	2500
EICIX	EIC VALUE FUNDS	EIC VALUE FD CL INST	I	NO LOAD	OPEN	1600	100000	100000
EIPIX	EIP FUNDS	EIP GROWTH AND INCOME INST	INSTITUTIONAL	NO LOAD	OPEN	1600	1000000	1000000
EIPFX	EIP FUNDS	EIP GROWTH AND INCOME INV	INVESTOR	NO LOAD	OPEN	1600	100	100
EMPIX	EMBASSY FUNDS	EMBASSY AMBASSADOR FD INST	I	NO LOAD	OPEN	1600	250000	250000
EMWIX	EMBASSY FUNDS	EMBASSY DIPLOMAT FD INST	I	NO LOAD	OPEN	1600	2500	2500
FFBFX	EMERALD FUNDS	EMERALD BANKING AND FINANCE FD INV	INVESTOR	NO LOAD	OPEN	1600	100	100
HSSAX	EMERALD FUNDS	EMERALD FINANCE & BANKING INNOVATION A	A	LOAD	OPEN	1600	100	100
HSSCX	EMERALD FUNDS	EMERALD FINANCE & BANKING INNOVATION C	C	LOAD	OPEN	1600	0	0
HSSIX	EMERALD FUNDS	EMERALD FINANCE & BANKING INNOVATION I	I	NO LOAD	OPEN	1600	0	0
HSPGX	EMERALD FUNDS	EMERALD GWTH FD CL A	A	LOAD	OPEN	1600	2000	2000
HSPCX	EMERALD FUNDS	EMERALD GWTH FD CL C	C	LOAD	SUBS ONLY	1600	1000	2000
FGROX	EMERALD FUNDS	EMERALD GWTH FD CL INST	I	NO LOAD	OPEN	1600	0	0
FFGRX	EMERALD FUNDS	EMERALD GWTH FD CL INV	INVESTOR	NO LOAD	OPEN	1600	100	100
EFCAX	EMERALD FUNDS	EMERALD INSIGHTS A	A	LOAD	OPEN	1600	100	100
EFCIX	EMERALD FUNDS	EMERALD INSIGHTS INST	I	NO LOAD	OPEN	1600	0	0
EMCAX	EMPIRIC FUNDS	EMPIRIC FUND CLASS A	A	LOAD	OPEN	1600	0	0
EMCCX	EMPIRIC FUNDS	EMPIRIC FUND CLASS C	C	LOAD	OPEN	1600	0	0
ENTIX	ENTREPRENEURSHARES TRUST	ERSHARES GLOBAL ENTREPRENEURS INST	INSTITUTIONAL	NO LOAD	OPEN	1600	2500	2500
IMPAX	ENTREPRENEURSHARES TRUST	ERSHARES US SMALL CAP INST	INSTITUTIONAL	NO LOAD	REDEEM ONLY	1600	0	0
EPEIX	EURO PACIFIC FUNDS	EP EMERGING MARKETS SMALL COMPANIES I	I	NO LOAD	OPEN	1600	5000	5000
EPASX	EURO PACIFIC FUNDS	EP EMERGING MKTS SM CO A	A	LOAD	OPEN	1600	2500	2500
EPGFX	EURO PACIFIC FUNDS	EUROPAC GOLD A	A	LOAD	OPEN	1600	1000	2500
EPGIX	EURO PACIFIC FUNDS	EUROPAC GOLD INST	I	NO LOAD	OPEN	1600	5000	5000
EPBIX	EURO PACIFIC FUNDS	EUROPAC INTERNATIONAL BOND I	I	NO LOAD	OPEN	1600	0	0
EPDIX	EURO PACIFIC FUNDS	EUROPAC INTERNATIONAL DIVIDEND INCOME I	I	NO LOAD	OPEN	1600	5000	5000
EPIBX	EURO PACIFIC FUNDS	EUROPAC INTL BD A	A	LOAD	OPEN	1600	2500	2500
EPDPX	EURO PACIFIC FUNDS	EUROPAC INTL DIV INCM FD CL A	A	LOAD	OPEN	1600	1000	2500
EPIVX	EURO PACIFIC FUNDS	EUROPAC INTL VALUE FD CL A	A	LOAD	OPEN	1600	2500	2500
EPVIX	EURO PACIFIC FUNDS	EUROPAC INTL VALUE FD CL I	I	NO LOAD	OPEN	1600	5000	5000
EVAGX	EVALUATOR	EVALUATOR AGRSV GR 8599RMS SERVICE	SERVICE	NO LOAD	OPEN	1600	10000	10000
EVTTX	EVALUATOR	EVALUATOR CNSRVMOD3050RMS SERVICE	SERVICE	NO LOAD	OPEN	1600	10000	10000
EVFCX	EVALUATOR	EVALUATOR CNSV 1530 RMS R4	R4	NO LOAD	SUBS ONLY	1600	100	100
EVCLX	EVALUATOR	EVALUATOR CNSV 1530 RMS SERVICE	SERVICE	NO LOAD	OPEN	1600	10000	10000
EVGRX	EVALUATOR	EVALUATOR GROWTH 7085 RMS R4	R4	NO LOAD	SUBS ONLY	1600	100	100
EVGLX	EVALUATOR	EVALUATOR GROWTH 7085 RMS SERVICE	SERVICE	NO LOAD	OPEN	1600	10000	10000
EVFMX	EVALUATOR	EVALUATOR MODERATE 5070 RMS R4	R4	NO LOAD	SUBS ONLY	1600	100	100
EVMLX	EVALUATOR	EVALUATOR MODERATE 5070RMS SERVICE	SERVICE	NO LOAD	OPEN	1600	10000	10000
EVVLX	EVALUATOR	EVALUATOR VERY CNSRV015RMS SERVICE	SERVICE	NO LOAD	OPEN	1600	10000	10000

SYMBOL	FUND FAMILY	FUND DESCRIPTION	SHARE CLASS	FUND TYPE	STATUS	CUT- OFF	IRA MIN	NON-IRA MIN
ETIRX	EVENTIDE	EVENTIDE CORE BOND I	I	NO LOAD	OPEN	1600	100000	100000
ETNRX	EVENTIDE	EVENTIDE CORE BOND N	N	NO LOAD	OPEN	1600	100	100
ETIDX	EVENTIDE	EVENTIDE DIV OPPTY FD CL I	I	NO LOAD	OPEN	1600	1000	10000
ETNDX	EVENTIDE	EVENTIDE DIV OPPTY FD CL N	N	NO LOAD	OPEN	1600	100	100
ETADX	EVENTIDE	EVENTIDE DIVIDEND OPPORTUNITIES A	A	LOAD	OPEN	1600	100	100
ETCDX	EVENTIDE	EVENTIDE DIVIDEND OPPORTUNITIES C	C	LOAD	OPEN	1600	1000	1000
ETAEX	EVENTIDE	EVENTIDE EXPONENTIAL TECHNOLOGIES A	A	LOAD	OPEN	1600	100	100
ETIEX	EVENTIDE	EVENTIDE EXPONENTIAL TECHNOLOGIES I	I	NO LOAD	OPEN	1600	100000	100000
ETNEX	EVENTIDE	EVENTIDE EXPONENTIAL TECHNOLOGIES N	N	NO LOAD	OPEN	1600	100	100
ETAGX	EVENTIDE	EVENTIDE GILEAD CL A	A	LOAD	OPEN	1600	100	100
ETCGX	EVENTIDE	EVENTIDE GILEAD CL C	C	LOAD	OPEN	1600	1000	1000
ETILX	EVENTIDE	EVENTIDE GILEAD CLASS I	I	NO LOAD	OPEN	1600	2500	2500
ETGLX	EVENTIDE	EVENTIDE GILEAD CLASS N	N	NO LOAD	OPEN	1600	100	100
ETAHX	EVENTIDE	EVENTIDE HEALTHCARE AND LIFE SCIENCES A	A	LOAD	OPEN	1600	2500	2500
ETCHX	EVENTIDE	EVENTIDE HEALTHCARE AND LIFE SCIENCES C	C	LOAD	OPEN	1600	1000	1000
ETIHX	EVENTIDE	EVENTIDE HEALTHCARE AND LIFE SCIENCES I	I	NO LOAD	OPEN	1600	2500	2500
ETNHX	EVENTIDE	EVENTIDE HEALTHCARE AND LIFE SCIENCES N	N	NO LOAD	OPEN	1600	100	100
ETLIX	EVENTIDE	EVENTIDE LARGE CAP FOCUS I	I	NO LOAD	OPEN	1600	100000	100000
ETLNX	EVENTIDE	EVENTIDE LARGE CAP FOCUS N	N	NO LOAD	OPEN	1600	1000	1000
ETABX	EVENTIDE	EVENTIDE LIMITED TERM BOND A	A	LOAD	OPEN	1600	0	0
ETIBX	EVENTIDE	EVENTIDE LIMITED TERM BOND INST	I	NO LOAD	OPEN	1600	0	0
ETNBX	EVENTIDE	EVENTIDE LIMITED TERM BOND N	N	NO LOAD	OPEN	1600	100	100
ETCBX	EVENTIDE	EVENTIDE LIMITEDTERM BOND C	C	LOAD	OPEN	1600	1000	1000
ETAMX	EVENTIDE	EVENTIDE MULTI ASSET INCM A	A	LOAD	OPEN	1600	1000	1000
ETCMX	EVENTIDE	EVENTIDE MULTI ASSET INCM C	C	LOAD	OPEN	1600	0	0
ETIMX	EVENTIDE	EVENTIDE MULTI ASSET INCM I	I	NO LOAD	OPEN	1600	2500	2500
ETNMX	EVENTIDE	EVENTIDE MULTI ASSET INCM N	N	NO LOAD	OPEN	1600	100	100
FOCIX	FAIRHOLME	FAIRHOLME FOCUSED INCM FD	NO LOAD	NO LOAD	OPEN	1600	10000	10000
FAIRX	FAIRHOLME	FAIRHOLME FUND	NO LOAD	NO LOAD	OPEN	1600	5000	10000
FAMEX	FAM FUNDS	FAM DIVIDEND FOCUS FD INV	INVESTOR	NO LOAD	OPEN	1600	100	100
FAMDX	FAM FUNDS	FAM SM CAP FD INST	INSTITUTIONAL	NO LOAD	OPEN	1600	500000	500000
FAMFX	FAM FUNDS	FAM SM CAP FD INV	INVESTOR	NO LOAD	OPEN	1600	100	100
FAMWX	FAM FUNDS	FAM VALUE FUND INST	INSTITUTIONAL	NO LOAD	OPEN	1600	500000	500000
FAMVX	FAM FUNDS	FAM VALUE FUND INV	INVESTOR	NO LOAD	OPEN	1600	100	100
FHIX	FEDERATED	FED HERMES SUST HIGH YIELD A	A	LOAD	OPEN	1600	250	1500
FHICX	FEDERATED	FED HERMES SUST HIGH YIELD C	C	LOAD	OPEN	1600	250	1500
FHISX	FEDERATED	FED HERMES SUST HIGH YIELD INST	INSTITUTIONAL	NO LOAD	OPEN	1600	0	0
FHBRX	FEDERATED	FED HERMES SUST HIGH YIELD R6	R6	NO LOAD	REDEEM ONLY	1600	0	0
FEUNX	FEDERATED	FEDERATED HERMES ADJ RATE IS	INSTITUTIONAL	NO LOAD	OPEN	1600	0	0
FEUGX	FEDERATED	FEDERATED HERMES ADJUSTABLE RATE FD SERV	SERVICE	NO LOAD	OPEN	1600	0	0
QAMNX	FEDERATED	FEDERATED HERMES ADV	A	LOAD	OPEN	1600	1500	1500

SYMBOL	FUND FAMILY	FUND DESCRIPTION	SHARE CLASS	FUND TYPE	STATUS	CUT- OFF	IRA MIN	NON-IRA MIN
QQMNX	FEDERATED	FEDERATED HERMES ADV	INSTITUTIONAL	NO LOAD	OPEN	1600	1000000	1000000
CCCXX	FEDERATED	FEDERATED HERMES CA MUNI CASH CAPITAL	CAPITAL	NO LOAD	OPEN	1600	25000	25000
CAIXX	FEDERATED	FEDERATED HERMES CA MUNI CASH WEALTH	WEALTH SHARE	NO LOAD	OPEN	1600	25000	25000
CALXX	FEDERATED	FEDERATED HERMES CALIFORNIA MUNICIPAL CASH TRUST F	CASH II	LOAD	OPEN	1600	10000	10000
CACXX	FEDERATED	FEDERATED HERMES CALIFORNIA MUNICIPAL CASH TRUST F	SERVICE	LOAD	OPEN	1600	1000000	1000000
CAPSX	FEDERATED	FEDERATED HERMES CAP INCM FD CL INST	INSTITUTIONAL	NO LOAD	OPEN	1600	0	0
CAPAX	FEDERATED	FEDERATED HERMES CAPITAL INCOME FD CL A	A	LOAD	OPEN	1600	250	1500
CAPCX	FEDERATED	FEDERATED HERMES CAPITAL INCOME FD CL C	C	LOAD	OPEN	1600	250	1500
CAPFX	FEDERATED	FEDERATED HERMES CAPITAL INCOME FD CL F	F	LOAD	OPEN	1600	250	1500
CAPRX	FEDERATED	FEDERATED HERMES CAPITAL INCOME R	R	NO LOAD	REDEEM ONLY	1600	0	0
VSFAX	FEDERATED	FEDERATED HERMES CLOVER SMALL VALUE A	A	LOAD	OPEN	1600	250	1500
VSFCX	FEDERATED	FEDERATED HERMES CLOVER SMALL VALUE C	C	LOAD	OPEN	1600	250	1500
VSFSX	FEDERATED	FEDERATED HERMES CLOVER SMALL VALUE FD CL R6	R6	NO LOAD	REDEEM ONLY	1600	0	0
VSFIX	FEDERATED	FEDERATED HERMES CLOVER SMALL VALUE INST CL	INSTITUTIONAL	NO LOAD	OPEN	1600	0	0
VSFRX	FEDERATED	FEDERATED HERMES CLOVER SMALL VALUE R	R	NO LOAD	REDEEM ONLY	1600	0	0
FHMIX	FEDERATED	FEDERATED HERMES CNSRVTV MNCPL MCSHT INS	INSTITUTIONAL	NO LOAD	OPEN	1600	0	0
FHCOX	FEDERATED	FEDERATED HERMES CONSERVATIVE MCSHT INS	INSTITUTIONAL	NO LOAD	OPEN	1600	1000000	1000000
FGFSX	FEDERATED	FEDERATED HERMES CORE BOND FD CL A	A	NO LOAD	OPEN	1600	1000	1000000
FGFIX	FEDERATED	FEDERATED HERMES CORE BOND FD INST	INSTITUTIONAL	NO LOAD	OPEN	1600	0	0
FDBAX	FEDERATED	FEDERATED HERMES CORPORATE BOND FD CL A	A	LOAD	OPEN	1600	250	1500
FDBCX	FEDERATED	FEDERATED HERMES CORPORATE BOND FD CL C	C	LOAD	OPEN	1600	250	1500
ISHIX	FEDERATED	FEDERATED HERMES CORPORATE BOND FD CL F	F	LOAD	OPEN	1600	250	1500
FDBIX	FEDERATED	FEDERATED HERMES CORPORATE BOND FD INST	INSTITUTIONAL	NO LOAD	OPEN	1600	0	0
FDBLX	FEDERATED	FEDERATED HERMES CORPORATE BOND R6	R6	NO LOAD	REDEEM ONLY	1600	100000	100000
FCSPX	FEDERATED	FEDERATED HERMES CORPORATE BOND STRATEGY	NO LOAD	NO LOAD	REDEEM ONLY	1600	0	0
IHIAX	FEDERATED	FEDERATED HERMES EMERGING MARKET DEBT FD CL A	A	LOAD	OPEN	1600	250	1500
IHICX	FEDERATED	FEDERATED HERMES EMERGING MARKET DEBT FD CL C	C	LOAD	OPEN	1600	250	1500
EMDIX	FEDERATED	FEDERATED HERMES EMRG MKT DEBT FD INSTL	INSTITUTIONAL	NO LOAD	OPEN	1600	0	0
PIEFX	FEDERATED	FEDERATED HERMES EMRG MKTS FD IS	INSTITUTIONAL	NO LOAD	OPEN	1600	0	0
LEIFX	FEDERATED	FEDERATED HERMES EQUITY INCOME FUND CL A	A	LOAD	OPEN	1600	250	1500
FDERX	FEDERATED	FEDERATED HERMES EQUITY INCOME FUND CL R	R	NO LOAD	REDEEM ONLY	1600	100	100
LEICX	FEDERATED	FEDERATED HERMES EQUITY INCOME FUND CLASS C	C	LOAD	OPEN	1600	250	1500
LFEIX	FEDERATED	FEDERATED HERMES EQUITY INCOME FUND CLASS F	F	LOAD	OPEN	1600	250	1500
LEISX	FEDERATED	FEDERATED HERMES EQUITY INCOME FUND INST	INSTITUTIONAL	NO LOAD	OPEN	1600	0	0
FRSAX	FEDERATED	FEDERATED HERMES FLOATING RATE STRAT INC A	A	LOAD	OPEN	1600	250	1500
FFRSX	FEDERATED	FEDERATED HERMES FLOATING RATE STRATEGIC INC INSTL	INSTITUTIONAL	NO LOAD	OPEN	1600	0	0
FUSGX	FEDERATED	FEDERATED HERMES FUND FOR U.S. GOVT SECURITIES CL A	A	LOAD	OPEN	1600	250	1500
FSTBX	FEDERATED	FEDERATED HERMES GLOBAL ALLOC FD CL A	A	LOAD	OPEN	1600	250	1500
FSBCX	FEDERATED	FEDERATED HERMES GLOBAL ALLOC FD CL C	C	LOAD	OPEN	1600	250	1500
SBFIX	FEDERATED	FEDERATED HERMES GLOBAL ALLOC FD CL INST	INSTITUTIONAL	NO LOAD	OPEN	1600	0	0
FSBKX	FEDERATED	FEDERATED HERMES GLOBAL ALLOCATION R	R	NO LOAD	REDEEM ONLY	1600	0	0

SYMBOL	FUND FAMILY	FUND DESCRIPTION	SHARE CLASS	FUND TYPE	STATUS	CUT- OFF	IRA MIN	NON-IRA MIN
FSBLX	FEDERATED	FEDERATED HERMES GLOBAL ALLOCATION R6	R6	NO LOAD	REDEEM ONLY	1600	0	0
FHGIX	FEDERATED	FEDERATED HERMES GLOBAL EQUITY FD INST	INSTITUTIONAL	NO LOAD	REDEEM ONLY	1600	0	0
FTIIX	FEDERATED	FEDERATED HERMES GLOBAL TOTAL RETURN BD A	A	LOAD	OPEN	1600	250	1500
FTIBX	FEDERATED	FEDERATED HERMES GLOBAL TOTAL RETURN BD C	C	LOAD	OPEN	1600	250	1500
FGTBX	FEDERATED	FEDERATED HERMES GLOBAL TOTAL RETURN BD IS	IS	NO LOAD	OPEN	1600	1000000	1000000
FGULX	FEDERATED	FEDERATED HERMES GOV ULTRASHORT DURATION FD R6	R6	NO LOAD	REDEEM ONLY	1600	0	0
FEUSX	FEDERATED	FEDERATED HERMES GOV ULTRASHORT DURATION FD SS	SERVICE	NO LOAD	OPEN	1600	1000	1000000
GOIXX	FEDERATED	FEDERATED HERMES GOVERNMENT OBLIGATIONS INST SHAF	INSTITUTIONAL	NO LOAD	OPEN	1600	0	0
GOFXX	FEDERATED	FEDERATED HERMES GOVERNMENT OBLIGATIONS PREMIER	PREMIER	NO LOAD	OPEN	1600	5000000	5000000
GORXX	FEDERATED	FEDERATED HERMES GOVERNMENT OBLIGATIONS TRUST	TRUST	NO LOAD	OPEN	1600	500000	500000
GRCXX	FEDERATED	FEDERATED HERMES GOVERNMENT RESERVES F	F	LOAD	EXCH ONLY	1600	1500	1500
FGUAX	FEDERATED	FEDERATED HERMES GOVERNMENT ULTRASHORT DURATION A	A	NO LOAD	OPEN	1600	250	1500
FGUSX	FEDERATED	FEDERATED HERMES GOVERNMENT ULTRASHORT FUND INST	INSTITUTIONAL	NO LOAD	OPEN	1600	0	0
FICMX	FEDERATED	FEDERATED HERMES GOVT INCOME TRUST INST	INSTITUTIONAL	NO LOAD	OPEN	1600	0	0
FITSX	FEDERATED	FEDERATED HERMES GOVT INCOME TRUST SVC	SERVICE	NO LOAD	OPEN	1600	1000	1000000
GOCXX	FEDERATED	FEDERATED HERMES GOVT OBL CAPITAL	CAPITAL	LOAD	OPEN	1600	500000	500000
GFSXX	FEDERATED	FEDERATED HERMES GOVT OBL CASH SRS	CASH SERIES	LOAD	OPEN	1600	10000	10000
GPHXX	FEDERATED	FEDERATED HERMES GOVT OBL SDG	SDG	NO LOAD	REDEEM ONLY	1600	5000000	5000000
GOTXX	FEDERATED	FEDERATED HERMES GOVT OBL TXMGD IS	INSTITUTIONAL	NO LOAD	OPEN	1600	0	0
GFYXX	FEDERATED	FEDERATED HERMES GOVT OBLIGATIONS CASH II	CASH II	NO LOAD	OPEN	1600	100	100
GOSXX	FEDERATED	FEDERATED HERMES GOVT OBLIGATIONS FD SS	SERVICE	NO LOAD	OPEN	1600	1000000	1000000
GOAXX	FEDERATED	FEDERATED HERMES GOVT OBLIGATIONS TAX MGD AUTOMAT	AUTOMATED	NO LOAD	OPEN	1600	100	100
GTSXX	FEDERATED	FEDERATED HERMES GOVT OBLIGATIONS TAX MGD FD SS	SERVICE	NO LOAD	OPEN	1600	1000000	1000000
GRAXX	FEDERATED	FEDERATED HERMES GOVT RESERVES FD A	A	LOAD	OPEN	1600	250	1500
GRCXX	FEDERATED	FEDERATED HERMES GOVT RESERVES FD C	C	LOAD	EXCH ONLY	1600	250	1500
FHYSX	FEDERATED	FEDERATED HERMES HIGH YIELD STRATEGY	NO LOAD	NO LOAD	REDEEM ONLY	1600	0	0
FHYAX	FEDERATED	FEDERATED HERMES HIGH YIELD TRUST A	A	LOAD	OPEN	1600	100	100
FHYCX	FEDERATED	FEDERATED HERMES HIGH YIELD TRUST C	C	LOAD	OPEN	1600	250	1500
FHTIX	FEDERATED	FEDERATED HERMES HIGH YIELD TRUST INST	INSTITUTIONAL	NO LOAD	OPEN	1600	0	0
FHYTX	FEDERATED	FEDERATED HERMES HIGH YIELD TRUST SS	SERVICE	NO LOAD	OPEN	1600	1000	1000000
RRFAX	FEDERATED	FEDERATED HERMES INFLTN PRTCD SECUTY A	A	LOAD	OPEN	1600	250	1500
RRFCX	FEDERATED	FEDERATED HERMES INFLTN PRTCD SECUTY C	C	LOAD	OPEN	1600	250	1500
RRFIX	FEDERATED	FEDERATED HERMES INFLTN PRTCD SECUTY I	INSTITUTIONAL	NO LOAD	OPEN	1600	0	0
FIHLX	FEDERATED	FEDERATED HERMES INST HIGH YIELD BOND FD R6	R6	NO LOAD	REDEEM ONLY	1600	0	0
FIHAX	FEDERATED	FEDERATED HERMES INSTL HIGH YIELD BD A	A	LOAD	OPEN	1600	250	1500
FIHCX	FEDERATED	FEDERATED HERMES INSTL HIGH YIELD BD C	C	LOAD	OPEN	1600	250	1500
FIHBX	FEDERATED	FEDERATED HERMES INSTL HIGH YIELD BOND FD INSTL SHRS	INSTITUTIONAL	NO LOAD	OPEN	1600	0	0
INISX	FEDERATED	FEDERATED HERMES INTER CORP BOND FD SVC SHRS	SERVICE	NO LOAD	OPEN	1600	1000	1000000
FIIFX	FEDERATED	FEDERATED HERMES INTER CORP BOND FUND INSTSHARES	INSTITUTIONAL	NO LOAD	OPEN	1600	0	0
FIMTX	FEDERATED	FEDERATED HERMES INTER MUNI TRUST CL A	A	LOAD	OPEN	1600	0	0
FIMYX	FEDERATED	FEDERATED HERMES INTERM MUNI TRUST INST	INSTITUTIONAL	NO LOAD	OPEN	1600	0	0

SYMBOL	FUND FAMILY	FUND DESCRIPTION	SHARE CLASS	FUND TYPE	STATUS	CUT- OFF	IRA MIN	NON-IRA MIN
PEIRX	FEDERATED	FEDERATED HERMES INTERNATIONAL EQUITY R6	R6	NO LOAD	REDEEM ONLY	1600	100000	100000
FGFAX	FEDERATED	FEDERATED HERMES INTERNATIONAL LEADERS FUND CL A	A	LOAD	OPEN	1600	250	1500
FGFCX	FEDERATED	FEDERATED HERMES INTERNATIONAL LEADERS FUND CL C	C	LOAD	OPEN	1600	250	1500
FIBPX	FEDERATED	FEDERATED HERMES INTL BOND STRATEGY	NO LOAD	NO LOAD	REDEEM ONLY	1600	0	0
FIDPX	FEDERATED	FEDERATED HERMES INTL DIVIDEND STRATEGY	NO LOAD	NO LOAD	REDEEM ONLY	1600	0	0
PMIEX	FEDERATED	FEDERATED HERMES INTL EQTY A	A	LOAD	OPEN	1600	1500	1500
PIUCX	FEDERATED	FEDERATED HERMES INTL EQTY FD CL C	C	LOAD	OPEN	1600	1500	1500
PIUIX	FEDERATED	FEDERATED HERMES INTL EQTY FD IS	INSTITUTIONAL	NO LOAD	OPEN	1600	0	0
PIGDY	FEDERATED	FEDERATED HERMES INTL GRWTH EQTY IS	INSTITUTIONAL	NO LOAD	OPEN	1600	0	0
FGRSX	FEDERATED	FEDERATED HERMES INTL LEADERS FD CL R6	R6	NO LOAD	REDEEM ONLY	1600	0	0
FGFLX	FEDERATED	FEDERATED HERMES INTL LEADERS FD INST	INSTITUTIONAL	NO LOAD	OPEN	1600	0	0
FGFRX	FEDERATED	FEDERATED HERMES INTL LEADERS R	R	NO LOAD	REDEEM ONLY	1600	0	0
ISCIX	FEDERATED	FEDERATED HERMES INTL SMALL MID CO INST	INSTITUTIONAL	NO LOAD	OPEN	1600	0	0
ISCAX	FEDERATED	FEDERATED HERMES INTL SMALLMID COMPANY FD CL A	A	LOAD	OPEN	1600	250	1500
ISCCX	FEDERATED	FEDERATED HERMES INTL SMALLMID COMPANY FD CL C	C	LOAD	OPEN	1600	250	1500
IVFLX	FEDERATED	FEDERATED HERMES INTL STRAT VAL DIV R6	R6	NO LOAD	REDEEM ONLY	1600	100000	100000
IVFCX	FEDERATED	FEDERATED HERMES INTL STRATEGIC VALUE DIV C	C	LOAD	OPEN	1600	250	1500
IVFAX	FEDERATED	FEDERATED HERMES INTL STRATEGIC VALUE DIV FD CL A	A	LOAD	OPEN	1600	250	1500
IVFIX	FEDERATED	FEDERATED HERMES INTL STRATEGIC VALUE DIV FD INST CL	INSTITUTIONAL	NO LOAD	OPEN	1600	0	0
KAUIX	FEDERATED	FEDERATED HERMES KAUFMANN FD CL I	INSTITUTIONAL	NO LOAD	OPEN	1600	0	0
KAUCX	FEDERATED	FEDERATED HERMES KAUFMANN FUND CL C	C	LOAD	OPEN	1600	250	1500
KAUFX	FEDERATED	FEDERATED HERMES KAUFMANN FUND CL R	R	NO LOAD	OPEN	1600	100	100
KAUAX	FEDERATED	FEDERATED HERMES KAUFMANN FUND CLASS A	A	LOAD	OPEN	1600	250	1500
KLCAX	FEDERATED	FEDERATED HERMES KAUFMANN LARGE CAP A	A	LOAD	OPEN	1600	250	1500
KLCCX	FEDERATED	FEDERATED HERMES KAUFMANN LARGE CAP CL C	C	LOAD	OPEN	1600	250	1500
KLCIX	FEDERATED	FEDERATED HERMES KAUFMANN LARGE CAP INSTL CL	INSTITUTIONAL	NO LOAD	OPEN	1600	0	0
KLCKX	FEDERATED	FEDERATED HERMES KAUFMANN LARGE CAP R	R	NO LOAD	REDEEM ONLY	1600	0	0
KLCSX	FEDERATED	FEDERATED HERMES KAUFMANN LARGE CAP R6	R6	NO LOAD	REDEEM ONLY	1600	0	0
FKCSX	FEDERATED	FEDERATED HERMES KAUFMANN SMALL CAP FD CL C	C	LOAD	OPEN	1600	250	1500
FKAIX	FEDERATED	FEDERATED HERMES KAUFMANN SMALL CAP INST	INSTITUTIONAL	NO LOAD	OPEN	1600	0	0
FKKSX	FEDERATED	FEDERATED HERMES KAUFMANN SMALL CAP R	R	NO LOAD	REDEEM ONLY	1600	0	0
FKALX	FEDERATED	FEDERATED HERMES KAUFMANN SMALL CAP R6	R6	NO LOAD	REDEEM ONLY	1600	0	0
FKASX	FEDERATED	FEDERATED HERMES KAUFMANN SMALLCAP FUND CL A	A	LOAD	OPEN	1600	250	1500
FMXSX	FEDERATED	FEDERATED HERMES MAX CAP INDEX FD SS	SERVICE	NO LOAD	OPEN	1600	1000	1000000
FISPX	FEDERATED	FEDERATED HERMES MAX CAP INSTL CL	INSTITUTIONAL	NO LOAD	OPEN	1600	0	0
MXCCX	FEDERATED	FEDERATED HERMES MAXCAP INDEX F D CL C	C	LOAD	OPEN	1600	250	1500
FMXXY	FEDERATED	FEDERATED HERMES MAXCAP INDEX R	R	NO LOAD	REDEEM ONLY	1600	100000	100000
QAACX	FEDERATED	FEDERATED HERMES MDT ALL CAP CORE FD CL A	A	LOAD	OPEN	1600	250	1500
QCACX	FEDERATED	FEDERATED HERMES MDT ALL CAP CORE FD CL C	C	LOAD	OPEN	1600	250	1500
QIACX	FEDERATED	FEDERATED HERMES MDT ALL CAP CORE FD INST CL	INSTITUTIONAL	NO LOAD	OPEN	1600	0	0
QCBGX	FEDERATED	FEDERATED HERMES MDT BALANCED CL C	C	LOAD	OPEN	1600	250	1500

SYMBOL	FUND FAMILY	FUND DESCRIPTION	SHARE CLASS	FUND TYPE	STATUS	CUT- OFF	IRA MIN	NON-IRA MIN
QABGX	FEDERATED	FEDERATED HERMES MDT BALANCED FD CL A	A	LOAD	OPEN	1600	250	1500
QIBGX	FEDERATED	FEDERATED HERMES MDT BALANCED INST	INSTITUTIONAL	NO LOAD	OPEN	1600	0	0
QCLGX	FEDERATED	FEDERATED HERMES MDT LARGE CAP GROWTH FD CL C	C	LOAD	OPEN	1600	250	1500
QILGX	FEDERATED	FEDERATED HERMES MDT LARGE CAP GROWTH FD CL INST	INSTITUTIONAL	NO LOAD	OPEN	1600	0	0
QALGX	FEDERATED	FEDERATED HERMES MDT LARGE CAP GROWTH FUND CLASS	A	LOAD	OPEN	1600	250	1500
FSTRX	FEDERATED	FEDERATED HERMES MDT LARGE CAP VALUE FD A	A	LOAD	OPEN	1600	250	1500
QCLVX	FEDERATED	FEDERATED HERMES MDT LARGE CAP VALUE FD C	C	LOAD	OPEN	1600	250	1500
FMSTX	FEDERATED	FEDERATED HERMES MDT LARGE CAP VALUE INST	INSTITUTIONAL	NO LOAD	OPEN	1600	0	0
QRLVX	FEDERATED	FEDERATED HERMES MDT LARGE CAP VALUE R	R	NO LOAD	REDEEM ONLY	1600	0	0
FSTLX	FEDERATED	FEDERATED HERMES MDT LARGE CAP VALUE R6	R6	NO LOAD	REDEEM ONLY	1600	100000	100000
FSTKX	FEDERATED	FEDERATED HERMES MDT LARGE CAP VALUE SS	SERVICE	NO LOAD	OPEN	1600	1000	1000000
FGSKX	FEDERATED	FEDERATED HERMES MDT MID CAP GROWTH R6	R6	NO LOAD	REDEEM ONLY	1600	0	0
FGSAX	FEDERATED	FEDERATED HERMES MDT MID CAP GWTH A	A	LOAD	OPEN	1600	250	1500
FGSCX	FEDERATED	FEDERATED HERMES MDT MID CAP GWTH C	C	LOAD	OPEN	1600	250	1500
FGSIX	FEDERATED	FEDERATED HERMES MDT MID CAP GWTH INST	INSTITUTIONAL	NO LOAD	OPEN	1600	0	0
QCSCX	FEDERATED	FEDERATED HERMES MDT SMALL CAP CORE C	C	LOAD	OPEN	1600	250	1500
QASCX	FEDERATED	FEDERATED HERMES MDT SMALL CAP CORE FUND CL A	A	LOAD	OPEN	1600	250	1500
QISCX	FEDERATED	FEDERATED HERMES MDT SMALL CAP CORE INST	INSTITUTIONAL	NO LOAD	OPEN	1600	0	0
QLSCX	FEDERATED	FEDERATED HERMES MDT SMALL CAP CORE R6	R6	NO LOAD	REDEEM ONLY	1600	100000	100000
QCSGX	FEDERATED	FEDERATED HERMES MDT SMALL CAP GROWTH C	C	LOAD	OPEN	1600	250	1500
QASGX	FEDERATED	FEDERATED HERMES MDT SMALL CAP GROWTH CL A	A	LOAD	OPEN	1600	250	1500
QLSGX	FEDERATED	FEDERATED HERMES MDT SMALL CAP GROWTH FD CL R6	R6	NO LOAD	REDEEM ONLY	1600	0	0
QISGX	FEDERATED	FEDERATED HERMES MDT SMALL CAP GROWTH INST	INSTITUTIONAL	NO LOAD	OPEN	1600	0	0
FMCRX	FEDERATED	FEDERATED HERMES MID CAP INDEX FD INST	INSTITUTIONAL	NO LOAD	OPEN	1600	0	0
FMDCX	FEDERATED	FEDERATED HERMES MIDCAP INDEX FUND	SERVICE	NO LOAD	OPEN	1600	1000	1000000
FMCLX	FEDERATED	FEDERATED HERMES MIDCAP INDEX R6	R6	NO LOAD	REDEEM ONLY	1600	100000	100000
FMBPX	FEDERATED	FEDERATED HERMES MORTGAGE STRATEGY	NO LOAD	NO LOAD	REDEEM ONLY	1600	0	0
FMUIX	FEDERATED	FEDERATED HERMES MUNI AND STK ADVANTAGE INST	INSTITUTIONAL	NO LOAD	OPEN	1600	0	0
FMUFX	FEDERATED	FEDERATED HERMES MUNI AND STOCK ADV F	F	LOAD	OPEN	1600	250	1500
FMUAX	FEDERATED	FEDERATED HERMES MUNI AND STOCK ADVANTAGE CL A	A	LOAD	OPEN	1600	250	1500
LMSFX	FEDERATED	FEDERATED HERMES MUNI BOND FD CL A	A	LOAD	OPEN	1600	250	1500
LMSCX	FEDERATED	FEDERATED HERMES MUNI BOND FD CL C	C	LOAD	OPEN	1600	1500	1500
LMFFX	FEDERATED	FEDERATED HERMES MUNI BOND FD CL F	F	LOAD	OPEN	1600	1500	1500
LMBIX	FEDERATED	FEDERATED HERMES MUNI BOND FD INST	INSTITUTIONAL	NO LOAD	OPEN	1600	0	0
FMNCX	FEDERATED	FEDERATED HERMES MUNI HIGH YIELD ADV FD CL C	C	LOAD	OPEN	1600	1500	1500
FMOAX	FEDERATED	FEDERATED HERMES MUNI HIGH YLD ADVANTAGE FD CL A	A	LOAD	OPEN	1600	250	1500
FHTFX	FEDERATED	FEDERATED HERMES MUNI HIGH YLD ADVANTAGE FD CL F	F	LOAD	OPEN	1600	1500	1500
FMYIX	FEDERATED	FEDERATED HERMES MUNI HIGH YLD ADVANTAGE FD INST	INSTITUTIONAL	NO LOAD	OPEN	1600	0	0
MFCXX	FEDERATED	FEDERATED HERMES MUNI OBLIGATIONS FD CAP	CAPITAL	LOAD	OPEN	1600	0	500000
MOSXX	FEDERATED	FEDERATED HERMES MUNI OBLIGATIONS FD SS	SERVICE	LOAD	OPEN	1600	1000000	1000000
FMUCX	FEDERATED	FEDERATED HERMES MUNI STOCK & ADVANTAGE FD CL C	C	LOAD	OPEN	1600	250	1500

SYMBOL	FUND FAMILY	FUND DESCRIPTION	SHARE CLASS	FUND TYPE	STATUS	CUT- OFF	IRA MIN	NON-IRA MIN
FMUSX	FEDERATED	FEDERATED HERMES MUNI ULTRA SHORT FUND INSTL SHARE	INSTITUTIONAL	NO LOAD	OPEN	1600	0	0
MOFX	FEDERATED	FEDERATED HERMES MUNICIPAL OBL WEALTH	WEALTH SHARE	NO LOAD	OPEN	1600	500000	500000
FMUUX	FEDERATED	FEDERATED HERMES MUNICIPAL ULTRA SHORT FUND SVC SH	A	NO LOAD	OPEN	1600	250	1500
FNTXX	FEDERATED	FEDERATED HERMES NEW YORK MUNICIPAL CASH TRUST SS	SERVICE	NO LOAD	OPEN	1600	1000000	1000000
FNCXX	FEDERATED	FEDERATED HERMES NY MUNI CASH TRUST CASH SERIES CL	CASH SERIES	LOAD	OPEN	1600	10000	10000
NISXX	FEDERATED	FEDERATED HERMES NY MUNI CASH TRUST WS CL	WEALTH SHARE	LOAD	OPEN	1600	0	0
OMIAX	FEDERATED	FEDERATED HERMES OH MUNI INCOME FUND CL A	A	LOAD	OPEN	1600	250	1500
OMIFX	FEDERATED	FEDERATED HERMES OH MUNI INCOME FUND CL F	F	LOAD	OPEN	1600	1500	1500
OMIIX	FEDERATED	FEDERATED HERMES OH MUNICIPAL INCOME IS	INSTITUTIONAL	NO LOAD	OPEN	1600	1000000	1000000
FHYLX	FEDERATED	FEDERATED HERMES OPPORTUNISTIC HIGH YLD BND R6	R6	NO LOAD	REDEEM ONLY	1600	100000	100000
PAMFX	FEDERATED	FEDERATED HERMES PENNSYLVANIA MUNICIPAL INCOME CL	A	LOAD	OPEN	1600	250	1500
PCVXX	FEDERATED	FEDERATED HERMES PRIME CASH OBL ADVISOR	ADVISOR	NO LOAD	REDEEM ONLY	1600	250000	250000
PTRXX	FEDERATED	FEDERATED HERMES PRIME CASH OBL R	R	LOAD	OPEN	1600	250	0
PTAXX	FEDERATED	FEDERATED HERMES PRIME CASH OBLIGATIONS AUTOMATED	AUTOMATED	NO LOAD	OPEN	1600	100	100
PCCXX	FEDERATED	FEDERATED HERMES PRIME CASH OBLIGATIONS FD CAP	CAPITAL	NO LOAD	OPEN	1600	500000	500000
PCDXX	FEDERATED	FEDERATED HERMES PRIME CASH OBLIGATIONS FD CASH II	CASH II	LOAD	OPEN	1600	250	25000
PRCXX	FEDERATED	FEDERATED HERMES PRIME CASH OBLIGATIONS FD SS	SERVICE	NO LOAD	OPEN	1600	1000000	1000000
PTTX	FEDERATED	FEDERATED HERMES PRIME CASH OBLIGATIONS TRUST	TRUST	NO LOAD	OPEN	1600	100	100
PCOXX	FEDERATED	FEDERATED HERMES PRIME CASH OBLIGATIONS WS CL	WEALTH SHARE	NO LOAD	OPEN	1600	0	0
PBRGX	FEDERATED	FEDERATED HERMES PRUDENT BEAR FD C	C	LOAD	OPEN	1600	250	1500
BEARX	FEDERATED	FEDERATED HERMES PRUDENT BEAR FUND A	A	LOAD	OPEN	1600	250	1500
PBRIX	FEDERATED	FEDERATED HERMES PRUDENT BEAR INSTL CL	INSTITUTIONAL	NO LOAD	OPEN	1600	0	0
FHEQX	FEDERATED	FEDERATED HERMES SDG ENGAGEMENT EQTY A	A	LOAD	OPEN	1600	100	100
FHESX	FEDERATED	FEDERATED HERMES SDG ENGAGEMENT EQTY INST	INSTITUTIONAL	NO LOAD	OPEN	1600	0	0
FHHIX	FEDERATED	FEDERATED HERMES SDG ENGAGEMENT HIGH YIELD CREDIT	INSTITUTIONAL	NO LOAD	OPEN	1600	1000000	1000000
FMTAX	FEDERATED	FEDERATED HERMES SHORT INTER DURATION MUNI TRUST A	A	LOAD	OPEN	1600	250	1500
FSHSX	FEDERATED	FEDERATED HERMES SHORT INTER DURATION MUNI TRUST S	SERVICE	NO LOAD	OPEN	1600	999999	1000000
FGCIX	FEDERATED	FEDERATED HERMES SHORT INTER TOTAL RETURN BOND FUN	INSTITUTIONAL	NO LOAD	OPEN	1600	0	0
FGCSX	FEDERATED	FEDERATED HERMES SHORT INTER TOTAL RETURN BOND FUN	SERVICE	NO LOAD	OPEN	1600	1000	1000000
FSHIX	FEDERATED	FEDERATED HERMES SHORT INTERM DURATION MUNI TRUST	INSTITUTIONAL	NO LOAD	OPEN	1600	0	0
FGCAX	FEDERATED	FEDERATED HERMES SHORT INTERM TOTAL RTN BD A	A	LOAD	OPEN	1600	100	100
FTIAX	FEDERATED	FEDERATED HERMES SHORT TERM INCOME FD CL A	A	LOAD	OPEN	1600	250	1500
FSTYX	FEDERATED	FEDERATED HERMES SHORT TERM INCOME FD IS	IS	NO LOAD	OPEN	1600	0	0
FSTIX	FEDERATED	FEDERATED HERMES SHORT TERM INCOME FD SS	SERVICE	NO LOAD	OPEN	1600	0	0
FSILX	FEDERATED	FEDERATED HERMES SHORT TERM INCOME R6	R6	NO LOAD	REDEEM ONLY	1600	0	0
STIAX	FEDERATED	FEDERATED HERMES STRATEGIC INCM FUND CL A	A	LOAD	OPEN	1600	250	1500
SINCX	FEDERATED	FEDERATED HERMES STRATEGIC INCOME FD CL C	C	LOAD	OPEN	1600	250	1500
STFSX	FEDERATED	FEDERATED HERMES STRATEGIC INCOME FUND CL F	F	LOAD	OPEN	1600	250	1500
STISX	FEDERATED	FEDERATED HERMES STRATEGIC INCOME I	INSTITUTIONAL	NO LOAD	OPEN	1600	0	0
STILX	FEDERATED	FEDERATED HERMES STRATEGIC INCOME R6	R6	NO LOAD	REDEEM ONLY	1600	100000	100000
SVAAX	FEDERATED	FEDERATED HERMES STRATEGIC VALUE DIVIDEND FD CL A	A	LOAD	OPEN	1600	250	1500

SYMBOL	FUND FAMILY	FUND DESCRIPTION	SHARE CLASS	FUND TYPE	STATUS	CUT- OFF	IRA MIN	NON-IRA MIN
SVACX	FEDERATED	FEDERATED HERMES STRATEGIC VALUE DIVIDEND FD CL C	C	LOAD	OPEN	1600	250	1500
SVAIX	FEDERATED	FEDERATED HERMES STRATEGIC VALUE DIVIDEND FD INST C	INSTITUTIONAL	NO LOAD	OPEN	1600	0	0
SVALX	FEDERATED	FEDERATED HERMES STRATEGIC VALUE DIVIDEND R6	R6	NO LOAD	REDEEM ONLY	1600	0	0
TBSXX	FEDERATED	FEDERATED HERMES TAX FREE OBLIGATIONS FD SS	SERVICE	LOAD	OPEN	1600	1000000	1000000
TBIXX	FEDERATED	FEDERATED HERMES TAXFREE OBL WEALTH	WEALTH SHARE	NO LOAD	OPEN	1600	500000	500000
TLRCX	FEDERATED	FEDERATED HERMES TOTAL RETURN BOND FD CL C	C	LOAD	OPEN	1600	250	1500
FTRLX	FEDERATED	FEDERATED HERMES TOTAL RETURN BOND FD CL R6	R6	NO LOAD	REDEEM ONLY	1600	0	0
FTRFX	FEDERATED	FEDERATED HERMES TOTAL RETURN BOND FD SS	SERVICE	NO LOAD	OPEN	1600	1000	1000000
TLRAX	FEDERATED	FEDERATED HERMES TOTAL RETURN BOND FUND CLASS A	A	LOAD	OPEN	1600	250	1500
FTRBX	FEDERATED	FEDERATED HERMES TOTAL RETURN BOND INSTL	INSTITUTIONAL	NO LOAD	OPEN	1600	0	0
FTRKX	FEDERATED	FEDERATED HERMES TOTAL RETURN BOND K	K	NO LOAD	REDEEM ONLY	1600	100	100
FTGLX	FEDERATED	FEDERATED HERMES TOTAL RETURN GOVT BD R6	R6	NO LOAD	REDEEM ONLY	1600	0	0
FTGSX	FEDERATED	FEDERATED HERMES TOTAL RETURN GOVT BOND FD SS	SERVICE	NO LOAD	OPEN	1600	1000	1000000
FTRGX	FEDERATED	FEDERATED HERMES TOTAL RETURN GOVT BOND FUND INST	INSTITUTIONAL	NO LOAD	OPEN	1600	0	0
TTOXX	FEDERATED	FEDERATED HERMES TR FOR US TRS OBL IS	INSTITUTIONAL	NO LOAD	REDEEM ONLY	1600	500000	500000
TCSXX	FEDERATED	FEDERATED HERMES TR US TRS OBL CASH SRS	CASH SERIES	LOAD	OPEN	1600	10000	10000
TOCXX	FEDERATED	FEDERATED HERMES TREASURY OBL CAPITAL	CAPITAL	LOAD	OPEN	1600	50000	500000
TOSXX	FEDERATED	FEDERATED HERMES TREASURY OBL SVC	SERVICE	NO LOAD	OPEN	1600	100	100
TOIXX	FEDERATED	FEDERATED HERMES TREASURY OBLIGATIONS FD INST CL	INSTITUTIONAL	NO LOAD	OPEN	1600	0	0
TOAXX	FEDERATED	FEDERATED HERMES TRSY OBLIGATIONS FD AUTO SHRS	NO LOAD	NO LOAD	OPEN	1600	250	25000
TTIXX	FEDERATED	FEDERATED HERMES TRUST FOR US TRSY OBLIGATIONS CAS	CASH II	LOAD	OPEN	1600	250	25000
FUSCX	FEDERATED	FEDERATED HERMES U.S. GOVERNMENT SECURITIES FD CL C	C	LOAD	OPEN	1600	250	1500
FULIX	FEDERATED	FEDERATED HERMES ULTRA SHORT BOND FUND INST SHARE	INSTITUTIONAL	NO LOAD	OPEN	1600	0	0
FULBX	FEDERATED	FEDERATED HERMES ULTRASHORT BOND FD SS	SERVICE	NO LOAD	OPEN	1600	1000	1000000
FULAX	FEDERATED	FEDERATED HERMES ULTRASHORT BOND FUND CLASS A	A	NO LOAD	OPEN	1600	250	1500
FULLX	FEDERATED	FEDERATED HERMES ULTRASHORT BOND R6	R6	NO LOAD	REDEEM ONLY	1600	100000	100000
FIGKX	FEDERATED	FEDERATED HERMES US GOVT 2 TO 5 YR R	R	NO LOAD	REDEEM ONLY	1600	100	100
FSGVX	FEDERATED	FEDERATED HERMES US GOVT SEC 13 YEARS INSTL	INSTITUTIONAL	NO LOAD	OPEN	1600	0	0
FIGTX	FEDERATED	FEDERATED HERMES US GOVT SEC 25 YEARS INSTL	INSTITUTIONAL	NO LOAD	OPEN	1600	0	0
FSGIX	FEDERATED	FEDERATED HERMES US GOVT SEC FD 1 TO 3 YRS SS	SERVICE	NO LOAD	REDEEM ONLY	1600	1000	1000000
FIGIX	FEDERATED	FEDERATED HERMES US GOVT SEC FD 2 TO 5 YRS SS	SERVICE	NO LOAD	REDEEM ONLY	1600	1000	1000000
FHUMX	FEDERATED	FEDERATED HERMES US SMID INSTITUTIONAL	INSTITUTIONAL	NO LOAD	OPEN	1600	0	0
UTEXX	FEDERATED	FEDERATED HERMES US TREAS CA RES SEL	SELECT CLASS SH	NO LOAD	OPEN	1600	1000000	1000000
UTDXX	FEDERATED	FEDERATED HERMES US TREAS CASH RES ADMIN	ADMINISTRATIVE	NO LOAD	OPEN	1600	500000	500000
UTIXX	FEDERATED	FEDERATED HERMES US TREAS CASH RES IS	INSTITUTIONAL	NO LOAD	OPEN	1600	0	0
UTPXX	FEDERATED	FEDERATED HERMES US TREAS CASH RES PREM	PREMIER	NO LOAD	OPEN	1600	5000000	5000000
TISXX	FEDERATED	FEDERATED HERMES US TRSY CASH RESERVES SS	SERVICE	NO LOAD	OPEN	1600	1000000	1000000
FXAIX	FIDELITY	FIDELITY 500 INDEX FD INST PREMIUM	INST PREM	NO LOAD	OPEN	1600	0	0
FTAWX	FIDELITY	FIDELITY ADV ASET MANAGER 20 A	A	LOAD	OPEN	1600	0	0
FTCWX	FIDELITY	FIDELITY ADV ASSET MANAGER 20 C	C	LOAD	OPEN	1600	0	0
FTIWX	FIDELITY	FIDELITY ADV ASSET MANAGER 20 I	I	NO LOAD	OPEN	1600	0	0

SYMBOL	FUND FAMILY	FUND DESCRIPTION	SHARE CLASS	FUND TYPE	STATUS	CUT- OFF	IRA MIN	NON-IRA MIN
FTDWX	FIDELITY	FIDELITY ADV ASSET MANAGER 20 PCT CL M	M	LOAD	OPEN	1600	0	0
FTAAX	FIDELITY	FIDELITY ADV ASSET MANAGER 30 A	A	LOAD	OPEN	1600	0	0
FCANX	FIDELITY	FIDELITY ADV ASSET MANAGER 30 C	C	LOAD	OPEN	1600	0	0
FTINX	FIDELITY	FIDELITY ADV ASSET MANAGER 30 PCT CL I	I	NO LOAD	OPEN	1600	0	0
FTTNX	FIDELITY	FIDELITY ADV ASSET MANAGER 30 PCT CL M	M	LOAD	OPEN	1600	0	0
FFNAX	FIDELITY	FIDELITY ADV ASSET MANAGER 40 A	A	LOAD	OPEN	1600	0	0
FFNCX	FIDELITY	FIDELITY ADV ASSET MANAGER 40 C	C	LOAD	OPEN	1600	0	0
FFNIX	FIDELITY	FIDELITY ADV ASSET MANAGER 40 I	I	NO LOAD	OPEN	1600	0	0
FFNTX	FIDELITY	FIDELITY ADV ASSET MANAGER 40 PCT CL M	M	LOAD	OPEN	1600	0	0
FFAMX	FIDELITY	FIDELITY ADV ASSET MANAGER 50 A	A	LOAD	OPEN	1600	0	0
FFCMX	FIDELITY	FIDELITY ADV ASSET MANAGER 50 C	C	LOAD	OPEN	1600	0	0
FFIMX	FIDELITY	FIDELITY ADV ASSET MANAGER 50 I	I	NO LOAD	OPEN	1600	0	0
FFTMX	FIDELITY	FIDELITY ADV ASSET MANAGER 50 PCT CL M	M	LOAD	OPEN	1600	0	0
FSAAX	FIDELITY	FIDELITY ADV ASSET MANAGER 60 A	A	LOAD	OPEN	1600	0	0
FSCNX	FIDELITY	FIDELITY ADV ASSET MANAGER 60 C	C	LOAD	OPEN	1600	0	0
FSNIX	FIDELITY	FIDELITY ADV ASSET MANAGER 60 I	I	NO LOAD	OPEN	1600	0	0
FSATX	FIDELITY	FIDELITY ADV ASSET MANAGER 60 PCT CL M	M	LOAD	OPEN	1600	0	0
FAASX	FIDELITY	FIDELITY ADV ASSET MANAGER 70 CL A	A	LOAD	OPEN	1600	0	0
FCASX	FIDELITY	FIDELITY ADV ASSET MANAGER 70 CL C	C	LOAD	OPEN	1600	0	0
FAAIX	FIDELITY	FIDELITY ADV ASSET MANAGER 70 CL I	I	NO LOAD	OPEN	1600	0	0
FTASX	FIDELITY	FIDELITY ADV ASSET MANAGER 70 PCT CL M	M	LOAD	OPEN	1600	0	0
FEYCX	FIDELITY	FIDELITY ADV ASSET MANAGER 85 C	C	LOAD	OPEN	1600	0	0
FEYAX	FIDELITY	FIDELITY ADV ASSET MANAGER 85 FD CL A	A	LOAD	OPEN	1600	0	0
FEYIX	FIDELITY	FIDELITY ADV ASSET MANAGER 85 PCT CL I	I	NO LOAD	OPEN	1600	0	0
FEYTX	FIDELITY	FIDELITY ADV ASSET MANAGER 85 PCT CL M	M	LOAD	OPEN	1600	0	0
FABLX	FIDELITY	FIDELITY ADV BALANCED A	A	LOAD	OPEN	1600	0	0
FAIGX	FIDELITY	FIDELITY ADV BALANCED FD CL M	M	LOAD	OPEN	1600	0	0
FZAAX	FIDELITY	FIDELITY ADV BALANCED FD CL Z	Z	NO LOAD	OPEN	1600	0	0
FAIOX	FIDELITY	FIDELITY ADV BALANCED FUND CLASS I	I	NO LOAD	OPEN	1600	0	0
FBTAX	FIDELITY	FIDELITY ADV BIOTECH A	A	LOAD	OPEN	1600	0	0
FBTIX	FIDELITY	FIDELITY ADV BIOTECH I	I	NO LOAD	OPEN	1600	0	0
FCMAX	FIDELITY	FIDELITY ADV CA MUNI INC A	A	LOAD	OPEN	1600	0	0
FCMTX	FIDELITY	FIDELITY ADV CA MUNI INC CL M	M	LOAD	OPEN	1600	0	0
FCMQX	FIDELITY	FIDELITY ADV CA MUNIINC I	I	NO LOAD	OPEN	1600	0	0
FACNX	FIDELITY	FIDELITY ADV CANADA A	A	LOAD	OPEN	1600	0	0
FCCNX	FIDELITY	FIDELITY ADV CANADA C	C	LOAD	OPEN	1600	0	0
FTCNX	FIDELITY	FIDELITY ADV CANADA CL M	M	LOAD	OPEN	1600	0	0
FICCX	FIDELITY	FIDELITY ADV CANADA I	I	NO LOAD	OPEN	1600	0	0
FDTZX	FIDELITY	FIDELITY ADV CAP DEVT FD CL M	M	LOAD	OPEN	1600	0	0
FDTTX	FIDELITY	FIDELITY ADV CAPITAL DEVELOPMENT A	A	LOAD	OPEN	1600	0	0
FDECX	FIDELITY	FIDELITY ADV CAPITAL DEVELOPMENT C	C	LOAD	OPEN	1600	0	0

SYMBOL	FUND FAMILY	FUND DESCRIPTION	SHARE CLASS	FUND TYPE	STATUS	CUT- OFF	IRA MIN	NON-IRA MIN
FDEIX	FIDELITY	FIDELITY ADV CAPITAL DEVELOPMENT FD I	I	NO LOAD	OPEN	1600	0	0
FDETX	FIDELITY	FIDELITY ADV CAPITAL DEVELOPMENT O	O	NO LOAD	REDEEM ONLY	1600	0	0
FHKAX	FIDELITY	FIDELITY ADV CHINA REGION A	A	LOAD	OPEN	1600	0	0
FCHKX	FIDELITY	FIDELITY ADV CHINA REGION C	C	LOAD	OPEN	1600	0	0
FHKIX	FIDELITY	FIDELITY ADV CHINA REGION FD CL I	I	NO LOAD	OPEN	1600	0	0
FHKTX	FIDELITY	FIDELITY ADV CHINA REGION FD CL M	M	LOAD WAIVED	OPEN	1600	0	0
FCNIX	FIDELITY	FIDELITY ADV CONSUMER DISCRETIONARY CL I	I	NO LOAD	OPEN	1600	0	0
FACPX	FIDELITY	FIDELITY ADV CONSUMER DISCRETIONARY CL M	M	LOAD	OPEN	1600	0	0
FCNAX	FIDELITY	FIDELITY ADV CONSUMER DISCRETIONARY FD CL A	A	LOAD	OPEN	1600	0	0
FCECX	FIDELITY	FIDELITY ADV CONSUMER DISCRETIONARY FD CL C	C	LOAD	OPEN	1600	0	0
FDAGX	FIDELITY	FIDELITY ADV CONSUMER STAPLES A	A	LOAD	OPEN	1600	0	0
FDCGX	FIDELITY	FIDELITY ADV CONSUMER STAPLES C	C	LOAD	OPEN	1600	0	0
FDTGX	FIDELITY	FIDELITY ADV CONSUMER STAPLES CL M	M	LOAD	OPEN	1600	0	0
FDIGX	FIDELITY	FIDELITY ADV CONSUMER STAPLES FD CL I	I	NO LOAD	OPEN	1600	0	0
FCCVX	FIDELITY	FIDELITY ADV CONV SECS C	C	LOAD	OPEN	1600	0	0
FTCVX	FIDELITY	FIDELITY ADV CONV SECS CL M	M	LOAD	OPEN	1600	0	0
FICVX	FIDELITY	FIDELITY ADV CONV SECS FD CL I	I	NO LOAD	OPEN	1600	0	0
FACVX	FIDELITY	FIDELITY ADV CONV SECURITIES FD CL A	A	LOAD	OPEN	1600	0	0
FCCCX	FIDELITY	FIDELITY ADV CORPORATE BD C	C	LOAD	OPEN	1600	0	0
FCBAX	FIDELITY	FIDELITY ADV CORPORATE BD FD CL A	A	LOAD	OPEN	1600	0	0
FCBIX	FIDELITY	FIDELITY ADV CORPORATE BD FD CL I	I	NO LOAD	OPEN	1600	0	0
FCBTX	FIDELITY	FIDELITY ADV CORPORATE BD FD CL M	M	LOAD	OPEN	1600	0	0
FADAX	FIDELITY	FIDELITY ADV DIV GROWTH FUND CL A	A	LOAD	OPEN	1600	0	0
FDVIX	FIDELITY	FIDELITY ADV DIVERSIFIED INTERNATIONAL CL I	I	NO LOAD	OPEN	1600	0	0
FDVAX	FIDELITY	FIDELITY ADV DIVERSIFIED INTERNATIONAL FUND CL A	A	LOAD	OPEN	1600	0	0
FADIX	FIDELITY	FIDELITY ADV DIVERSIFIED INTL CL M	M	LOAD	OPEN	1600	0	0
FZABX	FIDELITY	FIDELITY ADV DIVERSIFIED INTL FD CL Z	Z	NO LOAD	OPEN	1600	0	0
FDOX	FIDELITY	FIDELITY ADV DIVERSIFIED STK A	A	LOAD	OPEN	1600	0	0
FOTCX	FIDELITY	FIDELITY ADV DIVERSIFIED STK C	C	LOAD	OPEN	1600	0	0
FOTIX	FIDELITY	FIDELITY ADV DIVERSIFIED STK I	I	NO LOAD	OPEN	1600	0	0
FOTEX	FIDELITY	FIDELITY ADV DIVERSIFIED STOCK FD CL M	M	LOAD	OPEN	1600	0	0
FDGTX	FIDELITY	FIDELITY ADV DIVIDEND GROWTH CL M	M	LOAD	OPEN	1600	0	0
FDGIX	FIDELITY	FIDELITY ADV DIVIDEND GROWTH FUND CL I	I	NO LOAD	OPEN	1600	0	0
FEAAX	FIDELITY	FIDELITY ADV EMERGING ASIA FD CL A	A	LOAD	OPEN	1600	0	0
FEATX	FIDELITY	FIDELITY ADV EMRG ASIA CL M	M	LOAD	OPEN	1600	0	0
FERIX	FIDELITY	FIDELITY ADV EMRG ASIA INST	I	NO LOAD	OPEN	1600	0	0
FEDAX	FIDELITY	FIDELITY ADV EMRG MKTS DISCOVERY CL A	A	LOAD	OPEN	1600	0	0
FEDGX	FIDELITY	FIDELITY ADV EMRG MKTS DISCOVERY CL C	C	LOAD	OPEN	1600	0	0
FEDIX	FIDELITY	FIDELITY ADV EMRG MKTS DISCOVERY CL I	I	NO LOAD	OPEN	1600	0	0
FEDTX	FIDELITY	FIDELITY ADV EMRG MKTS DISCOVERY CL M	M	LOAD	OPEN	1600	0	0
FANIX	FIDELITY	FIDELITY ADV ENERGY CL I	I	NO LOAD	OPEN	1600	0	0

SYMBOL	FUND FAMILY	FUND DESCRIPTION	SHARE CLASS	FUND TYPE	STATUS	CUT- OFF	IRA MIN	NON-IRA MIN
FZAFX	FIDELITY	FIDELITY ADV EQTY GROWTH FD CL Z	Z	NO LOAD	OPEN	1600	0	0
FEIAX	FIDELITY	FIDELITY ADV EQTY INCOME FUND CL A	A	LOAD	OPEN	1600	0	0
EQPIX	FIDELITY	FIDELITY ADV EQTY INCOME FUND CLASS I	I	NO LOAD	OPEN	1600	0	0
FAVAX	FIDELITY	FIDELITY ADV EQTY VALUE A	A	LOAD	OPEN	1600	0	0
FAIVX	FIDELITY	FIDELITY ADV EQTY VALUE I	I	NO LOAD	OPEN	1600	0	0
EPGAX	FIDELITY	FIDELITY ADV EQUITY GROWTH CL A	A	LOAD	OPEN	1600	0	0
EQPGX	FIDELITY	FIDELITY ADV EQUITY GROWTH CL I	I	NO LOAD	OPEN	1600	0	0
FAEGX	FIDELITY	FIDELITY ADV EQUITY GROWTH CL M	M	LOAD	OPEN	1600	0	0
FEIRX	FIDELITY	FIDELITY ADV EQUITY INCOME CL M	M	LOAD	OPEN	1600	0	0
FAVTX	FIDELITY	FIDELITY ADV EQUITY VALUE FUND CL M	M	LOAD	OPEN	1600	0	0
FHJUX	FIDELITY	FIDELITY ADV EURO FD A	A	LOAD	OPEN	1600	0	0
FHJTX	FIDELITY	FIDELITY ADV EURO FD C	C	LOAD	OPEN	1600	0	0
FHJVX	FIDELITY	FIDELITY ADV EURO FD CL M	M	LOAD	OPEN	1600	0	0
FHJMX	FIDELITY	FIDELITY ADV EURO FD I	I	NO LOAD	OPEN	1600	0	0
FFRAX	FIDELITY	FIDELITY ADV FLOAT RATE HI INC A	A	LOAD	OPEN	1600	0	0
FFRHX	FIDELITY	FIDELITY ADV FLOATING RATE HIGH INCM FD	NO LOAD	NO LOAD	OPEN	1600	0	0
FIQSX	FIDELITY	FIDELITY ADV FLOATING RATE HIGH INCM Z	Z	NO LOAD	OPEN	1600	0	0
FFRIX	FIDELITY	FIDELITY ADV FLOATING RATE HIGH INCOME FD CL I	I	NO LOAD	OPEN	1600	0	0
FFRTX	FIDELITY	FIDELITY ADV FLOATING RATE HIGH INCOME FD CL M	M	LOAD	OPEN	1600	0	0
FACFX	FIDELITY	FIDELITY ADV FREEDOM 2010 A	A	LOAD	OPEN	1600	0	0
FCFTX	FIDELITY	FIDELITY ADV FREEDOM 2010 FUND CL M	M	LOAD	OPEN	1600	0	0
FCIFX	FIDELITY	FIDELITY ADV FREEDOM 2010 I	I	NO LOAD	OPEN	1600	0	0
FFVAX	FIDELITY	FIDELITY ADV FREEDOM 2015 A	A	LOAD	OPEN	1600	0	0
FFVTX	FIDELITY	FIDELITY ADV FREEDOM 2015 FUND CL M	M	LOAD	OPEN	1600	0	0
FFVIX	FIDELITY	FIDELITY ADV FREEDOM 2015 I	I	NO LOAD	OPEN	1600	0	0
FDAFX	FIDELITY	FIDELITY ADV FREEDOM 2020 A	A	LOAD	OPEN	1600	0	0
FDTFX	FIDELITY	FIDELITY ADV FREEDOM 2020 FUND CL M	M	LOAD	OPEN	1600	0	0
FDIFX	FIDELITY	FIDELITY ADV FREEDOM 2020 I	I	NO LOAD	OPEN	1600	0	0
FATWX	FIDELITY	FIDELITY ADV FREEDOM 2025 A	A	LOAD	OPEN	1600	0	0
FTTWX	FIDELITY	FIDELITY ADV FREEDOM 2025 FUND CL M	M	LOAD	OPEN	1600	0	0
FITWX	FIDELITY	FIDELITY ADV FREEDOM 2025 I	I	NO LOAD	OPEN	1600	0	0
FAFEX	FIDELITY	FIDELITY ADV FREEDOM 2030 A	A	LOAD	OPEN	1600	0	0
FTFEX	FIDELITY	FIDELITY ADV FREEDOM 2030 FUND CL M	M	LOAD	OPEN	1600	0	0
FEFIX	FIDELITY	FIDELITY ADV FREEDOM 2030 I	I	NO LOAD	OPEN	1600	0	0
FATHX	FIDELITY	FIDELITY ADV FREEDOM 2035 A	A	LOAD	OPEN	1600	0	0
FTTHX	FIDELITY	FIDELITY ADV FREEDOM 2035 FUND CL M	M	LOAD	OPEN	1600	0	0
FITHX	FIDELITY	FIDELITY ADV FREEDOM 2035 I	I	NO LOAD	OPEN	1600	0	0
FAFFX	FIDELITY	FIDELITY ADV FREEDOM 2040 A	A	LOAD	OPEN	1600	0	0
FTFFX	FIDELITY	FIDELITY ADV FREEDOM 2040 FUND CL M	M	LOAD	OPEN	1600	0	0
FIFFX	FIDELITY	FIDELITY ADV FREEDOM 2040 I	I	NO LOAD	OPEN	1600	0	0
FFFJX	FIDELITY	FIDELITY ADV FREEDOM 2045 C	C	LOAD	OPEN	1600	0	0

SYMBOL	FUND FAMILY	FUND DESCRIPTION	SHARE CLASS	FUND TYPE	STATUS	CUT- OFF	IRA MIN	NON-IRA MIN
FFFTX	FIDELITY	FIDELITY ADV FREEDOM 2045 FD CL M	M	LOAD	OPEN	1600	0	0
FFFIX	FIDELITY	FIDELITY ADV FREEDOM 2045 I	I	NO LOAD	OPEN	1600	0	0
FFFLX	FIDELITY	FIDELITY ADV FREEDOM 2050 A	A	LOAD	OPEN	1600	0	0
FFFYX	FIDELITY	FIDELITY ADV FREEDOM 2050 C	C	LOAD	OPEN	1600	0	0
FFFQX	FIDELITY	FIDELITY ADV FREEDOM 2050 FD CL M	M	LOAD	OPEN	1600	0	0
FFFPX	FIDELITY	FIDELITY ADV FREEDOM 2050 I	I	NO LOAD	OPEN	1600	0	0
FHFAX	FIDELITY	FIDELITY ADV FREEDOM 2055 FD CL A	A	LOAD	OPEN	1600	0	0
FHFIX	FIDELITY	FIDELITY ADV FREEDOM 2055 FD CL I	I	NO LOAD	OPEN	1600	0	0
FHFTX	FIDELITY	FIDELITY ADV FREEDOM 2055 FD CL M	M	LOAD WAIVED	OPEN	1600	0	0
FDKPX	FIDELITY	FIDELITY ADV FREEDOM 2060 FD CL A	A	LOAD	OPEN	1600	0	0
FDKQX	FIDELITY	FIDELITY ADV FREEDOM 2060 FD CL I	I	NO LOAD	OPEN	1600	0	0
FHGDY	FIDELITY	FIDELITY ADV FREEDOM BLEND 2035 CL I	I	NO LOAD	OPEN	1600	0	0
FAFAX	FIDELITY	FIDELITY ADV FREEDOM INC A	A	LOAD	OPEN	1600	0	0
FIAFX	FIDELITY	FIDELITY ADV FREEDOM INC I	I	NO LOAD	OPEN	1600	0	0
FTAFX	FIDELITY	FIDELITY ADV FREEDOM INCOME FUND CL M	M	LOAD	OPEN	1600	0	0
FGEAX	FIDELITY	FIDELITY ADV GLOBAL CAP APP A	A	LOAD	OPEN	1600	0	0
FEUIX	FIDELITY	FIDELITY ADV GLOBAL CAP APP I	I	NO LOAD	OPEN	1600	0	0
FEUCX	FIDELITY	FIDELITY ADV GLOBAL CAP APPR FD CL C	C	LOAD	OPEN	1600	0	0
FGETX	FIDELITY	FIDELITY ADV GLOBAL CAP APPR FD CL M	M	LOAD	OPEN	1600	0	0
FFGTX	FIDELITY	FIDELITY ADV GLOBAL COMMODITY STK CL M	M	LOAD	OPEN	1600	0	0
FFGAX	FIDELITY	FIDELITY ADV GLOBAL COMMODITY STK FD CL A	A	LOAD	OPEN	1600	0	0
FFGIX	FIDELITY	FIDELITY ADV GLOBAL COMMODITY STK I	I	NO LOAD	OPEN	1600	0	0
FCGCX	FIDELITY	FIDELITY ADV GLOBAL COMMODITY STOCK C	C	LOAD	OPEN	1600	0	0
FBLYX	FIDELITY	FIDELITY ADV GLOBAL EQTY INCM A	A	LOAD	OPEN	1600	2500	2500
FGTNX	FIDELITY	FIDELITY ADV GLOBAL EQTY INCM C	C	LOAD	OPEN	1600	0	0
FGABX	FIDELITY	FIDELITY ADV GLOBAL EQTY INCM CL M	M	LOAD	OPEN	1600	0	0
FGDAX	FIDELITY	FIDELITY ADV GOLD A	A	LOAD	OPEN	1600	0	0
FGDCX	FIDELITY	FIDELITY ADV GOLD C	C	LOAD	OPEN	1600	0	0
FGDTX	FIDELITY	FIDELITY ADV GOLD CL M	M	LOAD	OPEN	1600	0	0
FGDIX	FIDELITY	FIDELITY ADV GOLD FD CL I	I	NO LOAD	OPEN	1600	0	0
FVIAX	FIDELITY	FIDELITY ADV GOVT INCM A	A	LOAD	OPEN	1600	0	0
FVITX	FIDELITY	FIDELITY ADV GOVT INCM CL M	M	LOAD	OPEN	1600	0	0
FVIIX	FIDELITY	FIDELITY ADV GOVT INCM FD CL I	I	NO LOAD	OPEN	1600	0	0
FVICX	FIDELITY	FIDELITY ADV GOVT INCOMEFD CL C	C	LOAD	OPEN	1600	0	0
FGIRX	FIDELITY	FIDELITY ADV GROWTH & INCOME FUND CL A	A	LOAD	OPEN	1600	0	0
FGIOX	FIDELITY	FIDELITY ADV GROWTH & INCOME FUND CLASS I	I	NO LOAD	OPEN	1600	0	0
FGITX	FIDELITY	FIDELITY ADV GROWTH INCOME CL M	M	LOAD	OPEN	1600	0	0
FAGAX	FIDELITY	FIDELITY ADV GROWTH OPPORTUNITIES CL A	A	LOAD	OPEN	1600	0	0
FAGCX	FIDELITY	FIDELITY ADV GROWTH OPPORTUNITIES CL I	I	NO LOAD	OPEN	1600	0	0
FAGOX	FIDELITY	FIDELITY ADV GROWTH OPPORTUNITIES CL M	M	LOAD	OPEN	1600	0	0
FZAHX	FIDELITY	FIDELITY ADV GROWTH OPPTY FD CL Z	Z	NO LOAD	OPEN	1600	0	0

SYMBOL	FUND FAMILY	FUND DESCRIPTION	SHARE CLASS	FUND TYPE	STATUS	CUT- OFF	IRA MIN	NON-IRA MIN
FHCIX	FIDELITY	FIDELITY ADV HEALTH CARE CLASS I FOCUS	I	NO LOAD	OPEN	1600	0	0
FIKCX	FIDELITY	FIDELITY ADV HEALTH CARE FD CL Z	Z	NO LOAD	OPEN	1600	0	0
FACTX	FIDELITY	FIDELITY ADV HEALTH CARE FUND CL M	M	LOAD	OPEN	1600	0	0
FAHDX	FIDELITY	FIDELITY ADV HIGH INC ADVANT A	A	LOAD	OPEN	1600	0	0
FAHYX	FIDELITY	FIDELITY ADV HIGH INCM AVANTAGE FUND CL M	M	LOAD	OPEN	1600	0	0
FAHCX	FIDELITY	FIDELITY ADV HIGH INCOME ADVANTAGE FD CL I	I	NO LOAD	OPEN	1600	0	0
FGQMX	FIDELITY	FIDELITY ADV HIGH INCOME FD CL A	A	LOAD	OPEN	1600	0	0
FGSMX	FIDELITY	FIDELITY ADV HIGH INCOME FD CL C	C	LOAD	OPEN	1600	0	0
FGTMX	FIDELITY	FIDELITY ADV HIGH INCOME FD CL I	I	NO LOAD	OPEN	1600	0	0
FGRMX	FIDELITY	FIDELITY ADV HIGH INCOME FD CL M	M	LOAD	OPEN	1600	0	0
FCLAX	FIDELITY	FIDELITY ADV INDUSTRIALS A	A	LOAD	OPEN	1600	0	0
FCLIX	FIDELITY	FIDELITY ADV INDUSTRIALS CL I	I	NO LOAD	OPEN	1600	0	0
FCLTX	FIDELITY	FIDELITY ADV INDUSTRIALS CL M	M	LOAD	OPEN	1600	0	0
FZIAX	FIDELITY	FIDELITY ADV INT MUNI INC A	A	LOAD	OPEN	1600	0	0
FZITX	FIDELITY	FIDELITY ADV INT MUNI INC CL M	M	LOAD	OPEN	1600	0	0
FZICX	FIDELITY	FIDELITY ADV INT MUNI INC FD CL C	C	LOAD	OPEN	1600	0	0
FZIIX	FIDELITY	FIDELITY ADV INT MUNI INC I	I	NO LOAD	OPEN	1600	0	0
FIQZX	FIDELITY	FIDELITY ADV INTER MUNI INCM FD CL Z	Z	NO LOAD	OPEN	1600	0	0
FIDZX	FIDELITY	FIDELITY ADV INTL CAP APPR FD CL Z	Z	NO LOAD	OPEN	1600	0	0
FCPIX	FIDELITY	FIDELITY ADV INTL CAP APPRECIATION CL I	I	NO LOAD	OPEN	1600	0	0
FCPAX	FIDELITY	FIDELITY ADV INTL CAP APPRECIATION FUND CL A	A	LOAD	OPEN	1600	0	0
FIATX	FIDELITY	FIDELITY ADV INTL CAPITAL APPRECIATION CL M	M	LOAD	OPEN	1600	0	0
FAIDX	FIDELITY	FIDELITY ADV INTL DISCOVERY A	A	LOAD	OPEN	1600	0	0
FCADX	FIDELITY	FIDELITY ADV INTL DISCOVERY FD CL C	C	LOAD	OPEN	1600	0	0
FIADX	FIDELITY	FIDELITY ADV INTL DISCOVERY I	I	NO LOAD	OPEN	1600	0	0
FIAGX	FIDELITY	FIDELITY ADV INTL GROWTH A	A	LOAD	OPEN	1600	0	0
FIGCX	FIDELITY	FIDELITY ADV INTL GROWTH C	C	LOAD	OPEN	1600	0	0
FITGX	FIDELITY	FIDELITY ADV INTL GROWTH CL M	M	LOAD	OPEN	1600	0	0
FIIIX	FIDELITY	FIDELITY ADV INTL GROWTH FD CL I	I	NO LOAD	OPEN	1600	0	0
FZAJX	FIDELITY	FIDELITY ADV INTL GROWTH FD CL Z	Z	NO LOAD	OPEN	1600	0	0
FIRAX	FIDELITY	FIDELITY ADV INTL REAL ESTATE A	A	LOAD	OPEN	1600	0	0
FIRCX	FIDELITY	FIDELITY ADV INTL REAL ESTATE C	C	LOAD	OPEN	1600	0	0
FIRTX	FIDELITY	FIDELITY ADV INTL REAL ESTATE CL M	M	LOAD	OPEN	1600	0	0
FOPAX	FIDELITY	FIDELITY ADV INTL SM CAP OPP A	A	LOAD	OPEN	1600	0	0
FIASX	FIDELITY	FIDELITY ADV INTL SMALL CAP A	A	LOAD	OPEN	1600	0	0
FIXIX	FIDELITY	FIDELITY ADV INTL SMALL CAP FD CL I	I	NO LOAD	OPEN	1600	0	0
FTISX	FIDELITY	FIDELITY ADV INTL SMALL CAP FUND CL M	M	LOAD	OPEN	1600	0	0
FOPCX	FIDELITY	FIDELITY ADV INTL SMALL CAP OPPORTUNITY FD CL C	C	LOAD	SUBS ONLY	1600	0	0
FOPIX	FIDELITY	FIDELITY ADV INTL SMALL CAP OPPTY FD I	I	NO LOAD	OPEN	1600	0	0
FIQJX	FIDELITY	FIDELITY ADV INTL SMALL CAP OPPTY FD Z	Z	NO LOAD	OPEN	1600	0	0
FIVOX	FIDELITY	FIDELITY ADV INTL VALUE C	C	LOAD	OPEN	1600	0	0

SYMBOL	FUND FAMILY	FUND DESCRIPTION	SHARE CLASS	FUND TYPE	STATUS	CUT-OFF	IRA MIN	NON-IRA MIN
FIVMX	FIDELITY	FIDELITY ADV INTL VALUE FD CL A	A	LOAD	OPEN	1600	0	0
FIVQX	FIDELITY	FIDELITY ADV INTL VALUE FD CL I	I	NO LOAD	OPEN	1600	0	0
FIVPX	FIDELITY	FIDELITY ADV INTL VALUE FD CL M	M	LOAD	OPEN	1600	0	0
FGBAX	FIDELITY	FIDELITY ADV INVESTMENT GR BD A	A	LOAD	OPEN	1600	0	0
FGBPX	FIDELITY	FIDELITY ADV INVESTMENT GR BD I	I	NO LOAD	OPEN	1600	0	0
FGBTX	FIDELITY	FIDELITY ADV INVESTMENT GRADE BOND FD CL M	M	LOAD	OPEN	1600	0	0
FPJAX	FIDELITY	FIDELITY ADV JAPAN FD CL A	A	LOAD	OPEN	1600	0	0
FJPCX	FIDELITY	FIDELITY ADV JAPAN FD CL C	C	LOAD	OPEN	1600	0	0
FJPIX	FIDELITY	FIDELITY ADV JAPAN FD CL I	I	NO LOAD	OPEN	1600	0	0
FJPTX	FIDELITY	FIDELITY ADV JAPAN FD CL M	M	LOAD	OPEN	1600	0	0
FALAX	FIDELITY	FIDELITY ADV LARGE CAP A	A	LOAD	OPEN	1600	0	0
FALIX	FIDELITY	FIDELITY ADV LARGE CAP CLASS I	I	NO LOAD	OPEN	1600	0	0
FALGX	FIDELITY	FIDELITY ADV LARGE CAP FUND CL M	M	LOAD	OPEN	1600	0	0
FLSAX	FIDELITY	FIDELITY ADV LEVERAGED CO STK A	A	LOAD	OPEN	1600	2500	2500
FLVIX	FIDELITY	FIDELITY ADV LEVERAGED COMPANY STOCK FUND CL I	I	NO LOAD	OPEN	1600	0	0
FLSTX	FIDELITY	FIDELITY ADV LEVERAGED COMPANY STOCK FUND CL M	M	LOAD	OPEN	1600	0	0
FDIAX	FIDELITY	FIDELITY ADV LIMITED TERM BD FD CL A	A	LOAD	OPEN	1600	0	0
EFIPX	FIDELITY	FIDELITY ADV LIMITED TERM BD FD CL I	I	NO LOAD	OPEN	1600	0	0
FTBRX	FIDELITY	FIDELITY ADV LIMITED TERM BD FD CL M	M	LOAD	OPEN	1600	0	0
FNBCX	FIDELITY	FIDELITY ADV LIMITED TERM BOND FUND CL C	C	LOAD	OPEN	1600	0	0
FJRLX	FIDELITY	FIDELITY ADV LTD TERM BD FD	NO LOAD	NO LOAD	OPEN	1600	0	0
FASHX	FIDELITY	FIDELITY ADV LTD TERM MUNI INCM FD A	A	LOAD	OPEN	1600	0	0
FCSHX	FIDELITY	FIDELITY ADV LTD TERM MUNI INCM FD C	C	LOAD	OPEN	1600	0	0
FTSHX	FIDELITY	FIDELITY ADV LTD TERM MUNI INCM FD CL M	M	LOAD	OPEN	1600	0	0
FISHX	FIDELITY	FIDELITY ADV LTD TERM MUNI INCM FD I	I	NO LOAD	OPEN	1600	0	0
FMFAX	FIDELITY	FIDELITY ADV MATERIALS A	A	LOAD	OPEN	1600	0	0
FMFCX	FIDELITY	FIDELITY ADV MATERIALS C	C	LOAD	OPEN	1600	0	0
FMFTX	FIDELITY	FIDELITY ADV MATERIALS CL M	M	LOAD	OPEN	1600	0	0
FMFEX	FIDELITY	FIDELITY ADV MATERIALS FD CL I	I	NO LOAD	OPEN	1600	0	0
FGTAX	FIDELITY	FIDELITY ADV MEGA CAP STK A	A	LOAD	OPEN	1600	0	0
FGRCX	FIDELITY	FIDELITY ADV MEGA CAP STK C	C	LOAD	OPEN	1600	0	0
FTRIX	FIDELITY	FIDELITY ADV MEGA CAP STK FD CL I	I	NO LOAD	OPEN	1600	0	0
FTGRX	FIDELITY	FIDELITY ADV MEGA CAP STOCK FUND CL M	M	LOAD	OPEN	1600	0	0
FIIAX	FIDELITY	FIDELITY ADV MID CAP II A	A	LOAD	OPEN	1600	0	0
FITIX	FIDELITY	FIDELITY ADV MID CAP II FUND CL M	M	LOAD	OPEN	1600	0	0
FIIMX	FIDELITY	FIDELITY ADV MID CAP II I	I	NO LOAD	OPEN	1600	0	0
FMPAX	FIDELITY	FIDELITY ADV MID CAP VALUE A	A	LOAD	OPEN	1600	0	0
FMPEX	FIDELITY	FIDELITY ADV MID CAP VALUE C	C	LOAD	OPEN	1600	0	0
FMPOX	FIDELITY	FIDELITY ADV MID CAP VALUE FD CL I	I	NO LOAD	OPEN	1600	0	0
FMPTX	FIDELITY	FIDELITY ADV MID CAP VALUE FD CL M	M	LOAD	OPEN	1600	0	0
FMGAX	FIDELITY	FIDELITY ADV MORTGAGE SECS A	A	LOAD	OPEN	1600	0	0

SYMBOL	FUND FAMILY	FUND DESCRIPTION	SHARE CLASS	FUND TYPE	STATUS	CUT- OFF	IRA MIN	NON-IRA MIN
FMSCX	FIDELITY	FIDELITY ADV MORTGAGE SECS FD CL I	I	NO LOAD	OPEN	1600	0	0
FMSFX	FIDELITY	FIDELITY ADV MORTGAGE SECURITIES FD	NO LOAD	NO LOAD	OPEN	1600	0	0
FMSAX	FIDELITY	FIDELITY ADV MORTGAGE SECURITIES FUND CL M	M	LOAD	OPEN	1600	0	0
FWATX	FIDELITY	FIDELITY ADV MULTI ASSET INCM A	A	LOAD	OPEN	1600	0	0
FMSDX	FIDELITY	FIDELITY ADV MULTI ASSET INCM FD	NO LOAD	NO LOAD	OPEN	1600	0	0
FAMYX	FIDELITY	FIDELITY ADV MUNI INCM 2025 FD CL I	I	NO LOAD	REDEEM ONLY	1600	0	0
FHUGX	FIDELITY	FIDELITY ADV MUNI INCM FD A	A	LOAD	OPEN	1600	0	0
FKISX	FIDELITY	FIDELITY ADV MUNI INCM FD C	C	LOAD	OPEN	1600	0	0
FROGX	FIDELITY	FIDELITY ADV MUNI INCM FD I	I	NO LOAD	OPEN	1600	0	0
FLUVX	FIDELITY	FIDELITY ADV MUNI INCM FD M	M	LOAD	OPEN	1600	0	0
FZANX	FIDELITY	FIDELITY ADV NEW INSIGHTS FD CL Z	Z	NO LOAD	OPEN	1600	0	0
FNIAX	FIDELITY	FIDELITY ADV NEW INSIGHTS FUND CL A	A	LOAD	OPEN	1600	0	0
FINSX	FIDELITY	FIDELITY ADV NEW INSIGHTS FUND CL I	I	NO LOAD	OPEN	1600	0	0
FNITX	FIDELITY	FIDELITY ADV NEW INSIGHTS FUND CL M	M	LOAD	OPEN	1600	0	0
FGVMX	FIDELITY	FIDELITY ADV NEW MARKETS INCOME A	A	LOAD	OPEN	1600	0	0
FGYMX	FIDELITY	FIDELITY ADV NEW MARKETS INCOME C	C	LOAD	OPEN	1600	0	0
FGZMX	FIDELITY	FIDELITY ADV NEW MARKETS INCOME I	I	NO LOAD	OPEN	1600	0	0
FGWMX	FIDELITY	FIDELITY ADV NEW MARKETS INCOME M	M	LOAD	OPEN	1600	0	0
FGBMX	FIDELITY	FIDELITY ADV NEW MARKETS INCOME Z	Z	NO LOAD	OPEN	1600	0	0
FNMAX	FIDELITY	FIDELITY ADV NY MUNI INC A	A	LOAD	OPEN	1600	0	0
FNYPX	FIDELITY	FIDELITY ADV NY MUNI INC CL M	M	LOAD	OPEN	1600	0	0
FEMIX	FIDELITY	FIDELITY ADV NY MUNI INC I	I	NO LOAD	OPEN	1600	0	0
FAERX	FIDELITY	FIDELITY ADV OVERSEAS CL M	M	LOAD	OPEN	1600	0	0
FAOIX	FIDELITY	FIDELITY ADV OVERSEAS CLASS I	I	NO LOAD	OPEN	1600	0	0
FAOAX	FIDELITY	FIDELITY ADV OVERSEAS FD CL A	A	LOAD	OPEN	1600	0	0
FAOSX	FIDELITY	FIDELITY ADV OVERSEAS FD CL Z	Z	NO LOAD	OPEN	1600	0	0
FHEAX	FIDELITY	FIDELITY ADV REAL ESTATE A	A	LOAD	OPEN	1600	0	0
FHEIX	FIDELITY	FIDELITY ADV REAL ESTATE FD CL I	I	NO LOAD	OPEN	1600	0	0
FHETX	FIDELITY	FIDELITY ADV REAL ESTATE FUND CL M	M	LOAD	OPEN	1600	0	0
FRINX	FIDELITY	FIDELITY ADV REAL ESTATE INCM FD A	A	LOAD	OPEN	1600	0	0
FRIOX	FIDELITY	FIDELITY ADV REAL ESTATE INCOME FD C	C	LOAD	OPEN	1600	0	0
FRIRX	FIDELITY	FIDELITY ADV REAL ESTATE INCOME FD CL I	I	NO LOAD	OPEN	1600	0	0
FRIQX	FIDELITY	FIDELITY ADV REAL ESTATE INCOME FD CL M	M	LOAD	OPEN	1600	0	0
FIKMX	FIDELITY	FIDELITY ADV REAL ESTATE INCOME FD CL Z	Z	NO LOAD	OPEN	1600	0	0
FCDCX	FIDELITY	FIDELITY ADV SELECTOR SMALL CAP FD CL C	C	LOAD	OPEN	1600	0	0
FSBHX	FIDELITY	FIDELITY ADV SHORT DUR HIGH INCM A	A	LOAD	OPEN	1600	0	0
FBNAX	FIDELITY	FIDELITY ADV SHORT TERM BD A	A	LOAD	OPEN	1600	0	0
FANCX	FIDELITY	FIDELITY ADV SHORT TERM BD C	C	LOAD	OPEN	1600	0	0
FBNTX	FIDELITY	FIDELITY ADV SHORT TERM BD CL M	M	LOAD	OPEN	1600	0	0
FBNIX	FIDELITY	FIDELITY ADV SHORT TERM BD I	I	NO LOAD	OPEN	1600	0	0
FSCDX	FIDELITY	FIDELITY ADV SMALL CAP CL A	A	LOAD	OPEN	1600	0	0

SYMBOL	FUND FAMILY	FUND DESCRIPTION	SHARE CLASS	FUND TYPE	STATUS	CUT- OFF	IRA MIN	NON-IRA MIN
FSCTX	FIDELITY	FIDELITY ADV SMALL CAP CL M	M	LOAD	OPEN	1600	0	0
FSCIX	FIDELITY	FIDELITY ADV SMALL CAP FUND CL I	I	NO LOAD	OPEN	1600	0	0
FCAGX	FIDELITY	FIDELITY ADV SMALL CAP GROWTH A	A	LOAD	OPEN	1600	0	0
FCIGX	FIDELITY	FIDELITY ADV SMALL CAP GROWTH FD CL I	I	NO LOAD	OPEN	1600	0	0
FIDGX	FIDELITY	FIDELITY ADV SMALL CAP GROWTH FD CL Z	Z	NO LOAD	OPEN	1600	0	0
FCVAX	FIDELITY	FIDELITY ADV SMALL CAP VALUE A	A	LOAD	OPEN	1600	0	0
FIKNX	FIDELITY	FIDELITY ADV SMALL CAP VALUE FD CL Z	Z	NO LOAD	OPEN	1600	0	0
FMAMX	FIDELITY	FIDELITY ADV STK SELECTOR ALL CAP A	A	LOAD	OPEN	1600	0	0
FLACX	FIDELITY	FIDELITY ADV STK SELECTOR ALL CAP C	C	LOAD	OPEN	1600	0	0
FSJHX	FIDELITY	FIDELITY ADV STK SELECTOR ALL CAP CL M	M	LOAD	OPEN	1600	0	0
FBRNX	FIDELITY	FIDELITY ADV STK SELECTOR ALL CAP I	I	NO LOAD	OPEN	1600	0	0
FLUAX	FIDELITY	FIDELITY ADV STK SLCTR LG CAP VAL A	A	LOAD	OPEN	1600	0	0
FLUEX	FIDELITY	FIDELITY ADV STK SLCTR LG CAP VAL C	C	LOAD	OPEN	1600	0	0
FLUTX	FIDELITY	FIDELITY ADV STK SLCTR LG CAP VAL CL M	M	LOAD	OPEN	1600	0	0
FLUIX	FIDELITY	FIDELITY ADV STK SLCTR LG CAP VAL I	I	NO LOAD	OPEN	1600	0	0
FMCDX	FIDELITY	FIDELITY ADV STOCK SELECTOR MID CAP A	A	LOAD	OPEN	1600	0	0
FMCEX	FIDELITY	FIDELITY ADV STOCK SELECTOR MID CAP C	C	LOAD	OPEN	1600	0	0
FMCAx	FIDELITY	FIDELITY ADV STOCK SELECTOR MID CAP CL M	M	LOAD	OPEN	1600	0	0
FSSMX	FIDELITY	FIDELITY ADV STOCK SELECTOR MID CAP FD	NO LOAD	NO LOAD	OPEN	1600	0	0
FMCCX	FIDELITY	FIDELITY ADV STOCK SELECTOR MID CAP I	I	NO LOAD	OPEN	1600	0	0
FCDAX	FIDELITY	FIDELITY ADV STOCK SELECTOR SMALL CAP FD CL A	A	LOAD	OPEN	1600	0	0
FASDX	FIDELITY	FIDELITY ADV STRATEGIC DIV & INC A	A	LOAD	OPEN	1600	0	0
FSIDX	FIDELITY	FIDELITY ADV STRATEGIC DIV & INC I	I	NO LOAD	OPEN	1600	0	0
FTSDX	FIDELITY	FIDELITY ADV STRATEGIC DIV INC FUND CL M	M	LOAD	OPEN	1600	0	0
FSTAX	FIDELITY	FIDELITY ADV STRATEGIC INCM FD CL A	A	LOAD	OPEN	1600	0	0
FSIAX	FIDELITY	FIDELITY ADV STRATEGIC INCOME CL M	M	LOAD	OPEN	1600	0	0
FSRIX	FIDELITY	FIDELITY ADV STRATEGIC INCOME CLASS I	I	NO LOAD	OPEN	1600	0	0
FADMx	FIDELITY	FIDELITY ADV STRATEGIC INCOME FD	NO LOAD	NO LOAD	OPEN	1600	0	0
FCSRx	FIDELITY	FIDELITY ADV STRATEGIC REAL RETURN C	C	LOAD	OPEN	1600	0	0
FSRAX	FIDELITY	FIDELITY ADV STRATEGIC REAL RETURN FD A	A	LOAD	OPEN	1600	0	0
FSRTx	FIDELITY	FIDELITY ADV STRATEGIC REAL RETURN FD CL M	M	LOAD	OPEN	1600	0	0
FSIRx	FIDELITY	FIDELITY ADV STRATEGIC REAL RETURN I	I	NO LOAD	OPEN	1600	0	0
FATIX	FIDELITY	FIDELITY ADV TECH CLASS I FOCUS	I	NO LOAD	OPEN	1600	0	0
FADTX	FIDELITY	FIDELITY ADV TECHNOLOGY FD CL A	A	LOAD	OPEN	1600	0	0
FTUIX	FIDELITY	FIDELITY ADV TELECOM FD CL I	I	NO LOAD	OPEN	1600	0	0
FAUFx	FIDELITY	FIDELITY ADV TELECOM UTILITIES GROWTH FUND CL M	M	LOAD	OPEN	1600	0	0
FTUCx	FIDELITY	FIDELITY ADV TELECOMMUNICATIONS C	C	LOAD	OPEN	1600	0	0
FTUAX	FIDELITY	FIDELITY ADV TELECOMS A	A	LOAD	OPEN	1600	0	0
FTUTx	FIDELITY	FIDELITY ADV TELECOMS CL M	M	LOAD	OPEN	1600	0	0
FEPAX	FIDELITY	FIDELITY ADV TOTAL BD A	A	LOAD	OPEN	1600	0	0
FEPIX	FIDELITY	FIDELITY ADV TOTAL BD I	I	NO LOAD	OPEN	1600	0	0

SYMBOL	FUND FAMILY	FUND DESCRIPTION	SHARE CLASS	FUND TYPE	STATUS	CUT-OFF	IRA MIN	NON-IRA MIN
FBKWX	FIDELITY	FIDELITY ADV TOTAL BOND FD CL Z	Z	NO LOAD	OPEN	1600	0	0
FEPTX	FIDELITY	FIDELITY ADV TOTAL BOND FUND CL M	M	LOAD	OPEN	1600	0	0
FTAEX	FIDELITY	FIDELITY ADV TOTAL INTL EQTY A	A	LOAD	OPEN	1600	0	0
FTCEX	FIDELITY	FIDELITY ADV TOTAL INTL EQTY C	C	LOAD	OPEN	1600	0	0
FTTEX	FIDELITY	FIDELITY ADV TOTAL INTL EQTY CL M	M	LOAD	OPEN	1600	0	0
FTEIX	FIDELITY	FIDELITY ADV TOTAL INTL EQTY FD CL I	I	NO LOAD	OPEN	1600	0	0
FUGAX	FIDELITY	FIDELITY ADV UTILITIES CL A	A	LOAD	OPEN	1600	0	0
FUGIX	FIDELITY	FIDELITY ADV UTILITIES CL I	I	NO LOAD	OPEN	1600	0	0
FVIFX	FIDELITY	FIDELITY ADV VAL I	I	NO LOAD	OPEN	1600	0	0
FAVFX	FIDELITY	FIDELITY ADV VALUE A	A	LOAD	OPEN	1600	0	0
FVLAX	FIDELITY	FIDELITY ADV VALUE LEADERS A	A	LOAD	OPEN	1600	0	0
FVLTX	FIDELITY	FIDELITY ADV VALUE LEADERS FUND CL M	M	LOAD	OPEN	1600	0	0
FVLIX	FIDELITY	FIDELITY ADV VALUE LEADERS I	I	NO LOAD	OPEN	1600	0	0
FSOAX	FIDELITY	FIDELITY ADV VALUE STRATEGIES CL A	A	LOAD	OPEN	1600	0	0
FASPX	FIDELITY	FIDELITY ADV VALUE STRATEGIES CL M	M	LOAD	OPEN	1600	0	0
FSLSX	FIDELITY	FIDELITY ADV VALUE STRATEGIES FD	NO LOAD	NO LOAD	OPEN	1600	0	0
FASOX	FIDELITY	FIDELITY ADV VALUE STRATEGIES FUND CLASS I	I	NO LOAD	OPEN	1600	0	0
FWAFX	FIDELITY	FIDELITY ADV WORLDWIDE FD CL A	A	LOAD	OPEN	1600	0	0
FWCFX	FIDELITY	FIDELITY ADV WORLDWIDE FD CL C	C	LOAD	OPEN	1600	0	0
FWIFX	FIDELITY	FIDELITY ADV WORLDWIDE FD CL I	I	NO LOAD	OPEN	1600	0	0
FWTFX	FIDELITY	FIDELITY ADV WORLDWIDE FD CL M	M	LOAD	OPEN	1600	0	0
FIK VX	FIDELITY	FIDELITY ADVISOR ASSET MANAGER 20 Z	Z	NO LOAD	OPEN	1600	0	0
FIK WX	FIDELITY	FIDELITY ADVISOR ASSET MANAGER 30 Z	Z	NO LOAD	OPEN	1600	0	0
FIK YX	FIDELITY	FIDELITY ADVISOR ASSET MANAGER 40 Z	Z	NO LOAD	OPEN	1600	0	0
FIK ZX	FIDELITY	FIDELITY ADVISOR ASSET MANAGER 50 Z	Z	NO LOAD	OPEN	1600	0	0
FIQ AX	FIDELITY	FIDELITY ADVISOR ASSET MANAGER 60 Z	Z	NO LOAD	OPEN	1600	0	0
FIQ BX	FIDELITY	FIDELITY ADVISOR ASSET MANAGER 70 Z	Z	NO LOAD	OPEN	1600	0	0
FIQ CX	FIDELITY	FIDELITY ADVISOR ASSET MANAGER 85 Z	Z	NO LOAD	OPEN	1600	0	0
FABCX	FIDELITY	FIDELITY ADVISOR BALANCED CL C	C	LOAD	OPEN	1600	0	0
FBTCX	FIDELITY	FIDELITY ADVISOR BIOTECHNOLOGY CL C	C	LOAD	OPEN	1600	0	0
FBTTX	FIDELITY	FIDELITY ADVISOR BIOTECHNOLOGY CL M	M	LOAD	OPEN	1600	0	0
FIJ YX	FIDELITY	FIDELITY ADVISOR BIOTECHNOLOGY Z	Z	NO LOAD	OPEN	1600	0	0
FIJ BX	FIDELITY	FIDELITY ADVISOR CALIFORNIA MUNI INC Z	Z	NO LOAD	OPEN	1600	0	0
FCMKX	FIDELITY	FIDELITY ADVISOR CALIFORNIA MUNICIPAL INCOME CL C	C	LOAD	OPEN	1600	0	0
FIQ EX	FIDELITY	FIDELITY ADVISOR CANADA Z	Z	NO LOAD	OPEN	1600	0	0
FIQ FX	FIDELITY	FIDELITY ADVISOR CHINA REGION Z	Z	NO LOAD	OPEN	1600	0	0
FCL ZX	FIDELITY	FIDELITY ADVISOR CLIMATE ACTION Z	Z	NO LOAD	OPEN	1600	0	0
FCNQX	FIDELITY	FIDELITY ADVISOR CNSV INC BD A	A	LOAD	OPEN	1600	0	0
FGH MX	FIDELITY	FIDELITY ADVISOR COMMUNICATION SERVICES C	C	LOAD	OPEN	1600	0	0
FGD MX	FIDELITY	FIDELITY ADVISOR COMMUNICATION SVCS A	A	LOAD	OPEN	1600	0	0
FGJ MX	FIDELITY	FIDELITY ADVISOR COMMUNICATION SVCS I	I	NO LOAD	OPEN	1600	0	0

SYMBOL	FUND FAMILY	FUND DESCRIPTION	SHARE CLASS	FUND TYPE	STATUS	CUT- OFF	IRA MIN	NON-IRA MIN
FGEMX	FIDELITY	FIDELITY ADVISOR COMMUNICATION SVCS M	M	LOAD WAIVED	OPEN	1600	0	0
FGKMX	FIDELITY	FIDELITY ADVISOR COMMUNICATION SVCS Z	Z	NO LOAD	OPEN	1600	0	0
FIJZX	FIDELITY	FIDELITY ADVISOR CONSUMER DISCRET Z	Z	NO LOAD	OPEN	1600	0	0
FIJCX	FIDELITY	FIDELITY ADVISOR CONSUMER STAPLES Z	Z	NO LOAD	OPEN	1600	0	0
FIQVX	FIDELITY	FIDELITY ADVISOR CONVERTIBLE SECS Z	Z	NO LOAD	OPEN	1600	0	0
FIKOX	FIDELITY	FIDELITY ADVISOR CORPORATE BOND Z	Z	NO LOAD	OPEN	1600	0	0
FADCX	FIDELITY	FIDELITY ADVISOR DIVERSIFIED INTERNATIONAL CL C	C	LOAD	OPEN	1600	0	0
FDESX	FIDELITY	FIDELITY ADVISOR DIVERSIFIED STOCK FUND CL O	O	NO LOAD	REDEEM ONLY	1600	0	0
FZACX	FIDELITY	FIDELITY ADVISOR DIVERSIFIED STOCK Z	Z	NO LOAD	OPEN	1600	0	0
FDGCX	FIDELITY	FIDELITY ADVISOR DIVIDEND GROWTH CL C	C	LOAD	OPEN	1600	0	0
FZADX	FIDELITY	FIDELITY ADVISOR DIVIDEND GROWTH Z	Z	NO LOAD	OPEN	1600	0	0
FIQGX	FIDELITY	FIDELITY ADVISOR EMERG MKTS DISCV Z	Z	NO LOAD	OPEN	1600	0	0
FERCX	FIDELITY	FIDELITY ADVISOR EMERGING ASIA CL C	C	LOAD	OPEN	1600	0	0
FIQPX	FIDELITY	FIDELITY ADVISOR EMERGING ASIA Z	Z	NO LOAD	OPEN	1600	0	0
FZEMX	FIDELITY	FIDELITY ADVISOR EMERGING MARKETS Z	Z	NO LOAD	OPEN	1600	0	0
FECMX	FIDELITY	FIDELITY ADVISOR EMERGING MKTS I	I	NO LOAD	OPEN	1600	0	0
FEQMX	FIDELITY	FIDELITY ADVISOR EMERGING MKTS M	M	LOAD	OPEN	1600	0	0
FANAX	FIDELITY	FIDELITY ADVISOR ENERGY CL A	A	LOAD	OPEN	1600	0	0
FAGNX	FIDELITY	FIDELITY ADVISOR ENERGY CL M	M	LOAD	OPEN	1600	0	0
FIKAX	FIDELITY	FIDELITY ADVISOR ENERGY Z	Z	NO LOAD	OPEN	1600	0	0
FEBBX	FIDELITY	FIDELITY ADVISOR ENVIRONMENTAL BOND A	A	LOAD WAIVED	OPEN	1600	0	0
FEBFX	FIDELITY	FIDELITY ADVISOR ENVIRONMENTAL BOND I	I	NO LOAD	OPEN	1600	0	0
FEBGX	FIDELITY	FIDELITY ADVISOR ENVIRONMENTAL BOND Z	Z	NO LOAD	OPEN	1600	0	0
EPGCX	FIDELITY	FIDELITY ADVISOR EQUITY GROWTH CL C	C	LOAD	OPEN	1600	0	0
FEICX	FIDELITY	FIDELITY ADVISOR EQUITY INCOME CL C	C	LOAD	OPEN	1600	0	0
FZAGX	FIDELITY	FIDELITY ADVISOR EQUITY INCOME Z	Z	NO LOAD	OPEN	1600	0	0
FAVCX	FIDELITY	FIDELITY ADVISOR EQUITY VALUE CL C	C	LOAD	OPEN	1600	0	0
FAEVX	FIDELITY	FIDELITY ADVISOR EQUITY VALUE Z	Z	NO LOAD	OPEN	1600	0	0
FIQHX	FIDELITY	FIDELITY ADVISOR EUROPE Z	Z	NO LOAD	OPEN	1600	0	0
FAFDX	FIDELITY	FIDELITY ADVISOR FINANCIALS A	A	LOAD	OPEN	1600	0	0
FAFCX	FIDELITY	FIDELITY ADVISOR FINANCIALS C	C	LOAD	OPEN	1600	0	0
FFSIX	FIDELITY	FIDELITY ADVISOR FINANCIALS I	I	NO LOAD	OPEN	1600	0	0
FAFSX	FIDELITY	FIDELITY ADVISOR FINANCIALS M	M	LOAD	OPEN	1600	0	0
FIKBX	FIDELITY	FIDELITY ADVISOR FINANCIALS Z	Z	NO LOAD	OPEN	1600	0	0
FFRCX	FIDELITY	FIDELITY ADVISOR FLOATING RATE HIGH INCOME CL C	C	LOAD	OPEN	1600	0	0
FAMKX	FIDELITY	FIDELITY ADVISOR FOCUSED EMERGING MARKETS FD CL A	A	LOAD	OPEN	1600	0	0
FMCKX	FIDELITY	FIDELITY ADVISOR FOCUSED EMERGING MARKETS FD CL C	C	LOAD	OPEN	1600	0	0
FIMKX	FIDELITY	FIDELITY ADVISOR FOCUSED EMERGING MARKETS FD CL I	I	NO LOAD	OPEN	1600	0	0
FTMKX	FIDELITY	FIDELITY ADVISOR FOCUSED EMERGING MARKETS FD CL M	M	LOAD	OPEN	1600	0	0
FZAEX	FIDELITY	FIDELITY ADVISOR FOCUSED EMERGING MARKETS FD CL Z	Z	NO LOAD	OPEN	1600	0	0
FIFOX	FIDELITY	FIDELITY ADVISOR FOUNDERS A	A	LOAD WAIVED	OPEN	1600	0	0

SYMBOL	FUND FAMILY	FUND DESCRIPTION	SHARE CLASS	FUND TYPE	STATUS	CUT- OFF	IRA MIN	NON-IRA MIN
FIFPX	FIDELITY	FIDELITY ADVISOR FOUNDERS M	M	LOAD WAIVED	OPEN	1600	0	0
FIFWX	FIDELITY	FIDELITY ADVISOR FOUNDERS Z	Z	NO LOAD	OPEN	1600	0	0
FCFCX	FIDELITY	FIDELITY ADVISOR FREEDOM 2010 FUND CLASS C	C	LOAD	OPEN	1600	0	0
FUGLX	FIDELITY	FIDELITY ADVISOR FREEDOM 2010 K6	K6	NO LOAD	REDEEM ONLY	1600	100000	100000
FIJX	FIDELITY	FIDELITY ADVISOR FREEDOM 2010 Z	Z	NO LOAD	OPEN	1600	0	0
FFVCX	FIDELITY	FIDELITY ADVISOR FREEDOM 2015 FUND CLASS C	C	LOAD	OPEN	1600	0	0
FIJXX	FIDELITY	FIDELITY ADVISOR FREEDOM 2015 Z	Z	NO LOAD	OPEN	1600	0	0
FDCFX	FIDELITY	FIDELITY ADVISOR FREEDOM 2020 FUND CLASS C	C	LOAD	OPEN	1600	0	0
FOGLX	FIDELITY	FIDELITY ADVISOR FREEDOM 2020 K6	K6	NO LOAD	REDEEM ONLY	1600	100000	100000
FIJLX	FIDELITY	FIDELITY ADVISOR FREEDOM 2020 Z	Z	NO LOAD	OPEN	1600	0	0
FCTWX	FIDELITY	FIDELITY ADVISOR FREEDOM 2025 CLASS C	C	LOAD	OPEN	1600	0	0
FIJMX	FIDELITY	FIDELITY ADVISOR FREEDOM 2025 Z	Z	NO LOAD	OPEN	1600	0	0
FCFEX	FIDELITY	FIDELITY ADVISOR FREEDOM 2030 FD CL C	C	LOAD	OPEN	1600	0	0
FDGLX	FIDELITY	FIDELITY ADVISOR FREEDOM 2030 K6	K6	NO LOAD	REDEEM ONLY	1600	0	0
FIJNX	FIDELITY	FIDELITY ADVISOR FREEDOM 2030 Z	Z	NO LOAD	OPEN	1600	0	0
FCTHX	FIDELITY	FIDELITY ADVISOR FREEDOM 2035 CLASS C	C	LOAD	OPEN	1600	0	0
FIJOX	FIDELITY	FIDELITY ADVISOR FREEDOM 2035 Z	Z	NO LOAD	OPEN	1600	0	0
FCFFX	FIDELITY	FIDELITY ADVISOR FREEDOM 2040 FUND CLASS C	C	LOAD	OPEN	1600	0	0
FKGLX	FIDELITY	FIDELITY ADVISOR FREEDOM 2040 K6	K6	NO LOAD	REDEEM ONLY	1600	100000	100000
FIJPX	FIDELITY	FIDELITY ADVISOR FREEDOM 2040 Z	Z	NO LOAD	OPEN	1600	0	0
FIJQX	FIDELITY	FIDELITY ADVISOR FREEDOM 2045 Z	Z	NO LOAD	OPEN	1600	0	0
FIJRX	FIDELITY	FIDELITY ADVISOR FREEDOM 2050 Z	Z	NO LOAD	OPEN	1600	0	0
FHFCX	FIDELITY	FIDELITY ADVISOR FREEDOM 2055 C	C	LOAD	OPEN	1600	0	0
FIJSX	FIDELITY	FIDELITY ADVISOR FREEDOM 2055 Z	Z	NO LOAD	OPEN	1600	0	0
FDKSX	FIDELITY	FIDELITY ADVISOR FREEDOM 2060 C	C	LOAD	OPEN	1600	0	0
FDKTX	FIDELITY	FIDELITY ADVISOR FREEDOM 2060 M	M	LOAD WAIVED	OPEN	1600	0	0
FIJTX	FIDELITY	FIDELITY ADVISOR FREEDOM 2060 Z	Z	NO LOAD	OPEN	1600	0	0
PDFZX	FIDELITY	FIDELITY ADVISOR FREEDOM 2065 A	A	LOAD	OPEN	1600	0	0
PDFSX	FIDELITY	FIDELITY ADVISOR FREEDOM 2065 I	I	NO LOAD	OPEN	1600	0	0
PDFQX	FIDELITY	FIDELITY ADVISOR FREEDOM 2065 Z	Z	NO LOAD	OPEN	1600	0	0
FJAZX	FIDELITY	FIDELITY ADVISOR FREEDOM BLEND 2010 M	M	LOAD WAIVED	OPEN	1600	0	0
FJAVX	FIDELITY	FIDELITY ADVISOR FREEDOM BLEND 2010 Z	Z	NO LOAD	OPEN	1600	0	0
FJATX	FIDELITY	FIDELITY ADVISOR FREEDOM BLEND 2015 M	M	LOAD WAIVED	OPEN	1600	0	0
FJAPX	FIDELITY	FIDELITY ADVISOR FREEDOM BLEND 2015 Z	Z	NO LOAD	OPEN	1600	0	0
FJANX	FIDELITY	FIDELITY ADVISOR FREEDOM BLEND 2020 M	M	LOAD WAIVED	OPEN	1600	0	0
FJAIX	FIDELITY	FIDELITY ADVISOR FREEDOM BLEND 2020 Z	Z	NO LOAD	OPEN	1600	0	0
FJAGX	FIDELITY	FIDELITY ADVISOR FREEDOM BLEND 2025 M	M	LOAD WAIVED	OPEN	1600	0	0
FJABX	FIDELITY	FIDELITY ADVISOR FREEDOM BLEND 2025 Z	Z	NO LOAD	OPEN	1600	0	0
FTYJX	FIDELITY	FIDELITY ADVISOR FREEDOM BLEND 2030 M	M	LOAD WAIVED	OPEN	1600	0	0
FJLMX	FIDELITY	FIDELITY ADVISOR FREEDOM BLEND 2030 Z	Z	NO LOAD	OPEN	1600	0	0
FHBCX	FIDELITY	FIDELITY ADVISOR FREEDOM BLEND 2035 M	M	LOAD WAIVED	OPEN	1600	0	0

SYMBOL	FUND FAMILY	FUND DESCRIPTION	SHARE CLASS	FUND TYPE	STATUS	CUT- OFF	IRA MIN	NON-IRA MIN
FHSDX	FIDELITY	FIDELITY ADVISOR FREEDOM BLEND 2035 Z	Z	NO LOAD	OPEN	1600	0	0
FHDEX	FIDELITY	FIDELITY ADVISOR FREEDOM BLEND 2040 A	A	LOAD	OPEN	1600	0	0
FHOEX	FIDELITY	FIDELITY ADVISOR FREEDOM BLEND 2040 M	M	LOAD WAIVED	OPEN	1600	0	0
FHHFX	FIDELITY	FIDELITY ADVISOR FREEDOM BLEND 2040 Z	Z	NO LOAD	OPEN	1600	0	0
FHEFX	FIDELITY	FIDELITY ADVISOR FREEDOM BLEND 2045 M	M	LOAD WAIVED	OPEN	1600	0	0
FHBFX	FIDELITY	FIDELITY ADVISOR FREEDOM BLEND 2045 Z	Z	NO LOAD	OPEN	1600	0	0
FHYEX	FIDELITY	FIDELITY ADVISOR FREEDOM BLEND 2050 M	M	LOAD WAIVED	OPEN	1600	0	0
FHVEX	FIDELITY	FIDELITY ADVISOR FREEDOM BLEND 2050 Z	Z	NO LOAD	OPEN	1600	0	0
FHTEX	FIDELITY	FIDELITY ADVISOR FREEDOM BLEND 2055 M	M	LOAD WAIVED	OPEN	1600	0	0
FHPEX	FIDELITY	FIDELITY ADVISOR FREEDOM BLEND 2055 Z	Z	NO LOAD	OPEN	1600	0	0
FHNEX	FIDELITY	FIDELITY ADVISOR FREEDOM BLEND 2060 A	A	LOAD	OPEN	1600	0	0
FHMEX	FIDELITY	FIDELITY ADVISOR FREEDOM BLEND 2060 M	M	LOAD WAIVED	OPEN	1600	0	0
FHJEX	FIDELITY	FIDELITY ADVISOR FREEDOM BLEND 2060 Z	Z	NO LOAD	OPEN	1600	0	0
FAXGX	FIDELITY	FIDELITY ADVISOR FREEDOM BLEND 2065 Z	Z	NO LOAD	OPEN	1600	0	0
FHALX	FIDELITY	FIDELITY ADVISOR FREEDOM BLEND INC M	M	LOAD WAIVED	OPEN	1600	0	0
FHAHX	FIDELITY	FIDELITY ADVISOR FREEDOM BLEND INC Z	Z	NO LOAD	OPEN	1600	0	0
FCAFX	FIDELITY	FIDELITY ADVISOR FREEDOM INCOME CLASS C	C	LOAD	OPEN	1600	0	0
FIJUX	FIDELITY	FIDELITY ADVISOR FREEDOM INCOME Z	Z	NO LOAD	OPEN	1600	0	0
FIQRX	FIDELITY	FIDELITY ADVISOR GLOBAL COMMODITY STK Z	Z	NO LOAD	OPEN	1600	0	0
FGBZX	FIDELITY	FIDELITY ADVISOR GLOBAL CREDIT A	A	LOAD WAIVED	OPEN	1600	0	0
FGBYX	FIDELITY	FIDELITY ADVISOR GLOBAL CREDIT C	C	LOAD	OPEN	1600	0	0
FGBIX	FIDELITY	FIDELITY ADVISOR GLOBAL CREDIT I	I	NO LOAD	OPEN	1600	0	0
FGBWX	FIDELITY	FIDELITY ADVISOR GLOBAL CREDIT M	M	LOAD WAIVED	REDEEM ONLY	1600	0	0
FIQYX	FIDELITY	FIDELITY ADVISOR GLOBAL CREDIT Z	Z	NO LOAD	OPEN	1600	0	0
FBUSX	FIDELITY	FIDELITY ADVISOR GLOBAL EQUITY INC I	I	NO LOAD	OPEN	1600	0	0
FIQQX	FIDELITY	FIDELITY ADVISOR GLOBAL EQUITY INC Z	Z	NO LOAD	OPEN	1600	0	0
FIKKX	FIDELITY	FIDELITY ADVISOR GLOBAL REAL ESTATE Z	Z	NO LOAD	OPEN	1600	0	0
FIJDX	FIDELITY	FIDELITY ADVISOR GOLD Z	Z	NO LOAD	OPEN	1600	0	0
FIKPX	FIDELITY	FIDELITY ADVISOR GOVERNMENT INCOME Z	Z	NO LOAD	OPEN	1600	0	0
FGIUX	FIDELITY	FIDELITY ADVISOR GROWTH & INCOME CL C	C	LOAD	OPEN	1600	0	0
FGIZX	FIDELITY	FIDELITY ADVISOR GROWTH & INCOME Z	Z	NO LOAD	OPEN	1600	0	0
FACGX	FIDELITY	FIDELITY ADVISOR GROWTH OPPORTUNITIES CL C	C	LOAD	OPEN	1600	0	0
FACDX	FIDELITY	FIDELITY ADVISOR HEALTH CARE CL A	A	LOAD	OPEN	1600	0	0
FHCCX	FIDELITY	FIDELITY ADVISOR HEALTH CARE CL C	C	LOAD	OPEN	1600	0	0
FAPNX	FIDELITY	FIDELITY ADVISOR HEALTHY FUTURE Z	Z	NO LOAD	OPEN	1600	0	0
FAHEX	FIDELITY	FIDELITY ADVISOR HIGH INCOME ADVANTAGE CL C	C	LOAD	OPEN	1600	0	0
FIQTX	FIDELITY	FIDELITY ADVISOR HIGH INCOME ADVTG Z	Z	NO LOAD	OPEN	1600	0	0
FGUMX	FIDELITY	FIDELITY ADVISOR HIGH INCOME Z	Z	NO LOAD	OPEN	1600	0	0
FCLCX	FIDELITY	FIDELITY ADVISOR INDUSTRIALS FUND CL C	C	LOAD	OPEN	1600	0	0
FIKEX	FIDELITY	FIDELITY ADVISOR INDUSTRIALS Z	Z	NO LOAD	OPEN	1600	0	0
FZAIX	FIDELITY	FIDELITY ADVISOR INTERNATIONAL DISCV Z	Z	NO LOAD	OPEN	1600	0	0

SYMBOL	FUND FAMILY	FUND DESCRIPTION	SHARE CLASS	FUND TYPE	STATUS	CUT- OFF	IRA MIN	NON-IRA MIN
FICSX	FIDELITY	FIDELITY ADVISOR INTERNATIONAL SMALL CAP CLASS C	C	LOAD	OPEN	1600	0	0
FIQKX	FIDELITY	FIDELITY ADVISOR INTERNATIONAL VALUE Z	Z	NO LOAD	OPEN	1600	0	0
FCPCX	FIDELITY	FIDELITY ADVISOR INTL CAPITAL APPRECIATION CL C	C	LOAD	OPEN	1600	0	0
FTADX	FIDELITY	FIDELITY ADVISOR INTL DISCOVERY FD CL M	M	LOAD	OPEN	1600	0	0
FIRIX	FIDELITY	FIDELITY ADVISOR INTL REAL ESTATE I	I	NO LOAD	OPEN	1600	0	0
FIKLX	FIDELITY	FIDELITY ADVISOR INTL REAL ESTATE Z	Z	NO LOAD	OPEN	1600	0	0
FOPTX	FIDELITY	FIDELITY ADVISOR INTL SMALL CAP OPPTY FUND CL M	M	LOAD	OPEN	1600	0	0
FIQIX	FIDELITY	FIDELITY ADVISOR INTL SMALL CAP Z	Z	NO LOAD	OPEN	1600	0	0
FIKQX	FIDELITY	FIDELITY ADVISOR INVESTMENT GR BD Z	Z	NO LOAD	OPEN	1600	0	0
FGBCX	FIDELITY	FIDELITY ADVISOR INVESTMENT GRADE BOND CL C	C	LOAD	OPEN	1600	0	0
FIQLX	FIDELITY	FIDELITY ADVISOR JAPAN Z	Z	NO LOAD	OPEN	1600	0	0
FLCCX	FIDELITY	FIDELITY ADVISOR LARGE CAP CL C	C	LOAD	OPEN	1600	0	0
FIDLX	FIDELITY	FIDELITY ADVISOR LARGE CAP Z	Z	NO LOAD	OPEN	1600	0	0
FZAKX	FIDELITY	FIDELITY ADVISOR LEVERAGED CO STK Z	Z	NO LOAD	OPEN	1600	0	0
FLSCX	FIDELITY	FIDELITY ADVISOR LEVERAGED COMPANY STOCK CL C	C	LOAD	OPEN	1600	0	0
FIKRX	FIDELITY	FIDELITY ADVISOR LIMITED TERM BOND Z	Z	NO LOAD	OPEN	1600	0	0
FIWAX	FIDELITY	FIDELITY ADVISOR LTD TERM MUNI INC Z	Z	NO LOAD	OPEN	1600	0	0
FARFX	FIDELITY	FIDELITY ADVISOR MANAGED RETRMT 2025 A	A	LOAD WAIVED	OPEN	1600	0	0
FRAMX	FIDELITY	FIDELITY ADVISOR MANAGED RETRMT INC A	A	LOAD	OPEN	1600	0	0
FIJFX	FIDELITY	FIDELITY ADVISOR MATERIALS Z	Z	NO LOAD	OPEN	1600	0	0
FZALX	FIDELITY	FIDELITY ADVISOR MEGA CAP STOCK Z	Z	NO LOAD	OPEN	1600	0	0
FICX	FIDELITY	FIDELITY ADVISOR MID CAP II FUND CLASS C	C	LOAD	OPEN	1600	0	0
FZAMX	FIDELITY	FIDELITY ADVISOR MID CAP II Z	Z	NO LOAD	OPEN	1600	0	0
FIDFX	FIDELITY	FIDELITY ADVISOR MID CAP VALUE Z	Z	NO LOAD	OPEN	1600	0	0
FOMCX	FIDELITY	FIDELITY ADVISOR MORTGAGE SECURITIES CL C	C	LOAD	OPEN	1600	0	0
FIKUX	FIDELITY	FIDELITY ADVISOR MORTGAGE SECURITIES Z	Z	NO LOAD	OPEN	1600	0	0
FWBTX	FIDELITY	FIDELITY ADVISOR MULTIASSET INCOME C	C	LOAD	OPEN	1600	0	0
FAYZX	FIDELITY	FIDELITY ADVISOR MULTIASSET INCOME I	I	NO LOAD	OPEN	1600	0	0
FAZYX	FIDELITY	FIDELITY ADVISOR MULTIASSET INCOME M	M	LOAD	OPEN	1600	100000	100000
FIWBX	FIDELITY	FIDELITY ADVISOR MULTIASSET INCOME Z	Z	NO LOAD	OPEN	1600	0	0
FIWEX	FIDELITY	FIDELITY ADVISOR MUNICIPAL INCOME Z	Z	NO LOAD	OPEN	1600	0	0
FNRCX	FIDELITY	FIDELITY ADVISOR NATURAL RESOURCES CL C	C	LOAD	OPEN	1600	0	0
FNICX	FIDELITY	FIDELITY ADVISOR NEW INSIGHTS FUND CLASS C	C	LOAD	OPEN	1600	0	0
FNYCX	FIDELITY	FIDELITY ADVISOR NEW YORK MUNICIPAL INCOME CL C	C	LOAD	OPEN	1600	0	0
FIJAX	FIDELITY	FIDELITY ADVISOR NY MUNICIPAL INC Z	Z	NO LOAD	OPEN	1600	0	0
FAOCX	FIDELITY	FIDELITY ADVISOR OVERSEAS CL C	C	LOAD	OPEN	1600	0	0
FHECX	FIDELITY	FIDELITY ADVISOR REAL ESTATE FUND CL C	C	LOAD	OPEN	1600	0	0
FIKJX	FIDELITY	FIDELITY ADVISOR REAL ESTATE Z	Z	NO LOAD	OPEN	1600	0	0
FELAX	FIDELITY	FIDELITY ADVISOR SEMICONDUCTORS FD CL A	A	LOAD	OPEN	1600	0	0
FELCX	FIDELITY	FIDELITY ADVISOR SEMICONDUCTORS FD CL C	C	LOAD	OPEN	1600	0	0
FELIX	FIDELITY	FIDELITY ADVISOR SEMICONDUCTORS FD CL I	I	NO LOAD	OPEN	1600	0	0

SYMBOL	FUND FAMILY	FUND DESCRIPTION	SHARE CLASS	FUND TYPE	STATUS	CUT-OFF	IRA MIN	NON-IRA MIN
FELTX	FIDELITY	FIDELITY ADVISOR SEMICONDUCTORS FD CL M	M	LOAD	OPEN	1600	0	0
FIKGX	FIDELITY	FIDELITY ADVISOR SEMICONDUCTORS Z	Z	NO LOAD	OPEN	1600	0	0
FSFHX	FIDELITY	FIDELITY ADVISOR SHORT DUR HI INC I	I	NO LOAD	OPEN	1600	0	0
FIJWX	FIDELITY	FIDELITY ADVISOR SHORT DUR HI INC Z	Z	NO LOAD	OPEN	1600	0	0
FIKTX	FIDELITY	FIDELITY ADVISOR SHORTTERM BOND Z	Z	NO LOAD	OPEN	1600	0	0
FSCEX	FIDELITY	FIDELITY ADVISOR SMALL CAP CL C	C	LOAD	OPEN	1600	0	0
FCCGX	FIDELITY	FIDELITY ADVISOR SMALL CAP GROWTH CLASS C	C	LOAD	OPEN	1600	0	0
FCTGX	FIDELITY	FIDELITY ADVISOR SMALL CAP GROWTH FD CL M	M	LOAD	SUBS ONLY	1600	0	0
FCVIX	FIDELITY	FIDELITY ADVISOR SMALL CAP VALUE CL I	I	NO LOAD	OPEN	1600	0	0
FCVCX	FIDELITY	FIDELITY ADVISOR SMALL CAP VALUE CLASS C	C	LOAD	OPEN	1600	0	0
FCVTX	FIDELITY	FIDELITY ADVISOR SMALL CAP VALUE FD CL M	M	LOAD	OPEN	1600	0	0
FZAOX	FIDELITY	FIDELITY ADVISOR SMALL CAP Z	Z	NO LOAD	OPEN	1600	0	0
FSCZX	FIDELITY	FIDELITY ADVISOR STK SELEC LG CP VAL Z	Z	NO LOAD	OPEN	1600	0	0
FZAPX	FIDELITY	FIDELITY ADVISOR STOCK SEL ALL CP Z	Z	NO LOAD	OPEN	1600	0	0
FSLZX	FIDELITY	FIDELITY ADVISOR STOCK SELEC MID CP Z	Z	NO LOAD	OPEN	1600	0	0
FCDIX	FIDELITY	FIDELITY ADVISOR STOCK SELECTOR SM CP I	I	NO LOAD	OPEN	1600	0	0
FCDTX	FIDELITY	FIDELITY ADVISOR STOCK SELECTOR SM CP M	M	LOAD	OPEN	1600	0	0
FSSZX	FIDELITY	FIDELITY ADVISOR STOCK SELECTOR SM CP Z	Z	NO LOAD	OPEN	1600	0	0
FIQWX	FIDELITY	FIDELITY ADVISOR STRATEGIC DIV & INC Z	Z	NO LOAD	OPEN	1600	0	0
FCSDX	FIDELITY	FIDELITY ADVISOR STRATEGIC DIVIDEND & INCOME CL C	C	LOAD	OPEN	1600	0	0
FSRCX	FIDELITY	FIDELITY ADVISOR STRATEGIC INCOME CL C	C	LOAD	OPEN	1600	0	0
FIWDX	FIDELITY	FIDELITY ADVISOR STRATEGIC INCOME Z	Z	NO LOAD	OPEN	1600	0	0
FIQDX	FIDELITY	FIDELITY ADVISOR STRATEGIC REAL RET Z	Z	NO LOAD	OPEN	1600	0	0
FSZZX	FIDELITY	FIDELITY ADVISOR SUSTAINABLE EM EQ Z	Z	NO LOAD	OPEN	1600	0	0
FSQZX	FIDELITY	FIDELITY ADVISOR SUSTAINABLE INTL EQ Z	Z	NO LOAD	OPEN	1600	0	0
FSEWX	FIDELITY	FIDELITY ADVISOR SUSTAINABLE U.S. EQ Z	Z	NO LOAD	OPEN	1600	0	0
FBAHX	FIDELITY	FIDELITY ADVISOR TACTICAL BOND I	I	NO LOAD	OPEN	1600	0	0
FBAPX	FIDELITY	FIDELITY ADVISOR TACTICAL BOND Z	Z	NO LOAD	OPEN	1600	0	0
FTHCX	FIDELITY	FIDELITY ADVISOR TECHNOLOGY CL C	C	LOAD	OPEN	1600	0	0
FATEX	FIDELITY	FIDELITY ADVISOR TECHNOLOGY CL M	M	LOAD	OPEN	1600	0	0
FIKHX	FIDELITY	FIDELITY ADVISOR TECHNOLOGY Z	Z	NO LOAD	OPEN	1600	0	0
FUGCX	FIDELITY	FIDELITY ADVISOR TELECOM. & UTILITIES GROWTH CL C	C	LOAD	OPEN	1600	0	0
FIJGX	FIDELITY	FIDELITY ADVISOR TELECOMMUNICATIONS Z	Z	NO LOAD	OPEN	1600	0	0
FCEPX	FIDELITY	FIDELITY ADVISOR TOTAL BOND FUND CLASS C	C	LOAD	OPEN	1600	0	0
FIEZX	FIDELITY	FIDELITY ADVISOR TOTAL INTL EQUITY Z	Z	NO LOAD	OPEN	1600	0	0
FIKIX	FIDELITY	FIDELITY ADVISOR UTILITIES Z	Z	NO LOAD	OPEN	1600	0	0
FTVFX	FIDELITY	FIDELITY ADVISOR VALUE CL M	M	LOAD	OPEN	1600	0	0
FCVFX	FIDELITY	FIDELITY ADVISOR VALUE CLASS C	C	LOAD	OPEN	1600	0	0
FVLCX	FIDELITY	FIDELITY ADVISOR VALUE LEADERS CLASS C	C	LOAD	OPEN	1600	0	0
FVCSX	FIDELITY	FIDELITY ADVISOR VALUE STRATEGIES C	C	LOAD	OPEN	1600	0	0
FVLZX	FIDELITY	FIDELITY ADVISOR VALUE Z	Z	NO LOAD	OPEN	1600	0	0

SYMBOL	FUND FAMILY	FUND DESCRIPTION	SHARE CLASS	FUND TYPE	STATUS	CUT- OFF	IRA MIN	NON-IRA MIN
FWOAX	FIDELITY	FIDELITY ADVISOR WOMENS LEADERSHIP A	A	LOAD	OPEN	1600	0	0
FWOCX	FIDELITY	FIDELITY ADVISOR WOMENS LEADERSHIP C	C	LOAD	OPEN	1600	0	0
FWMNX	FIDELITY	FIDELITY ADVISOR WOMENS LEADERSHIP I	I	NO LOAD	OPEN	1600	0	0
FWOEX	FIDELITY	FIDELITY ADVISOR WOMENS LEADERSHIP M	M	LOAD WAIVED	OPEN	1600	0	0
FWOZX	FIDELITY	FIDELITY ADVISOR WOMENS LEADERSHIP Z	Z	NO LOAD	OPEN	1600	0	0
FIQOX	FIDELITY	FIDELITY ADVISOR WORLDWIDE Z	Z	NO LOAD	OPEN	1600	0	0
FARMX	FIDELITY	FIDELITY AGRICULTURAL PRODUCTIVITY	NO LOAD	NO LOAD	OPEN	1600	0	0
FSAZX	FIDELITY	FIDELITY ARIZONA MUNICIPAL INCOME FUND	NO LOAD	NO LOAD	OPEN	1600	0	0
FASIX	FIDELITY	FIDELITY ASSET MANAGER 20	NO LOAD	NO LOAD	OPEN	1600	0	0
FTANX	FIDELITY	FIDELITY ASSET MANAGER 30	NO LOAD	NO LOAD	OPEN	1600	0	0
FFANX	FIDELITY	FIDELITY ASSET MANAGER 40	NO LOAD	NO LOAD	OPEN	1600	0	0
FASMX	FIDELITY	FIDELITY ASSET MANAGER 50	NO LOAD	NO LOAD	OPEN	1600	0	0
FSANX	FIDELITY	FIDELITY ASSET MANAGER 60	NO LOAD	NO LOAD	OPEN	1600	0	0
FASGX	FIDELITY	FIDELITY ASSET MANAGER 70	NO LOAD	NO LOAD	OPEN	1600	0	0
FAMRX	FIDELITY	FIDELITY ASSET MANAGER 85	NO LOAD	NO LOAD	OPEN	1600	0	0
FBALX	FIDELITY	FIDELITY BALANCED	NO LOAD	NO LOAD	OPEN	1600	0	0
FBAKX	FIDELITY	FIDELITY BALANCED K	K	NO LOAD	REDEEM ONLY	1600	0	0
FBKFX	FIDELITY	FIDELITY BALANCED K6	NO LOAD	NO LOAD	REDEEM ONLY	1600	0	0
FBGRX	FIDELITY	FIDELITY BLUE CHIP GROWTH	NO LOAD	NO LOAD	OPEN	1600	0	0
FBGKX	FIDELITY	FIDELITY BLUE CHIP GROWTH K	K	NO LOAD	REDEEM ONLY	1600	0	0
FBCGX	FIDELITY	FIDELITY BLUE CHIP GROWTH K6	K6	NO LOAD	REDEEM ONLY	1600	0	0
FBCVX	FIDELITY	FIDELITY BLUE CHIP VAL	NO LOAD	NO LOAD	OPEN	1600	0	0
FSPXX	FIDELITY	FIDELITY CA AMT TAX FREE MONEY MKT FD	NO LOAD	NO LOAD	SUBS ONLY	1600	100000	100000
FCSTX	FIDELITY	FIDELITY CA LTD TERM TAX FREE BD FD	NO LOAD	NO LOAD	OPEN	1600	0	0
FCTFX	FIDELITY	FIDELITY CA MUNI INCOME FUND	NO LOAD	NO LOAD	OPEN	1600	0	0
FSBXX	FIDELITY	FIDELITY CALIFORNIA MUNICIPAL MMK INSTL	INSTITUTIONAL	NO LOAD	SUBS ONLY	1600	1000000	1000000
FICDX	FIDELITY	FIDELITY CANADA FUND	NO LOAD	NO LOAD	OPEN	1600	0	0
FDCAX	FIDELITY	FIDELITY CAP APPRECIATION	NO LOAD	NO LOAD	OPEN	1600	0	0
FAGIX	FIDELITY	FIDELITY CAP INCOME FUND	NO LOAD	NO LOAD	OPEN	1600	0	0
FHKCX	FIDELITY	FIDELITY CHINA REGION FUND	NO LOAD	NO LOAD	OPEN	1600	0	0
FCAUX	FIDELITY	FIDELITY CLIMATE ACTION I	I	NO LOAD	OPEN	1600	0	0
FCNWX	FIDELITY	FIDELITY CONSERVATIVE INCOME BOND I	I	NO LOAD	OPEN	1600	0	0
FCNYX	FIDELITY	FIDELITY CONSERVATIVE INCOME BOND Z	Z	NO LOAD	OPEN	1600	0	0
FCNVX	FIDELITY	FIDELITY CONSRV INCM BD INSTL	INSTITUTIONAL	NO LOAD	OPEN	1600	1000000	1000000
FMNDX	FIDELITY	FIDELITY CONSRV INCM MUNI BD FD INST	INSTITUTIONAL	NO LOAD	OPEN	1600	0	0
FCNTX	FIDELITY	FIDELITY CONTRA FUND	NO LOAD	NO LOAD	OPEN	1600	0	0
FCNKX	FIDELITY	FIDELITY CONTRA FUND K	K	NO LOAD	REDEEM ONLY	1600	0	0
FLCNX	FIDELITY	FIDELITY CONTRA FUND K6	K6	NO LOAD	REDEEM ONLY	1600	0	0
FCVSX	FIDELITY	FIDELITY CONVERT SECS	NO LOAD	NO LOAD	OPEN	1600	0	0
FCBFX	FIDELITY	FIDELITY CORPORATE BD FD	NO LOAD	NO LOAD	OPEN	1600	0	0
FICNX	FIDELITY	FIDELITY CT MUNI INCOME FUND	NO LOAD	NO LOAD	OPEN	1600	0	0

SYMBOL	FUND FAMILY	FUND DESCRIPTION	SHARE CLASS	FUND TYPE	STATUS	CUT- OFF	IRA MIN	NON-IRA MIN
FDEQX	FIDELITY	FIDELITY DISCIPLINED EQUITY	NO LOAD	NO LOAD	OPEN	1600	0	0
FDIVX	FIDELITY	FIDELITY DIVERSIFIED INTL	NO LOAD	NO LOAD	OPEN	1600	0	0
FDIKX	FIDELITY	FIDELITY DIVERSIFIED INTL FD K	K	NO LOAD	REDEEM ONLY	1600	0	0
FKIDX	FIDELITY	FIDELITY DIVERSIFIED INTL FD K6	K6	NO LOAD	REDEEM ONLY	1600	0	0
FDGFX	FIDELITY	FIDELITY DIVIDEND GROWTH	NO LOAD	NO LOAD	OPEN	1600	0	0
FSEAX	FIDELITY	FIDELITY EMERGING ASIA FUND	NO LOAD	NO LOAD	OPEN	1600	0	0
FEMKX	FIDELITY	FIDELITY EMERGING MARKET FUND	NO LOAD	NO LOAD	OPEN	1600	0	0
FEDDX	FIDELITY	FIDELITY EMERGING MKTS DISCOVERY FD	NO LOAD	NO LOAD	OPEN	1600	0	0
FKEMX	FIDELITY	FIDELITY EMERGING MKTS FUND K	K	NO LOAD	REDEEM ONLY	1600	0	0
FPADX	FIDELITY	FIDELITY EMERGING MKTS INDEX INST PREMIUM	INST PREM	NO LOAD	OPEN	1600	0	0
FSLEX	FIDELITY	FIDELITY ENVIRONMENT AND ALTERNATIVE ENERGY	NO LOAD	NO LOAD	OPEN	1600	0	0
FEQTX	FIDELITY	FIDELITY EQUITY DIVIDEND INCOME FUND	II	NO LOAD	OPEN	1600	0	0
FEGKX	FIDELITY	FIDELITY EQUITY GROWTH K6	K6	NO LOAD	REDEEM ONLY	1600	0	0
FEQIX	FIDELITY	FIDELITY EQUITY INCOME	NO LOAD	NO LOAD	OPEN	1600	0	0
FIEUX	FIDELITY	FIDELITY EUROPE FUND	NO LOAD	NO LOAD	OPEN	1600	0	0
FSMAX	FIDELITY	FIDELITY EXTENDED MKT INDEX FD INST PREMIUM	INST PREM	NO LOAD	OPEN	1600	0	0
FHIFX	FIDELITY	FIDELITY FOCUSED HIGH INCM	NO LOAD	NO LOAD	OPEN	1600	0	0
FTQGX	FIDELITY	FIDELITY FOCUSED STK	NO LOAD	NO LOAD	OPEN	1600	0	0
FIFNX	FIDELITY	FIDELITY FOUNDERS	NO LOAD	NO LOAD	OPEN	1600	0	0
FFFCX	FIDELITY	FIDELITY FREEDOM 2010 FUND	NO LOAD	NO LOAD	OPEN	1600	0	0
FOTKX	FIDELITY	FIDELITY FREEDOM 2010 K6	K6	NO LOAD	REDEEM ONLY	1600	100000	100000
FFVFX	FIDELITY	FIDELITY FREEDOM 2015 FUND	NO LOAD	NO LOAD	OPEN	1600	0	0
FPTKX	FIDELITY	FIDELITY FREEDOM 2015 K6	K6	NO LOAD	REDEEM ONLY	1600	100000	100000
FFFDX	FIDELITY	FIDELITY FREEDOM 2020 FD	NO LOAD	NO LOAD	OPEN	1600	0	0
FATKX	FIDELITY	FIDELITY FREEDOM 2020 K6	K6	NO LOAD	REDEEM ONLY	1600	100000	100000
FFTWX	FIDELITY	FIDELITY FREEDOM 2025 FD	NO LOAD	NO LOAD	OPEN	1600	0	0
FDTKX	FIDELITY	FIDELITY FREEDOM 2025 K6	K6	NO LOAD	REDEEM ONLY	1600	100000	100000
FFFEX	FIDELITY	FIDELITY FREEDOM 2030 FD	NO LOAD	NO LOAD	OPEN	1600	0	0
FGTKX	FIDELITY	FIDELITY FREEDOM 2030 K6	K6	NO LOAD	REDEEM ONLY	1600	100000	100000
FFTHX	FIDELITY	FIDELITY FREEDOM 2035 FD	NO LOAD	NO LOAD	OPEN	1600	0	0
FWTKX	FIDELITY	FIDELITY FREEDOM 2035 K6	K6	NO LOAD	REDEEM ONLY	1600	100000	100000
FFFFX	FIDELITY	FIDELITY FREEDOM 2040	NO LOAD	NO LOAD	OPEN	1600	0	0
FHTKX	FIDELITY	FIDELITY FREEDOM 2040 K6	K6	NO LOAD	REDEEM ONLY	1600	100000	100000
FFFGX	FIDELITY	FIDELITY FREEDOM 2045	NO LOAD	NO LOAD	OPEN	1600	0	0
FJTKX	FIDELITY	FIDELITY FREEDOM 2045 K6	K6	NO LOAD	REDEEM ONLY	1600	100000	100000
FFFHX	FIDELITY	FIDELITY FREEDOM 2050	NO LOAD	NO LOAD	OPEN	1600	0	0
FZTKX	FIDELITY	FIDELITY FREEDOM 2050 K6	K6	NO LOAD	REDEEM ONLY	1600	100000	100000
FDEEX	FIDELITY	FIDELITY FREEDOM 2055 FD	NO LOAD	NO LOAD	OPEN	1600	0	0
FCTKX	FIDELITY	FIDELITY FREEDOM 2055 K6	K6	NO LOAD	REDEEM ONLY	1600	100000	100000
FDKVX	FIDELITY	FIDELITY FREEDOM 2060 FD	NO LOAD	NO LOAD	OPEN	1600	0	0
FVTKX	FIDELITY	FIDELITY FREEDOM 2060 K6	K6	NO LOAD	REDEEM ONLY	1600	100000	100000

SYMBOL	FUND FAMILY	FUND DESCRIPTION	SHARE CLASS	FUND TYPE	STATUS	CUT- OFF	IRA MIN	NON-IRA MIN
FFSFX	FIDELITY	FIDELITY FREEDOM 2065	NO LOAD	NO LOAD	OPEN	1600	0	0
FFSZX	FIDELITY	FIDELITY FREEDOM 2065 K6	K6	NO LOAD	REDEEM ONLY	1600	100000	100000
FHPDX	FIDELITY	FIDELITY FREEDOM BLEND 2010 K6	K6	NO LOAD	REDEEM ONLY	1600	0	0
FHODX	FIDELITY	FIDELITY FREEDOM BLEND 2015 K6	K6	NO LOAD	REDEEM ONLY	1600	0	0
FHAVX	FIDELITY	FIDELITY FREEDOM BLEND 2020	NO LOAD	NO LOAD	OPEN	1600	0	0
FHNDX	FIDELITY	FIDELITY FREEDOM BLEND 2020 K6	K6	NO LOAD	REDEEM ONLY	1600	0	0
FHLDX	FIDELITY	FIDELITY FREEDOM BLEND 2025 K6	K6	NO LOAD	REDEEM ONLY	1600	0	0
FHATX	FIDELITY	FIDELITY FREEDOM BLEND 2030	NO LOAD	NO LOAD	OPEN	1600	0	0
FHKDX	FIDELITY	FIDELITY FREEDOM BLEND 2030 K6	K6	NO LOAD	REDEEM ONLY	1600	0	0
FHASX	FIDELITY	FIDELITY FREEDOM BLEND 2035	NO LOAD	NO LOAD	OPEN	1600	0	0
FHJDX	FIDELITY	FIDELITY FREEDOM BLEND 2035 K6	K6	NO LOAD	REDEEM ONLY	1600	0	0
FHHDX	FIDELITY	FIDELITY FREEDOM BLEND 2040 K6	K6	NO LOAD	REDEEM ONLY	1600	0	0
FHFDX	FIDELITY	FIDELITY FREEDOM BLEND 2045 K6	K6	NO LOAD	REDEEM ONLY	1600	0	0
FHAPX	FIDELITY	FIDELITY FREEDOM BLEND 2050	NO LOAD	NO LOAD	OPEN	1600	0	0
FHEDX	FIDELITY	FIDELITY FREEDOM BLEND 2050 K6	K6	NO LOAD	REDEEM ONLY	1600	0	0
FHDDX	FIDELITY	FIDELITY FREEDOM BLEND 2055 K6	K6	NO LOAD	REDEEM ONLY	1600	0	0
FHCDX	FIDELITY	FIDELITY FREEDOM BLEND 2060 K6	K6	NO LOAD	REDEEM ONLY	1600	100000	100000
FFBQX	FIDELITY	FIDELITY FREEDOM BLEND 2065 K6	K6	NO LOAD	REDEEM ONLY	1600	0	0
FHRDX	FIDELITY	FIDELITY FREEDOM BLEND INC K6	K6	NO LOAD	REDEEM ONLY	1600	0	0
FFFAX	FIDELITY	FIDELITY FREEDOM INCOME	NO LOAD	NO LOAD	OPEN	1600	0	0
FYTKX	FIDELITY	FIDELITY FREEDOM INCOME K6	K6	NO LOAD	REDEEM ONLY	1600	100000	100000
FFWTX	FIDELITY	FIDELITY FREEDOM INDEX 2010 INSTL PREM	INST PREM	NO LOAD	REDEEM ONLY	1600	5000000	5000000
FKIFX	FIDELITY	FIDELITY FREEDOM INDEX 2010 INV	INVESTOR	NO LOAD	REDEEM ONLY	1600	0	0
FCYPX	FIDELITY	FIDELITY FREEDOM INDEX 2010 PREMIER	PREMIER	NO LOAD	REDEEM ONLY	1600	2E+09	2000000000
FIWFX	FIDELITY	FIDELITY FREEDOM INDEX 2015 INSTL PREM	INST PREM	NO LOAD	REDEEM ONLY	1600	5000000	5000000
FLIFX	FIDELITY	FIDELITY FREEDOM INDEX 2015 INV	INVESTOR	NO LOAD	REDEEM ONLY	1600	0	0
FFYPX	FIDELITY	FIDELITY FREEDOM INDEX 2015 PREMIER	PREMIER	NO LOAD	REDEEM ONLY	1600	2E+09	2000000000
FIWTX	FIDELITY	FIDELITY FREEDOM INDEX 2020 INSTL PREM	INST PREM	NO LOAD	REDEEM ONLY	1600	5000000	5000000
FPIFX	FIDELITY	FIDELITY FREEDOM INDEX 2020 INV	INVESTOR	NO LOAD	REDEEM ONLY	1600	0	0
FKIPX	FIDELITY	FIDELITY FREEDOM INDEX 2020 PREMIER	PREMIER	NO LOAD	REDEEM ONLY	1600	2E+09	2000000000
FFEDX	FIDELITY	FIDELITY FREEDOM INDEX 2025 INSTL PREM	INST PREM	NO LOAD	REDEEM ONLY	1600	5000000	5000000
FQIFX	FIDELITY	FIDELITY FREEDOM INDEX 2025 INV	INVESTOR	NO LOAD	REDEEM ONLY	1600	0	0
FLIPX	FIDELITY	FIDELITY FREEDOM INDEX 2025 PREMIER	PREMIER	NO LOAD	REDEEM ONLY	1600	2E+09	2000000000
FFEGX	FIDELITY	FIDELITY FREEDOM INDEX 2030 INSTL PREM	INST PREM	NO LOAD	REDEEM ONLY	1600	5000000	5000000
FXIFX	FIDELITY	FIDELITY FREEDOM INDEX 2030 INV	INVESTOR	NO LOAD	REDEEM ONLY	1600	0	0
FMKPX	FIDELITY	FIDELITY FREEDOM INDEX 2030 PREMIER	PREMIER	NO LOAD	REDEEM ONLY	1600	2E+09	2000000000
FFEZX	FIDELITY	FIDELITY FREEDOM INDEX 2035 INSTL PREM	INST PREM	NO LOAD	REDEEM ONLY	1600	5000000	5000000
FIHFX	FIDELITY	FIDELITY FREEDOM INDEX 2035 INV	INVESTOR	NO LOAD	REDEEM ONLY	1600	0	0
FNIPX	FIDELITY	FIDELITY FREEDOM INDEX 2035 PREMIER	PREMIER	NO LOAD	REDEEM ONLY	1600	2E+09	2000000000
FFIZX	FIDELITY	FIDELITY FREEDOM INDEX 2040 INSTL PREM	INST PREM	NO LOAD	REDEEM ONLY	1600	5000000	5000000
FBIFX	FIDELITY	FIDELITY FREEDOM INDEX 2040 INV	INVESTOR	NO LOAD	REDEEM ONLY	1600	0	0

SYMBOL	FUND FAMILY	FUND DESCRIPTION	SHARE CLASS	FUND TYPE	STATUS	CUT- OFF	IRA MIN	NON-IRA MIN
FPIPX	FIDELITY	FIDELITY FREEDOM INDEX 2040 PREMIER	PREMIER	NO LOAD	REDEEM ONLY	1600	2E+09	2000000000
FFOLX	FIDELITY	FIDELITY FREEDOM INDEX 2045 INSTL PREM	INST PREM	NO LOAD	REDEEM ONLY	1600	5000000	5000000
FIOFX	FIDELITY	FIDELITY FREEDOM INDEX 2045 INV	INVESTOR	NO LOAD	REDEEM ONLY	1600	0	0
FQIPX	FIDELITY	FIDELITY FREEDOM INDEX 2045 PREMIER	PREMIER	NO LOAD	REDEEM ONLY	1600	2E+09	2000000000
FFOPX	FIDELITY	FIDELITY FREEDOM INDEX 2050 INSTL PREM	INST PREM	NO LOAD	REDEEM ONLY	1600	5000000	5000000
FIPFX	FIDELITY	FIDELITY FREEDOM INDEX 2050 INV	INVESTOR	NO LOAD	REDEEM ONLY	1600	0	0
FRLPX	FIDELITY	FIDELITY FREEDOM INDEX 2050 PREMIER	PREMIER	NO LOAD	REDEEM ONLY	1600	2E+09	2000000000
FFLDX	FIDELITY	FIDELITY FREEDOM INDEX 2055 INSTL PREM	INST PREM	NO LOAD	REDEEM ONLY	1600	5000000	5000000
FDEWX	FIDELITY	FIDELITY FREEDOM INDEX 2055 INV	INVESTOR	NO LOAD	OPEN	1600	0	0
FTYPX	FIDELITY	FIDELITY FREEDOM INDEX 2055 PREMIER	PREMIER	NO LOAD	REDEEM ONLY	1600	2E+09	2000000000
FFLEX	FIDELITY	FIDELITY FREEDOM INDEX 2060 INSTL PREM	INST PREM	NO LOAD	REDEEM ONLY	1600	5000000	5000000
FDKLX	FIDELITY	FIDELITY FREEDOM INDEX 2060 INV	INVESTOR	NO LOAD	REDEEM ONLY	1600	0	0
FUIPX	FIDELITY	FIDELITY FREEDOM INDEX 2060 PREMIER	PREMIER	NO LOAD	REDEEM ONLY	1600	2E+09	2000000000
FFIKX	FIDELITY	FIDELITY FREEDOM INDEX 2065 INSTL PRM	INST PREM	NO LOAD	REDEEM ONLY	1600	5000000	5000000
FFIJX	FIDELITY	FIDELITY FREEDOM INDEX 2065 INVESTOR	INVESTOR	NO LOAD	REDEEM ONLY	1600	0	0
FVIPX	FIDELITY	FIDELITY FREEDOM INDEX 2065 PREMIER	PREMIER	NO LOAD	REDEEM ONLY	1600	2E+09	2000000000
FRBUX	FIDELITY	FIDELITY FREEDOM INDEX 2070 INSTL PREM	INST PREM	NO LOAD	REDEEM ONLY	1600	5000000	5000000
FRBWX	FIDELITY	FIDELITY FREEDOM INDEX 2070 PREMIER	PREMIER	NO LOAD	REDEEM ONLY	1600	2E+09	2000000000
FFGZX	FIDELITY	FIDELITY FREEDOM INDEX INC INSTL PREM	INST PREM	NO LOAD	REDEEM ONLY	1600	5000000	5000000
FIKFX	FIDELITY	FIDELITY FREEDOM INDEX INCM INV	INVESTOR	NO LOAD	REDEEM ONLY	1600	0	0
FAPIX	FIDELITY	FIDELITY FREEDOM INDEX INCOME PREMIER	PREMIER	NO LOAD	REDEEM ONLY	1600	2E+09	2000000000
FFIDX	FIDELITY	FIDELITY FUND	NO LOAD	NO LOAD	OPEN	1600	0	0
FFGCX	FIDELITY	FIDELITY GLOBAL COMMODITY STK FD	NO LOAD	NO LOAD	OPEN	1600	0	0
FGBFX	FIDELITY	FIDELITY GLOBAL CREDIT FD	NO LOAD	NO LOAD	OPEN	1600	0	0
FGILX	FIDELITY	FIDELITY GLOBAL EQTY INCOME FD	NO LOAD	NO LOAD	OPEN	1600	0	0
FSGGX	FIDELITY	FIDELITY GLOBAL EX US INDEX INST PREMIUM	INST PREM	NO LOAD	OPEN	1600	0	0
FGMNX	FIDELITY	FIDELITY GNMA	NO LOAD	NO LOAD	OPEN	1600	0	0
FZGXX	FIDELITY	FIDELITY GOVERNMENT MMKT ADVISOR M	ADVISOR M	NO LOAD	SUBS ONLY	1600	100000	100000
FDRXX	FIDELITY	FIDELITY GOVT CASH RESERVE FUND	NO LOAD	NO LOAD	OPEN	1600	0	0
FGOVX	FIDELITY	FIDELITY GOVT INCOME FUND	NO LOAD	NO LOAD	OPEN	1600	0	0
SPAXX	FIDELITY	FIDELITY GOVT MONEY MKT	NO LOAD	NO LOAD	OPEN	1600	0	0
FZAXX	FIDELITY	FIDELITY GOVT MONEY MKT FD CAP RES	CAPITAL RESERVE	LOAD	OPEN	1600	0	0
FZBXX	FIDELITY	FIDELITY GOVT MONEY MKT FD DAILY MNY	MONEY	LOAD	OPEN	1600	0	0
FZCXX	FIDELITY	FIDELITY GOVT MONEY MKT FD PREM	PREMIUM	NO LOAD	OPEN	1600	100000	100000
FIGXX	FIDELITY	FIDELITY GOVT PORT I	I	NO LOAD	OPEN	1600	1000000	1000000
FRGXX	FIDELITY	FIDELITY GOVT PORT INST	INSTITUTIONAL	NO LOAD	OPEN	1600	10000000	10000000
FDGRX	FIDELITY	FIDELITY GROWTH	NO LOAD	NO LOAD	SUBS ONLY	1600	0	0
FGRIX	FIDELITY	FIDELITY GROWTH AND INCOME	NO LOAD	NO LOAD	OPEN	1600	0	0
FGIKX	FIDELITY	FIDELITY GROWTH AND INCOME K	K	NO LOAD	REDEEM ONLY	1600	100000	100000
FGCKX	FIDELITY	FIDELITY GROWTH COMPANY FUND CL K	K	NO LOAD	REDEEM ONLY	1600	0	0
FGKFX	FIDELITY	FIDELITY GROWTH COMPANY K6	K6	NO LOAD	REDEEM ONLY	1600	0	0

SYMBOL	FUND FAMILY	FUND DESCRIPTION	SHARE CLASS	FUND TYPE	STATUS	CUT- OFF	IRA MIN	NON-IRA MIN
FGDKX	FIDELITY	FIDELITY GROWTH DISCOVERY K	K	NO LOAD	REDEEM ONLY	1600	0	0
FDEGX	FIDELITY	FIDELITY GROWTH STRATEGIES FUND	NO LOAD	NO LOAD	OPEN	1600	0	0
FAGKX	FIDELITY	FIDELITY GROWTH STRATEGIES K	K	NO LOAD	REDEEM ONLY	1600	0	0
FDSVX	FIDELITY	FIDELITY GWTH DISCOVERY FUND	NO LOAD	NO LOAD	OPEN	1600	0	0
FEQHX	FIDELITY	FIDELITY HEDGED EQUITY	NO LOAD	NO LOAD	OPEN	1600	0	0
SPHIX	FIDELITY	FIDELITY HIGH INCOME FUND	NO LOAD	NO LOAD	OPEN	1600	0	0
FIPDX	FIDELITY	FIDELITY INFLATION PROTECTED BD IDX INST PREMIUM	INST PREM	NO LOAD	OPEN	1600	0	0
FNSTX	FIDELITY	FIDELITY INFRASTRUCTURE	NO LOAD	NO LOAD	OPEN	1600	0	0
FTHRXX	FIDELITY	FIDELITY INTER BOND	NO LOAD	NO LOAD	OPEN	1600	0	0
FUAMX	FIDELITY	FIDELITY INTERM TRSY BD INDEX INST PREMIUM	INST PREM	NO LOAD	OPEN	1600	0	0
FSTGX	FIDELITY	FIDELITY INTERMEDIATE GOVT INCOME FUND	NO LOAD	NO LOAD	OPEN	1600	0	0
FLTMX	FIDELITY	FIDELITY INTERMEDIATE MUNI INCOME FUND	NO LOAD	NO LOAD	OPEN	1600	0	0
FBIIX	FIDELITY	FIDELITY INTL BOND INDEX FUND	NO LOAD	NO LOAD	OPEN	1600	0	0
FIVFX	FIDELITY	FIDELITY INTL CAPITAL APPRECIATION FUND	NO LOAD	NO LOAD	OPEN	1600	0	0
FAPCX	FIDELITY	FIDELITY INTL CPTL APPREC K6	NO LOAD	NO LOAD	REDEEM ONLY	1600	100000	100000
FIGFX	FIDELITY	FIDELITY INTL GROWTH	NO LOAD	NO LOAD	OPEN	1600	0	0
FIGRX	FIDELITY	FIDELITY INTL GROWTH & INCOME	NO LOAD	NO LOAD	OPEN	1600	0	0
FSPSX	FIDELITY	FIDELITY INTL INDEX FD INST PREMIUM	INST PREM	NO LOAD	OPEN	1600	0	0
FIREX	FIDELITY	FIDELITY INTL REAL ESTATE FUND	NO LOAD	NO LOAD	OPEN	1600	0	0
FISMX	FIDELITY	FIDELITY INTL SMALL CAP	NO LOAD	NO LOAD	OPEN	1600	0	0
FSCOX	FIDELITY	FIDELITY INTL SMALL CAP OPPORTUNITIES	NO LOAD	NO LOAD	OPEN	1600	0	0
FNIDX	FIDELITY	FIDELITY INTL SUSTAINABILITY INDEX	INSTITUTIONAL	NO LOAD	OPEN	1600	0	0
FIVLX	FIDELITY	FIDELITY INTL VALUE	NO LOAD	NO LOAD	OPEN	1600	0	0
FRBXX	FIDELITY	FIDELITY INV MM FDS TREASURY INSTL	INSTITUTIONAL	NO LOAD	SUBS ONLY	1600	10000000	10000000
FCVXX	FIDELITY	FIDELITY INV MM GOVERNMENT II	II	LOAD	OPEN	1600	100	100
FCGXX	FIDELITY	FIDELITY INV MM GOVERNMENT III	III	LOAD	OPEN	1600	100	100
FGEXX	FIDELITY	FIDELITY INV MM GOVERNMENT SELECT	SELECT	LOAD	OPEN	1600	100	100
FMYXX	FIDELITY	FIDELITY INV MM MONEY MARKET SELECT	SELECT	LOAD	OPEN	1600	100	100
FEXXX	FIDELITY	FIDELITY INV MM TAX EXEMPT II	II	LOAD	OPEN	1600	100	100
FETXX	FIDELITY	FIDELITY INV MM TAX EXEMPT III	III	LOAD	OPEN	1600	100	100
FSXXX	FIDELITY	FIDELITY INV MM TAX EXEMPT SELECT	SELECT	LOAD	OPEN	1600	100	100
FTVXX	FIDELITY	FIDELITY INV MM TREASURY IV	IV	LOAD	OPEN	1600	100	100
FOXXX	FIDELITY	FIDELITY INV MM TREASURY ONLY II	II	LOAD	OPEN	1600	100	100
FOIXX	FIDELITY	FIDELITY INV MM TREASURY ONLY III	III	LOAD	OPEN	1600	100	100
FTYXX	FIDELITY	FIDELITY INV MM TREASURY ONLY SEL	SELECT	LOAD	OPEN	1600	100	100
FTUXX	FIDELITY	FIDELITY INV MM TREASURY SELECT	SELECT	LOAD	OPEN	1600	100	100
FBNDX	FIDELITY	FIDELITY INVESTMENT GRADE	NO LOAD	NO LOAD	OPEN	1600	0	0
FEDMX	FIDELITY	FIDELITY INVT TR ADV EMRG MKTS A	A	LOAD	OPEN	1600	0	0
FEMMX	FIDELITY	FIDELITY INVT TR ADV EMRG MKTS C	C	LOAD	OPEN	1600	0	0
FJPNX	FIDELITY	FIDELITY JAPAN FUND	NO LOAD	NO LOAD	OPEN	1600	0	0
FJSCX	FIDELITY	FIDELITY JAPAN SMALL CO	NO LOAD	NO LOAD	OPEN	1600	0	0

SYMBOL	FUND FAMILY	FUND DESCRIPTION	SHARE CLASS	FUND TYPE	STATUS	CUT- OFF	IRA MIN	NON-IRA MIN
FSPGX	FIDELITY	FIDELITY LARGE CAP GWTH INDEX INST PREM	INST PREM	NO LOAD	OPEN	1600	0	0
FLCSX	FIDELITY	FIDELITY LARGE CAP STOCK	NO LOAD	NO LOAD	OPEN	1600	0	0
FLCOX	FIDELITY	FIDELITY LARGE CAP VALUE INDEX INST PREM	INST PREM	NO LOAD	OPEN	1600	0	0
FLVCX	FIDELITY	FIDELITY LEVERAGED COMPANY STOCK FUND	NO LOAD	NO LOAD	OPEN	1600	0	0
FNBGX	FIDELITY	FIDELITY LONG TERM TRSY BD INDEX INST PREMIUM	INST PREM	NO LOAD	OPEN	1600	0	0
FLPSX	FIDELITY	FIDELITY LOW PRICED STOCK FUND	NO LOAD	NO LOAD	OPEN	1600	0	0
FLPKX	FIDELITY	FIDELITY LOW PRICED STOCK FUND K	K	NO LOAD	REDEEM ONLY	1600	0	0
FLKSX	FIDELITY	FIDELITY LOW PRICED STOCK FUND K6	K6	NO LOAD	REDEEM ONLY	1600	100000	100000
FFXSX	FIDELITY	FIDELITY LTD TERM GOVT FD	NO LOAD	NO LOAD	OPEN	1600	0	0
FSTFX	FIDELITY	FIDELITY LTD TERM MUNI INCOME FD	NO LOAD	NO LOAD	OPEN	1600	0	0
FDMMX	FIDELITY	FIDELITY MA MUNI INCOME FUND	NO LOAD	NO LOAD	OPEN	1600	0	0
FMAGX	FIDELITY	FIDELITY MAGELLAN FUND	NO LOAD	NO LOAD	OPEN	1600	0	0
FIRVX	FIDELITY	FIDELITY MANAGED RETIREMENT 2020	NO LOAD	NO LOAD	OPEN	1600	0	0
FIXRX	FIDELITY	FIDELITY MANAGED RETIREMENT 2025	NO LOAD	NO LOAD	OPEN	1600	0	0
FIRMX	FIDELITY	FIDELITY MANAGED RETIREMENT INC	NO LOAD	NO LOAD	OPEN	1600	0	0
FMAXX	FIDELITY	FIDELITY MASSACHUSETTS MUNICIPAL MMKINS	INSTITUTIONAL	NO LOAD	SUBS ONLY	1600	1000000	1000000
FMSXX	FIDELITY	FIDELITY MASSACHUSETTS MUNICIPAL MONEY MARKET FUND	NO LOAD	NO LOAD	SUBS ONLY	1600	25000	25000
SMDMX	FIDELITY	FIDELITY MD MUNI INCOME FUND	NO LOAD	NO LOAD	OPEN	1600	0	0
FGRTX	FIDELITY	FIDELITY MEGA CAP STOCK FUND	NO LOAD	NO LOAD	OPEN	1600	0	0
FMHTX	FIDELITY	FIDELITY MI MUNI INCOME FUND	NO LOAD	NO LOAD	OPEN	1600	0	0
FMDGX	FIDELITY	FIDELITY MID CAP GROWTH INDEX FD	NO LOAD	NO LOAD	OPEN	1600	0	0
FSMDX	FIDELITY	FIDELITY MID CAP INDEX FD INST PREM	INST PREM	NO LOAD	OPEN	1600	0	0
FMCSX	FIDELITY	FIDELITY MID CAP STOCK	NO LOAD	NO LOAD	OPEN	1600	0	0
FSMVX	FIDELITY	FIDELITY MID CAP VAL	NO LOAD	NO LOAD	OPEN	1600	0	0
FIMVX	FIDELITY	FIDELITY MID CAP VALUE INDEX FD	NO LOAD	NO LOAD	OPEN	1600	0	0
FMPXX	FIDELITY	FIDELITY MMKT PORT CL I	I	NO LOAD	SUBS ONLY	1600	1000000	1000000
FIMIX	FIDELITY	FIDELITY MN MUNI INCOME FUND	NO LOAD	NO LOAD	OPEN	1600	0	0
SPRXX	FIDELITY	FIDELITY MONEY MKT FD	NO LOAD	NO LOAD	SUBS ONLY	1600	0	0
FZDXX	FIDELITY	FIDELITY MONEY MKT FD PREM	PREMIUM	NO LOAD	OPEN	1600	100000	100000
FCOXX	FIDELITY	FIDELITY MONEY MKT PORT CL III	III	LOAD	OPEN	1600	100	100
FCIXX	FIDELITY	FIDELITY MONEY MKT PORT II	II	NO LOAD	SUBS ONLY	1600	1000000	1000000
FFNOX	FIDELITY	FIDELITY MULTI ASSET INDEX FUND	NO LOAD	NO LOAD	OPEN	1600	0	0
FHIGX	FIDELITY	FIDELITY MUNI INCOME FUND	NO LOAD	NO LOAD	OPEN	1600	10000	10000
FTEXX	FIDELITY	FIDELITY MUNI MONEY MKT	MONEY	NO LOAD	SUBS ONLY	1600	0	0
FMBIX	FIDELITY	FIDELITY MUNICIPAL BOND INDEX	NO LOAD	NO LOAD	OPEN	1600	0	0
FNCMX	FIDELITY	FIDELITY NASDAQ COMPOSITE INDEX FD	NO LOAD	NO LOAD	OPEN	1600	0	0
FNARX	FIDELITY	FIDELITY NATURAL RESOURCES	NO LOAD	NO LOAD	OPEN	1600	0	0
FSKXX	FIDELITY	FIDELITY NEW JERSEY MUNICIPAL MMK INS	INSTITUTIONAL	NO LOAD	SUBS ONLY	1600	1000000	1000000
FMILX	FIDELITY	FIDELITY NEW MILLENNIUM FUND	NO LOAD	NO LOAD	OPEN	1600	0	0
FNMIX	FIDELITY	FIDELITY NEW MKTS INCOME FUND	NO LOAD	NO LOAD	OPEN	1600	0	0
FSJXX	FIDELITY	FIDELITY NJ AMT TAX FREE MONEY MARKET	MONEY	NO LOAD	SUBS ONLY	1600	25000	25000

SYMBOL	FUND FAMILY	FUND DESCRIPTION	SHARE CLASS	FUND TYPE	STATUS	CUT- OFF	IRA MIN	NON-IRA MIN
FNJHX	FIDELITY	FIDELITY NJ MUNI INCOME FUND	NO LOAD	NO LOAD	OPEN	1600	0	0
FNORX	FIDELITY	FIDELITY NORDIC FUND	NO LOAD	NO LOAD	OPEN	1600	0	0
FSNXX	FIDELITY	FIDELITY NY AMT TAX FREE MONEY MKT FD	NO LOAD	NO LOAD	SUBS ONLY	1600	25000	25000
FNKXX	FIDELITY	FIDELITY NY AMT TAX FREE MONEY MKT INST	INSTITUTIONAL	NO LOAD	SUBS ONLY	1600	1000000	1000000
FTFMX	FIDELITY	FIDELITY NY MUNI INCOME FUND	NO LOAD	NO LOAD	OPEN	1600	0	0
FOHFX	FIDELITY	FIDELITY OH MUNI INCOME FUND	NO LOAD	NO LOAD	OPEN	1600	0	0
FOKFX	FIDELITY	FIDELITY OTC K6	NO LOAD	NO LOAD	REDEEM ONLY	1600	100000	100000
FOCPX	FIDELITY	FIDELITY OTC PORTFOLIO	NO LOAD	NO LOAD	OPEN	1600	0	0
FOSFX	FIDELITY	FIDELITY OVERSEAS	NO LOAD	NO LOAD	OPEN	1600	0	0
FOSKX	FIDELITY	FIDELITY OVERSEAS FUND K	K	NO LOAD	REDEEM ONLY	1600	0	0
FPXTX	FIDELITY	FIDELITY PA MUNI INCOME FUND	NO LOAD	NO LOAD	OPEN	1600	0	0
FPBFX	FIDELITY	FIDELITY PACIFIC BASIN	NO LOAD	NO LOAD	OPEN	1600	0	0
FPURX	FIDELITY	FIDELITY PURITAN	NO LOAD	NO LOAD	OPEN	1600	0	0
FPUKX	FIDELITY	FIDELITY PURITAN FUND K	K	NO LOAD	REDEEM ONLY	1600	0	0
FPKFX	FIDELITY	FIDELITY PURITAN FUND K6	K6	NO LOAD	REDEEM ONLY	1600	100000	100000
FRIFX	FIDELITY	FIDELITY REAL ESTATE INCOME	NO LOAD	NO LOAD	OPEN	1600	0	0
FSRNX	FIDELITY	FIDELITY REAL ESTATE INDEX FD CL INST	INSTITUTIONAL	NO LOAD	OPEN	1600	0	0
FRESX	FIDELITY	FIDELITY REAL ESTATE INV PORTFOLIO	NO LOAD	NO LOAD	OPEN	1600	0	0
FASVX	FIDELITY	FIDELITY SALEM STR T	Z	NO LOAD	OPEN	1600	0	0
FAPEX	FIDELITY	FIDELITY SALEM STR T	Z	NO LOAD	OPEN	1600	0	0
FIAMX	FIDELITY	FIDELITY SALEM STR T	Z	NO LOAD	OPEN	1600	0	0
FIDSX	FIDELITY	FIDELITY SELECT FINANCIALS PORTFOLIO	NO LOAD	NO LOAD	OPEN	1600	0	0
FSVLX	FIDELITY	FIDELITY SELECT FINTECH PORTFOLIO	NO LOAD	NO LOAD	OPEN	1600	0	0
FSELX	FIDELITY	FIDELITY SELECT SEMICONDUCTORS PORTFOLIO	NO LOAD	NO LOAD	OPEN	1600	0	0
FDCPX	FIDELITY	FIDELITY SELECT TECH HARDWARE PORTFOL	NO LOAD	NO LOAD	OPEN	1600	0	0
FSAHX	FIDELITY	FIDELITY SHORT DURATION HIGH INCOME	NO LOAD	NO LOAD	OPEN	1600	0	0
FNSOX	FIDELITY	FIDELITY SHORT TERM BD INDEX INST PREMIUM	INST PREM	NO LOAD	OPEN	1600	0	0
FSHBX	FIDELITY	FIDELITY SHORT TERM BOND PORTFOLIO	NO LOAD	NO LOAD	OPEN	1600	2500	2500
FUMBX	FIDELITY	FIDELITY SHORT TERM TRSY BD INDEX INST PREMIUM	INST PREM	NO LOAD	OPEN	1600	0	0
FIRRX	FIDELITY	FIDELITY SIMPLICITY RMD 2010	NO LOAD	NO LOAD	SUBS ONLY	1600	0	0
FIRNX	FIDELITY	FIDELITY SIMPLICITY RMD INCOME	NO LOAD	NO LOAD	SUBS ONLY	1600	0	0
FSAVX	FIDELITY	FIDELITY SLCT AUTOMOTIVE	NO LOAD	NO LOAD	OPEN	1600	0	0
FSRBX	FIDELITY	FIDELITY SLCT BANKING PORT	NO LOAD	NO LOAD	OPEN	1600	0	0
FBIOX	FIDELITY	FIDELITY SLCT BIOTECHNOLOGY	NO LOAD	NO LOAD	OPEN	1600	0	0
FSLBX	FIDELITY	FIDELITY SLCT BROKERAGE & INVESTMENT	NO LOAD	NO LOAD	OPEN	1600	0	0
FSCHX	FIDELITY	FIDELITY SLCT CHEMICALS	NO LOAD	NO LOAD	OPEN	1600	0	0
FBMPX	FIDELITY	FIDELITY SLCT COMM SVCS PORTFOLIO FD	NO LOAD	NO LOAD	OPEN	1600	0	0
FSHOX	FIDELITY	FIDELITY SLCT CONSTRUCT & HOUSING	NO LOAD	NO LOAD	OPEN	1600	0	0
FSCPX	FIDELITY	FIDELITY SLCT CONSUMER DISCRETIONARY	NO LOAD	NO LOAD	OPEN	1600	0	0
FSDAX	FIDELITY	FIDELITY SLCT DEFENSE & AEROSPACE FUND	NO LOAD	NO LOAD	OPEN	1600	0	0
FSENX	FIDELITY	FIDELITY SLCT ENERGY	NO LOAD	NO LOAD	OPEN	1600	0	0

SYMBOL	FUND FAMILY	FUND DESCRIPTION	SHARE CLASS	FUND TYPE	STATUS	CUT- OFF	IRA MIN	NON-IRA MIN
FDFAX	FIDELITY	FIDELITY SLCT FOOD & AGRICULTURE	NO LOAD	NO LOAD	OPEN	1600	0	0
FSAGX	FIDELITY	FIDELITY SLCT GOLD PORT	NO LOAD	NO LOAD	OPEN	1600	0	0
FSHCX	FIDELITY	FIDELITY SLCT HEALTH CARE SERVICES PORT	NO LOAD	NO LOAD	OPEN	1600	0	0
FSPHX	FIDELITY	FIDELITY SLCT HEALTHCARE	NO LOAD	NO LOAD	OPEN	1600	0	0
FSDPX	FIDELITY	FIDELITY SLCT INDUSTRIAL MATERIAL FUND	NO LOAD	NO LOAD	OPEN	1600	0	0
FCYIX	FIDELITY	FIDELITY SLCT INDUSTRIALS	NO LOAD	NO LOAD	OPEN	1600	0	0
FSPCX	FIDELITY	FIDELITY SLCT INSURANCE	NO LOAD	NO LOAD	OPEN	1600	0	0
FDLSX	FIDELITY	FIDELITY SLCT LEISURE	NO LOAD	NO LOAD	OPEN	1600	0	0
FSMEX	FIDELITY	FIDELITY SLCT MEDICAL TECH DEVICES PORT	NO LOAD	NO LOAD	OPEN	1600	0	0
FPHAX	FIDELITY	FIDELITY SLCT PHARMACEUTICALS PORT	NO LOAD	NO LOAD	OPEN	1600	0	0
FSRPX	FIDELITY	FIDELITY SLCT RETAILING PORT	NO LOAD	NO LOAD	OPEN	1600	0	0
FSCSX	FIDELITY	FIDELITY SLCT SOFTWARE & IT SERVICES PORT	NO LOAD	NO LOAD	OPEN	1600	0	0
FSPTX	FIDELITY	FIDELITY SLCT TECHNOLOGY PORTFOLIO FUND	NO LOAD	NO LOAD	OPEN	1600	0	0
FSTCX	FIDELITY	FIDELITY SLCT TELECOMM	NO LOAD	NO LOAD	OPEN	1600	0	0
FSRFX	FIDELITY	FIDELITY SLCT TRANSPORT FUND	NO LOAD	NO LOAD	OPEN	1600	0	0
FSUTX	FIDELITY	FIDELITY SLCT UTILITIES PORTFOLIO	NO LOAD	NO LOAD	OPEN	1600	0	0
FWRLX	FIDELITY	FIDELITY SLCT WIRELESS FUND	NO LOAD	NO LOAD	OPEN	1600	0	0
FSCRX	FIDELITY	FIDELITY SMALL CAP DISCOVERY	NO LOAD	NO LOAD	OPEN	1600	0	0
FECGX	FIDELITY	FIDELITY SMALL CAP GROWTH INDEX FUND	NO LOAD	NO LOAD	OPEN	1600	0	0
FOCSX	FIDELITY	FIDELITY SMALL CAP GROWTH K6	K6	NO LOAD	REDEEM ONLY	1600	2500	2500
FCPGX	FIDELITY	FIDELITY SMALL CAP GWTH	NO LOAD	NO LOAD	OPEN	1600	0	0
FSSNX	FIDELITY	FIDELITY SMALL CAP INDEX FD INST PREMIUM	INST PREM	NO LOAD	OPEN	1600	0	0
FSLCX	FIDELITY	FIDELITY SMALL CAP STOCK FUND	NO LOAD	NO LOAD	OPEN	1600	0	0
FCPVX	FIDELITY	FIDELITY SMALL CAP VAL	NO LOAD	NO LOAD	OPEN	1600	0	0
FISVX	FIDELITY	FIDELITY SMALL CAP VALUE INDEX FUND	NO LOAD	NO LOAD	OPEN	1600	0	0
FSLVX	FIDELITY	FIDELITY STK SLCTR LG CAP VAL FD	NO LOAD	NO LOAD	OPEN	1600	0	0
FDSSX	FIDELITY	FIDELITY STOCK SELECTOR ALL CAP FD	NO LOAD	NO LOAD	OPEN	1600	0	0
FDSCX	FIDELITY	FIDELITY STOCK SELECTOR SMALL CAP FD	NO LOAD	NO LOAD	OPEN	1600	0	0
FSDIX	FIDELITY	FIDELITY STRAT DIVIDEND & INCM	NO LOAD	NO LOAD	OPEN	1600	0	0
FSRRX	FIDELITY	FIDELITY STRAT REAL RETURN	NO LOAD	NO LOAD	OPEN	1600	0	0
FNDSX	FIDELITY	FIDELITY SUSTAINABILITY BOND INDEX	NO LOAD	NO LOAD	OPEN	1600	0	0
FSEBX	FIDELITY	FIDELITY SUSTAINABLE U.S. EQUITY FUND	NO LOAD	NO LOAD	OPEN	1600	0	0
FERXX	FIDELITY	FIDELITY TAX EXEMPT MMKT CAPITAL RESERVES CL	CAPITAL RESERVE	LOAD	SUBS ONLY	1600	0	0
FDEXX	FIDELITY	FIDELITY TAX EXEMPT MMKT DAILY MONEY CL	MONEY	LOAD	SUBS ONLY	1600	0	0
FZEXX	FIDELITY	FIDELITY TAX EXEMPT MMKT PREM CL	PREMIUM	NO LOAD	SUBS ONLY	1600	100000	100000
FTCXX	FIDELITY	FIDELITY TAX EXEMPT PORT CL I	I	NO LOAD	SUBS ONLY	1600	1000000	1000000
FTABX	FIDELITY	FIDELITY TAX FREE BOND FD	NO LOAD	NO LOAD	OPEN	1600	25000	25000
FIUIX	FIDELITY	FIDELITY TELECOM AND UTILITIES	NO LOAD	NO LOAD	OPEN	1600	0	0
FTBFX	FIDELITY	FIDELITY TOTAL BD	NO LOAD	NO LOAD	OPEN	1600	0	0
FTKFX	FIDELITY	FIDELITY TOTAL BOND K6	K6	NO LOAD	REDEEM ONLY	1600	0	0
FTIEX	FIDELITY	FIDELITY TOTAL INTL EQTYEQUITY FD	NO LOAD	NO LOAD	OPEN	1600	0	0

SYMBOL	FUND FAMILY	FUND DESCRIPTION	SHARE CLASS	FUND TYPE	STATUS	CUT- OFF	IRA MIN	NON-IRA MIN
FTIHX	FIDELITY	FIDELITY TOTAL INTL INDEX INST PREMIUM	INST PREM	NO LOAD	OPEN	1600	0	0
FSKAX	FIDELITY	FIDELITY TOTAL MKT INDEX FD INST PREMIUM	INST PREM	NO LOAD	OPEN	1600	0	0
FZFX	FIDELITY	FIDELITY TREASURY MONEY MARKET	MONEY	NO LOAD	SUBS ONLY	1600	100000	100000
FDLXX	FIDELITY	FIDELITY TREASURY ONLY MONEY MARKET FND	NO LOAD	NO LOAD	SUBS ONLY	1600	0	0
FSIXX	FIDELITY	FIDELITY TREASURY ONLY PORT I	I	NO LOAD	SUBS ONLY	1600	1000000	1000000
FRSXX	FIDELITY	FIDELITY TREASURY ONLY PORT INST	INSTITUTIONAL	NO LOAD	SUBS ONLY	1600	10000000	10000000
FISXX	FIDELITY	FIDELITY TREASURY PORT CL I	I	NO LOAD	REDEEM ONLY	1600	1000000	1000000
FCEXX	FIDELITY	FIDELITY TREASURY PORT II	II	LOAD	SUBS ONLY	1600	1000000	1000000
FCSXX	FIDELITY	FIDELITY TREASURY PORT III	III	LOAD	OPEN	1600	1000000	1000000
FTRNX	FIDELITY	FIDELITY TREND	NO LOAD	NO LOAD	OPEN	1600	0	0
FDCXX	FIDELITY	FIDELITY TRSY MONEY MKT ADV C	C	LOAD	OPEN	1600	0	0
FDUXX	FIDELITY	FIDELITY TRSY MONEY MKT DAILY MONEY CL	MONEY	LOAD	OPEN	1600	0	0
FITLX	FIDELITY	FIDELITY U.S. SUSTAINABILITY INDEX	NO LOAD	NO LOAD	OPEN	1600	0	0
FXNAX	FIDELITY	FIDELITY US BOND INDEX FD INST PREMIUM	INST PREM	NO LOAD	OPEN	1600	0	0
FULVX	FIDELITY	FIDELITY US LOW VOLATILITY EQUITY	NO LOAD	NO LOAD	OPEN	1600	0	0
FVDFX	FIDELITY	FIDELITY VAL DISCOVERY	NO LOAD	NO LOAD	OPEN	1600	0	0
FDVLX	FIDELITY	FIDELITY VALUE	NO LOAD	NO LOAD	OPEN	1600	0	0
FVLKX	FIDELITY	FIDELITY VALUE K	K	NO LOAD	REDEEM ONLY	1600	100000	100000
FLOWX	FIDELITY	FIDELITY WATER SUSTAINABILITY	NO LOAD	NO LOAD	OPEN	1600	0	0
FWOMX	FIDELITY	FIDELITY WOMENS LEADERSHIP FUND	NO LOAD	NO LOAD	OPEN	1600	0	0
FWWFX	FIDELITY	FIDELITY WORLDWIDE	NO LOAD	NO LOAD	OPEN	1600	0	0
FFFZX	FIDELITY	FIDLEITY ADV FREEDOM 2045 A	A	LOAD	OPEN	1600	0	0
FBSOX	FIDELITY	FIDLEITY SELECT ENTERPRISE TECHNOLOGY SERVICES PORT	NO LOAD	NO LOAD	OPEN	1600	0	0
HROAX	FINTRUST FUNDS	M3SIXTY INCOME AND OPPORTUNITY FD A	A	LOAD	OPEN	1600	0	0
HIOIX	FINTRUST FUNDS	M3SIXTY INCOME AND OPPORTUNITY FD INST	INSTITUTIONAL	NO LOAD	OPEN	1600	0	0
FECRX	FIRST EAGLE	FIRST EAGLE CR OPPORTUNITIES FD I	I	NO LOAD	OPEN	1600	100	100
FECAX	FIRST EAGLE	FIRST EAGLE CR OPPORTUNITIS FD CL A	A	LOAD WAIVED	OPEN	1600	1000	2500
FESGX	FIRST EAGLE	FIRST EAGLE GLOBAL CLASS C	C	LOAD	OPEN	1600	1000	2500
FEGRX	FIRST EAGLE	FIRST EAGLE GLOBAL FUND CL R6	R6	NO LOAD	REDEEM ONLY	1600	0	0
SGIIX	FIRST EAGLE	FIRST EAGLE GLOBAL FUND CLASS I	I	NO LOAD	OPEN	1600	1000000	1000000
FEBAX	FIRST EAGLE	FIRST EAGLE GLOBAL INCM BUILDER A	A	LOAD	OPEN	1600	1000	2500
FEBCX	FIRST EAGLE	FIRST EAGLE GLOBAL INCM BUILDER C	C	LOAD	OPEN	1600	1000	2500
FEBIX	FIRST EAGLE	FIRST EAGLE GLOBAL INCM BUILDER I	I	NO LOAD	OPEN	1600	1000000	1000000
FEBRX	FIRST EAGLE	FIRST EAGLE GLOBAL INCM BUILDER R6	R6	NO LOAD	REDEEM ONLY	1600	0	0
FEREX	FIRST EAGLE	FIRST EAGLE GLOBAL REAL ASSETS I	I	NO LOAD	OPEN	1600	1000000	1000000
FERRX	FIRST EAGLE	FIRST EAGLE GLOBAL REAL ASSETS R6	R6	NO LOAD	REDEEM ONLY	1600	0	0
FEGOX	FIRST EAGLE	FIRST EAGLE GOLD CLASS C	C	LOAD	OPEN	1600	1000	2500
FEGIX	FIRST EAGLE	FIRST EAGLE GOLD FD CL I	I	NO LOAD	OPEN	1600	1000000	1000000
FEURX	FIRST EAGLE	FIRST EAGLE GOLD FUND CL R6	R6	NO LOAD	REDEEM ONLY	1600	0	0
FEHAX	FIRST EAGLE	FIRST EAGLE HIGH YIELD MUNICIPAL A	A	LOAD	OPEN	1600	1000	2500
FEHCX	FIRST EAGLE	FIRST EAGLE HIGH YIELD MUNICIPAL C	C	LOAD	OPEN	1600	1000	2500

SYMBOL	FUND FAMILY	FUND DESCRIPTION	SHARE CLASS	FUND TYPE	STATUS	CUT- OFF	IRA MIN	NON-IRA MIN
FEHIX	FIRST EAGLE	FIRST EAGLE HIGH YIELD MUNICIPAL I	I	NO LOAD	OPEN	1600	1000000	1000000
FEHRX	FIRST EAGLE	FIRST EAGLE HIGH YIELD MUNICIPAL R6	R6	NO LOAD	REDEEM ONLY	1600	0	0
FESOX	FIRST EAGLE	FIRST EAGLE OVERSEAS CLASS C	C	LOAD	OPEN	1600	1000	2500
FEORX	FIRST EAGLE	FIRST EAGLE OVERSEAS FUND CL R6	R6	NO LOAD	REDEEM ONLY	1600	0	0
SGOIX	FIRST EAGLE	FIRST EAGLE OVERSEAS FUND CLASS I	I	NO LOAD	OPEN	1600	1000000	1000000
FEFAX	FIRST EAGLE	FIRST EAGLE RISING DIV A	A	LOAD	OPEN	1600	1000	2500
FEAMX	FIRST EAGLE	FIRST EAGLE RISING DIV C	C	LOAD	OPEN	1600	1000	2500
FEAIX	FIRST EAGLE	FIRST EAGLE RISING DIV I	I	NO LOAD	OPEN	1600	1000000	1000000
FEFRX	FIRST EAGLE	FIRST EAGLE RISING DIV R6	R6	NO LOAD	REDEEM ONLY	1600	0	0
FDUAX	FIRST EAGLE	FIRST EAGLE SHORT DUR HI YLD MUNCPL A	A	LOAD	OPEN	1600	1000	2500
FDUIX	FIRST EAGLE	FIRST EAGLE SHORT DURATION HIGH YIELD MUNICIPAL FD I	I	NO LOAD	OPEN	1600	1000000	1000000
FESAX	FIRST EAGLE	FIRST EAGLE SMALL CAP OPPORTUNITY A	A	LOAD	OPEN	1600	1000	2500
FESCX	FIRST EAGLE	FIRST EAGLE SMALL CAP OPPORTUNITY I	I	NO LOAD	OPEN	1600	1000000	1000000
FESRX	FIRST EAGLE	FIRST EAGLE SMALL CAP OPPORTUNITY R6	R6	NO LOAD	REDEEM ONLY	1600	100000	100000
SGENX	FIRST EAGLE	FIRST EAGLE SOGEN GLOBAL FUND CL A	A	LOAD	OPEN	1600	1000	2500
SGGDY	FIRST EAGLE	FIRST EAGLE SOGEN GOLD FUND CL A	A	LOAD	OPEN	1600	1000	2500
SGOVX	FIRST EAGLE	FIRST EAGLE SOGEN OVERSEAS FUND CL A	A	LOAD	OPEN	1600	1000	2500
FESMX	FIRST EAGLE	FIRST EAGLE U.S. SMID CAP OPPORTUNITY I	I	NO LOAD	OPEN	1600	1000000	1000000
FEXRX	FIRST EAGLE	FIRST EAGLE U.S. SMID CAP OPPORTUNITY R6	R6	NO LOAD	REDEEM ONLY	1600	0	0
FEVIX	FIRST EAGLE	FIRST EAGLE U.S. VALUE FUND CL I	I	NO LOAD	OPEN	1600	1000000	1000000
FEVCX	FIRST EAGLE	FIRST EAGLE U.S. VALUE FUND CLASS C	C	LOAD	OPEN	1600	1000	2500
FEVRX	FIRST EAGLE	FIRST EAGLE US VALUE FD CL R6	R6	NO LOAD	REDEEM ONLY	1600	0	0
FEVAX	FIRST EAGLE	FIRST EAGLE US VALUE FUND CL A	A	LOAD	OPEN	1600	1000	2500
CWAIX	FIRST TRUST	FIRST TR SER FD MANAGED MUNI I	I	NO LOAD	OPEN	1600	0	0
VFLEX	FIRST TRUST	FIRST TRUST ALT OPPORTUNITIES FD I	I	NO LOAD	OPEN	1600	0	0
FOVAX	FIRST TRUST	FIRST TRUST CONFLUENCE SM CAP VALUE A	A	LOAD WAIVED	OPEN	1600	100	100
FOVIX	FIRST TRUST	FIRST TRUST CONFLUENCE SM CAP VALUE I	INSTITUTIONAL	NO LOAD	OPEN	1600	250	250
HFLEX	FIRST TRUST	FIRST TRUST HEDGED STRATEGIES INST	INSTITUTIONAL	NO LOAD	REDEEM ONLY	1600	0	0
FPEFX	FIRST TRUST	FIRST TRUST PREFERRED SEC & INC F	F	NO LOAD	OPEN	1600	100	100
FPEAX	FIRST TRUST	FIRST TRUST PREFERRED SEC AND INCM A	A	LOAD	OPEN	1600	1000	2500
FPECX	FIRST TRUST	FIRST TRUST PREFERRED SEC AND INCM C	C	LOAD	OPEN	1600	250	2500
FPEIX	FIRST TRUST	FIRST TRUST PREFERRED SEC AND INCM I	INSTITUTIONAL	NO LOAD	OPEN	1600	250	250
FDHAX	FIRST TRUST	FIRST TRUST SHORT DUR HIGH INCM A	A	LOAD	OPEN	1600	100	100
FDHCX	FIRST TRUST	FIRST TRUST SHORT DUR HIGH INCM C	C	LOAD	OPEN	1600	250	2500
FDHIX	FIRST TRUST	FIRST TRUST SHORT DUR HIGH INCM I	INSTITUTIONAL	NO LOAD	OPEN	1600	250	250
FOVCX	FIRST TRUST	FIRST TRUSTCONFLUENCE SMALL CAP VAL C	C	LOAD	OPEN	1600	750	2500
ALTEX	FIRSTHAND	FIRSTHAND ALTERNATIVE ENERGY FD	NO LOAD	NO LOAD	OPEN	1600	100	100
TEFQX	FIRSTHAND	FIRSTHAND TECHNOLOGY OPPORTUNITIES FUND	INVESTOR	NO LOAD	OPEN	1600	100	100
IAFLX	FM INVESTMENTS	FM INVTS LARGE CAP FOCUSED FD INSTL SHS	INSTITUTIONAL	NO LOAD	OPEN	1600	100000	100000
IAFMX	FM INVESTMENTS	FM INVTS LARGE CAP FOCUSED FD INV SHS	INVESTOR	NO LOAD	OPEN	1600	1000	1000
OHFIX	FM INVESTMENTS	OAKHURST FIXED INCOME FD INSTL SHS	INSTITUTIONAL	NO LOAD	OPEN	1600	999999	999999

SYMBOL	FUND FAMILY	FUND DESCRIPTION	SHARE CLASS	FUND TYPE	STATUS	CUT- OFF	IRA MIN	NON-IRA MIN
OHSX	FM INVESTMENTS	OAKHURST SHORT DURATION BD FD SHS	INSTITUTIONAL	NO LOAD	OPEN	1600	0	0
OHSX	FM INVESTMENTS	OAKHURST SHORT DURATION HIGH YIELD CR FD INSTL SHS	INSTITUTIONAL	NO LOAD	OPEN	1600	0	0
FMIMX	FMI FUNDS	FMI COMMON STOCK FUND	NO LOAD	NO LOAD	OPEN	1600	100	100
FMIUX	FMI FUNDS	FMI COMMON STOCK FUND INST	INSTITUTIONAL	NO LOAD	OPEN	1600	100000	100000
FMIJX	FMI FUNDS	FMI INTL FD	NO LOAD	NO LOAD	OPEN	1600	100	100
FMIFX	FMI FUNDS	FMI INTL FD II	INSTITUTIONAL	NO LOAD	OPEN	1600	100000	100000
FMIYX	FMI FUNDS	FMI INTL FD INST	INSTITUTIONAL	NO LOAD	OPEN	1600	100000	100000
FMIQX	FMI FUNDS	FMI LARGE CAP FUND INST	INSTITUTIONAL	NO LOAD	OPEN	1600	100000	100000
FMIHX	FMI FUNDS	FMI LARGE CAP FUND	NO LOAD	NO LOAD	OPEN	1600	100	100
FVILX	FORESTER	FORESTER VALUE CL I	I	NO LOAD	OPEN	1600	25000	25000
FVALX	FORESTER	FORESTER VALUE FUND CL N	N	NO LOAD	SUBS ONLY	1600	100	100
FVRLX	FORESTER	FORESTER VALUE FUND CL R	R	NO LOAD	REDEEM ONLY	1600	100	100
FPCGX	FORT PITT CAPITAL FUNDS	NORTH SQUARE INVTS T	NO LOAD	NO LOAD	OPEN	1600	100	100
DRSVX	FOUNDRY FUNDS	FOUNDRY PARTNERS FUNDAMENTAL SM CAP VALUE RETAIL	RETAIL	NO LOAD	OPEN	1600	100	100
DRISX	FOUNDRY FUNDS	FOUNDRY PARTNERS SMALL CAP VALUE INST	INSTITUTIONAL	NO LOAD	OPEN	1600	100000	100000
FPACX	FPA	FPA CRESCENT FUND INST CL SHARES	INSTITUTIONAL	NO LOAD	OPEN	1600	100	1500
PPFRX	FPA	FPA CRESCENT FUND INVESTOR	INVESTOR	NO LOAD	OPEN	1600	100	100
FPCSX	FPA	FPA CRESCENT SUPRA INSTITUTIONAL	SUPRA INSTITUTIONAL	NO LOAD	OPEN	1600	100000000	100000000
QRSAX	FPA	FPA FDS TR	ADVISOR	NO LOAD	OPEN	1600	0	50000
QRSIX	FPA	FPA FDS TR	INSTITUTIONAL	NO LOAD	OPEN	1600	100000	100000
QRSVX	FPA	FPA FDS TR	INVESTOR	NO LOAD	OPEN	1600	100	100
QRVLX	FPA	FPA FDS TR	NO LOAD	NO LOAD	OPEN	1600	5000	100000
FPNIX	FPA	FPA FDS TR	NO LOAD	NO LOAD	OPEN	1600	0	100
PPFIX	FPA	FPA FLEXIBLE FIXED INCM FD INST	INSTITUTIONAL	NO LOAD	OPEN	1600	5000	100000
FPNRX	FPA	FPA NEW INCOME INVESTOR	INVESTOR	NO LOAD	OPEN	1600	100	100
FNKCX	FRANK FUNDS	FRANK VALUE FD CL C	C	NO LOAD	OPEN	1600	100	100
FNKIX	FRANK FUNDS	FRANK VALUE FD INSTL	INSTITUTIONAL	NO LOAD	OPEN	1600	0	0
FRNKX	FRANK FUNDS	FRANK VALUE FD INV	INVESTOR	NO LOAD	OPEN	1600	100	100
LEBOX	FRANK FUNDS	WEST HILLS TACTICAL CORE FUND	NO LOAD	NO LOAD	OPEN	1600	0	0
FCREX	FRANKLIN SQUARE	FS CREDIT INCOME A	A	LOAD WAIVED	OPEN	1600	100	100
FCRIX	FRANKLIN SQUARE	FS CREDIT INCOME COMMON SHRS CL I	I	NO LOAD	OPEN	1600	1000	2500
FRALX	FRANKLINTEMPLETON	FRANKLIN AL TAX FREE INC FD CL A1	A1	LOAD	SUBS ONLY	1600	100	1000
FALEX	FRANKLINTEMPLETON	FRANKLIN AL TAX FREE INCM C	C	LOAD	OPEN	1600	1000	1000
FALZX	FRANKLINTEMPLETON	FRANKLIN AL TAX FREE INCM FD ADV	ADVISOR	NO LOAD	OPEN	1600	100	1000
FALQX	FRANKLINTEMPLETON	FRANKLIN AL TAX FREE INCM FD CL A	A	LOAD	OPEN	1600	0	0
FALRX	FRANKLINTEMPLETON	FRANKLIN ALABAMA TAX FREE INCOME R6	R6	NO LOAD	REDEEM ONLY	1600	0	0
FTAZX	FRANKLINTEMPLETON	FRANKLIN AZ TAX FREE INC FD CL A1	A1	LOAD	SUBS ONLY	1600	100	1000
FAZZX	FRANKLINTEMPLETON	FRANKLIN AZ TAX FREE INCM ADV	ADVISOR	NO LOAD	OPEN	1600	100	1000
FAZIX	FRANKLINTEMPLETON	FRANKLIN AZ TAX FREE INCM C	C	LOAD	OPEN	1600	1000	1000
FAZQX	FRANKLINTEMPLETON	FRANKLIN AZ TAX FREE INCM FD CL A	A	LOAD	OPEN	1600	0	0
FAZRX	FRANKLINTEMPLETON	FRANKLIN AZ TAX FREE INCOME R6	R6	NO LOAD	REDEEM ONLY	1600	0	0

SYMBOL	FUND FAMILY	FUND DESCRIPTION	SHARE CLASS	FUND TYPE	STATUS	CUT- OFF	IRA MIN	NON-IRA MIN
FTDZX	FRANKLINTEMPLETON	FRANKLIN BIOTECHNOLOGY DISCOVERY ADV CL	ADVISOR	NO LOAD	OPEN	1600	100	1000
FBTDX	FRANKLINTEMPLETON	FRANKLIN BIOTECHNOLOGY DISCOVERY CL C	C	LOAD	OPEN	1600	250	1000
FRBRX	FRANKLINTEMPLETON	FRANKLIN BIOTECHNOLOGY DISCOVERY CL R6	R6	NO LOAD	REDEEM ONLY	1600	0	0
FBDIX	FRANKLINTEMPLETON	FRANKLIN BIOTECHNOLOGY DISCOVERY FUND CLASS A	A	LOAD	OPEN	1600	100	1000
FVCAX	FRANKLINTEMPLETON	FRANKLIN CA HIGH YIELD MUNI ADV	ADVISOR	NO LOAD	OPEN	1600	100	1000
FCAHX	FRANKLINTEMPLETON	FRANKLIN CA HIGH YIELD MUNI C	C	LOAD	OPEN	1600	1000	1000
FCQAX	FRANKLINTEMPLETON	FRANKLIN CA HIGH YIELD MUNI FD CL A	A	LOAD	OPEN	1600	0	0
FCAMX	FRANKLINTEMPLETON	FRANKLIN CA HIGH YIELD MUNI FD CL A1	A1	LOAD	SUBS ONLY	1600	100	1000
FCAQX	FRANKLINTEMPLETON	FRANKLIN CA HIGH YIELD MUNICIPAL R6	R6	NO LOAD	REDEEM ONLY	1600	0	0
FKCIX	FRANKLINTEMPLETON	FRANKLIN CA INTER TERM TAX FREE FD CL A1	A1	LOAD	SUBS ONLY	1600	100	1000
FRCZX	FRANKLINTEMPLETON	FRANKLIN CA INTERM TERM TAX FR INCM ADV	ADVISOR	NO LOAD	OPEN	1600	100	1000
FCCIX	FRANKLINTEMPLETON	FRANKLIN CA INTERM TERM TAX FR INCM C	C	LOAD	OPEN	1600	1000	1000
FCCQX	FRANKLINTEMPLETON	FRANKLIN CA INTERM TERM TF INCM FD CL A	A	LOAD	OPEN	1600	0	0
FCCRZ	FRANKLINTEMPLETON	FRANKLIN CA INTERMTERM TXFR INC R6	R6	NO LOAD	REDEEM ONLY	1600	0	0
FCAVX	FRANKLINTEMPLETON	FRANKLIN CA TAX FREE INC ADV	ADVISOR	NO LOAD	OPEN	1600	100	1000
FKTFX	FRANKLINTEMPLETON	FRANKLIN CA TAX FREE INC FD CL A1	A1	LOAD	SUBS ONLY	1600	100	1000
FRCTX	FRANKLINTEMPLETON	FRANKLIN CA TAX FREE INCM C	C	LOAD	OPEN	1600	1000	1000
FTFQX	FRANKLINTEMPLETON	FRANKLIN CA TAX FREE INCM FD CL A	A	LOAD	OPEN	1600	0	0
FKTQX	FRANKLINTEMPLETON	FRANKLIN CA TAX FREE INCOME R6	R6	NO LOAD	REDEEM ONLY	1600	0	0
FRCOX	FRANKLINTEMPLETON	FRANKLIN CO TAX FREE INC FD CL A1	A1	LOAD	SUBS ONLY	1600	100	1000
FCOIX	FRANKLINTEMPLETON	FRANKLIN CO TAX FREE INCM C	C	LOAD	OPEN	1600	1000	1000
FCOQX	FRANKLINTEMPLETON	FRANKLIN CO TAX FREE INCM FD A	A	LOAD	OPEN	1600	0	0
FCOZX	FRANKLINTEMPLETON	FRANKLIN CO TAX FREE INCM FD ADV CL	ADVISOR	NO LOAD	OPEN	1600	100	1000
FKTLX	FRANKLINTEMPLETON	FRANKLIN CO TAX FREE INCOME R6	R6	NO LOAD	REDEEM ONLY	1600	0	0
FTCMX	FRANKLINTEMPLETON	FRANKLIN CONSERV ALLOC FD R6	R6	NO LOAD	REDEEM ONLY	1600	0	0
FTCZX	FRANKLINTEMPLETON	FRANKLIN CONSERVATIVE ALLOCATION FUND ADV	ADVISOR	NO LOAD	OPEN	1600	100	1000
FTCIX	FRANKLINTEMPLETON	FRANKLIN CONSERVATIVE ALLOCATION FUND CL A	A	LOAD	OPEN	1600	100	1000
FTCCX	FRANKLINTEMPLETON	FRANKLIN CONSERVATIVE ALLOCATION FUND CL C	C	LOAD	OPEN	1600	250	1000
FTCRX	FRANKLINTEMPLETON	FRANKLIN CONSERVATIVE ALLOCATION FUND CL R	R	NO LOAD	REDEEM ONLY	1600	0	0
FCSKX	FRANKLINTEMPLETON	FRANKLIN CONVERTIBLE SEC FD CL R6	R6	NO LOAD	SUBS ONLY	1600	0	0
FISCX	FRANKLINTEMPLETON	FRANKLIN CONVERTIBLE SEC FUND CL A	A	LOAD	SUBS ONLY	1600	100	1000
FCSZX	FRANKLINTEMPLETON	FRANKLIN CONVERTIBLE SECURITIES FUND ADV	ADVISOR	NO LOAD	SUBS ONLY	1600	100	1000
FROTX	FRANKLINTEMPLETON	FRANKLIN CONVERTIBLE SECURITIES FUND CL C	C	LOAD	SUBS ONLY	1600	250	1000
FCAZX	FRANKLINTEMPLETON	FRANKLIN COREFOLIO ALLOC FD ADV	ADVISOR	NO LOAD	OPEN	1600	100	1000
FTCOX	FRANKLINTEMPLETON	FRANKLIN COREFOLIO ALLOCATION FUND CL A	A	LOAD	OPEN	1600	100	1000
FTCLX	FRANKLINTEMPLETON	FRANKLIN COREFOLIO ALLOCATION FUND CL C	C	LOAD	OPEN	1600	250	1000
FTLQX	FRANKLINTEMPLETON	FRANKLIN COREFOLIO ALLOCATION R6	R6	NO LOAD	REDEEM ONLY	1600	0	0
FXCTX	FRANKLINTEMPLETON	FRANKLIN CT TAX FREE INC FD CL A1	A1	LOAD	SUBS ONLY	1600	100	1000
FCTIX	FRANKLINTEMPLETON	FRANKLIN CT TAX FREE INCM C	C	LOAD	OPEN	1600	1000	1000
FCNZX	FRANKLINTEMPLETON	FRANKLIN CT TAX FREE INCM FD ADV	ADVISOR	NO LOAD	OPEN	1600	100	1000
FQCTX	FRANKLINTEMPLETON	FRANKLIN CT TAX FREE INCM FD CL A	A	LOAD	OPEN	1600	0	0

SYMBOL	FUND FAMILY	FUND DESCRIPTION	SHARE CLASS	FUND TYPE	STATUS	CUT- OFF	IRA MIN	NON-IRA MIN
FDYNX	FRANKLINTEMPLETON	FRANKLIN DYNATECH C	C	LOAD	OPEN	1600	250	1000
FDNRX	FRANKLINTEMPLETON	FRANKLIN DYNATECH FD CL R	R	NO LOAD	REDEEM ONLY	1600	0	0
FDRX	FRANKLINTEMPLETON	FRANKLIN DYNATECH FD CL R6	R6	NO LOAD	REDEEM ONLY	1600	0	0
FDYZX	FRANKLINTEMPLETON	FRANKLIN DYNATECH FUND ADV	ADVISOR	NO LOAD	OPEN	1600	100	1000
FKDNX	FRANKLINTEMPLETON	FRANKLIN DYNATECH FUND CL A	A	LOAD	OPEN	1600	100	1000
FEMDX	FRANKLINTEMPLETON	FRANKLIN EMRG MKT DEBT OPP ADV	ADVISOR	NO LOAD	OPEN	1600	0	0
FREIX	FRANKLINTEMPLETON	FRANKLIN EQTY INCM R	R	NO LOAD	REDEEM ONLY	1600	0	0
FISEX	FRANKLINTEMPLETON	FRANKLIN EQUITY INCOME FUND CL A	A	LOAD	OPEN	1600	100	1000
FEIFX	FRANKLINTEMPLETON	FRANKLIN EQUITY INCOME FUND CL ADV	ADVISOR	NO LOAD	OPEN	1600	100	1000
FRETX	FRANKLINTEMPLETON	FRANKLIN EQUITY INCOME FUND CL C	C	LOAD	OPEN	1600	250	1000
FEIQX	FRANKLINTEMPLETON	FRANKLIN EQUITY INCOME FUND CL R6	R6	NO LOAD	REDEEM ONLY	1600	0	0
FKITX	FRANKLINTEMPLETON	FRANKLIN FED INTER TERM TAX FREE INC FD CL A1	A1	LOAD	SUBS ONLY	1600	100	1000
FITZX	FRANKLINTEMPLETON	FRANKLIN FED INTERM TM TF INC ADV	ADVISOR	NO LOAD	OPEN	1600	100	1000
FCITX	FRANKLINTEMPLETON	FRANKLIN FED INTERM TM TF INC C	C	LOAD	OPEN	1600	1000	1000
FFTFX	FRANKLINTEMPLETON	FRANKLIN FED LTD TRM TAX FREE INC FD CL A1	A1	LOAD	SUBS ONLY	1600	100	1000
FKTIX	FRANKLINTEMPLETON	FRANKLIN FED TAX FREE INC FD CL A1	A1	LOAD	SUBS ONLY	1600	100	1000
FAFTX	FRANKLINTEMPLETON	FRANKLIN FED TAX FREE INCM ADV	ADVISOR	NO LOAD	OPEN	1600	100	1000
FKQTX	FRANKLINTEMPLETON	FRANKLIN FEDERAL INTERM TF INCM A	A	LOAD	OPEN	1600	0	0
FITQX	FRANKLINTEMPLETON	FRANKLIN FEDERAL INTERMTERM TF INC R6	R6	NO LOAD	REDEEM ONLY	1600	0	0
FFLQX	FRANKLINTEMPLETON	FRANKLIN FEDERAL LIMITED TERM TF INCM A	A	LOAD	OPEN	1600	0	0
FTFZX	FRANKLINTEMPLETON	FRANKLIN FEDERAL LIMITED TERM TF INCM ADV	ADVISOR	NO LOAD	OPEN	1600	100	1000
FFTRX	FRANKLINTEMPLETON	FRANKLIN FEDERAL LMTDTERM TF INC R6	R6	NO LOAD	REDEEM ONLY	1600	0	0
FFQAX	FRANKLINTEMPLETON	FRANKLIN FEDERAL TAX FREE INCM CL A	A	LOAD	OPEN	1600	0	0
FRFTX	FRANKLINTEMPLETON	FRANKLIN FEDERAL TAX FREE INCOME FUND CL C	C	LOAD	OPEN	1600	1000	1000
FFTQX	FRANKLINTEMPLETON	FRANKLIN FEDERAL TAX FREE INCOME R6	R6	NO LOAD	REDEEM ONLY	1600	0	0
FDAAX	FRANKLINTEMPLETON	FRANKLIN FLOATING RATE DAILY ACCESS ADV	ADVISOR	NO LOAD	OPEN	1600	100	1000
FCFRX	FRANKLINTEMPLETON	FRANKLIN FLOATING RATE DAILY ACCESS C	C	LOAD	OPEN	1600	250	1000
FAFRX	FRANKLINTEMPLETON	FRANKLIN FLOATING RATE DAILY ACCESS FUND CL A	A	LOAD	OPEN	1600	100	1000
FFRDY	FRANKLINTEMPLETON	FRANKLIN FLOATING RATE DAILY ACCESS R6	R6	NO LOAD	REDEEM ONLY	1600	0	0
FTGAX	FRANKLINTEMPLETON	FRANKLIN GA TAX FREE INC FD CL A1	A1	LOAD	SUBS ONLY	1600	100	1000
FGAIX	FRANKLINTEMPLETON	FRANKLIN GA TAX FREE INCM C	C	LOAD	OPEN	1600	1000	1000
FGFZX	FRANKLINTEMPLETON	FRANKLIN GA TAX FREE INCM FD ADV	ADVISOR	NO LOAD	OPEN	1600	100	1000
FGAQX	FRANKLINTEMPLETON	FRANKLIN GA TAX FREE INCM FD CL A	A	LOAD	OPEN	1600	0	0
FGFQX	FRANKLINTEMPLETON	FRANKLIN GEORGIA TAX FREE INCOME R6	R6	NO LOAD	REDEEM ONLY	1600	0	0
FFALX	FRANKLINTEMPLETON	FRANKLIN GLOBAL ALLOCATION A	A	LOAD	OPEN	1600	100	1000
FFAAX	FRANKLINTEMPLETON	FRANKLIN GLOBAL ALLOCATION ADV	ADVISOR	NO LOAD	OPEN	1600	100	1000
FFACX	FRANKLINTEMPLETON	FRANKLIN GLOBAL ALLOCATION C	C	LOAD	OPEN	1600	250	1000
FFARX	FRANKLINTEMPLETON	FRANKLIN GLOBAL ALLOCATION R	R	NO LOAD	REDEEM ONLY	1600	0	0
FFAQX	FRANKLINTEMPLETON	FRANKLIN GLOBAL ALLOCATION R6	R6	NO LOAD	REDEEM ONLY	1600	0	0
FGPMX	FRANKLINTEMPLETON	FRANKLIN GOLD & PRECIOUS METALS FD R6	R6	NO LOAD	REDEEM ONLY	1600	0	0
FRGOX	FRANKLINTEMPLETON	FRANKLIN GOLD AND PRECIOUS METALS C	C	LOAD	OPEN	1600	250	1000

SYMBOL	FUND FAMILY	FUND DESCRIPTION	SHARE CLASS	FUND TYPE	STATUS	CUT- OFF	IRA MIN	NON-IRA MIN
FKRCX	FRANKLINTEMPLETON	FRANKLIN GOLD AND PRECIOUS METALS CL A	A	LOAD	OPEN	1600	100	1000
FGADX	FRANKLINTEMPLETON	FRANKLIN GOLD FUND ADV CL	ADVISOR	NO LOAD	OPEN	1600	100	1000
FTGMX	FRANKLINTEMPLETON	FRANKLIN GROWTH ALLOC FD R6	R6	NO LOAD	REDEEM ONLY	1600	0	0
FGTZX	FRANKLINTEMPLETON	FRANKLIN GROWTH ALLOCATION FUND ADV	ADVISOR	NO LOAD	OPEN	1600	100	1000
FGTIX	FRANKLINTEMPLETON	FRANKLIN GROWTH ALLOCATION FUND CL A	A	LOAD	OPEN	1600	100	1000
FTGTX	FRANKLINTEMPLETON	FRANKLIN GROWTH ALLOCATION FUND CL C	C	LOAD	OPEN	1600	250	1000
FGTRX	FRANKLINTEMPLETON	FRANKLIN GROWTH ALLOCATION R	R	NO LOAD	REDEEM ONLY	1600	100	100
FCGAX	FRANKLINTEMPLETON	FRANKLIN GROWTH FUND ADV	ADVISOR	NO LOAD	OPEN	1600	100	1000
FKGRX	FRANKLINTEMPLETON	FRANKLIN GROWTH FUND CL A	A	LOAD	OPEN	1600	100	1000
FRGSX	FRANKLINTEMPLETON	FRANKLIN GROWTH FUND CL C	C	LOAD	OPEN	1600	250	1000
FIFRX	FRANKLINTEMPLETON	FRANKLIN GROWTH FUND CL R6	R6	NO LOAD	REDEEM ONLY	1600	0	0
FGRAX	FRANKLINTEMPLETON	FRANKLIN GROWTH OPPORTUNITIES FD CL A	A	LOAD	OPEN	1600	100	1000
FKACX	FRANKLINTEMPLETON	FRANKLIN GROWTH OPPORTUNITIES FUND CL C	C	LOAD	OPEN	1600	250	1000
FRAAX	FRANKLINTEMPLETON	FRANKLIN GROWTH OPPTY ADV	ADVISOR	NO LOAD	OPEN	1600	100	1000
FKARX	FRANKLINTEMPLETON	FRANKLIN GROWTH OPPTY FD CL R	R	NO LOAD	REDEEM ONLY	1600	100	100
FOPPX	FRANKLINTEMPLETON	FRANKLIN GROWTH OPPTY FD CL R6	R6	NO LOAD	REDEEM ONLY	1600	0	0
FGSRX	FRANKLINTEMPLETON	FRANKLIN GROWTH R	R	NO LOAD	REDEEM ONLY	1600	0	0
FHAIX	FRANKLINTEMPLETON	FRANKLIN HIGH INC FD CL A1	A1	LOAD	SUBS ONLY	1600	100	1000
FHIRX	FRANKLINTEMPLETON	FRANKLIN HIGH INCM R	R	NO LOAD	REDEEM ONLY	1600	0	100
FHQRX	FRANKLINTEMPLETON	FRANKLIN HIGH INCOME FD CL A	A	LOAD	OPEN	1600	0	0
FHRRX	FRANKLINTEMPLETON	FRANKLIN HIGH INCOME FD CL R6	R6	NO LOAD	REDEEM ONLY	1600	0	0
FVHIX	FRANKLINTEMPLETON	FRANKLIN HIGH INCOME FUND ADV CL	ADVISOR	NO LOAD	OPEN	1600	100	1000
FCHIX	FRANKLINTEMPLETON	FRANKLIN HIGH INCOME FUND CL C	C	LOAD	OPEN	1600	250	1000
FRHIX	FRANKLINTEMPLETON	FRANKLIN HIGH YIELD TAX FREE INC FD CL A1	A1	LOAD	SUBS ONLY	1600	100	1000
FHYRX	FRANKLINTEMPLETON	FRANKLIN HIGH YIELD TAX FREE INC R6	R6	NO LOAD	REDEEM ONLY	1600	0	0
FHYVX	FRANKLINTEMPLETON	FRANKLIN HIGH YIELD TAX FREE INCM ADV	ADVISOR	NO LOAD	OPEN	1600	100	1000
FHYIX	FRANKLINTEMPLETON	FRANKLIN HIGH YIELD TAX FREE INCOME FUND CL C	C	LOAD	OPEN	1600	1000	1000
FHYQX	FRANKLINTEMPLETON	FRANKLIN HIGH YIELD TF INCM FD	A	LOAD	OPEN	1600	0	0
FKINX	FRANKLINTEMPLETON	FRANKLIN INC FD CL A1	A1	LOAD	SUBS ONLY	1600	100	1000
FKIQX	FRANKLINTEMPLETON	FRANKLIN INCM FD CL A	A	LOAD	OPEN	1600	0	0
FNCFX	FRANKLINTEMPLETON	FRANKLIN INCM FD CL R6	R6	NO LOAD	REDEEM ONLY	1600	0	0
FCISX	FRANKLINTEMPLETON	FRANKLIN INCOME FD CL C	C	LOAD	OPEN	1600	250	1000
FISRX	FRANKLINTEMPLETON	FRANKLIN INCOME FD CL R	R	NO LOAD	REDEEM ONLY	1600	0	0
FRIAX	FRANKLINTEMPLETON	FRANKLIN INCOME FUND ADV CL	ADVISOR	NO LOAD	OPEN	1600	100	1000
FNGAX	FRANKLINTEMPLETON	FRANKLIN INTL GROWTH A	A	LOAD	OPEN	1600	100	1000
FNGZX	FRANKLINTEMPLETON	FRANKLIN INTL GROWTH ADV	ADVISOR	NO LOAD	OPEN	1600	100	1000
FNGDX	FRANKLINTEMPLETON	FRANKLIN INTL GROWTH C	C	LOAD	OPEN	1600	250	1000
FILRX	FRANKLINTEMPLETON	FRANKLIN INTL GROWTH FD CL R6	R6	NO LOAD	REDEEM ONLY	1600	0	0
FNGRX	FRANKLINTEMPLETON	FRANKLIN INTL GROWTH R	R	NO LOAD	REDEEM ONLY	1600	0	100
FAAAX	FRANKLINTEMPLETON	FRANKLIN K2 ALTERNATIVE STRATEGIES A	A	LOAD	OPEN	1600	100	1000
FABZX	FRANKLINTEMPLETON	FRANKLIN K2 ALTERNATIVE STRATEGIES ADV	ADVISOR	NO LOAD	OPEN	1600	100	1000

SYMBOL	FUND FAMILY	FUND DESCRIPTION	SHARE CLASS	FUND TYPE	STATUS	CUT- OFF	IRA MIN	NON-IRA MIN
FASCX	FRANKLINTEMPLETON	FRANKLIN K2 ALTERNATIVE STRATEGIES C	C	LOAD	OPEN	1600	250	1000
FSKX	FRANKLINTEMPLETON	FRANKLIN K2 ALTERNATIVE STRATEGIES R	R	NO LOAD	REDEEM ONLY	1600	0	0
FASRX	FRANKLINTEMPLETON	FRANKLIN K2 ALTERNATIVE STRATEGIES R6	R6	NO LOAD	REDEEM ONLY	1600	0	0
FKLAX	FRANKLINTEMPLETON	FRANKLIN LA TAX FREE INC FD CL A1	A1	LOAD	SUBS ONLY	1600	100	1000
FLTZX	FRANKLINTEMPLETON	FRANKLIN LA TAX FREE INCM ADV	ADVISOR	NO LOAD	OPEN	1600	0	0
FLAIX	FRANKLINTEMPLETON	FRANKLIN LA TAX FREE INCM C	C	LOAD	OPEN	1600	1000	1000
FQLAX	FRANKLINTEMPLETON	FRANKLIN LA TAX FREE INCM FD CL A	A	LOAD	OPEN	1600	0	0
FLRMX	FRANKLINTEMPLETON	FRANKLIN LIFESMART 2020 RETIRE TRGT A	A	LOAD	OPEN	1600	100	1000
FLRVX	FRANKLINTEMPLETON	FRANKLIN LIFESMART 2020 RETIRE TRGT R	R	NO LOAD	REDEEM ONLY	1600	100	100
FRTSX	FRANKLINTEMPLETON	FRANKLIN LIFESMART 2020 RETIRE TRGT R6	R6	NO LOAD	REDEEM ONLY	1600	0	0
FTRTX	FRANKLINTEMPLETON	FRANKLIN LIFESMART 2025 RETIRE TRGT A	A	LOAD	OPEN	1600	100	1000
FLRFX	FRANKLINTEMPLETON	FRANKLIN LIFESMART 2025 RETIRE TRGT ADV	ADVISOR	NO LOAD	OPEN	1600	0	0
FTTCX	FRANKLINTEMPLETON	FRANKLIN LIFESMART 2025 RETIRE TRGT C	C	LOAD	OPEN	1600	250	1000
FRELX	FRANKLINTEMPLETON	FRANKLIN LIFESMART 2025 RETIRE TRGT R	R	NO LOAD	REDEEM ONLY	1600	100	100
FTLMX	FRANKLINTEMPLETON	FRANKLIN LIFESMART 2025 RETIRE TRGT R6	R6	NO LOAD	REDEEM ONLY	1600	0	0
FLRSX	FRANKLINTEMPLETON	FRANKLIN LIFESMART 2030 RETIRE TRGT A	A	LOAD	OPEN	1600	1000	2500
FLRTX	FRANKLINTEMPLETON	FRANKLIN LIFESMART 2030 RETIRE TRGT C	C	LOAD	OPEN	1600	250	1000
FLRWX	FRANKLINTEMPLETON	FRANKLIN LIFESMART 2030 RETIRE TRGT R	R	NO LOAD	REDEEM ONLY	1600	0	100
FLERX	FRANKLINTEMPLETON	FRANKLIN LIFESMART 2030 RETIRE TRGT R6	R6	NO LOAD	REDEEM ONLY	1600	0	0
FRTAX	FRANKLINTEMPLETON	FRANKLIN LIFESMART 2035 RETIRE TRGT A	A	LOAD	OPEN	1600	100	1000
FLRHX	FRANKLINTEMPLETON	FRANKLIN LIFESMART 2035 RETIRE TRGT ADV	ADVISOR	NO LOAD	OPEN	1600	0	0
FTRCX	FRANKLINTEMPLETON	FRANKLIN LIFESMART 2035 RETIRE TRGT C	C	LOAD	OPEN	1600	250	1000
FLRGX	FRANKLINTEMPLETON	FRANKLIN LIFESMART 2035 RETIRE TRGT R	R	NO LOAD	REDEEM ONLY	1600	0	100
FMTLX	FRANKLINTEMPLETON	FRANKLIN LIFESMART 2035 RETIRE TRGT R6	R6	NO LOAD	REDEEM ONLY	1600	0	0
FLADX	FRANKLINTEMPLETON	FRANKLIN LIFESMART 2040 RETIRE TRGT A	A	LOAD	OPEN	1600	100	1000
FLOLX	FRANKLINTEMPLETON	FRANKLIN LIFESMART 2040 RETIRE TRGT C	C	LOAD	OPEN	1600	0	0
FLSGX	FRANKLINTEMPLETON	FRANKLIN LIFESMART 2040 RETIRE TRGT R	R	NO LOAD	REDEEM ONLY	1600	100	100
FLREX	FRANKLINTEMPLETON	FRANKLIN LIFESMART 2040 RETIRE TRGT R6	R6	NO LOAD	REDEEM ONLY	1600	0	0
FTTAX	FRANKLINTEMPLETON	FRANKLIN LIFESMART 2045 RETIRE TRGT A	A	LOAD	OPEN	1600	100	1000
FLRLX	FRANKLINTEMPLETON	FRANKLIN LIFESMART 2045 RETIRE TRGT ADV	ADVISOR	NO LOAD	OPEN	1600	0	0
FLRIX	FRANKLINTEMPLETON	FRANKLIN LIFESMART 2045 RETIRE TRGT C	C	LOAD	OPEN	1600	250	1000
FLRJX	FRANKLINTEMPLETON	FRANKLIN LIFESMART 2045 RETIRE TRGT R	R	NO LOAD	REDEEM ONLY	1600	100	100
FMLTX	FRANKLINTEMPLETON	FRANKLIN LIFESMART 2045 RETIRE TRGT R6	R6	NO LOAD	REDEEM ONLY	1600	0	0
FLSJX	FRANKLINTEMPLETON	FRANKLIN LIFESMART 2050 RETIRE TRGT A	A	LOAD	OPEN	1600	100	1000
FLSNX	FRANKLINTEMPLETON	FRANKLIN LIFESMART 2050 RETIRE TRGT R	R	NO LOAD	REDEEM ONLY	1600	100	100
FRLEX	FRANKLINTEMPLETON	FRANKLIN LIFESMART 2050 RETIRE TRGT R6	R6	NO LOAD	REDEEM ONLY	1600	0	0
FLTFX	FRANKLINTEMPLETON	FRANKLIN LIFESMART 2055 RETIRE TRGT A	A	LOAD	OPEN	1600	0	0
FLTNX	FRANKLINTEMPLETON	FRANKLIN LIFESMART 2055 RETIRE TRGT C	C	LOAD	OPEN	1600	0	0
FLSBX	FRANKLINTEMPLETON	FRANKLIN LIFESMART 2055 RETIRE TRGT R	R	NO LOAD	REDEEM ONLY	1600	100	100
FBRLX	FRANKLINTEMPLETON	FRANKLIN LIFESMART RETIREMENT INC R	R	NO LOAD	REDEEM ONLY	1600	100	100
FTRAX	FRANKLINTEMPLETON	FRANKLIN LIFESMART RETIREMENT INCOME FD CL A	A	LOAD	OPEN	1600	100	1000

SYMBOL	FUND FAMILY	FUND DESCRIPTION	SHARE CLASS	FUND TYPE	STATUS	CUT- OFF	IRA MIN	NON-IRA MIN
FRTCX	FRANKLINTEMPLETON	FRANKLIN LIFESMART RETIREMENT INCOME FD CL C	C	LOAD	OPEN	1600	250	1000
FLMTX	FRANKLINTEMPLETON	FRANKLIN LIFESMART RETIREMENT INCOME FD CL R6	R6	NO LOAD	REDEEM ONLY	1600	0	0
FLRDX	FRANKLINTEMPLETON	FRANKLIN LIFESMART RTRMT INCM FD ADV	ADVISOR	NO LOAD	OPEN	1600	0	0
FLDBX	FRANKLINTEMPLETON	FRANKLIN LONG DURATION CREDIT R6	R6	NO LOAD	REDEEM ONLY	1600	0	0
FLAQX	FRANKLINTEMPLETON	FRANKLIN LOUISIANA TAX FREE INCOME R6	R6	NO LOAD	REDEEM ONLY	1600	0	0
FLDAX	FRANKLINTEMPLETON	FRANKLIN LOW DURATION TOTAL RETURN A	A	LOAD	OPEN	1600	100	1000
FLDZX	FRANKLINTEMPLETON	FRANKLIN LOW DURATION TOTAL RETURN ADV	ADVISOR	NO LOAD	OPEN	1600	100	1000
FLDCX	FRANKLINTEMPLETON	FRANKLIN LOW DURATION TOTAL RETURN C	C	LOAD	OPEN	1600	250	1000
FLDRX	FRANKLINTEMPLETON	FRANKLIN LOW DURATION TOTAL RETURN R	R	NO LOAD	REDEEM ONLY	1600	100	100
FLRRX	FRANKLINTEMPLETON	FRANKLIN LOW DURATION TOTAL RETURN R6	R6	NO LOAD	REDEEM ONLY	1600	0	0
FISAX	FRANKLINTEMPLETON	FRANKLIN LOW DURATION US GOVERNMENT A	A	LOAD	OPEN	1600	100	1000
FAUGX	FRANKLINTEMPLETON	FRANKLIN LOW DURATION US GOVERNMENT A1	A1	LOAD	SUBS ONLY	1600	250	1000
FAUZX	FRANKLINTEMPLETON	FRANKLIN LOW DURATION US GOVERNMENT ADV	ADVISOR	NO LOAD	OPEN	1600	100	1000
FCSCX	FRANKLINTEMPLETON	FRANKLIN LOW DURATION US GOVERNMENT C	C	LOAD	OPEN	1600	250	1000
FAURX	FRANKLINTEMPLETON	FRANKLIN LOW DURATION US GOVERNMENT R6	R6	NO LOAD	REDEEM ONLY	1600	0	0
FMAIX	FRANKLINTEMPLETON	FRANKLIN MA TAX FREE INC C	C	LOAD	OPEN	1600	1000	1000
FMISX	FRANKLINTEMPLETON	FRANKLIN MA TAX FREE INC FD CL A1	A1	LOAD	SUBS ONLY	1600	100	1000
FMAHX	FRANKLINTEMPLETON	FRANKLIN MA TAX FREE INCM FD ADV	ADVISOR	NO LOAD	OPEN	1600	100	1000
FMAQX	FRANKLINTEMPLETON	FRANKLIN MA TAX FREE INCM FD CL A	A	LOAD	OPEN	1600	0	0
FKTMX	FRANKLINTEMPLETON	FRANKLIN MA TAXFREE INCOME R6	R6	NO LOAD	REDEEM ONLY	1600	0	0
FBLAX	FRANKLINTEMPLETON	FRANKLIN MANAGED INCOME FD CL A	A	LOAD	OPEN	1600	100	1000
FBFZX	FRANKLINTEMPLETON	FRANKLIN MANAGED INCOME FD CL ADV	ADVISOR	NO LOAD	OPEN	1600	100	1000
FBMCX	FRANKLINTEMPLETON	FRANKLIN MANAGED INCOME FD CL C	C	LOAD	OPEN	1600	250	1000
FBFRX	FRANKLINTEMPLETON	FRANKLIN MANAGED INCOME FD CL R6	R6	NO LOAD	REDEEM ONLY	1600	0	0
FBFQX	FRANKLINTEMPLETON	FRANKLIN MANAGED INCOME R	R	NO LOAD	REDEEM ONLY	1600	100	100
FMDTX	FRANKLINTEMPLETON	FRANKLIN MD TAX FREE INC FD CL A1	A1	LOAD	SUBS ONLY	1600	100	1000
FMDIX	FRANKLINTEMPLETON	FRANKLIN MD TAX FREE INCM C	C	LOAD	OPEN	1600	1000	1000
FMDZX	FRANKLINTEMPLETON	FRANKLIN MD TAX FREE INCM FD ADV	ADVISOR	NO LOAD	OPEN	1600	100	1000
FQMDX	FRANKLINTEMPLETON	FRANKLIN MD TAX FREE INCM FD CL A	A	LOAD	OPEN	1600	0	0
FMDQX	FRANKLINTEMPLETON	FRANKLIN MD TAX FREE INCOME R6	R6	NO LOAD	REDEEM ONLY	1600	0	0
FRMTX	FRANKLINTEMPLETON	FRANKLIN MI TAX FREE INC C	C	LOAD	OPEN	1600	1000	1000
FTTMX	FRANKLINTEMPLETON	FRANKLIN MI TAX FREE INC FD CL A1	A1	LOAD	SUBS ONLY	1600	100	1000
FMQTX	FRANKLINTEMPLETON	FRANKLIN MI TAX FREE INCM FD CL A	A	LOAD	OPEN	1600	0	0
FMTFX	FRANKLINTEMPLETON	FRANKLIN MI TAX FREE INCM FD CL ADV	ADVISOR	NO LOAD	OPEN	1600	100	1000
FKTNX	FRANKLINTEMPLETON	FRANKLIN MI TAXFREE INC R6	R6	NO LOAD	REDEEM ONLY	1600	0	0
FMNIX	FRANKLINTEMPLETON	FRANKLIN MN TAX FREE INC C	C	LOAD	OPEN	1600	1000	1000
FMINX	FRANKLINTEMPLETON	FRANKLIN MN TAX FREE INC FD CL A1	A1	LOAD	SUBS ONLY	1600	100	1000
FMNQX	FRANKLINTEMPLETON	FRANKLIN MN TAX FREE INCM FD CL A	A	LOAD	OPEN	1600	0	0
FMNZX	FRANKLINTEMPLETON	FRANKLIN MN TAX FREE INCM FD CL ADV	ADVISOR	NO LOAD	OPEN	1600	100	1000
FKTDX	FRANKLINTEMPLETON	FRANKLIN MN TAXFREE INC R6	R6	NO LOAD	REDEEM ONLY	1600	0	0
FRMOX	FRANKLINTEMPLETON	FRANKLIN MO TAX FREE INC FD CL A1	A1	LOAD	SUBS ONLY	1600	100	1000

SYMBOL	FUND FAMILY	FUND DESCRIPTION	SHARE CLASS	FUND TYPE	STATUS	CUT- OFF	IRA MIN	NON-IRA MIN
FMOIX	FRANKLINTEMPLETON	FRANKLIN MO TAX FREE INCM C	C	LOAD	OPEN	1600	1000	1000
FMQOX	FRANKLINTEMPLETON	FRANKLIN MO TAX FREE INCM FD CL A	A	LOAD	OPEN	1600	0	0
FRMZ	FRANKLINTEMPLETON	FRANKLIN MO TAX FREE INCM FD CL ADV	ADVISOR	NO LOAD	OPEN	1600	100	1000
FMOQX	FRANKLINTEMPLETON	FRANKLIN MO TAX FREE INCOME R6	R6	NO LOAD	REDEEM ONLY	1600	0	0
FTMLX	FRANKLINTEMPLETON	FRANKLIN MODERATE ALLOC FD R6	R6	NO LOAD	REDEEM ONLY	1600	0	0
FMTZX	FRANKLINTEMPLETON	FRANKLIN MODERATE ALLOCATION FUND ADV	ADVISOR	NO LOAD	OPEN	1600	100	1000
FMTIX	FRANKLINTEMPLETON	FRANKLIN MODERATE ALLOCATION FUND CL A	A	LOAD	OPEN	1600	100	1000
FTMTX	FRANKLINTEMPLETON	FRANKLIN MODERATE ALLOCATION FUND CL C	C	LOAD	OPEN	1600	250	1000
FTMRX	FRANKLINTEMPLETON	FRANKLIN MODERATE ALLOCATION FUND CL R	R	NO LOAD	REDEEM ONLY	1600	0	0
FMBRX	FRANKLINTEMPLETON	FRANKLIN MUTUAL BEACON FD R6	R6	NO LOAD	REDEEM ONLY	1600	0	0
TEBIX	FRANKLINTEMPLETON	FRANKLIN MUTUAL BEACON FUND CL A	A	LOAD	OPEN	1600	100	1000
TEMEX	FRANKLINTEMPLETON	FRANKLIN MUTUAL BEACON FUND CL C	C	LOAD	OPEN	1600	250	1000
BEGRX	FRANKLINTEMPLETON	FRANKLIN MUTUAL BEACON FUND CL Z	Z	NO LOAD	OPEN	1600	100	1000
FMDRX	FRANKLINTEMPLETON	FRANKLIN MUTUAL GLOBAL DISC FD R6	R6	NO LOAD	REDEEM ONLY	1600	0	0
TEDIX	FRANKLINTEMPLETON	FRANKLIN MUTUAL GLOBAL DISCOVERY FUND CL A	A	LOAD	OPEN	1600	100	1000
TEDSX	FRANKLINTEMPLETON	FRANKLIN MUTUAL GLOBAL DISCOVERY FUND CL C	C	LOAD	OPEN	1600	250	1000
TEDRX	FRANKLINTEMPLETON	FRANKLIN MUTUAL GLOBAL DISCOVERY FUND CL R	R	NO LOAD	REDEEM ONLY	1600	0	0
MDISX	FRANKLINTEMPLETON	FRANKLIN MUTUAL GLOBAL DISCOVERY FUND CL Z	Z	NO LOAD	OPEN	1600	100	1000
TEMIX	FRANKLINTEMPLETON	FRANKLIN MUTUAL INTERNATIONAL VALUE A	A	LOAD	OPEN	1600	100	1000
TEURX	FRANKLINTEMPLETON	FRANKLIN MUTUAL INTERNATIONAL VALUE C	C	LOAD	OPEN	1600	250	1000
FMURX	FRANKLINTEMPLETON	FRANKLIN MUTUAL INTERNATIONAL VALUE R	R	NO LOAD	REDEEM ONLY	1600	0	0
FMEUX	FRANKLINTEMPLETON	FRANKLIN MUTUAL INTERNATIONAL VALUE R6	R6	NO LOAD	REDEEM ONLY	1600	0	0
MEURX	FRANKLINTEMPLETON	FRANKLIN MUTUAL INTERNATIONAL VALUE Z	Z	NO LOAD	OPEN	1600	100	1000
FMQRX	FRANKLINTEMPLETON	FRANKLIN MUTUAL QUEST FD R6	R6	NO LOAD	REDEEM ONLY	1600	0	0
TEQIX	FRANKLINTEMPLETON	FRANKLIN MUTUAL QUEST FUND CL A	A	LOAD	OPEN	1600	100	1000
TEMQX	FRANKLINTEMPLETON	FRANKLIN MUTUAL QUEST FUND CL C	C	LOAD	OPEN	1600	250	1000
MQIFX	FRANKLINTEMPLETON	FRANKLIN MUTUAL QUEST FUND CL Z	Z	NO LOAD	OPEN	1600	100	1000
FMQSX	FRANKLINTEMPLETON	FRANKLIN MUTUAL QUEST R	R	NO LOAD	REDEEM ONLY	1600	0	0
FMSHX	FRANKLINTEMPLETON	FRANKLIN MUTUAL SHARES FD R6	R6	NO LOAD	REDEEM ONLY	1600	0	0
TESIX	FRANKLINTEMPLETON	FRANKLIN MUTUAL SHARES FUND CL A	A	LOAD	OPEN	1600	100	1000
TEMTX	FRANKLINTEMPLETON	FRANKLIN MUTUAL SHARES FUND CL C	C	LOAD	OPEN	1600	250	1000
TESRX	FRANKLINTEMPLETON	FRANKLIN MUTUAL SHARES FUND CL R	R	NO LOAD	REDEEM ONLY	1600	0	0
MUTHX	FRANKLINTEMPLETON	FRANKLIN MUTUAL SHARES FUND CL Z	Z	NO LOAD	OPEN	1600	100	1000
FRMCX	FRANKLINTEMPLETON	FRANKLIN MUTUAL SMALLMID CAP VALUE FD A	A	LOAD	OPEN	1600	100	1000
FVRMX	FRANKLINTEMPLETON	FRANKLIN MUTUAL SMALLMID CAP VALUE FD ADV	ADVISOR	NO LOAD	SUBS ONLY	1600	100	1000
FMCVX	FRANKLINTEMPLETON	FRANKLIN MUTUAL SMALLMID CAP VALUE FD R6	R6	NO LOAD	REDEEM ONLY	1600	0	0
FRBSX	FRANKLINTEMPLETON	FRANKLIN MUTUAL US MID CAP VALUE A	A	LOAD	OPEN	1600	100	1000
FBSAX	FRANKLINTEMPLETON	FRANKLIN MUTUAL US MID CAP VALUE ADV	ADVISOR	NO LOAD	OPEN	1600	100	1000
FCBSX	FRANKLINTEMPLETON	FRANKLIN MUTUAL US MID CAP VALUE C	C	LOAD	OPEN	1600	250	1000
FBSRX	FRANKLINTEMPLETON	FRANKLIN MUTUAL US MID CAP VALUE R	R	NO LOAD	REDEEM ONLY	1600	0	0
FBSIX	FRANKLINTEMPLETON	FRANKLIN MUTUAL US MID CAP VALUE R6	R6	NO LOAD	REDEEM ONLY	1600	0	0

SYMBOL	FUND FAMILY	FUND DESCRIPTION	SHARE CLASS	FUND TYPE	STATUS	CUT- OFF	IRA MIN	NON-IRA MIN
FNCRX	FRANKLINTEMPLETON	FRANKLIN NATURAL RESOURCES C	C	LOAD	OPEN	1600	250	1000
FNRAX	FRANKLINTEMPLETON	FRANKLIN NATURAL RESOURCES FD ADV CL	ADVISOR	NO LOAD	OPEN	1600	100	1000
FRNRX	FRANKLINTEMPLETON	FRANKLIN NATURAL RESOURCES FUND CL A	A	LOAD	OPEN	1600	100	1000
FNC SX	FRANKLINTEMPLETON	FRANKLIN NATURAL RESOURCES R6	R6	NO LOAD	REDEEM ONLY	1600	0	0
FXNCX	FRANKLINTEMPLETON	FRANKLIN NC TAX FREE INC FD CL A1	A1	LOAD	SUBS ONLY	1600	100	1000
FQNCX	FRANKLINTEMPLETON	FRANKLIN NC TAX FREE INCM FD CL A	A	LOAD	OPEN	1600	0	0
FNCZX	FRANKLINTEMPLETON	FRANKLIN NC TAX FREE INCOME FUND ADV	ADVISOR	NO LOAD	OPEN	1600	100	1000
FNCIX	FRANKLINTEMPLETON	FRANKLIN NC TAX FREE INCOME FUND CL C	C	LOAD	OPEN	1600	1000	1000
FNCQX	FRANKLINTEMPLETON	FRANKLIN NC TAXFREE INCOME R6	R6	NO LOAD	REDEEM ONLY	1600	0	0
FRNJX	FRANKLINTEMPLETON	FRANKLIN NJ TAX FREE INC FD CL A1	A1	LOAD	SUBS ONLY	1600	100	1000
FNJZX	FRANKLINTEMPLETON	FRANKLIN NJ TAX FREE INCM ADV	ADVISOR	NO LOAD	OPEN	1600	100	1000
FNII X	FRANKLINTEMPLETON	FRANKLIN NJ TAX FREE INCM C	C	LOAD	OPEN	1600	1000	1000
FNJQX	FRANKLINTEMPLETON	FRANKLIN NJ TAX FREE INCM FD CL A	A	LOAD	OPEN	1600	0	0
FNJRX	FRANKLINTEMPLETON	FRANKLIN NJ TAX FREE INCOME R6	R6	NO LOAD	REDEEM ONLY	1600	0	0
FKNIX	FRANKLINTEMPLETON	FRANKLIN NY INTER TERM TAX FREE INC FD CL A1	A1	LOAD	SUBS ONLY	1600	100	1000
FKNQX	FRANKLINTEMPLETON	FRANKLIN NY INTER TERM TF INCM FD CL A	A	LOAD	OPEN	1600	0	0
FNZYX	FRANKLINTEMPLETON	FRANKLIN NY INTERM TERM TAX FREE INC ADV	ADVISOR	NO LOAD	OPEN	1600	100	1000
FKNCX	FRANKLINTEMPLETON	FRANKLIN NY INTERMEDIATE TF INCM C	C	LOAD	OPEN	1600	1000	1000
FKNRX	FRANKLINTEMPLETON	FRANKLIN NY INTERMEDIATE TF INCOME R6	R6	NO LOAD	REDEEM ONLY	1600	0	0
FNVTX	FRANKLINTEMPLETON	FRANKLIN NY TAX FREE INC FD CL A1	A1	LOAD	SUBS ONLY	1600	100	1000
FNVI X	FRANKLINTEMPLETON	FRANKLIN NY TAX FREE INCM C	C	LOAD	OPEN	1600	1000	1000
FNVA X	FRANKLINTEMPLETON	FRANKLIN NY TAX FREE INCM FD ADV CL	ADVISOR	NO LOAD	OPEN	1600	100	1000
FNVI QX	FRANKLINTEMPLETON	FRANKLIN NY TAX FREE INCM FD CL A	A	LOAD	OPEN	1600	0	0
FKTJX	FRANKLINTEMPLETON	FRANKLIN NY TAX FREE INCOME R6	R6	NO LOAD	REDEEM ONLY	1600	0	0
FOITX	FRANKLINTEMPLETON	FRANKLIN OH TAX FREE INC C	C	LOAD	OPEN	1600	1000	1000
FTOIX	FRANKLINTEMPLETON	FRANKLIN OH TAX FREE INC FD CL A1	A1	LOAD	SUBS ONLY	1600	100	1000
FROZX	FRANKLINTEMPLETON	FRANKLIN OH TAX FREE INCM FD ADV	ADVISOR	NO LOAD	OPEN	1600	100	1000
FOHQX	FRANKLINTEMPLETON	FRANKLIN OH TAX FREE INCM FD CL A	A	LOAD	OPEN	1600	0	0
FKTOX	FRANKLINTEMPLETON	FRANKLIN OH TAXFREE INC R6	R6	NO LOAD	REDEEM ONLY	1600	0	0
FRORX	FRANKLINTEMPLETON	FRANKLIN OR TAX FREE INC FD CL A1	A1	LOAD	SUBS ONLY	1600	100	1000
FORIX	FRANKLINTEMPLETON	FRANKLIN OR TAX FREE INCM C	C	LOAD	OPEN	1600	1000	1000
FOFZX	FRANKLINTEMPLETON	FRANKLIN OR TAX FREE INCM FD ADV	ADVISOR	NO LOAD	OPEN	1600	100	1000
FOFQX	FRANKLINTEMPLETON	FRANKLIN OR TAX FREE INCM FD CL A	A	LOAD	OPEN	1600	0	0
FOFRX	FRANKLINTEMPLETON	FRANKLIN OR TAX FREE INCOME R6	R6	NO LOAD	REDEEM ONLY	1600	0	0
FRPAX	FRANKLINTEMPLETON	FRANKLIN PA TAX FREE INC FD CL A1	A1	LOAD	SUBS ONLY	1600	100	1000
FRPTX	FRANKLINTEMPLETON	FRANKLIN PA TAX FREE INCM C	C	LOAD	OPEN	1600	1000	1000
FPAQX	FRANKLINTEMPLETON	FRANKLIN PA TAX FREE INCM FD CL A	A	LOAD	OPEN	1600	0	0
FPFZX	FRANKLINTEMPLETON	FRANKLIN PA TAX FREE INCM FD CL ADV	ADVISOR	NO LOAD	OPEN	1600	100	1000
FRPRX	FRANKLINTEMPLETON	FRANKLIN PA TAXFREE INCOME R6	R6	NO LOAD	REDEEM ONLY	1600	0	0
FSERX	FRANKLINTEMPLETON	FRANKLIN REAL ESTATE SEC FD R6	R6	NO LOAD	REDEEM ONLY	1600	0	0
FRLAX	FRANKLINTEMPLETON	FRANKLIN REAL ESTATE SECURITIES FUND ADV CL	ADVISOR	NO LOAD	OPEN	1600	100	1000

SYMBOL	FUND FAMILY	FUND DESCRIPTION	SHARE CLASS	FUND TYPE	STATUS	CUT- OFF	IRA MIN	NON-IRA MIN
FREEX	FRANKLINTEMPLETON	FRANKLIN REAL ESTATE SECURITIES FUND CL A	A	LOAD	OPEN	1600	100	1000
FRRSX	FRANKLINTEMPLETON	FRANKLIN REAL ESTATE SECURITIES FUND CL C	C	LOAD	OPEN	1600	250	1000
FRISX	FRANKLINTEMPLETON	FRANKLIN RISING DIV FD CL R6	R6	NO LOAD	REDEEM ONLY	1600	0	0
FRDTX	FRANKLINTEMPLETON	FRANKLIN RISING DIV FUND CL C	C	LOAD	OPEN	1600	250	1000
FRDRX	FRANKLINTEMPLETON	FRANKLIN RISING DIV FUND CL R	R	NO LOAD	REDEEM ONLY	1600	0	0
FRDPX	FRANKLINTEMPLETON	FRANKLIN RISING DIVIDEND FUND CLASS A	A	LOAD	OPEN	1600	100	1000
FRDAX	FRANKLINTEMPLETON	FRANKLIN RISING DIVIDENDS ADV	ADVISOR	NO LOAD	OPEN	1600	100	1000
FSGRX	FRANKLINTEMPLETON	FRANKLIN SMALL CAP GROWTH CL A	A	LOAD	OPEN	1600	100	1000
FSSAX	FRANKLINTEMPLETON	FRANKLIN SMALL CAP GROWTH FUND ADV CL	ADVISOR	NO LOAD	OPEN	1600	100	1000
FCSGX	FRANKLINTEMPLETON	FRANKLIN SMALL CAP GROWTH FUND CL C	C	LOAD	OPEN	1600	250	1000
FSSRX	FRANKLINTEMPLETON	FRANKLIN SMALL CAP GROWTH R	R	NO LOAD	REDEEM ONLY	1600	0	0
FSMLX	FRANKLINTEMPLETON	FRANKLIN SMALL CAP GWTH FD CL R6	R6	NO LOAD	REDEEM ONLY	1600	0	0
FRVFX	FRANKLINTEMPLETON	FRANKLIN SMALL CAP VALUE C	C	LOAD	SUBS ONLY	1600	250	1000
FVFRX	FRANKLINTEMPLETON	FRANKLIN SMALL CAP VALUE FD CL R	R	NO LOAD	REDEEM ONLY	1600	0	0
FRCSX	FRANKLINTEMPLETON	FRANKLIN SMALL CAP VALUE FD CL R6	R6	NO LOAD	SUBS ONLY	1600	0	0
FVADX	FRANKLINTEMPLETON	FRANKLIN SMALL CAP VALUE FUND ADV CL	ADVISOR	NO LOAD	SUBS ONLY	1600	100	1000
FRVLX	FRANKLINTEMPLETON	FRANKLIN SMALL CAP VALUE FUND CL A	A	LOAD	SUBS ONLY	1600	100	1000
FRSIX	FRANKLINTEMPLETON	FRANKLIN SMALL MID CAP GROWTH FUND CL C	C	LOAD	OPEN	1600	250	1000
FSMRX	FRANKLINTEMPLETON	FRANKLIN SMALL MID CAP GROWTH R	R	NO LOAD	REDEEM ONLY	1600	0	0
FMGGX	FRANKLINTEMPLETON	FRANKLIN SMALL MID CAP GWTH FD CL R6	R6	NO LOAD	REDEEM ONLY	1600	0	0
FRSGX	FRANKLINTEMPLETON	FRANKLIN SMALLMID CAP GROWTH FUND CLASS A	A	LOAD	OPEN	1600	100	1000
FSGAX	FRANKLINTEMPLETON	FRANKLIN SMALLMID CAP GROWTH FUNDI ADV CL	ADVISOR	NO LOAD	OPEN	1600	100	1000
FSGCX	FRANKLINTEMPLETON	FRANKLIN STRATEGIC INCM C	C	LOAD	OPEN	1600	250	1000
FGKNX	FRANKLINTEMPLETON	FRANKLIN STRATEGIC INCM FD CL R6	R6	NO LOAD	REDEEM ONLY	1600	0	0
FKSRX	FRANKLINTEMPLETON	FRANKLIN STRATEGIC INCM FD R	R	NO LOAD	REDEEM ONLY	1600	0	0
FKSAX	FRANKLINTEMPLETON	FRANKLIN STRATEGIC INCOME ADV CL	ADVISOR	NO LOAD	OPEN	1600	100	1000
FRSTX	FRANKLINTEMPLETON	FRANKLIN STRATEGIC INCOME FUND CL A	A	LOAD	OPEN	1600	100	1000
FSMZ	FRANKLINTEMPLETON	FRANKLIN STRATEGIC MORT PORT ADV	ADVISOR	NO LOAD	OPEN	1600	0	0
FSMFX	FRANKLINTEMPLETON	FRANKLIN STRATEGIC MORT PORT CL A	A	LOAD	OPEN	1600	100	1000
FSMIX	FRANKLINTEMPLETON	FRANKLIN STRATEGIC MORT PORT CL A1	A1	LOAD	SUBS ONLY	1600	100	1000
FSMQX	FRANKLINTEMPLETON	FRANKLIN STRATEGIC MORTGAGE R6	R6	NO LOAD	REDEEM ONLY	1600	0	0
FQCHX	FRANKLINTEMPLETON	FRANKLIN TEMPLETON SMACS SERIES CH ADV	NO LOAD	NO LOAD	REDEEM ONLY	1600	0	0
FQTEX	FRANKLINTEMPLETON	FRANKLIN TEMPLETON SMACS SERIES E ADV	NO LOAD	NO LOAD	REDEEM ONLY	1600	0	0
FQTIX	FRANKLINTEMPLETON	FRANKLIN TEMPLETON SMACS SERIES I ADV	NO LOAD	NO LOAD	REDEEM ONLY	1600	0	0
FBDAX	FRANKLINTEMPLETON	FRANKLIN TOTAL RETURN ADV	ADVISOR	NO LOAD	OPEN	1600	100	1000
FCTLX	FRANKLINTEMPLETON	FRANKLIN TOTAL RETURN C	C	LOAD	OPEN	1600	250	1000
FRERX	FRANKLINTEMPLETON	FRANKLIN TOTAL RETURN FD CL R6	R6	NO LOAD	REDEEM ONLY	1600	0	0
FKBAX	FRANKLINTEMPLETON	FRANKLIN TOTAL RETURN FUND CL A	A	LOAD	OPEN	1600	100	1000
FTRRX	FRANKLINTEMPLETON	FRANKLIN TOTAL RETURN R	R	NO LOAD	REDEEM ONLY	1600	0	0
FUSAX	FRANKLINTEMPLETON	FRANKLIN U.S. GOVT SEC FUND ADV CL	ADVISOR	NO LOAD	OPEN	1600	100	1000
FRUGX	FRANKLINTEMPLETON	FRANKLIN U.S. GOVT SEC FUND CL C	C	LOAD	OPEN	1600	250	1000

SYMBOL	FUND FAMILY	FUND DESCRIPTION	SHARE CLASS	FUND TYPE	STATUS	CUT- OFF	IRA MIN	NON-IRA MIN
FMFX	FRANKLINTEMPLETON	FRANKLIN US GOVT MONEY FD CL A	A	NO LOAD	OPEN	1600	100	1000
FTQXX	FRANKLINTEMPLETON	FRANKLIN US GOVT MONEY FD CL C	C	LOAD	EXCH ONLY	1600	250	0
FKUSX	FRANKLINTEMPLETON	FRANKLIN US GOVT SEC FD CL A1	A1	LOAD	SUBS ONLY	1600	100	1000
FKFSX	FRANKLINTEMPLETON	FRANKLIN US GOVT SECS FD CL A	A	LOAD	OPEN	1600	0	0
FUSRX	FRANKLINTEMPLETON	FRANKLIN US GOVT SECS FD CL R	R	NO LOAD	REDEEM ONLY	1600	0	0
FGORX	FRANKLINTEMPLETON	FRANKLIN US GOVT SECS FD CL R6	R6	NO LOAD	REDEEM ONLY	1600	0	0
FKUTX	FRANKLINTEMPLETON	FRANKLIN UTIL FD CL A1	A1	LOAD	SUBS ONLY	1600	100	1000
FKUQX	FRANKLINTEMPLETON	FRANKLIN UTILITIES FD CL A	A	LOAD	OPEN	1600	0	0
FUFRX	FRANKLINTEMPLETON	FRANKLIN UTILITIES FD CL R6	R6	NO LOAD	REDEEM ONLY	1600	100000000	0
FRUAX	FRANKLINTEMPLETON	FRANKLIN UTILITIES FUND ADV CL	ADVISOR	NO LOAD	OPEN	1600	100	1000
FRUSX	FRANKLINTEMPLETON	FRANKLIN UTILITIES FUND CL C	C	LOAD	OPEN	1600	250	1000
FRURX	FRANKLINTEMPLETON	FRANKLIN UTILITIES R	R	NO LOAD	REDEEM ONLY	1600	0	0
FRVAX	FRANKLINTEMPLETON	FRANKLIN VA TAX FREE INC FD CL A1	A1	LOAD	SUBS ONLY	1600	100	1000
FVAQX	FRANKLINTEMPLETON	FRANKLIN VA TAX FREE INCM FD CL A	A	LOAD	OPEN	1600	0	0
FRVZX	FRANKLINTEMPLETON	FRANKLIN VA TAX FREE INCM FD CL ADV	ADVISOR	NO LOAD	OPEN	1600	100	1000
FRVRX	FRANKLINTEMPLETON	FRANKLIN VA TAX FREE INCOME R6	R6	NO LOAD	REDEEM ONLY	1600	0	0
FVAIX	FRANKLINTEMPLETON	FRANKLIN VIRGINIA TAX FREE INCOME FD CL C	C	LOAD	OPEN	1600	1000	1000
TCWAX	FRANKLINTEMPLETON	TEMPLETON CHINA WORLD A	A	LOAD	SUBS ONLY	1600	100	1000
TCWCX	FRANKLINTEMPLETON	TEMPLETON CHINA WORLD C	C	LOAD	SUBS ONLY	1600	250	1000
TACWX	FRANKLINTEMPLETON	TEMPLETON CHINA WORLD FUND ADVISOR CLASS	ADVISOR	NO LOAD	SUBS ONLY	1600	100	1000
FCWRX	FRANKLINTEMPLETON	TEMPLETON CHINA WORLD R6	R6	NO LOAD	REDEEM ONLY	1600	0	0
TDADX	FRANKLINTEMPLETON	TEMPLETON DEVELOPING MARKETS TRUST ADVISOR CLASS	ADVISOR	NO LOAD	OPEN	1600	100	1000
TEDMX	FRANKLINTEMPLETON	TEMPLETON DEVELOPING MARKETS TRUST CL A	A	LOAD	OPEN	1600	100	1000
TDMTX	FRANKLINTEMPLETON	TEMPLETON DEVELOPING MARKETS TRUST CL C	C	LOAD	OPEN	1600	250	1000
TDMRX	FRANKLINTEMPLETON	TEMPLETON DEVELOPING MKT TRUST CL R	R	NO LOAD	REDEEM ONLY	1600	0	0
FDEVX	FRANKLINTEMPLETON	TEMPLETON DEVELOPING MKTS TRUST CL R6	R6	NO LOAD	REDEEM ONLY	1600	0	0
FTESX	FRANKLINTEMPLETON	TEMPLETON EMERGING MARKETS SMALL CAP R	R	NO LOAD	REDEEM ONLY	1600	100	100
FTEQX	FRANKLINTEMPLETON	TEMPLETON EMERGING MARKETS SMALL CAP R6	R6	NO LOAD	REDEEM ONLY	1600	0	0
TEMZX	FRANKLINTEMPLETON	TEMPLETON EMRG MKTS SMALL CAP ADV	ADVISOR	NO LOAD	OPEN	1600	100	1000
TCEMX	FRANKLINTEMPLETON	TEMPLETON EMRG MKTS SMALL CAP C	C	LOAD	OPEN	1600	250	1000
TEMMX	FRANKLINTEMPLETON	TEMPLETON EMRG MKTS SMALL CAP FD A	A	LOAD	OPEN	1600	100	1000
TFFAX	FRANKLINTEMPLETON	TEMPLETON FOREIGN FUND ADVISOR CLASS	ADVISOR	NO LOAD	OPEN	1600	100	1000
TEMTX	FRANKLINTEMPLETON	TEMPLETON FOREIGN FUND CL A	A	LOAD	OPEN	1600	100	1000
TEFTX	FRANKLINTEMPLETON	TEMPLETON FOREIGN FUND CL C	C	LOAD	OPEN	1600	250	1000
FTFGX	FRANKLINTEMPLETON	TEMPLETON FOREIGN FUND CL R6	R6	NO LOAD	REDEEM ONLY	1600	0	0
TEFRX	FRANKLINTEMPLETON	TEMPLETON FOREIGN FUND R	R	NO LOAD	REDEEM ONLY	1600	0	0
TAGBX	FRANKLINTEMPLETON	TEMPLETON GBL BALANCED CL A	A	LOAD	OPEN	1600	100	1000
FCGBX	FRANKLINTEMPLETON	TEMPLETON GBL BALANCED CL C	C	LOAD	OPEN	1600	250	1000
TZINX	FRANKLINTEMPLETON	TEMPLETON GLOBAL BALANCED FD ADV CL	ADVISOR	NO LOAD	OPEN	1600	100	1000
TINCX	FRANKLINTEMPLETON	TEMPLETON GLOBAL BALANCED FD CL A1	A1	LOAD	OPEN	1600	100	1000
TCINX	FRANKLINTEMPLETON	TEMPLETON GLOBAL BALANCED FD CL C1	C1	LOAD	SUBS ONLY	1600	250	1000

SYMBOL	FUND FAMILY	FUND DESCRIPTION	SHARE CLASS	FUND TYPE	STATUS	CUT- OFF	IRA MIN	NON-IRA MIN
FGGBX	FRANKLINTEMPLETON	TEMPLETON GLOBAL BALANCED R6	R6	NO LOAD	REDEEM ONLY	1600	0	0
TEGBX	FRANKLINTEMPLETON	TEMPLETON GLOBAL BD C	C	LOAD	OPEN	1600	250	1000
FGBRX	FRANKLINTEMPLETON	TEMPLETON GLOBAL BD R	R	NO LOAD	REDEEM ONLY	1600	0	0
TGBAX	FRANKLINTEMPLETON	TEMPLETON GLOBAL BOND FUND ADVISOR CL	ADVISOR	NO LOAD	OPEN	1600	100	1000
FBNRX	FRANKLINTEMPLETON	TEMPLETON GLOBAL BOND FUND CL R6	R6	NO LOAD	REDEEM ONLY	1600	0	0
TPINX	FRANKLINTEMPLETON	TEMPLETON GLOBAL BOND FUND CLASS A	A	LOAD	OPEN	1600	100	1000
FBOGX	FRANKLINTEMPLETON	TEMPLETON GLOBAL SMALLER COMPANIES CL R6	R6	NO LOAD	REDEEM ONLY	1600	0	0
TGSAX	FRANKLINTEMPLETON	TEMPLETON GLOBAL SMALLER COMPANIES FD ADV CL	ADVISOR	NO LOAD	OPEN	1600	100	1000
TEMGX	FRANKLINTEMPLETON	TEMPLETON GLOBAL SMALLER COMPANIES FUND CL A	A	LOAD	OPEN	1600	100	1000
TESGX	FRANKLINTEMPLETON	TEMPLETON GLOBAL SMALLER COMPANIES FUND CL C	C	LOAD	OPEN	1600	250	1000
TGTRX	FRANKLINTEMPLETON	TEMPLETON GLOBAL TOTAL RETURN A	A	LOAD	OPEN	1600	100	1000
TTRZX	FRANKLINTEMPLETON	TEMPLETON GLOBAL TOTAL RETURN ADV	ADVISOR	NO LOAD	OPEN	1600	100	1000
TTRCX	FRANKLINTEMPLETON	TEMPLETON GLOBAL TOTAL RETURN C	C	LOAD	OPEN	1600	250	1000
FRRGX	FRANKLINTEMPLETON	TEMPLETON GLOBAL TOTAL RETURN R	R	NO LOAD	REDEEM ONLY	1600	0	0
FTTRX	FRANKLINTEMPLETON	TEMPLETON GLOBAL TOTAL RETURN R6	R6	NO LOAD	REDEEM ONLY	1600	0	0
TGADX	FRANKLINTEMPLETON	TEMPLETON GROWTH FUND ADV CL	ADVISOR	NO LOAD	OPEN	1600	100	1000
TEPLX	FRANKLINTEMPLETON	TEMPLETON GROWTH FUND CL A	A	LOAD	OPEN	1600	100	1000
FTGQX	FRANKLINTEMPLETON	TEMPLETON GROWTH FUND CL C	C	LOAD	OPEN	1600	250	1000
FTGFX	FRANKLINTEMPLETON	TEMPLETON GROWTH FUND CL R6	R6	NO LOAD	REDEEM ONLY	1600	0	0
TEGRX	FRANKLINTEMPLETON	TEMPLETON GROWTH R	R	NO LOAD	REDEEM ONLY	1600	0	0
TFSCX	FRANKLINTEMPLETON	TEMPLETON INST FOREIGN SMALLER COMP SERIES	INSTITUTIONAL	NO LOAD	OPEN	1600	50000	50000
TFEQX	FRANKLINTEMPLETON	TEMPLETON INST INTL EQTY SERIES PRIMARY CL	PRIMARY	NO LOAD	OPEN	1600	50000	50000
TFESX	FRANKLINTEMPLETON	TEMPLETON INST INTL EQTY SERIES SERVICE CL	SERVICE	NO LOAD	OPEN	1600	1000000	1000000
FIBQX	FRANKLINTEMPLETON	TEMPLETON INTERNATIONAL BOND R6	R6	NO LOAD	REDEEM ONLY	1600	0	0
TBOAX	FRANKLINTEMPLETON	TEMPLETON INTL BD A	A	LOAD	OPEN	1600	100	1000
FIBZX	FRANKLINTEMPLETON	TEMPLETON INTL BD ADV	ADVISOR	NO LOAD	OPEN	1600	100	1000
FCNBX	FRANKLINTEMPLETON	TEMPLETON INTL BD C	C	LOAD	OPEN	1600	250	1000
FEMGX	FRANKLINTEMPLETON	TEMPLETON SUST EMRG MRKTS BOND A	A	LOAD	OPEN	1600	100	100
FEMZX	FRANKLINTEMPLETON	TEMPLETON SUST EMRG MRKTS BOND ADV	ADVISOR	NO LOAD	OPEN	1600	100	1000
FEMHX	FRANKLINTEMPLETON	TEMPLETON SUST EMRG MRKTS BOND C	C	LOAD	OPEN	1600	0	0
TWDAX	FRANKLINTEMPLETON	TEMPLETON WORLD ADV	ADVISOR	NO LOAD	OPEN	1600	100	1000
TEWTX	FRANKLINTEMPLETON	TEMPLETON WORLD C	C	LOAD	OPEN	1600	250	1000
TEMWX	FRANKLINTEMPLETON	TEMPLETON WORLD FUND CL A	A	LOAD	OPEN	1600	100	1000
FTWRX	FRANKLINTEMPLETON	TEMPLETON WORLD R6	R6	NO LOAD	REDEEM ONLY	1600	0	0
FMGIX	FRONTIER	FRONTIER MFG CORE INFRASTRUCTURE INSTL	I	NO LOAD	SUBS ONLY	1600	1000000	1000000
FCIVX	FRONTIER	FRONTIER MFG CORE INFRASTRUCTURE SERVICE	SERVICE	NO LOAD	OPEN	1600	10000	10000
FMSRX	FRONTIER	FRONTIER MFG GLOBAL SUSTAINABLE FUND	SERVICE	NO LOAD	OPEN	1600	10000	10000
FCFBX	FROST FUNDS	FROST CREDIT FD A	A	LOAD	REDEEM ONLY	1600	1000	1000
FCFIX	FROST FUNDS	FROST CREDIT FD INST	INSTITUTIONAL	NO LOAD	OPEN	1600	0	0
FCFAX	FROST FUNDS	FROST CREDIT INV	INVESTOR	NO LOAD	OPEN	1600	0	0
FICEX	FROST FUNDS	FROST GROWTH EQUITY FD INST CL	INSTITUTIONAL	NO LOAD	OPEN	1600	0	0

SYMBOL	FUND FAMILY	FUND DESCRIPTION	SHARE CLASS	FUND TYPE	STATUS	CUT- OFF	IRA MIN	NON-IRA MIN
FACEX	FROST FUNDS	FROST GROWTH EQUITY FD INV	INVESTOR	NO LOAD	OPEN	1600	0	0
FADLX	FROST FUNDS	FROST LOW DURATION BD INV	INVESTOR	NO LOAD	OPEN	1600	0	0
FILDY	FROST FUNDS	FROST LOW DURATION BOND FD INST CL	INSTITUTIONAL	NO LOAD	OPEN	1600	0	0
FAJEX	FROST FUNDS	FROST TOTAL RETURN BD A	A	LOAD	REDEEM ONLY	1600	1000	1000
FIJEX	FROST FUNDS	FROST TOTAL RETURN BOND FD INST CL	INSTITUTIONAL	NO LOAD	OPEN	1600	0	0
FATRX	FROST FUNDS	FROST TOTAL RETURN BOND FD INV	INVESTOR	NO LOAD	OPEN	1600	0	0
FARLX	FS CHIRON	FS CHIRON REAL ASSET A	A	LOAD	OPEN	1600	0	0
FSRLX	FS CHIRON	FS CHIRON REAL ASSET I	I	NO LOAD	OPEN	1600	0	0
FSMMX	FS CHIRON	FS MULTI STRATEGY ALTERNATIVES A	A	LOAD	OPEN	1600	0	0
FSMSX	FS CHIRON	FS MULTI STRATEGY ALTERNATIVES I	I	NO LOAD	OPEN	1600	0	0
FTMSX	FULLER THALER	FULLERTHALER BEHAVIORAL MICROCAP EQ	INSTITUTIONAL	NO LOAD	OPEN	1600	100000	100000
FTHMX	FULLER THALER	FULLERTHALER BEHAVIORAL MIDCAP EQ	INSTITUTIONAL	NO LOAD	OPEN	1600	0	0
FTVZX	FULLER THALER	FULLERTHALER BEHAVIORAL MIDCAP VAL R6	R6	NO LOAD	REDEEM ONLY	1600	1000000	1000000
FTVSX	FULLER THALER	FULLERTHALER BEHAVIORAL MIDCAP VALUE	INSTITUTIONAL	NO LOAD	OPEN	1600	100000	100000
FTVNX	FULLER THALER	FULLERTHALER BEHAVIORAL MIDCAP VALUE	INVESTOR	NO LOAD	OPEN	1600	100	100
FTHNX	FULLER THALER	FULLERTHALER BEHAVIORAL SMALLCAP EQ	INVESTOR	NO LOAD	SUBS ONLY	1600	100	100
FTHAX	FULLER THALER	FULLERTHALER BEHAVIORAL SMALLCAP EQ A	A	LOAD	SUBS ONLY	1600	100	100
FTYCX	FULLER THALER	FULLERTHALER BEHAVIORAL SMALLCAP EQ C	C	LOAD	SUBS ONLY	1600	1000	1000
FTHSX	FULLER THALER	FULLERTHALER BEHAVIORAL SMALLCAP EQ I	INSTITUTIONAL	NO LOAD	SUBS ONLY	1600	0	0
FTHFX	FULLER THALER	FULLERTHALER BEHAVIORAL SMALLCAP EQ R6	R6	NO LOAD	REDEEM ONLY	1600	1000000	1000000
FTXAX	FULLER THALER	FULLERTHALER BEHAVIORAL SMALLCAP GTH A	A	LOAD	OPEN	1600	1000	1000
FTXCX	FULLER THALER	FULLERTHALER BEHAVIORAL SMALLCAP GTH C	C	LOAD	OPEN	1600	1000	1000
FTXSX	FULLER THALER	FULLERTHALER BEHAVIORAL SMALLCAP GTH I	INSTITUTIONAL	NO LOAD	OPEN	1600	100000	100000
FTXNX	FULLER THALER	FULLERTHALER BEHAVIORAL SMALLCAP GTH I	INVESTOR	NO LOAD	OPEN	1600	100	100
FTXFX	FULLER THALER	FULLERTHALER BEHAVIORAL SMALLCAP R6	R6	NO LOAD	REDEEM ONLY	1600	1000000	1000000
FTSAX	FULLER THALER	FULLERTHALER BEHAVIORAL SMALLMID A	A	LOAD	OPEN	1600	100	100
FTSIX	FULLER THALER	FULLERTHALER BEHAVIORAL SMALLMID I	INSTITUTIONAL	NO LOAD	OPEN	1600	100000	100000
FTZIX	FULLER THALER	FULLERTHALER BEHAVIORAL UNCONSTRAINED	INSTITUTIONAL	NO LOAD	OPEN	1600	100000	100000
FTZAX	FULLER THALER	FULLERTHALER BEHAVIOUR UNCONSTRAINED A	A	LOAD	OPEN	1600	100	100
CPCCX	GABELLI	COMSTK CAPITAL VALUE C	C	LOAD	REDEEM ONLY	1600	250	1000
GABCX	GABELLI	GABELLI ABC FUND	AAA	NO LOAD	REDEEM ONLY	1600	10000	10000
GADVX	GABELLI	GABELLI ABC FUND ADV CL	ADVISOR	NO LOAD	OPEN	1600	10000	10000
GATAX	GABELLI	GABELLI ASSET A	A	LOAD	OPEN	1600	250	1000
GATCX	GABELLI	GABELLI ASSET C	C	LOAD	OPEN	1600	250	1000
GABIX	GABELLI	GABELLI ASSET FUND CL I	I	NO LOAD	OPEN	1600	500000	500000
GABAX	GABELLI	GABELLI ASSET FUND CLASS AAA	AAA	NO LOAD	OPEN	1600	100	100
COMVX	GABELLI	GABELLI COMSTOCK CAPITAL VALUE FUND CL AAA	AAA	NO LOAD	OPEN	1600	100	100
DRCVX	GABELLI	GABELLI COMSTOCK CAPITALVALUE FUND CLASS A	A	LOAD	REDEEM ONLY	1600	250	1000
GBCAX	GABELLI	GABELLI DIVIDEND GROWTH FD CL A	A	LOAD	OPEN	1600	250	1000
GABBX	GABELLI	GABELLI DIVIDEND GROWTH FD CL AAA	AAA	NO LOAD	OPEN	1600	100	100
GBCIX	GABELLI	GABELLI DIVIDEND GROWTH I	I	NO LOAD	OPEN	1600	50000	50000

SYMBOL	FUND FAMILY	FUND DESCRIPTION	SHARE CLASS	FUND TYPE	STATUS	CUT- OFF	IRA MIN	NON-IRA MIN
EAAX	GABELLI	GABELLI ENTERPRISE MERG & ACQUISITIONS AAA	AAA	NO LOAD	OPEN	1600	100	100
EMAX	GABELLI	GABELLI ENTERPRISE MERGERS & ACQUISITIONS A	A	LOAD	OPEN	1600	250	1000
EMAYX	GABELLI	GABELLI ENTERPRISE MERGERS & ACQUISITIONS Y	Y	NO LOAD	OPEN	1600	250	500000
EMACX	GABELLI	GABELLI ENTERPRISE MERGERS& ACQUISITIONS C	C	LOAD	OPEN	1600	250	1000
GCAEX	GABELLI	GABELLI EQTY INCM A	A	LOAD	OPEN	1600	250	1000
GEICX	GABELLI	GABELLI EQUITY INCOME C	C	LOAD	OPEN	1600	0	0
GCIEX	GABELLI	GABELLI EQUITY INCOME FUND CL I	I	NO LOAD	OPEN	1600	500000	500000
GABEX	GABELLI	GABELLI EQUITY INCOME FUND CLASS AAA	AAA	NO LOAD	OPEN	1600	100	100
GWSAX	GABELLI	GABELLI FOCUS FIVE FUND A	A	LOAD	OPEN	1600	250	1000
GWSVX	GABELLI	GABELLI FOCUS FIVE FUND AAA	AAA	NO LOAD	OPEN	1600	100	100
GWSIX	GABELLI	GABELLI FOCUS FIVE FUND I	I	NO LOAD	OPEN	1600	500000	500000
GWSCX	GABELLI	GABELLI FOCUSED GROWTH AND INCOME FUND	C	LOAD	OPEN	1600	250	1000
GAGCX	GABELLI	GABELLI GLBL RISING INCM AND DIV FD CL AAA	AAA	NO LOAD	SUBS ONLY	1600	100	100
GTCAEX	GABELLI	GABELLI GLOBAL CONTENT & CONNECTIVITY FD CL A	A	LOAD	SUBS ONLY	1600	250	1000
GABTX	GABELLI	GABELLI GLOBAL CONTENT & CONNECTIVITY FD CL AAA	AAA	NO LOAD	SUBS ONLY	1600	100	100
GAFSX	GABELLI	GABELLI GLOBAL FINANCIAL SERVICES FD AAA	AAA	NO LOAD	OPEN	1600	100	100
GFSIX	GABELLI	GABELLI GLOBAL FINANCIAL SERVICES FD I	I	NO LOAD	OPEN	1600	100000	100000
GGGAX	GABELLI	GABELLI GLOBAL GROWTH FD CL A	A	LOAD	OPEN	1600	250	1000
GICPX	GABELLI	GABELLI GLOBAL GROWTH FD CL AAA	AAA	NO LOAD	SUBS ONLY	1600	100	100
GGGIX	GABELLI	GABELLI GLOBAL GROWTH FD CL I	I	NO LOAD	OPEN	1600	1000	1000
GGMMX	GABELLI	GABELLI GLOBAL MINI MITES I	I	NO LOAD	OPEN	1600	100	100
GAGIX	GABELLI	GABELLI GLOBAL RISING INCM AND DIV FD I	I	NO LOAD	OPEN	1600	1000	1000
GLDAX	GABELLI	GABELLI GOLD FD A	A	LOAD	OPEN	1600	250	1000
GOLDX	GABELLI	GABELLI GOLD FD AAA	AAA	NO LOAD	OPEN	1600	100	100
GLDCX	GABELLI	GABELLI GOLD FD C	C	LOAD	OPEN	1600	250	1000
GLDIX	GABELLI	GABELLI GOLD FD I	I	NO LOAD	OPEN	1600	500000	500000
GGCAX	GABELLI	GABELLI GROWTH A	A	LOAD	OPEN	1600	250	1000
GGCCX	GABELLI	GABELLI GROWTH C	C	LOAD	OPEN	1600	250	1000
GLOIX	GABELLI	GABELLI INTERNATIONAL SMALL CAP I	I	NO LOAD	OPEN	1600	100	100
GABOX	GABELLI	GABELLI INTL SMALL CAP FD CL AAA	AAA	NO LOAD	SUBS ONLY	1600	100	100
MOGLX	GABELLI	GABELLI MEDIA MOGUL FD I	I	NO LOAD	OPEN	1600	250	5000
PETZX	GABELLI	GABELLI PET PARENTS FD I	I	NO LOAD	OPEN	1600	250	5000
GCASX	GABELLI	GABELLI SMALL CAP GROWTH A	A	LOAD	OPEN	1600	250	1000
GCCSX	GABELLI	GABELLI SMALL CAP GROWTH C	C	LOAD	OPEN	1600	250	1000
GABSX	GABELLI	GABELLI SMALL CAP GROWTH FUND CLASS AAA	AAA	NO LOAD	OPEN	1600	100	100
GACIX	GABELLI	GABELLI SMALL CAP GROWTH I	I	NO LOAD	OPEN	1600	500000	500000
SRIAX	GABELLI	GABELLI SRI A	A	LOAD	SUBS ONLY	1600	250	1000
SRIGX	GABELLI	GABELLI SRI AAA	AAA	NO LOAD	SUBS ONLY	1600	100	100
SRICX	GABELLI	GABELLI SRI C	C	LOAD	REDEEM ONLY	1600	250	1000
SRIDX	GABELLI	GABELLI SRI I	I	NO LOAD	OPEN	1600	1000	1000
GBAXX	GABELLI	GABELLI US TREASURY MMKT A	A	NO LOAD	OPEN	1600	0	0

SYMBOL	FUND FAMILY	FUND DESCRIPTION	SHARE CLASS	FUND TYPE	STATUS	CUT- OFF	IRA MIN	NON-IRA MIN
GBCXX	GABELLI	GABELLI US TREASURY MONEY MKT CL C	C	LOAD	OPEN	1600	1000	3000
GABXX	GABELLI	GABELLI US TREASURY MONEY MKT CLASS AAA	AAA	LOAD	OPEN	1600	0	0
GAUAX	GABELLI	GABELLI UTILITIES A	A	LOAD	OPEN	1600	250	1000
GUXPX	GABELLI	GABELLI UTILITIES C	C	LOAD	OPEN	1600	250	1000
GABUX	GABELLI	GABELLI UTILITIES FUND CLASS AAA	AAA	NO LOAD	OPEN	1600	100	100
GAUIX	GABELLI	GABELLI UTILITIES I	I	NO LOAD	OPEN	1600	500000	500000
GABVX	GABELLI	GABELLI VALUE 25 FUND CL A	A	LOAD	OPEN	1600	250	1000
GVCAx	GABELLI	GABELLI VALUE 25 FUND CL AAA	AAA	NO LOAD	OPEN	1600	100	100
GVCCX	GABELLI	GABELLI VALUE 25 FUND CL C	C	LOAD	OPEN	1600	250	1000
GVCIX	GABELLI	GABELLI VALUE 25 FUND CL I	I	NO LOAD	OPEN	1600	500000	500000
GTTIX	GABELLI	GAMCO GLOBAL TELECOMS FD CL I	I	NO LOAD	OPEN	1600	1000	1000
GGCIX	GABELLI	GAMCO GROWTH FUND CL I	I	NO LOAD	OPEN	1600	500000	500000
GABGX	GABELLI	GAMCO GROWTH FUND CLASS AAA	AAA	NO LOAD	OPEN	1600	100	100
GAIGX	GABELLI	GAMCO INTERNATIONAL GROWTH FUND	A	LOAD	OPEN	1600	250	1000
GIGRX	GABELLI	GAMCO INTL GROWTH FUND CLASS AAA	AAA	NO LOAD	OPEN	1600	100	100
WESRX	GABELLI	TETON CONVERTIBLE SEC FD CL AAA	AAA	NO LOAD	OPEN	1600	100	100
WESIX	GABELLI	TETON CONVERTIBLE SEC FD CL I	I	NO LOAD	OPEN	1600	100000	100000
WEIAX	GABELLI	TETON CONVERTIBLE SECURITIES A	A	LOAD	OPEN	1600	100	100
WEICX	GABELLI	TETON CONVERTIBLE SECURITIES C	C	LOAD	OPEN	1600	250	1000
WEBCX	GABELLI	TETON WESTWOOD BALANCED FD CL A	A	LOAD	OPEN	1600	250	1000
WEBAX	GABELLI	TETON WESTWOOD BALANCED FD CL AAA	AAA	NO LOAD	OPEN	1600	100	100
WBCCX	GABELLI	TETON WESTWOOD BALANCED FD CL C	C	LOAD	OPEN	1600	250	1000
WEECX	GABELLI	TETON WESTWOOD EQUITY FD CL A	A	LOAD	OPEN	1600	250	1000
WESWX	GABELLI	TETON WESTWOOD EQUITY FD CL AAA	AAA	NO LOAD	OPEN	1600	100	100
WEEIX	GABELLI	TETON WESTWOOD EQUITY FD CL I	I	NO LOAD	OPEN	1600	500000	500000
WMMAX	GABELLI	TETON WESTWOOD MIGHTY MITES CL A	A	LOAD	OPEN	1600	1000	1000
WEMMX	GABELLI	TETON WESTWOOD MIGHTY MITES CL AAA	AAA	NO LOAD	OPEN	1600	1000	1000
WMMCX	GABELLI	TETON WESTWOOD MIGHTY MITES CL C	C	LOAD	OPEN	1600	1000	1000
WEIMX	GABELLI	TETON WESTWOOD MIGHTY MITES CL I	I	NO LOAD	OPEN	1600	500000	500000
WWSAX	GABELLI	TETON WESTWOOD SMALL CAP EQTY CL A	A	LOAD	OPEN	1600	250	1000
WESCX	GABELLI	TETON WESTWOOD SMALL CAP EQTY CL AAA	AAA	NO LOAD	OPEN	1600	100	100
WWSCX	GABELLI	TETON WESTWOOD SMALL CAP EQTY CL C	C	LOAD	OPEN	1600	250	1000
WWSIX	GABELLI	TETON WESTWOOD SMALL CAP EQTY CL I	I	NO LOAD	OPEN	1600	500000	500000
GDIIX	GENTER FUNDS	RNC GENTER DIV INCM FD	NO LOAD	NO LOAD	OPEN	1600	0	0
NOVIX	GLENMEDE	GLENMEDE GLOBAL SECURED OPTIONS	NO LOAD	NO LOAD	OPEN	1600	0	0
GTCIX	GLENMEDE	GLENMEDE INTL PORT	NO LOAD	NO LOAD	OPEN	1600	0	0
GTLOX	GLENMEDE	GLENMEDE LARGE CAP CORE ADV	ADVISOR	NO LOAD	OPEN	1600	0	0
GTLIX	GLENMEDE	GLENMEDE LARGE CAP CORE INST	INSTITUTIONAL	NO LOAD	OPEN	1600	10000000	10000000
GTLIX	GLENMEDE	GLENMEDE LARGE CAP GWTH ADV	ADVISOR	NO LOAD	OPEN	1600	0	0
GTILX	GLENMEDE	GLENMEDE LARGE CAP GWTH INST	INSTITUTIONAL	NO LOAD	OPEN	1600	10000000	10000000
GTAPX	GLENMEDE	GLENMEDE LONG SHORT PORT	NO LOAD	NO LOAD	OPEN	1600	0	0

SYMBOL	FUND FAMILY	FUND DESCRIPTION	SHARE CLASS	FUND TYPE	STATUS	CUT- OFF	IRA MIN	NON-IRA MIN
GTLSX	GLENMEDE	GLENMEDE QUANT US LONGSHORT EQUITY INS	INSTITUTIONAL	NO LOAD	OPEN	1600	0	0
RESGX	GLENMEDE	GLENMEDE RESPONSIBLE ESG US EQTY	NO LOAD	NO LOAD	OPEN	1600	0	0
GTSOX	GLENMEDE	GLENMEDE SECURED OPTIONS ADV	ADVISOR	NO LOAD	OPEN	1600	0	0
GLSOX	GLENMEDE	GLENMEDE SECURED OPTIONS INST	INSTITUTIONAL	NO LOAD	OPEN	1600	0	0
GTSCX	GLENMEDE	GLENMEDE SMALL CAP EQTY FUND INST	INSTITUTIONAL	NO LOAD	OPEN	1600	10000000	10000000
GTC SX	GLENMEDE	GLENMEDE SMALL CAP EQUITY FUND ADV SHRS	ADVISOR	NO LOAD	OPEN	1600	0	0
GTCEX	GLENMEDE	GLENMEDE STRATEGIC EQTY PORT	NO LOAD	NO LOAD	OPEN	1600	0	0
GTTMX	GLENMEDE	GLENMEDE TOTAL MKT PORT	NO LOAD	NO LOAD	OPEN	1600	0	0
GWILX	GLENMEDE	GLENMEDE WOMEN IN LEADERSHIP US EQTY	NO LOAD	NO LOAD	OPEN	1600	0	0
GAAGX	GMO	GMO ALTERNATIVE ALLOC FD I	I	NO LOAD	OPEN	1600	0	0
GBMIX	GMO	GMO BENCHMARKFREE ALLOCATION I	I	NO LOAD	OPEN	1600	0	0
GBMSX	GMO	GMO BENCHMARKFREE ALLOCATION R6	R6	NO LOAD	REDEEM ONLY	1600	0	0
GCCLX	GMO	GMO CLIMATE CHANGE FD CL INST	I	NO LOAD	OPEN	1600	0	0
GCCAX	GMO	GMO CLIMATE CHANGE FD CL R6	R6	NO LOAD	REDEEM ONLY	1600	0	0
GMAOX	GMO	GMO CYCLICAL FOCUS I	I	NO LOAD	OPEN	1600	0	0
GEMEX	GMO	GMO EMERGING MARKETS FUND I	I	NO LOAD	OPEN	1600	0	0
GEMNX	GMO	GMO EMERGING MARKETS R6	R6	NO LOAD	REDEEM ONLY	1600	0	0
GMOOX	GMO	GMO GLOBAL ASSET ALLOCATION I	I	NO LOAD	OPEN	1600	0	0
GMWRX	GMO	GMO GLOBAL ASSET ALLOCATION R6	R6	NO LOAD	REDEEM ONLY	1600	100000	100000
GAAUX	GMO	GMO GLOBAL EQUITY ALLOCATION I	I	NO LOAD	OPEN	1600	0	0
GMOZX	GMO	GMO HIGH YIELD I	I	NO LOAD	OPEN	1600	0	0
GSXMX	GMO	GMO INTERNATIONAL EQUITY ALLOCATION R6	R6	NO LOAD	REDEEM ONLY	1600	100000	100000
GMOUX	GMO	GMO INTERNATIONAL EQUITY I	I	NO LOAD	OPEN	1600	0	0
GMOIX	GMO	GMO INTL EQTY FD III	III	NO LOAD	REDEEM ONLY	1600	0	0
GMOLX	GMO	GMO OPPORTUNISTIC INCOME FD CL I	I	NO LOAD	OPEN	1600	0	0
GAAAX	GMO	GMO OPPORTUNISTIC INCOME R6	R6	NO LOAD	REDEEM ONLY	1600	0	0
GQLIX	GMO	GMO QUALITY FD I	I	NO LOAD	OPEN	1600	0	0
GQESX	GMO	GMO QUALITY R6	R6	NO LOAD	REDEEM ONLY	1600	100000	100000
GMAYX	GMO	GMO SMALL CAP QUALITY I	I	NO LOAD	OPEN	1600	0	0
GAAWX	GMO	GMO TRUST INTL DEV EQ R6	R6	NO LOAD	REDEEM ONLY	1600	100000	100000
GEACX	GMO	GMO TRUST RESOURCES FD I	I	NO LOAD	OPEN	1600	0	0
GAAHX	GMO	GMO TRUST RESOURCS FD R6	R6	NO LOAD	REDEEM ONLY	1600	100000	100000
PPAEX	GMO	GMO U.S. OPPORTUNISTIC VALUE I	I	NO LOAD	OPEN	1600	0	0
GMIIX	GMO	GMOUSONIAN JAPAN VALUE CREATION I	I	NO LOAD	OPEN	1600	0	0
GRHIX	GOEHRING AND ROZENCWAJG	GOEHRING & ROZENCWAJG RESOURCES FD INST	INSTITUTIONAL	NO LOAD	OPEN	1600	100000	100000
GRHAX	GOEHRING AND ROZENCWAJG	GOEHRING & ROZENCWAJG RESOURCES FD RETAIL	RETAIL	NO LOAD	OPEN	1600	100	100
GARTX	GOLDMAN SACHS	GOLDMAN SACHS ABSOLUTE RETURN TRACKER FD CL A	A	LOAD	OPEN	1600	250	1000
GCRTX	GOLDMAN SACHS	GOLDMAN SACHS ABSOLUTE RETURN TRACKER FD CL C	C	LOAD	OPEN	1600	250	1000
GRRTX	GOLDMAN SACHS	GOLDMAN SACHS ABSOLUTE RETURN TRACKER FD CL R	R	NO LOAD	REDEEM ONLY	1600	0	0
GJRTX	GOLDMAN SACHS	GOLDMAN SACHS ABSOLUTE RETURN TRACKER FD INST CL	INSTITUTIONAL	NO LOAD	OPEN	1600	1000000	1000000
GSRTX	GOLDMAN SACHS	GOLDMAN SACHS ABSOLUTE RETURN TRACKER INV	INVESTOR	NO LOAD	REDEEM ONLY	1600	0	0

SYMBOL	FUND FAMILY	FUND DESCRIPTION	SHARE CLASS	FUND TYPE	STATUS	CUT- OFF	IRA MIN	NON-IRA MIN
GARUX	GOLDMAN SACHS	GOLDMAN SACHS ABSOLUTE RETURN TRACKER R6	R6	NO LOAD	REDEEM ONLY	1600	0	0
GIPIX	GOLDMAN SACHS	GOLDMAN SACHS BALA STRATPORT INST CL	INSTITUTIONAL	NO LOAD	OPEN	1600	1000000	1000000
GIPAX	GOLDMAN SACHS	GOLDMAN SACHS BALANCED STRATEGY A	A	LOAD	OPEN	1600	250	1000
GIPTX	GOLDMAN SACHS	GOLDMAN SACHS BALANCED STRATEGY INV	INVESTOR	NO LOAD	REDEEM ONLY	1600	0	0
GIPCX	GOLDMAN SACHS	GOLDMAN SACHS BALANCED STRATEGY PORTFOLIO CLASS C	C	LOAD	OPEN	1600	250	1000
GIPUX	GOLDMAN SACHS	GOLDMAN SACHS BALANCED STRATEGY R6	R6	NO LOAD	REDEEM ONLY	1600	0	5000000
GSFAX	GOLDMAN SACHS	GOLDMAN SACHS BOND A	A	LOAD	OPEN	1600	250	1000
GSFCX	GOLDMAN SACHS	GOLDMAN SACHS BOND C	C	LOAD	OPEN	1600	250	1000
GSNIX	GOLDMAN SACHS	GOLDMAN SACHS BOND INST	INSTITUTIONAL	NO LOAD	OPEN	1600	1000000	1000000
GSNTX	GOLDMAN SACHS	GOLDMAN SACHS BOND INVESTOR	INVESTOR	NO LOAD	REDEEM ONLY	1600	0	0
GSFUX	GOLDMAN SACHS	GOLDMAN SACHS BOND R6	R6	NO LOAD	REDEEM ONLY	1600	0	0
GSPRX	GOLDMAN SACHS	GOLDMAN SACHS CAPITAL GROWTH FD R	R	NO LOAD	REDEEM ONLY	1600	0	0
GSCGX	GOLDMAN SACHS	GOLDMAN SACHS CAPITAL GROWTH FUND CL A	A	LOAD	OPEN	1600	250	1000
GSPCX	GOLDMAN SACHS	GOLDMAN SACHS CAPITAL GROWTH FUND CLASS C	C	LOAD	OPEN	1600	250	1000
GSPIX	GOLDMAN SACHS	GOLDMAN SACHS CAPITAL GROWTH FUND INST CL	INSTITUTIONAL	NO LOAD	OPEN	1600	1000000	1000000
GSPTX	GOLDMAN SACHS	GOLDMAN SACHS CAPITAL GROWTH INV	INVESTOR	NO LOAD	REDEEM ONLY	1600	0	0
GSAGX	GOLDMAN SACHS	GOLDMAN SACHS CHINA EQTY FD CL A	A	LOAD	OPEN	1600	250	1000
GSACX	GOLDMAN SACHS	GOLDMAN SACHS CHINA EQTY FD CL C	C	LOAD	OPEN	1600	250	1000
GSAIX	GOLDMAN SACHS	GOLDMAN SACHS CHINA EQTY FD INST	INSTITUTIONAL	NO LOAD	OPEN	1600	1000000	1000000
GSAEX	GOLDMAN SACHS	GOLDMAN SACHS CHINA EQUITY INV	INVESTOR	NO LOAD	REDEEM ONLY	1600	0	0
GCEBX	GOLDMAN SACHS	GOLDMAN SACHS CLEAN ENERGY INCOME A	A	LOAD	OPEN	1600	100	100
GCEDX	GOLDMAN SACHS	GOLDMAN SACHS CLEAN ENERGY INCOME INS	INSTITUTIONAL	NO LOAD	OPEN	1600	1000000	1000000
GSCCX	GOLDMAN SACHS	GOLDMAN SACHS COMMODITY STRATEGY C	C	LOAD	OPEN	1600	250	1000
GSCAX	GOLDMAN SACHS	GOLDMAN SACHS COMMODITY STRATEGY FD A	A	LOAD	OPEN	1600	250	1000
GCCIX	GOLDMAN SACHS	GOLDMAN SACHS COMMODITY STRATEGY INST	INSTITUTIONAL	NO LOAD	OPEN	1600	1000000	1000000
GCCTX	GOLDMAN SACHS	GOLDMAN SACHS COMMODITY STRATEGY INV	INVESTOR	NO LOAD	REDEEM ONLY	1600	0	0
GCCUX	GOLDMAN SACHS	GOLDMAN SACHS COMMODITY STRATEGY R6	R6	NO LOAD	REDEEM ONLY	1600	0	0
GCFIX	GOLDMAN SACHS	GOLDMAN SACHS CORE FIXED INCOME FUND CLASS A	A	LOAD	OPEN	1600	250	1000
GCFCX	GOLDMAN SACHS	GOLDMAN SACHS CORE FIXED INCOME FUND CLASS C	C	LOAD	OPEN	1600	250	1000
GSFIX	GOLDMAN SACHS	GOLDMAN SACHS CORE FIXED INCOME FUND INSTL CL	INSTITUTIONAL	NO LOAD	OPEN	1600	1000000	1000000
GDFTX	GOLDMAN SACHS	GOLDMAN SACHS CORE FIXED INCOME INV	INVESTOR	NO LOAD	REDEEM ONLY	1600	0	0
GAKPX	GOLDMAN SACHS	GOLDMAN SACHS CORE FIXED INCOME P	P	NO LOAD	REDEEM ONLY	1600	0	0
GSCSX	GOLDMAN SACHS	GOLDMAN SACHS CORE FIXED INCOME SVC	SERVICE	NO LOAD	OPEN	1600	0	0
GAPAX	GOLDMAN SACHS	GOLDMAN SACHS DYNAMIC GLOBAL EQTY FD CL A	A	LOAD	OPEN	1600	250	1000
GAXCX	GOLDMAN SACHS	GOLDMAN SACHS DYNAMIC GLOBAL EQTY FD CL C	C	LOAD	OPEN	1600	250	1000
GAPRX	GOLDMAN SACHS	GOLDMAN SACHS DYNAMIC GLOBAL EQTY FD CL R	R	NO LOAD	REDEEM ONLY	1600	0	0
GAPIX	GOLDMAN SACHS	GOLDMAN SACHS DYNAMIC GLOBAL EQTY FD INST	INSTITUTIONAL	NO LOAD	OPEN	1600	1000000	1000000
GAPTIX	GOLDMAN SACHS	GOLDMAN SACHS DYNAMIC GLOBAL EQTY FD INV	INVESTOR	NO LOAD	REDEEM ONLY	1600	0	0
GAPSX	GOLDMAN SACHS	GOLDMAN SACHS DYNAMIC GLOBAL EQTY FD SERVICE	SERVICE	NO LOAD	REDEEM ONLY	1600	0	0
GUIRX	GOLDMAN SACHS	GOLDMAN SACHS DYNAMIC MUNI INCM CL INV	INVESTOR	NO LOAD	REDEEM ONLY	1600	0	0
GAJPX	GOLDMAN SACHS	GOLDMAN SACHS DYNAMIC MUNI INCM CL P	P	NO LOAD	REDEEM ONLY	1600	0	0

SYMBOL	FUND FAMILY	FUND DESCRIPTION	SHARE CLASS	FUND TYPE	STATUS	CUT- OFF	IRA MIN	NON-IRA MIN
GERCX	GOLDMAN SACHS	GOLDMAN SACHS EM MKTS EQ INSGHTS C	C	LOAD	OPEN	1600	250	1000
GIRPX	GOLDMAN SACHS	GOLDMAN SACHS EM MKTS EQ INSGHTS INV	INVESTOR	NO LOAD	REDEEM ONLY	1600	0	0
GSDAX	GOLDMAN SACHS	GOLDMAN SACHS EMERGING MARKETS DEBT FUND CL A	A	LOAD	OPEN	1600	250	1000
GEMUX	GOLDMAN SACHS	GOLDMAN SACHS EMERGING MARKETS EQ R6	R6	NO LOAD	REDEEM ONLY	1600	100000	100000
GEMSX	GOLDMAN SACHS	GOLDMAN SACHS EMERGING MARKETS EQ SVC	SERVICE	NO LOAD	REDEEM ONLY	1600	0	0
GEMAX	GOLDMAN SACHS	GOLDMAN SACHS EMERGING MARKETS EQUITY A	A	LOAD	OPEN	1600	250	1000
GEMCX	GOLDMAN SACHS	GOLDMAN SACHS EMERGING MARKETS EQUITY FUND CL C	C	LOAD	OPEN	1600	250	1000
GSCDX	GOLDMAN SACHS	GOLDMAN SACHS EMRG MKTS DEBT C	C	LOAD	OPEN	1600	250	1000
GSDIX	GOLDMAN SACHS	GOLDMAN SACHS EMRG MKTS DEBT INST	INSTITUTIONAL	NO LOAD	OPEN	1600	1000000	1000000
GSIRX	GOLDMAN SACHS	GOLDMAN SACHS EMRG MKTS DEBT INV	INVESTOR	NO LOAD	REDEEM ONLY	1600	0	0
GAIPX	GOLDMAN SACHS	GOLDMAN SACHS EMRG MKTS DEBT P	P	NO LOAD	REDEEM ONLY	1600	0	0
GSIUXX	GOLDMAN SACHS	GOLDMAN SACHS EMRG MKTS DEBT R6	R6	NO LOAD	REDEEM ONLY	1600	0	0
GERAX	GOLDMAN SACHS	GOLDMAN SACHS EMRG MKTS EQTY INSIGHTS A	A	LOAD	OPEN	1600	250	1000
GERIX	GOLDMAN SACHS	GOLDMAN SACHS EMRG MKTS EQTY INSIGHTS INST	INSTITUTIONAL	NO LOAD	OPEN	1600	1000000	1000000
GEMIX	GOLDMAN SACHS	GOLDMAN SACHS EMRG MKTS EQTY INST	INSTITUTIONAL	NO LOAD	OPEN	1600	1000000	1000000
GIRMXX	GOLDMAN SACHS	GOLDMAN SACHS EMRG MKTS EQTY INV	INVESTOR	NO LOAD	REDEEM ONLY	1600	0	0
GAHPX	GOLDMAN SACHS	GOLDMAN SACHS EMRG MKTS EQTY P	P	NO LOAD	REDEEM ONLY	1600	0	0
GGZPX	GOLDMAN SACHS	GOLDMAN SACHS ENH COR EQFUNDSHARECLASSP	P	NO LOAD	REDEEM ONLY	1600	0	0
GSLXX	GOLDMAN SACHS	GOLDMAN SACHS ENH CORE EQ FUNDINVSHARES	INVESTOR	NO LOAD	REDEEM ONLY	1600	0	0
GALLX	GOLDMAN SACHS	GOLDMAN SACHS ENHANCED CORE EQ A	A	LOAD	OPEN	1600	250	1000
GCLLX	GOLDMAN SACHS	GOLDMAN SACHS ENHANCED CORE EQ C	C	LOAD	OPEN	1600	250	1000
GILLX	GOLDMAN SACHS	GOLDMAN SACHS ENHANCED CORE EQ I	INSTITUTIONAL	NO LOAD	OPEN	1600	1000000	1000000
GADGX	GOLDMAN SACHS	GOLDMAN SACHS ENHANCED DIV GBL EQ A	A	LOAD	OPEN	1600	100	100
GAFPX	GOLDMAN SACHS	GOLDMAN SACHS ENHANCED DIV GBL EQTY P	P	NO LOAD	REDEEM ONLY	1600	0	0
GIDGX	GOLDMAN SACHS	GOLDMAN SACHS ENHANCED DIV GBL EQTY PORT I	INSTITUTIONAL	NO LOAD	OPEN	1600	1000000	1000000
GEIAX	GOLDMAN SACHS	GOLDMAN SACHS ENHANCED INCOME FUND CL A	A	NO LOAD	OPEN	1600	250	1000
GEIIX	GOLDMAN SACHS	GOLDMAN SACHS ENHANCED INCOME FUND INSTL SHRS	INSTITUTIONAL	NO LOAD	OPEN	1600	1000000	1000000
GHIRX	GOLDMAN SACHS	GOLDMAN SACHS ENHANCED INCOME INV	INVESTOR	NO LOAD	REDEEM ONLY	1600	999999	999999
GAEPX	GOLDMAN SACHS	GOLDMAN SACHS ENHANCED INCOME P	P	NO LOAD	REDEEM ONLY	1600	999999	999999
GESVX	GOLDMAN SACHS	GOLDMAN SACHS ENHANCED INCOME SERVICE	SERVICE	NO LOAD	OPEN	1600	0	0
GSGRX	GOLDMAN SACHS	GOLDMAN SACHS EQUITY INCOME FD CL A	A	LOAD	OPEN	1600	250	1000
GSGCX	GOLDMAN SACHS	GOLDMAN SACHS EQUITY INCOME FD CL C	C	LOAD	OPEN	1600	250	1000
GSIIX	GOLDMAN SACHS	GOLDMAN SACHS EQUITY INCOME FD INST	INSTITUTIONAL	NO LOAD	OPEN	1600	1000000	1000000
GSGSX	GOLDMAN SACHS	GOLDMAN SACHS EQUITY INCOME FD SVC	SERVICE	NO LOAD	OPEN	1600	0	0
GRGTX	GOLDMAN SACHS	GOLDMAN SACHS EQUITY INCOME INV	INVESTOR	NO LOAD	REDEEM ONLY	1600	0	0
GEBAX	GOLDMAN SACHS	GOLDMAN SACHS ESG EM MKTS EQ A	A	LOAD	OPEN	1600	0	0
GEBCX	GOLDMAN SACHS	GOLDMAN SACHS ESG EM MKTS EQ C	C	LOAD	OPEN	1600	250	1000
GEBIX	GOLDMAN SACHS	GOLDMAN SACHS ESG EM MKTS EQ INST	INSTITUTIONAL	NO LOAD	OPEN	1600	1000000	1000000
GEBNX	GOLDMAN SACHS	GOLDMAN SACHS ESG EM MKTS EQ INV	INVESTOR	NO LOAD	REDEEM ONLY	1600	0	0
GFVSX	GOLDMAN SACHS	GOLDMAN SACHS FOCUSED VALUE INSTL	INSTITUTIONAL	NO LOAD	REDEEM ONLY	1600	1000000	1000000
FSOXX	GOLDMAN SACHS	GOLDMAN SACHS FS GOVERNMENT A	A	NO LOAD	REDEEM ONLY	1600	100000	100000

SYMBOL	FUND FAMILY	FUND DESCRIPTION	SHARE CLASS	FUND TYPE	STATUS	CUT- OFF	IRA MIN	NON-IRA MIN
FGTX	GOLDMAN SACHS	GOLDMAN SACHS FS GOVT FD INST	INSTITUTIONAL	NO LOAD	OPEN	1600	1000000	1000000
FTIX	GOLDMAN SACHS	GOLDMAN SACHS FS TREASURY INTMS INSTL	INSTITUTIONAL	NO LOAD	OPEN	1600	1000000	1000000
GOIIX	GOLDMAN SACHS	GOLDMAN SACHS G&I STRAT PORT INST CL	INSTITUTIONAL	NO LOAD	OPEN	1600	1000000	1000000
GSGIX	GOLDMAN SACHS	GOLDMAN SACHS GLOBAL CORE FIXED INCM FD CL A	A	LOAD	OPEN	1600	250	1000
GSLCX	GOLDMAN SACHS	GOLDMAN SACHS GLOBAL CORE FIXED INCM FD CL C	C	LOAD	OPEN	1600	250	1000
GGXPX	GOLDMAN SACHS	GOLDMAN SACHS GLOBAL CORE FIXED INCM FD CL P	P	NO LOAD	REDEEM ONLY	1600	0	0
GSQLX	GOLDMAN SACHS	GOLDMAN SACHS GLOBAL CORE FIXED INCM FD INST	INSTITUTIONAL	NO LOAD	OPEN	1600	1000000	1000000
GBIRX	GOLDMAN SACHS	GOLDMAN SACHS GLOBAL CORE FXD INC INV	INVESTOR	NO LOAD	REDEEM ONLY	1600	0	0
GGICX	GOLDMAN SACHS	GOLDMAN SACHS GLOBAL INFRAS C	C	LOAD	OPEN	1600	250	1000
GGIAX	GOLDMAN SACHS	GOLDMAN SACHS GLOBAL INFRASTRUCTURE A	A	LOAD	OPEN	1600	0	0
GGIDX	GOLDMAN SACHS	GOLDMAN SACHS GLOBAL INFRASTRUCTURE INST	INSTITUTIONAL	NO LOAD	OPEN	1600	1000000	1000000
GGMBX	GOLDMAN SACHS	GOLDMAN SACHS GLOBAL MANAGED BETA INSTL	INSTITUTIONAL	NO LOAD	REDEEM ONLY	1600	0	0
GARSX	GOLDMAN SACHS	GOLDMAN SACHS GLOBAL REAL ESTATE SEC INST	INSTITUTIONAL	NO LOAD	OPEN	1600	1000000	1000000
GARKX	GOLDMAN SACHS	GOLDMAN SACHS GLOBAL REAL ESTATE SECS C	C	LOAD	OPEN	1600	250	1000
GARGX	GOLDMAN SACHS	GOLDMAN SACHS GLOBAL REAL ESTATE SECURITIES A	A	LOAD	OPEN	1600	250	1000
GARIX	GOLDMAN SACHS	GOLDMAN SACHS GLOBAL REAL ESTATE SECURITIES INV	INVESTOR	NO LOAD	REDEEM ONLY	1600	0	0
GGUPX	GOLDMAN SACHS	GOLDMAN SACHS GLOBAL RL ESTT SECS P	P	NO LOAD	REDEEM ONLY	1600	0	0
GSOTX	GOLDMAN SACHS	GOLDMAN SACHS GOVERNMENT INCOME INV	INVESTOR	NO LOAD	REDEEM ONLY	1600	0	0
GSOUX	GOLDMAN SACHS	GOLDMAN SACHS GOVERNMENT INCOME R6	R6	NO LOAD	REDEEM ONLY	1600	100000	100000
GSOCX	GOLDMAN SACHS	GOLDMAN SACHS GOVT INCM CL C	C	LOAD	OPEN	1600	250	1000
GSOIX	GOLDMAN SACHS	GOLDMAN SACHS GOVT INCM INST CL	INSTITUTIONAL	NO LOAD	OPEN	1600	1000000	1000000
GSGOX	GOLDMAN SACHS	GOLDMAN SACHS GOVT INCOME FD CL A	A	LOAD	OPEN	1600	250	1000
GSILX	GOLDMAN SACHS	GOLDMAN SACHS GQG PARTNERS INTL OPPTS C	C	LOAD	OPEN	1600	250	1000
GSIHX	GOLDMAN SACHS	GOLDMAN SACHS GQG PARTNERS INTL OPPTY A	A	LOAD	OPEN	1600	250	1000
GSIMX	GOLDMAN SACHS	GOLDMAN SACHS GQG PARTNERS INTL OPPTY INST	INSTITUTIONAL	NO LOAD	OPEN	1600	1000000	1000000
GSINX	GOLDMAN SACHS	GOLDMAN SACHS GQG PARTNERS INTL OPPTY INV	INVESTOR	NO LOAD	REDEEM ONLY	1600	0	0
GGIPX	GOLDMAN SACHS	GOLDMAN SACHS GQG PTNRS INTL OPPTS P	P	NO LOAD	REDEEM ONLY	1600	0	0
GSIQX	GOLDMAN SACHS	GOLDMAN SACHS GQG PTNRS INTL OPPTS R	R	NO LOAD	REDEEM ONLY	1600	100000	100000
GSIXX	GOLDMAN SACHS	GOLDMAN SACHS GQG PTNRS INTL OPPTS R6	R6	NO LOAD	REDEEM ONLY	1600	100000	100000
GOIUX	GOLDMAN SACHS	GOLDMAN SACHS GROWTH & INC STRAT R6	R6	NO LOAD	REDEEM ONLY	1600	0	5000000
GOIAX	GOLDMAN SACHS	GOLDMAN SACHS GROWTH & INCOME STRAT FUND CL A	A	LOAD	OPEN	1600	250	1000
GOISX	GOLDMAN SACHS	GOLDMAN SACHS GROWTH AND INC STRAT SVC	SERVICE	NO LOAD	OPEN	1600	0	0
GPIRX	GOLDMAN SACHS	GOLDMAN SACHS GROWTH AND INCM STRATEGY PORT R	R	NO LOAD	REDEEM ONLY	1600	0	0
GOICX	GOLDMAN SACHS	GOLDMAN SACHS GROWTH AND INCOME STRATEGY PORT C	C	LOAD	OPEN	1600	250	1000
GPITX	GOLDMAN SACHS	GOLDMAN SACHS GROWTH INC STRAT INV	INVESTOR	NO LOAD	REDEEM ONLY	1600	0	0
GGOCX	GOLDMAN SACHS	GOLDMAN SACHS GROWTH OPPORTUNITIES FUND CLASS C	C	LOAD	OPEN	1600	250	1000
GGOAX	GOLDMAN SACHS	GOLDMAN SACHS GROWTH OPPORTUNITY FUND CL A	A	LOAD	OPEN	1600	250	1000
GGORX	GOLDMAN SACHS	GOLDMAN SACHS GROWTH OPPTY FD R	R	NO LOAD	REDEEM ONLY	1600	0	0
GGOIX	GOLDMAN SACHS	GOLDMAN SACHS GROWTH OPPTY INST	INSTITUTIONAL	NO LOAD	OPEN	1600	1000000	1000000
GGOTX	GOLDMAN SACHS	GOLDMAN SACHS GROWTH OPPTY INV	INVESTOR	NO LOAD	REDEEM ONLY	1600	0	0
GGOSX	GOLDMAN SACHS	GOLDMAN SACHS GROWTH OPPTY SVC	SERVICE	NO LOAD	OPEN	1600	0	0

SYMBOL	FUND FAMILY	FUND DESCRIPTION	SHARE CLASS	FUND TYPE	STATUS	CUT- OFF	IRA MIN	NON-IRA MIN
GGSAX	GOLDMAN SACHS	GOLDMAN SACHS GROWTH STRATEGY FUND CL A	A	LOAD	OPEN	1600	250	1000
GGSTX	GOLDMAN SACHS	GOLDMAN SACHS GROWTH STRATEGY PORT INV	INVESTOR	NO LOAD	REDEEM ONLY	1600	0	0
GGSRX	GOLDMAN SACHS	GOLDMAN SACHS GROWTH STRATEGY PORT R	R	NO LOAD	REDEEM ONLY	1600	0	0
GGSUZ	GOLDMAN SACHS	GOLDMAN SACHS GROWTH STRATEGY PORT R6	R6	NO LOAD	REDEEM ONLY	1600	0	0
GGSCX	GOLDMAN SACHS	GOLDMAN SACHS GROWTH STRATEGY PORTFOLIO CLASS C	C	LOAD	OPEN	1600	250	1000
GGSSX	GOLDMAN SACHS	GOLDMAN SACHS GROWTH STRATEGY PORTFOLIO SERVICE C	SERVICE	NO LOAD	OPEN	1600	0	0
GGSEX	GOLDMAN SACHS	GOLDMAN SACHS GWTH STRAT PORT INST CL	INSTITUTIONAL	NO LOAD	OPEN	1600	1000000	1000000
GGMPX	GOLDMAN SACHS	GOLDMAN SACHS HIGH YIELD FD P	P	NO LOAD	REDEEM ONLY	1600	0	0
GSHUX	GOLDMAN SACHS	GOLDMAN SACHS HIGH YIELD FD R6	R6	NO LOAD	REDEEM ONLY	1600	0	0
GFRAX	GOLDMAN SACHS	GOLDMAN SACHS HIGH YIELD FL RATE A	A	LOAD	OPEN	1600	0	0
GSFRX	GOLDMAN SACHS	GOLDMAN SACHS HIGH YIELD FLOATING RATE INST	INSTITUTIONAL	NO LOAD	OPEN	1600	1000000	1000000
GGNPX	GOLDMAN SACHS	GOLDMAN SACHS HIGH YIELD FLOATING RATE P	P	NO LOAD	REDEEM ONLY	1600	0	0
GSHAX	GOLDMAN SACHS	GOLDMAN SACHS HIGH YIELD FUND CLASS A	A	LOAD	OPEN	1600	250	1000
GSHCX	GOLDMAN SACHS	GOLDMAN SACHS HIGH YIELD FUND CLASS C	C	LOAD	OPEN	1600	250	1000
GSHIX	GOLDMAN SACHS	GOLDMAN SACHS HIGH YIELD FUND INST CL	INSTITUTIONAL	NO LOAD	OPEN	1600	1000000	1000000
GSHTX	GOLDMAN SACHS	GOLDMAN SACHS HIGH YIELD INV	INVESTOR	NO LOAD	REDEEM ONLY	1600	0	0
GGLPX	GOLDMAN SACHS	GOLDMAN SACHS HIGH YIELD MUNI CL P	P	NO LOAD	REDEEM ONLY	1600	0	0
GHYCX	GOLDMAN SACHS	GOLDMAN SACHS HIGH YIELD MUNI FUND CLASS C	C	LOAD	OPEN	1600	250	1000
GHYIX	GOLDMAN SACHS	GOLDMAN SACHS HIGH YIELD MUNI INSTL CL	INSTITUTIONAL	NO LOAD	OPEN	1600	1000000	1000000
GYIRX	GOLDMAN SACHS	GOLDMAN SACHS HIGH YIELD MUNI INV	INVESTOR	NO LOAD	OPEN	1600	0	0
GHYAX	GOLDMAN SACHS	GOLDMAN SACHS HIGH YIELD MUNICIPAL FD CL A	A	LOAD	OPEN	1600	250	1000
GSHSX	GOLDMAN SACHS	GOLDMAN SACHS HIGH YIELD SVC	SERVICE	NO LOAD	OPEN	1600	0	0
GSCHX	GOLDMAN SACHS	GOLDMAN SACHS INCOME A	A	LOAD	OPEN	1600	0	0
GSBFX	GOLDMAN SACHS	GOLDMAN SACHS INCOME BUILDER FUND CL A	A	LOAD	OPEN	1600	250	1000
GSBCX	GOLDMAN SACHS	GOLDMAN SACHS INCOME BUILDER FUND CLASS C	C	LOAD	OPEN	1600	250	1000
GSBIX	GOLDMAN SACHS	GOLDMAN SACHS INCOME BUILDER FUND INSTL	INSTITUTIONAL	NO LOAD	OPEN	1600	1000000	1000000
GKIRX	GOLDMAN SACHS	GOLDMAN SACHS INCOME BUILDER INV	INVESTOR	NO LOAD	REDEEM ONLY	1600	0	0
GSBUX	GOLDMAN SACHS	GOLDMAN SACHS INCOME BUILDER R6	R6	NO LOAD	REDEEM ONLY	1600	100000	100000
GSNCX	GOLDMAN SACHS	GOLDMAN SACHS INCOME INSTL	INSTITUTIONAL	NO LOAD	OPEN	1600	1000000	1000000
GSTPX	GOLDMAN SACHS	GOLDMAN SACHS INFL PROTECTED SECS INV	INVESTOR	NO LOAD	REDEEM ONLY	1600	0	0
GSRUX	GOLDMAN SACHS	GOLDMAN SACHS INFLATION PROTECTED SEC R6	R6	NO LOAD	REDEEM ONLY	1600	0	0
GSAPX	GOLDMAN SACHS	GOLDMAN SACHS INFLATION PROTECTED SECURITIES A	A	LOAD	OPEN	1600	250	1000
GSCFX	GOLDMAN SACHS	GOLDMAN SACHS INFLATION PROTECTED SECURITIES C	C	LOAD	OPEN	1600	250	1000
GSIPX	GOLDMAN SACHS	GOLDMAN SACHS INFLATION PROTECTED SECURITIES FD INSTL	INSTITUTIONAL	NO LOAD	OPEN	1600	1000000	1000000
GIRNX	GOLDMAN SACHS	GOLDMAN SACHS INTERNATIONAL EQ ESG INV	INVESTOR	NO LOAD	REDEEM ONLY	1600	0	0
GSTKX	GOLDMAN SACHS	GOLDMAN SACHS INTERNATIONAL EQ INC INV	INVESTOR	NO LOAD	REDEEM ONLY	1600	0	0
GSUKX	GOLDMAN SACHS	GOLDMAN SACHS INTERNATIONAL EQ INC R6	R6	NO LOAD	REDEEM ONLY	1600	0	0
GITRX	GOLDMAN SACHS	GOLDMAN SACHS INTERNATIONAL T M EQ INV	INVESTOR	NO LOAD	REDEEM ONLY	1600	0	0
GCTMX	GOLDMAN SACHS	GOLDMAN SACHS INTERNATIONAL TM EQ C	C	LOAD	OPEN	1600	250	1000
GIDCX	GOLDMAN SACHS	GOLDMAN SACHS INTL EQ DIV & PREM C	C	LOAD	OPEN	1600	250	1000
GIRVX	GOLDMAN SACHS	GOLDMAN SACHS INTL EQ DIV & PREM INV	INVESTOR	NO LOAD	REDEEM ONLY	1600	0	0

SYMBOL	FUND FAMILY	FUND DESCRIPTION	SHARE CLASS	FUND TYPE	STATUS	CUT- OFF	IRA MIN	NON-IRA MIN
GCITX	GOLDMAN SACHS	GOLDMAN SACHS INTL EQ INSGHTS INV	INVESTOR	NO LOAD	REDEEM ONLY	1600	0	0
GGHPX	GOLDMAN SACHS	GOLDMAN SACHS INTL EQTY DIV & PREMIUM P	P	NO LOAD	REDEEM ONLY	1600	0	0
GIDAX	GOLDMAN SACHS	GOLDMAN SACHS INTL EQTY DIV AND PREMIUM CL A	A	LOAD	OPEN	1600	250	1000
GIDHX	GOLDMAN SACHS	GOLDMAN SACHS INTL EQTY DIV AND PREMIUM INST CL	INSTITUTIONAL	NO LOAD	OPEN	1600	1000000	1000000
GSIFX	GOLDMAN SACHS	GOLDMAN SACHS INTL EQTY ESG FD CL A	A	LOAD	OPEN	1600	250	1000
GSICX	GOLDMAN SACHS	GOLDMAN SACHS INTL EQTY ESG FD CL C	C	LOAD	OPEN	1600	250	1000
GSIEX	GOLDMAN SACHS	GOLDMAN SACHS INTL EQTY ESG FD INST	INSTITUTIONAL	NO LOAD	OPEN	1600	1000000	1000000
GTFPX	GOLDMAN SACHS	GOLDMAN SACHS INTL EQTY ESG P	P	NO LOAD	REDEEM ONLY	1600	0	0
GSAKX	GOLDMAN SACHS	GOLDMAN SACHS INTL EQTY INC FD CL A	A	LOAD	OPEN	1600	250	1000
GSCCKX	GOLDMAN SACHS	GOLDMAN SACHS INTL EQTY INC FD CL C	C	LOAD	OPEN	1600	250	1000
GSIKX	GOLDMAN SACHS	GOLDMAN SACHS INTL EQTY INC FD INST	INSTITUTIONAL	NO LOAD	OPEN	1600	1000000	1000000
GCIAX	GOLDMAN SACHS	GOLDMAN SACHS INTL EQTY INSIGHTS A	A	LOAD	OPEN	1600	250	1000
GCICX	GOLDMAN SACHS	GOLDMAN SACHS INTL EQTY INSIGHTS C	C	LOAD	OPEN	1600	250	1000
GCIIX	GOLDMAN SACHS	GOLDMAN SACHS INTL EQTY INSIGHTS INST	INSTITUTIONAL	NO LOAD	OPEN	1600	1000000	1000000
GCIUX	GOLDMAN SACHS	GOLDMAN SACHS INTL EQTY INSIGHTS R6	R6	NO LOAD	REDEEM ONLY	1600	0	0
GCISX	GOLDMAN SACHS	GOLDMAN SACHS INTL EQTY INSIGHTS S	SERVICE	NO LOAD	OPEN	1600	0	0
GICAX	GOLDMAN SACHS	GOLDMAN SACHS INTL SMALL CAP INSIGHTS A	A	LOAD	OPEN	1600	250	1000
GICCX	GOLDMAN SACHS	GOLDMAN SACHS INTL SMALL CAP INSIGHTS C	C	LOAD	OPEN	1600	250	1000
GICIX	GOLDMAN SACHS	GOLDMAN SACHS INTL SMALL CAP INSIGHTS INST	INSTITUTIONAL	NO LOAD	OPEN	1600	1000000	1000000
GIRLX	GOLDMAN SACHS	GOLDMAN SACHS INTL SMALL CAP INSIGHTS INV	INVESTOR	NO LOAD	REDEEM ONLY	1600	0	0
GICUX	GOLDMAN SACHS	GOLDMAN SACHS INTL SMALL CAP INSIGHTS R6	R6	NO LOAD	REDEEM ONLY	1600	0	0
GATMX	GOLDMAN SACHS	GOLDMAN SACHS INTL TAX MGD EQTY A	A	LOAD	OPEN	1600	250	1000
GHTMX	GOLDMAN SACHS	GOLDMAN SACHS INTL TAX MGD EQTY INSTL	INSTITUTIONAL	NO LOAD	OPEN	1600	1000000	1000000
GGCPX	GOLDMAN SACHS	GOLDMAN SACHS INTL TAX MGD EQTY P	P	NO LOAD	REDEEM ONLY	1600	0	0
GSGAX	GOLDMAN SACHS	GOLDMAN SACHS INVEST GRADE CREDIT A	A	LOAD	OPEN	1600	250	1000
GSGDX	GOLDMAN SACHS	GOLDMAN SACHS INVEST GRADE CREDIT INST CL	INSTITUTIONAL	NO LOAD	OPEN	1600	1000000	1000000
FMEXX	GOLDMAN SACHS	GOLDMAN SACHS INVESTOR MMKT A	A	LOAD	OPEN	1600	0	0
FMJXX	GOLDMAN SACHS	GOLDMAN SACHS INVESTOR MMKT I	I	NO LOAD	REDEEM ONLY	1600	1000000	1000000
GLCTX	GOLDMAN SACHS	GOLDMAN SACHS LARGE CAP GR INSGHTS INV	INVESTOR	NO LOAD	REDEEM ONLY	1600	0	0
GSCLX	GOLDMAN SACHS	GOLDMAN SACHS LARGE CAP GR INSGHTS SVC	SERVICE	NO LOAD	OPEN	1600	0	0
GLCGX	GOLDMAN SACHS	GOLDMAN SACHS LARGE CAP GWTH INSIGHTS A	A	LOAD	OPEN	1600	250	1000
GLCCX	GOLDMAN SACHS	GOLDMAN SACHS LARGE CAP GWTH INSIGHTS C	C	LOAD	OPEN	1600	250	1000
GCGIX	GOLDMAN SACHS	GOLDMAN SACHS LARGE CAP GWTH INSIGHTS INST	INSTITUTIONAL	NO LOAD	OPEN	1600	1000000	1000000
GLCUX	GOLDMAN SACHS	GOLDMAN SACHS LARGE CAP GWTH INSIGHTS R6	R6	NO LOAD	REDEEM ONLY	1600	0	0
GCVAX	GOLDMAN SACHS	GOLDMAN SACHS LARGE CAP VAL INSIGHTS A	A	LOAD	OPEN	1600	250	1000
GVCVX	GOLDMAN SACHS	GOLDMAN SACHS LARGE CAP VAL INSIGHTS C	C	LOAD	OPEN	1600	250	1000
GCVIX	GOLDMAN SACHS	GOLDMAN SACHS LARGE CAP VAL INSIGHTS INST	INSTITUTIONAL	NO LOAD	OPEN	1600	1000000	1000000
GSVTX	GOLDMAN SACHS	GOLDMAN SACHS LARGE CAP VALUE FD INV	INVESTOR	NO LOAD	REDEEM ONLY	1600	0	0
GSVRX	GOLDMAN SACHS	GOLDMAN SACHS LARGE CAP VALUE FD R	R	NO LOAD	REDEEM ONLY	1600	0	0
GSLAX	GOLDMAN SACHS	GOLDMAN SACHS LARGE CAP VALUE FUND CL A	A	LOAD	OPEN	1600	250	1000
GVCVX	GOLDMAN SACHS	GOLDMAN SACHS LARGE CAP VALUE FUND CLASS C	C	LOAD	OPEN	1600	250	1000

SYMBOL	FUND FAMILY	FUND DESCRIPTION	SHARE CLASS	FUND TYPE	STATUS	CUT- OFF	IRA MIN	NON-IRA MIN
GSLIX	GOLDMAN SACHS	GOLDMAN SACHS LARGE CAP VALUE FUND INST CL	INSTITUTIONAL	NO LOAD	OPEN	1600	1000000	1000000
GMPYX	GOLDMAN SACHS	GOLDMAN SACHS LARGE CAP VALUE P	P	NO LOAD	REDEEM ONLY	1600	0	0
GSVSX	GOLDMAN SACHS	GOLDMAN SACHS LARGE CAP VALUE SVC	SERVICE	NO LOAD	REDEEM ONLY	1600	0	0
GCVTX	GOLDMAN SACHS	GOLDMAN SACHS LARGE CP VAL INSGHTS INV	INVESTOR	NO LOAD	REDEEM ONLY	1600	0	0
GLIRX	GOLDMAN SACHS	GOLDMAN SACHS LOCAL EMERG MKTS DBT INV	INVESTOR	NO LOAD	REDEEM ONLY	1600	0	0
GCMDX	GOLDMAN SACHS	GOLDMAN SACHS LOCAL EMRG MKTS DEBT C	C	LOAD	OPEN	1600	250	1000
GAMDX	GOLDMAN SACHS	GOLDMAN SACHS LOCAL EMRG MKTS DEBT FD CL A	A	LOAD	OPEN	1600	250	1000
GIMDX	GOLDMAN SACHS	GOLDMAN SACHS LOCAL EMRG MKTS DEBT INST	INSTITUTIONAL	NO LOAD	OPEN	1600	1000000	1000000
GSAUX	GOLDMAN SACHS	GOLDMAN SACHS LONG SHORT CREDIT STRAT A	A	LOAD	OPEN	1600	100	100
GSAWX	GOLDMAN SACHS	GOLDMAN SACHS LONG SHORT CREDIT STRATEGIES INST	INSTITUTIONAL	NO LOAD	OPEN	1600	1000000	1000000
GSAYX	GOLDMAN SACHS	GOLDMAN SACHS LONG SHRT CRDT STRATS INV	INVESTOR	NO LOAD	REDEEM ONLY	1600	0	0
GMSCX	GOLDMAN SACHS	GOLDMAN SACHS MANAGED FUTS STRAT C	C	LOAD	OPEN	1600	250	1000
GFIRX	GOLDMAN SACHS	GOLDMAN SACHS MANAGED FUTS STRAT INV	INVESTOR	NO LOAD	REDEEM ONLY	1600	0	0
GMSAX	GOLDMAN SACHS	GOLDMAN SACHS MGD FUTURES STRAT A	A	LOAD	OPEN	1600	250	1000
GMSSX	GOLDMAN SACHS	GOLDMAN SACHS MGD FUTURES STRAT INST	INSTITUTIONAL	NO LOAD	OPEN	1600	1000000	1000000
GCMTX	GOLDMAN SACHS	GOLDMAN SACHS MID CAP VALUE FD INV	INVESTOR	NO LOAD	REDEEM ONLY	1600	0	0
GCMAX	GOLDMAN SACHS	GOLDMAN SACHS MID CAP VALUE FUND CLASS A	A	LOAD	OPEN	1600	250	1000
GCMCX	GOLDMAN SACHS	GOLDMAN SACHS MID CAP VALUE FUND CLASS C	C	LOAD	OPEN	1600	250	1000
GSMCX	GOLDMAN SACHS	GOLDMAN SACHS MID CAP VALUE FUND INST CL	INSTITUTIONAL	NO LOAD	OPEN	1600	1000000	1000000
GSMSX	GOLDMAN SACHS	GOLDMAN SACHS MID CAP VALUE FUND SVC	SERVICE	NO LOAD	OPEN	1600	0	0
GMPPX	GOLDMAN SACHS	GOLDMAN SACHS MID CAP VALUE P	P	NO LOAD	REDEEM ONLY	1600	0	0
GCMUX	GOLDMAN SACHS	GOLDMAN SACHS MID CAP VALUE R6	R6	NO LOAD	REDEEM ONLY	1600	0	0
GLPAX	GOLDMAN SACHS	GOLDMAN SACHS MLP ENERGY INFRASTRUCTURE A	A	LOAD	OPEN	1600	250	1000
GLPCX	GOLDMAN SACHS	GOLDMAN SACHS MLP ENERGY INFRASTRUCTURE C	C	LOAD	OPEN	1600	250	1000
GMLPX	GOLDMAN SACHS	GOLDMAN SACHS MLP ENERGY INFRASTRUCTURE INST	INSTITUTIONAL	NO LOAD	OPEN	1600	1000000	1000000
GLPIX	GOLDMAN SACHS	GOLDMAN SACHS MLP ENERGY INFRASTRUCTURE INV	INVESTOR	NO LOAD	REDEEM ONLY	1600	0	0
GMNPX	GOLDMAN SACHS	GOLDMAN SACHS MLP ENERGY INFRASTRUCTURE P	P	NO LOAD	REDEEM ONLY	1600	0	0
GSMMX	GOLDMAN SACHS	GOLDMAN SACHS MULTI MGR ALTERN FD INSTL	INSTITUTIONAL	NO LOAD	OPEN	1600	1000000	1000000
GIMMX	GOLDMAN SACHS	GOLDMAN SACHS MULTI MGR ALTERN INV	INVESTOR	NO LOAD	REDEEM ONLY	1600	0	0
GMAMX	GOLDMAN SACHS	GOLDMAN SACHS MULTI MGR ALTERN STRAT A	A	LOAD	OPEN	1600	100	100
GSAAX	GOLDMAN SACHS	GOLDMAN SACHS MUNICIPAL INC CMLPTN SEPARATE ACCT	SEPARATE ACCOU	NO LOAD	REDEEM ONLY	1600	1000	2500
GSREX	GOLDMAN SACHS	GOLDMAN SACHS REAL EST DIV INC C	C	LOAD	OPEN	1600	2500	2500
GSRDY	GOLDMAN SACHS	GOLDMAN SACHS REAL EST DIVERSD CL A SHS	A	LOAD	OPEN	1600	250	1000
GSRQX	GOLDMAN SACHS	GOLDMAN SACHS REAL EST DIVERSD CL W SHS	W	NO LOAD	OPEN	1600	100	100
GREIX	GOLDMAN SACHS	GOLDMAN SACHS REAL EST SECURITIES FD INSTL CL	INSTITUTIONAL	NO LOAD	OPEN	1600	1000000	1000000
GSRHX	GOLDMAN SACHS	GOLDMAN SACHS REAL ESTATE DIVER INCM I	I	NO LOAD	OPEN	1600	0	0
GSRIJ	GOLDMAN SACHS	GOLDMAN SACHS REAL ESTATE DIVER INCM L	L	LOAD WAIVED	REDEEM ONLY	1600	0	0
GMJPX	GOLDMAN SACHS	GOLDMAN SACHS REAL ESTATE SECURITIES CL P	P	NO LOAD	REDEEM ONLY	1600	0	0
GREAX	GOLDMAN SACHS	GOLDMAN SACHS REAL ESTATE SECURITIES FD CL A	A	LOAD	OPEN	1600	250	1000
GRESX	GOLDMAN SACHS	GOLDMAN SACHS REAL ESTATE SECURITIES FD SVC CL	SERVICE	NO LOAD	OPEN	1600	0	0
GRECX	GOLDMAN SACHS	GOLDMAN SACHS REAL ESTATE SECURITIES FUND CLASS C	C	LOAD	OPEN	1600	250	1000

SYMBOL	FUND FAMILY	FUND DESCRIPTION	SHARE CLASS	FUND TYPE	STATUS	CUT- OFF	IRA MIN	NON-IRA MIN
GRETX	GOLDMAN SACHS	GOLDMAN SACHS REAL ESTATE SECURITIES INV	INVESTOR	NO LOAD	REDEEM ONLY	1600	0	0
GSRA	GOLDMAN SACHS	GOLDMAN SACHS RISING DIV GWTH FD A	A	LOAD	OPEN	1600	250	1000
GSRCX	GOLDMAN SACHS	GOLDMAN SACHS RISING DIV GWTH FD C	C	LOAD	OPEN	1600	250	1000
GSRLX	GOLDMAN SACHS	GOLDMAN SACHS RISING DIV GWTH FD INST	INSTITUTIONAL	NO LOAD	OPEN	1600	1000000	1000000
GSRIX	GOLDMAN SACHS	GOLDMAN SACHS RISING DIV GWTH FD INV	INVESTOR	NO LOAD	REDEEM ONLY	1600	0	0
GSRFX	GOLDMAN SACHS	GOLDMAN SACHS RISING DIVIDEND GR R6	R6	NO LOAD	REDEEM ONLY	1600	0	0
GDTX	GOLDMAN SACHS	GOLDMAN SACHS SHORT DUR GOVT INV	INVESTOR	NO LOAD	REDEEM ONLY	1600	0	0
GSTUX	GOLDMAN SACHS	GOLDMAN SACHS SHORT DUR GOVT R6	R6	NO LOAD	REDEEM ONLY	1600	100000	100000
GSTGX	GOLDMAN SACHS	GOLDMAN SACHS SHORT DURAT GOVT INSTL	INSTITUTIONAL	NO LOAD	OPEN	1600	1000000	1000000
GDIAX	GOLDMAN SACHS	GOLDMAN SACHS SHORT DURATION BOND A	A	LOAD	OPEN	1600	100	100
GDICX	GOLDMAN SACHS	GOLDMAN SACHS SHORT DURATION BOND C	C	LOAD	OPEN	1600	250	1000
GDFIX	GOLDMAN SACHS	GOLDMAN SACHS SHORT DURATION BOND I	INSTITUTIONAL	NO LOAD	OPEN	1600	1000000	1000000
GSSRX	GOLDMAN SACHS	GOLDMAN SACHS SHORT DURATION BOND INV	INVESTOR	NO LOAD	REDEEM ONLY	1600	0	0
GMCPX	GOLDMAN SACHS	GOLDMAN SACHS SHORT DURATION BOND P	P	NO LOAD	REDEEM ONLY	1600	0	0
GSDCX	GOLDMAN SACHS	GOLDMAN SACHS SHORT DURATION GOVERNEMENT FD CL C	C	LOAD	OPEN	1600	250	1000
GSSDX	GOLDMAN SACHS	GOLDMAN SACHS SHORT DURATION GOVT FD CL A	A	LOAD	OPEN	1600	250	1000
GSDSX	GOLDMAN SACHS	GOLDMAN SACHS SHORT DURATION GOVT FD SERVICE CL	SERVICE	NO LOAD	OPEN	1600	0	0
GSDTX	GOLDMAN SACHS	GOLDMAN SACHS SHORT DURATION TAX FREE CL A	A	LOAD	OPEN	1600	250	1000
GDIRX	GOLDMAN SACHS	GOLDMAN SACHS SHORT DURATION TAX FREE INV	INVESTOR	NO LOAD	REDEEM ONLY	1600	0	0
GANPX	GOLDMAN SACHS	GOLDMAN SACHS SHORT DURATION TAX FREE P	P	NO LOAD	REDEEM ONLY	1600	0	0
GSDUX	GOLDMAN SACHS	GOLDMAN SACHS SHORT DURATION TAXFREE FD INSTL CL	INSTITUTIONAL	NO LOAD	OPEN	1600	1000000	1000000
GSTCX	GOLDMAN SACHS	GOLDMAN SACHS SHORT DURATION TAXFREE FUND CLASS C	C	LOAD	OPEN	1600	250	1000
GPPIX	GOLDMAN SACHS	GOLDMAN SACHS SHORT TERM CNSRV INCM INST	INSTITUTIONAL	NO LOAD	OPEN	1600	1000000	1000000
GMBPX	GOLDMAN SACHS	GOLDMAN SACHS SHORT TERM CNSRV INCM P	P	NO LOAD	REDEEM ONLY	1600	0	0
GPAPX	GOLDMAN SACHS	GOLDMAN SACHS SHORTTERM CNSRV INC A	A	NO LOAD	OPEN	1600	0	0
GPPOX	GOLDMAN SACHS	GOLDMAN SACHS SHORTTERM CNSRV INC INV	INVESTOR	NO LOAD	REDEEM ONLY	1600	0	0
GDSTX	GOLDMAN SACHS	GOLDMAN SACHS SMALL CAP EQ INSGHTS INV	INVESTOR	NO LOAD	REDEEM ONLY	1600	0	0
GCSAX	GOLDMAN SACHS	GOLDMAN SACHS SMALL CAP EQTY INSIGHTS A	A	LOAD	OPEN	1600	250	1000
GCSCX	GOLDMAN SACHS	GOLDMAN SACHS SMALL CAP EQTY INSIGHTS C	C	LOAD	OPEN	1600	250	1000
GCSIX	GOLDMAN SACHS	GOLDMAN SACHS SMALL CAP EQTY INSIGHTS INST	INSTITUTIONAL	NO LOAD	OPEN	1600	1000000	1000000
GMAPX	GOLDMAN SACHS	GOLDMAN SACHS SMALL CAP EQTY INSIGHTS P	P	NO LOAD	REDEEM ONLY	1600	0	0
GCSUX	GOLDMAN SACHS	GOLDMAN SACHS SMALL CAP EQTY INSIGHTS R6	R6	NO LOAD	REDEEM ONLY	1600	0	0
GSBDX	GOLDMAN SACHS	GOLDMAN SACHS SMALL CAP GROWTH A	A	LOAD	OPEN	1600	100	100
GSBAX	GOLDMAN SACHS	GOLDMAN SACHS SMALL CAP GROWTH C	C	LOAD	OPEN	1600	250	1000
GSAJX	GOLDMAN SACHS	GOLDMAN SACHS SMALL CAP GROWTH INSTL	INSTITUTIONAL	NO LOAD	REDEEM ONLY	1600	1000000	1000000
GSAOX	GOLDMAN SACHS	GOLDMAN SACHS SMALL CAP GWTH INSIGHTS A	A	LOAD	OPEN	1600	250	1000
GSCOX	GOLDMAN SACHS	GOLDMAN SACHS SMALL CAP GWTH INSIGHTS C	C	LOAD	OPEN	1600	250	1000
GSIOX	GOLDMAN SACHS	GOLDMAN SACHS SMALL CAP GWTH INSIGHTS INST	INSTITUTIONAL	NO LOAD	OPEN	1600	1000000	1000000
GSTOX	GOLDMAN SACHS	GOLDMAN SACHS SMALL CAP GWTH INSIGHTS INV	INVESTOR	NO LOAD	REDEEM ONLY	1600	0	0
GINUX	GOLDMAN SACHS	GOLDMAN SACHS SMALL CAP GWTH INSIGHTS R6	R6	NO LOAD	REDEEM ONLY	1600	0	0
GSATX	GOLDMAN SACHS	GOLDMAN SACHS SMALL CAP VAL INSIGHTS A	A	LOAD	OPEN	1600	250	1000

SYMBOL	FUND FAMILY	FUND DESCRIPTION	SHARE CLASS	FUND TYPE	STATUS	CUT- OFF	IRA MIN	NON-IRA MIN
GSCTX	GOLDMAN SACHS	GOLDMAN SACHS SMALL CAP VAL INSIGHTS C	C	LOAD	OPEN	1600	250	1000
GSITX	GOLDMAN SACHS	GOLDMAN SACHS SMALL CAP VAL INSIGHTS INST	INSTITUTIONAL	NO LOAD	OPEN	1600	1000000	1000000
GTTUX	GOLDMAN SACHS	GOLDMAN SACHS SMALL CAP VAL INSIGHTS R6	R6	NO LOAD	REDEEM ONLY	1600	0	0
GSSMX	GOLDMAN SACHS	GOLDMAN SACHS SMALL CAP VALUE A SHARES	A	LOAD	OPEN	1600	250	1000
GSQRX	GOLDMAN SACHS	GOLDMAN SACHS SMALL CAP VALUE FD R	R	NO LOAD	REDEEM ONLY	1600	0	0
GSSCX	GOLDMAN SACHS	GOLDMAN SACHS SMALL CAP VALUE FUND CLASS C	C	LOAD	OPEN	1600	250	1000
GSSIX	GOLDMAN SACHS	GOLDMAN SACHS SMALL CAP VALUE FUND INST CL	INSTITUTIONAL	NO LOAD	OPEN	1600	1000000	1000000
GSQTX	GOLDMAN SACHS	GOLDMAN SACHS SMALL CAP VALUE FUND INV	INVESTOR	NO LOAD	REDEEM ONLY	1600	0	0
GSYPX	GOLDMAN SACHS	GOLDMAN SACHS SMALL CAP VALUE FUND P	P	NO LOAD	REDEEM ONLY	1600	0	0
GSSUX	GOLDMAN SACHS	GOLDMAN SACHS SMALL CAP VALUE FUND R6	R6	NO LOAD	REDEEM ONLY	1600	0	0
GSSSX	GOLDMAN SACHS	GOLDMAN SACHS SMALL CAP VALUE FUND SVC	SERVICE	NO LOAD	OPEN	1600	0	0
GTTTX	GOLDMAN SACHS	GOLDMAN SACHS SMALL CP VAL INSGHTS INV	INVESTOR	NO LOAD	REDEEM ONLY	1600	0	0
GMTX	GOLDMAN SACHS	GOLDMAN SACHS SMALL MID CAP GROWTH FD INV	INVESTOR	NO LOAD	REDEEM ONLY	1600	0	0
GSMAX	GOLDMAN SACHS	GOLDMAN SACHS SMALL MID CAP GROWTH FUND CL A	A	LOAD	OPEN	1600	250	1000
GSMYX	GOLDMAN SACHS	GOLDMAN SACHS SMALL MID CAP GROWTH INST	INSTITUTIONAL	NO LOAD	OPEN	1600	1000000	1000000
GTMUX	GOLDMAN SACHS	GOLDMAN SACHS SMALL MID CAP GROWTH R6	R6	NO LOAD	REDEEM ONLY	1600	0	0
GMVAX	GOLDMAN SACHS	GOLDMAN SACHS SMALL MID CAP VALUE A	A	LOAD	OPEN	1600	100	100
GSMVX	GOLDMAN SACHS	GOLDMAN SACHS SMALL MID CAP VALUE INST	INSTITUTIONAL	NO LOAD	OPEN	1600	1000000	1000000
GSMGX	GOLDMAN SACHS	GOLDMAN SACHS SMALLMID CAP GROWTH C	C	LOAD	OPEN	1600	250	1000
GTMRX	GOLDMAN SACHS	GOLDMAN SACHS SMALLMID CAP GROWTH FD R	R	NO LOAD	REDEEM ONLY	1600	0	0
GMVCX	GOLDMAN SACHS	GOLDMAN SACHS SMALLMID CAP VALUE C	C	LOAD	OPEN	1600	250	1000
GSMIX	GOLDMAN SACHS	GOLDMAN SACHS STRAT MUNI INCM A	A	LOAD	OPEN	1600	250	1000
GSMUX	GOLDMAN SACHS	GOLDMAN SACHS STRAT MUNI INCM C	C	LOAD	OPEN	1600	250	1000
GSMTX	GOLDMAN SACHS	GOLDMAN SACHS STRAT MUNI INCM INSTL	INSTITUTIONAL	NO LOAD	OPEN	1600	1000000	1000000
GGRAX	GOLDMAN SACHS	GOLDMAN SACHS STRATEGIC GROWTH CL A	A	LOAD	OPEN	1600	250	1000
GGRCX	GOLDMAN SACHS	GOLDMAN SACHS STRATEGIC GROWTH FUND CLASS C	C	LOAD	OPEN	1600	250	1000
GSTIX	GOLDMAN SACHS	GOLDMAN SACHS STRATEGIC GROWTH INSTITUTIONAL	INSTITUTIONAL	NO LOAD	OPEN	1600	1000000	1000000
GSTTX	GOLDMAN SACHS	GOLDMAN SACHS STRATEGIC GROWTH INV	INVESTOR	NO LOAD	REDEEM ONLY	1600	0	0
GSPPX	GOLDMAN SACHS	GOLDMAN SACHS STRATEGIC GROWTH P	P	NO LOAD	REDEEM ONLY	1600	0	0
GSTSX	GOLDMAN SACHS	GOLDMAN SACHS STRATEGIC GROWTH SVC	SERVICE	NO LOAD	OPEN	1600	0	0
GSZAX	GOLDMAN SACHS	GOLDMAN SACHS STRATEGIC INCM A	A	LOAD	OPEN	1600	250	1000
GSZCX	GOLDMAN SACHS	GOLDMAN SACHS STRATEGIC INCM FD CL C	C	LOAD	OPEN	1600	250	1000
GZIRX	GOLDMAN SACHS	GOLDMAN SACHS STRATEGIC INCM FD CL INV	INVESTOR	NO LOAD	REDEEM ONLY	1600	0	0
GSOPX	GOLDMAN SACHS	GOLDMAN SACHS STRATEGIC INCM FD CL P	P	NO LOAD	REDEEM ONLY	1600	0	0
GSZUX	GOLDMAN SACHS	GOLDMAN SACHS STRATEGIC INCM FD CL R6	R6	NO LOAD	REDEEM ONLY	1600	0	0
GSZIX	GOLDMAN SACHS	GOLDMAN SACHS STRATEGIC INCM FD INST	INSTITUTIONAL	NO LOAD	OPEN	1600	1000000	1000000
TTIFX	GOLDMAN SACHS	GOLDMAN SACHS TACTICAL TILT OVERLAY INST	INSTITUTIONAL	NO LOAD	OPEN	1600	1000000	1000000
GSKPX	GOLDMAN SACHS	GOLDMAN SACHS TAX ADVNTG GBL EQTY P	P	NO LOAD	REDEEM ONLY	1600	0	0
TAGGX	GOLDMAN SACHS	GOLDMAN SACHS TAXADVNTGD GBL EQ A	A	LOAD	OPEN	1600	0	0
TIGGX	GOLDMAN SACHS	GOLDMAN SACHS TAXADVNTGD GBL EQ INSTL	INSTITUTIONAL	NO LOAD	OPEN	1600	1000000	1000000
GITAX	GOLDMAN SACHS	GOLDMAN SACHS TECHNOLOGY OPPORTUNITIES FUND A	A	LOAD	OPEN	1600	250	1000

SYMBOL	FUND FAMILY	FUND DESCRIPTION	SHARE CLASS	FUND TYPE	STATUS	CUT- OFF	IRA MIN	NON-IRA MIN
GITCX	GOLDMAN SACHS	GOLDMAN SACHS TECHNOLOGY OPPORTUNITIES FUND C	C	LOAD	OPEN	1600	250	1000
GITIX	GOLDMAN SACHS	GOLDMAN SACHS TECHNOLOGY OPPORTUNITIES FUND INST	INSTITUTIONAL	NO LOAD	OPEN	1600	1000000	1000000
GISTX	GOLDMAN SACHS	GOLDMAN SACHS TECHNOLOGY OPPS INV	INVESTOR	NO LOAD	REDEEM ONLY	1600	0	0
GTORX	GOLDMAN SACHS	GOLDMAN SACHS TECHNOLOGY OPPS R6	R6	NO LOAD	REDEEM ONLY	1600	100000	100000
GITSX	GOLDMAN SACHS	GOLDMAN SACHS TECHNOLOGY OPPS SVC	SERVICE	NO LOAD	OPEN	1600	0	0
GCGVX	GOLDMAN SACHS	GOLDMAN SACHS U.S. EQUITY ESG C	C	LOAD	OPEN	1600	250	1000
GAGVX	GOLDMAN SACHS	GOLDMAN SACHS U.S. EQUITY ESG FD CL A	A	LOAD	OPEN	1600	250	1000
GINGX	GOLDMAN SACHS	GOLDMAN SACHS U.S. EQUITY ESG INS	INSTITUTIONAL	NO LOAD	REDEEM ONLY	1600	1000000	1000000
GIRGX	GOLDMAN SACHS	GOLDMAN SACHS U.S. EQUITY ESG INV	INVESTOR	NO LOAD	REDEEM ONLY	1600	0	0
GSPQX	GOLDMAN SACHS	GOLDMAN SACHS US EQTY DIV AND PREMIUM C	C	LOAD	OPEN	1600	250	1000
GVIRX	GOLDMAN SACHS	GOLDMAN SACHS US EQTY DIV AND PREMIUM INV	INVESTOR	NO LOAD	REDEEM ONLY	1600	0	0
GSSQX	GOLDMAN SACHS	GOLDMAN SACHS US EQTY INSIGHTS A	A	LOAD	OPEN	1600	250	1000
GSUSX	GOLDMAN SACHS	GOLDMAN SACHS US EQTY INSIGHTS C	C	LOAD	OPEN	1600	250	1000
GSELX	GOLDMAN SACHS	GOLDMAN SACHS US EQTY INSIGHTS INST	INSTITUTIONAL	NO LOAD	OPEN	1600	1000000	1000000
GSESX	GOLDMAN SACHS	GOLDMAN SACHS US EQTY INSIGHTS SVC	SERVICE	NO LOAD	OPEN	1600	0	0
GSPAX	GOLDMAN SACHS	GOLDMAN SACHS US EQUITY DIV & PREMIUM FD CL A	A	LOAD	OPEN	1600	250	1000
GSPKX	GOLDMAN SACHS	GOLDMAN SACHS US EQUITY DIV & PREMIUM FD INSTL CL	INSTITUTIONAL	NO LOAD	OPEN	1600	1000000	1000000
GSFPX	GOLDMAN SACHS	GOLDMAN SACHS US EQUITY DIVIDEND & PREMIUM FD CL P	P	NO LOAD	REDEEM ONLY	1600	0	0
GSUTX	GOLDMAN SACHS	GOLDMAN SACHS US EQUITY INSIGHTS INV	INVESTOR	NO LOAD	REDEEM ONLY	1600	0	0
GSUAX	GOLDMAN SACHS	GOLDMAN SACHS US MORTGAGES A	A	LOAD	OPEN	1600	250	1000
GSUIX	GOLDMAN SACHS	GOLDMAN SACHS US MORTGAGES FD INST CL SHRS	INSTITUTIONAL	NO LOAD	OPEN	1600	1000000	1000000
GGIRX	GOLDMAN SACHS	GOLDMAN SACHS US MORTGAGES INVESTOR	INVESTOR	NO LOAD	REDEEM ONLY	1600	0	0
GCTAX	GOLDMAN SACHS	GOLDMAN SACHS US TAX MGD EQTY A	A	LOAD	OPEN	1600	250	1000
GCTCX	GOLDMAN SACHS	GOLDMAN SACHS US TAX MGD EQTY C	C	LOAD	OPEN	1600	250	1000
GCTIX	GOLDMAN SACHS	GOLDMAN SACHS US TAX MGD EQTY INST	INSTITUTIONAL	NO LOAD	OPEN	1600	1000000	1000000
GQIRX	GOLDMAN SACHS	GOLDMAN SACHS US TAXMANAGED EQ INV	INVESTOR	NO LOAD	REDEEM ONLY	1600	0	0
GOODX	GOODHAVEN FUNDS TRUST	GOODHAVEN FD	NO LOAD	NO LOAD	OPEN	1600	2500	10000
GARIX	GOTHAM FUNDS	GOTHAM ABSOLUTE RETURN INST	I	NO LOAD	OPEN	1600	100000	100000
GDLFX	GOTHAM FUNDS	GOTHAM DEFENSIVE LONG 500 FD INST	INSTITUTIONAL	NO LOAD	OPEN	1600	25000	25000
GENIX	GOTHAM FUNDS	GOTHAM ENHANCED RETURN FD INST	I	NO LOAD	OPEN	1600	100000	100000
GSPFX	GOTHAM FUNDS	GOTHAM ENHANCED S&P 500 INDEX FD INST	INSTITUTIONAL	NO LOAD	OPEN	1600	5000	5000
GCHDX	GOTHAM FUNDS	GOTHAM HEDGED CORE INSTITUTIONAL	INSTITUTIONAL	NO LOAD	OPEN	1600	25000	25000
GINDX	GOTHAM FUNDS	GOTHAM INDEX PLUS FD INST	I	NO LOAD	OPEN	1600	100000	100000
GNNDX	GOTHAM FUNDS	GOTHAM INDEX PLUS FD INV	INVESTOR	NO LOAD	OPEN	1600	100	100
GVALX	GOTHAM FUNDS	GOTHAM LARGE VALUE FD INST	I	NO LOAD	OPEN	1600	100000	100000
GONIX	GOTHAM FUNDS	GOTHAM NEUTRAL FD INST	I	NO LOAD	OPEN	1600	100000	100000
GTRFX	GOTHAM FUNDS	GOTHAM TOTAL RETURN FD INST	I	NO LOAD	OPEN	1600	100000	100000
GVEQX	GOVERNMENT	GOVERNMENT STREET EQUITY	NO LOAD	NO LOAD	OPEN	1600	1000	5000
GVMCX	GOVERNMENT	GOVERNMENT STREET OPPORTUNITIES	NO LOAD	NO LOAD	OPEN	1600	1000	5000
GMCOX	GPS FUNDS	GUIDEMARK CORE FIXED INCOME SERVICE	SERVICE CLASS SH	NO LOAD	REDEEM ONLY	1600	999999	999999
GMLVX	GPS FUNDS	GUIDEMARK EMERGING MARKETS SERVICE	SERVICE CLASS SH	NO LOAD	REDEEM ONLY	1600	0	0

SYMBOL	FUND FAMILY	FUND DESCRIPTION	SHARE CLASS	FUND TYPE	STATUS	CUT-OFF	IRA MIN	NON-IRA MIN
GMLGX	GPS FUNDS	GUIDEMARK LARGE CAP CORE SERVICE	SERVICE CLASS SH	NO LOAD	REDEEM ONLY	1600	0	0
GMSMX	GPS FUNDS	GUIDEMARK SMALLMID CAP CORE SERVICE	SERVICE CLASS SH	NO LOAD	REDEEM ONLY	1600	999999	999999
GMWEX	GPS FUNDS	GUIDEMARK WORLD EXUS SERVICE	SERVICE CLASS SH	NO LOAD	REDEEM ONLY	1600	999999	999999
GPARX	GPS FUNDS	GUIDEPATH ABSOLUTE RETURN ALLC SVC	SERVICE CLASS SH	NO LOAD	REDEEM ONLY	1600	0	0
GPTCX	GPS FUNDS	GUIDEPATH CONSERVATIVE ALLOCATION SVC	SERVICE CLASS SH	NO LOAD	REDEEM ONLY	1600	0	0
GPICX	GPS FUNDS	GUIDEPATH CONSERVATIVE INCOME	NO LOAD	NO LOAD	REDEEM ONLY	1600	0	0
GPIFX	GPS FUNDS	GUIDEPATH FLEXIBLE INCOME ALLC SVC	SERVICE CLASS SH	NO LOAD	REDEEM ONLY	1600	0	0
GPSTX	GPS FUNDS	GUIDEPATH GROWTH ALLOCATION SVC	SERVICE CLASS SH	NO LOAD	REDEEM ONLY	1600	0	0
GPIGX	GPS FUNDS	GUIDEPATH GROWTH AND INCOME	NO LOAD	NO LOAD	REDEEM ONLY	1600	0	0
GPINX	GPS FUNDS	GUIDEPATH INCOME	NO LOAD	NO LOAD	REDEEM ONLY	1600	0	0
GPMFX	GPS FUNDS	GUIDEPATH MANAGED FUTURES STRAT SVC	SERVICE CLASS SH	NO LOAD	REDEEM ONLY	1600	0	0
GPMIX	GPS FUNDS	GUIDEPATH MULTIASSET INCOME ALLC SVC	SERVICE CLASS SH	NO LOAD	REDEEM ONLY	1600	0	0
GPTUX	GPS FUNDS	GUIDEPATH TACTICAL ALLOCATION SVC	SERVICE CLASS SH	NO LOAD	REDEEM ONLY	1600	0	0
GQGRX	GQG FUNDS	GQG PARTNERS EMERGING MARKETS EQUITY R6	R6	NO LOAD	REDEEM ONLY	1600	0	0
GQGIX	GQG FUNDS	GQG PARTNERS EMRG MKTS EQTY FD INST	INSTITUTIONAL	NO LOAD	OPEN	1600	0	0
GQGPX	GQG FUNDS	GQG PARTNERS EMRG MKTS EQTY FD INV	INVESTOR	NO LOAD	OPEN	1600	0	0
GQFIX	GQG FUNDS	GQG PARTNERS GLOBAL QUALITY DIV INC INS	INSTITUTIONAL	NO LOAD	OPEN	1600	0	0
GQFPX	GQG FUNDS	GQG PARTNERS GLOBAL QUALITY DIV INC INV	INVESTOR	NO LOAD	OPEN	1600	100	100
GQRIX	GQG FUNDS	GQG PARTNERS GLOBAL QUALITY EQTY INST	INSTITUTIONAL	NO LOAD	OPEN	1600	0	0
GQRPX	GQG FUNDS	GQG PARTNERS GLOBAL QUALITY EQTY INV	INVESTOR	NO LOAD	OPEN	1600	0	0
GQJIX	GQG FUNDS	GQG PARTNERS INTL QLTY DIV INC INS	INSTITUTIONAL	NO LOAD	OPEN	1600	0	0
GQJPX	GQG FUNDS	GQG PARTNERS INTL QLTY DIV INC INV	INVESTOR	NO LOAD	SUBS ONLY	1600	100	100
GQHIX	GQG FUNDS	GQG PARTNERS US QUALITY DIVIDEND INC INS	INSTITUTIONAL	NO LOAD	OPEN	1600	0	0
GQEIX	GQG FUNDS	GQG PARTNERS US QUALITY SELECT EQTY INST	INSTITUTIONAL	NO LOAD	OPEN	1600	0	0
GQEPX	GQG FUNDS	GQG PARTNERS US QUALITY SELECT EQTY INV	INVESTOR	NO LOAD	OPEN	1600	0	0
GQERX	GQG FUNDS	GQG PARTNERS US SELECT QUALITY EQ R6	R6	NO LOAD	REDEEM ONLY	1600	0	0
GPEIX	GRANDEUR PEAK FUNDS	GRANDEUR PEAK EMERG MKTS OPPS INSTL	INSTITUTIONAL	NO LOAD	REDEEM ONLY	1600	1000	1000
GPEOX	GRANDEUR PEAK FUNDS	GRANDEUR PEAK EMERG MKTS OPPS INV	INVESTOR	NO LOAD	REDEEM ONLY	1600	1000	1000
GPGCX	GRANDEUR PEAK FUNDS	GRANDEUR PEAK GLOBAL CONTRARIAN INSTL	INSTITUTIONAL	NO LOAD	OPEN	1600	1000	1000
GPGEX	GRANDEUR PEAK FUNDS	GRANDEUR PEAK GLOBAL EXPLORER INSTL	INSTITUTIONAL	NO LOAD	OPEN	1600	1000	1000
GPMCX	GRANDEUR PEAK FUNDS	GRANDEUR PEAK GLOBAL MICRO CAP INSTL	INSTITUTIONAL	NO LOAD	REDEEM ONLY	1600	1000	1000
GPGIX	GRANDEUR PEAK FUNDS	GRANDEUR PEAK GLOBAL OPPORTUNITIES INSTL	INSTITUTIONAL	NO LOAD	REDEEM ONLY	1600	0	0
GPGOX	GRANDEUR PEAK FUNDS	GRANDEUR PEAK GLOBAL OPPORTUNITIES INV	INVESTOR	NO LOAD	REDEEM ONLY	1600	0	0
GPRIX	GRANDEUR PEAK FUNDS	GRANDEUR PEAK GLOBAL REACH INSTL	INSTITUTIONAL	NO LOAD	REDEEM ONLY	1600	1000	1000
GPROX	GRANDEUR PEAK FUNDS	GRANDEUR PEAK GLOBAL REACH INV	INVESTOR	NO LOAD	REDEEM ONLY	1600	1000	1000
GGSYX	GRANDEUR PEAK FUNDS	GRANDEUR PEAK GLOBAL STALWARTS INSTL	INSTITUTIONAL	NO LOAD	OPEN	1600	1000	1000
GGSOX	GRANDEUR PEAK FUNDS	GRANDEUR PEAK GLOBAL STALWARTS INVESTOR	INVESTOR	NO LOAD	OPEN	1600	1000	1000
GPIIX	GRANDEUR PEAK FUNDS	GRANDEUR PEAK INTERNATIONAL OPPS INSTL	INSTITUTIONAL	NO LOAD	REDEEM ONLY	1600	0	0
GPIOX	GRANDEUR PEAK FUNDS	GRANDEUR PEAK INTERNATIONAL OPPS INV	INVESTOR	NO LOAD	REDEEM ONLY	1600	1000	1000
GISYX	GRANDEUR PEAK FUNDS	GRANDEUR PEAK INTL STALWARTS INSTL	INSTITUTIONAL	NO LOAD	REDEEM ONLY	1600	1000	1000
GISOX	GRANDEUR PEAK FUNDS	GRANDEUR PEAK INTL STALWARTS INV	INVESTOR	NO LOAD	REDEEM ONLY	1600	1000	1000

SYMBOL	FUND FAMILY	FUND DESCRIPTION	SHARE CLASS	FUND TYPE	STATUS	CUT- OFF	IRA MIN	NON-IRA MIN
GUSYX	GRANDEUR PEAK FUNDS	GRANDEUR PEAK US STALWARTS INSTITUTIONAL	INSTITUTIONAL	NO LOAD	OPEN	1600	1000	1000
GPAAX	GRANT PARK	GRANT PARK MULTI ALT STRAT CL A	A	LOAD	OPEN	1600	0	0
GPACX	GRANT PARK	GRANT PARK MULTI ALT STRAT CL C	C	LOAD	OPEN	1600	0	0
GPAIX	GRANT PARK	GRANT PARK MULTI ALT STRAT CL I	INSTITUTIONAL	NO LOAD	OPEN	1600	0	0
GPANX	GRANT PARK	GRANT PARK MULTI ALT STRAT CL N	N	NO LOAD	OPEN	1600	0	0
GCBLX	GREEN CENTURY	GREEN CENTURY BALANCED	INDIVIDUAL INVES	NO LOAD	OPEN	1600	0	0
GCBUX	GREEN CENTURY	GREEN CENTURY BALANCED INSTITUTIONAL	INSTITUTIONAL	NO LOAD	OPEN	1600	0	0
GCEQX	GREEN CENTURY	GREEN CENTURY EQUITY	INDIVIDUAL INVES	NO LOAD	OPEN	1600	0	0
GCEUX	GREEN CENTURY	GREEN CENTURY EQUITY FD INST	INSTITUTIONAL	NO LOAD	OPEN	1600	0	0
GCINX	GREEN CENTURY	GREEN CENTURY MSCI INTL INDEX INDIV INV	INDIVIDUAL INVES	NO LOAD	OPEN	1600	0	0
GCIFX	GREEN CENTURY	GREEN CENTURY MSCI INTL INDEX INST	INSTITUTIONAL	NO LOAD	OPEN	1600	0	0
GRIOX	GREENSPRING	GREENSPRING INCOME OPPTY INST	INSTITUTIONAL	NO LOAD	OPEN	1600	2500	2500
GFGEX	GUARDIAN CAPITAL	GUARDIAN CAPITAL FNDMNTL GLBL EQTY INST	INSTITUTIONAL	NO LOAD	OPEN	1600	0	0
GGBZX	GUIDESTONE FUNDS	GUIDESTONE AGGR ALLOC FD INV	INVESTOR	NO LOAD	OPEN	1600	1000	1000
GGIZX	GUIDESTONE FUNDS	GUIDESTONE BAL ALLOC FD INV	INVESTOR	NO LOAD	OPEN	1600	0	0
GFIZX	GUIDESTONE FUNDS	GUIDESTONE CONSRV ALLOC FD INV	INVESTOR	NO LOAD	OPEN	1600	1000	1000
GDMZX	GUIDESTONE FUNDS	GUIDESTONE DEFENSIVE MKT STRAT INV	INVESTOR	NO LOAD	OPEN	1600	1000	1000
GEMZX	GUIDESTONE FUNDS	GUIDESTONE EMRG MKTS EQTY FD INV	INVESTOR	NO LOAD	OPEN	1600	1000	1000
GEQZX	GUIDESTONE FUNDS	GUIDESTONE EQTY INDEX FD INV	INVESTOR	NO LOAD	OPEN	1600	1000	1000
GDMYX	GUIDESTONE FUNDS	GUIDESTONE FUNDS DEFENSV MKT STRATS INST	INSTITUTIONAL	NO LOAD	REDEEM ONLY	1600	100000	100000
GEMYX	GUIDESTONE FUNDS	GUIDESTONE FUNDS EMRG MKTS EQTY FD INST	INSTITUTIONAL	NO LOAD	REDEEM ONLY	1600	1000000	1000000
GEQYX	GUIDESTONE FUNDS	GUIDESTONE FUNDS EQUITY INDEX INSTL	INSTITUTIONAL	NO LOAD	REDEEM ONLY	1600	1000000	1000000
GEIYX	GUIDESTONE FUNDS	GUIDESTONE FUNDS GROWTH EQUITY IDX INSTL	INSTITUTIONAL	NO LOAD	REDEEM ONLY	1600	1000000	1000000
GEIZX	GUIDESTONE FUNDS	GUIDESTONE FUNDS GROWTH EQUITY INDEX INV	INVESTOR	NO LOAD	OPEN	1600	1000	1000
GGEYX	GUIDESTONE FUNDS	GUIDESTONE FUNDS GROWTH EQUITY INSTL	INSTITUTIONAL	NO LOAD	REDEEM ONLY	1600	1000000	1000000
GMBZX	GUIDESTONE FUNDS	GUIDESTONE FUNDS IMPACT BOND INV	INVESTOR	NO LOAD	OPEN	1600	1000	1000
GIEYX	GUIDESTONE FUNDS	GUIDESTONE FUNDS INTERNATIONAL EQ INSTL	INSTITUTIONAL	NO LOAD	REDEEM ONLY	1600	1000000	1000000
GLDYX	GUIDESTONE FUNDS	GUIDESTONE FUNDS LOWDURATION BOND INSTL	INSTITUTIONAL	NO LOAD	REDEEM ONLY	1600	1000000	1000000
GMDYX	GUIDESTONE FUNDS	GUIDESTONE FUNDS MEDIUMDUR BD INSTL	INSTITUTIONAL	NO LOAD	REDEEM ONLY	1600	1000000	1000000
GSCYX	GUIDESTONE FUNDS	GUIDESTONE FUNDS SMALL CAP EQUITY INSTL	INSTITUTIONAL	NO LOAD	REDEEM ONLY	1600	1000000	1000000
GVIYX	GUIDESTONE FUNDS	GUIDESTONE FUNDS VALUE EQUITY IDX INSTL	INSTITUTIONAL	NO LOAD	REDEEM ONLY	1600	1000000	1000000
GVIZX	GUIDESTONE FUNDS	GUIDESTONE FUNDS VALUE EQUITY INDEX INV	INVESTOR	NO LOAD	OPEN	1600	1000	1000
GVEYX	GUIDESTONE FUNDS	GUIDESTONE FUNDS VALUE EQUITY INSTL	INSTITUTIONAL	NO LOAD	REDEEM ONLY	1600	1000000	1000000
GGBFX	GUIDESTONE FUNDS	GUIDESTONE GLBL BD FD INV	INVESTOR	NO LOAD	OPEN	1600	1000	1000
GGBEX	GUIDESTONE FUNDS	GUIDESTONE GLBL BD INST	INSTITUTIONAL	NO LOAD	REDEEM ONLY	1600	1000000	1000000
GREYX	GUIDESTONE FUNDS	GUIDESTONE GLBL REAL ESTATE SEC INST	INSTITUTIONAL	NO LOAD	REDEEM ONLY	1600	1000000	1000000
GREZX	GUIDESTONE FUNDS	GUIDESTONE GLBL REAL ESTATE SEC INV	INVESTOR	NO LOAD	OPEN	1600	1000	1000
GCOZX	GUIDESTONE FUNDS	GUIDESTONE GWTH ALLOC FD INV	INVESTOR	NO LOAD	OPEN	1600	1000	1000
GGEZX	GUIDESTONE FUNDS	GUIDESTONE GWTH EQTY FD INV	INVESTOR	NO LOAD	OPEN	1600	1000	1000
GIEZX	GUIDESTONE FUNDS	GUIDESTONE INTL EQTY FD INV	INVESTOR	NO LOAD	OPEN	1600	1000	1000
GIIYX	GUIDESTONE FUNDS	GUIDESTONE INTL EQTY INDX INST	INSTITUTIONAL	NO LOAD	REDEEM ONLY	1600	1000000	1000000

SYMBOL	FUND FAMILY	FUND DESCRIPTION	SHARE CLASS	FUND TYPE	STATUS	CUT- OFF	IRA MIN	NON-IRA MIN
GLDZX	GUIDESTONE FUNDS	GUIDESTONE LOW DURATION BD INV CL	INVESTOR	NO LOAD	OPEN	1600	1000	1000
GMDZX	GUIDESTONE FUNDS	GUIDESTONE MEDIUM DURATION BD FD INV	INVESTOR	NO LOAD	OPEN	1600	1000	1000
GMWZX	GUIDESTONE FUNDS	GUIDESTONE MYDESTINATION 2025 INV	INVESTOR	NO LOAD	OPEN	1600	0	0
GMHZX	GUIDESTONE FUNDS	GUIDESTONE MYDESTINATION 2035 INV	INVESTOR	NO LOAD	OPEN	1600	0	0
GMFZX	GUIDESTONE FUNDS	GUIDESTONE MYDESTINATION 2045 INV	INVESTOR	NO LOAD	OPEN	1600	0	0
GMGZX	GUIDESTONE FUNDS	GUIDESTONE MYDESTINATION 2055 INV	INVESTOR	NO LOAD	OPEN	1600	0	0
GSCZX	GUIDESTONE FUNDS	GUIDESTONE SM CAP EQTY FD INV	INVESTOR	NO LOAD	OPEN	1600	1000	1000
GFSZX	GUIDESTONE FUNDS	GUIDESTONE STRAT ALT FD INV	INVESTOR	NO LOAD	OPEN	1600	1000	1000
GVEZX	GUIDESTONE FUNDS	GUIDESTONE VALUE EQTY FD INV	INVESTOR	NO LOAD	OPEN	1600	1000	1000
GAAEX	GUINNESS ATKINSON	GUINNESS ATKINSON ALTERNATIVE ENERGY FD	NO LOAD	NO LOAD	OPEN	1600	0	0
IASMX	GUINNESS ATKINSON	GUINNESS ATKINSON ASIA FOCUS FUND	NO LOAD	NO LOAD	OPEN	1600	100	100
ICHKX	GUINNESS ATKINSON	GUINNESS ATKINSON CHINA & HONG KOND FD	NO LOAD	NO LOAD	OPEN	1600	100	100
GAGEX	GUINNESS ATKINSON	GUINNESS ATKINSON GLOBAL ENERGY FUND	NO LOAD	NO LOAD	OPEN	1600	100	100
IWIRX	GUINNESS ATKINSON	GUINNESS ATKINSON GLOBAL INNOVATORS FUND	NO LOAD	NO LOAD	OPEN	1600	100	100
GINNX	GUINNESS ATKINSON	GUINNESS ATKINSON GLOBAL INNOVATORS INST	INSTITUTIONAL	NO LOAD	OPEN	1600	0	0
HHDFX	HAMLIN FUNDS	HAMLIN HIGH DIV EQTY INSTL	INSTITUTIONAL	NO LOAD	OPEN	1600	100000	100000
HHDVX	HAMLIN FUNDS	HAMLIN HIGH DIV EQTY INV	INVESTOR	NO LOAD	OPEN	1600	100	100
ECSWX	HARBOR	EMBARK COMMODITY STRATEGY INSTITUTIONAL	INSTITUTIONAL	NO LOAD	REDEEM ONLY	1600	0	0
ESCWX	HARBOR	EMBARK SMALL CAP EQUITY INSTITUTIONAL	INSTITUTIONAL	NO LOAD	REDEEM ONLY	1600	0	0
HABDX	HARBOR	HARBOR BOND	INSTITUTIONAL	NO LOAD	OPEN	1600	50000	50000
HRBDX	HARBOR	HARBOR BOND FUND ADMIN CL	ADMINISTRATIVE	NO LOAD	OPEN	1600	100	100
HRCAX	HARBOR	HARBOR CAP APPRECIATION FUND ADMIN CL	ADMINISTRATIVE	NO LOAD	OPEN	1600	100	100
HNACX	HARBOR	HARBOR CAP APPRECIATION FUND RTRMT	RETIREMENT	NO LOAD	REDEEM ONLY	1600	1000000	1000000
HACAX	HARBOR	HARBOR CAPITAL APPPRECIATION	INSTITUTIONAL	NO LOAD	OPEN	1600	50000	50000
HCAIX	HARBOR	HARBOR CAPITAL APPR FUND INV CL	INVESTOR	NO LOAD	OPEN	1600	100	100
HRCSX	HARBOR	HARBOR CONV SEC FD ADMIN	ADMINISTRATIVE	NO LOAD	OPEN	1600	100	100
HACSX	HARBOR	HARBOR CONV SEC FD INST	INSTITUTIONAL	NO LOAD	OPEN	1600	50000	50000
HICSX	HARBOR	HARBOR CONV SEC FD INV	INVESTOR	NO LOAD	OPEN	1600	100	100
HNCVX	HARBOR	HARBOR CONV SEC FD RETIREMENT	RETIREMENT	NO LOAD	REDEEM ONLY	1600	1000000	1000000
HACBX	HARBOR	HARBOR CORE BOND FUND INST CL	INSTITUTIONAL	NO LOAD	OPEN	1600	50000	50000
HCBRX	HARBOR	HARBOR CORE BOND RETIREMENT CL	RETIREMENT	NO LOAD	REDEEM ONLY	1600	1000000	1000000
HAMGX	HARBOR	HARBOR DISRUPTIVE INNOVATION I	INSTITUTIONAL	NO LOAD	OPEN	1600	50000	50000
HIMGX	HARBOR	HARBOR DISRUPTIVE INNOVATION INV	INVESTOR	NO LOAD	OPEN	1600	100	100
HNMGX	HARBOR	HARBOR DISRUPTIVE INNOVATION R	RETIREMENT	NO LOAD	REDEEM ONLY	1600	1000000	1000000
HAIDX	HARBOR	HARBOR DIVERSIFIED INTL ALL CAP INST	INSTITUTIONAL	NO LOAD	OPEN	1600	50000	50000
HIIDX	HARBOR	HARBOR DIVERSIFIED INTL ALL CAP INV	INVESTOR	NO LOAD	OPEN	1600	100	100
HRIDX	HARBOR	HARBOR DIVERSIFIED INTL ALL CP ADMIN	ADMINISTRATIVE	NO LOAD	REDEEM ONLY	1600	0	0
HSICX	HARBOR	HARBOR INTERNATIONAL COMPOUNDERS INST	INSTITUTIONAL	NO LOAD	OPEN	1600	50000	50000
HVICX	HARBOR	HARBOR INTERNATIONAL COMPOUNDERS INV	INVESTOR	NO LOAD	OPEN	1600	100	100
HNICX	HARBOR	HARBOR INTERNATIONAL COMPOUNDERS RET	RETIREMENT	NO LOAD	REDEEM ONLY	1600	1000000	1000000
HAOSX	HARBOR	HARBOR INTERNATIONAL CORE INST	INSTITUTIONAL	NO LOAD	OPEN	1600	50000	50000

SYMBOL	FUND FAMILY	FUND DESCRIPTION	SHARE CLASS	FUND TYPE	STATUS	CUT- OFF	IRA MIN	NON-IRA MIN
HAONX	HARBOR	HARBOR INTERNATIONAL CORE INV	INVESTOR	NO LOAD	OPEN	1600	100	100
HAORX	HARBOR	HARBOR INTERNATIONAL CORE RET	RETIREMENT	NO LOAD	REDEEM ONLY	1600	1000000	1000000
HRINX	HARBOR	HARBOR INTERNATIONAL FD ADMIN CL	ADMINISTRATIVE	NO LOAD	OPEN	1600	100	100
HIINX	HARBOR	HARBOR INTERNATIONAL FUND INVESTOR CL	INVESTOR	NO LOAD	OPEN	1600	100	100
HNINX	HARBOR	HARBOR INTERNATIONAL FUND RTRMT	RETIREMENT	NO LOAD	REDEEM ONLY	1600	1000000	1000000
HIIGX	HARBOR	HARBOR INTERNATIONAL GROWTH FUND INVESTOR CL	INVESTOR	NO LOAD	SUBS ONLY	1600	100	100
HRISX	HARBOR	HARBOR INTERNATIONAL SMALL CAP ADMIN	ADMINISTRATIVE	NO LOAD	REDEEM ONLY	1600	0	0
HNISX	HARBOR	HARBOR INTERNATIONAL SMALL CAP RETIRE	RETIREMENT	NO LOAD	REDEEM ONLY	1600	1000000	1000000
HAINX	HARBOR	HARBOR INTL FUND INSTL CL	INSTITUTIONAL	NO LOAD	OPEN	1600	50000	50000
HNGFX	HARBOR	HARBOR INTL GROWTH FD RTRMT	RETIREMENT	NO LOAD	REDEEM ONLY	1600	1000000	1000000
HAIGX	HARBOR	HARBOR INTL GROWTH INSTL CL	INSTITUTIONAL	NO LOAD	SUBS ONLY	1600	50000	50000
HAISX	HARBOR	HARBOR INTL SMALL CAP FD INST	INSTITUTIONAL	NO LOAD	OPEN	1600	50000	50000
HIISX	HARBOR	HARBOR INTL SMALL CAP FD INV	INVESTOR	NO LOAD	OPEN	1600	100	100
HNLVX	HARBOR	HARBOR LARGE CAP VALUE FD RET CL	RETIREMENT	NO LOAD	REDEEM ONLY	1600	1000000	1000000
HAVLX	HARBOR	HARBOR LARGE CAP VALUE FUND	INSTITUTIONAL	NO LOAD	OPEN	1600	50000	50000
HILVX	HARBOR	HARBOR LARGE CAP VALUE INV CL	INVESTOR	NO LOAD	OPEN	1600	100	100
HMCRX	HARBOR	HARBOR MID CAP FD RETIREMENT CL	RETIREMENT	NO LOAD	REDEEM ONLY	1600	1000000	1000000
HMCLX	HARBOR	HARBOR MID CAP INST FD CL	INSTITUTIONAL	NO LOAD	OPEN	1600	50000	50000
HMCNX	HARBOR	HARBOR MID CAP INVESTOR	INVESTOR	NO LOAD	OPEN	1600	100	100
HAMVX	HARBOR	HARBOR MID CAP VALUE FD INSTL CL	INSTITUTIONAL	NO LOAD	OPEN	1600	50000	50000
HNMVX	HARBOR	HARBOR MID CAP VALUE FD RETIREMENT CL	RETIREMENT	NO LOAD	REDEEM ONLY	1600	1000000	1000000
HIMVX	HARBOR	HARBOR MID CAP VALUE FUND INVESTOR CL	INVESTOR	NO LOAD	OPEN	1600	100	100
HRSGX	HARBOR	HARBOR SMALL CAP GROWTH FUND ADMIN CL	ADMINISTRATIVE	NO LOAD	REDEEM ONLY	1600	100	100
HISGX	HARBOR	HARBOR SMALL CAP GROWTH FUND INVESTOR CL	INVESTOR	NO LOAD	OPEN	1600	100	100
HNSGX	HARBOR	HARBOR SMALL CAP GROWTH FUND RTRMT CL	RETIREMENT	NO LOAD	REDEEM ONLY	1600	1000000	1000000
HASGX	HARBOR	HARBOR SMALL CAP GROWTH INSTL CL	INSTITUTIONAL	NO LOAD	OPEN	1600	50000	50000
HASCX	HARBOR	HARBOR SMALL CAP VALUE FUND	INSTITUTIONAL	NO LOAD	SUBS ONLY	1600	50000	50000
HISVX	HARBOR	HARBOR SMALL CAP VALUE FUND INVESTOR CL	INVESTOR	NO LOAD	SUBS ONLY	1600	100	100
HNVRX	HARBOR	HARBOR SMALL CAP VALUE FUND RTRMT CL	RETIREMENT	NO LOAD	REDEEM ONLY	1600	1000000	1000000
HLMCX	HARDING LOEVNER	HARDING LOEVNER CHINESE EQUITY INSTL	INSTITUTIONAL	NO LOAD	OPEN	1600	0	0
HLEMX	HARDING LOEVNER	HARDING LOEVNER EMERGING MARKETS ADV CL	ADVISOR	NO LOAD	OPEN	1600	1000	2500
HLFMX	HARDING LOEVNER	HARDING LOEVNER FRONTIER EMERG MKTS INST	INSTITUTIONAL	NO LOAD	OPEN	1600	100000	100000
HLMOX	HARDING LOEVNER	HARDING LOEVNER FRONTIER EMERG MKTS INV	INVESTOR	NO LOAD	OPEN	1600	2500	2500
HLMGX	HARDING LOEVNER	HARDING LOEVNER GLOBAL EQTY PORT ADV CL	ADVISOR	NO LOAD	OPEN	1600	1000	2500
HLMVX	HARDING LOEVNER	HARDING LOEVNER GLOBAL EQUITY PORT INST	INSTITUTIONAL	NO LOAD	OPEN	1600	100000	100000
HLMEX	HARDING LOEVNER	HARDING LOEVNER INST EMRG MKTS CL I	INSTITUTIONAL	NO LOAD	OPEN	1600	100000	100000
HLEZX	HARDING LOEVNER	HARDING LOEVNER INSTL EMERG MKTS Z	INSTITUTIONAL	NO LOAD	REDEEM ONLY	1600	10000000	10000000
HLIZX	HARDING LOEVNER	HARDING LOEVNER INTERNATIONAL EQ INSTL Z	INSTITUTIONAL	NO LOAD	REDEEM ONLY	1600	10000000	10000000
HLIDX	HARDING LOEVNER	HARDING LOEVNER INTL DEV MKTS EQ INST	INSTITUTIONAL	NO LOAD	OPEN	1600	0	0
HLMIX	HARDING LOEVNER	HARDING LOEVNER INTL EQTY PORT INST	INSTITUTIONAL	NO LOAD	OPEN	1600	100000	100000
HLMNX	HARDING LOEVNER	HARDING LOEVNER INTL EQTY PORT INV CL	INVESTOR	NO LOAD	OPEN	1600	0	0

SYMBOL	FUND FAMILY	FUND DESCRIPTION	SHARE CLASS	FUND TYPE	STATUS	CUT- OFF	IRA MIN	NON-IRA MIN
HLMRX	HARDING LOEVNER	HARDING LOEVNER INTL SM CO INST	INSTITUTIONAL	NO LOAD	OPEN	1600	100000	100000
HLMSX	HARDING LOEVNER	HARDING LOEVNER INTL SM CO INV	INVESTOR	NO LOAD	OPEN	1600	2500	2500
HLRZX	HARDING LOEVNER	HARDING LOEVNER INTL SMALL COMS INSTL Z	INSTITUTIONAL Z	NO LOAD	OPEN	1600	0	0
HAFAX	HARTFORD	HARTFORD AARP BALANCED RETIREMENT A	A	LOAD	SUBS ONLY	1600	2000	2000
HAICX	HARTFORD	HARTFORD AARP BALANCED RETIREMENT C	C	LOAD	SUBS ONLY	1600	2000	2000
HAFDX	HARTFORD	HARTFORD AARP BALANCED RETIREMENT F	F	NO LOAD	REDEEM ONLY	1600	0	0
HAFIX	HARTFORD	HARTFORD AARP BALANCED RETIREMENT I	I	NO LOAD	SUBS ONLY	1600	2000	2000
HAFRX	HARTFORD	HARTFORD AARP BALANCED RETIREMENT R3	R3	NO LOAD	SUBS ONLY	1600	0	0
HAFYX	HARTFORD	HARTFORD AARP BALANCED RETIREMENT Y	Y	NO LOAD	SUBS ONLY	1600	1000	1000
HBLCX	HARTFORD	HARTFORD BALANCED INCM C	C	LOAD	OPEN	1600	2000	2000
HBLFX	HARTFORD	HARTFORD BALANCED INCM CL F	F	NO LOAD	OPEN	1600	0	0
HBLVX	HARTFORD	HARTFORD BALANCED INCM CL R6	R6	NO LOAD	REDEEM ONLY	1600	0	0
HBLYX	HARTFORD	HARTFORD BALANCED INCM CL Y	Y	NO LOAD	OPEN	1600	1000	1000
HBLAX	HARTFORD	HARTFORD BALANCED INCM FD CL A	A	LOAD	OPEN	1600	2000	2000
HBLIX	HARTFORD	HARTFORD BALANCED INCM I	I	NO LOAD	OPEN	1600	2000	2000
HBSLX	HARTFORD	HARTFORD BALANCED INCM R4	R4	NO LOAD	REDEEM ONLY	1600	0	0
HBLRX	HARTFORD	HARTFORD BALANCED INCOME R3	R3	NO LOAD	REDEEM ONLY	1600	0	0
HBLTX	HARTFORD	HARTFORD BALANCED INCOME R5	R5	NO LOAD	REDEEM ONLY	1600	0	0
HCACX	HARTFORD	HARTFORD CAPITAL APPR FUND CL C	C	LOAD	OPEN	1600	2000	2000
HCAFEX	HARTFORD	HARTFORD CAPITAL APPR FUND CL F	F	NO LOAD	OPEN	1600	0	0
ITHIX	HARTFORD	HARTFORD CAPITAL APPR I	I	NO LOAD	OPEN	1600	2000	2000
ITHRX	HARTFORD	HARTFORD CAPITAL APPR R3	R3	NO LOAD	REDEEM ONLY	1600	0	0
ITHSX	HARTFORD	HARTFORD CAPITAL APPR R4	R4	NO LOAD	REDEEM ONLY	1600	0	0
ITHTX	HARTFORD	HARTFORD CAPITAL APPR R5	R5	NO LOAD	REDEEM ONLY	1600	0	0
HCAYX	HARTFORD	HARTFORD CAPITAL APPR Y	Y	NO LOAD	OPEN	1600	1000	1000
ITHAX	HARTFORD	HARTFORD CAPITAL APPRECIATION FUND CL A	A	LOAD	OPEN	1600	2000	2000
ITHVX	HARTFORD	HARTFORD CAPITAL APPRECIATION R6	R6	NO LOAD	REDEEM ONLY	1600	100000	100000
HCKAX	HARTFORD	HARTFORD CHECKS AND BALANCES A	A	LOAD	OPEN	1600	2000	2000
HCKCX	HARTFORD	HARTFORD CHECKS AND BALANCES C	C	LOAD	OPEN	1600	2000	2000
HCKFX	HARTFORD	HARTFORD CHECKS AND BALANCES F	F	NO LOAD	OPEN	1600	0	0
HCKIX	HARTFORD	HARTFORD CHECKS AND BALANCES I	I	NO LOAD	OPEN	1600	2000	2000
HCKRX	HARTFORD	HARTFORD CHECKS AND BALANCES R3	R3	NO LOAD	REDEEM ONLY	1600	0	0
HEONX	HARTFORD	HARTFORD CLIMATE OPPORTUNITIES C	C	LOAD	OPEN	1600	0	0
HEOFX	HARTFORD	HARTFORD CLIMATE OPPORTUNITIES F	F	NO LOAD	OPEN	1600	100000	100000
HEOMX	HARTFORD	HARTFORD CLIMATE OPPORTUNITIES FD CL A	A	LOAD	OPEN	1600	2000	2000
HEOYX	HARTFORD	HARTFORD CLIMATE OPPORTUNITIES FD CL Y	Y	NO LOAD	OPEN	1600	1000	1000
HEOIX	HARTFORD	HARTFORD CLIMATE OPPORTUNITIES I	I	NO LOAD	OPEN	1600	2000	2000
HEORX	HARTFORD	HARTFORD CLIMATE OPPORTUNITIES R3	R3	NO LOAD	REDEEM ONLY	1600	0	0
HEOVX	HARTFORD	HARTFORD CLIMATE OPPORTUNITIES R6	R6	NO LOAD	REDEEM ONLY	1600	100000	100000
HCVAX	HARTFORD	HARTFORD CONSERVATIVE ALLOC A	A	LOAD	OPEN	1600	2000	2000
HCVCX	HARTFORD	HARTFORD CONSERVATIVE ALLOC C	C	LOAD	OPEN	1600	2000	2000

SYMBOL	FUND FAMILY	FUND DESCRIPTION	SHARE CLASS	FUND TYPE	STATUS	CUT- OFF	IRA MIN	NON-IRA MIN
HCVFX	HARTFORD	HARTFORD CONSERVATIVE ALLOC F	F	NO LOAD	OPEN	1600	0	0
HCVIX	HARTFORD	HARTFORD CONSERVATIVE ALLOC I	I	NO LOAD	OPEN	1600	2000	2000
HCVRX	HARTFORD	HARTFORD CONSERVATIVE ALLOCATION R3	R3	NO LOAD	REDEEM ONLY	1600	0	0
HAIAX	HARTFORD	HARTFORD CORE EQTY FD A	A	LOAD	OPEN	1600	2000	2000
HGICX	HARTFORD	HARTFORD CORE EQTY FD C	C	LOAD	OPEN	1600	2000	2000
HGIFX	HARTFORD	HARTFORD CORE EQTY FD F	F	NO LOAD	OPEN	1600	0	0
HGIIX	HARTFORD	HARTFORD CORE EQTY FD I	I	NO LOAD	OPEN	1600	2000	2000
HGIRX	HARTFORD	HARTFORD CORE EQTY FD R3	R3	NO LOAD	REDEEM ONLY	1600	0	0
HGISX	HARTFORD	HARTFORD CORE EQTY FD R4	R4	NO LOAD	REDEEM ONLY	1600	0	0
HGITX	HARTFORD	HARTFORD CORE EQTY FD R5	R5	NO LOAD	REDEEM ONLY	1600	0	0
HAITX	HARTFORD	HARTFORD CORE EQTY FD R6	R6	NO LOAD	REDEEM ONLY	1600	0	0
HGIYX	HARTFORD	HARTFORD CORE EQTY FD Y	Y	NO LOAD	OPEN	1600	1000	1000
HDGCX	HARTFORD	HARTFORD DIV & GROWTH C	C	LOAD	OPEN	1600	2000	2000
HDGFX	HARTFORD	HARTFORD DIV & GROWTH FD CL F	F	NO LOAD	OPEN	1600	0	0
HDGRX	HARTFORD	HARTFORD DIV & GROWTH FD CL R3	R3	NO LOAD	REDEEM ONLY	1600	0	0
HDGSX	HARTFORD	HARTFORD DIV & GROWTH FD CL R4	R4	NO LOAD	REDEEM ONLY	1600	0	0
HDGTX	HARTFORD	HARTFORD DIV & GROWTH FD CL R5	R5	NO LOAD	REDEEM ONLY	1600	0	0
HDGVX	HARTFORD	HARTFORD DIV & GROWTH FD CL R6	R6	NO LOAD	REDEEM ONLY	1600	0	0
HDGIX	HARTFORD	HARTFORD DIV AND GROWTH I	I	NO LOAD	OPEN	1600	2000	2000
IHGIX	HARTFORD	HARTFORD DIVIDEND & GROWTH FUND CL A	A	LOAD	OPEN	1600	2000	2000
HDGYX	HARTFORD	HARTFORD DIVIDEND & GROWTH Y	Y	NO LOAD	OPEN	1600	1000	1000
HDBAX	HARTFORD	HARTFORD DYNAMIC BOND A	A	LOAD	OPEN	1600	0	0
HDBCX	HARTFORD	HARTFORD DYNAMIC BOND C	C	LOAD	OPEN	1600	0	0
HDBFX	HARTFORD	HARTFORD DYNAMIC BOND F	F	NO LOAD	OPEN	1600	0	0
HDBIX	HARTFORD	HARTFORD DYNAMIC BOND I	I	NO LOAD	OPEN	1600	0	0
HDBYX	HARTFORD	HARTFORD DYNAMIC BOND Y	Y	NO LOAD	OPEN	1600	0	0
HERIX	HARTFORD	HARTFORD EMERGING MARKETS EQUITY I	I	NO LOAD	OPEN	1600	2000	2000
HERRX	HARTFORD	HARTFORD EMERGING MARKETS EQUITY R3	R3	NO LOAD	REDEEM ONLY	1600	0	0
HLDRX	HARTFORD	HARTFORD EMERGING MARKETS LOCAL DEBT R3	R3	NO LOAD	REDEEM ONLY	1600	0	0
HERCX	HARTFORD	HARTFORD EMRG MKTS EQTY FD C	C	LOAD	OPEN	1600	2000	2000
HERFX	HARTFORD	HARTFORD EMRG MKTS EQTY FD F	F	NO LOAD	OPEN	1600	0	0
HERYX	HARTFORD	HARTFORD EMRG MKTS EQTY FD Y	Y	NO LOAD	OPEN	1600	1000	1000
HERAX	HARTFORD	HARTFORD EMRG MKTS EQUITY A	A	LOAD	OPEN	1600	2000	2000
HLDAX	HARTFORD	HARTFORD EMRG MKTS LOCAL DEBT A	A	LOAD	OPEN	1600	2000	2000
HLDCX	HARTFORD	HARTFORD EMRG MKTS LOCAL DEBT C	C	LOAD	OPEN	1600	5000	5000
HLDIX	HARTFORD	HARTFORD EMRG MKTS LOCAL DEBT I	I	NO LOAD	OPEN	1600	2000	2000
HLDYX	HARTFORD	HARTFORD EMRG MKTS LOCAL DEBT Y	Y	NO LOAD	OPEN	1600	1000	1000
HQICX	HARTFORD	HARTFORD EQTY INCM C	C	LOAD	OPEN	1600	2000	2000
HQIFX	HARTFORD	HARTFORD EQTY INCM FD CL F	F	NO LOAD	OPEN	1600	0	0
HQIIX	HARTFORD	HARTFORD EQTY INCM FD CL I	I	NO LOAD	OPEN	1600	2000	2000
HQIRX	HARTFORD	HARTFORD EQTY INCM R3	R3	NO LOAD	REDEEM ONLY	1600	0	0

SYMBOL	FUND FAMILY	FUND DESCRIPTION	SHARE CLASS	FUND TYPE	STATUS	CUT- OFF	IRA MIN	NON-IRA MIN
HQISX	HARTFORD	HARTFORD EQTY INCM R4	R4	NO LOAD	REDEEM ONLY	1600	0	0
HQITX	HARTFORD	HARTFORD EQTY INCM R5	R5	NO LOAD	REDEEM ONLY	1600	0	0
HQIYX	HARTFORD	HARTFORD EQTY INCM Y	Y	NO LOAD	OPEN	1600	1000	1000
HQIAX	HARTFORD	HARTFORD EQUITY INCOME FUND CL A	A	LOAD	OPEN	1600	2000	2000
HQIVX	HARTFORD	HARTFORD EQUITY INCOME R6	R6	NO LOAD	REDEEM ONLY	1600	0	0
HFLCX	HARTFORD	HARTFORD FLOATING RATE C	C	LOAD	OPEN	1600	2000	2000
HFLFX	HARTFORD	HARTFORD FLOATING RATE F	F	NO LOAD	OPEN	1600	0	0
HFLAX	HARTFORD	HARTFORD FLOATING RATE FUND CL A	A	LOAD	OPEN	1600	2000	2000
HFHRX	HARTFORD	HARTFORD FLOATING RATE HIGH INC R3	R3	NO LOAD	REDEEM ONLY	1600	0	0
HFHTX	HARTFORD	HARTFORD FLOATING RATE HIGH INC R5	R5	NO LOAD	REDEEM ONLY	1600	0	0
HFHAX	HARTFORD	HARTFORD FLOATING RATE HIGH INCM A	A	LOAD	OPEN	1600	2000	2000
HFHCX	HARTFORD	HARTFORD FLOATING RATE HIGH INCM C	C	LOAD	OPEN	1600	2000	2000
HFHFX	HARTFORD	HARTFORD FLOATING RATE HIGH INCM F	F	NO LOAD	OPEN	1600	0	0
HFHIX	HARTFORD	HARTFORD FLOATING RATE HIGH INCM I	I	NO LOAD	OPEN	1600	2000	2000
HFHYX	HARTFORD	HARTFORD FLOATING RATE HIGH INCM Y	Y	NO LOAD	OPEN	1600	1000	1000
HFLIX	HARTFORD	HARTFORD FLOATING RATE I	I	NO LOAD	OPEN	1600	2000	2000
HFLRX	HARTFORD	HARTFORD FLOATING RATE R3	R3	NO LOAD	REDEEM ONLY	1600	0	0
HFLSX	HARTFORD	HARTFORD FLOATING RATE R4	R4	NO LOAD	REDEEM ONLY	1600	0	0
HFLTXX	HARTFORD	HARTFORD FLOATING RATE R5	R5	NO LOAD	REDEEM ONLY	1600	0	0
HFLYX	HARTFORD	HARTFORD FLOATING RATE Y	Y	NO LOAD	OPEN	1600	1000	1000
HGXAX	HARTFORD	HARTFORD GLOBAL IMPACT A	A	LOAD	OPEN	1600	2000	2000
HGXCX	HARTFORD	HARTFORD GLOBAL IMPACT C	C	LOAD	OPEN	1600	0	0
HGXIX	HARTFORD	HARTFORD GLOBAL IMPACT I	I	NO LOAD	OPEN	1600	2000	2000
HGXRX	HARTFORD	HARTFORD GLOBAL IMPACT R3	R3	NO LOAD	REDEEM ONLY	1600	0	0
HGXVX	HARTFORD	HARTFORD GLOBAL IMPACT R6	R6	NO LOAD	REDEEM ONLY	1600	0	0
HGXYY	HARTFORD	HARTFORD GLOBAL IMPACT Y	Y	NO LOAD	OPEN	1600	1000	1000
HRLAX	HARTFORD	HARTFORD GLOBAL REAL ASSET A	A	LOAD	OPEN	1600	5000	5000
HRLCX	HARTFORD	HARTFORD GLOBAL REAL ASSET C	C	LOAD	OPEN	1600	5000	5000
HRLFX	HARTFORD	HARTFORD GLOBAL REAL ASSET F	F	NO LOAD	OPEN	1600	0	0
HRLIX	HARTFORD	HARTFORD GLOBAL REAL ASSET I	I	NO LOAD	OPEN	1600	5000	5000
HRLRX	HARTFORD	HARTFORD GLOBAL REAL ASSET R3	R3	NO LOAD	REDEEM ONLY	1600	0	0
HRLSX	HARTFORD	HARTFORD GLOBAL REAL ASSET R4	R4	NO LOAD	REDEEM ONLY	1600	0	0
HRLTX	HARTFORD	HARTFORD GLOBAL REAL ASSET R5	R5	NO LOAD	REDEEM ONLY	1600	0	0
HRLYX	HARTFORD	HARTFORD GLOBAL REAL ASSET Y	Y	NO LOAD	OPEN	1600	1000	1000
HRAAX	HARTFORD	HARTFORD GROWTH ALLOC A	A	LOAD	OPEN	1600	2000	2000
HRACX	HARTFORD	HARTFORD GROWTH ALLOC C	C	LOAD	OPEN	1600	2000	2000
HRAFX	HARTFORD	HARTFORD GROWTH ALLOC F	F	NO LOAD	OPEN	1600	0	0
HRAIX	HARTFORD	HARTFORD GROWTH ALLOC I	I	NO LOAD	OPEN	1600	2000	2000
HRARX	HARTFORD	HARTFORD GROWTH ALLOCATION R3	R3	NO LOAD	REDEEM ONLY	1600	0	0
HGOAX	HARTFORD	HARTFORD GROWTH OPPORTUNITIES FUND CL A	A	LOAD	OPEN	1600	2000	2000
HGOVX	HARTFORD	HARTFORD GROWTH OPPORTUNITIES R6	R6	NO LOAD	REDEEM ONLY	1600	0	0

SYMBOL	FUND FAMILY	FUND DESCRIPTION	SHARE CLASS	FUND TYPE	STATUS	CUT- OFF	IRA MIN	NON-IRA MIN
HGOCX	HARTFORD	HARTFORD GROWTH OPPTY C	C	LOAD	OPEN	1600	2000	2000
HGOIX	HARTFORD	HARTFORD GROWTH OPPTY I	I	NO LOAD	OPEN	1600	2000	2000
HGOYX	HARTFORD	HARTFORD GROWTH OPPTY Y	Y	NO LOAD	OPEN	1600	1000	1000
HGOFX	HARTFORD	HARTFORD GWTH OPPTY FD CL F	F	NO LOAD	OPEN	1600	0	0
HGORX	HARTFORD	HARTFORD GWTH OPPTY FD CL R3	R3	NO LOAD	REDEEM ONLY	1600	0	0
HGOSX	HARTFORD	HARTFORD GWTH OPPTY FD CL R4	R4	NO LOAD	REDEEM ONLY	1600	0	0
HGOTX	HARTFORD	HARTFORD GWTH OPPTY FD CL R5	R5	NO LOAD	REDEEM ONLY	1600	0	0
HGHFX	HARTFORD	HARTFORD HEALTHCARE FD CL F	F	NO LOAD	OPEN	1600	0	0
HGHYX	HARTFORD	HARTFORD HEALTHCARE FD CL Y	Y	NO LOAD	OPEN	1600	1000	1000
HGHCX	HARTFORD	HARTFORD HEALTHCARE FUND C	C	LOAD	OPEN	1600	2000	2000
HGHAX	HARTFORD	HARTFORD HEALTHCARE FUND CL A	A	LOAD	OPEN	1600	2000	2000
HGHTX	HARTFORD	HARTFORD HEALTHCARE FUND CL R5	R5	NO LOAD	REDEEM ONLY	1600	0	0
HGHIX	HARTFORD	HARTFORD HEALTHCARE FUND I	I	NO LOAD	OPEN	1600	2000	2000
HGHRX	HARTFORD	HARTFORD HEALTHCARE R3	R3	NO LOAD	REDEEM ONLY	1600	0	0
HGHSX	HARTFORD	HARTFORD HEALTHCARE R4	R4	NO LOAD	REDEEM ONLY	1600	0	0
HAHCX	HARTFORD	HARTFORD HIGH YIELD C	C	LOAD	OPEN	1600	2000	2000
HAHFX	HARTFORD	HARTFORD HIGH YIELD F	F	NO LOAD	OPEN	1600	0	0
HAHIX	HARTFORD	HARTFORD HIGH YIELD I	I	NO LOAD	OPEN	1600	2000	2000
HAHRX	HARTFORD	HARTFORD HIGH YIELD R3	R3	NO LOAD	REDEEM ONLY	1600	0	0
HAHYX	HARTFORD	HARTFORD HIGH YIELD Y	Y	NO LOAD	OPEN	1600	1000	1000
HAHAX	HARTFORD	HARTFORD HIGHYIELD FUND CL A	A	LOAD	OPEN	1600	2000	2000
HIPCX	HARTFORD	HARTFORD INFLATION PLUS C	C	LOAD	OPEN	1600	2000	2000
HIPFX	HARTFORD	HARTFORD INFLATION PLUS F	F	NO LOAD	OPEN	1600	0	0
HIPAX	HARTFORD	HARTFORD INFLATION PLUS FUND CL A	A	LOAD	OPEN	1600	2000	2000
HIPIX	HARTFORD	HARTFORD INFLATION PLUS I	I	NO LOAD	OPEN	1600	2000	2000
HIPRX	HARTFORD	HARTFORD INFLATION PLUS R3	R3	NO LOAD	REDEEM ONLY	1600	0	0
HIPSX	HARTFORD	HARTFORD INFLATION PLUS R4	R4	NO LOAD	REDEEM ONLY	1600	0	0
HIPTX	HARTFORD	HARTFORD INFLATION PLUS R5	R5	NO LOAD	REDEEM ONLY	1600	0	0
HIPYX	HARTFORD	HARTFORD INFLATION PLUS Y	Y	NO LOAD	OPEN	1600	1000	1000
HNCFX	HARTFORD	HARTFORD INTERNATIONAL GROWTH F	F	NO LOAD	OPEN	1600	100000	100000
HNCAX	HARTFORD	HARTFORD INTERNATIONAL GROWTH FD CL A	A	LOAD	OPEN	1600	2000	2000
HNCCX	HARTFORD	HARTFORD INTERNATIONAL GROWTH FD CL C	C	LOAD	OPEN	1600	2000	2000
HILRX	HARTFORD	HARTFORD INTERNATIONAL VALUE R3	R3	NO LOAD	REDEEM ONLY	1600	0	0
HILUX	HARTFORD	HARTFORD INTERNATIONAL VALUE R6	R6	NO LOAD	REDEEM ONLY	1600	0	0
HDVFX	HARTFORD	HARTFORD INTL EQTY FD F	F	NO LOAD	OPEN	1600	0	0
HDVIX	HARTFORD	HARTFORD INTL EQTY FD I	I	NO LOAD	OPEN	1600	2000	2000
HDVRX	HARTFORD	HARTFORD INTL EQTY FD R3	R3	NO LOAD	REDEEM ONLY	1600	0	0
HDVSX	HARTFORD	HARTFORD INTL EQTY FD R4	R4	NO LOAD	REDEEM ONLY	1600	0	0
HDVTX	HARTFORD	HARTFORD INTL EQTY FD R5	R5	NO LOAD	REDEEM ONLY	1600	0	0
HDVYX	HARTFORD	HARTFORD INTL EQTY FD Y	Y	NO LOAD	OPEN	1600	1000	1000
HDVAX	HARTFORD	HARTFORD INTL EQUITY A	A	LOAD	OPEN	1600	2000	2000

SYMBOL	FUND FAMILY	FUND DESCRIPTION	SHARE CLASS	FUND TYPE	STATUS	CUT- OFF	IRA MIN	NON-IRA MIN
HDVCX	HARTFORD	HARTFORD INTL EQUITY C	C	LOAD	OPEN	1600	2000	2000
HDVVX	HARTFORD	HARTFORD INTL EQUITY FD CL R6	R6	NO LOAD	REDEEM ONLY	1600	0	0
HNCRX	HARTFORD	HARTFORD INTL GROWTH FD R3	R3	NO LOAD	REDEEM ONLY	1600	0	0
HNCSX	HARTFORD	HARTFORD INTL GROWTH FD R4	R4	NO LOAD	REDEEM ONLY	1600	0	0
HNCTX	HARTFORD	HARTFORD INTL GROWTH FD R5	R5	NO LOAD	REDEEM ONLY	1600	0	0
HNCJX	HARTFORD	HARTFORD INTL GROWTH I	I	NO LOAD	OPEN	1600	2000	2000
HNCYX	HARTFORD	HARTFORD INTL GROWTH Y	Y	NO LOAD	OPEN	1600	1000	1000
IHOAX	HARTFORD	HARTFORD INTL OPPTY A	A	LOAD	OPEN	1600	2000	2000
HIOCX	HARTFORD	HARTFORD INTL OPPTY C	C	LOAD	OPEN	1600	2000	2000
IHOFX	HARTFORD	HARTFORD INTL OPPTY F	F	NO LOAD	OPEN	1600	0	0
IHOIX	HARTFORD	HARTFORD INTL OPPTY FD CL I	I	NO LOAD	OPEN	1600	2000	2000
IHORX	HARTFORD	HARTFORD INTL OPPTY R3	R3	NO LOAD	REDEEM ONLY	1600	0	0
IHOSX	HARTFORD	HARTFORD INTL OPPTY R4	R4	NO LOAD	REDEEM ONLY	1600	0	0
IHOTX	HARTFORD	HARTFORD INTL OPPTY R5	R5	NO LOAD	REDEEM ONLY	1600	0	0
IHOVX	HARTFORD	HARTFORD INTL OPPTY R6	R6	NO LOAD	REDEEM ONLY	1600	0	0
HAOYX	HARTFORD	HARTFORD INTL OPPTY Y	Y	NO LOAD	OPEN	1600	1000	1000
HILAX	HARTFORD	HARTFORD INTL VALUE A	A	LOAD	OPEN	1600	2000	2000
HILCX	HARTFORD	HARTFORD INTL VALUE C	C	LOAD	OPEN	1600	2000	2000
HILDY	HARTFORD	HARTFORD INTL VALUE F	F	NO LOAD	OPEN	1600	0	0
HILIX	HARTFORD	HARTFORD INTL VALUE I	I	NO LOAD	OPEN	1600	2000	2000
HILYX	HARTFORD	HARTFORD INTL VALUE Y	Y	NO LOAD	OPEN	1600	1000	1000
HFMCX	HARTFORD	HARTFORD MID CAP FD CL A	A	LOAD	OPEN	1600	2000	2000
HMVAX	HARTFORD	HARTFORD MID CAP VALUE FD CL A	A	LOAD	OPEN	1600	2000	2000
HMVCX	HARTFORD	HARTFORD MID CAP VALUE FD CL C	C	LOAD	OPEN	1600	2000	2000
HMVJX	HARTFORD	HARTFORD MID CAP VALUE I	I	NO LOAD	OPEN	1600	2000	2000
HMVRX	HARTFORD	HARTFORD MID CAP VALUE R3	R3	NO LOAD	REDEEM ONLY	1600	0	0
HMVSX	HARTFORD	HARTFORD MID CAP VALUE R4	R4	NO LOAD	REDEEM ONLY	1600	0	0
HMVTX	HARTFORD	HARTFORD MID CAP VALUE R5	R5	NO LOAD	REDEEM ONLY	1600	0	0
HMVYX	HARTFORD	HARTFORD MID CAP VALUE Y	Y	NO LOAD	OPEN	1600	1000	1000
HMDCX	HARTFORD	HARTFORD MIDCAP C	C	LOAD	OPEN	1600	2000	2000
HMDFX	HARTFORD	HARTFORD MIDCAP F	F	NO LOAD	OPEN	1600	0	0
HFMIY	HARTFORD	HARTFORD MIDCAP I	I	NO LOAD	OPEN	1600	2000	2000
HFMRX	HARTFORD	HARTFORD MIDCAP R3	R3	NO LOAD	REDEEM ONLY	1600	0	0
HFMSX	HARTFORD	HARTFORD MIDCAP R4	R4	NO LOAD	REDEEM ONLY	1600	0	0
HFMTX	HARTFORD	HARTFORD MIDCAP R5	R5	NO LOAD	REDEEM ONLY	1600	0	0
HFMVX	HARTFORD	HARTFORD MIDCAP R6	R6	NO LOAD	REDEEM ONLY	1600	0	0
HMDYX	HARTFORD	HARTFORD MIDCAP Y	Y	NO LOAD	OPEN	1600	1000	1000
HBAAX	HARTFORD	HARTFORD MODERATE ALLOC A	A	LOAD	OPEN	1600	2000	2000
HBACX	HARTFORD	HARTFORD MODERATE ALLOC C	C	LOAD	OPEN	1600	2000	2000
HBADY	HARTFORD	HARTFORD MODERATE ALLOC F	F	NO LOAD	OPEN	1600	0	0
HBAIX	HARTFORD	HARTFORD MODERATE ALLOC I	I	NO LOAD	OPEN	1600	2000	2000

SYMBOL	FUND FAMILY	FUND DESCRIPTION	SHARE CLASS	FUND TYPE	STATUS	CUT- OFF	IRA MIN	NON-IRA MIN
HBARX	HARTFORD	HARTFORD MODERATE ALLOCATION R3	R3	NO LOAD	REDEEM ONLY	1600	0	0
ITTSX	HARTFORD	HARTFORD MULTI ASSET INC R4	R4	NO LOAD	REDEEM ONLY	1600	0	0
ITTIIX	HARTFORD	HARTFORD MULTI ASSET INCM & GROWTH I	I	NO LOAD	OPEN	1600	2000	2000
ITTAX	HARTFORD	HARTFORD MULTI ASSET INCOME AND GROWTH FD CL A	A	LOAD	OPEN	1600	2000	2000
HAFCX	HARTFORD	HARTFORD MULTI ASSET INCOME AND GROWTH FD CL C	C	LOAD	OPEN	1600	2000	2000
ITTFX	HARTFORD	HARTFORD MULTI ASSET INCOME AND GROWTH FD CL F	F	NO LOAD	OPEN	1600	0	0
ITTVX	HARTFORD	HARTFORD MULTI ASSET INCOME AND GROWTH FD CL R6	R6	NO LOAD	REDEEM ONLY	1600	0	0
IHAYX	HARTFORD	HARTFORD MULTI ASSET INCOME AND GROWTH FD CL Y	Y	NO LOAD	OPEN	1600	1000	1000
ITTRX	HARTFORD	HARTFORD MULTI ASSET INCOME AND GR R3	R3	NO LOAD	REDEEM ONLY	1600	0	0
HMKAX	HARTFORD	HARTFORD MUNI INCM A	A	LOAD	OPEN	1600	2000	2000
HMKIX	HARTFORD	HARTFORD MUNI INCM I	I	NO LOAD	OPEN	1600	2000	2000
HHMAX	HARTFORD	HARTFORD MUNI OPPTYS FD CL A	A	LOAD	OPEN	1600	2000	2000
HHMCX	HARTFORD	HARTFORD MUNI OPPTYS FD CL C	C	LOAD	OPEN	1600	2000	2000
HMJAX	HARTFORD	HARTFORD MUNI SHORT DURATION A	A	LOAD	OPEN	1600	2000	2000
HMMFX	HARTFORD	HARTFORD MUNICIPAL OPPTY F	F	NO LOAD	OPEN	1600	0	0
HMMIX	HARTFORD	HARTFORD MUNICIPAL OPPTY I	I	NO LOAD	OPEN	1600	2000	2000
HHMYX	HARTFORD	HARTFORD MUNICIPAL OPPTY Y	Y	NO LOAD	OPEN	1600	1000	1000
HMJCX	HARTFORD	HARTFORD MUNICIPAL SHORT DURATION C	C	LOAD	OPEN	1600	0	0
HMJIX	HARTFORD	HARTFORD MUNICIPAL SHORT DURATION I	I	NO LOAD	OPEN	1600	2000	2000
HSHIX	HARTFORD	HARTFORD SCHRODERS CHINA A I	I	NO LOAD	OPEN	1600	2000	2000
SCBIX	HARTFORD	HARTFORD SCHRODERS CORE FI FUND Y	Y	NO LOAD	OPEN	1600	0	0
HSXIX	HARTFORD	HARTFORD SCHRODERS DIVERSIFIED EM MKTS I	I	NO LOAD	OPEN	1600	0	0
HHHRX	HARTFORD	HARTFORD SCHRODERS EMERGING MKTS EQ R3	R3	NO LOAD	SUBS ONLY	1600	0	0
HFZRX	HARTFORD	HARTFORD SCHRODERS EMMKTS MLTSECT BD R3	R3	NO LOAD	REDEEM ONLY	1600	0	0
HHHCX	HARTFORD	HARTFORD SCHRODERS EMRG MKTS EQTY FD C	C	LOAD	SUBS ONLY	1600	2000	2000
HHHFX	HARTFORD	HARTFORD SCHRODERS EMRG MKTS EQTY FD F	F	NO LOAD	SUBS ONLY	1600	0	0
SEMTX	HARTFORD	HARTFORD SCHRODERS EMRG MKTS EQTY FD SDR	SDR	NO LOAD	SUBS ONLY	1600	0	5000000
HHHYX	HARTFORD	HARTFORD SCHRODERS EMRG MKTS EQTY FD Y	Y	NO LOAD	SUBS ONLY	1600	1000	1000
SEMVX	HARTFORD	HARTFORD SCHRODERS EMRG MKTS EQUITY CL A	A	LOAD	SUBS ONLY	1600	2000	2000
SEMNX	HARTFORD	HARTFORD SCHRODERS EMRG MKTS EQUITY CL I	I	NO LOAD	SUBS ONLY	1600	2000	2000
HFZCX	HARTFORD	HARTFORD SCHRODERS EMRG MKTS MULTI SECTOR BD C	C	LOAD	OPEN	1600	2000	2000
SMSVX	HARTFORD	HARTFORD SCHRODERS EMRG MKTS MULTISECTOR BD CL A	A	LOAD	OPEN	1600	2000	2000
SMSNX	HARTFORD	HARTFORD SCHRODERS EMRG MKTS MULTISECTOR BD CL I	I	NO LOAD	OPEN	1600	2000	2000
HSWRX	HARTFORD	HARTFORD SCHRODERS INTERNATIONAL STK R3	R3	NO LOAD	REDEEM ONLY	1600	0	0
HSWTX	HARTFORD	HARTFORD SCHRODERS INTERNATIONAL STK R5	R5	NO LOAD	REDEEM ONLY	1600	100000	100000
SCIJX	HARTFORD	HARTFORD SCHRODERS INTERNATIONAL STK SDR	SDR	NO LOAD	REDEEM ONLY	1600	100000	100000
HFSIX	HARTFORD	HARTFORD SCHRODERS INTL CNTRRN VAL I	I	NO LOAD	OPEN	1600	0	0
HFYCX	HARTFORD	HARTFORD SCHRODERS INTL MULTI CAP VAL C	C	LOAD	OPEN	1600	2000	2000
HFYFX	HARTFORD	HARTFORD SCHRODERS INTL MULTI CAP VAL F	F	NO LOAD	OPEN	1600	0	0
HFYSX	HARTFORD	HARTFORD SCHRODERS INTL MULTI CAP VAL R4	R4	NO LOAD	REDEEM ONLY	1600	0	0
HFYTX	HARTFORD	HARTFORD SCHRODERS INTL MULTI CAP VAL R5	R5	NO LOAD	REDEEM ONLY	1600	0	0

SYMBOL	FUND FAMILY	FUND DESCRIPTION	SHARE CLASS	FUND TYPE	STATUS	CUT- OFF	IRA MIN	NON-IRA MIN
SIDRX	HARTFORD	HARTFORD SCHRODERS INTL MULTI CAP VAL SDR	SDR	NO LOAD	REDEEM ONLY	1600	5000000	5000000
HFYXX	HARTFORD	HARTFORD SCHRODERS INTL MULTI CAP VAL Y	Y	NO LOAD	OPEN	1600	1000	1000
SIDVX	HARTFORD	HARTFORD SCHRODERS INTL MULTICAP VALUE CL A	A	LOAD	OPEN	1600	2000	2000
SIDNX	HARTFORD	HARTFORD SCHRODERS INTL MULTICAP VALUE CL I	I	NO LOAD	OPEN	1600	2000	2000
HFYRX	HARTFORD	HARTFORD SCHRODERS INTL MULTICP VAL R3	R3	NO LOAD	REDEEM ONLY	1600	0	0
HSWCX	HARTFORD	HARTFORD SCHRODERS INTL STOCK C	C	LOAD	OPEN	1600	2000	2000
SCVEX	HARTFORD	HARTFORD SCHRODERS INTL STOCK CL A	A	LOAD	OPEN	1600	2000	2000
SCIEX	HARTFORD	HARTFORD SCHRODERS INTL STOCK CL I	I	NO LOAD	OPEN	1600	2000	2000
HSWFX	HARTFORD	HARTFORD SCHRODERS INTL STOCK F	F	NO LOAD	OPEN	1600	0	0
HSWYX	HARTFORD	HARTFORD SCHRODERS INTL STOCK Y	Y	NO LOAD	OPEN	1600	1000	1000
HFKCX	HARTFORD	HARTFORD SCHRODERS TAX AWARE BOND FD C	C	LOAD	OPEN	1600	2000	2000
STWWX	HARTFORD	HARTFORD SCHRODERS TAXAWARE BD CL A	A	LOAD	OPEN	1600	100	100
STWTX	HARTFORD	HARTFORD SCHRODERS TAXAWARE BD CL I	I	NO LOAD	OPEN	1600	2000	2000
HFKFX	HARTFORD	HARTFORD SCHRODERS TAXAWARE BOND F	F	NO LOAD	OPEN	1600	100000	100000
HFDRX	HARTFORD	HARTFORD SCHRODERS US MIDCAP OPPS R3	R3	NO LOAD	REDEEM ONLY	1600	0	0
SCUVX	HARTFORD	HARTFORD SCHRODERS US SM CAP OPPOR CL A	A	LOAD	OPEN	1600	2000	2000
SCUIX	HARTFORD	HARTFORD SCHRODERS US SM CAP OPPOR CL I	I	NO LOAD	OPEN	1600	2000	2000
HOOCX	HARTFORD	HARTFORD SCHRODERS US SM CAP OPPTY C	C	LOAD	OPEN	1600	2000	2000
HOOFX	HARTFORD	HARTFORD SCHRODERS US SM CAP OPPTY F	F	NO LOAD	OPEN	1600	0	0
SCURX	HARTFORD	HARTFORD SCHRODERS US SM CAP OPPTY SDR	SDR	NO LOAD	OPEN	1600	0	0
HFDCX	HARTFORD	HARTFORD SCHRODERS US SM MID CAP OPPTY C	C	LOAD	OPEN	1600	2000	2000
HFDFX	HARTFORD	HARTFORD SCHRODERS US SM MID CAP OPPTY F	F	NO LOAD	OPEN	1600	0	0
HFDYX	HARTFORD	HARTFORD SCHRODERS US SM MID CAP OPPTY Y	Y	NO LOAD	OPEN	1600	1000	1000
HOORX	HARTFORD	HARTFORD SCHRODERS US SMALL CAP OPPS R3	R3	NO LOAD	REDEEM ONLY	1600	0	0
HOOYX	HARTFORD	HARTFORD SCHRODERS US SMALL CAP OPPS Y	Y	NO LOAD	OPEN	1600	0	0
SMDVX	HARTFORD	HARTFORD SCHRODERS US SMMID CAP OPPOR CL A	A	LOAD	OPEN	1600	2000	2000
SMDIX	HARTFORD	HARTFORD SCHRODERS US SMMID CAP OPPOR CL I	I	NO LOAD	OPEN	1600	2000	2000
HSDCX	HARTFORD	HARTFORD SHORT DURATION C	C	LOAD	OPEN	1600	2000	2000
HSDFX	HARTFORD	HARTFORD SHORT DURATION F	F	NO LOAD	OPEN	1600	0	0
HSDAX	HARTFORD	HARTFORD SHORT DURATION FUND CL A	A	LOAD	OPEN	1600	2000	2000
HSDIX	HARTFORD	HARTFORD SHORT DURATION I	I	NO LOAD	OPEN	1600	2000	2000
HSDRX	HARTFORD	HARTFORD SHORT DURATION R3	R3	NO LOAD	REDEEM ONLY	1600	0	0
HSDTX	HARTFORD	HARTFORD SHORT DURATION R5	R5	NO LOAD	REDEEM ONLY	1600	0	0
HSDVX	HARTFORD	HARTFORD SHORT DURATION R6	R6	NO LOAD	REDEEM ONLY	1600	0	0
HSDYX	HARTFORD	HARTFORD SHORT DURATION Y	Y	NO LOAD	OPEN	1600	1000	1000
HSLCX	HARTFORD	HARTFORD SMALL CAP GROWTH C	C	LOAD	OPEN	1600	2000	2000
HSLFX	HARTFORD	HARTFORD SMALL CAP GROWTH F	F	NO LOAD	OPEN	1600	0	0
HSLAX	HARTFORD	HARTFORD SMALL CAP GROWTH FUND CL A	A	LOAD	OPEN	1600	2000	2000
HSLIX	HARTFORD	HARTFORD SMALL CAP GROWTH I	I	NO LOAD	OPEN	1600	2000	2000
HSLRX	HARTFORD	HARTFORD SMALL CAP GROWTH R3	R3	NO LOAD	REDEEM ONLY	1600	0	0
HSLSX	HARTFORD	HARTFORD SMALL CAP GROWTH R4	R4	NO LOAD	REDEEM ONLY	1600	0	0

SYMBOL	FUND FAMILY	FUND DESCRIPTION	SHARE CLASS	FUND TYPE	STATUS	CUT- OFF	IRA MIN	NON-IRA MIN
HSLTX	HARTFORD	HARTFORD SMALL CAP GROWTH R5	R5	NO LOAD	REDEEM ONLY	1600	0	0
HSLVX	HARTFORD	HARTFORD SMALL CAP GROWTH R6	R6	NO LOAD	REDEEM ONLY	1600	100000	100000
HSLYX	HARTFORD	HARTFORD SMALL CAP GROWTH Y	Y	NO LOAD	OPEN	1600	1000	1000
HSMFX	HARTFORD	HARTFORD SMALL CAP VALUE F	F	NO LOAD	OPEN	1600	0	0
HSMAX	HARTFORD	HARTFORD SMALL CAP VALUE FD CL A	A	LOAD	OPEN	1600	2000	2000
HTSCX	HARTFORD	HARTFORD SMALL CAP VALUE FD CL C	C	LOAD	OPEN	1600	2000	2000
HSEIX	HARTFORD	HARTFORD SMALL CAP VALUE I	I	NO LOAD	OPEN	1600	2000	2000
HSMRX	HARTFORD	HARTFORD SMALL CAP VALUE R3	R3	NO LOAD	REDEEM ONLY	1600	0	0
HSMCX	HARTFORD	HARTFORD SMALL CO C	C	LOAD	OPEN	1600	2000	2000
IHSFX	HARTFORD	HARTFORD SMALL CO F	F	NO LOAD	OPEN	1600	0	0
IHSAX	HARTFORD	HARTFORD SMALL CO FD CL A	A	LOAD	OPEN	1600	2000	2000
IHSIX	HARTFORD	HARTFORD SMALL CO I	I	NO LOAD	OPEN	1600	2000	2000
IHSRX	HARTFORD	HARTFORD SMALL CO R3	R3	NO LOAD	REDEEM ONLY	1600	0	0
IHSUX	HARTFORD	HARTFORD SMALL CO R5	R5	NO LOAD	REDEEM ONLY	1600	0	0
IHSVX	HARTFORD	HARTFORD SMALL CO R6	R6	NO LOAD	REDEEM ONLY	1600	0	0
HSCYX	HARTFORD	HARTFORD SMALL CO Y	Y	NO LOAD	OPEN	1600	1000	1000
IHSSX	HARTFORD	HARTFORD SMALL COMPANY FD R4	R4	NO LOAD	REDEEM ONLY	1600	0	0
HSNAX	HARTFORD	HARTFORD STRATEGIC INCM A	A	LOAD	OPEN	1600	2000	2000
HSNCX	HARTFORD	HARTFORD STRATEGIC INCM C	C	LOAD	OPEN	1600	2000	2000
HSNFX	HARTFORD	HARTFORD STRATEGIC INCM F	F	NO LOAD	OPEN	1600	0	0
HSNIX	HARTFORD	HARTFORD STRATEGIC INCM I	I	NO LOAD	OPEN	1600	2000	2000
HSNTX	HARTFORD	HARTFORD STRATEGIC INCM R5	R5	NO LOAD	REDEEM ONLY	1600	0	0
HSNYX	HARTFORD	HARTFORD STRATEGIC INCM Y	Y	NO LOAD	OPEN	1600	1000	1000
HSNRX	HARTFORD	HARTFORD STRATEGIC INCOME R3	R3	NO LOAD	REDEEM ONLY	1600	0	0
HSNVX	HARTFORD	HARTFORD STRATEGIC INCOME R6	R6	NO LOAD	REDEEM ONLY	1600	0	0
HABCX	HARTFORD	HARTFORD TOTAL RETURN BD C	C	LOAD	SUBS ONLY	1600	2000	2000
ITBFX	HARTFORD	HARTFORD TOTAL RETURN BD F	F	NO LOAD	OPEN	1600	0	0
ITBIX	HARTFORD	HARTFORD TOTAL RETURN BD I	I	NO LOAD	OPEN	1600	2000	2000
ITBRX	HARTFORD	HARTFORD TOTAL RETURN BD R3	R3	NO LOAD	REDEEM ONLY	1600	0	0
ITBUX	HARTFORD	HARTFORD TOTAL RETURN BD R4	R4	NO LOAD	REDEEM ONLY	1600	0	0
ITBTX	HARTFORD	HARTFORD TOTAL RETURN BD R5	R5	NO LOAD	REDEEM ONLY	1600	0	0
ITBVX	HARTFORD	HARTFORD TOTAL RETURN BD R6	R6	NO LOAD	REDEEM ONLY	1600	0	0
HABYX	HARTFORD	HARTFORD TOTAL RETURN BD Y	Y	NO LOAD	OPEN	1600	1000	1000
ITBAX	HARTFORD	HARTFORD TOTAL RETURN BOND FUND CL A	A	LOAD	OPEN	1600	2000	2000
HWDAX	HARTFORD	HARTFORD WORLD BD FD CL A	A	LOAD	OPEN	1600	2000	2000
HWDCX	HARTFORD	HARTFORD WORLD BD FD CL C	C	LOAD	OPEN	1600	2000	2000
HWDFX	HARTFORD	HARTFORD WORLD BD FD CL F	F	NO LOAD	OPEN	1600	0	0
HWDX	HARTFORD	HARTFORD WORLD BD FD CL I	I	NO LOAD	OPEN	1600	2000	2000
HWDSX	HARTFORD	HARTFORD WORLD BD FD CL R4	R4	NO LOAD	REDEEM ONLY	1600	0	0
HWDX	HARTFORD	HARTFORD WORLD BD FD CL Y	Y	NO LOAD	OPEN	1600	1000	1000
HWDVX	HARTFORD	HARTFORD WORLD BOND FD CL R6	R6	NO LOAD	REDEEM ONLY	1600	0	0

SYMBOL	FUND FAMILY	FUND DESCRIPTION	SHARE CLASS	FUND TYPE	STATUS	CUT- OFF	IRA MIN	NON-IRA MIN
HWDRX	HARTFORD	HARTFORD WORLD BOND R3	R3	NO LOAD	REDEEM ONLY	1600	0	0
HAVGX	HAVERFORD	HAVERFORD QUALITY GWTH STOCK FD	NO LOAD	NO LOAD	OPEN	1600	100	100
HULAX	HAWAIIAN TAX FREE	HAWAIIAN TAX FREE TRUST CL A	A	LOAD	OPEN	1600	1000	1000
HULCX	HAWAIIAN TAX FREE	HAWAIIAN TAX FREE TRUST CL C	C	LOAD	OPEN	1600	1000	1000
HULYX	HAWAIIAN TAX FREE	HAWAIIAN TAX FREE TRUST CL Y	Y	NO LOAD	OPEN	1600	0	0
HNMDX	HEARTLAND	HEARTLAND MID CAP VALUE INST	INSTITUTIONAL	NO LOAD	OPEN	1600	0	0
HRMDX	HEARTLAND	HEARTLAND MID CAP VALUE INV	INVESTOR	NO LOAD	OPEN	1600	100	100
HRTVX	HEARTLAND	HEARTLAND VALUE	INVESTOR	NO LOAD	OPEN	1600	100	100
HNTVX	HEARTLAND	HEARTLAND VALUE FUND INST SHRS	INSTITUTIONAL	NO LOAD	OPEN	1600	500000	500000
HRVIX	HEARTLAND	HEARTLAND VALUE PLUS	INVESTOR	NO LOAD	OPEN	1600	0	0
HNVIX	HEARTLAND	HEARTLAND VALUE PLUS FUND INST SHRS	INSTITUTIONAL	NO LOAD	OPEN	1600	500000	500000
HTMNX	HEITMAN	HEITMAN US REAL ESTATE SECS INV	INVESTOR	NO LOAD	REDEEM ONLY	1600	999999	999999
HBFBX	HENNESSY	HENNESSY BALANCED FD INV	INVESTOR	NO LOAD	OPEN	1600	100	100
HICGX	HENNESSY	HENNESSY CORNERSTONE GROWTH INST	INSTITUTIONAL	NO LOAD	OPEN	1600	250000	250000
HFCGX	HENNESSY	HENNESSY CORNERSTONE GROWTH INV	INVESTOR	NO LOAD	OPEN	1600	100	100
HILGX	HENNESSY	HENNESSY CORNERSTONE LARGE GROWTH FD INST	INSTITUTIONAL	NO LOAD	OPEN	1600	250000	250000
HFLGX	HENNESSY	HENNESSY CORNERSTONE LARGE GROWTH INV	INVESTOR	NO LOAD	OPEN	1600	100	100
HIMDX	HENNESSY	HENNESSY CORNERSTONE MID CAP 30 FUND INST	INSTITUTIONAL	NO LOAD	OPEN	1600	250000	250000
HFMDX	HENNESSY	HENNESSY CORNERSTONE MID CAP 30 INV	INVESTOR	NO LOAD	OPEN	1600	100	100
HFCVX	HENNESSY	HENNESSY CORNERSTONE VALUE FD INV	INVESTOR	NO LOAD	OPEN	1600	100	100
HICVX	HENNESSY	HENNESSY CORNERSTONE VALUE INST	INSTITUTIONAL	NO LOAD	OPEN	1600	250000	250000
HNRIX	HENNESSY	HENNESSY ENERGY TRANSITION INV	INSTITUTIONAL	NO LOAD	OPEN	1600	0	0
HNRGX	HENNESSY	HENNESSY ENERGY TRANSITION INV	INVESTOR	NO LOAD	OPEN	1600	0	0
HEIIX	HENNESSY	HENNESSY EQTY AND INCM FD CL I	INSTITUTIONAL	NO LOAD	OPEN	1600	250000	250000
HEIFX	HENNESSY	HENNESSY EQTY AND INCM FD INV CL	INVESTOR	NO LOAD	OPEN	1600	100	100
HFCIX	HENNESSY	HENNESSY FOCUS FD I	INSTITUTIONAL	NO LOAD	OPEN	1600	250000	250000
HFCSX	HENNESSY	HENNESSY FOCUS FD INV CL	INVESTOR	NO LOAD	OPEN	1600	100	100
GASFX	HENNESSY	HENNESSY GAS UTILITY INDEX FD INV CL	INVESTOR	NO LOAD	OPEN	1600	100	100
HGASX	HENNESSY	HENNESSY GAS UTILITY INST	INSTITUTIONAL	NO LOAD	OPEN	1600	250000	250000
HJPNX	HENNESSY	HENNESSY JAPAN FD INV	INVESTOR	NO LOAD	OPEN	1600	100	100
HJPIX	HENNESSY	HENNESSY JAPAN FUND INST	INSTITUTIONAL	NO LOAD	OPEN	1600	250000	250000
HJSIX	HENNESSY	HENNESSY JAPAN SMALL CAP INST	INSTITUTIONAL	NO LOAD	OPEN	1600	250000	250000
HJPSX	HENNESSY	HENNESSY JAPAN SMALL CAP INV	INVESTOR	NO LOAD	OPEN	1600	100	100
HILFX	HENNESSY	HENNESSY LARGE CAP FINANCIAL FD INST	INSTITUTIONAL	NO LOAD	OPEN	1600	250000	250000
HLFNX	HENNESSY	HENNESSY LG CAP FINANCIAL FD INV CL	INVESTOR	NO LOAD	OPEN	1600	100	100
HMSIX	HENNESSY	HENNESSY MIDSTREAM FUND INST	INSTITUTIONAL	NO LOAD	OPEN	1600	0	0
HMSFX	HENNESSY	HENNESSY MIDSTREAM FUND INV	INVESTOR	NO LOAD	OPEN	1600	0	0
HISFX	HENNESSY	HENNESSY SMALL CAP FINANCIAL FD I	INSTITUTIONAL	NO LOAD	OPEN	1600	250000	250000
HSFNX	HENNESSY	HENNESSY SMALL CAP FINANCIAL FD INV CL	INVESTOR	NO LOAD	OPEN	1600	100	100
HTCIX	HENNESSY	HENNESSY TECH FD INST	INSTITUTIONAL	NO LOAD	OPEN	1600	250000	250000
HTECX	HENNESSY	HENNESSY TECH FD INV CL	INVESTOR	NO LOAD	OPEN	1600	100	100

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HDOGX	HENNESSY	HENNESSY TOTAL RETURN FD INV	INVESTOR	NO LOAD	OPEN	1600	100	100
HSZAX	HIGHLAND	NEXPOINT CLIMATE TECH FUND A	A	LOAD	OPEN	1600	0	0
HSZCX	HIGHLAND	NEXPOINT CLIMATE TECH FUND C	C	LOAD	OPEN	1600	0	0
HSZYX	HIGHLAND	NEXPOINT CLIMATE TECH FUND Y	Y	NO LOAD	OPEN	1600	0	0
HHCAx	HIGHLAND	NEXPOINT EVENT DRIVEN A	A	LOAD	OPEN	1600	0	0
HHCCX	HIGHLAND	NEXPOINT EVENT DRIVEN C	C	LOAD	OPEN	1600	0	0
HH CZX	HIGHLAND	NEXPOINT EVENT DRIVEN Z	Z	NO LOAD	OPEN	1600	0	0
HMEAX	HIGHLAND	NEXPOINT MERGER ARBITRAGE FD CL A	A	LOAD	OPEN	1600	0	0
HMECX	HIGHLAND	NEXPOINT MERGER ARBITRAGE FD CL C	C	LOAD	OPEN	1600	0	0
HMEZX	HIGHLAND	NEXPOINT MERGER ARBITRAGE FD CL Z	Z	NO LOAD	OPEN	1600	0	0
NRSZX	HIGHLAND	NEXPOINT REAL ESTATE STRATEGIES Z	Z	NO LOAD	REDEEM ONLY	1600	0	0
HCMAX	HILLMAN CAPITAL MGMT	HILLMAN VALUE FD NO LOAD	NO LOAD	NO LOAD	OPEN	1600	2000	5000
HDPBX	HODGES FUND	HODGES BLUE CHIP EQUITY INCOME FD RETAIL CL	RETAIL	NO LOAD	OPEN	1600	100	100
HDPMX	HODGES FUND	HODGES FD CL C RETAIL	RETAIL	NO LOAD	OPEN	1600	100	100
HDSIX	HODGES FUND	HODGES SM CAP FD INSTL CL	INSTITUTIONAL	NO LOAD	OPEN	1600	0	0
HDPSX	HODGES FUND	HODGES SM CAP FD RETAIL CL	RETAIL	NO LOAD	OPEN	1600	100	100
HDSVX	HODGES FUND	HODGES SM INTRINSIC VALUE FD RETAIL CL	RETAIL	NO LOAD	OPEN	1600	100	100
HSVIX	HODGES FUND	HODGES SMALL INTRINSIC VALUE INST	INSTITUTIONAL	NO LOAD	OPEN	1600	1000000	1000000
HNASX	HOMESTEAD	HOMESTEAD GROWTH FD	NO LOAD	NO LOAD	OPEN	1600	200	500
HISIX	HOMESTEAD	HOMESTEAD INTL EQUITY FD	NO LOAD	NO LOAD	OPEN	1600	0	0
HOSBX	HOMESTEAD	HOMESTEAD SHORT TERM BOND	NO LOAD	NO LOAD	OPEN	1600	200	500
HOSGX	HOMESTEAD	HOMESTEAD SHORTTERM GOVERNMENT	NO LOAD	NO LOAD	OPEN	1600	0	0
HSCSX	HOMESTEAD	HOMESTEAD SMALL COMPANY STOCK FD	NO LOAD	NO LOAD	OPEN	1600	200	500
HSTIX	HOMESTEAD	HOMESTEAD STOCK INDEX FD	NO LOAD	NO LOAD	OPEN	1600	0	0
HOVLX	HOMESTEAD	HOMESTEAD VALUE	NO LOAD	NO LOAD	OPEN	1600	200	500
HRIOX	HOOD RIVER	HOOD RIVER INTERNATIONAL OPP INSTL	INSTITUTIONAL	NO LOAD	OPEN	1600	0	0
HRIIX	HOOD RIVER	HOOD RIVER INTERNATIONAL OPPORTUNITY INV	INVESTOR	NO LOAD	OPEN	1600	0	0
HRITX	HOOD RIVER	HOOD RIVER INTERNATIONAL OPPORTUNITY RET	RETIREMENT	NO LOAD	OPEN	1600	0	0
HRNOX	HOOD RIVER	HOOD RIVER NEW OPPORTUNITIES INST	INSTITUTIONAL	NO LOAD	OPEN	1600	0	0
HRNIX	HOOD RIVER	HOOD RIVER NEW OPPORTUNITIES INVESTOR	INVESTOR	NO LOAD	OPEN	1600	0	0
HRS MX	HOOD RIVER	HOOD RIVER SM CAP GWTH INSTL CL	INSTITUTIONAL	NO LOAD	OPEN	1600	0	0
HRSRX	HOOD RIVER	HOOD RIVER SM CAP GWTH INV	INVESTOR	NO LOAD	OPEN	1600	100	100
HRSIX	HOOD RIVER	HOOD RIVER SM CAP GWTH RETIREMENT	RETIREMENT	NO LOAD	OPEN	1600	0	0
AAANX	HORIZON	HORIZON ACTIVE ASSET ALLOC INV	INVESTOR	NO LOAD	OPEN	1600	100	100
HASAX	HORIZON	HORIZON ACTIVE ASSET ALLOCATION ADV	ADVISOR	NO LOAD	OPEN	1600	0	0
HASIX	HORIZON	HORIZON ACTIVE ASSET ALLOCATION I	INSTITUTIONAL	NO LOAD	OPEN	1600	1000000	1000000
AIMNX	HORIZON	HORIZON ACTIVE INCM FD INV	INVESTOR	NO LOAD	OPEN	1600	100	100
AIHAX	HORIZON	HORIZON ACTIVE INCOME ADV	ADVISOR	NO LOAD	OPEN	1600	0	0
AIRIX	HORIZON	HORIZON ACTIVE INCOME I	INSTITUTIONAL	NO LOAD	OPEN	1600	1000000	1000000
ARAAX	HORIZON	HORIZON ACTIVE RISK ASSIST A	ADVISOR	NO LOAD	OPEN	1600	0	0
ARANX	HORIZON	HORIZON ACTIVE RISK ASSIST FD INV	INVESTOR	NO LOAD	OPEN	1600	100	100

SYMBOL	FUND FAMILY	FUND DESCRIPTION	SHARE CLASS	FUND TYPE	STATUS	CUT- OFF	IRA MIN	NON-IRA MIN
ACRIX	HORIZON	HORIZON ACTIVE RISK ASSIST I	INSTITUTIONAL	NO LOAD	OPEN	1600	10000000	10000000
HESAX	HORIZON	HORIZON DEFENSIVE CORE ADV	ADVISOR	NO LOAD	OPEN	1600	0	0
HESGX	HORIZON	HORIZON DEFENSIVE CORE INV	INVESTOR	NO LOAD	OPEN	1600	0	0
HADRX	HORIZON	HORIZON DEFINED RISK ADV	ADVISOR	NO LOAD	OPEN	1600	0	0
HNDRX	HORIZON	HORIZON DEFINED RISK INV	INVESTOR	NO LOAD	OPEN	1600	0	0
HADUX	HORIZON	HORIZON EQUITY PREMIUM INCOME ADV	ADVISOR	NO LOAD	OPEN	1600	0	0
HNDDX	HORIZON	HORIZON EQUITY PREMIUM INCOME INV	INVESTOR	NO LOAD	OPEN	1600	0	0
HTFNX	HORIZON	HORIZON FDS TACTICAL INV CL	INVESTOR	NO LOAD	OPEN	1600	0	0
HSMNX	HORIZON	HORIZON MULTIFACTOR SMALLMID CAP INV	INVESTOR	NO LOAD	OPEN	1600	0	0
HSMBX	HORIZON	HORIZON MULTIFACTOR SMALLMIDCAP ADV	ADVISOR	NO LOAD	OPEN	1600	0	0
USRTX	HORIZON	HORIZON MULTIFACTOR US EQUITY ADV	ADVISOR	NO LOAD	OPEN	1600	0	0
USRAX	HORIZON	HORIZON MULTIFACTOR US EQUITY INV	INVESTOR	NO LOAD	OPEN	1600	0	0
HTFAX	HORIZON	HORIZON TACTICAL FIXED INCOME ADVISOR	ADVISOR	NO LOAD	OPEN	1600	0	0
HWGAX	HOTCHKIS & WILEY	HOTCHKIS & WILEY GLOBAL VALUE A	A	LOAD	OPEN	1600	100	100
HWGIX	HOTCHKIS & WILEY	HOTCHKIS & WILEY GLOBAL VALUE I	I	NO LOAD	OPEN	1600	250000	250000
HWHAX	HOTCHKIS & WILEY	HOTCHKIS & WILEY HIGH YIELD FD CL A	A	LOAD	OPEN	1600	1000	2500
HWHIX	HOTCHKIS & WILEY	HOTCHKIS & WILEY HIGH YIELD FD CL I	I	NO LOAD	OPEN	1600	250000	250000
HWHZX	HOTCHKIS & WILEY	HOTCHKIS & WILEY HIGH YIELD FD CL Z	Z	NO LOAD	REDEEM ONLY	1600	1000000	1000000
HWNIX	HOTCHKIS & WILEY	HOTCHKIS & WILEY INTERNATIONAL VALUE I	I	NO LOAD	OPEN	1600	250000	250000
HWTIX	HOTCHKIS & WILEY	HOTCHKIS & WILEY INTL SM CP DVSFD VAL I	I	NO LOAD	OPEN	1600	250000	250000
HWCAx	HOTCHKIS & WILEY	HOTCHKIS & WILEY LARGE CAP DISCIPLINED VALUE FD A	A	LOAD	OPEN	1600	1000	2500
HWCIX	HOTCHKIS & WILEY	HOTCHKIS & WILEY LARGE CAP DISCIPLINED VALUE FD I	I	NO LOAD	OPEN	1600	250000	250000
HWLAX	HOTCHKIS & WILEY	HOTCHKIS & WILEY LARGE CAP FUNDAMENTAL VALUE FD A	A	LOAD	OPEN	1600	1000	2500
HWLIX	HOTCHKIS & WILEY	HOTCHKIS & WILEY LARGE CAP FUNDAMENTAL VALUE FD I	I	NO LOAD	OPEN	1600	250000	250000
HWLZX	HOTCHKIS & WILEY	HOTCHKIS & WILEY LARGE CAP FUNDAMENTAL VALUE FD Z	Z	NO LOAD	REDEEM ONLY	1600	1000000	1000000
HWMAX	HOTCHKIS & WILEY	HOTCHKIS & WILEY MID CAP VALUE CL A	A	LOAD	OPEN	1600	1000	2500
HWMIX	HOTCHKIS & WILEY	HOTCHKIS & WILEY MID CAP VALUE FD CL I	I	NO LOAD	OPEN	1600	250000	250000
HWMZX	HOTCHKIS & WILEY	HOTCHKIS & WILEY MID CAP VALUE Z	Z	NO LOAD	REDEEM ONLY	1600	1000000	1000000
HWVZX	HOTCHKIS & WILEY	HOTCHKIS & WILEY SM CP DIVERS VAL Z	Z	NO LOAD	REDEEM ONLY	1600	1000000	1000000
HWVAX	HOTCHKIS & WILEY	HOTCHKIS & WILEY SMALL CAP DIVERS VAL A	A	LOAD	OPEN	1600	2500	2500
HWVIX	HOTCHKIS & WILEY	HOTCHKIS & WILEY SMALL CAP DIVERS VAL I	I	NO LOAD	OPEN	1600	250000	250000
HWSAX	HOTCHKIS & WILEY	HOTCHKIS & WILEY SMALL CAP VALUE CL A	A	LOAD	OPEN	1600	1000	2500
HWSIX	HOTCHKIS & WILEY	HOTCHKIS & WILEY SMALL CAP VALUE FD CL I	I	NO LOAD	OPEN	1600	250000	250000
HWAZX	HOTCHKIS & WILEY	HOTCHKIS & WILEY VALUE OPPTS Z	Z	NO LOAD	REDEEM ONLY	1600	1000000	1000000
HWAAX	HOTCHKIS & WILEY	HOTCHKIS & WILEY VALUE OPPTY FD CL A	A	LOAD	OPEN	1600	1000	2500
HWACX	HOTCHKIS & WILEY	HOTCHKIS & WILEY VALUE OPPTY FD CL C	C	LOAD	OPEN	1600	1000	2500
HWAIX	HOTCHKIS & WILEY	HOTCHKIS & WILEY VALUE OPPTY FD CL I	I	NO LOAD	OPEN	1600	250000	250000
HWSCX	HOTCHKIS & WILEY	HOTCHKIS AND WILEY SMALL CAP VALUE C	C	LOAD	OPEN	1600	1000	2500
AXMIX	HOWARD CM FUNDS	ACCLIVITY MID CAP MULTISTYLE I	I	NO LOAD	OPEN	1600	20000	20000
HCMNX	HOWARD CM FUNDS	HCM DIVIDEND SECTOR PLUS A	A	LOAD	OPEN	1600	1000	2500
HCMWX	HOWARD CM FUNDS	HCM DIVIDEND SECTOR PLUS A1	A1	LOAD	OPEN	1600	0	0

SYMBOL	FUND FAMILY	FUND DESCRIPTION	SHARE CLASS	FUND TYPE	STATUS	CUT- OFF	IRA MIN	NON-IRA MIN
HCMQX	HOWARD CM FUNDS	HCM DIVIDEND SECTOR PLUS I	I	NO LOAD	SUBS ONLY	1600	1000	50000
HCMBX	HOWARD CM FUNDS	HCM DYNAMIC INCOME A	A	LOAD	OPEN	1600	100	100
HCMUX	HOWARD CM FUNDS	HCM DYNAMIC INCOME I	I	NO LOAD	REDEEM ONLY	1600	1000	5000
HCMFX	HOWARD CM FUNDS	HCM DYNAMIC INCOME INVESTOR	INVESTOR	NO LOAD	REDEEM ONLY	1600	500	2500
HCMEX	HOWARD CM FUNDS	HCM INCOME PLUS A	A	LOAD	OPEN	1600	1000	2500
HCMLX	HOWARD CM FUNDS	HCM INCOME PLUS I	I	NO LOAD	OPEN	1600	1000	5000
HCMDX	HOWARD CM FUNDS	HCM TACTICAL GROWTH FD INV	INVESTOR	NO LOAD	REDEEM ONLY	1600	100	100
HCMIX	HOWARD CM FUNDS	HCM TACTICAL GROWTH I	I	NO LOAD	SUBS ONLY	1600	1000	50000
HCMGX	HOWARD CM FUNDS	HCM TACTICAL GWTH A	A	LOAD	OPEN	1600	1000	2500
RESCX	HSBC FUNDS	HSBC OPPORTUNITY CL I	INSTITUTIONAL	NO LOAD	OPEN	1600	1000000	1000000
HSOAX	HSBC FUNDS	HSBC OPPORTUNITY FUND CL A	A	LOAD	OPEN	1600	0	0
HGDXX	HSBC FUNDS	HSBC US GOVERNMENT MMKT A	A	NO LOAD	REDEEM ONLY	1600	999999	999999
HTDXX	HSBC FUNDS	HSBC US TREASURY MONEY MARKET A	A	NO LOAD	REDEEM ONLY	1600	999999	999999
HUDIX	HUBER CAPITAL FUNDS	HUBER LARGE CAP VALUE INV	INVESTOR	NO LOAD	OPEN	1600	100	100
HUMEX	HUBER CAPITAL FUNDS	HUBER MID CAP VALUE INSTL	INSTITUTIONAL	NO LOAD	OPEN	1600	0	0
HUMDX	HUBER CAPITAL FUNDS	HUBER MID CAP VALUE INV	INVESTOR	NO LOAD	OPEN	1600	0	0
HULEX	HUBER CAPITAL FUNDS	HUBER SELECT LARGE CAP VALUE INST	INSTITUTIONAL	NO LOAD	OPEN	1600	1000000	1000000
HULIX	HUBER CAPITAL FUNDS	HUBER SELECT LARGE CAP VALUE INV	INVESTOR	NO LOAD	OPEN	1600	100	100
HUSEX	HUBER CAPITAL FUNDS	HUBER SMALL CAP VALUE FUND INST	INSTITUTIONAL	NO LOAD	OPEN	1600	1000000	1000000
HUSIX	HUBER CAPITAL FUNDS	HUBER SMALL CAP VALUE INV	INVESTOR	NO LOAD	OPEN	1600	100	100
HSAFX	HUSSMAN	HUSSMAN STRATEGIC ALLOCATION	NO LOAD	NO LOAD	OPEN	1600	500	1000
HSGFX	HUSSMAN	HUSSMAN STRATEGIC GROWTH	NO LOAD	NO LOAD	OPEN	1600	500	1000
HSTRX	HUSSMAN	HUSSMAN STRATEGIC TOTAL RETURN FUND	NO LOAD	NO LOAD	OPEN	1600	500	1000
COIDX	IDX FUNDS	IDX COMMODITY OPPORTUNITIES INSTL	INSTITUTIONAL	NO LOAD	OPEN	1600	0	0
BTIDX	IDX FUNDS	IDX RISKMANAGED BITCOIN STR INSTL	INSTITUTIONAL	NO LOAD	OPEN	1600	0	0
IMANX	IMAN FUNDS	IMAN FUND CL K	K	NO LOAD	OPEN	1600	100	100
IDMIX	IMGP FUNDS	IMGP DOLAN MCENIRY CORPORATE BOND FD INST	INSTITUTIONAL	NO LOAD	OPEN	1600	0	0
MASNX	IMGP FUNDS	LITMAN GREGORY MASTERS ALT STRAT INV	INVESTOR	NO LOAD	OPEN	1600	100	100
MAHIX	IMGP FUNDS	LITMAN GREGORY MASTERS HIGH INCM ALT INST	INSTITUTIONAL	NO LOAD	OPEN	1600	0	0
MASFX	IMGP FUNDS	PARTNERSELECT ALTERNATIVE STRATEGIES FD INST	INSTITUTIONAL	NO LOAD	OPEN	1600	5000	100000
MSEFX	IMGP FUNDS	PARTNERSELECT EQUITY FUND CL INST	INSTITUTIONAL	NO LOAD	OPEN	1600	5000	100000
MSILX	IMGP FUNDS	PARTNERSELECT INTERNATIONAL FD INST	INSTITUTIONAL	NO LOAD	OPEN	1600	5000	100000
PFSVX	IMGP FUNDS	PARTNERSELECT SBH FOCUSED SMALL VAL INS	INSTITUTIONAL	NO LOAD	OPEN	1600	0	0
AXVIX	INNEALTA CAPITAL	ACCLIVITY SMALL CAP VALUE FD I	INSTITUTIONAL	NO LOAD	OPEN	1600	100000	100000
ICCIX	INNEALTA CAPITAL	DYNAMIC INTL OPPTY FD CL I	INSTITUTIONAL	NO LOAD	OPEN	1600	100000	100000
CCNX	INNEALTA CAPITAL	DYNAMIC INTL OPPTY FD CL N	N	NO LOAD	OPEN	1600	100	100
ICSIX	INNEALTA CAPITAL	DYNAMIC US OPPTY FD CL I	INSTITUTIONAL	NO LOAD	OPEN	1600	100000	100000
ICSNX	INNEALTA CAPITAL	DYNAMIC US OPPTY FD CL N	N	NO LOAD	OPEN	1600	100	100
IDIVX	INTEGRITY	INTEGRITY DIV HARVEST A	A	LOAD	OPEN	1600	0	0
IDHCX	INTEGRITY	INTEGRITY DIV HARVEST C	C	LOAD	OPEN	1600	250	1000
IDHIX	INTEGRITY	INTEGRITY DIV HARVEST I	I	NO LOAD	OPEN	1600	250	1000

SYMBOL	FUND FAMILY	FUND DESCRIPTION	SHARE CLASS	FUND TYPE	STATUS	CUT- OFF	IRA MIN	NON-IRA MIN
IGIUX	INTEGRITY	INTEGRITY ESG GROWTH & INCOME C	C	LOAD	OPEN	1600	0	0
IGIAX	INTEGRITY	INTEGRITY GROWTH AND INCOME FUND CL A	A	LOAD	OPEN	1600	250	1000
IGIVX	INTEGRITY	INTEGRITY GROWTH AND INCOME FUND I	I	NO LOAD	OPEN	1600	250	1000
IHFAX	INTEGRITY	INTEGRITY HI INCM A	A	LOAD	OPEN	1600	250	1000
IHFCX	INTEGRITY	INTEGRITY HIGH INCM C	C	LOAD	OPEN	1600	250	1000
IHFIX	INTEGRITY	INTEGRITY HIGH INCM INST	I	NO LOAD	OPEN	1600	250	1000
KSMUX	INTEGRITY	INTEGRITY KANSAS MUNI FD A	A	LOAD	OPEN	1600	0	0
KSITX	INTEGRITY	INTEGRITY KANSAS MUNI FD I	I	NO LOAD	OPEN	1600	250	1000
ICPUX	INTEGRITY	INTEGRITY MIDNORTH AMERICAN RESOURCES C	C	LOAD	OPEN	1600	0	0
ICPAX	INTEGRITY	INTEGRITY MIDNORTH AMERICAN RESOURCES FD CL A	A	LOAD	OPEN	1600	250	1000
ICWIX	INTEGRITY	INTEGRITY MIDNORTH AMERICAN RESOURCES FD CL I	I	NO LOAD	OPEN	1600	250	1000
NEMUX	INTEGRITY	INTEGRITY NE MUNI FD CL A	FL	LOAD	OPEN	1600	1000	1000
NEITX	INTEGRITY	INTEGRITY NE MUNI I	I	NO LOAD	OPEN	1600	250	1000
OKMUX	INTEGRITY	INTEGRITY OKLAHOMA MUNICIPAL	A	LOAD	OPEN	1600	1000	1000
MDSIX	INTEGRITY	INTEGRITY SHORT TERM GOVT FD CL I	I	NO LOAD	OPEN	1600	100000	100000
MEIMX	INTEGRITY	MAINE MUNICIPAL I	I	NO LOAD	OPEN	1600	250	1000
MEMUX	INTEGRITY	ME MUNI FD CL A	A	LOAD	OPEN	1600	1000	1000
OKMIX	INTEGRITY	OKLAHOMA MUNICIPAL I	I	NO LOAD	OPEN	1600	250	1000
VMTTX	INTEGRITY	VIKING TAX FREE FD FOR MT A	A	LOAD	OPEN	1600	1000	2500
VMTIX	INTEGRITY	VIKING TAX FREE FD FOR MT I	I	NO LOAD	OPEN	1600	250	1000
VNDIX	INTEGRITY	VIKING TAX FREE FD FOR ND I	I	NO LOAD	OPEN	1600	250	1000
VNDFX	INTEGRITY	VIKING TAXFREE FOR NORTH DAKOTA A	A	LOAD	OPEN	1600	0	0
ICMVX	INTREPID CAPITAL MGT	INTREPID CAPITAL FD INST CL	INSTITUTIONAL	NO LOAD	OPEN	1600	250000	250000
ICMBX	INTREPID CAPITAL MGT	INTREPID CAPITAL FUND CL S	INVESTOR	NO LOAD	OPEN	1600	0	0
ICMZX	INTREPID CAPITAL MGT	INTREPID ENDURANCE FD INST	INSTITUTIONAL	NO LOAD	OPEN	1600	250000	250000
ICMAX	INTREPID CAPITAL MGT	INTREPID ENDURANCE FD INV	INVESTOR	NO LOAD	OPEN	1600	0	0
ICMUX	INTREPID CAPITAL MGT	INTREPID INCM FD INST CL SHRS	INSTITUTIONAL	NO LOAD	OPEN	1600	2500	2500
BIVIX	INVENOMIC FUNDS	INVENOMIC FD INST	INSTITUTIONAL	NO LOAD	SUBS ONLY	1600	50000	50000
BIVRX	INVENOMIC FUNDS	INVENOMIC FD INV	INVESTOR	NO LOAD	SUBS ONLY	1600	100	100
BIVSX	INVENOMIC FUNDS	INVENOMIC FD SUPER INST	SUPER INSTITUTIO	NO LOAD	SUBS ONLY	1600	50000000	50000000
OAAAX	INVESCO FUNDS	INVESCO ACTIVE ALLOCATION FD CL A	A	LOAD	OPEN	1600	0	0
OAACX	INVESCO FUNDS	INVESCO ACTIVE ALLOCATION FD CL C	C	LOAD	OPEN	1600	500	1000
OAANX	INVESCO FUNDS	INVESCO ACTIVE ALLOCATION FD CL R	R	NO LOAD	REDEEM ONLY	1600	0	0
OAAZX	INVESCO FUNDS	INVESCO ACTIVE ALLOCATION FD CL Y	Y	NO LOAD	OPEN	1600	0	0
PAAQX	INVESCO FUNDS	INVESCO ACTIVE ALLOCATION R6	R6	NO LOAD	REDEEM ONLY	1600	100000000	100000000
QMGAX	INVESCO FUNDS	INVESCO ADVANTAGE INTERNATIONAL A	A	LOAD	OPEN	1600	100	100
QMGCX	INVESCO FUNDS	INVESCO ADVANTAGE INTERNATIONAL C	C	LOAD	OPEN	1600	250	1000
QMGRX	INVESCO FUNDS	INVESCO ADVANTAGE INTERNATIONAL R	R	NO LOAD	REDEEM ONLY	1600	0	0
VAFAX	INVESCO FUNDS	INVESCO AMER FRANCHISE A	A	LOAD	OPEN	1600	250	1000
VAFCX	INVESCO FUNDS	INVESCO AMER FRANCHISE C	C	LOAD	OPEN	1600	250	1000
VAFFX	INVESCO FUNDS	INVESCO AMER FRANCHISE CL R6	R6	NO LOAD	REDEEM ONLY	1600	0	0

SYMBOL	FUND FAMILY	FUND DESCRIPTION	SHARE CLASS	FUND TYPE	STATUS	CUT- OFF	IRA MIN	NON-IRA MIN
VAFRX	INVESCO FUNDS	INVESCO AMER FRANCHISE R	R	NO LOAD	REDEEM ONLY	1600	0	0
VAFNX	INVESCO FUNDS	INVESCO AMER FRANCHISE R5	R5	NO LOAD	REDEEM ONLY	1600	10000000	10000000
VAFIX	INVESCO FUNDS	INVESCO AMER FRANCHISE Y	Y	NO LOAD	OPEN	1600	0	0
OPTAX	INVESCO FUNDS	INVESCO AMT FREE MUNICIPAL FUND CL A	A	LOAD	OPEN	1600	0	0
OMFCX	INVESCO FUNDS	INVESCO AMT FREE MUNICIPAL FUND CL C	C	LOAD	OPEN	1600	500	1000
OMFYX	INVESCO FUNDS	INVESCO AMT FREE MUNICIPAL FUND CL Y	Y	NO LOAD	OPEN	1600	0	0
IORAX	INVESCO FUNDS	INVESCO AMTFREE MUNICIPAL R6	R6	NO LOAD	REDEEM ONLY	1600	10000000	10000000
BRCCX	INVESCO FUNDS	INVESCO BALA RISK CMDY STRAT FD CL C	C	LOAD	OPEN	1600	250	1000
ABRZX	INVESCO FUNDS	INVESCO BALANCED RISK ALLOC FD CL A	A	LOAD	OPEN	1600	250	1000
ABRCX	INVESCO FUNDS	INVESCO BALANCED RISK ALLOC FD CL C	C	LOAD	OPEN	1600	250	1000
ABRIX	INVESCO FUNDS	INVESCO BALANCED RISK ALLOC FD CL R5	R5	NO LOAD	REDEEM ONLY	1600	10000000	10000000
ABRYX	INVESCO FUNDS	INVESCO BALANCED RISK ALLOC FD CL Y	Y	NO LOAD	OPEN	1600	0	0
BRCAX	INVESCO FUNDS	INVESCO BALANCED RISK COMMODITY STRATEGY FD A	A	LOAD	OPEN	1600	250	1000
BRCYX	INVESCO FUNDS	INVESCO BALANCED RISK COMMODITY STRATEGY FD Y	Y	NO LOAD	OPEN	1600	0	0
ABRRX	INVESCO FUNDS	INVESCO BALANCEDRISK ALLOCATION R	R	NO LOAD	REDEEM ONLY	1600	0	0
ALLFX	INVESCO FUNDS	INVESCO BALANCEDRISK ALLOCATION R6	R6	NO LOAD	REDEEM ONLY	1600	10000000	10000000
BRCRX	INVESCO FUNDS	INVESCO BALANCEDRISK COMMODITY STRAT R	R	NO LOAD	REDEEM ONLY	1600	0	0
IBRFX	INVESCO FUNDS	INVESCO BALANCEDRISK COMMODITY STRAT R6	R6	NO LOAD	REDEEM ONLY	1600	10000000	10000000
OPCAX	INVESCO FUNDS	INVESCO CALIFORNIA MUNICIPAL FUND CL A	A	LOAD	OPEN	1600	0	0
OCACX	INVESCO FUNDS	INVESCO CALIFORNIA MUNICIPAL FUND CL C	C	LOAD	OPEN	1600	500	1000
OCAZX	INVESCO FUNDS	INVESCO CALIFORNIA MUNICIPAL FUND CL Y	Y	NO LOAD	OPEN	1600	0	0
IORCX	INVESCO FUNDS	INVESCO CALIFORNIA MUNICIPAL R6	R6	NO LOAD	REDEEM ONLY	1600	10000000	10000000
OPTIX	INVESCO FUNDS	INVESCO CAPITAL APPREC R6	R6	NO LOAD	REDEEM ONLY	1600	10000000	10000000
OPTFX	INVESCO FUNDS	INVESCO CAPITAL APPRECIATION FUND CL A	A	LOAD	OPEN	1600	0	0
OTFCX	INVESCO FUNDS	INVESCO CAPITAL APPRECIATION FUND CL C	C	LOAD	OPEN	1600	500	1000
OTCNX	INVESCO FUNDS	INVESCO CAPITAL APPRECIATION FUND CL R	R	NO LOAD	REDEEM ONLY	1600	0	0
OTCYX	INVESCO FUNDS	INVESCO CAPITAL APPRECIATION FUND CL Y	Y	NO LOAD	OPEN	1600	0	0
CHTRX	INVESCO FUNDS	INVESCO CHARTER FD CL A	A	LOAD	OPEN	1600	250	1000
CHTCX	INVESCO FUNDS	INVESCO CHARTER FD CL C	C	LOAD	OPEN	1600	250	1000
CHRRX	INVESCO FUNDS	INVESCO CHARTER FD CL R	R	NO LOAD	REDEEM ONLY	1600	0	0
CHTYX	INVESCO FUNDS	INVESCO CHARTER FD CL Y	Y	NO LOAD	OPEN	1600	0	0
CHTVX	INVESCO FUNDS	INVESCO CHARTER R5	R5	NO LOAD	REDEEM ONLY	1600	10000000	10000000
CHFTX	INVESCO FUNDS	INVESCO CHARTER R6	R6	NO LOAD	REDEEM ONLY	1600	10000000	10000000
ACSTX	INVESCO FUNDS	INVESCO COMSTOCK A	A	LOAD	OPEN	1600	250	1000
ACSYX	INVESCO FUNDS	INVESCO COMSTOCK C	C	LOAD	OPEN	1600	250	1000
ICSFX	INVESCO FUNDS	INVESCO COMSTOCK CL R6	R6	NO LOAD	REDEEM ONLY	1600	0	0
ACSRX	INVESCO FUNDS	INVESCO COMSTOCK R	R	NO LOAD	REDEEM ONLY	1600	0	0
ACSHX	INVESCO FUNDS	INVESCO COMSTOCK R5	R5	NO LOAD	REDEEM ONLY	1600	10000000	10000000
CGRWX	INVESCO FUNDS	INVESCO COMSTOCK SELECT FD CL A	A	LOAD	OPEN	1600	0	0
CGRCX	INVESCO FUNDS	INVESCO COMSTOCK SELECT FD CL C	C	LOAD	OPEN	1600	500	1000
CGRNX	INVESCO FUNDS	INVESCO COMSTOCK SELECT FD CL R	R	NO LOAD	REDEEM ONLY	1600	0	0

SYMBOL	FUND FAMILY	FUND DESCRIPTION	SHARE CLASS	FUND TYPE	STATUS	CUT- OFF	IRA MIN	NON-IRA MIN
CGRYX	INVESCO FUNDS	INVESCO COMSTOCK SELECT FD CL Y	Y	NO LOAD	OPEN	1600	0	0
OGRIX	INVESCO FUNDS	INVESCO COMSTOCK SELECT R6	R6	NO LOAD	REDEEM ONLY	1600	100000000	10000000
ACSDX	INVESCO FUNDS	INVESCO COMSTOCK Y	Y	NO LOAD	OPEN	1600	0	0
ICIVX	INVESCO FUNDS	INVESCO CONSERV INCM FD A	A	NO LOAD	OPEN	1600	100	100
ICIFX	INVESCO FUNDS	INVESCO CONSERV INCM FD INST	INSTITUTIONAL	NO LOAD	REDEEM ONLY	1600	1000	1000
ICIRX	INVESCO FUNDS	INVESCO CONSERVATIVE INCOME R6	R6	NO LOAD	REDEEM ONLY	1600	100000000	10000000
ICIYX	INVESCO FUNDS	INVESCO CONSERVATIVE INCOME Y	Y	NO LOAD	REDEEM ONLY	1600	0	0
OACIX	INVESCO FUNDS	INVESCO CONSERVATIVE INVESTOR FD CL A	A	LOAD	OPEN	1600	0	0
OCCIX	INVESCO FUNDS	INVESCO CONSERVATIVE INVESTOR FD CL C	C	LOAD	OPEN	1600	500	1000
ONCIX	INVESCO FUNDS	INVESCO CONSERVATIVE INVESTOR FD CL R	R	NO LOAD	REDEEM ONLY	1600	0	0
OYCIX	INVESCO FUNDS	INVESCO CONSERVATIVE INVESTOR FD CL Y	Y	NO LOAD	OPEN	1600	0	0
CNSCX	INVESCO FUNDS	INVESCO CONV SECURITIES C	C	LOAD	OPEN	1600	250	1000
CNSDX	INVESCO FUNDS	INVESCO CONV SECURITIES Y	Y	NO LOAD	OPEN	1600	0	0
CNSFX	INVESCO FUNDS	INVESCO CONVERTIBLE SECURITIES R6	R6	NO LOAD	REDEEM ONLY	1600	100000000	10000000
CNSAX	INVESCO FUNDS	INVESCO CONVERTIBLE SECURITIES TRUST FD CL A	A	LOAD	OPEN	1600	250	1000
OPIGX	INVESCO FUNDS	INVESCO CORE BOND FUND CL A	A	LOAD	OPEN	1600	0	0
OPBCX	INVESCO FUNDS	INVESCO CORE BOND FUND CL C	C	LOAD	OPEN	1600	500	1000
OPBNX	INVESCO FUNDS	INVESCO CORE BOND FUND CL R	R	NO LOAD	REDEEM ONLY	1600	0	0
OPBIX	INVESCO FUNDS	INVESCO CORE BOND FUND CL R6	R6	NO LOAD	REDEEM ONLY	1600	100000000	10000000
OPBYX	INVESCO FUNDS	INVESCO CORE BOND FUND CL Y	Y	NO LOAD	OPEN	1600	0	0
ACPSX	INVESCO FUNDS	INVESCO CORE PLUS BD FD CL A	A	LOAD	OPEN	1600	250	1000
CPCFX	INVESCO FUNDS	INVESCO CORE PLUS BD FD CL C	C	LOAD	OPEN	1600	250	1000
CPBYX	INVESCO FUNDS	INVESCO CORE PLUS BD FD CL Y	Y	NO LOAD	OPEN	1600	0	0
CPBRX	INVESCO FUNDS	INVESCO CORE PLUS BOND R	R	NO LOAD	REDEEM ONLY	1600	0	0
CPBFX	INVESCO FUNDS	INVESCO CORE PLUS BOND R6	R6	NO LOAD	REDEEM ONLY	1600	100000000	10000000
ACCBX	INVESCO FUNDS	INVESCO CORPORATE BD CL A	A	LOAD	OPEN	1600	250	1000
ACCEX	INVESCO FUNDS	INVESCO CORPORATE BD CL C	C	LOAD	OPEN	1600	250	1000
ACCHX	INVESCO FUNDS	INVESCO CORPORATE BD CL Y	Y	NO LOAD	OPEN	1600	0	0
ACCZX	INVESCO FUNDS	INVESCO CORPORATE BOND R	R	NO LOAD	REDEEM ONLY	1600	0	0
ICBFX	INVESCO FUNDS	INVESCO CORPORATE BOND R6	R6	NO LOAD	REDEEM ONLY	1600	100000000	10000000
ODMAX	INVESCO FUNDS	INVESCO DEVELOPING MARKETS FUND CL A	A	LOAD	OPEN	1600	0	0
ODVCX	INVESCO FUNDS	INVESCO DEVELOPING MARKETS FUND CL C	C	LOAD	SUBS ONLY	1600	500	1000
ODVNX	INVESCO FUNDS	INVESCO DEVELOPING MARKETS FUND CL R	R	NO LOAD	REDEEM ONLY	1600	0	0
ODVIX	INVESCO FUNDS	INVESCO DEVELOPING MARKETS FUND CL R6	R6	NO LOAD	REDEEM ONLY	1600	1000000	1000000
ODVYX	INVESCO FUNDS	INVESCO DEVELOPING MARKETS FUND CL Y	Y	NO LOAD	OPEN	1600	0	0
DVMFX	INVESCO FUNDS	INVESCO DEVELOPING MARKETS R5	R5	NO LOAD	REDEEM ONLY	1600	10000000	10000000
OPOCX	INVESCO FUNDS	INVESCO DISCOVERY FUND CL A	A	LOAD	SUBS ONLY	1600	0	0
ODICX	INVESCO FUNDS	INVESCO DISCOVERY FUND CL C	C	LOAD	SUBS ONLY	1600	500	1000
ODINX	INVESCO FUNDS	INVESCO DISCOVERY FUND CL R	R	NO LOAD	REDEEM ONLY	1600	0	0
ODIIX	INVESCO FUNDS	INVESCO DISCOVERY FUND CL R6	R6	NO LOAD	REDEEM ONLY	1600	1000000	1000000
ODIYX	INVESCO FUNDS	INVESCO DISCOVERY FUND CL Y	Y	NO LOAD	REDEEM ONLY	1600	0	0

SYMBOL	FUND FAMILY	FUND DESCRIPTION	SHARE CLASS	FUND TYPE	STATUS	CUT- OFF	IRA MIN	NON-IRA MIN
OEGAX	INVESCO FUNDS	INVESCO DISCOVERY MID CAP GROWTH FUND CL A	A	LOAD	OPEN	1600	0	0
OEGCX	INVESCO FUNDS	INVESCO DISCOVERY MID CAP GROWTH FUND CL C	C	LOAD	OPEN	1600	500	1000
OEGNX	INVESCO FUNDS	INVESCO DISCOVERY MID CAP GROWTH FUND CL R	R	NO LOAD	REDEEM ONLY	1600	0	0
DMCFX	INVESCO FUNDS	INVESCO DISCOVERY MID CAP GROWTH FUND CL R5	R5	NO LOAD	REDEEM ONLY	1600	10000000	10000000
OEGIX	INVESCO FUNDS	INVESCO DISCOVERY MID CAP GROWTH FUND CL R6	R6	NO LOAD	REDEEM ONLY	1600	100000000	10000000
OEGYX	INVESCO FUNDS	INVESCO DISCOVERY MID CAP GROWTH FUND CL Y	Y	NO LOAD	OPEN	1600	0	0
IAUTX	INVESCO FUNDS	INVESCO DIV INCM FD CL A	A	LOAD	OPEN	1600	250	1000
IUTCX	INVESCO FUNDS	INVESCO DIV INCM FD CL C	C	LOAD	OPEN	1600	250	1000
IRTCX	INVESCO FUNDS	INVESCO DIV INCM FD CL R	R	NO LOAD	REDEEM ONLY	1600	0	0
IAUYX	INVESCO FUNDS	INVESCO DIV INCM FD CL Y	Y	NO LOAD	OPEN	1600	0	0
FSTUX	INVESCO FUNDS	INVESCO DIV INCM FD INV CL	INVESTOR	NO LOAD	OPEN	1600	100	100
DDFIX	INVESCO FUNDS	INVESCO DIVERS DIVIDEND FD CL R5	R5	NO LOAD	REDEEM ONLY	1600	10000000	10000000
LCEFX	INVESCO FUNDS	INVESCO DIVERS DIVIDEND FD CL R6	R6	NO LOAD	REDEEM ONLY	1600	0	0
LCEAX	INVESCO FUNDS	INVESCO DIVERSIFIED DIVIDEND FD CL A	A	LOAD	OPEN	1600	250	1000
LCEVX	INVESCO FUNDS	INVESCO DIVERSIFIED DIVIDEND FD CL C	C	LOAD	OPEN	1600	250	1000
LCEYX	INVESCO FUNDS	INVESCO DIVERSIFIED DIVIDEND FD CL Y	Y	NO LOAD	OPEN	1600	0	0
LCEIX	INVESCO FUNDS	INVESCO DIVERSIFIED DIVIDEND FD INV CL	INVESTOR	NO LOAD	OPEN	1600	100	100
DDFRX	INVESCO FUNDS	INVESCO DIVERSIFIED DIVIDEND R	R	NO LOAD	REDEEM ONLY	1600	0	0
FSIUX	INVESCO FUNDS	INVESCO DIVIDEND INCOME R5	R5	NO LOAD	REDEEM ONLY	1600	10000000	10000000
IFUTX	INVESCO FUNDS	INVESCO DIVIDEND INCOME R6	R6	NO LOAD	REDEEM ONLY	1600	100000000	10000000
XAXCX	INVESCO FUNDS	INVESCO DYNAMIC CREDIT OPPTY AX	AX	NO LOAD	REDEEM ONLY	1600	1000	2500
OEMAX	INVESCO FUNDS	INVESCO EMERGING MARKETS LOCAL DEBT FUND CL A	A	LOAD	OPEN	1600	0	0
OEMCX	INVESCO FUNDS	INVESCO EMERGING MARKETS LOCAL DEBT FUND CL C	C	LOAD	OPEN	1600	500	1000
OEMYX	INVESCO FUNDS	INVESCO EMERGING MARKETS LOCAL DEBT FUND CL Y	Y	NO LOAD	OPEN	1600	0	0
OEMNX	INVESCO FUNDS	INVESCO EMERGING MARKETS LOCAL DEBT R	R	NO LOAD	REDEEM ONLY	1600	0	0
OEMIX	INVESCO FUNDS	INVESCO EMERGING MARKETS LOCAL DEBT R6	R6	NO LOAD	REDEEM ONLY	1600	100000000	10000000
IEFCX	INVESCO FUNDS	INVESCO ENERGY C	C	LOAD	OPEN	1600	250	1000
IENAX	INVESCO FUNDS	INVESCO ENERGY CLASS A	A	LOAD	OPEN	1600	250	1000
IENIX	INVESCO FUNDS	INVESCO ENERGY FD CL R5	R5	NO LOAD	REDEEM ONLY	1600	10000000	10000000
IENYX	INVESCO FUNDS	INVESCO ENERGY FUND CL Y	Y	NO LOAD	OPEN	1600	0	0
FSTEX	INVESCO FUNDS	INVESCO ENERGY FUND INVESTOR CLASS	INVESTOR	NO LOAD	OPEN	1600	100	100
IENSX	INVESCO FUNDS	INVESCO ENERGY R6	R6	NO LOAD	REDEEM ONLY	1600	100000000	10000000
OPAMX	INVESCO FUNDS	INVESCO ENVIRONMENTAL FOCUS MUNI FD CL A	A	LOAD	OPEN	1600	0	0
OPCMX	INVESCO FUNDS	INVESCO ENVIRONMENTAL FOCUS MUNI FD CL C	C	LOAD	OPEN	1600	500	1000
OPYMX	INVESCO FUNDS	INVESCO ENVIRONMENTAL FOCUS MUNI FD CL Y	Y	NO LOAD	OPEN	1600	0	0
IOMUX	INVESCO FUNDS	INVESCO ENVIRONMENTAL FOCUS MUNI R6	R6	NO LOAD	REDEEM ONLY	1600	10000000	10000000
ACEIX	INVESCO FUNDS	INVESCO EQTY AND INCM A	A	LOAD	OPEN	1600	250	1000
ACERX	INVESCO FUNDS	INVESCO EQTY AND INCM C	C	LOAD	OPEN	1600	250	1000
IEIFX	INVESCO FUNDS	INVESCO EQTY AND INCM CL R6	R6	NO LOAD	REDEEM ONLY	1600	0	0
ACESX	INVESCO FUNDS	INVESCO EQTY AND INCM R	R	NO LOAD	REDEEM ONLY	1600	0	0
ACEKX	INVESCO FUNDS	INVESCO EQTY AND INCM R5	R5	NO LOAD	REDEEM ONLY	1600	10000000	10000000

SYMBOL	FUND FAMILY	FUND DESCRIPTION	SHARE CLASS	FUND TYPE	STATUS	CUT- OFF	IRA MIN	NON-IRA MIN
ACETX	INVESCO FUNDS	INVESCO EQTY AND INCM Y	Y	NO LOAD	OPEN	1600	0	0
VADFX	INVESCO FUNDS	INVESCO EQUALLY WEIGHTED S&P 500 R6	R6	NO LOAD	REDEEM ONLY	1600	100000000	10000000
VADAX	INVESCO FUNDS	INVESCO EQUALLY WEIGHTED SP 500 CL A	A	LOAD	OPEN	1600	250	1000
VADCX	INVESCO FUNDS	INVESCO EQUALLY WTD S&P 500 FD CL C	C	LOAD	OPEN	1600	250	1000
VADDX	INVESCO FUNDS	INVESCO EQUALLY WTD S&P 500 FD CL Y	Y	NO LOAD	OPEN	1600	0	0
VADRX	INVESCO FUNDS	INVESCO EQUALLYWTD S&P 500 R	R	NO LOAD	REDEEM ONLY	1600	0	0
ASIAx	INVESCO FUNDS	INVESCO EQV ASIA PACIFIC EQUITY FUND CL A	A	LOAD	OPEN	1600	250	1000
ASICX	INVESCO FUNDS	INVESCO EQV ASIA PACIFIC EQUITY FUND CL C	C	LOAD	OPEN	1600	250	1000
ASIYX	INVESCO FUNDS	INVESCO EQV ASIA PACIFIC EQUITY FUND CL Y	Y	NO LOAD	OPEN	1600	0	0
ASISX	INVESCO FUNDS	INVESCO EQV ASIA PACIFIC EQUITY R6	R6	NO LOAD	REDEEM ONLY	1600	100000000	10000000
GTDDX	INVESCO FUNDS	INVESCO EQV EMERGING MARKETS ALL CAP FUND CL A	A	LOAD	OPEN	1600	250	1000
GTDCX	INVESCO FUNDS	INVESCO EQV EMERGING MARKETS ALL CAP FUND CL C	C	LOAD	OPEN	1600	250	1000
GTDIX	INVESCO FUNDS	INVESCO EQV EMERGING MARKETS ALL CAP FUND CL R5	R5	NO LOAD	REDEEM ONLY	1600	10000000	10000000
GTDFX	INVESCO FUNDS	INVESCO EQV EMERGING MARKETS ALL CAP FUND CL R6	R6	NO LOAD	REDEEM ONLY	1600	0	0
GTDYX	INVESCO FUNDS	INVESCO EQV EMERGING MARKETS ALL CAP FUND CL Y	Y	NO LOAD	OPEN	1600	0	0
ESMSX	INVESCO FUNDS	INVESCO EQV EURO SMALL COMPANY R6	R6	NO LOAD	REDEEM ONLY	1600	100000000	10000000
AEDAX	INVESCO FUNDS	INVESCO EQV EUROPEAN EQUITY FUND CL A	A	LOAD	OPEN	1600	250	1000
AEDCX	INVESCO FUNDS	INVESCO EQV EUROPEAN EQUITY FUND CL C	C	LOAD	OPEN	1600	250	1000
AEDRX	INVESCO FUNDS	INVESCO EQV EUROPEAN EQUITY FUND CL R	R	NO LOAD	REDEEM ONLY	1600	0	0
AEGSX	INVESCO FUNDS	INVESCO EQV EUROPEAN EQUITY FUND CL R6	R6	NO LOAD	REDEEM ONLY	1600	100000000	10000000
AEDYX	INVESCO FUNDS	INVESCO EQV EUROPEAN EQUITY FUND CL Y	Y	NO LOAD	OPEN	1600	0	0
EGINX	INVESCO FUNDS	INVESCO EQV EUROPEAN EQUITY FUND INVESTOR SHARES	INVESTOR	NO LOAD	OPEN	1600	100	100
ESMAX	INVESCO FUNDS	INVESCO EQV EUROPEAN SMALL COMPANY A	A	LOAD	OPEN	1600	250	1000
ESMCX	INVESCO FUNDS	INVESCO EQV EUROPEAN SMALL COMPANY C	C	LOAD	OPEN	1600	250	1000
ESMYX	INVESCO FUNDS	INVESCO EQV EUROPEAN SMALL COMPANY Y	Y	NO LOAD	OPEN	1600	0	0
AIIEX	INVESCO FUNDS	INVESCO EQV INTERNATIONAL EQUITY FUND CL A	A	LOAD	OPEN	1600	250	1000
AIECX	INVESCO FUNDS	INVESCO EQV INTERNATIONAL EQUITY FUND CL C	C	LOAD	OPEN	1600	250	1000
AIEVX	INVESCO FUNDS	INVESCO EQV INTERNATIONAL EQUITY FUND CL R5	R5	NO LOAD	REDEEM ONLY	1600	10000000	10000000
IGFRX	INVESCO FUNDS	INVESCO EQV INTERNATIONAL EQUITY FUND CL R6	R6	NO LOAD	REDEEM ONLY	1600	0	0
AIIYX	INVESCO FUNDS	INVESCO EQV INTERNATIONAL EQUITY FUND CL Y	Y	NO LOAD	OPEN	1600	0	0
AIERX	INVESCO FUNDS	INVESCO EQV INTERNATIONAL EQUITY FUND R	R	NO LOAD	REDEEM ONLY	1600	0	0
IEGAX	INVESCO FUNDS	INVESCO EQV INTERNATIONAL SMALL COMPANY A	A	LOAD	OPEN	1600	250	1000
IEGCX	INVESCO FUNDS	INVESCO EQV INTERNATIONAL SMALL COMPANY C	C	LOAD	OPEN	1600	250	1000
IEGIX	INVESCO FUNDS	INVESCO EQV INTERNATIONAL SMALL COMPANY R5	R5	NO LOAD	REDEEM ONLY	1600	10000000	10000000
IEGFX	INVESCO FUNDS	INVESCO EQV INTERNATIONAL SMALL COMPANY R6	R6	NO LOAD	REDEEM ONLY	1600	100000000	10000000
IEGYX	INVESCO FUNDS	INVESCO EQV INTERNATIONAL SMALL COMPANY Y	Y	NO LOAD	OPEN	1600	0	0
AFRAX	INVESCO FUNDS	INVESCO FLOATING RATE ESG FD CL A	A	LOAD	OPEN	1600	250	1000
AFRCX	INVESCO FUNDS	INVESCO FLOATING RATE ESG FD CL C	C	LOAD	OPEN	1600	250	1000
AFRIX	INVESCO FUNDS	INVESCO FLOATING RATE ESG FD CL R5	R5	NO LOAD	REDEEM ONLY	1600	10000000	10000000
AFRFX	INVESCO FUNDS	INVESCO FLOATING RATE ESG FD CL R6	R6	NO LOAD	REDEEM ONLY	1600	0	0
AFRYX	INVESCO FUNDS	INVESCO FLOATING RATE ESG FD CL Y	Y	NO LOAD	OPEN	1600	0	0

SYMBOL	FUND FAMILY	FUND DESCRIPTION	SHARE CLASS	FUND TYPE	STATUS	CUT- OFF	IRA MIN	NON-IRA MIN
AFRRX	INVESCO FUNDS	INVESCO FLOATING RATE ESG R	R	NO LOAD	REDEEM ONLY	1600	0	0
QVOPX	INVESCO FUNDS	INVESCO FUNDAMENTAL ALTERNATIVES FUND CL A	A	LOAD	OPEN	1600	0	0
QOPCX	INVESCO FUNDS	INVESCO FUNDAMENTAL ALTERNATIVES FUND CL C	C	LOAD	OPEN	1600	500	1000
QOPNX	INVESCO FUNDS	INVESCO FUNDAMENTAL ALTERNATIVES FUND CL R	R	NO LOAD	REDEEM ONLY	1600	0	0
QOPIX	INVESCO FUNDS	INVESCO FUNDAMENTAL ALTERNATIVES FUND CL R6	R6	NO LOAD	REDEEM ONLY	1600	1000000	1000000
QOPYX	INVESCO FUNDS	INVESCO FUNDAMENTAL ALTERNATIVES FUND CL Y	Y	NO LOAD	OPEN	1600	0	0
ASRAX	INVESCO FUNDS	INVESCO GBL REAL ESTATE INCM A	A	LOAD	OPEN	1600	250	1000
ASRCX	INVESCO FUNDS	INVESCO GBL REAL ESTATE INCM C	C	LOAD	OPEN	1600	250	1000
ASRYX	INVESCO FUNDS	INVESCO GBL REAL ESTATE INCM Y	Y	NO LOAD	OPEN	1600	0	0
GIZAX	INVESCO FUNDS	INVESCO GLBL INFRASTRUCTURE CL A	A	LOAD	OPEN	1600	250	1000
GIZYX	INVESCO FUNDS	INVESCO GLBL INFRASTRUCTURE Y	Y	NO LOAD	OPEN	1600	0	0
QVGIX	INVESCO FUNDS	INVESCO GLOBAL ALLOCATION FUND CL A	A	LOAD	OPEN	1600	0	0
QGRCX	INVESCO FUNDS	INVESCO GLOBAL ALLOCATION FUND CL C	C	LOAD	OPEN	1600	500	1000
QGRNX	INVESCO FUNDS	INVESCO GLOBAL ALLOCATION FUND CL R	R	NO LOAD	REDEEM ONLY	1600	0	0
QGRYX	INVESCO FUNDS	INVESCO GLOBAL ALLOCATION FUND CL Y	Y	NO LOAD	OPEN	1600	0	0
QGRIX	INVESCO FUNDS	INVESCO GLOBAL ALLOCATION R6	R6	NO LOAD	REDEEM ONLY	1600	10000000	10000000
AWSYX	INVESCO FUNDS	INVESCO GLOBAL CORE EQTY FD CL Y	Y	NO LOAD	OPEN	1600	0	0
AWSAX	INVESCO FUNDS	INVESCO GLOBAL CORE EQUITY FD CL A	A	LOAD	OPEN	1600	250	1000
AWSCX	INVESCO FUNDS	INVESCO GLOBAL CORE EQUITY FD CL C	C	LOAD	OPEN	1600	250	1000
AWSRX	INVESCO FUNDS	INVESCO GLOBAL CORE EQUITY R	R	NO LOAD	REDEEM ONLY	1600	0	0
AWSIX	INVESCO FUNDS	INVESCO GLOBAL CORE EQUITY R5	R5	NO LOAD	REDEEM ONLY	1600	1000000	1000000
AWSSX	INVESCO FUNDS	INVESCO GLOBAL CORE EQUITY R6	R6	NO LOAD	REDEEM ONLY	1600	10000000	10000000
GLVAX	INVESCO FUNDS	INVESCO GLOBAL FOCUS FUND CL A	A	LOAD	OPEN	1600	0	0
GLVCX	INVESCO FUNDS	INVESCO GLOBAL FOCUS FUND CL C	C	LOAD	OPEN	1600	500	1000
GLVNX	INVESCO FUNDS	INVESCO GLOBAL FOCUS FUND CL R	R	NO LOAD	REDEEM ONLY	1600	0	0
GLVIX	INVESCO FUNDS	INVESCO GLOBAL FOCUS FUND CL R6	R6	NO LOAD	REDEEM ONLY	1600	10000000	10000000
GLVYX	INVESCO FUNDS	INVESCO GLOBAL FOCUS FUND CL Y	Y	NO LOAD	OPEN	1600	0	0
OPPAX	INVESCO FUNDS	INVESCO GLOBAL FUND CL A	A	LOAD	OPEN	1600	0	0
OGLCX	INVESCO FUNDS	INVESCO GLOBAL FUND CL C	C	LOAD	OPEN	1600	500	1000
OGLNX	INVESCO FUNDS	INVESCO GLOBAL FUND CL R	R	NO LOAD	REDEEM ONLY	1600	0	0
OGLIX	INVESCO FUNDS	INVESCO GLOBAL FUND CL R6	R6	NO LOAD	REDEEM ONLY	1600	1000000	1000000
OGLYX	INVESCO FUNDS	INVESCO GLOBAL FUND CL Y	Y	NO LOAD	OPEN	1600	0	0
GIZRX	INVESCO FUNDS	INVESCO GLOBAL INFRASTRUCTURE R	R	NO LOAD	REDEEM ONLY	1600	0	0
GIZSX	INVESCO FUNDS	INVESCO GLOBAL INFRASTRUCTURE R6	R6	NO LOAD	REDEEM ONLY	1600	10000000	10000000
OPGIX	INVESCO FUNDS	INVESCO GLOBAL OPPORTUNITIES FUND CL A	A	LOAD	OPEN	1600	0	0
OGICX	INVESCO FUNDS	INVESCO GLOBAL OPPORTUNITIES FUND CL C	C	LOAD	OPEN	1600	500	1000
OGINX	INVESCO FUNDS	INVESCO GLOBAL OPPORTUNITIES FUND CL R	R	NO LOAD	REDEEM ONLY	1600	0	0
OGIIX	INVESCO FUNDS	INVESCO GLOBAL OPPORTUNITIES FUND CL R6	R6	NO LOAD	REDEEM ONLY	1600	1000000	1000000
OGIYX	INVESCO FUNDS	INVESCO GLOBAL OPPORTUNITIES FUND CL Y	Y	NO LOAD	OPEN	1600	0	0
AGREX	INVESCO FUNDS	INVESCO GLOBAL REAL ESTATE FD CL A	A	LOAD	OPEN	1600	250	1000
CGREX	INVESCO FUNDS	INVESCO GLOBAL REAL ESTATE FD CL C	C	LOAD	OPEN	1600	250	1000

SYMBOL	FUND FAMILY	FUND DESCRIPTION	SHARE CLASS	FUND TYPE	STATUS	CUT- OFF	IRA MIN	NON-IRA MIN
FGREX	INVESCO FUNDS	INVESCO GLOBAL REAL ESTATE FD CL R6	R6	NO LOAD	REDEEM ONLY	1600	100000000	10000000
ARGYX	INVESCO FUNDS	INVESCO GLOBAL REAL ESTATE FD CL Y	Y	NO LOAD	OPEN	1600	0	0
ASRIX	INVESCO FUNDS	INVESCO GLOBAL REAL ESTATE INCOME R5	R5	NO LOAD	REDEEM ONLY	1600	10000000	10000000
ASRFX	INVESCO FUNDS	INVESCO GLOBAL REAL ESTATE INCOME R6	R6	NO LOAD	REDEEM ONLY	1600	100000000	10000000
RGREX	INVESCO FUNDS	INVESCO GLOBAL REAL ESTATE R	R	NO LOAD	REDEEM ONLY	1600	0	0
IGREX	INVESCO FUNDS	INVESCO GLOBAL REAL ESTATE R5	R5	NO LOAD	REDEEM ONLY	1600	10000000	10000000
OPSIX	INVESCO FUNDS	INVESCO GLOBAL STRATEGIC INCOME FUND CL A	A	LOAD	OPEN	1600	0	0
OSICX	INVESCO FUNDS	INVESCO GLOBAL STRATEGIC INCOME FUND CL C	C	LOAD	OPEN	1600	500	1000
OSINX	INVESCO FUNDS	INVESCO GLOBAL STRATEGIC INCOME FUND CL R	R	NO LOAD	REDEEM ONLY	1600	0	0
OSIIX	INVESCO FUNDS	INVESCO GLOBAL STRATEGIC INCOME FUND CL R6	R6	NO LOAD	REDEEM ONLY	1600	1000000	1000000
OSIYX	INVESCO FUNDS	INVESCO GLOBAL STRATEGIC INCOME FUND CL Y	Y	NO LOAD	OPEN	1600	0	0
OPGSX	INVESCO FUNDS	INVESCO GOLD & SPECIAL MINERALS FUND CL A	A	LOAD	OPEN	1600	0	0
OGMCX	INVESCO FUNDS	INVESCO GOLD & SPECIAL MINERALS FUND CL C	C	LOAD	OPEN	1600	500	1000
OGMNX	INVESCO FUNDS	INVESCO GOLD & SPECIAL MINERALS FUND CL R	R	NO LOAD	REDEEM ONLY	1600	0	0
OGMIX	INVESCO FUNDS	INVESCO GOLD & SPECIAL MINERALS FUND CL R6	R6	NO LOAD	REDEEM ONLY	1600	1000000	1000000
OGMYX	INVESCO FUNDS	INVESCO GOLD & SPECIAL MINERALS FUND CL Y	Y	NO LOAD	OPEN	1600	0	0
CVGXX	INVESCO FUNDS	INVESCO GOVERNMENT AND AGENCY PORTFOLIO	CAVU SECURITIES	NO LOAD	REDEEM ONLY	1600	100000	100000
INVXX	INVESCO FUNDS	INVESCO GOVERNMENT MONEY MARKET R6	R6	NO LOAD	REDEEM ONLY	1600	10000000	10000000
AIYXX	INVESCO FUNDS	INVESCO GOVERNMENT MONEY MARKET Y	Y	NO LOAD	OPEN	1600	0	0
ADAXX	INVESCO FUNDS	INVESCO GOVT MONEY MKT A	A	NO LOAD	REDEEM ONLY	1600	250	1000
ACNXX	INVESCO FUNDS	INVESCO GOVT MONEY MKT C	C	LOAD	OPEN	1600	250	1000
AIMXX	INVESCO FUNDS	INVESCO GOVT MONEY MKT CASH RESERVES	CASH RESERVE	LOAD	OPEN	1600	250	1000
ACZXX	INVESCO FUNDS	INVESCO GOVT MONEY MKT CL AX	AX	LOAD	SUBS ONLY	1600	250	1000
AIRXX	INVESCO FUNDS	INVESCO GOVT MONEY MKT CL R	R	NO LOAD	REDEEM ONLY	1600	0	0
ACXXX	INVESCO FUNDS	INVESCO GOVT MONEY MKT FD CL CX	CX	LOAD	SUBS ONLY	1600	250	1000
INAXX	INVESCO FUNDS	INVESCO GOVT MONEY MKT FD INV CL	INVESTOR	LOAD	OPEN	1600	250	1000
AACFX	INVESCO FUNDS	INVESCO GREATER CHINA FD CL A	A	LOAD	OPEN	1600	250	1000
CACFX	INVESCO FUNDS	INVESCO GREATER CHINA FD CL C	C	LOAD	OPEN	1600	250	1000
AMCYX	INVESCO FUNDS	INVESCO GREATER CHINA FD CL Y	Y	NO LOAD	OPEN	1600	0	0
IGCRX	INVESCO FUNDS	INVESCO GREATER CHINA R	R	NO LOAD	REDEEM ONLY	1600	0	0
IACFX	INVESCO FUNDS	INVESCO GREATER CHINA R5	R5	NO LOAD	REDEEM ONLY	1600	10000000	10000000
CACSX	INVESCO FUNDS	INVESCO GREATER CHINA R6	R6	NO LOAD	REDEEM ONLY	1600	100000000	10000000
ACGIX	INVESCO FUNDS	INVESCO GROWTH & INCM A	A	LOAD	OPEN	1600	250	1000
ACGKX	INVESCO FUNDS	INVESCO GROWTH & INCM C	C	LOAD	OPEN	1600	250	1000
ACGLX	INVESCO FUNDS	INVESCO GROWTH & INCM R	R	NO LOAD	REDEEM ONLY	1600	0	0
ACGQX	INVESCO FUNDS	INVESCO GROWTH & INCM R5	R5	NO LOAD	REDEEM ONLY	1600	10000000	10000000
ACGMX	INVESCO FUNDS	INVESCO GROWTH & INCM Y	Y	NO LOAD	OPEN	1600	0	0
GIFFX	INVESCO FUNDS	INVESCO GROWTH & INCOME R6	R6	NO LOAD	REDEEM ONLY	1600	0	0
AADAX	INVESCO FUNDS	INVESCO GROWTH INVESTOR FD CL A	A	LOAD	OPEN	1600	250	1000
AADCX	INVESCO FUNDS	INVESCO GROWTH INVESTOR FD CL C	C	LOAD	OPEN	1600	250	1000
AADYX	INVESCO FUNDS	INVESCO GROWTH INVESTOR FD CL Y	Y	NO LOAD	OPEN	1600	0	0

SYMBOL	FUND FAMILY	FUND DESCRIPTION	SHARE CLASS	FUND TYPE	STATUS	CUT- OFF	IRA MIN	NON-IRA MIN
GGHCX	INVESCO FUNDS	INVESCO HEALTH CARE FD CL A	A	LOAD	OPEN	1600	250	1000
GTHCX	INVESCO FUNDS	INVESCO HEALTH CARE FD CL C	C	LOAD	OPEN	1600	250	1000
GGHYX	INVESCO FUNDS	INVESCO HEALTH CARE FD CL Y	Y	NO LOAD	OPEN	1600	0	0
GTHIX	INVESCO FUNDS	INVESCO HEALTH CARE FD INV CL	INVESTOR	NO LOAD	OPEN	1600	100	100
GGHSX	INVESCO FUNDS	INVESCO HEALTH CARE R6	R6	NO LOAD	REDEEM ONLY	1600	100000000	10000000
OAAIX	INVESCO FUNDS	INVESCO HIGH GROWTH INVESTOR FD CL A	A	LOAD	OPEN	1600	0	0
OCAIX	INVESCO FUNDS	INVESCO HIGH GROWTH INVESTOR FD CL C	C	LOAD	OPEN	1600	500	1000
ONAIK	INVESCO FUNDS	INVESCO HIGH GROWTH INVESTOR FD CL R	R	NO LOAD	REDEEM ONLY	1600	0	0
OYAIX	INVESCO FUNDS	INVESCO HIGH GROWTH INVESTOR FD CL Y	Y	NO LOAD	OPEN	1600	0	0
AMHYX	INVESCO FUNDS	INVESCO HIGH YIELD FD CL A	A	LOAD	OPEN	1600	250	1000
AHYCX	INVESCO FUNDS	INVESCO HIGH YIELD FD CL C	C	LOAD	OPEN	1600	250	1000
HYIFX	INVESCO FUNDS	INVESCO HIGH YIELD FD CL R6	R6	NO LOAD	REDEEM ONLY	1600	0	0
AHHYX	INVESCO FUNDS	INVESCO HIGH YIELD FD CL Y	Y	NO LOAD	OPEN	1600	0	0
ACTHX	INVESCO FUNDS	INVESCO HIGH YIELD MUNI A	A	LOAD	OPEN	1600	250	1000
ACTFX	INVESCO FUNDS	INVESCO HIGH YIELD MUNI C	C	LOAD	OPEN	1600	250	1000
ACTDX	INVESCO FUNDS	INVESCO HIGH YIELD MUNI Y	Y	NO LOAD	OPEN	1600	0	0
ACTSX	INVESCO FUNDS	INVESCO HIGH YIELD MUNICIPAL R6	R6	NO LOAD	REDEEM ONLY	1600	100000000	10000000
AHIYX	INVESCO FUNDS	INVESCO HIGH YIELD R5	R5	NO LOAD	REDEEM ONLY	1600	10000000	10000000
HYINX	INVESCO FUNDS	INVESCO HIGHYIELD FD INV CL	INVESTOR	NO LOAD	OPEN	1600	100	100
GTNDX	INVESCO FUNDS	INVESCO INCOME ADVANTAGE INTERNATIONAL FUND	A	LOAD	OPEN	1600	250	1000
GNDCX	INVESCO FUNDS	INVESCO INCOME ADVANTAGE INTERNATIONAL FUND	C	LOAD	OPEN	1600	250	1000
GTNRX	INVESCO FUNDS	INVESCO INCOME ADVANTAGE INTERNATIONAL FUND	R	NO LOAD	REDEEM ONLY	1600	0	0
GTNYX	INVESCO FUNDS	INVESCO INCOME ADVANTAGE INTERNATIONAL FUND	Y	NO LOAD	OPEN	1600	0	0
GNDIX	INVESCO FUNDS	INVESCO INCOME ADVANTAGE INTL R5	R5	NO LOAD	REDEEM ONLY	1600	10000000	10000000
GNDX	INVESCO FUNDS	INVESCO INCOME ADVANTAGE INTL R6	R6	NO LOAD	REDEEM ONLY	1600	100000000	10000000
SCAUX	INVESCO FUNDS	INVESCO INCOME ADVANTAGE U.S. FUND	A	LOAD	OPEN	1600	250	1000
SCCUX	INVESCO FUNDS	INVESCO INCOME ADVANTAGE U.S. FUND	C	LOAD	OPEN	1600	250	1000
SCNUX	INVESCO FUNDS	INVESCO INCOME ADVANTAGE U.S. FUND	INVESTOR	NO LOAD	OPEN	1600	100	100
SCRUX	INVESCO FUNDS	INVESCO INCOME ADVANTAGE U.S. FUND	R	NO LOAD	REDEEM ONLY	1600	0	0
SCAYX	INVESCO FUNDS	INVESCO INCOME ADVANTAGE U.S. FUND	Y	NO LOAD	OPEN	1600	0	0
SCIUX	INVESCO FUNDS	INVESCO INCOME ADVANTAGE U.S. FUND R5	R5	NO LOAD	REDEEM ONLY	1600	10000000	10000000
SLESX	INVESCO FUNDS	INVESCO INCOME ADVANTAGE U.S. FUND R6	R6	NO LOAD	REDEEM ONLY	1600	100000000	10000000
ALAYX	INVESCO FUNDS	INVESCO INCOME ALLOC FD CL Y	Y	NO LOAD	OPEN	1600	0	0
ALAAX	INVESCO FUNDS	INVESCO INCOME ALLOCATION CL A	A	LOAD	OPEN	1600	250	1000
CLIAK	INVESCO FUNDS	INVESCO INCOME ALLOCATION FD CL C	C	LOAD	OPEN	1600	250	1000
RLIAK	INVESCO FUNDS	INVESCO INCOME ALLOCATION R	R	NO LOAD	REDEEM ONLY	1600	0	0
ILAAX	INVESCO FUNDS	INVESCO INCOME ALLOCATION R5	R5	NO LOAD	REDEEM ONLY	1600	10000000	10000000
IIASX	INVESCO FUNDS	INVESCO INCOME ALLOCATION R6	R6	NO LOAD	REDEEM ONLY	1600	100000000	10000000
AGOVX	INVESCO FUNDS	INVESCO INCOME FD CL A	A	LOAD	OPEN	1600	250	1000
AGVCX	INVESCO FUNDS	INVESCO INCOME FD CL C	C	LOAD	OPEN	1600	250	1000
AGVYX	INVESCO FUNDS	INVESCO INCOME FD CL Y	Y	NO LOAD	OPEN	1600	0	0

SYMBOL	FUND FAMILY	FUND DESCRIPTION	SHARE CLASS	FUND TYPE	STATUS	CUT- OFF	IRA MIN	NON-IRA MIN
AGIVX	INVESCO FUNDS	INVESCO INCOME FD INV	INVESTOR	NO LOAD	OPEN	1600	100	100
AGVRX	INVESCO FUNDS	INVESCO INCOME R	R	NO LOAD	REDEEM ONLY	1600	0	0
AGOIX	INVESCO FUNDS	INVESCO INCOME R5	R5	NO LOAD	REDEEM ONLY	1600	10000000	10000000
AGVSX	INVESCO FUNDS	INVESCO INCOME R6	R6	NO LOAD	REDEEM ONLY	1600	100000000	100000000
VKLMX	INVESCO FUNDS	INVESCO INTER TERM MUNI INC A	A	LOAD	OPEN	1600	250	1000
VKLCX	INVESCO FUNDS	INVESCO INTER TERM MUNI INC C	C	LOAD	OPEN	1600	250	1000
VKLIY	INVESCO FUNDS	INVESCO INTER TERM MUNI INC Y	Y	NO LOAD	OPEN	1600	0	0
OFIAX	INVESCO FUNDS	INVESCO INTERMEDIATE BOND FACTOR FD CL A	A	LOAD	OPEN	1600	0	0
OFICX	INVESCO FUNDS	INVESCO INTERMEDIATE BOND FACTOR FD CL C	C	LOAD	OPEN	1600	500	1000
OFIYX	INVESCO FUNDS	INVESCO INTERMEDIATE BOND FACTOR FD CL Y	Y	NO LOAD	OPEN	1600	0	0
OFINX	INVESCO FUNDS	INVESCO INTERMEDIATE BOND FACTOR R	R	NO LOAD	REDEEM ONLY	1600	0	0
OFIIX	INVESCO FUNDS	INVESCO INTERMEDIATE BOND FACTOR R6	R6	NO LOAD	REDEEM ONLY	1600	100000000	100000000
VKLSX	INVESCO FUNDS	INVESCO INTERMEDIATE TERM MUNI INC R6	R6	NO LOAD	REDEEM ONLY	1600	100000000	100000000
OIBAX	INVESCO FUNDS	INVESCO INTERNATIONAL BOND FUND CL A	A	LOAD	OPEN	1600	0	0
OIBCX	INVESCO FUNDS	INVESCO INTERNATIONAL BOND FUND CL C	C	LOAD	OPEN	1600	500	1000
OIBNX	INVESCO FUNDS	INVESCO INTERNATIONAL BOND FUND CL R	R	NO LOAD	REDEEM ONLY	1600	0	0
OIBIX	INVESCO FUNDS	INVESCO INTERNATIONAL BOND FUND CL R6	R6	NO LOAD	REDEEM ONLY	1600	1000000	1000000
OIBYX	INVESCO FUNDS	INVESCO INTERNATIONAL BOND FUND CL Y	Y	NO LOAD	OPEN	1600	0	0
OIDAX	INVESCO FUNDS	INVESCO INTERNATIONAL DIVERSIFIED FUND CL A	A	LOAD	OPEN	1600	0	0
OIDCX	INVESCO FUNDS	INVESCO INTERNATIONAL DIVERSIFIED FUND CL C	C	LOAD	OPEN	1600	500	1000
OIDNX	INVESCO FUNDS	INVESCO INTERNATIONAL DIVERSIFIED FUND CL R	R	NO LOAD	REDEEM ONLY	1600	0	0
OIDIX	INVESCO FUNDS	INVESCO INTERNATIONAL DIVERSIFIED FUND CL R6	R6	NO LOAD	REDEEM ONLY	1600	1000000	1000000
OIDYX	INVESCO FUNDS	INVESCO INTERNATIONAL DIVERSIFIED FUND CL Y	Y	NO LOAD	OPEN	1600	0	0
OSMAX	INVESCO FUNDS	INVESCO INTERNATIONAL SMALL MID COMPANY FUND CL A	A	LOAD	OPEN	1600	0	0
OSMCX	INVESCO FUNDS	INVESCO INTERNATIONAL SMALL MID COMPANY FUND CL C	C	LOAD	OPEN	1600	500	1000
OSMNX	INVESCO FUNDS	INVESCO INTERNATIONAL SMALL MID COMPANY FUND CL R	R	NO LOAD	REDEEM ONLY	1600	0	0
OSCIX	INVESCO FUNDS	INVESCO INTERNATIONAL SMALL MID COMPANY FUND CL R6	R6	NO LOAD	REDEEM ONLY	1600	1000000	1000000
OSMYX	INVESCO FUNDS	INVESCO INTERNATIONAL SMALL MID COMPANY FUND CL Y	Y	NO LOAD	OPEN	1600	0	0
INSLX	INVESCO FUNDS	INVESCO INTERNATIONAL SMALLMID COM R5	R5	NO LOAD	REDEEM ONLY	1600	10000000	10000000
IORLX	INVESCO FUNDS	INVESCO LIMITED TERM CA MUNICIPAL R6	R6	NO LOAD	REDEEM ONLY	1600	100000000	100000000
OLCAX	INVESCO FUNDS	INVESCO LIMITED TERM CALIFORNIA MUNICIPAL FUND CL A	A	LOAD	OPEN	1600	0	0
OLCCX	INVESCO FUNDS	INVESCO LIMITED TERM CALIFORNIA MUNICIPAL FUND CL C	C	LOAD	OPEN	1600	500	1000
OLCYX	INVESCO FUNDS	INVESCO LIMITED TERM CALIFORNIA MUNICIPAL FUND CL Y	Y	NO LOAD	OPEN	1600	0	0
ATFSX	INVESCO FUNDS	INVESCO LIMITED TERM MUNICIPAL INCOME R6	R6	NO LOAD	REDEEM ONLY	1600	100000000	100000000
ATFAX	INVESCO FUNDS	INVESCO LTD TERM MUNI INCM FD A	A	LOAD	OPEN	1600	250	1000
AITFX	INVESCO FUNDS	INVESCO LTD TERM MUNI INCM FD A2	A2	LOAD WAIVED	REDEEM ONLY	1600	250	1000
ATFCX	INVESCO FUNDS	INVESCO LTD TERM MUNI INCM FD C	C	LOAD	OPEN	1600	250	1000
ATFYX	INVESCO FUNDS	INVESCO LTD TERM MUNI INCM FD Y	Y	NO LOAD	OPEN	1600	0	0
GMSDX	INVESCO FUNDS	INVESCO MACRO ALLOCATION STRATEGY A	A	LOAD	OPEN	1600	250	1000
GMSJX	INVESCO FUNDS	INVESCO MACRO ALLOCATION STRATEGY R	R	NO LOAD	REDEEM ONLY	1600	0	0
GMSHX	INVESCO FUNDS	INVESCO MACRO ALLOCATION STRATEGY Y	Y	NO LOAD	OPEN	1600	0	0

SYMBOL	FUND FAMILY	FUND DESCRIPTION	SHARE CLASS	FUND TYPE	STATUS	CUT- OFF	IRA MIN	NON-IRA MIN
OMSOX	INVESCO FUNDS	INVESCO MAIN STREET ALL CAP FUND CL A	A	LOAD	OPEN	1600	0	0
OMSCX	INVESCO FUNDS	INVESCO MAIN STREET ALL CAP FUND CL C	C	LOAD	OPEN	1600	500	1000
OMSN	INVESCO FUNDS	INVESCO MAIN STREET ALL CAP FUND CL R	R	NO LOAD	REDEEM ONLY	1600	0	0
OMSYX	INVESCO FUNDS	INVESCO MAIN STREET ALL CAP FUND CL Y	Y	NO LOAD	OPEN	1600	0	0
IOAPX	INVESCO FUNDS	INVESCO MAIN STREET ALL CAP R6	R6	NO LOAD	REDEEM ONLY	1600	10000000	10000000
MSIGX	INVESCO FUNDS	INVESCO MAIN STREET FUND CL A	A	LOAD	OPEN	1600	0	0
MIGCX	INVESCO FUNDS	INVESCO MAIN STREET FUND CL C	C	LOAD	OPEN	1600	500	1000
OMGNX	INVESCO FUNDS	INVESCO MAIN STREET FUND CL R	R	NO LOAD	REDEEM ONLY	1600	0	0
OMSIX	INVESCO FUNDS	INVESCO MAIN STREET FUND CL R6	R6	NO LOAD	REDEEM ONLY	1600	1000000	1000000
MIGYX	INVESCO FUNDS	INVESCO MAIN STREET FUND CL Y	Y	NO LOAD	OPEN	1600	0	0
OPMSX	INVESCO FUNDS	INVESCO MAIN STREET MID CAP FUND CL A	A	LOAD	OPEN	1600	0	0
OPMCX	INVESCO FUNDS	INVESCO MAIN STREET MID CAP FUND CL C	C	LOAD	OPEN	1600	500	1000
OPMNX	INVESCO FUNDS	INVESCO MAIN STREET MID CAP FUND CL R	R	NO LOAD	REDEEM ONLY	1600	0	0
MSMJX	INVESCO FUNDS	INVESCO MAIN STREET MID CAP FUND CL R5	R5	NO LOAD	REDEEM ONLY	1600	10000000	10000000
OPMIX	INVESCO FUNDS	INVESCO MAIN STREET MID CAP FUND CL R6	R6	NO LOAD	REDEEM ONLY	1600	1000000	1000000
OPMYX	INVESCO FUNDS	INVESCO MAIN STREET MID CAP FUND CL Y	Y	NO LOAD	OPEN	1600	0	0
OSCA	INVESCO FUNDS	INVESCO MAIN STREET SMALL CAP FUND CL A	A	LOAD	OPEN	1600	0	0
OSCCX	INVESCO FUNDS	INVESCO MAIN STREET SMALL CAP FUND CL C	C	LOAD	OPEN	1600	0	0
OSCYX	INVESCO FUNDS	INVESCO MAIN STREET SMALL CAP FUND CL Y	Y	NO LOAD	OPEN	1600	0	0
OSCNX	INVESCO FUNDS	INVESCO MAIN STREET SMALL CAP R	R	NO LOAD	REDEEM ONLY	1600	0	0
MNSQX	INVESCO FUNDS	INVESCO MAIN STREET SMALL CAP R5	R5	NO LOAD	REDEEM ONLY	1600	10000000	10000000
OSSIX	INVESCO FUNDS	INVESCO MAIN STREET SMALL CAP R6	R6	NO LOAD	REDEEM ONLY	1600	10000000	10000000
OAMIX	INVESCO FUNDS	INVESCO MODERATE INVESTOR FD CL A	A	LOAD	OPEN	1600	0	0
OCMIX	INVESCO FUNDS	INVESCO MODERATE INVESTOR FD CL C	C	LOAD	OPEN	1600	500	1000
ONMIX	INVESCO FUNDS	INVESCO MODERATE INVESTOR FD CL R	R	NO LOAD	REDEEM ONLY	1600	0	0
OYMIX	INVESCO FUNDS	INVESCO MODERATE INVESTOR FD CL Y	Y	NO LOAD	OPEN	1600	0	0
CAAMX	INVESCO FUNDS	INVESCO MODERATELY CONSERVATIVE INVESTOR FD CL A	A	LOAD	OPEN	1600	250	1000
CACMX	INVESCO FUNDS	INVESCO MODERATELY CONSERVATIVE INVESTOR FD CL C	C	LOAD	OPEN	1600	250	1000
VSQAX	INVESCO FUNDS	INVESCO MSCI WORLD SRI INDEX A	A	LOAD	OPEN	1600	250	1000
PICFX	INVESCO FUNDS	INVESCO MULTI ASSET INCM FD C	C	LOAD	OPEN	1600	250	1000
PIRFX	INVESCO FUNDS	INVESCO MULTI ASSET INCM FD R	R	NO LOAD	REDEEM ONLY	1600	0	0
PIAFX	INVESCO FUNDS	INVESCO MULTI ASSET INCM A	A	LOAD	OPEN	1600	250	1000
PIYFX	INVESCO FUNDS	INVESCO MULTI ASSET INCM Y	Y	NO LOAD	OPEN	1600	0	0
PIFFX	INVESCO FUNDS	INVESCO MULTI ASSET INCOME R6	R6	NO LOAD	REDEEM ONLY	1600	10000000	10000000
VKMMX	INVESCO FUNDS	INVESCO MUNI INCM A	A	LOAD	OPEN	1600	250	1000
VMICX	INVESCO FUNDS	INVESCO MUNI INCM C	C	LOAD	OPEN	1600	250	1000
VMINX	INVESCO FUNDS	INVESCO MUNI INCM INV CL	INVESTOR	NO LOAD	OPEN	1600	100	100
VMII	INVESCO FUNDS	INVESCO MUNI INCM Y	Y	NO LOAD	OPEN	1600	0	0
VKMSX	INVESCO FUNDS	INVESCO MUNICIPAL INCOME R6	R6	NO LOAD	REDEEM ONLY	1600	10000000	10000000
IVNQX	INVESCO FUNDS	INVESCO NASDAQ 100 INDEX R6	R6	NO LOAD	REDEEM ONLY	1600	10000000	10000000
ONJAX	INVESCO FUNDS	INVESCO NEW JERSEY MUNICIPAL FUND CL A	A	LOAD	OPEN	1600	0	0

SYMBOL	FUND FAMILY	FUND DESCRIPTION	SHARE CLASS	FUND TYPE	STATUS	CUT- OFF	IRA MIN	NON-IRA MIN
ONJCX	INVESCO FUNDS	INVESCO NEW JERSEY MUNICIPAL FUND CL C	C	LOAD	OPEN	1600	500	1000
ONJYX	INVESCO FUNDS	INVESCO NEW JERSEY MUNICIPAL FUND CL Y	Y	NO LOAD	OPEN	1600	0	0
IORJX	INVESCO FUNDS	INVESCO NEW JERSEY MUNICIPAL R6	R6	NO LOAD	REDEEM ONLY	1600	10000000	10000000
OIGAX	INVESCO FUNDS	INVESCO OPPENHEIMER INTL GWTH FD CL A	A	LOAD	OPEN	1600	0	0
OIGCX	INVESCO FUNDS	INVESCO OPPENHEIMER INTL GWTH FD CL C	C	LOAD	OPEN	1600	500	1000
OIGNX	INVESCO FUNDS	INVESCO OPPENHEIMER INTL GWTH FD CL R	R	NO LOAD	REDEEM ONLY	1600	0	0
OIGIX	INVESCO FUNDS	INVESCO OPPENHEIMER INTL GWTH FD CL R6	R6	NO LOAD	REDEEM ONLY	1600	1000000	1000000
OIGYX	INVESCO FUNDS	INVESCO OPPENHEIMER INTL GWTH FD CL Y	Y	NO LOAD	OPEN	1600	0	0
OPATX	INVESCO FUNDS	INVESCO PENNSYLVANIA MUNICIPAL FUND CL A	A	LOAD	OPEN	1600	0	0
OPACX	INVESCO FUNDS	INVESCO PENNSYLVANIA MUNICIPAL FUND CL C	C	LOAD	OPEN	1600	500	1000
OPAYX	INVESCO FUNDS	INVESCO PENNSYLVANIA MUNICIPAL FUND CL Y	Y	NO LOAD	OPEN	1600	0	0
IORPX	INVESCO FUNDS	INVESCO PENNSYLVANIA MUNICIPALR6	R6	NO LOAD	REDEEM ONLY	1600	100000000	100000000
IMRXX	INVESCO FUNDS	INVESCO PREMIER PORTFOLIO INV	INVESTOR	NO LOAD	OPEN	1600	250	1000
IUGXX	INVESCO FUNDS	INVESCO PREMIER US GOVERNMENT MONEY INST	INSTITUTIONAL	NO LOAD	REDEEM ONLY	1600	100000000	100000000
FUGXX	INVESCO FUNDS	INVESCO PREMIER US GOVT MONEY PORTFOLIO INV	INVESTOR	NO LOAD	OPEN	1600	250	1000
VKMGX	INVESCO FUNDS	INVESCO QUALITY INCOME FD CL A	A	LOAD	OPEN	1600	250	1000
VUSCX	INVESCO FUNDS	INVESCO QUALITY INCOME FD CL C	C	LOAD	OPEN	1600	250	1000
VUSIX	INVESCO FUNDS	INVESCO QUALITY INCOME FD CL Y	Y	NO LOAD	OPEN	1600	0	0
VUSRX	INVESCO FUNDS	INVESCO QUALITY INCOME FUND CL R	R	NO LOAD	REDEEM ONLY	1600	0	0
VUSSX	INVESCO FUNDS	INVESCO QUALITY INCOME R6	R6	NO LOAD	REDEEM ONLY	1600	100000000	100000000
IARCX	INVESCO FUNDS	INVESCO REAL ESTATE C	C	LOAD	OPEN	1600	250	1000
IARRX	INVESCO FUNDS	INVESCO REAL ESTATE FD	R	NO LOAD	REDEEM ONLY	1600	0	0
IARAX	INVESCO FUNDS	INVESCO REAL ESTATE FD CL A	A	LOAD	OPEN	1600	250	1000
IARIX	INVESCO FUNDS	INVESCO REAL ESTATE FD CL R5	R5	NO LOAD	REDEEM ONLY	1600	10000000	10000000
IARFX	INVESCO FUNDS	INVESCO REAL ESTATE FD CL R6	R6	NO LOAD	REDEEM ONLY	1600	0	0
IARYX	INVESCO FUNDS	INVESCO REAL ESTATE FD CL Y	Y	NO LOAD	OPEN	1600	0	0
REINX	INVESCO FUNDS	INVESCO REAL ESTATE FD INV CL	INVESTOR	NO LOAD	OPEN	1600	100	100
OARDX	INVESCO FUNDS	INVESCO RISING DIVIDENDS FUND CL A	A	LOAD	OPEN	1600	0	0
OCRDY	INVESCO FUNDS	INVESCO RISING DIVIDENDS FUND CL C	C	LOAD	OPEN	1600	500	1000
ONRDY	INVESCO FUNDS	INVESCO RISING DIVIDENDS FUND CL R	R	NO LOAD	REDEEM ONLY	1600	0	0
OIRDY	INVESCO FUNDS	INVESCO RISING DIVIDENDS FUND CL R6	R6	NO LOAD	REDEEM ONLY	1600	1000000	1000000
OYRDY	INVESCO FUNDS	INVESCO RISING DIVIDENDS FUND CL Y	Y	NO LOAD	OPEN	1600	0	0
OPNYX	INVESCO FUNDS	INVESCO ROCHESTER AMT FREE NEW YORK MUNICIPAL FUND A	A	LOAD	OPEN	1600	0	0
ONYCX	INVESCO FUNDS	INVESCO ROCHESTER AMT FREE NEW YORK MUNICIPAL FUND C	C	LOAD	OPEN	1600	500	1000
ONYYX	INVESCO FUNDS	INVESCO ROCHESTER AMT FREE NEW YORK MUNICIPAL FUND Y	Y	NO LOAD	OPEN	1600	0	0
IORNX	INVESCO FUNDS	INVESCO ROCHESTER AMTFREE NY MNCPL R6	R6	NO LOAD	REDEEM ONLY	1600	100000000	100000000
LTBYX	INVESCO FUNDS	INVESCO ROCHESTER LIMITED TERM NEW YORK MUNICIPAL FUND Y	Y	NO LOAD	OPEN	1600	0	0
LTNYX	INVESCO FUNDS	INVESCO ROCHESTER LIMITED TERM NEW YORK MUNICIPAL FUND A	A	LOAD	OPEN	1600	0	0
IORMX	INVESCO FUNDS	INVESCO ROCHESTER LIMITED TM NY MNCPLR6	R6	NO LOAD	REDEEM ONLY	1600	100000000	100000000
LTNCX	INVESCO FUNDS	INVESCO ROCHESTER LTD TERM NY MUNI FD CL C	C	LOAD	OPEN	1600	500	1000
ORNAX	INVESCO FUNDS	INVESCO ROCHESTER MUNICIPAL OPPORTUNITIES FUND CL A	A	LOAD	OPEN	1600	0	0

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ORNCX	INVESCO FUNDS	INVESCO ROCHESTER MUNICIPAL OPPORTUNITIES FUND CL C	C	LOAD	OPEN	1600	500	1000
ORNYX	INVESCO FUNDS	INVESCO ROCHESTER MUNICIPAL OPPORTUNITIES FUND CL Y	Y	NO LOAD	OPEN	1600	0	0
IORYX	INVESCO FUNDS	INVESCO ROCHESTER MUNICIPAL OPPS R6	R6	NO LOAD	REDEEM ONLY	1600	100000000	10000000
RMUNX	INVESCO FUNDS	INVESCO ROCHESTER NEW YORK MUNICIPAL FD CL A	A	LOAD	OPEN	1600	0	0
RMUCX	INVESCO FUNDS	INVESCO ROCHESTER NEW YORK MUNICIPAL FD CL C	C	LOAD	OPEN	1600	500	1000
RMUYX	INVESCO FUNDS	INVESCO ROCHESTER NEW YORK MUNICIPAL FD CL Y	Y	NO LOAD	OPEN	1600	0	0
IORUX	INVESCO FUNDS	INVESCO ROCHESTER NEW YORK MUNICIPALSR6	R6	NO LOAD	REDEEM ONLY	1600	100000000	10000000
SPIAX	INVESCO FUNDS	INVESCO S&P 500 INDEX FD CL A	A	LOAD	OPEN	1600	250	1000
SPICX	INVESCO FUNDS	INVESCO S&P 500 INDEX FD CL C	C	LOAD	OPEN	1600	250	1000
SPIDX	INVESCO FUNDS	INVESCO S&P 500 INDEX FD CL Y	Y	NO LOAD	OPEN	1600	0	0
SPISX	INVESCO FUNDS	INVESCO S&P 500 INDEX R6	R6	NO LOAD	REDEEM ONLY	1600	100000000	10000000
PXCCX	INVESCO FUNDS	INVESCO SELECT RISK CONSERVATIVE INV R6	R6	NO LOAD	REDEEM ONLY	1600	100000000	10000000
AADRX	INVESCO FUNDS	INVESCO SELECT RISK GROWTH INVESTOR R	R	NO LOAD	REDEEM ONLY	1600	0	0
AADIX	INVESCO FUNDS	INVESCO SELECT RISK GROWTH INVESTOR R5	R5	NO LOAD	REDEEM ONLY	1600	10000000	10000000
AAESX	INVESCO FUNDS	INVESCO SELECT RISK GROWTH INVESTOR R6	R6	NO LOAD	REDEEM ONLY	1600	100000000	10000000
PXGGX	INVESCO FUNDS	INVESCO SELECT RISK HIGH GROWTH INV R6	R6	NO LOAD	REDEEM ONLY	1600	100000000	10000000
CMARX	INVESCO FUNDS	INVESCO SELECT RISK MOD CNSV INV R	R	NO LOAD	REDEEM ONLY	1600	0	0
CMAIX	INVESCO FUNDS	INVESCO SELECT RISK MOD CNSV INV R5	R5	NO LOAD	REDEEM ONLY	1600	10000000	10000000
CNSSX	INVESCO FUNDS	INVESCO SELECT RISK MOD CNSV INV R6	R6	NO LOAD	REDEEM ONLY	1600	100000000	10000000
CAAYX	INVESCO FUNDS	INVESCO SELECT RISK MOD CNSV INV Y	Y	NO LOAD	OPEN	1600	0	0
PXMMX	INVESCO FUNDS	INVESCO SELECT RISK MODERATE INV R6	R6	NO LOAD	REDEEM ONLY	1600	100000000	10000000
OOSAX	INVESCO FUNDS	INVESCO SENIOR FLOATING RATE FUND CL A	A	LOAD	OPEN	1600	0	0
OOSCX	INVESCO FUNDS	INVESCO SENIOR FLOATING RATE FUND CL C	C	LOAD	OPEN	1600	500	1000
OOSNX	INVESCO FUNDS	INVESCO SENIOR FLOATING RATE FUND CL R	R	NO LOAD	REDEEM ONLY	1600	0	0
OOSIX	INVESCO FUNDS	INVESCO SENIOR FLOATING RATE FUND CL R6	R6	NO LOAD	REDEEM ONLY	1600	1000000	1000000
OOSYX	INVESCO FUNDS	INVESCO SENIOR FLOATING RATE FUND CL Y	Y	NO LOAD	OPEN	1600	0	0
VSLYX	INVESCO FUNDS	INVESCO SENIOR LOAN FD CL Y	Y	NO LOAD	REDEEM ONLY	1600	0	0
XPRTX	INVESCO FUNDS	INVESCO SENIOR LOAN IB	IB	NO LOAD	REDEEM ONLY	1600	0	0
ISHAX	INVESCO FUNDS	INVESCO SHORT DURATION HIGH YLD MUNI A	A	LOAD	OPEN	1600	250	1000
ISHCX	INVESCO FUNDS	INVESCO SHORT DURATION HIGH YLD MUNI C	C	LOAD	OPEN	1600	250	1000
ISHSX	INVESCO FUNDS	INVESCO SHORT DURATION HIGH YLD MUNI R6	R6	NO LOAD	REDEEM ONLY	1600	100000000	10000000
ISHYX	INVESCO FUNDS	INVESCO SHORT DURATION HIGH YLD MUNI Y	Y	NO LOAD	OPEN	1600	0	0
SDPSX	INVESCO FUNDS	INVESCO SHORT DURATION INFLATION PROT R6	R6	NO LOAD	REDEEM ONLY	1600	100000000	10000000
LMTAX	INVESCO FUNDS	INVESCO SHORT DURATION INFLATION PROTECTED A	A	LOAD	OPEN	1600	250	1000
SHTIX	INVESCO FUNDS	INVESCO SHORT DURATION INFLATION PROTECTED A2	A2	LOAD WAIVED	REDEEM ONLY	1600	250	1000
LMTYX	INVESCO FUNDS	INVESCO SHORT DURATION INFLATION PROTECTED Y	Y	NO LOAD	OPEN	1600	0	0
STBCX	INVESCO FUNDS	INVESCO SHORT TERM BD C	C	LOAD	OPEN	1600	250	1000
STBRX	INVESCO FUNDS	INVESCO SHORT TERM BD R	R	NO LOAD	REDEEM ONLY	1600	0	0
STBYX	INVESCO FUNDS	INVESCO SHORT TERM BD Y	Y	NO LOAD	OPEN	1600	0	0
STBAX	INVESCO FUNDS	INVESCO SHORT TERM BOND FD CL A	A	LOAD	OPEN	1600	250	1000
ISTBX	INVESCO FUNDS	INVESCO SHORT TERM BOND R5	R5	NO LOAD	REDEEM ONLY	1600	10000000	10000000

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ISTFX	INVESCO FUNDS	INVESCO SHORT TERM BOND R6	R6	NO LOAD	REDEEM ONLY	1600	100000000	10000000
ORSTX	INVESCO FUNDS	INVESCO SHORT TERM MUNICIPAL FUND CL A	A	NO LOAD	OPEN	1600	0	0
ORSYX	INVESCO FUNDS	INVESCO SHORT TERM MUNICIPAL FUND CL Y	Y	NO LOAD	OPEN	1600	0	0
STMUX	INVESCO FUNDS	INVESCO SHORT TERM MUNICIPAL R6	R6	NO LOAD	REDEEM ONLY	1600	100000000	10000000
AGMXX	INVESCO FUNDS	INVESCO SHRTRM INV GOV&AGCY CSH MGMT	CASH MANAGEM	NO LOAD	OPEN	1600	100000	100000
AGPXX	INVESCO FUNDS	INVESCO SHRTRM INV GOV&AGCY INSTL	INSTITUTIONAL	NO LOAD	REDEEM ONLY	1600	100000000	1000
GPVXX	INVESCO FUNDS	INVESCO SHRTRM INV GOV&AGCY PRIV INV	PRIVATE INVESTM	LOAD	OPEN	1600	1000	1000
TREXX	INVESCO FUNDS	INVESCO SHRTRM INV TREASURY RESERVE	RESERVE	LOAD	OPEN	1600	0	1000
SMHYX	INVESCO FUNDS	INVESCO SMA HIGH YIELD BD FD	NO LOAD	NO LOAD	REDEEM ONLY	1600	1000	1000
SMBMX	INVESCO FUNDS	INVESCO SMA MUNICIPAL BOND	NO LOAD	NO LOAD	REDEEM ONLY	1600	1000	1000
SMEIX	INVESCO FUNDS	INVESCO SMALL CAP EQTY FD CL R5	R5	NO LOAD	REDEEM ONLY	1600	10000000	10000000
SMEFX	INVESCO FUNDS	INVESCO SMALL CAP EQTY FD CL R6	R6	NO LOAD	REDEEM ONLY	1600	100000000	10000000
SMEYX	INVESCO FUNDS	INVESCO SMALL CAP EQTY FD CL Y	Y	NO LOAD	OPEN	1600	0	0
SMECX	INVESCO FUNDS	INVESCO SMALL CAP EQUITY FD CL C	C	LOAD	OPEN	1600	250	1000
SMEAX	INVESCO FUNDS	INVESCO SMALL CAP EQUITY FUND CL A	A	LOAD	OPEN	1600	250	1000
SMERX	INVESCO FUNDS	INVESCO SMALL CAP EQUITY R	R	NO LOAD	REDEEM ONLY	1600	0	0
GTSAX	INVESCO FUNDS	INVESCO SMALL CAP GROWTH FD CL A	A	LOAD	OPEN	1600	250	1000
GTSDX	INVESCO FUNDS	INVESCO SMALL CAP GROWTH FD CL C	C	LOAD	SUBS ONLY	1600	250	1000
GTSFX	INVESCO FUNDS	INVESCO SMALL CAP GROWTH FD CL R6	R6	NO LOAD	REDEEM ONLY	1600	0	0
GTSYX	INVESCO FUNDS	INVESCO SMALL CAP GROWTH FD CL Y	Y	NO LOAD	SUBS ONLY	1600	0	0
GTSIX	INVESCO FUNDS	INVESCO SMALL CAP GROWTH INV CL	INVESTOR	NO LOAD	SUBS ONLY	1600	100	100
GTSRX	INVESCO FUNDS	INVESCO SMALL CAP GROWTH R	R	NO LOAD	REDEEM ONLY	1600	0	0
GTSVX	INVESCO FUNDS	INVESCO SMALL CAP GROWTH R5	R5	NO LOAD	REDEEM ONLY	1600	10000000	10000000
VSCAX	INVESCO FUNDS	INVESCO SMALL CAP VALUE A	A	LOAD	OPEN	1600	250	1000
VSMCX	INVESCO FUNDS	INVESCO SMALL CAP VALUE C	C	LOAD	OPEN	1600	250	1000
SMVSX	INVESCO FUNDS	INVESCO SMALL CAP VALUE FD CL R6	R6	NO LOAD	REDEEM ONLY	1600	10000000	10000000
VSRAx	INVESCO FUNDS	INVESCO SMALL CAP VALUE R	R	NO LOAD	REDEEM ONLY	1600	0	0
VSMIX	INVESCO FUNDS	INVESCO SMALL CAP VALUE Y	Y	NO LOAD	OPEN	1600	0	0
MLPAX	INVESCO FUNDS	INVESCO STEELPATH MLP ALPHA FUND CL A	A	LOAD	OPEN	1600	0	0
MLPGX	INVESCO FUNDS	INVESCO STEELPATH MLP ALPHA FUND CL C	C	LOAD	OPEN	1600	500	1000
OSPAX	INVESCO FUNDS	INVESCO STEELPATH MLP ALPHA FUND CL R6	R6	NO LOAD	REDEEM ONLY	1600	1000000	1000000
MLPOX	INVESCO FUNDS	INVESCO STEELPATH MLP ALPHA FUND CL Y	Y	NO LOAD	OPEN	1600	0	0
MLPLX	INVESCO FUNDS	INVESCO STEELPATH MLP ALPHA PLUS FUND CL A	A	LOAD	OPEN	1600	0	0
MLPMX	INVESCO FUNDS	INVESCO STEELPATH MLP ALPHA PLUS FUND CL C	C	LOAD	OPEN	1600	500	1000
MLPNX	INVESCO FUNDS	INVESCO STEELPATH MLP ALPHA PLUS FUND CL Y	Y	NO LOAD	OPEN	1600	0	0
OSPPX	INVESCO FUNDS	INVESCO STEELPATH MLP ALPHA PLUS R6	R6	NO LOAD	REDEEM ONLY	1600	100000000	10000000
SPMGX	INVESCO FUNDS	INVESCO STEELPATH MLP ALPHA R	R	NO LOAD	REDEEM ONLY	1600	0	0
MLPDX	INVESCO FUNDS	INVESCO STEELPATH MLP INCOME FUND CL A	A	LOAD	OPEN	1600	0	0
MLPRX	INVESCO FUNDS	INVESCO STEELPATH MLP INCOME FUND CL C	C	LOAD	OPEN	1600	500	1000
OSPMX	INVESCO FUNDS	INVESCO STEELPATH MLP INCOME FUND CL R6	R6	NO LOAD	REDEEM ONLY	1600	1000000	1000000
MLPZX	INVESCO FUNDS	INVESCO STEELPATH MLP INCOME FUND CL Y	Y	NO LOAD	OPEN	1600	0	0

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SPNNX	INVESCO FUNDS	INVESCO STEELPATH MLP INCOME R	R	NO LOAD	REDEEM ONLY	1600	0	0
MLPFX	INVESCO FUNDS	INVESCO STEELPATH MLP SELECT 40 FUND CL A	A	LOAD	OPEN	1600	0	0
MLPEX	INVESCO FUNDS	INVESCO STEELPATH MLP SELECT 40 FUND CL C	C	LOAD	OPEN	1600	500	1000
OSPSX	INVESCO FUNDS	INVESCO STEELPATH MLP SELECT 40 FUND CL R6	R6	NO LOAD	REDEEM ONLY	1600	1000000	1000000
MLPTX	INVESCO FUNDS	INVESCO STEELPATH MLP SELECT 40 FUND CL Y	Y	NO LOAD	OPEN	1600	0	0
SPMWX	INVESCO FUNDS	INVESCO STEELPATH MLP SELECT 40 R	R	NO LOAD	REDEEM ONLY	1600	0	0
CSMMX	INVESCO FUNDS	INVESCO SUMMIT C	C	LOAD	OPEN	1600	250	1000
ASMMX	INVESCO FUNDS	INVESCO SUMMIT FD CL A	A	LOAD	OPEN	1600	250	1000
ASMYX	INVESCO FUNDS	INVESCO SUMMIT FD CL Y	Y	NO LOAD	OPEN	1600	0	0
SMISX	INVESCO FUNDS	INVESCO SUMMIT R6	R6	NO LOAD	REDEEM ONLY	1600	10000000	10000000
ITHCX	INVESCO FUNDS	INVESCO TECH C	C	LOAD	OPEN	1600	250	1000
ITYAX	INVESCO FUNDS	INVESCO TECH CLASS A	A	LOAD	OPEN	1600	250	1000
ITYYX	INVESCO FUNDS	INVESCO TECH FD CL Y	Y	NO LOAD	OPEN	1600	0	0
FTCHX	INVESCO FUNDS	INVESCO TECHNOLOGY FUND INVESTOR CLASS	INVESTOR	NO LOAD	OPEN	1600	100	100
FTPIX	INVESCO FUNDS	INVESCO TECHNOLOGY R5	R5	NO LOAD	REDEEM ONLY	1600	10000000	10000000
FTPSX	INVESCO FUNDS	INVESCO TECHNOLOGY R6	R6	NO LOAD	REDEEM ONLY	1600	10000000	10000000
IPPXX	INVESCO FUNDS	INVESCO TREASURERS SER TR PREM INSTL	INSTITUTIONAL	NO LOAD	REDEEM ONLY	1600	10000000	1000
TRPXX	INVESCO FUNDS	INVESCO TREASURY PORTFOLIO INST	INSTITUTIONAL	NO LOAD	REDEEM ONLY	1600	10000000	1000
OMBXX	INVESCO FUNDS	INVESCO U. S. GOVERNMENT MONEY PORTFOLIO CL Y	Y	NO LOAD	OPEN	1600	0	0
GMQXX	INVESCO FUNDS	INVESCO U.S. GOVERNMENT MONEY PTF ICR	CASH RESERVE	LOAD	OPEN	1600	250	1000
VVORX	INVESCO FUNDS	INVESCO VALUE OPPORTUNITIES R	R	NO LOAD	REDEEM ONLY	1600	0	0
VVOSX	INVESCO FUNDS	INVESCO VALUE OPPORTUNITIES R6	R6	NO LOAD	REDEEM ONLY	1600	10000000	10000000
VVOCX	INVESCO FUNDS	INVESCO VALUE OPPTS FD CL C	C	LOAD	OPEN	1600	250	1000
VVOAX	INVESCO FUNDS	INVESCO VALUE OPPTY A	A	LOAD	OPEN	1600	250	1000
VVONX	INVESCO FUNDS	INVESCO VALUE OPPTY R5	R5	NO LOAD	REDEEM ONLY	1600	10000000	10000000
VVOIX	INVESCO FUNDS	INVESCO VALUE OPPTY Y	Y	NO LOAD	OPEN	1600	0	0
TIHGX	INVESTMENT HOUSE	THE INVESTMENT HOUSE GROWTH FD	NO LOAD	NO LOAD	OPEN	1600	1000	1000
IPSAX	IPS STRATEGIC	IPS STRATEGIC CAPITAL ABSOLUTE RETURN INST	INSTITUTIONAL	NO LOAD	OPEN	1600	0	0
IRONX	IRONCLAD FUNDS	IRONCLAD MGD RISK	NO LOAD	NO LOAD	OPEN	1600	2500	2500
LIONX	ISSACHAR	ISSACHAR FD CL N	N	NO LOAD	OPEN	1600	100	100
LIOTX	ISSACHAR	ISSACHAR I	I	NO LOAD	OPEN	1600	0	0
OBOCX	J.P. MORGAN	JP MORGAN CORE BD CL C	C	LOAD	OPEN	1600	1000	1000
WOBDX	J.P. MORGAN	JP MORGAN CORE BOND FUND CL I	I	NO LOAD	OPEN	1600	100000	100000
ONIAx	J.P. MORGAN	JP MORGAN CORE PLUS BOND FUND CL A	A	LOAD	OPEN	1600	1000	1000
HLIPX	J.P. MORGAN	JP MORGAN CORE PLUS BOND FUND CL I	I	NO LOAD	OPEN	1600	100000	100000
JDVSX	J.P. MORGAN	JP MORGAN DIVERSIFIED CL I	I	NO LOAD	OPEN	1600	100000	100000
JEMDX	J.P. MORGAN	JP MORGAN EMERGING MARKETS DEBT FUND CL I	I	NO LOAD	OPEN	1600	100000	100000
JEMSX	J.P. MORGAN	JP MORGAN EMERGING MARKETS EQUITY FUND CL I	I	NO LOAD	SUBS ONLY	1600	100000	100000
OIEIX	J.P. MORGAN	JP MORGAN EQUITY INCOME CL A	A	LOAD	OPEN	1600	1000	1000
HLEIX	J.P. MORGAN	JP MORGAN EQUITY INCOME FUND CL I	I	NO LOAD	OPEN	1600	100000	100000
HLEIX	J.P. MORGAN	JP MORGAN EQUITY INDEX CL I	I	NO LOAD	OPEN	1600	100000	100000

SYMBOL	FUND FAMILY	FUND DESCRIPTION	SHARE CLASS	FUND TYPE	STATUS	CUT- OFF	IRA MIN	NON-IRA MIN
OGEAX	J.P. MORGAN	JP MORGAN EQUITY INDEX FUND CL A	A	LOAD	OPEN	1600	1000	1000
VEUAX	J.P. MORGAN	JP MORGAN EUROPE DYNAMIC FD CL A	A	LOAD	OPEN	1600	1000	1000
VEUCX	J.P. MORGAN	JP MORGAN EUROPE DYNAMIC FD CL C	C	LOAD	OPEN	1600	1000	1000
JFESX	J.P. MORGAN	JP MORGAN EUROPE DYNAMIC FD CL I	I	NO LOAD	OPEN	1600	100000	100000
JFEIX	J.P. MORGAN	JP MORGAN EUROPE DYNAMIC FD CL L	L	NO LOAD	SUBS ONLY	1600	3000000	3000000
JPHSX	J.P. MORGAN	JP MORGAN FLOATING RATE INCM FD CL I	I	NO LOAD	OPEN	1600	100000	100000
GAOSX	J.P. MORGAN	JP MORGAN GLOBAL ALLOC CL I	I	NO LOAD	OPEN	1600	100000	100000
GBOSX	J.P. MORGAN	JP MORGAN GLOBAL BOND OPPTY FD CL I	I	NO LOAD	OPEN	1600	100000	100000
HLGAX	J.P. MORGAN	JP MORGAN GOVERNMENT BOND CL I	I	NO LOAD	OPEN	1600	100000	100000
JGASX	J.P. MORGAN	JP MORGAN GROWTH ADVANTAGE CL I	I	NO LOAD	OPEN	1600	100000	100000
VHIAx	J.P. MORGAN	JP MORGAN GROWTH ADVANTAGE FUND CL A	A	LOAD	OPEN	1600	1000	1000
JHEQX	J.P. MORGAN	JP MORGAN HEDGED EQTY FD CL I	I	NO LOAD	OPEN	1600	100000	100000
OHYAX	J.P. MORGAN	JP MORGAN HIGH YIELD FD CL A	A	LOAD	OPEN	1600	1000	1000
OGHCX	J.P. MORGAN	JP MORGAN HIGH YIELD FD CL C	C	LOAD	OPEN	1600	1000	1000
OHYFX	J.P. MORGAN	JP MORGAN HIGH YIELD FD CL I	I	NO LOAD	OPEN	1600	100000	100000
JYHRX	J.P. MORGAN	JP MORGAN HIGH YIELD FD CL R5	R5	NO LOAD	REDEEM ONLY	1600	0	0
JNBSX	J.P. MORGAN	JP MORGAN INCM BUILDER FD CL I	I	NO LOAD	OPEN	1600	100000	100000
JIECX	J.P. MORGAN	JP MORGAN INTL EQTY FD CL C	C	LOAD	OPEN	1600	1000	1000
JSEAX	J.P. MORGAN	JP MORGAN INTL EQUITY FUND CL A	A	LOAD	OPEN	1600	1000	1000
VSIEX	J.P. MORGAN	JP MORGAN INTL EQUITY FUND CL I	I	NO LOAD	OPEN	1600	100000	100000
JIVAX	J.P. MORGAN	JP MORGAN INTREPID VALUE CL A	A	LOAD	OPEN	1600	1000	1000
JPIVX	J.P. MORGAN	JP MORGAN INTREPID VALUE CL I	I	NO LOAD	OPEN	1600	100000	100000
JIVCX	J.P. MORGAN	JP MORGAN INTREPID VALUE FD CL C	C	LOAD	OPEN	1600	1000	1000
OIBFX	J.P. MORGAN	JP MORGAN INV BALANCED CL I	I	NO LOAD	OPEN	1600	100000	100000
ONCFX	J.P. MORGAN	JP MORGAN INV CONSERV GROWTH CL I	I	NO LOAD	OPEN	1600	100000	100000
OICAX	J.P. MORGAN	JP MORGAN INV CONSERVATIVE GROWTH CL A	A	LOAD	OPEN	1600	500	500
OCGCX	J.P. MORGAN	JP MORGAN INV CONSERVATIVE GROWTH CL C	C	LOAD	OPEN	1600	500	500
ONGFX	J.P. MORGAN	JP MORGAN INV GROWTH AND INCOME CL I	I	NO LOAD	OPEN	1600	100000	100000
ONIFX	J.P. MORGAN	JP MORGAN INV GROWTH CL I	I	NO LOAD	OPEN	1600	100000	100000
OLGAX	J.P. MORGAN	JP MORGAN LARGE CAP GROWTH FUND CL A	A	LOAD	OPEN	1600	1000	1000
SEEGX	J.P. MORGAN	JP MORGAN LARGE CAP GROWTH FUND CL I	I	NO LOAD	OPEN	1600	100000	100000
HLQVX	J.P. MORGAN	JP MORGAN LARGE CAP VALUE CL I	I	NO LOAD	OPEN	1600	100000	100000
VSNEX	J.P. MORGAN	JP MORGAN MID CAP EQTY CL I	I	NO LOAD	OPEN	1600	100000	100000
HLGEX	J.P. MORGAN	JP MORGAN MID CAP GROWTH CL I	I	NO LOAD	OPEN	1600	100000	100000
JMVX	J.P. MORGAN	JP MORGAN MID CAP VALUE FUND CL I	I	NO LOAD	OPEN	1600	100000	100000
JAMCX	J.P. MORGAN	JP MORGAN MIDCAP VALUE FUND CL A	A	LOAD	OPEN	1600	1000	1000
OMBIX	J.P. MORGAN	JP MORGAN MORTGAGE BACKED SECURITIES CL I	I	NO LOAD	OPEN	1600	100000	100000
JMNSX	J.P. MORGAN	JP MORGAN RESEARCH MARKET NEUTRAL CL I	I	NO LOAD	OPEN	1600	100000	100000
HLLVX	J.P. MORGAN	JP MORGAN SHORT DURATION BOND FUND CL I	I	NO LOAD	OPEN	1600	100000	100000
VSCOX	J.P. MORGAN	JP MORGAN SMALL CAP BLEND FD CL A	A	LOAD	OPEN	1600	1000	1000
VSCCX	J.P. MORGAN	JP MORGAN SMALL CAP BLEND FD CL C	C	LOAD	OPEN	1600	1000	1000

SYMBOL	FUND FAMILY	FUND DESCRIPTION	SHARE CLASS	FUND TYPE	STATUS	CUT- OFF	IRA MIN	NON-IRA MIN
JDSCX	J.P. MORGAN	JP MORGAN SMALL CAP BLEND FD CL I	I	NO LOAD	OPEN	1600	100000	100000
VSEAX	J.P. MORGAN	JP MORGAN SMALL CAP EQUITY FUND CL A	A	LOAD	OPEN	1600	1000	1000
OGGFX	J.P. MORGAN	JP MORGAN SMALL CAP GROWTH FUND CL I	I	NO LOAD	SUBS ONLY	1600	100000	100000
PSOPX	J.P. MORGAN	JP MORGAN SMALL CAP VALUE FUND CL I	I	NO LOAD	OPEN	1600	100000	100000
VSEIX	J.P. MORGAN	JP MORGAN SMALLCAP EQUITY FUND CL I	I	NO LOAD	OPEN	1600	100000	100000
JTTSX	J.P. MORGAN	JP MORGAN SMARTRETIREMENT 2020 CL I	I	NO LOAD	OPEN	1600	100000	100000
JNSSX	J.P. MORGAN	JP MORGAN SMARTRETIREMENT 2025 CL I	I	NO LOAD	OPEN	1600	100000	100000
JSMSX	J.P. MORGAN	JP MORGAN SMARTRETIREMENT 2030 CL I	I	NO LOAD	OPEN	1600	100000	100000
SRJSX	J.P. MORGAN	JP MORGAN SMARTRETIREMENT 2035 CL I	I	NO LOAD	OPEN	1600	100000	100000
SMTSX	J.P. MORGAN	JP MORGAN SMARTRETIREMENT 2040 CL I	I	NO LOAD	OPEN	1600	100000	100000
JSASX	J.P. MORGAN	JP MORGAN SMARTRETIREMENT 2045 CL I	I	NO LOAD	OPEN	1600	100000	100000
JTSSX	J.P. MORGAN	JP MORGAN SMARTRETIREMENT 2050 CL I	I	NO LOAD	OPEN	1600	100000	100000
JFFSX	J.P. MORGAN	JP MORGAN SMARTRETIREMENT 2055 CL I	I	NO LOAD	OPEN	1600	100000	100000
JSSRX	J.P. MORGAN	JP MORGAN SMARTRETIREMENT BLEND 2020 FD CL I	I	NO LOAD	OPEN	1600	100000	100000
JBSSX	J.P. MORGAN	JP MORGAN SMARTRETIREMENT BLEND 2025 FD CL I	I	NO LOAD	OPEN	1600	100000	100000
JRBEX	J.P. MORGAN	JP MORGAN SMARTRETIREMENT BLEND 2030 FD CL I	I	NO LOAD	OPEN	1600	100000	100000
JPSRX	J.P. MORGAN	JP MORGAN SMARTRETIREMENT BLEND 2035 FD CL I	I	NO LOAD	OPEN	1600	100000	100000
JOBEX	J.P. MORGAN	JP MORGAN SMARTRETIREMENT BLEND 2040 FD CL I	I	NO LOAD	OPEN	1600	100000	100000
JMSSX	J.P. MORGAN	JP MORGAN SMARTRETIREMENT BLEND 2045 FD CL I	I	NO LOAD	OPEN	1600	100000	100000
JNEAX	J.P. MORGAN	JP MORGAN SMARTRETIREMENT BLEND 2050 FD CL I	I	NO LOAD	OPEN	1600	100000	100000
JPTBX	J.P. MORGAN	JP MORGAN SMARTRETIREMENT BLEND 2055 FD CL I	I	NO LOAD	OPEN	1600	100000	100000
JISX	J.P. MORGAN	JP MORGAN SMARTRETIREMENT BLEND INCM FD CL I	I	NO LOAD	OPEN	1600	100000	100000
JRSRX	J.P. MORGAN	JP MORGAN SMARTRETIREMENT INCM CL I	I	NO LOAD	OPEN	1600	100000	100000
JSOSX	J.P. MORGAN	JP MORGAN STRATEGIC INCM OPPTY FD CL I	I	NO LOAD	OPEN	1600	100000	100000
TXRAX	J.P. MORGAN	JP MORGAN TAX AWARE REAL RETURN CL A	A	LOAD	OPEN	1600	1000	1000
PRBIX	J.P. MORGAN	JP MORGAN TAX FREE BOND CL I	I	NO LOAD	OPEN	1600	100000	100000
JMTSX	J.P. MORGAN	JP MORGAN TOTAL RETURN FD CL I	I	NO LOAD	OPEN	1600	100000	100000
JLCAX	J.P. MORGAN	JP MORGAN U.S. LARGE CAP CORE PLUS CL A	A	LOAD	OPEN	1600	1000	1000
JSISX	J.P. MORGAN	JP MORGAN UNCONSTRAINED DEBT CL I	I	NO LOAD	OPEN	1600	100000	100000
JUEAX	J.P. MORGAN	JP MORGAN US EQUITY CL A	A	LOAD	OPEN	1600	1000	1000
JUESX	J.P. MORGAN	JP MORGAN US EQUITY CL I	I	NO LOAD	OPEN	1600	100000	100000
JLPSX	J.P. MORGAN	JP MORGAN US LARGE CAP CORE PLUS CL I	I	NO LOAD	OPEN	1600	100000	100000
JSCSX	J.P. MORGAN	JP MORGAN US SMALL CO CL I	I	NO LOAD	OPEN	1600	100000	100000
JVAAX	J.P. MORGAN	JP MORGAN VALUE ADVANTAGE CL A	A	LOAD	OPEN	1600	1000	1000
JVACX	J.P. MORGAN	JP MORGAN VALUE ADVANTAGE CL C	C	LOAD	OPEN	1600	1000	1000
JVASX	J.P. MORGAN	JP MORGAN VALUE ADVANTAGE CL I	I	NO LOAD	OPEN	1600	100000	100000
JTSXX	J.P. MORGAN	JPMORGAN 100 US TRSY SEC MONEY MKT FD INST	INSTITUTIONAL	NO LOAD	REDEEM ONLY	1500	10000000	10000000
HTSXX	J.P. MORGAN	JPMORGAN 100 US TRSY SEC MONEY MKT FD MORGAN CL	MORGAN	NO LOAD	OPEN	1500	1000	1000
VPIXX	J.P. MORGAN	JPMORGAN 100 US TRSY SECURITIES MM FD AGENCY CL SHR	AGENCY	NO LOAD	OPEN	1500	5000000	5000000
VHPXX	J.P. MORGAN	JPMORGAN 100 US TRSY SECURITIES MM FD PREMIER CL	PREMIER	NO LOAD	REDEEM ONLY	1500	1000000	1000000
VCAXX	J.P. MORGAN	JPMORGAN CA MUNI MONEY MARKET FD MORGAN SH	MORGAN	NO LOAD	OPEN	1600	1000	1000

SYMBOL	FUND FAMILY	FUND DESCRIPTION	SHARE CLASS	FUND TYPE	STATUS	CUT- OFF	IRA MIN	NON-IRA MIN
JCBAX	J.P. MORGAN	JPMORGAN CA TAX FREE BD A	A	LOAD	OPEN	1600	1000	1000
JCBCX	J.P. MORGAN	JPMORGAN CA TAX FREE BD FD CL C	C	LOAD	OPEN	1600	0	1000
JPICX	J.P. MORGAN	JPMORGAN CA TAX FREE BOND FD CL I	I	NO LOAD	OPEN	1600	3000000	3000000
JCBSX	J.P. MORGAN	JPMORGAN CALIFORNIA TAX FREE BOND R6	R6	NO LOAD	REDEEM ONLY	1600	15000000	15000000
JCBRX	J.P. MORGAN	JPMORGAN CORE BD R5	R5	NO LOAD	REDEEM ONLY	1600	0	0
PGBOX	J.P. MORGAN	JPMORGAN CORE BOND CL A	A	LOAD	OPEN	1600	1000	1000
JCBUX	J.P. MORGAN	JPMORGAN CORE BOND R6	R6	NO LOAD	REDEEM ONLY	1600	15000000	15000000
OBDCX	J.P. MORGAN	JPMORGAN CORE PLUS BD C	C	LOAD	OPEN	1600	1000	1000
JCPUX	J.P. MORGAN	JPMORGAN CORE PLUS BD R6	R6	NO LOAD	REDEEM ONLY	1600	15000000	15000000
CBRAX	J.P. MORGAN	JPMORGAN CORP BD A	A	LOAD	OPEN	1600	1000	1000
CBFSX	J.P. MORGAN	JPMORGAN CORP BD I	I	NO LOAD	OPEN	1600	1000000	1000000
CBFVX	J.P. MORGAN	JPMORGAN CORP BOND R6	R6	NO LOAD	REDEEM ONLY	1600	15000000	15000000
CBRCX	J.P. MORGAN	JPMORGAN CORPORATE BOND C	C	LOAD	OPEN	1600	1000	1000
JFEAX	J.P. MORGAN	JPMORGAN DEVELOPED INTL VALUE A	A	LOAD	OPEN	1600	1000	1000
JIUCX	J.P. MORGAN	JPMORGAN DEVELOPED INTL VALUE C	C	LOAD	OPEN	1600	1000	1000
JIESX	J.P. MORGAN	JPMORGAN DEVELOPED INTL VALUE I	I	NO LOAD	OPEN	1600	100000	100000
JNUSX	J.P. MORGAN	JPMORGAN DEVELOPED INTL VALUE L	L	NO LOAD	SUBS ONLY	1600	3000000	3000000
JNVMX	J.P. MORGAN	JPMORGAN DEVELOPED INTL VALUE R6	R6	NO LOAD	REDEEM ONLY	1600	15000000	15000000
JPDVX	J.P. MORGAN	JPMORGAN DIVERSIFIED CL L	L	NO LOAD	SUBS ONLY	1600	3000000	3000000
JDVAX	J.P. MORGAN	JPMORGAN DIVERSIFIED FUND	A	LOAD	OPEN	1600	1000	1000
JDVCX	J.P. MORGAN	JPMORGAN DIVERSIFIED FUND	C	LOAD	OPEN	1600	1000	1000
JDVZX	J.P. MORGAN	JPMORGAN DIVERSIFIED R6	R6	NO LOAD	REDEEM ONLY	1600	15000000	15000000
JEMCX	J.P. MORGAN	JPMORGAN EMERGING MARKETS EQUITY FUND	C	LOAD	SUBS ONLY	1600	1000	1000
EMREX	J.P. MORGAN	JPMORGAN EMERGING MKTS RSRCH ENH EQ I	I	NO LOAD	OPEN	1600	1000000	1000000
JEDCX	J.P. MORGAN	JPMORGAN EMRG MKTS DEBT CL C	C	LOAD	OPEN	1600	1000	1000
JEMVX	J.P. MORGAN	JPMORGAN EMRG MKTS DEBT CL R6	R6	NO LOAD	REDEEM ONLY	1600	15000000	15000000
JFAMX	J.P. MORGAN	JPMORGAN EMRG MKTS EQTY CL A	A	LOAD	SUBS ONLY	1600	1000	1000
JMIEX	J.P. MORGAN	JPMORGAN EMRG MKTS EQTY CL L	L	NO LOAD	SUBS ONLY	1600	3000000	3000000
JEMOX	J.P. MORGAN	JPMORGAN EMRG MKTS EQTY CL R5	R5	NO LOAD	REDEEM ONLY	1600	0	0
JEMWX	J.P. MORGAN	JPMORGAN EMRG MKTS EQTY CL R6	R6	NO LOAD	REDEEM ONLY	1600	15000000	15000000
OINCX	J.P. MORGAN	JPMORGAN EQTY INCM FD C	C	LOAD	OPEN	1600	1000	1000
OEICX	J.P. MORGAN	JPMORGAN EQTY INDEX C	C	LOAD	OPEN	1600	1000	1000
OIEPX	J.P. MORGAN	JPMORGAN EQUITY INCOME R3	R3	NO LOAD	REDEEM ONLY	1600	0	0
OIEQX	J.P. MORGAN	JPMORGAN EQUITY INCOME R4	R4	NO LOAD	REDEEM ONLY	1600	0	0
OIERX	J.P. MORGAN	JPMORGAN EQUITY INCOME R5	R5	NO LOAD	REDEEM ONLY	1600	0	0
OIEJX	J.P. MORGAN	JPMORGAN EQUITY INCOME R6	R6	NO LOAD	REDEEM ONLY	1600	15000000	15000000
OGFAX	J.P. MORGAN	JPMORGAN EQUITY INDEX R6	R6	NO LOAD	REDEEM ONLY	1600	15000000	15000000
JEPAX	J.P. MORGAN	JPMORGAN EQUITY PREMIUM INCOME A	A	LOAD	OPEN	1600	100	100
JEPCX	J.P. MORGAN	JPMORGAN EQUITY PREMIUM INCOME C	C	LOAD	OPEN	1600	1000	1000
JEPIX	J.P. MORGAN	JPMORGAN EQUITY PREMIUM INCOME I	I	NO LOAD	OPEN	1600	1000000	1000000
JEPRX	J.P. MORGAN	JPMORGAN EQUITY PREMIUM INCOME R6	R6	NO LOAD	REDEEM ONLY	1600	15000000	15000000

SYMBOL	FUND FAMILY	FUND DESCRIPTION	SHARE CLASS	FUND TYPE	STATUS	CUT- OFF	IRA MIN	NON-IRA MIN
VEUVX	J.P. MORGAN	JPMORGAN EUROPE DYNAMIC R6	R6	NO LOAD	REDEEM ONLY	1600	15000000	15000000
JFMXX	J.P. MORGAN	JPMORGAN FED MONEY MKT FD INST CL	INSTITUTIONAL	NO LOAD	OPEN	1500	3000000	3000000
VFPXX	J.P. MORGAN	JPMORGAN FED MONEY MKT FD PREMIER	PREMIER	LOAD	REDEEM ONLY	1500	1000000	1000000
VFIXX	J.P. MORGAN	JPMORGAN FEDERAL MONEY MARKET AGENCY	AGENCY	NO LOAD	REDEEM ONLY	1600	5000000	5000000
JPHAX	J.P. MORGAN	JPMORGAN FLOATING RATE INCM FD A	A	LOAD	OPEN	1600	1000	1000
JPHRX	J.P. MORGAN	JPMORGAN FLOATING RATE INCM FD R6	R6	NO LOAD	REDEEM ONLY	1600	15000000	15000000
GAOAX	J.P. MORGAN	JPMORGAN GLOBAL ALLOC A	A	LOAD	OPEN	1600	1000	1000
GAOCX	J.P. MORGAN	JPMORGAN GLOBAL ALLOC C	C	LOAD	OPEN	1600	1000	1000
GAOZX	J.P. MORGAN	JPMORGAN GLOBAL ALLOC R6	R6	NO LOAD	REDEEM ONLY	1600	15000000	15000000
GBOCX	J.P. MORGAN	JPMORGAN GLOBAL BOND OPPTY FD C	C	LOAD	OPEN	1600	1000	1000
GBOAX	J.P. MORGAN	JPMORGAN GLOBAL BOND OPPTY FD CL A	A	LOAD	OPEN	1600	1000	1000
GBONX	J.P. MORGAN	JPMORGAN GLOBAL BOND OPPTY FD R6	R6	NO LOAD	REDEEM ONLY	1600	15000000	15000000
OGGAX	J.P. MORGAN	JPMORGAN GOVERNMENT BD CL A	A	LOAD	OPEN	1600	1000	1000
OGGPX	J.P. MORGAN	JPMORGAN GOVERNMENT BOND CL R3	R3	NO LOAD	REDEEM ONLY	1600	0	0
OGGYX	J.P. MORGAN	JPMORGAN GOVERNMENT BOND CL R6	R6	NO LOAD	REDEEM ONLY	1600	15000000	15000000
OGVCX	J.P. MORGAN	JPMORGAN GOVT BD C	C	LOAD	OPEN	1600	1000	1000
JGBZX	J.P. MORGAN	JPMORGAN GOVT BD FD CL R2	R2	NO LOAD	REDEEM ONLY	1600	0	0
JGACX	J.P. MORGAN	JPMORGAN GROWTH ADVANTAGE C	C	LOAD	OPEN	1600	1000	1000
JGRJX	J.P. MORGAN	JPMORGAN GROWTH ADVANTAGE R2	R2	NO LOAD	REDEEM ONLY	1600	0	0
JGVVX	J.P. MORGAN	JPMORGAN GRWTH ADVANTAGE CL R6	R6	NO LOAD	REDEEM ONLY	1600	15000000	15000000
JHQAX	J.P. MORGAN	JPMORGAN HEDGED EQTY FD A	A	LOAD	OPEN	1600	1000	1000
JHQCX	J.P. MORGAN	JPMORGAN HEDGED EQTY FD C	C	LOAD	OPEN	1600	1000	1000
JHQRX	J.P. MORGAN	JPMORGAN HEDGED EQTY FD R6	R6	NO LOAD	REDEEM ONLY	1600	15000000	15000000
JHDAX	J.P. MORGAN	JPMORGAN HEDGED EQUITY 2 A	A	LOAD	OPEN	1600	0	0
JHDCX	J.P. MORGAN	JPMORGAN HEDGED EQUITY 2 C	C	LOAD	OPEN	1600	0	0
JHQDX	J.P. MORGAN	JPMORGAN HEDGED EQUITY 2 CL I	I	NO LOAD	OPEN	1600	1000000	1000000
JHDRX	J.P. MORGAN	JPMORGAN HEDGED EQUITY 2 R6	R6	NO LOAD	REDEEM ONLY	1600	15000000	15000000
JHTAX	J.P. MORGAN	JPMORGAN HEDGED EQUITY 3 A	A	LOAD	OPEN	1600	0	0
JHTCX	J.P. MORGAN	JPMORGAN HEDGED EQUITY 3 C	C	LOAD	OPEN	1600	0	0
JHQTX	J.P. MORGAN	JPMORGAN HEDGED EQUITY 3 CL I	I	NO LOAD	OPEN	1600	1000000	1000000
JHTRX	J.P. MORGAN	JPMORGAN HEDGED EQUITY 3 R6	R6	NO LOAD	REDEEM ONLY	1600	15000000	15000000
JHYUX	J.P. MORGAN	JPMORGAN HIGH YIELD FD CL R6	R6	NO LOAD	REDEEM ONLY	1600	15000000	15000000
JGIAX	J.P. MORGAN	JPMORGAN INCM A	A	LOAD	OPEN	1600	1000	1000
JNBAX	J.P. MORGAN	JPMORGAN INCM BUILDER FD A	A	LOAD	OPEN	1600	1000	1000
JNBCX	J.P. MORGAN	JPMORGAN INCM BUILDER FD C	C	LOAD	OPEN	1600	1000	1000
JNBZX	J.P. MORGAN	JPMORGAN INCM BUILDER FD R6	R6	NO LOAD	REDEEM ONLY	1600	15000000	15000000
JMSIX	J.P. MORGAN	JPMORGAN INCM I	I	NO LOAD	OPEN	1600	1000000	1000000
JGCGX	J.P. MORGAN	JPMORGAN INCOME C	C	LOAD	OPEN	1600	1000	1000
JMSFX	J.P. MORGAN	JPMORGAN INCOME R6	R6	NO LOAD	REDEEM ONLY	1600	15000000	15000000
IUCEX	J.P. MORGAN	JPMORGAN INTERNATIONAL FOCUS C	C	LOAD	OPEN	1600	1000	1000
IUAEX	J.P. MORGAN	JPMORGAN INTERNATIONAL FOCUS FD CL A	A	LOAD	OPEN	1600	1000	1000

SYMBOL	FUND FAMILY	FUND DESCRIPTION	SHARE CLASS	FUND TYPE	STATUS	CUT- OFF	IRA MIN	NON-IRA MIN
IUESX	J.P. MORGAN	JPMORGAN INTERNATIONAL FOCUS FD CL I	I	NO LOAD	OPEN	1600	100000	100000
IUERX	J.P. MORGAN	JPMORGAN INTERNATIONAL FOCUS R2	R2	NO LOAD	REDEEM ONLY	1600	0	0
JHHCX	J.P. MORGAN	JPMORGAN INTERNATIONAL HEDGED EQUITY C	C	LOAD	OPEN	1600	0	0
JHHRX	J.P. MORGAN	JPMORGAN INTERNATIONAL HEDGED EQUITY R6	R6	NO LOAD	REDEEM ONLY	1600	15000000	15000000
JNEMX	J.P. MORGAN	JPMORGAN INTL EQTY FD CL R6	R6	NO LOAD	REDEEM ONLY	1600	15000000	15000000
IUENX	J.P. MORGAN	JPMORGAN INTL FOCUS FD CL R6	R6	NO LOAD	REDEEM ONLY	1600	15000000	15000000
JIHAX	J.P. MORGAN	JPMORGAN INTL HEDGED EQTY FD A	A	LOAD	OPEN	1600	1000	1000
JIHIX	J.P. MORGAN	JPMORGAN INTL HEDGED EQTY FD I	I	NO LOAD	OPEN	1600	1000000	1000000
JIVMX	J.P. MORGAN	JPMORGAN INTREPID VALUE CL R6	R6	NO LOAD	REDEEM ONLY	1600	15000000	15000000
JIVRX	J.P. MORGAN	JPMORGAN INTREPID VALUE R5	R5	NO LOAD	REDEEM ONLY	1600	0	0
OGIAX	J.P. MORGAN	JPMORGAN INV BALANCED A	A	LOAD	OPEN	1600	500	500
ONGAX	J.P. MORGAN	JPMORGAN INV GROWTH A	A	LOAD	OPEN	1600	500	500
OGBCX	J.P. MORGAN	JPMORGAN INVESTOR BALANCED FUND	C	LOAD	OPEN	1600	500	500
JFQUX	J.P. MORGAN	JPMORGAN INVESTOR BALANCED R6	R6	NO LOAD	REDEEM ONLY	1600	15000000	15000000
JFLJX	J.P. MORGAN	JPMORGAN INVESTOR CONSERVATIVE GROWTH R6	R6	NO LOAD	REDEEM ONLY	1600	15000000	15000000
JFBUX	J.P. MORGAN	JPMORGAN INVESTOR GROWTH & INCOME R6	R6	NO LOAD	REDEEM ONLY	1600	15000000	15000000
ONGIX	J.P. MORGAN	JPMORGAN INVESTOR GROWTH AND INCOME FD CL A	A	LOAD	OPEN	1600	500	500
ONECX	J.P. MORGAN	JPMORGAN INVESTOR GROWTH AND INCOME FUND CL C	C	LOAD	OPEN	1600	500	500
OGGCX	J.P. MORGAN	JPMORGAN INVESTOR GROWTH FUND CL C	C	LOAD	OPEN	1600	500	500
JFTUX	J.P. MORGAN	JPMORGAN INVESTOR GROWTH R6	R6	NO LOAD	REDEEM ONLY	1600	15000000	15000000
OLGCX	J.P. MORGAN	JPMORGAN LARGE CAP GROWTH C	C	LOAD	OPEN	1600	1000	1000
JLGQX	J.P. MORGAN	JPMORGAN LARGE CAP GROWTH R4	R4	NO LOAD	REDEEM ONLY	1600	0	0
JLGRX	J.P. MORGAN	JPMORGAN LARGE CAP GROWTH R5	R5	NO LOAD	REDEEM ONLY	1600	0	0
JLGPX	J.P. MORGAN	JPMORGAN LARGE CAP GWTH CL R3	R3	NO LOAD	REDEEM ONLY	1600	0	0
JLGMX	J.P. MORGAN	JPMORGAN LARGE CAP GWTH CL R6	R6	NO LOAD	REDEEM ONLY	1600	15000000	15000000
OLVAX	J.P. MORGAN	JPMORGAN LARGE CAP VALUE A	A	LOAD	OPEN	1600	1000	1000
OLVCX	J.P. MORGAN	JPMORGAN LARGE CAP VALUE C	C	LOAD	OPEN	1600	1000	1000
JLVRX	J.P. MORGAN	JPMORGAN LARGE CAP VALUE R5	R5	NO LOAD	REDEEM ONLY	1600	0	0
JLVMX	J.P. MORGAN	JPMORGAN LARGE CAP VALUE R6	R6	NO LOAD	REDEEM ONLY	1600	15000000	15000000
CJLXX	J.P. MORGAN	JPMORGAN LIQUID ASSETS MONEY MARKET CAP	CAPITAL	NO LOAD	REDEEM ONLY	1600	50000000	50000000
IJLXX	J.P. MORGAN	JPMORGAN LIQUID ASSETS MONEY MARKET INS	INSTITUTIONAL	NO LOAD	REDEEM ONLY	1600	10000000	10000000
MJLXX	J.P. MORGAN	JPMORGAN LIQUID ASSETS MONEY MARKET MORGAN SHS	MORGAN	LOAD	OPEN	1600	1000	1000
AJLXX	J.P. MORGAN	JPMORGAN LIQUID ASSETS MONEY MKT FD AGENCY CL SHR	AGENCY	NO LOAD	REDEEM ONLY	1600	5000000	5000000
HLPXX	J.P. MORGAN	JPMORGAN LIQUID ASSETS MONEY MKT FD INV CL	INVESTOR	LOAD	OPEN	1600	1000000	1000000
PJLXX	J.P. MORGAN	JPMORGAN LIQUID ASSETS MONEY MKT FD PREMIER CL SHR	PREMIER	NO LOAD	OPEN	1600	1000000	1000000
HPIXX	J.P. MORGAN	JPMORGAN LIQUID ASSETS MONEY MKT FD RESERVE	RESERVE	LOAD	OPEN	1600	10000000	10000000
JMGIX	J.P. MORGAN	JPMORGAN MANAGED INCOME FD CL L	L	NO LOAD	SUBS ONLY	1600	3000000	3000000
JMGLX	J.P. MORGAN	JPMORGAN MANAGED INCOME I	I	NO LOAD	OPEN	1600	0	0
JCMAX	J.P. MORGAN	JPMORGAN MID CAP EQUITY A	A	LOAD	OPEN	1600	1000	1000
JMCCX	J.P. MORGAN	JPMORGAN MID CAP EQUITY C	C	LOAD	OPEN	1600	1000	1000
JPEX	J.P. MORGAN	JPMORGAN MID CAP EQUITY R6	R6	NO LOAD	REDEEM ONLY	1600	15000000	15000000

SYMBOL	FUND FAMILY	FUND DESCRIPTION	SHARE CLASS	FUND TYPE	STATUS	CUT- OFF	IRA MIN	NON-IRA MIN
OSGIX	J.P. MORGAN	JPMORGAN MID CAP GROWTH A	A	LOAD	OPEN	1600	1000	1000
OMGCX	J.P. MORGAN	JPMORGAN MID CAP GROWTH C	C	LOAD	OPEN	1600	1000	1000
JMGQX	J.P. MORGAN	JPMORGAN MID CAP GROWTH R4	R4	NO LOAD	REDEEM ONLY	1600	0	0
JMGFX	J.P. MORGAN	JPMORGAN MID CAP GROWTH R5	R5	NO LOAD	REDEEM ONLY	1600	0	0
JGMX	J.P. MORGAN	JPMORGAN MID CAP GROWTH R6	R6	NO LOAD	REDEEM ONLY	1600	15000000	15000000
JCMVX	J.P. MORGAN	JPMORGAN MID CAP VALUE C	C	LOAD	OPEN	1600	1000	1000
FLMVX	J.P. MORGAN	JPMORGAN MID CAP VALUE L	L	NO LOAD	SUBS ONLY	1600	3000000	3000000
JMVZX	J.P. MORGAN	JPMORGAN MID CAP VALUE R2	R2	NO LOAD	REDEEM ONLY	1600	0	0
JMVPX	J.P. MORGAN	JPMORGAN MID CAP VALUE R3	R3	NO LOAD	REDEEM ONLY	1600	0	0
JMVQX	J.P. MORGAN	JPMORGAN MID CAP VALUE R4	R4	NO LOAD	REDEEM ONLY	1600	0	0
JMVYX	J.P. MORGAN	JPMORGAN MID CAP VALUE R6	R6	NO LOAD	REDEEM ONLY	1600	15000000	15000000
JMBUX	J.P. MORGAN	JPMORGAN MORTGAGE BACKED SEC R6	R6	NO LOAD	REDEEM ONLY	1600	15000000	15000000
OMBAX	J.P. MORGAN	JPMORGAN MORTGAGE BACKED SECURITIES A	A	LOAD	OPEN	1600	1000	1000
OBBCX	J.P. MORGAN	JPMORGAN MORTGAGE BACKED SECURITIES C	C	LOAD	OPEN	1600	1000	1000
JMAXX	J.P. MORGAN	JPMORGAN MUNI MONEY MKT FD AGENCY CL SHRS	AGENCY	NO LOAD	REDEEM ONLY	1600	5000000	5000000
MJMX	J.P. MORGAN	JPMORGAN MUNI MONEY MKT FD MORGAN CL SHR	MORGAN	NO LOAD	OPEN	1600	100000	100000
IJMX	J.P. MORGAN	JPMORGAN MUNICIPAL MONEY MARKET INST	INSTITUTIONAL	NO LOAD	REDEEM ONLY	1600	10000000	10000000
HTOXX	J.P. MORGAN	JPMORGAN MUNICIPAL MONEY MARKET PREMIER	PREMIER	NO LOAD	REDEEM ONLY	1600	1000000	1000000
JITAX	J.P. MORGAN	JPMORGAN NATIONAL MUNICIPAL INCOME A	A	LOAD	OPEN	1600	1000	1000
JITCX	J.P. MORGAN	JPMORGAN NATIONAL MUNICIPAL INCOME C	C	LOAD	OPEN	1600	0	1000
JITIX	J.P. MORGAN	JPMORGAN NATIONAL MUNICIPAL INCOME I	I	NO LOAD	OPEN	1600	3000000	3000000
JITZX	J.P. MORGAN	JPMORGAN NATIONAL MUNICIPAL INCOME R6	R6	NO LOAD	REDEEM ONLY	1600	15000000	15000000
VINRX	J.P. MORGAN	JPMORGAN NEW YORK TAX FREE BOND R6	R6	NO LOAD	REDEEM ONLY	1600	15000000	15000000
JCNTX	J.P. MORGAN	JPMORGAN NY TAX FREE BD C	C	LOAD	OPEN	1600	0	1000
VANTX	J.P. MORGAN	JPMORGAN NY TAX FREE BOND CL A	A	LOAD	OPEN	1600	1000	1000
JNYIX	J.P. MORGAN	JPMORGAN NY TAX FREE BOND FD CL I	I	NO LOAD	OPEN	1600	3000000	3000000
JPDIX	J.P. MORGAN	JPMORGAN PREFERRED AND INC SCRTS I	I	NO LOAD	OPEN	1600	1000000	1000000
JMNAX	J.P. MORGAN	JPMORGAN REARCH MARKET NEUTRAL FD CL A	A	LOAD	OPEN	1600	1000	1000
JMNAX	J.P. MORGAN	JPMORGAN RESEARCH MARKET NEUTRAL FD CL C	C	LOAD	OPEN	1600	1000	1000
OGLVX	J.P. MORGAN	JPMORGAN SHORT DURATION BOND FUND	A	LOAD	OPEN	1600	1000	1000
OSTCX	J.P. MORGAN	JPMORGAN SHORT DURATION BOND FUND CL C	C	LOAD	OPEN	1600	1000	1000
JSDUX	J.P. MORGAN	JPMORGAN SHORT DURATION BOND R6	R6	NO LOAD	REDEEM ONLY	1600	15000000	15000000
JSDHX	J.P. MORGAN	JPMORGAN SHORT DURATION CORE PLUS A	A	LOAD	OPEN	1600	1000	1000
JSDCX	J.P. MORGAN	JPMORGAN SHORT DURATION CORE PLUS C	C	LOAD	OPEN	1600	1000	1000
JSDSX	J.P. MORGAN	JPMORGAN SHORT DURATION CORE PLUS FD CL I	I	NO LOAD	OPEN	1600	100000	100000
JSDRX	J.P. MORGAN	JPMORGAN SHORT DURATION CORE PLUS R6	R6	NO LOAD	REDEEM ONLY	1600	15000000	15000000
OSTAX	J.P. MORGAN	JPMORGAN SHORT INTER MUNI BD FD CL A	A	LOAD	OPEN	1600	1000	1000
STMXX	J.P. MORGAN	JPMORGAN SHORT INTER MUNI BD FD CL C	C	LOAD	OPEN	1600	1000	1000
OSTSX	J.P. MORGAN	JPMORGAN SHORTINTERMEDIATE MUNCPL BD R6	R6	NO LOAD	REDEEM ONLY	1600	15000000	15000000
JSCHX	J.P. MORGAN	JPMORGAN SMALL CAP BLEND R6	R6	NO LOAD	REDEEM ONLY	1600	15000000	15000000
JSECX	J.P. MORGAN	JPMORGAN SMALL CAP EQTY C	C	LOAD	OPEN	1600	1000	1000

SYMBOL	FUND FAMILY	FUND DESCRIPTION	SHARE CLASS	FUND TYPE	STATUS	CUT- OFF	IRA MIN	NON-IRA MIN
JSERX	J.P. MORGAN	JPMORGAN SMALL CAP EQTY R5	R5	NO LOAD	REDEEM ONLY	1600	0	0
VSENX	J.P. MORGAN	JPMORGAN SMALL CAP EQTY R6	R6	NO LOAD	REDEEM ONLY	1600	15000000	15000000
PGSGX	J.P. MORGAN	JPMORGAN SMALL CAP GROWTH A	A	LOAD	SUBS ONLY	1600	1000	1000
OSGCX	J.P. MORGAN	JPMORGAN SMALL CAP GROWTH C	C	LOAD	SUBS ONLY	1600	1000	1000
JISGX	J.P. MORGAN	JPMORGAN SMALL CAP GROWTH L	L	NO LOAD	SUBS ONLY	1600	3000000	3000000
JGRQX	J.P. MORGAN	JPMORGAN SMALL CAP GROWTH R3	R3	NO LOAD	REDEEM ONLY	1600	0	0
JGLYX	J.P. MORGAN	JPMORGAN SMALL CAP GROWTH R4	R4	NO LOAD	REDEEM ONLY	1600	0	0
JGSMX	J.P. MORGAN	JPMORGAN SMALL CAP GROWTH R6	R6	NO LOAD	REDEEM ONLY	1600	15000000	15000000
OSVCX	J.P. MORGAN	JPMORGAN SMALL CAP VALUE C	C	LOAD	OPEN	1600	1000	1000
PSOAX	J.P. MORGAN	JPMORGAN SMALL CAP VALUE FD CLASS A	A	LOAD	OPEN	1600	1000	1000
JSVZX	J.P. MORGAN	JPMORGAN SMALL CAP VALUE R2	R2	NO LOAD	REDEEM ONLY	1600	0	0
JSVRX	J.P. MORGAN	JPMORGAN SMALL CAP VALUE R5	R5	NO LOAD	REDEEM ONLY	1600	0	0
JSVUX	J.P. MORGAN	JPMORGAN SMALL CAP VALUE R6	R6	NO LOAD	REDEEM ONLY	1600	15000000	15000000
JTTAX	J.P. MORGAN	JPMORGAN SMARTRETIREMENT 2020 A	A	LOAD	OPEN	1600	500	500
JTTCX	J.P. MORGAN	JPMORGAN SMARTRETIREMENT 2020 C	C	LOAD	OPEN	1600	500	500
JTTIX	J.P. MORGAN	JPMORGAN SMARTRETIREMENT 2020 CL R5	R5	NO LOAD	REDEEM ONLY	1600	3000000	3000000
JTTZX	J.P. MORGAN	JPMORGAN SMARTRETIREMENT 2020 R2	R2	NO LOAD	REDEEM ONLY	1600	0	0
JTTYX	J.P. MORGAN	JPMORGAN SMARTRETIREMENT 2020 R6	R6	NO LOAD	REDEEM ONLY	1600	15000000	15000000
JNSAX	J.P. MORGAN	JPMORGAN SMARTRETIREMENT 2025 A	A	LOAD	OPEN	1600	500	500
JNSCX	J.P. MORGAN	JPMORGAN SMARTRETIREMENT 2025 C	C	LOAD	OPEN	1600	500	500
JNSIX	J.P. MORGAN	JPMORGAN SMARTRETIREMENT 2025 CL R5	R5	NO LOAD	REDEEM ONLY	1600	3000000	3000000
JNSZX	J.P. MORGAN	JPMORGAN SMARTRETIREMENT 2025 R2	R2	NO LOAD	REDEEM ONLY	1600	0	0
JNSYX	J.P. MORGAN	JPMORGAN SMARTRETIREMENT 2025 R6	R6	NO LOAD	REDEEM ONLY	1600	15000000	15000000
JSMAX	J.P. MORGAN	JPMORGAN SMARTRETIREMENT 2030 A	A	LOAD	OPEN	1600	500	500
JSMCX	J.P. MORGAN	JPMORGAN SMARTRETIREMENT 2030 C	C	LOAD	OPEN	1600	500	500
JSMIX	J.P. MORGAN	JPMORGAN SMARTRETIREMENT 2030 CL R5	R5	NO LOAD	REDEEM ONLY	1600	3000000	3000000
JSMZX	J.P. MORGAN	JPMORGAN SMARTRETIREMENT 2030 R2	R2	NO LOAD	REDEEM ONLY	1600	0	0
JSMYX	J.P. MORGAN	JPMORGAN SMARTRETIREMENT 2030 R6	R6	NO LOAD	REDEEM ONLY	1600	15000000	15000000
SRJAX	J.P. MORGAN	JPMORGAN SMARTRETIREMENT 2035 A	A	LOAD	OPEN	1600	500	500
SRJCX	J.P. MORGAN	JPMORGAN SMARTRETIREMENT 2035 C	C	LOAD	OPEN	1600	500	500
SRJIX	J.P. MORGAN	JPMORGAN SMARTRETIREMENT 2035 CL R5	R5	NO LOAD	REDEEM ONLY	1600	3000000	3000000
SRJZX	J.P. MORGAN	JPMORGAN SMARTRETIREMENT 2035 R2	R2	NO LOAD	REDEEM ONLY	1600	0	0
SRJYX	J.P. MORGAN	JPMORGAN SMARTRETIREMENT 2035 R6	R6	NO LOAD	REDEEM ONLY	1600	15000000	15000000
SMTAX	J.P. MORGAN	JPMORGAN SMARTRETIREMENT 2040 A	A	LOAD	OPEN	1600	500	500
SMTCX	J.P. MORGAN	JPMORGAN SMARTRETIREMENT 2040 C	C	LOAD	OPEN	1600	500	500
SMTIX	J.P. MORGAN	JPMORGAN SMARTRETIREMENT 2040 CL R5	R5	NO LOAD	REDEEM ONLY	1600	3000000	3000000
SMTZX	J.P. MORGAN	JPMORGAN SMARTRETIREMENT 2040 R2	R2	NO LOAD	REDEEM ONLY	1600	0	0
SMTYX	J.P. MORGAN	JPMORGAN SMARTRETIREMENT 2040 R6	R6	NO LOAD	REDEEM ONLY	1600	15000000	15000000
JSAAX	J.P. MORGAN	JPMORGAN SMARTRETIREMENT 2045 A	A	LOAD	OPEN	1600	500	500
JSACX	J.P. MORGAN	JPMORGAN SMARTRETIREMENT 2045 C	C	LOAD	OPEN	1600	500	500
JSAIX	J.P. MORGAN	JPMORGAN SMARTRETIREMENT 2045 CL R5	R5	NO LOAD	REDEEM ONLY	1600	3000000	3000000

SYMBOL	FUND FAMILY	FUND DESCRIPTION	SHARE CLASS	FUND TYPE	STATUS	CUT- OFF	IRA MIN	NON-IRA MIN
JSAZX	J.P. MORGAN	JPMORGAN SMARTRETIREMENT 2045 R2	R2	NO LOAD	REDEEM ONLY	1600	0	0
JSAYX	J.P. MORGAN	JPMORGAN SMARTRETIREMENT 2045 R6	R6	NO LOAD	REDEEM ONLY	1600	15000000	15000000
JTSAX	J.P. MORGAN	JPMORGAN SMARTRETIREMENT 2050 A	A	LOAD	OPEN	1600	500	500
JTSCX	J.P. MORGAN	JPMORGAN SMARTRETIREMENT 2050 C	C	LOAD	OPEN	1600	500	500
JTSIX	J.P. MORGAN	JPMORGAN SMARTRETIREMENT 2050 CL R5	R5	NO LOAD	REDEEM ONLY	1600	3000000	3000000
JTSZX	J.P. MORGAN	JPMORGAN SMARTRETIREMENT 2050 R2	R2	NO LOAD	REDEEM ONLY	1600	0	0
JTSYX	J.P. MORGAN	JPMORGAN SMARTRETIREMENT 2050 R6	R6	NO LOAD	REDEEM ONLY	1600	15000000	15000000
JFFAX	J.P. MORGAN	JPMORGAN SMARTRETIREMENT 2055 A	A	LOAD	OPEN	1600	500	500
JFFCX	J.P. MORGAN	JPMORGAN SMARTRETIREMENT 2055 C	C	LOAD	OPEN	1600	1000	1000
JFFIX	J.P. MORGAN	JPMORGAN SMARTRETIREMENT 2055 CL R5	R5	NO LOAD	REDEEM ONLY	1600	3000000	3000000
JFFRX	J.P. MORGAN	JPMORGAN SMARTRETIREMENT 2055 R2	R2	NO LOAD	REDEEM ONLY	1600	0	0
JFFYX	J.P. MORGAN	JPMORGAN SMARTRETIREMENT 2055 R6	R6	NO LOAD	REDEEM ONLY	1600	15000000	15000000
JAKAX	J.P. MORGAN	JPMORGAN SMARTRETIREMENT 2060 A	A	LOAD	OPEN	1600	1000	1000
JAKSX	J.P. MORGAN	JPMORGAN SMARTRETIREMENT 2060 I	I	NO LOAD	OPEN	1600	1000000	1000000
JAKIX	J.P. MORGAN	JPMORGAN SMARTRETIREMENT 2060 R5	R5	NO LOAD	REDEEM ONLY	1600	0	3000000
JAKYX	J.P. MORGAN	JPMORGAN SMARTRETIREMENT 2060 R6	R6	NO LOAD	REDEEM ONLY	1600	15000000	15000000
JSMEX	J.P. MORGAN	JPMORGAN SMARTRETIREMENT 2065 A	A	LOAD	OPEN	1600	1000	1000
JSMJX	J.P. MORGAN	JPMORGAN SMARTRETIREMENT 2065 I	I	NO LOAD	OPEN	1600	1000000	1000000
JSMMX	J.P. MORGAN	JPMORGAN SMARTRETIREMENT 2065 R5	R5	NO LOAD	REDEEM ONLY	1600	0	0
JSMOX	J.P. MORGAN	JPMORGAN SMARTRETIREMENT 2065 R6	R6	NO LOAD	REDEEM ONLY	1600	15000000	15000000
JSTLX	J.P. MORGAN	JPMORGAN SMARTRETIREMENT BLEND 2020 R4	R4	NO LOAD	REDEEM ONLY	1600	0	0
JSYRX	J.P. MORGAN	JPMORGAN SMARTRETIREMENT BLEND 2020 R6	R6	NO LOAD	REDEEM ONLY	1600	15000000	15000000
JBTBX	J.P. MORGAN	JPMORGAN SMARTRETIREMENT BLEND 2025 R4	R4	NO LOAD	REDEEM ONLY	1600	0	0
JBYSX	J.P. MORGAN	JPMORGAN SMARTRETIREMENT BLEND 2025 R6	R6	NO LOAD	REDEEM ONLY	1600	15000000	15000000
JUTUX	J.P. MORGAN	JPMORGAN SMARTRETIREMENT BLEND 2030 R4	R4	NO LOAD	REDEEM ONLY	1600	0	0
JRBYX	J.P. MORGAN	JPMORGAN SMARTRETIREMENT BLEND 2030 R6	R6	NO LOAD	REDEEM ONLY	1600	15000000	15000000
JPTKX	J.P. MORGAN	JPMORGAN SMARTRETIREMENT BLEND 2035 R4	R4	NO LOAD	REDEEM ONLY	1600	0	0
JNTNX	J.P. MORGAN	JPMORGAN SMARTRETIREMENT BLEND 2040 R4	R4	NO LOAD	REDEEM ONLY	1600	0	0
JOBYX	J.P. MORGAN	JPMORGAN SMARTRETIREMENT BLEND 2040 R6	R6	NO LOAD	REDEEM ONLY	1600	15000000	15000000
JNTLX	J.P. MORGAN	JPMORGAN SMARTRETIREMENT BLEND 2045 R4	R4	NO LOAD	REDEEM ONLY	1600	0	0
JMYAX	J.P. MORGAN	JPMORGAN SMARTRETIREMENT BLEND 2045 R6	R6	NO LOAD	REDEEM ONLY	1600	15000000	15000000
JNTPX	J.P. MORGAN	JPMORGAN SMARTRETIREMENT BLEND 2050 R4	R4	NO LOAD	REDEEM ONLY	1600	0	0
JNYAX	J.P. MORGAN	JPMORGAN SMARTRETIREMENT BLEND 2050 R6	R6	NO LOAD	REDEEM ONLY	1600	15000000	15000000
JTTLX	J.P. MORGAN	JPMORGAN SMARTRETIREMENT BLEND 2055 R4	R4	NO LOAD	REDEEM ONLY	1600	0	0
JTYBX	J.P. MORGAN	JPMORGAN SMARTRETIREMENT BLEND 2055 R6	R6	NO LOAD	REDEEM ONLY	1600	15000000	15000000
JACSX	J.P. MORGAN	JPMORGAN SMARTRETIREMENT BLEND 2060 I	I	NO LOAD	OPEN	1600	1000000	1000000
JATUX	J.P. MORGAN	JPMORGAN SMARTRETIREMENT BLEND 2060 R4	R4	NO LOAD	REDEEM ONLY	1600	0	0
JAABX	J.P. MORGAN	JPMORGAN SMARTRETIREMENT BLEND 2060 R5	R5	NO LOAD	REDEEM ONLY	1600	15000000	15000000
JAAYX	J.P. MORGAN	JPMORGAN SMARTRETIREMENT BLEND 2060 R6	R6	NO LOAD	REDEEM ONLY	1600	15000000	15000000
JSBYX	J.P. MORGAN	JPMORGAN SMARTRETIREMENT BLEND 2065 R6	R6	NO LOAD	REDEEM ONLY	1600	15000000	15000000
JIYBX	J.P. MORGAN	JPMORGAN SMARTRETIREMENT BLEND INCM R6	R6	NO LOAD	REDEEM ONLY	1600	15000000	15000000

SYMBOL	FUND FAMILY	FUND DESCRIPTION	SHARE CLASS	FUND TYPE	STATUS	CUT- OFF	IRA MIN	NON-IRA MIN
JITKX	J.P. MORGAN	JPMORGAN SMARTRETIREMENT BLEND INCOMER4	R4	NO LOAD	REDEEM ONLY	1600	0	0
JSRAX	J.P. MORGAN	JPMORGAN SMARTRETIREMENT INCM A	A	LOAD	OPEN	1600	500	500
JSRCX	J.P. MORGAN	JPMORGAN SMARTRETIREMENT INCM C	C	LOAD	OPEN	1600	500	500
JSIIX	J.P. MORGAN	JPMORGAN SMARTRETIREMENT INCM CL R5	R5	NO LOAD	REDEEM ONLY	1600	3000000	3000000
JSIZX	J.P. MORGAN	JPMORGAN SMARTRETIREMENT INCM R2	R2	NO LOAD	REDEEM ONLY	1600	0	0
JSIYX	J.P. MORGAN	JPMORGAN SMARTRETIREMENT INCM R6	R6	NO LOAD	REDEEM ONLY	1600	15000000	15000000
JPYRX	J.P. MORGAN	JPMORGAN SMARTRETIREMENTBLEND 2035 R6	R6	NO LOAD	REDEEM ONLY	1600	15000000	15000000
PECAX	J.P. MORGAN	JPMORGAN SMID CAP EQUITY FD CL A	A	LOAD	OPEN	1600	1000	1000
ODMCX	J.P. MORGAN	JPMORGAN SMID CAP EQUITY FD CL C	C	LOAD	OPEN	1600	1000	1000
WOOPX	J.P. MORGAN	JPMORGAN SMID CAP EQUITY FD CL I	I	NO LOAD	OPEN	1600	100000	100000
WOOSX	J.P. MORGAN	JPMORGAN SMID CAP EQUITY FD CL R6	R6	NO LOAD	REDEEM ONLY	1600	15000000	15000000
JSOAX	J.P. MORGAN	JPMORGAN STRATEGIC INCM OPPTY A	A	LOAD	OPEN	1600	1000	1000
JSOCX	J.P. MORGAN	JPMORGAN STRATEGIC INCM OPPTY FD C SHR	C	LOAD	OPEN	1600	1000	1000
JSORX	J.P. MORGAN	JPMORGAN STRATEGIC INCM OPPTY R5 SHR	R5	NO LOAD	REDEEM ONLY	1600	0	0
JSOZX	J.P. MORGAN	JPMORGAN STRATEGIC INCM OPPTY R6	R6	NO LOAD	REDEEM ONLY	1600	15000000	15000000
JIMIX	J.P. MORGAN	JPMORGAN TAX AWARE EQTY FD CL I	I	NO LOAD	OPEN	1600	3000000	3000000
TXRCX	J.P. MORGAN	JPMORGAN TAX AWARE REAL RETURN C	C	LOAD	OPEN	1600	1000	1000
TXRIX	J.P. MORGAN	JPMORGAN TAX AWARE REAL RETURN FD CL I	I	NO LOAD	OPEN	1600	3000000	3000000
TXRRX	J.P. MORGAN	JPMORGAN TAX AWARE REAL RETURN R6	R6	NO LOAD	REDEEM ONLY	1600	15000000	15000000
JTFCX	J.P. MORGAN	JPMORGAN TAX FREE BOND C	C	LOAD	OPEN	1600	1000	1000
PMBAX	J.P. MORGAN	JPMORGAN TAX FREE BOND FUND CL A	A	LOAD	OPEN	1600	1000	1000
RUNFX	J.P. MORGAN	JPMORGAN TAX FREE BOND R6	R6	NO LOAD	REDEEM ONLY	1600	15000000	15000000
VTIXX	J.P. MORGAN	JPMORGAN TAX FREE MONEY MKT FD AGENCY CL	AGENCY	LOAD	OPEN	1200	5000000	5000000
JTFXX	J.P. MORGAN	JPMORGAN TAX FREE MONEY MKT FD INST CL	INSTITUTIONAL	NO LOAD	OPEN	1600	10000000	10000000
VTMXX	J.P. MORGAN	JPMORGAN TAX FREE MONEY MKT FD MORGAN CL SHR	MORGAN	NO LOAD	OPEN	1600	100000	100000
VXPXX	J.P. MORGAN	JPMORGAN TAX FREE MONEY MKT FD PREMIER CL	PREMIER	LOAD	REDEEM ONLY	1600	1000000	1000000
JMTAX	J.P. MORGAN	JPMORGAN TOTAL RETURN FD A	A	LOAD	OPEN	1600	1000	1000
JMTCX	J.P. MORGAN	JPMORGAN TOTAL RETURN FD C	C	LOAD	OPEN	1600	1000	1000
JMTRX	J.P. MORGAN	JPMORGAN TOTAL RETURN FD R5	R5	NO LOAD	REDEEM ONLY	1600	0	0
JMTIX	J.P. MORGAN	JPMORGAN TOTAL RETURN R6	R6	NO LOAD	REDEEM ONLY	1600	15000000	15000000
JEDAX	J.P. MORGAN	JPMORGAN TR I EMERGING MKTS DEBT FD CL A	A	LOAD	OPEN	1600	1000	1000
JIGAX	J.P. MORGAN	JPMORGAN U.S. GARP EQUITY A	A	LOAD	OPEN	1600	1000	1000
JCICX	J.P. MORGAN	JPMORGAN U.S. GARP EQUITY C	C	LOAD	OPEN	1600	1000	1000
JPGSX	J.P. MORGAN	JPMORGAN U.S. GARP EQUITY I	I	NO LOAD	OPEN	1600	100000	100000
JGIRX	J.P. MORGAN	JPMORGAN U.S. GARP EQUITY R5	R5	NO LOAD	REDEEM ONLY	1600	0	0
JGISX	J.P. MORGAN	JPMORGAN U.S. GARP EQUITY R6	R6	NO LOAD	REDEEM ONLY	1600	15000000	15000000
JLPCX	J.P. MORGAN	JPMORGAN U.S. LARGE CAP CORE PLUS C	C	LOAD	OPEN	1600	1000	1000
JTUAX	J.P. MORGAN	JPMORGAN U.S. SMALL CO A	A	LOAD	OPEN	1600	1000	1000
JTUCX	J.P. MORGAN	JPMORGAN U.S. SMALL CO C	C	LOAD	OPEN	1600	1000	1000
VGRIX	J.P. MORGAN	JPMORGAN U.S. VALUE FD CL A	A	LOAD	OPEN	1600	1000	1000
VGICX	J.P. MORGAN	JPMORGAN U.S. VALUE FD CL C	C	LOAD	OPEN	1600	1000	1000

SYMBOL	FUND FAMILY	FUND DESCRIPTION	SHARE CLASS	FUND TYPE	STATUS	CUT- OFF	IRA MIN	NON-IRA MIN
VGII	J.P. MORGAN	JPMORGAN U.S. VALUE FD CL I	I	NO LOAD	OPEN	1600	100000	100000
VGIX	J.P. MORGAN	JPMORGAN U.S. VALUE FD CL R6	R6	NO LOAD	REDEEM ONLY	1600	15000000	15000000
USMSX	J.P. MORGAN	JPMORGAN ULTRA SHORT MUNI A	A	NO LOAD	OPEN	1600	1000	1000
USMTX	J.P. MORGAN	JPMORGAN ULTRA SHORT MUNI I	I	NO LOAD	OPEN	1600	1000000	1000000
JSIAX	J.P. MORGAN	JPMORGAN UNCONSTRAINED DEBT A	A	LOAD	OPEN	1600	1000	1000
JINCX	J.P. MORGAN	JPMORGAN UNCONSTRAINED DEBT C	C	LOAD	OPEN	1600	1000	1000
JSIMX	J.P. MORGAN	JPMORGAN UNCONSTRAINED DEBT R6	R6	NO LOAD	REDEEM ONLY	1600	15000000	15000000
JUECX	J.P. MORGAN	JPMORGAN US EQTY FD CL C	C	LOAD	OPEN	1600	1000	1000
JUSRX	J.P. MORGAN	JPMORGAN US EQTY R5	R5	NO LOAD	REDEEM ONLY	1600	0	0
JMUEX	J.P. MORGAN	JPMORGAN US EQUITY CL L	L	NO LOAD	SUBS ONLY	1600	3000000	3000000
JUEMX	J.P. MORGAN	JPMORGAN US EQUITY CL R6	R6	NO LOAD	REDEEM ONLY	1600	15000000	15000000
OGVXX	J.P. MORGAN	JPMORGAN US GOVERNMENT MMKT CAPITAL	CAPITAL	NO LOAD	OPEN	1600	100	100
IJGXX	J.P. MORGAN	JPMORGAN US GOVERNMENT MMKT INSTL	INSTITUTIONAL	NO LOAD	REDEEM ONLY	1600	10000000	10000000
OGAXX	J.P. MORGAN	JPMORGAN US GOVT MONEY MKT FD AGENCY CL SHR	AGENCY	NO LOAD	REDEEM ONLY	1600	5000000	5000000
MJGXX	J.P. MORGAN	JPMORGAN US GOVT MONEY MKT FD MORGAN CL	MORGAN	NO LOAD	OPEN	1600	1000	1000
OGSXX	J.P. MORGAN	JPMORGAN US GOVT MONEY MKT FD PREMIER CL SHR	PREMIER	NO LOAD	OPEN	1600	1000000	1000000
JCPRX	J.P. MORGAN	JPMORGAN US LARGE CAP CORE PLUS R5	R5	NO LOAD	REDEEM ONLY	1600	0	0
JLPYX	J.P. MORGAN	JPMORGAN US LARGE CAP CORE PLUS R6	R6	NO LOAD	REDEEM ONLY	1600	15000000	15000000
JDEAX	J.P. MORGAN	JPMORGAN US RESEARCH ENHANCED EQ FD CL A	A	LOAD	SUBS ONLY	1600	1000	1000
JDESX	J.P. MORGAN	JPMORGAN US RESEARCH ENHANCED EQ FD CL I	I	NO LOAD	OPEN	1600	100000	100000
JDEUX	J.P. MORGAN	JPMORGAN US RESEARCH ENHANCED EQ FD CL R6	R6	LOAD WAIVED	REDEEM ONLY	1600	15000000	15000000
JUSSX	J.P. MORGAN	JPMORGAN US SMALL CO CL L	L	NO LOAD	SUBS ONLY	1600	3000000	3000000
JUSMX	J.P. MORGAN	JPMORGAN US SMALL CO CL R6	R6	NO LOAD	REDEEM ONLY	1600	15000000	15000000
JICAX	J.P. MORGAN	JPMORGAN US SUSTAINABLE LEADERS FD CL A	A	LOAD	OPEN	1600	1000	1000
JICCX	J.P. MORGAN	JPMORGAN US SUSTAINABLE LEADERS FD CL C	C	LOAD	OPEN	1600	1000	1000
JISX	J.P. MORGAN	JPMORGAN US SUSTAINABLE LEADERS FD CL I	I	NO LOAD	OPEN	1600	100000	100000
JIGX	J.P. MORGAN	JPMORGAN US SUSTAINABLE LEADERS R6	R6	NO LOAD	REDEEM ONLY	1600	15000000	15000000
AJTX	J.P. MORGAN	JPMORGAN US TREASURY PLUS MMKT AGENCY	AGENCY	NO LOAD	REDEEM ONLY	1600	5000000	5000000
IJTX	J.P. MORGAN	JPMORGAN US TREASURY PLUS MONEY MKT FD INST	INSTITUTIONAL	NO LOAD	REDEEM ONLY	1600	10000000	10000000
HTIX	J.P. MORGAN	JPMORGAN US TRSY PLUS MMKT FD RESERVE	RESERVE	LOAD	OPEN	1600	10000000	10000000
MJTX	J.P. MORGAN	JPMORGAN US TRSY PLUS MONEY MKT FD MORGAN CL SHR	MORGAN	NO LOAD	OPEN	1600	1000	1000
PJTX	J.P. MORGAN	JPMORGAN US TRSY PLUS MONEY MKT FD PREMIER CL S	PREMIER	NO LOAD	REDEEM ONLY	1600	1000000	1000000
JVAIX	J.P. MORGAN	JPMORGAN VALUE ADVANTAGE CL L	L	NO LOAD	SUBS ONLY	1600	3000000	3000000
JVAYX	J.P. MORGAN	JPMORGAN VALUE ADVANTAGE CL R6	R6	NO LOAD	REDEEM ONLY	1600	15000000	15000000
JGAQX	J.P. MORGAN	JPMORGAN VALUE ADVANTAGE R2	R2	NO LOAD	REDEEM ONLY	1600	0	0
UBVRX	J.P. MORGAN	UNDISCOVERED MANAGERS BEHAVIORAL VAL R2	R2	NO LOAD	REDEEM ONLY	1600	0	0
UBVAX	J.P. MORGAN	UNDISCOVERED MGRS BEHAVIORAL VALUE A	A	LOAD	OPEN	1600	1000	1000
UBVCX	J.P. MORGAN	UNDISCOVERED MGRS BEHAVIORAL VALUE C	C	LOAD	OPEN	1600	1000	1000
UBVSX	J.P. MORGAN	UNDISCOVERED MGRS BEHAVIORAL VALUE CL I	I	NO LOAD	OPEN	1600	100000	100000
UBVLX	J.P. MORGAN	UNDISCOVERED MGRS BEHAVIORAL VALUE CL L	L	NO LOAD	SUBS ONLY	1600	3000000	3000000
UBVFX	J.P. MORGAN	UNDISCOVERED MGRS BEHAVIORAL VALUE R6	R6	NO LOAD	REDEEM ONLY	1600	15000000	15000000

SYMBOL	FUND FAMILY	FUND DESCRIPTION	SHARE CLASS	FUND TYPE	STATUS	CUT- OFF	IRA MIN	NON-IRA MIN
JSPIX	JACKSON SQUARE	JACKSON SQUARE LARGE CAP GROWTH INSTL	INSTITUTIONAL	NO LOAD	OPEN	1600	0	0
JSPJX	JACKSON SQUARE	JACKSON SQUARE LARGE CAP GROWTH INV	INVESTOR	NO LOAD	OPEN	1600	0	0
DPLGX	JACKSON SQUARE	JACKSON SQUARE LG CAP GWTH IS	IS	NO LOAD	OPEN	1600	1000000	1000000
JSMTX	JACKSON SQUARE	JACKSON SQUARE SMID CAP GWTH INST	INSTITUTIONAL	NO LOAD	OPEN	1600	100000	100000
JSMVX	JACKSON SQUARE	JACKSON SQUARE SMID CAP GWTH INV	INVESTOR	NO LOAD	OPEN	1600	100	100
DCGTX	JACKSON SQUARE	JACKSON SQUARE SMID CAP GWTH IS	IS	NO LOAD	OPEN	1600	1000000	1000000
JAMFX	JACOB	JACOB INTERNET FUND	NO LOAD	NO LOAD	OPEN	1600	100	100
JMIGX	JACOB	JACOB MICRO CAP GWTH FD CL I	I	NO LOAD	OPEN	1600	100000	100000
JMCGX	JACOB	JACOB MICRO CAP GWTH FD CL INV	INVESTOR	NO LOAD	OPEN	1600	100	100
JSCGX	JACOB	JACOB SMALL CAP GROWTH FD	NO LOAD	NO LOAD	OPEN	1600	100	100
JSIGX	JACOB	JACOB SMALL CAP GWTH INST	I	NO LOAD	OPEN	1600	100000	100000
JLGAX	JAG FUNDS	JAG LARGE CAP GROWTH A	A	LOAD	SUBS ONLY	1600	100	100
JLGIX	JAG FUNDS	JAG LARGE CAP GROWTH I	I	NO LOAD	OPEN	1600	250000	250000
JGRRX	JAG FUNDS	JAG LARGE CAP GROWTH R	R	NO LOAD	REDEEM ONLY	1600	250	250
JAVAX	JAMES FUNDS	JAMES AGGRESSIVE ALLOC	NO LOAD	NO LOAD	OPEN	1600	0	0
GLRBX	JAMES FUNDS	JAMES BALANCED GOLDEN RAINBOW FD RETAIL SH CL	RETAIL	NO LOAD	OPEN	1600	100	100
JMCRX	JAMES FUNDS	JAMES MICRO CAP FD	NO LOAD	NO LOAD	OPEN	1600	5000	10000
JASCX	JAMES FUNDS	JAMES SMALL CAP FUND	NO LOAD	NO LOAD	OPEN	1600	100	100
GLRIX	JAMES FUNDS	THE JAMES BALANCED GOLDEN RAINBOW FD INST	INSTITUTIONAL	NO LOAD	OPEN	1600	0	0
JAMEX	JAMESTOWN	JAMESTOWN EQUITY FUND	NO LOAD	NO LOAD	OPEN	1600	1000	5000
JUCRX	JANUS	JANUS HENDERSON ABS RET INC OPPORTS R	R	NO LOAD	REDEEM ONLY	1600	500	2500
JUCAX	JANUS	JANUS HENDERSON ABS RET INCM OPPORT FD CL A	A	LOAD	OPEN	1600	500	2500
JUCCX	JANUS	JANUS HENDERSON ABS RET INCM OPPORT FD CL C	C	LOAD	OPEN	1600	500	2500
JUCIX	JANUS	JANUS HENDERSON ABS RET INCM OPPORT FD CL I	INSTITUTIONAL	NO LOAD	OPEN	1600	500	2500
JUCNX	JANUS	JANUS HENDERSON ABS RET INCM OPPORT FD CL N	N	NO LOAD	REDEEM ONLY	1600	0	0
JUCSX	JANUS	JANUS HENDERSON ABS RET INCM OPPORT FD CL S	S	NO LOAD	REDEEM ONLY	1600	500	2500
JUCTX	JANUS	JANUS HENDERSON ABS RET INCM OPPORT FD CL T	T	NO LOAD	OPEN	1600	500	2500
JDBAX	JANUS	JANUS HENDERSON BALANCED FD CL A	A	LOAD	OPEN	1600	500	2500
JBCX	JANUS	JANUS HENDERSON BALANCED FD CL C	C	LOAD	OPEN	1600	500	2500
JBALX	JANUS	JANUS HENDERSON BALANCED FD CL I	INSTITUTIONAL	NO LOAD	OPEN	1600	500	2500
JABNX	JANUS	JANUS HENDERSON BALANCED FD CL N	N	NO LOAD	REDEEM ONLY	1600	0	0
JDBRX	JANUS	JANUS HENDERSON BALANCED FD CL R	R	NO LOAD	REDEEM ONLY	1600	1000	2500
JABRX	JANUS	JANUS HENDERSON BALANCED FD CL S	S	NO LOAD	REDEEM ONLY	1600	500	2500
JABAX	JANUS	JANUS HENDERSON BALANCED FD CL T	T	NO LOAD	OPEN	1600	500	2500
JCNAX	JANUS	JANUS HENDERSON CONTRARIAN FD A	A	LOAD	OPEN	1600	500	2500
JCNCX	JANUS	JANUS HENDERSON CONTRARIAN FD C	C	LOAD	OPEN	1600	500	2500
JCONX	JANUS	JANUS HENDERSON CONTRARIAN FD I	INSTITUTIONAL	NO LOAD	OPEN	1600	500	2500
JCNRX	JANUS	JANUS HENDERSON CONTRARIAN FD R	R	NO LOAD	REDEEM ONLY	1600	1000	2500
JCNIX	JANUS	JANUS HENDERSON CONTRARIAN FD S	S	NO LOAD	REDEEM ONLY	1600	500	2500
JSVAX	JANUS	JANUS HENDERSON CONTRARIAN FD T	T	NO LOAD	OPEN	1600	500	2500
JCNNX	JANUS	JANUS HENDERSON CONTRARIAN N	N	NO LOAD	REDEEM ONLY	1600	100000	100000

SYMBOL	FUND FAMILY	FUND DESCRIPTION	SHARE CLASS	FUND TYPE	STATUS	CUT- OFF	IRA MIN	NON-IRA MIN
HFAAX	JANUS	JANUS HENDERSON DEVELOPED WORLD BD FD CL A	A	LOAD	OPEN	1600	500	2500
HFACX	JANUS	JANUS HENDERSON DEVELOPED WORLD BD FD CL C	C	LOAD	OPEN	1600	500	2500
HFAIX	JANUS	JANUS HENDERSON DEVELOPED WORLD BD FD CL I	INSTITUTIONAL	NO LOAD	OPEN	1600	500	2500
HFATX	JANUS	JANUS HENDERSON DEVELOPED WORLD BD FD CL T	T	NO LOAD	OPEN	1600	500	2500
HFARX	JANUS	JANUS HENDERSON DEVELOPED WORLD BD N	N	NO LOAD	REDEEM ONLY	1600	500	2500
HDAVX	JANUS	JANUS HENDERSON DIV & INCM BUILDER A	A	LOAD	OPEN	1600	500	2500
HDIVX	JANUS	JANUS HENDERSON DIV & INCM BUILDER I	INSTITUTIONAL	NO LOAD	OPEN	1600	500	2500
HDTVX	JANUS	JANUS HENDERSON DIV & INCM BUILDER T	T	NO LOAD	OPEN	1600	500	2500
HDCVX	JANUS	JANUS HENDERSON DIVIDEND & INC BUILDER C	C	LOAD	OPEN	1600	500	2500
HEMCX	JANUS	JANUS HENDERSON EMERGING MARKETS C	C	LOAD	OPEN	1600	500	2500
HEMAX	JANUS	JANUS HENDERSON EMRG MKTS FD A	A	LOAD	OPEN	1600	500	2500
HEMIX	JANUS	JANUS HENDERSON EMRG MKTS FD I	INSTITUTIONAL	NO LOAD	OPEN	1600	500	2500
HEMTX	JANUS	JANUS HENDERSON EMRG MKTS FD T	T	NO LOAD	OPEN	1600	500	2500
JDMAX	JANUS	JANUS HENDERSON ENTERPRISE FD A	A	LOAD	OPEN	1600	500	2500
JGRCX	JANUS	JANUS HENDERSON ENTERPRISE FD C	C	LOAD	OPEN	1600	500	2500
JMGRX	JANUS	JANUS HENDERSON ENTERPRISE FD I	INSTITUTIONAL	NO LOAD	OPEN	1600	500	2500
JDMNX	JANUS	JANUS HENDERSON ENTERPRISE FD N	N	NO LOAD	REDEEM ONLY	1600	0	0
JDMRX	JANUS	JANUS HENDERSON ENTERPRISE FD R	R	NO LOAD	REDEEM ONLY	1600	1000	2500
JGRTX	JANUS	JANUS HENDERSON ENTERPRISE FD S	S	NO LOAD	REDEEM ONLY	1600	500	2500
JAENX	JANUS	JANUS HENDERSON ENTERPRISE FD T	T	NO LOAD	OPEN	1600	500	2500
HFEAX	JANUS	JANUS HENDERSON EUROPEAN FOCUS A	A	LOAD	OPEN	1600	500	2500
HFECX	JANUS	JANUS HENDERSON EUROPEAN FOCUS C	C	LOAD	OPEN	1600	500	2500
HFEIX	JANUS	JANUS HENDERSON EUROPEAN FOCUS I	INSTITUTIONAL	NO LOAD	OPEN	1600	500	2500
HFETX	JANUS	JANUS HENDERSON EUROPEAN FOCUS T	T	NO LOAD	OPEN	1600	500	2500
JDFAX	JANUS	JANUS HENDERSON FLEXIBLE BD FD CL A	A	LOAD	OPEN	1600	500	2500
JFICX	JANUS	JANUS HENDERSON FLEXIBLE BD FD CL C	C	LOAD	OPEN	1600	500	2500
JFLEX	JANUS	JANUS HENDERSON FLEXIBLE BD FD CL I	INSTITUTIONAL	NO LOAD	OPEN	1600	500	2500
JDFNX	JANUS	JANUS HENDERSON FLEXIBLE BD FD CL N	N	NO LOAD	REDEEM ONLY	1600	0	0
JDFRX	JANUS	JANUS HENDERSON FLEXIBLE BD FD CL R	R	NO LOAD	REDEEM ONLY	1600	500	2500
JADFX	JANUS	JANUS HENDERSON FLEXIBLE BD FD CL S	S	NO LOAD	REDEEM ONLY	1600	500	2500
JAFIX	JANUS	JANUS HENDERSON FLEXIBLE BD FD CL T	T	NO LOAD	OPEN	1600	500	2500
JDCAX	JANUS	JANUS HENDERSON FORTY FD CL A	A	LOAD	OPEN	1600	500	2500
JACCX	JANUS	JANUS HENDERSON FORTY FD CL C	C	LOAD	OPEN	1600	500	2500
JCAPX	JANUS	JANUS HENDERSON FORTY FD CL I	INSTITUTIONAL	NO LOAD	OPEN	1600	500	2500
JFRNX	JANUS	JANUS HENDERSON FORTY FD CL N	N	NO LOAD	REDEEM ONLY	1600	0	0
JDCRX	JANUS	JANUS HENDERSON FORTY FD CL R	R	NO LOAD	REDEEM ONLY	1600	2500	2500
JARTX	JANUS	JANUS HENDERSON FORTY FD CL S	S	NO LOAD	REDEEM ONLY	1600	500	2500
JACTX	JANUS	JANUS HENDERSON FORTY FD CL T	T	NO LOAD	OPEN	1600	500	2500
JCACX	JANUS	JANUS HENDERSON GLBL ALLOC CONSERV C	C	LOAD	OPEN	1600	500	2500
JCAIX	JANUS	JANUS HENDERSON GLBL ALLOC CONSERV I	INSTITUTIONAL	NO LOAD	OPEN	1600	500	2500
JSPCX	JANUS	JANUS HENDERSON GLBL ALLOC CONSERV T	T	NO LOAD	OPEN	1600	500	2500

SYMBOL	FUND FAMILY	FUND DESCRIPTION	SHARE CLASS	FUND TYPE	STATUS	CUT- OFF	IRA MIN	NON-IRA MIN
JGCAX	JANUS	JANUS HENDERSON GLBL ALLOC GWTH A	A	LOAD	OPEN	1600	500	2500
JGCCX	JANUS	JANUS HENDERSON GLBL ALLOC GWTH C	C	LOAD	OPEN	1600	500	2500
JGCIX	JANUS	JANUS HENDERSON GLBL ALLOC GWTH I	INSTITUTIONAL	NO LOAD	OPEN	1600	500	2500
JSPGX	JANUS	JANUS HENDERSON GLBL ALLOC GWTH T	T	NO LOAD	OPEN	1600	500	2500
JMOAX	JANUS	JANUS HENDERSON GLBL ALLOC MOD A	A	LOAD	OPEN	1600	500	2500
JMOCX	JANUS	JANUS HENDERSON GLBL ALLOC MOD C	C	LOAD	OPEN	1600	500	2500
JMOIX	JANUS	JANUS HENDERSON GLBL ALLOC MOD I	INSTITUTIONAL	NO LOAD	OPEN	1600	500	2500
JSPMX	JANUS	JANUS HENDERSON GLBL ALLOC MOD T	T	NO LOAD	OPEN	1600	500	2500
HFQAX	JANUS	JANUS HENDERSON GLBL EQTY INCM A	A	LOAD	OPEN	1600	500	2500
HFQCX	JANUS	JANUS HENDERSON GLBL EQTY INCM C	C	LOAD	OPEN	1600	500	2500
HFQIX	JANUS	JANUS HENDERSON GLBL EQTY INCM I	INSTITUTIONAL	NO LOAD	OPEN	1600	500	2500
HFQTX	JANUS	JANUS HENDERSON GLBL EQTY INCM T	T	NO LOAD	OPEN	1600	500	2500
JFNNX	JANUS	JANUS HENDERSON GLBL LIFE SCEINCES N	N	NO LOAD	REDEEM ONLY	1600	500	2500
JFNSX	JANUS	JANUS HENDERSON GLBL LIFE SCIENCES S	S	NO LOAD	REDEEM ONLY	1600	500	2500
JAGLX	JANUS	JANUS HENDERSON GLBL LIFE SCIENCES T	T	NO LOAD	OPEN	1600	500	2500
JERCX	JANUS	JANUS HENDERSON GLBL REAL ESTATE C	C	LOAD	OPEN	1600	500	2500
JERIX	JANUS	JANUS HENDERSON GLBL REAL ESTATE I	INSTITUTIONAL	NO LOAD	OPEN	1600	500	2500
JERNX	JANUS	JANUS HENDERSON GLBL REAL ESTATE N	N	NO LOAD	REDEEM ONLY	1600	500	2500
JERTX	JANUS	JANUS HENDERSON GLBL REAL ESTATE T	T	NO LOAD	OPEN	1600	500	2500
JDWAX	JANUS	JANUS HENDERSON GLBL RESEARCH FD A	A	LOAD	OPEN	1600	500	2500
JWWCX	JANUS	JANUS HENDERSON GLBL RESEARCH FD C	C	LOAD	OPEN	1600	500	2500
JWWFX	JANUS	JANUS HENDERSON GLBL RESEARCH FD I	INSTITUTIONAL	NO LOAD	OPEN	1600	500	2500
JWGRX	JANUS	JANUS HENDERSON GLBL RESEARCH FD S	S	NO LOAD	REDEEM ONLY	1600	500	2500
JAWWX	JANUS	JANUS HENDERSON GLBL RESEARCH FD T	T	NO LOAD	OPEN	1600	500	2500
JORAX	JANUS	JANUS HENDERSON GLBL SELECT A	A	LOAD	OPEN	1600	500	2500
JORCX	JANUS	JANUS HENDERSON GLBL SELECT C	C	LOAD	OPEN	1600	500	2500
JORFX	JANUS	JANUS HENDERSON GLBL SELECT I	INSTITUTIONAL	NO LOAD	OPEN	1600	500	2500
JORRX	JANUS	JANUS HENDERSON GLBL SELECT R	R	NO LOAD	REDEEM ONLY	1600	1000	2500
JORNX	JANUS	JANUS HENDERSON GLBL SELECT T	T	NO LOAD	OPEN	1600	500	2500
JATAX	JANUS	JANUS HENDERSON GLBL TECH AND INNOVATION FD CL A	A	LOAD	OPEN	1600	500	2500
JAGCX	JANUS	JANUS HENDERSON GLBL TECH AND INNOVATION FD CL C	C	LOAD	OPEN	1600	500	2500
JATIX	JANUS	JANUS HENDERSON GLBL TECH AND INNOVATION FD CL I	INSTITUTIONAL	NO LOAD	OPEN	1600	500	2500
JATNX	JANUS	JANUS HENDERSON GLBL TECH AND INNOVATION FD CL N	N	NO LOAD	REDEEM ONLY	1600	500	2500
JATSX	JANUS	JANUS HENDERSON GLBL TECH AND INNOVATION FD CL S	S	NO LOAD	REDEEM ONLY	1600	500	2500
JAGTX	JANUS	JANUS HENDERSON GLBL TECH AND INNOVATION FD CL T	T	NO LOAD	OPEN	1600	500	2500
JFNAX	JANUS	JANUS HENDERSON GLBL LIFE SCIENCES A	A	LOAD	OPEN	1600	500	2500
JFNCX	JANUS	JANUS HENDERSON GLBL LIFE SCIENCES C	C	LOAD	OPEN	1600	500	2500
JFNIX	JANUS	JANUS HENDERSON GLBL LIFE SCIENCES I	INSTITUTIONAL	NO LOAD	OPEN	1600	500	2500
JERAX	JANUS	JANUS HENDERSON GLBL REAL ESTATE A	A	LOAD	OPEN	1600	500	2500
JCAAX	JANUS	JANUS HENDERSON GLOBAL ALLC CNSRV A	A	LOAD	OPEN	1600	500	2500
HFQRX	JANUS	JANUS HENDERSON GLOBAL EQUITY INCOME N	N	NO LOAD	REDEEM ONLY	1600	500	2500

SYMBOL	FUND FAMILY	FUND DESCRIPTION	SHARE CLASS	FUND TYPE	STATUS	CUT- OFF	IRA MIN	NON-IRA MIN
JERSX	JANUS	JANUS HENDERSON GLOBAL REAL ESTATE S	S	NO LOAD	REDEEM ONLY	1600	500	2500
JDWRX	JANUS	JANUS HENDERSON GLOBAL RESEARCH R	R	NO LOAD	REDEEM ONLY	1600	500	2500
JSLNX	JANUS	JANUS HENDERSON GLOBAL SELECT N	N	NO LOAD	REDEEM ONLY	1600	100000	100000
JEUIX	JANUS	JANUS HENDERSON GLOBAL SUST EQ I	INSTITUTIONAL	NO LOAD	OPEN	1600	500	2500
JETTX	JANUS	JANUS HENDERSON GLOBAL SUST EQ T	T	NO LOAD	OPEN	1600	500	2500
ACTXX	JANUS	JANUS HENDERSON GOVT MMF FD CL T	T	LOAD	OPEN	1600	500	2500
JDNAX	JANUS	JANUS HENDERSON GROWTH AND INCM CL A	A	LOAD	OPEN	1600	500	2500
JGICX	JANUS	JANUS HENDERSON GROWTH AND INCM CL C	C	LOAD	OPEN	1600	500	2500
JGINX	JANUS	JANUS HENDERSON GROWTH AND INCM CL I	INSTITUTIONAL	NO LOAD	OPEN	1600	500	2500
JADGX	JANUS	JANUS HENDERSON GROWTH AND INCM CL S	S	NO LOAD	REDEEM ONLY	1600	500	2500
JAGIX	JANUS	JANUS HENDERSON GROWTH AND INCM CL T	T	NO LOAD	OPEN	1600	500	2500
JDNRX	JANUS	JANUS HENDERSON GROWTH AND INCOME R	R	NO LOAD	REDEEM ONLY	1600	500	2500
JHYAX	JANUS	JANUS HENDERSON HIGH YIELD FD CL A	A	LOAD	OPEN	1600	500	2500
JDHCX	JANUS	JANUS HENDERSON HIGH YIELD FD CL C	C	LOAD	OPEN	1600	500	2500
JHYFX	JANUS	JANUS HENDERSON HIGH YIELD FD CL I	INSTITUTIONAL	NO LOAD	OPEN	1600	500	2500
JDHYX	JANUS	JANUS HENDERSON HIGH YIELD FD CL S	S	NO LOAD	REDEEM ONLY	1600	500	2500
JAHYX	JANUS	JANUS HENDERSON HIGH YIELD FD CL T	T	NO LOAD	OPEN	1600	500	2500
JHYRX	JANUS	JANUS HENDERSON HIGHYIELD R	R	NO LOAD	REDEEM ONLY	1600	500	2500
JDPAX	JANUS	JANUS HENDERSON MID CAP VALUE A	A	LOAD	OPEN	1600	500	2500
JMVCX	JANUS	JANUS HENDERSON MID CAP VALUE C	C	LOAD	OPEN	1600	500	2500
JMVAX	JANUS	JANUS HENDERSON MID CAP VALUE I	INSTITUTIONAL	NO LOAD	OPEN	1600	500	2500
JDPNX	JANUS	JANUS HENDERSON MID CAP VALUE N	N	NO LOAD	REDEEM ONLY	1600	0	0
JDPRX	JANUS	JANUS HENDERSON MID CAP VALUE R	R	NO LOAD	REDEEM ONLY	1600	1000	2500
JMVIX	JANUS	JANUS HENDERSON MID CAP VALUE S	S	NO LOAD	REDEEM ONLY	1600	500	2500
JMCVX	JANUS	JANUS HENDERSON MID CAP VALUE T	T	NO LOAD	OPEN	1600	500	2500
JAMXX	JANUS	JANUS HENDERSON MONEY MARKET FUND T SHARES	T	NO LOAD	OPEN	1600	500	2500
JMUCX	JANUS	JANUS HENDERSON MULTI SECTOR INCM FD C	C	LOAD	OPEN	1600	500	2500
JMUIX	JANUS	JANUS HENDERSON MULTI SECTOR INCM FD I	INSTITUTIONAL	NO LOAD	OPEN	1600	500	2500
JMUTX	JANUS	JANUS HENDERSON MULTI SECTOR INCM FD T	T	NO LOAD	OPEN	1600	500	2500
JMUAX	JANUS	JANUS HENDERSON MULTISECTOR INCM FD A	A	LOAD	OPEN	1600	500	2500
JMTNX	JANUS	JANUS HENDERSON MULTISECTOR INCOME N	N	NO LOAD	REDEEM ONLY	1600	100000	100000
JDIAX	JANUS	JANUS HENDERSON OVERSEAS FD CL A	A	LOAD	OPEN	1600	500	2500
JIGCX	JANUS	JANUS HENDERSON OVERSEAS FD CL C	C	LOAD	OPEN	1600	500	2500
JIGFX	JANUS	JANUS HENDERSON OVERSEAS FD CL I	INSTITUTIONAL	NO LOAD	OPEN	1600	500	2500
JDIRX	JANUS	JANUS HENDERSON OVERSEAS FD CL R	R	NO LOAD	REDEEM ONLY	1600	1000	2500
JIGRX	JANUS	JANUS HENDERSON OVERSEAS FD CL S	S	NO LOAD	REDEEM ONLY	1600	500	2500
JAOSX	JANUS	JANUS HENDERSON OVERSEAS FD CL T	T	NO LOAD	OPEN	1600	500	2500
JDINX	JANUS	JANUS HENDERSON OVERSEAS N	N	NO LOAD	REDEEM ONLY	1600	100000	100000
JRAAX	JANUS	JANUS HENDERSON RESEARCH FD CL A	A	LOAD	OPEN	1600	500	2500
JRACX	JANUS	JANUS HENDERSON RESEARCH FD CL C	C	LOAD	OPEN	1600	500	2500
JRAIX	JANUS	JANUS HENDERSON RESEARCH FD CL I	INSTITUTIONAL	NO LOAD	OPEN	1600	500	2500

SYMBOL	FUND FAMILY	FUND DESCRIPTION	SHARE CLASS	FUND TYPE	STATUS	CUT- OFF	IRA MIN	NON-IRA MIN
JRASX	JANUS	JANUS HENDERSON RESEARCH FD CL S	S	NO LOAD	REDEEM ONLY	1600	500	2500
JAMRX	JANUS	JANUS HENDERSON RESEARCH FD CL T	T	NO LOAD	OPEN	1600	500	2500
JRANX	JANUS	JANUS HENDERSON RESEARCH N	N	NO LOAD	REDEEM ONLY	1600	500	2500
JRARX	JANUS	JANUS HENDERSON RESEARCH R	R	NO LOAD	REDEEM ONLY	1600	500	2500
JSHAX	JANUS	JANUS HENDERSON SHORT DURATION FLEX BD A	A	LOAD	OPEN	1600	500	2500
JSHCX	JANUS	JANUS HENDERSON SHORT DURATION FLEX BD C	C	LOAD	OPEN	1600	500	2500
JSHIX	JANUS	JANUS HENDERSON SHORT DURATION FLEX BD I	INSTITUTIONAL	NO LOAD	OPEN	1600	500	2500
JSHSX	JANUS	JANUS HENDERSON SHORT DURATION FLEX BD S	S	NO LOAD	REDEEM ONLY	1600	500	2500
JASBX	JANUS	JANUS HENDERSON SHORT DURATION FLEX BD T	T	NO LOAD	OPEN	1600	500	2500
JVSAX	JANUS	JANUS HENDERSON SM MID CAP VALUE A	A	LOAD	OPEN	1600	500	2500
JDSAX	JANUS	JANUS HENDERSON SMALL CAP VALUE A	A	LOAD	OPEN	1600	500	2500
JDSRX	JANUS	JANUS HENDERSON SMALL CAP VALUE R	R	NO LOAD	REDEEM ONLY	1600	500	2500
JVSIX	JANUS	JANUS HENDERSON SMALL MID CAP VALUE FD CL I	INSTITUTIONAL	NO LOAD	OPEN	1600	500	2500
JSVTX	JANUS	JANUS HENDERSON SMALL MID CAP VALUE FD CL T	T	NO LOAD	OPEN	1600	500	2500
JCSCX	JANUS	JANUS HENDERSON SMALLCAP VALUE C	C	LOAD	OPEN	1600	500	2500
JSCOX	JANUS	JANUS HENDERSON SMALLCAP VALUE I	INSTITUTIONAL	NO LOAD	OPEN	1600	500	2500
JSIVX	JANUS	JANUS HENDERSON SMALLCAP VALUE L	L	NO LOAD	SUBS ONLY	1600	500	2500
JDSNX	JANUS	JANUS HENDERSON SMALLCAP VALUE N	N	NO LOAD	OPEN	1600	0	0
JJSCX	JANUS	JANUS HENDERSON SMALLCAP VALUE S	S	NO LOAD	REDEEM ONLY	1600	500	2500
JSCVX	JANUS	JANUS HENDERSON SMALLCAP VALUE T	T	NO LOAD	OPEN	1600	500	2500
JVSCX	JANUS	JANUS HENDERSON SMALLMID CAP VALUE C	C	LOAD	OPEN	1600	500	2500
JVSNX	JANUS	JANUS HENDERSON SMALLMID CAP VALUE N	N	NO LOAD	REDEEM ONLY	1600	100000	100000
JGMRX	JANUS	JANUS HENDERSON TRITON FD CL R	R	NO LOAD	REDEEM ONLY	1600	1000	2500
JGMAX	JANUS	JANUS HENDERSON TRITONFD CL A	A	LOAD	OPEN	1600	500	2500
JGMCX	JANUS	JANUS HENDERSON TRITONFD CL C	C	LOAD	OPEN	1600	500	2500
JSMGX	JANUS	JANUS HENDERSON TRITONFD CL I	INSTITUTIONAL	NO LOAD	OPEN	1600	500	2500
JGMNX	JANUS	JANUS HENDERSON TRITONFD CL N	N	NO LOAD	OPEN	1600	0	0
JGMIX	JANUS	JANUS HENDERSON TRITONFD CL S	S	NO LOAD	REDEEM ONLY	1600	500	2500
JATTX	JANUS	JANUS HENDERSON TRITONFD CL T	T	NO LOAD	OPEN	1600	500	2500
JIDVX	JANUS	JANUS HENDERSON U.S. DIVIDEND INCOME I	I	NO LOAD	OPEN	1600	500	2500
JRSAX	JANUS	JANUS HENDERSON US MGDVOLATILITY A	A	LOAD	OPEN	1600	500	2500
JRSCX	JANUS	JANUS HENDERSON US MGDVOLATILITY C	C	LOAD	OPEN	1600	500	2500
JRSIX	JANUS	JANUS HENDERSON US MGDVOLATILITY I	INSTITUTIONAL	NO LOAD	OPEN	1600	500	2500
JRSSX	JANUS	JANUS HENDERSON US MGDVOLATILITY S	S	NO LOAD	REDEEM ONLY	1600	500	2500
JRSTX	JANUS	JANUS HENDERSON US MGDVOLATILITY T	T	NO LOAD	OPEN	1600	500	2500
JVTCX	JANUS	JANUS HENDERSON VENTURE C	C	LOAD	OPEN	1600	500	2500
JVTAX	JANUS	JANUS HENDERSON VENTURE FD CL A	A	LOAD	OPEN	1600	500	2500
JVTIX	JANUS	JANUS HENDERSON VENTURE FD CL I	INSTITUTIONAL	NO LOAD	OPEN	1600	500	2500
JVTNX	JANUS	JANUS HENDERSON VENTURE FD CL N	N	NO LOAD	OPEN	1600	0	0
JAVTX	JANUS	JANUS HENDERSON VENTURE FD CL T	T	NO LOAD	OPEN	1600	500	2500
JVTSX	JANUS	JANUS HENDERSON VENTURE S	S	NO LOAD	REDEEM ONLY	1600	500	2500

SYMBOL	FUND FAMILY	FUND DESCRIPTION	SHARE CLASS	FUND TYPE	STATUS	CUT-OFF	IRA MIN	NON-IRA MIN
JGQIX	JENSEN	JENSEN GLOBAL QUALITY GROWTH I	I	NO LOAD	OPEN	1600	250000	250000
JGQSX	JENSEN	JENSEN GLOBAL QUALITY GROWTH J	J	NO LOAD	OPEN	1600	2500	2500
JGQYX	JENSEN	JENSEN GLOBAL QUALITY GROWTH Y	Y	NO LOAD	REDEEM ONLY	1600	1000000	1000000
JNVIX	JENSEN	JENSEN QUALITY MIDCAP FD CL I	I	NO LOAD	OPEN	1600	1000000	1000000
JNVYX	JENSEN	JENSEN QUALITY MIDCAP FD CL Y	I	NO LOAD	REDEEM ONLY	1600	1000000	1000000
JNVSX	JENSEN	JENSEN QUALITY MIDCAP FD J	J	NO LOAD	OPEN	1600	100	100
JENIX	JENSEN INVESTMENT MANAGEMENT	JENSEN QUALITY GROWTH FD CL I	I	NO LOAD	OPEN	1600	250000	250000
JENSX	JENSEN INVESTMENT MANAGEMENT	JENSEN QUALITY GROWTH FD CL J	J	NO LOAD	OPEN	1600	100	100
JENRX	JENSEN INVESTMENT MANAGEMENT	JENSEN QUALITY GROWTH FD CL R	R	NO LOAD	SUBS ONLY	1600	100	100
JENYX	JENSEN INVESTMENT MANAGEMENT	JENSEN QUALITY GROWTH FD CL Y	Y	NO LOAD	REDEEM ONLY	1600	1000000	1000000
JAACX	JOHN HANCOCK	JHANCOCK ALTERNATIVE ASSET ALLOC FD C	C	LOAD	OPEN	1600	1000	1000
JAASX	JOHN HANCOCK	JHANCOCK ALTERNATIVE ASSET ALLOC FD R4	R4	NO LOAD	REDEEM ONLY	1600	0	0
JAAIX	JOHN HANCOCK	JHANCOCK ALTERNATIVE ASSET ALLOC PORT I	I	NO LOAD	OPEN	1600	0	0
JAARX	JOHN HANCOCK	JHANCOCK ALTERNATIVE ASSET ALLOC R6	R6	NO LOAD	REDEEM ONLY	1600	100000000	1000000
SVBCX	JOHN HANCOCK	JHANCOCK BALA FD CL C	C	LOAD	OPEN	1600	1000	1000
SVBIX	JOHN HANCOCK	JHANCOCK BALANCED FD CL I	I	NO LOAD	OPEN	1600	0	0
JBATX	JOHN HANCOCK	JHANCOCK BALANCED FD R2	R2	NO LOAD	REDEEM ONLY	1600	0	0
JBAFX	JOHN HANCOCK	JHANCOCK BALANCED FD R4	R4	NO LOAD	REDEEM ONLY	1600	0	0
JBAWX	JOHN HANCOCK	JHANCOCK BALANCED FD R6	R6	NO LOAD	REDEEM ONLY	1600	100000000	1000000
SVBAX	JOHN HANCOCK	JHANCOCK BALANCED FUND CL A	A	LOAD	OPEN	1600	1000	1000
JBAVX	JOHN HANCOCK	JHANCOCK BALANCED R5	R5	NO LOAD	REDEEM ONLY	1600	100000000	0
JHCBX	JOHN HANCOCK	JHANCOCK BD C	C	LOAD	OPEN	1600	1000	1000
JBGAX	JOHN HANCOCK	JHANCOCK BLUE CHIP GRWTH FD A	A	LOAD	OPEN	1600	1000	1000
JBGCX	JOHN HANCOCK	JHANCOCK BLUE CHIP GRWTH FD C	C	LOAD	OPEN	1600	1000	1000
JHNBX	JOHN HANCOCK	JHANCOCK BOND FUND CL A	A	LOAD	OPEN	1600	1000	1000
JHBIX	JOHN HANCOCK	JHANCOCK BOND FUND CL I	I	NO LOAD	OPEN	1600	0	0
JHRBX	JOHN HANCOCK	JHANCOCK BOND FUND CL R2	R2	NO LOAD	REDEEM ONLY	1600	0	0
JBFRX	JOHN HANCOCK	JHANCOCK BOND FUND CL R4	R4	NO LOAD	REDEEM ONLY	1600	0	0
JHBSX	JOHN HANCOCK	JHANCOCK BOND FUND CL R6	R6	NO LOAD	REDEEM ONLY	1600	1000000	1000000
JCSRX	JOHN HANCOCK	JHANCOCK CA MUNICIPAL BOND R6	R6	NO LOAD	REDEEM ONLY	1600	1000000	1000000
TCCAX	JOHN HANCOCK	JHANCOCK CA TAX FREE INCM C	C	LOAD	OPEN	1600	1000	1000
TACAX	JOHN HANCOCK	JHANCOCK CA TAX FREE INCM FND CL A	A	LOAD	OPEN	1600	1000	1000
JCAFX	JOHN HANCOCK	JHANCOCK CA TAX FREE INCOME CL I	I	NO LOAD	OPEN	1600	99999999	250000
JCVCX	JOHN HANCOCK	JHANCOCK CLASSIC VALUE CL C	C	LOAD	OPEN	1600	1000	1000
PZFBX	JOHN HANCOCK	JHANCOCK CLASSIC VALUE FUND CL A	A	LOAD	OPEN	1600	1000	1000
JCVIX	JOHN HANCOCK	JHANCOCK CLASSIC VALUE FUND CLASS I	I	NO LOAD	OPEN	1600	0	0
JCVWX	JOHN HANCOCK	JHANCOCK CLASSIC VALUE R6	R6	NO LOAD	REDEEM ONLY	1600	100000000	1000000
JVLCX	JOHN HANCOCK	JHANCOCK DISCIPLINED VALUE C	C	LOAD	OPEN	1600	1000	1000
JVLAX	JOHN HANCOCK	JHANCOCK DISCIPLINED VALUE CL A	A	LOAD	OPEN	1600	1000	1000
JVLIX	JOHN HANCOCK	JHANCOCK DISCIPLINED VALUE CL I	I	NO LOAD	OPEN	1600	0	0
JDVPX	JOHN HANCOCK	JHANCOCK DISCIPLINED VALUE CL R2	R2	NO LOAD	REDEEM ONLY	1600	0	0

SYMBOL	FUND FAMILY	FUND DESCRIPTION	SHARE CLASS	FUND TYPE	STATUS	CUT- OFF	IRA MIN	NON-IRA MIN
JDVX	JOHN HANCOCK	JHANCOCK DISCIPLINED VALUE CL R5	R5	NO LOAD	REDEEM ONLY	1600	0	0
JDVWX	JOHN HANCOCK	JHANCOCK DISCIPLINED VALUE CL R6	R6	NO LOAD	REDEEM ONLY	1600	1000000	1000000
JDIBX	JOHN HANCOCK	JHANCOCK DISCIPLINED VALUE INTL A	A	LOAD	OPEN	1600	1000	1000
JDICX	JOHN HANCOCK	JHANCOCK DISCIPLINED VALUE INTL C	C	LOAD	OPEN	1600	1000	1000
JDVIX	JOHN HANCOCK	JHANCOCK DISCIPLINED VALUE INTL I	I	NO LOAD	OPEN	1600	0	0
JDIUX	JOHN HANCOCK	JHANCOCK DISCIPLINED VALUE INTL R6	R6	NO LOAD	REDEEM ONLY	1600	1000000	1000000
JVMAX	JOHN HANCOCK	JHANCOCK DISCIPLINED VALUE MID CAP A	A	LOAD	OPEN	1600	1000	1000
JVMCX	JOHN HANCOCK	JHANCOCK DISCIPLINED VALUE MID CAP C	C	LOAD	OPEN	1600	1000	1000
JVMIX	JOHN HANCOCK	JHANCOCK DISCIPLINED VALUE MID CAP I	I	NO LOAD	OPEN	1600	0	0
JVMSX	JOHN HANCOCK	JHANCOCK DISCIPLINED VALUE MID CAP R2	R2	NO LOAD	REDEEM ONLY	1600	0	0
JVMTX	JOHN HANCOCK	JHANCOCK DISCIPLINED VALUE MID CAP R4	R4	NO LOAD	REDEEM ONLY	1600	0	0
JVMRX	JOHN HANCOCK	JHANCOCK DISCIPLINED VALUE MID CAP R6	R6	NO LOAD	REDEEM ONLY	1600	1000000	1000000
JDJAX	JOHN HANCOCK	JHANCOCK DIVERSIFIED MACRO A	A	LOAD	OPEN	1600	100	100
JDJIX	JOHN HANCOCK	JHANCOCK DIVERSIFIED MACRO CL I	I	NO LOAD	OPEN	1600	99999999	250000
JDJRX	JOHN HANCOCK	JHANCOCK DIVERSIFIED MACRO R6	R6	NO LOAD	REDEEM ONLY	1600	100000000	1000000
JMKCX	JOHN HANCOCK	JHANCOCK EMERGING MARKETS DEBT C	C	LOAD	OPEN	1600	1000	1000
JEMQX	JOHN HANCOCK	JHANCOCK EMERGING MARKETS EQUITY A	A	LOAD	OPEN	1600	100	100
JEMZX	JOHN HANCOCK	JHANCOCK EMERGING MARKETS EQUITY C	C	LOAD	OPEN	1600	1000	1000
JEMNX	JOHN HANCOCK	JHANCOCK EMERGING MARKETS EQUITY R4	R4	NO LOAD	REDEEM ONLY	1600	0	0
JEMGX	JOHN HANCOCK	JHANCOCK EMERGING MARKETS EQUITY R6	R6	NO LOAD	REDEEM ONLY	1600	100000000	1000000
JMKAX	JOHN HANCOCK	JHANCOCK EMERGING MKTS DEBT FD CL A	A	LOAD	OPEN	1600	1000	1000
JMKIX	JOHN HANCOCK	JHANCOCK EMERGING MKTS DEBT FD CL I	I	NO LOAD	OPEN	1600	0	0
JEMIX	JOHN HANCOCK	JHANCOCK EMERGING MKTS DEBT FD CL R6	R6	NO LOAD	REDEEM ONLY	1600	100000000	1000000
JEMMX	JOHN HANCOCK	JHANCOCK EMERGING MKTS EQTY FD I	I	NO LOAD	OPEN	1600	99999999	250000
JEVAX	JOHN HANCOCK	JHANCOCK EMERGING MKTS FD CL A	A	LOAD	OPEN	1600	1000	1000
JEVIX	JOHN HANCOCK	JHANCOCK EMERGING MKTS FD CL I	I	NO LOAD	OPEN	1600	0	0
JEVRX	JOHN HANCOCK	JHANCOCK EMERGING MKTS FD CL R6	R6	NO LOAD	REDEEM ONLY	1600	100000000	1000000
JHEIX	JOHN HANCOCK	JHANCOCK EQUITY INCOME A	A	LOAD	OPEN	1600	100	100
JBOAX	JOHN HANCOCK	JHANCOCK ESG CORE BD A	A	LOAD	OPEN	1600	100	100
JBOIX	JOHN HANCOCK	JHANCOCK ESG CORE BD I	I	NO LOAD	OPEN	1600	0	0
JTQAX	JOHN HANCOCK	JHANCOCK ESG INTERNATIONAL EQUITY A	A	LOAD	OPEN	1600	100	100
JTQRX	JOHN HANCOCK	JHANCOCK ESG INTERNATIONAL EQUITY R6	R6	NO LOAD	REDEEM ONLY	1600	100000000	1000000
JTQIX	JOHN HANCOCK	JHANCOCK ESG INTL EQUITY I	I	NO LOAD	OPEN	1600	99999999	250000
JHJAX	JOHN HANCOCK	JHANCOCK ESG LARGE CAP CORE A	A	LOAD	OPEN	1600	100	100
JHJCX	JOHN HANCOCK	JHANCOCK ESG LARGE CAP CORE C	C	LOAD	OPEN	1600	1000	1000
JHJIX	JOHN HANCOCK	JHANCOCK ESG LARGE CAP CORE I	I	NO LOAD	OPEN	1600	0	0
JHJRX	JOHN HANCOCK	JHANCOCK ESG LARGE CAP CORE R6	R6	NO LOAD	REDEEM ONLY	1600	100000000	1000000
FIDAX	JOHN HANCOCK	JHANCOCK FINANCIAL INDUSTRIES CL A	A	LOAD	OPEN	1600	1000	1000
JFIFX	JOHN HANCOCK	JHANCOCK FINANCIAL INDUSTRIES INST	I	NO LOAD	OPEN	1600	10000000	250000
JFDRX	JOHN HANCOCK	JHANCOCK FINANCIAL INDUSTRIES R6	R6	NO LOAD	REDEEM ONLY	1600	100000000	1000000
JFIAX	JOHN HANCOCK	JHANCOCK FLOATING RATE INCM A	A	LOAD	OPEN	1600	1000	1000

SYMBOL	FUND FAMILY	FUND DESCRIPTION	SHARE CLASS	FUND TYPE	STATUS	CUT- OFF	IRA MIN	NON-IRA MIN
JFIGX	JOHN HANCOCK	JHANCOCK FLOATING RATE INCM C	C	LOAD	OPEN	1600	1000	1000
JFIIX	JOHN HANCOCK	JHANCOCK FLOATING RATE INCM FUND CL I	I	NO LOAD	OPEN	1600	0	0
JFIRX	JOHN HANCOCK	JHANCOCK FLOATING RATE INCOME R6	R6	NO LOAD	REDEEM ONLY	1600	100000000	1000000
JFCAX	JOHN HANCOCK	JHANCOCK FUNDAMENTAL ALL CAP CORE A	A	LOAD	OPEN	1600	1000	1000
JFCCX	JOHN HANCOCK	JHANCOCK FUNDAMENTAL ALL CAP CORE C	C	LOAD	OPEN	1600	1000	1000
JFCIX	JOHN HANCOCK	JHANCOCK FUNDAMENTAL ALL CAP CORE I	I	NO LOAD	OPEN	1600	0	0
JFAIX	JOHN HANCOCK	JHANCOCK FUNDAMENTAL ALL CAP CORE R6	R6	NO LOAD	REDEEM ONLY	1600	100000000	1000000
JFGAX	JOHN HANCOCK	JHANCOCK FUNDAMENTAL GLOBAL FRAN A	A	LOAD	OPEN	1600	100	100
JFGIX	JOHN HANCOCK	JHANCOCK FUNDAMENTAL GLOBAL FRAN I	I	NO LOAD	OPEN	1600	99999999	250000
JFGFX	JOHN HANCOCK	JHANCOCK FUNDAMENTAL GLOBAL FRANCHISE R6	R6	NO LOAD	REDEEM ONLY	1600	100000000	1000000
TAGR	JOHN HANCOCK	JHANCOCK FUNDAMENTAL LARGE CAP CORE A	A	LOAD	OPEN	1600	1000	1000
JHLVX	JOHN HANCOCK	JHANCOCK FUNDAMENTAL LARGE CAP CORE C	C	LOAD	OPEN	1600	1000	1000
JLVIX	JOHN HANCOCK	JHANCOCK FUNDAMENTAL LARGE CAP CORE I	I	NO LOAD	OPEN	1600	0	0
JLCYX	JOHN HANCOCK	JHANCOCK FUNDAMENTAL LARGE CAP CORE R2	R2	NO LOAD	REDEEM ONLY	1600	0	0
JLCWX	JOHN HANCOCK	JHANCOCK FUNDAMENTAL LARGE CAP CORE R6	R6	NO LOAD	REDEEM ONLY	1600	100000000	1000000
JGEMX	JOHN HANCOCK	JHANCOCK GLOBAL EQUITY R6	R6	NO LOAD	REDEEM ONLY	1600	100000000	1000000
JGYAX	JOHN HANCOCK	JHANCOCK GLOBAL SHAREHOLDER YIELD CL A	A	LOAD	OPEN	1600	1000	1000
JGYCX	JOHN HANCOCK	JHANCOCK GLOBAL SHAREHOLDER YIELD CL C	C	LOAD	OPEN	1600	1000	1000
JGYIX	JOHN HANCOCK	JHANCOCK GLOBAL SHAREHOLDER YIELD I	I	NO LOAD	OPEN	1600	0	0
JGRSX	JOHN HANCOCK	JHANCOCK GLOBAL SHAREHOLDER YIELD R6	R6	NO LOAD	REDEEM ONLY	1600	1000000	1000000
JTKIX	JOHN HANCOCK	JHANCOCK GLOBAL THEMATIC OPPS I	I	NO LOAD	OPEN	1600	99999999	250000
JTSRX	JOHN HANCOCK	JHANCOCK GOVERNMENT INCOME R6	R6	NO LOAD	REDEEM ONLY	1600	100000000	1000000
TCGIX	JOHN HANCOCK	JHANCOCK GOVT INCM C	C	LOAD	OPEN	1600	1000	1000
JGIFX	JOHN HANCOCK	JHANCOCK GOVT INCOME CL I	I	NO LOAD	OPEN	1600	0	0
JHGIX	JOHN HANCOCK	JHANCOCK GOVT INCOME FND CL A	A	LOAD	OPEN	1600	1000	1000
JHHBX	JOHN HANCOCK	JHANCOCK HIGH YIELD FD A	A	LOAD	OPEN	1600	1000	1000
JHYCX	JOHN HANCOCK	JHANCOCK HIGH YIELD FD C	C	LOAD	OPEN	1600	1000	1000
JYHIX	JOHN HANCOCK	JHANCOCK HIGH YIELD FD I	I	NO LOAD	OPEN	1600	0	0
JCTFX	JOHN HANCOCK	JHANCOCK HIGH YIELD MUNI BD C	C	LOAD	OPEN	1600	1000	1000
JHYMX	JOHN HANCOCK	JHANCOCK HIGH YIELD MUNI BND I	I	NO LOAD	OPEN	1600	0	0
JHTFX	JOHN HANCOCK	JHANCOCK HIGH YIELD MUNI BOND A	A	LOAD	OPEN	1600	1000	1000
JCTRX	JOHN HANCOCK	JHANCOCK HIGH YIELD MUNICIPAL BOND R6	R6	NO LOAD	REDEEM ONLY	1600	100000000	1000000
JFHYX	JOHN HANCOCK	JHANCOCK HIGH YIELD R6	R6	NO LOAD	REDEEM ONLY	1600	100000000	1000000
JHFIX	JOHN HANCOCK	JHANCOCK INCOME FUND CL A	A	LOAD	OPEN	1600	1000	1000
JSTCX	JOHN HANCOCK	JHANCOCK INCOME FUND CL C	C	LOAD	OPEN	1600	1000	1000
JSTIX	JOHN HANCOCK	JHANCOCK INCOME FUND CL I	I	NO LOAD	OPEN	1600	0	0
JSNSX	JOHN HANCOCK	JHANCOCK INCOME FUND CL R2	R2	NO LOAD	REDEEM ONLY	1600	0	0
JSNVX	JOHN HANCOCK	JHANCOCK INCOME FUND CL R5	R5	NO LOAD	REDEEM ONLY	1600	0	0
JSNWX	JOHN HANCOCK	JHANCOCK INCOME FUND CL R6	R6	NO LOAD	REDEEM ONLY	1600	1000000	1000000
JEEFX	JOHN HANCOCK	JHANCOCK INFRASTRUCTURE FD C	C	LOAD	OPEN	1600	1000	1000
JEEDX	JOHN HANCOCK	JHANCOCK INFRASTRUCTURE R6	R6	NO LOAD	REDEEM ONLY	1600	100000000	1000000

SYMBOL	FUND FAMILY	FUND DESCRIPTION	SHARE CLASS	FUND TYPE	STATUS	CUT- OFF	IRA MIN	NON-IRA MIN
JIJAX	JOHN HANCOCK	JHANCOCK INTERNATIONAL DYNAMIC GR A	A	LOAD	OPEN	1600	1000	1000
JJICX	JOHN HANCOCK	JHANCOCK INTERNATIONAL DYNAMIC GR C	C	LOAD	OPEN	1600	1000	1000
JHIGX	JOHN HANCOCK	JHANCOCK INTERNATIONAL GROWTH R2	R2	NO LOAD	REDEEM ONLY	1600	0	0
JHSMX	JOHN HANCOCK	JHANCOCK INTERNATIONAL SMALL COMPANY R6	R6	NO LOAD	REDEEM ONLY	1600	100000000	1000000
JIJIX	JOHN HANCOCK	JHANCOCK INTL DYNAMIC GRWTH I	I	NO LOAD	OPEN	1600	0	0
GOIGX	JOHN HANCOCK	JHANCOCK INTL GROWTH CL A	A	LOAD	OPEN	1600	1000	1000
GONCX	JOHN HANCOCK	JHANCOCK INTL GROWTH CL C	C	LOAD	OPEN	1600	1000	1000
GOGIX	JOHN HANCOCK	JHANCOCK INTL GROWTH CL I	I	NO LOAD	OPEN	1600	0	0
JIGTX	JOHN HANCOCK	JHANCOCK INTL GROWTH CL R6	R6	NO LOAD	REDEEM ONLY	1600	100000000	1000000
JISAX	JOHN HANCOCK	JHANCOCK INTL SM COMP A	A	LOAD	OPEN	1600	100	100
JSCIX	JOHN HANCOCK	JHANCOCK INTL SMALL COMPANY FD	I	NO LOAD	OPEN	1600	0	0
TCUSX	JOHN HANCOCK	JHANCOCK INV GRADE BD C	C	LOAD	OPEN	1600	1000	1000
TIUSX	JOHN HANCOCK	JHANCOCK INV GRADE BOND CL I	I	NO LOAD	OPEN	1600	0	0
JIGEX	JOHN HANCOCK	JHANCOCK INV GRADE BOND CL R6	R6	NO LOAD	REDEEM ONLY	1600	100000000	1000000
TAUSX	JOHN HANCOCK	JHANCOCK INVESTMENT GRADE BOND FND CL A	A	LOAD	OPEN	1600	1000	1000
JMABX	JOHN HANCOCK	JHANCOCK MGD ACCT SHRS IG CORP BD PORT	NO LOAD	NO LOAD	REDEEM ONLY	1600	0	0
JMADX	JOHN HANCOCK	JHANCOCK MGD ACCT SHRS NON IG CORP BD	NO LOAD	NO LOAD	REDEEM ONLY	1600	0	0
JMAEX	JOHN HANCOCK	JHANCOCK MGD ACCT SHRS SCTZD DEBT PORT	NO LOAD	NO LOAD	REDEEM ONLY	1600	0	0
JHMXX	JOHN HANCOCK	JHANCOCK MONEY MKT FD A	A	LOAD	OPEN	1600	1000	1000
JMCXX	JOHN HANCOCK	JHANCOCK MONEY MKT FD C	C	LOAD	OPEN	1600	1000	1000
JLAAX	JOHN HANCOCK	JHANCOCK MULTI MGR 2010 LIFETIME PORT A	A	LOAD	OPEN	1600	1000	1000
JLAIX	JOHN HANCOCK	JHANCOCK MULTI MGR 2010 LIFETIME PORT R6	R6	NO LOAD	REDEEM ONLY	1600	1000000	1000000
JLBAX	JOHN HANCOCK	JHANCOCK MULTI MGR 2015 LIFETIME PORT A	A	LOAD	OPEN	1600	1000	1000
JLBGX	JOHN HANCOCK	JHANCOCK MULTI MGR 2015 LIFETIME PORT R4	R4	NO LOAD	REDEEM ONLY	1600	0	0
JLBJX	JOHN HANCOCK	JHANCOCK MULTI MGR 2015 LIFETIME PORT R6	R6	NO LOAD	REDEEM ONLY	1600	1000000	1000000
JLDAX	JOHN HANCOCK	JHANCOCK MULTI MGR 2020 LIFETIME PORT A	A	LOAD	OPEN	1600	1000	1000
JLDIX	JOHN HANCOCK	JHANCOCK MULTI MGR 2020 LIFETIME PORT R6	R6	NO LOAD	REDEEM ONLY	1600	1000000	1000000
JLEAX	JOHN HANCOCK	JHANCOCK MULTI MGR 2025 LIFETIME PORT A	A	LOAD	OPEN	1600	1000	1000
JLEGX	JOHN HANCOCK	JHANCOCK MULTI MGR 2025 LIFETIME PORT R4	R4	NO LOAD	REDEEM ONLY	1600	0	0
JLEIX	JOHN HANCOCK	JHANCOCK MULTI MGR 2025 LIFETIME PORT R6	R6	NO LOAD	REDEEM ONLY	1600	1000000	1000000
JLFAX	JOHN HANCOCK	JHANCOCK MULTI MGR 2030 LIFETIME PORT A	A	LOAD	OPEN	1600	1000	1000
JLFGX	JOHN HANCOCK	JHANCOCK MULTI MGR 2030 LIFETIME PORT R4	R4	NO LOAD	REDEEM ONLY	1600	0	0
JLFIX	JOHN HANCOCK	JHANCOCK MULTI MGR 2030 LIFETIME PORT R6	R6	NO LOAD	REDEEM ONLY	1600	1000000	1000000
JLHAX	JOHN HANCOCK	JHANCOCK MULTI MGR 2035 LIFETIME PORT A	A	LOAD	OPEN	1600	1000	1000
JLHGX	JOHN HANCOCK	JHANCOCK MULTI MGR 2035 LIFETIME PORT R4	R4	NO LOAD	REDEEM ONLY	1600	0	0
JLHIX	JOHN HANCOCK	JHANCOCK MULTI MGR 2035 LIFETIME PORT R6	R6	NO LOAD	REDEEM ONLY	1600	1000000	1000000
JLIAX	JOHN HANCOCK	JHANCOCK MULTI MGR 2040 LIFETIME PORT A	A	LOAD	OPEN	1600	1000	1000
JLIIX	JOHN HANCOCK	JHANCOCK MULTI MGR 2040 LIFETIME PORT R6	R6	NO LOAD	REDEEM ONLY	1600	1000000	1000000
JLJAX	JOHN HANCOCK	JHANCOCK MULTI MGR 2045 LIFETIME PORT A	A	LOAD	OPEN	1600	1000	1000
JLJIX	JOHN HANCOCK	JHANCOCK MULTI MGR 2045 LIFETIME PORT R6	R6	NO LOAD	REDEEM ONLY	1600	1000000	1000000
JLKRX	JOHN HANCOCK	JHANCOCK MULTI MGR 2050 LIFETIME PORT R6	R6	NO LOAD	REDEEM ONLY	1600	1000000	1000000

SYMBOL	FUND FAMILY	FUND DESCRIPTION	SHARE CLASS	FUND TYPE	STATUS	CUT- OFF	IRA MIN	NON-IRA MIN
JLKQX	JOHN HANCOCK	JHANCOCK MULTI MGR 2055 LIFETIME PORT R4	R4	NO LOAD	REDEEM ONLY	1600	0	0
JLKSX	JOHN HANCOCK	JHANCOCK MULTI MGR 2055 LIFETIME PORT R5	R5	NO LOAD	REDEEM ONLY	1600	100	100
JALAX	JOHN HANCOCK	JHANCOCK MULTI MGR LIFESTYLE AGGR A	A	LOAD	OPEN	1600	1000	1000
JCLAX	JOHN HANCOCK	JHANCOCK MULTI MGR LIFESTYLE AGGR C	C	LOAD	OPEN	1600	1000	1000
JTAIX	JOHN HANCOCK	JHANCOCK MULTI MGR LIFESTYLE AGGR I	I	NO LOAD	OPEN	1600	0	0
JQLAX	JOHN HANCOCK	JHANCOCK MULTI MGR LIFESTYLE AGGR R2	R2	NO LOAD	REDEEM ONLY	1600	0	0
JSLAX	JOHN HANCOCK	JHANCOCK MULTI MGR LIFESTYLE AGGR R4	R4	NO LOAD	REDEEM ONLY	1600	0	0
JULAX	JOHN HANCOCK	JHANCOCK MULTI MGR LIFESTYLE AGGR R6	R6	NO LOAD	REDEEM ONLY	1600	1000000	1000000
JALBX	JOHN HANCOCK	JHANCOCK MULTI MGR LIFESTYLE BALANCED A	A	LOAD	OPEN	1600	1000	1000
JCLBX	JOHN HANCOCK	JHANCOCK MULTI MGR LIFESTYLE BALANCED C	C	LOAD	OPEN	1600	1000	1000
JTBIX	JOHN HANCOCK	JHANCOCK MULTI MGR LIFESTYLE BALANCED I	I	NO LOAD	OPEN	1600	0	0
JQLBX	JOHN HANCOCK	JHANCOCK MULTI MGR LIFESTYLE BALANCED R2	R2	NO LOAD	REDEEM ONLY	1600	0	0
JSLBX	JOHN HANCOCK	JHANCOCK MULTI MGR LIFESTYLE BALANCED R4	R4	NO LOAD	REDEEM ONLY	1600	0	0
JULBX	JOHN HANCOCK	JHANCOCK MULTI MGR LIFESTYLE BALANCED R6	R6	NO LOAD	REDEEM ONLY	1600	1000000	1000000
JALRX	JOHN HANCOCK	JHANCOCK MULTI MGR LIFESTYLE CONSERV A	A	LOAD	OPEN	1600	1000	1000
JCLCX	JOHN HANCOCK	JHANCOCK MULTI MGR LIFESTYLE CONSERV C	C	LOAD	OPEN	1600	1000	1000
JTOIX	JOHN HANCOCK	JHANCOCK MULTI MGR LIFESTYLE CONSERV I	I	NO LOAD	OPEN	1600	0	0
JQLCX	JOHN HANCOCK	JHANCOCK MULTI MGR LIFESTYLE CONSERV R2	R2	NO LOAD	REDEEM ONLY	1600	0	0
JSLCX	JOHN HANCOCK	JHANCOCK MULTI MGR LIFESTYLE CONSERV R4	R4	NO LOAD	REDEEM ONLY	1600	0	0
JULCX	JOHN HANCOCK	JHANCOCK MULTI MGR LIFESTYLE CONSERV R6	R6	NO LOAD	REDEEM ONLY	1600	1000000	1000000
JALGX	JOHN HANCOCK	JHANCOCK MULTI MGR LIFESTYLE GROWTH A	A	LOAD	OPEN	1600	1000	1000
JCLGX	JOHN HANCOCK	JHANCOCK MULTI MGR LIFESTYLE GROWTH C	C	LOAD	OPEN	1600	1000	1000
JTGIX	JOHN HANCOCK	JHANCOCK MULTI MGR LIFESTYLE GROWTH I	I	NO LOAD	OPEN	1600	0	0
JQLGX	JOHN HANCOCK	JHANCOCK MULTI MGR LIFESTYLE GROWTH R2	R2	NO LOAD	REDEEM ONLY	1600	0	0
JSLGX	JOHN HANCOCK	JHANCOCK MULTI MGR LIFESTYLE GROWTH R4	R4	NO LOAD	REDEEM ONLY	1600	0	0
JULGX	JOHN HANCOCK	JHANCOCK MULTI MGR LIFESTYLE GROWTH R6	R6	NO LOAD	REDEEM ONLY	1600	1000000	1000000
JALMX	JOHN HANCOCK	JHANCOCK MULTI MGR LIFESTYLE MODERATE A	A	LOAD	OPEN	1600	1000	1000
JCLMX	JOHN HANCOCK	JHANCOCK MULTI MGR LIFESTYLE MODERATE C	C	LOAD	OPEN	1600	1000	1000
JTMIX	JOHN HANCOCK	JHANCOCK MULTI MGR LIFESTYLE MODERATE I	I	NO LOAD	OPEN	1600	0	0
JQLMX	JOHN HANCOCK	JHANCOCK MULTI MGR LIFESTYLE MODERATE R2	R2	NO LOAD	REDEEM ONLY	1600	0	0
JSLMX	JOHN HANCOCK	JHANCOCK MULTI MGR LIFESTYLE MODERATE R4	R4	NO LOAD	REDEEM ONLY	1600	0	0
JULMX	JOHN HANCOCK	JHANCOCK MULTI MGR LIFESTYLE MODERATE R6	R6	NO LOAD	REDEEM ONLY	1600	1000000	1000000
JHAAX	JOHN HANCOCK	JHANCOCK MULTI ASSET ABSOLUTE RETURN FD CL A	A	LOAD	OPEN	1600	1000	1000
JHACX	JOHN HANCOCK	JHANCOCK MULTI ASSET ABSOLUTE RETURN FD CL C	C	LOAD	OPEN	1600	1000	1000
JHAIX	JOHN HANCOCK	JHANCOCK MULTI ASSET ABSOLUTE RETURN FD CL I	I	NO LOAD	OPEN	1600	0	0
JHASX	JOHN HANCOCK	JHANCOCK MULTI ASSET ABSOLUTE RETURN FD CL R6	R6	NO LOAD	REDEEM ONLY	1600	1000000	1000000
JIAFX	JOHN HANCOCK	JHANCOCK MULTI ASSET HIGH INCOME A	A	LOAD	OPEN	1600	100	100
JLKAX	JOHN HANCOCK	JHANCOCK MULTIMANAGER 2050 LIFETIME A	A	LOAD	OPEN	1600	100	100
JLKLX	JOHN HANCOCK	JHANCOCK MULTIMANAGER 2055 LIFETIME A	A	LOAD	OPEN	1600	100	100
JLKTX	JOHN HANCOCK	JHANCOCK MULTIMANAGER 2055 LIFETIME R6	R6	NO LOAD	REDEEM ONLY	1600	1000000	1000000
JJERX	JOHN HANCOCK	JHANCOCK MULTIMANAGER 2060 LIFETIME A	A	LOAD	OPEN	1600	100	100

SYMBOL	FUND FAMILY	FUND DESCRIPTION	SHARE CLASS	FUND TYPE	STATUS	CUT-OFF	IRA MIN	NON-IRA MIN
JROUX	JOHN HANCOCK	JHANCOCK MULTIMANAGER 2060 LIFETIME R4	R4	NO LOAD	REDEEM ONLY	1600	0	0
JESRX	JOHN HANCOCK	JHANCOCK MULTIMANAGER 2060 LIFETIME R6	R6	NO LOAD	REDEEM ONLY	1600	1000000	1000000
JABEX	JOHN HANCOCK	JHANCOCK MULTIMANAGER 2065 LIFETIME R6	R6	NO LOAD	REDEEM ONLY	1600	100000000	1000000
JTLAX	JOHN HANCOCK	JHANCOCK MULTIMANAGER LIFESTYLE AGRSV R5	R5	NO LOAD	REDEEM ONLY	1600	0	0
JTSBX	JOHN HANCOCK	JHANCOCK MULTIMANAGER LIFESTYLE BAL R5	R5	NO LOAD	REDEEM ONLY	1600	0	0
JTLRX	JOHN HANCOCK	JHANCOCK MULTIMANAGER LIFESTYLE CNSRV R5	R5	NO LOAD	REDEEM ONLY	1600	0	0
JTLGX	JOHN HANCOCK	JHANCOCK MULTIMANAGER LIFESTYLE GR R5	R5	NO LOAD	REDEEM ONLY	1600	0	0
JTLMX	JOHN HANCOCK	JHANCOCK MULTIMANAGER LIFESTYLE MOD R5	R5	NO LOAD	REDEEM ONLY	1600	0	0
JTMRX	JOHN HANCOCK	JHANCOCK MUNICIPAL OPPORTUNITIES R6	R6	NO LOAD	REDEEM ONLY	1600	100000000	1000000
JSSOX	JOHN HANCOCK	JHANCOCK NEW OPPORTUNITIES R2	R2	NO LOAD	REDEEM ONLY	1600	0	0
JUSOX	JOHN HANCOCK	JHANCOCK NEW OPPORTUNITIES R4	R4	NO LOAD	REDEEM ONLY	1600	0	0
JWSOX	JOHN HANCOCK	JHANCOCK NEW OPPORTUNITIES R6	R6	NO LOAD	REDEEM ONLY	1600	100000000	1000000
JASOX	JOHN HANCOCK	JHANCOCK NEW OPPTY FD A	A	LOAD	OPEN	1600	1000	1000
JBSOX	JOHN HANCOCK	JHANCOCK NEW OPPTY FD C	C	LOAD	OPEN	1600	1000	1000
JHSOX	JOHN HANCOCK	JHANCOCK NEW OPPTY FD I	I	NO LOAD	OPEN	1600	0	0
JABWX	JOHN HANCOCK	JHANCOCK OPPORTUNISTIC FIXED INC A	A	LOAD	OPEN	1600	100	100
JABOX	JOHN HANCOCK	JHANCOCK OPPORTUNISTIC FIXED INC C	C	LOAD	OPEN	1600	1000	1000
JABTX	JOHN HANCOCK	JHANCOCK OPPORTUNISTIC FIXED INC I	I	NO LOAD	OPEN	1600	99999999	250000
JABGX	JOHN HANCOCK	JHANCOCK REAL ESTATE SECURITIES I	I	NO LOAD	OPEN	1600	99999999	250000
FRBCX	JOHN HANCOCK	JHANCOCK REGIONAL BANK C	C	LOAD	OPEN	1600	1000	1000
JRBFX	JOHN HANCOCK	JHANCOCK REGIONAL BANK FD CL I	I	NO LOAD	OPEN	1600	0	0
FRBAX	JOHN HANCOCK	JHANCOCK REGIONAL BANK FUND CL A	A	LOAD	OPEN	1600	1000	1000
JRGRX	JOHN HANCOCK	JHANCOCK REGIONAL BANK R6	R6	NO LOAD	REDEEM ONLY	1600	100000000	1000000
JSFTX	JOHN HANCOCK	JHANCOCK SEAPORT LONG SHORT FD C	C	LOAD	OPEN	1600	1000	1000
JSFBX	JOHN HANCOCK	JHANCOCK SEAPORT LONG SHORT FD CL A	A	LOAD	OPEN	1600	1000	1000
JSFDX	JOHN HANCOCK	JHANCOCK SEAPORT LONG SHORT FD CL I	I	NO LOAD	OPEN	1600	0	0
JSFRX	JOHN HANCOCK	JHANCOCK SEAPORT LONGSHORT R6	R6	NO LOAD	REDEEM ONLY	1600	100000000	1000000
JSNIX	JOHN HANCOCK	JHANCOCK SHORT DURATION BD I	I	NO LOAD	OPEN	1600	0	0
JSNAX	JOHN HANCOCK	JHANCOCK SHORT DURATION BOND A	A	LOAD	OPEN	1600	1000	1000
JSNCX	JOHN HANCOCK	JHANCOCK SHORT DURATION BOND C	C	LOAD	OPEN	1600	1000	1000
JSCAX	JOHN HANCOCK	JHANCOCK SM CAP VALUE A	A	LOAD	OPEN	1600	100	100
JSCBX	JOHN HANCOCK	JHANCOCK SM CAP VALUE I	I	NO LOAD	OPEN	1600	0	0
JCCAX	JOHN HANCOCK	JHANCOCK SMALL CAP CORE FD A	A	LOAD	OPEN	1600	1000	1000
JCCIX	JOHN HANCOCK	JHANCOCK SMALL CAP CORE FD I	I	NO LOAD	OPEN	1600	0	0
JORSX	JOHN HANCOCK	JHANCOCK SMALL CAP CORE FD R6	R6	NO LOAD	REDEEM ONLY	1600	1000000	1000000
JSJCX	JOHN HANCOCK	JHANCOCK SMALL CAP DYNAMIC GROWTH C	C	LOAD	OPEN	1600	1000	1000
JSCCX	JOHN HANCOCK	JHANCOCK SMALL CAP VALUE R6	R6	NO LOAD	REDEEM ONLY	1600	100000000	1000000
JIPAX	JOHN HANCOCK	JHANCOCK STRATEGIC INCM OPPTY A	A	LOAD	OPEN	1600	1000	1000
JIPCX	JOHN HANCOCK	JHANCOCK STRATEGIC INCM OPPTY C	C	LOAD	OPEN	1600	1000	1000
JIPIX	JOHN HANCOCK	JHANCOCK STRATEGIC INCM OPPTY I	I	NO LOAD	OPEN	1600	0	0
JIPRX	JOHN HANCOCK	JHANCOCK STRATEGIC INCM OPPTY R6	R6	NO LOAD	REDEEM ONLY	1600	1000000	1000000

SYMBOL	FUND FAMILY	FUND DESCRIPTION	SHARE CLASS	FUND TYPE	STATUS	CUT- OFF	IRA MIN	NON-IRA MIN
JSGRX	JOHN HANCOCK	JHANCOCK U.S. GROWTH R2	R2	NO LOAD	REDEEM ONLY	1600	0	0
JSGTX	JOHN HANCOCK	JHANCOCK U.S. GROWTH R6	R6	NO LOAD	REDEEM ONLY	1600	100000000	1000000
USLCX	JOHN HANCOCK	JHANCOCK US GLBL LEADERS GR C	C	LOAD	OPEN	1600	1000	1000
UGLSX	JOHN HANCOCK	JHANCOCK US GLBL LEADERS GRWTH R6	R6	NO LOAD	REDEEM ONLY	1600	1000000	1000000
USGLX	JOHN HANCOCK	JHANCOCK US GLOBAL LEADERS GROWTH FUND CL A	A	LOAD	OPEN	1600	1000	1000
USLIX	JOHN HANCOCK	JHANCOCK US GLOBAL LEADERS GROWTH I	I	NO LOAD	OPEN	1600	0	0
JAAAX	JOHN HANCOCK	JHANCOCK2 ALTERNATIVE ASSET ALLOC A	A	LOAD	OPEN	1600	1000	1000
FIDCX	JOHN HANCOCK	JHANCOCKK FINANCIAL INDUSTRIES C	C	LOAD	OPEN	1600	1000	1000
JRLHX	JOHN HANCOCK	JOHN HANCOCK 2010 LIFETIME R6	R6	NO LOAD	REDEEM ONLY	1600	100000000	1000000
JRLX	JOHN HANCOCK	JOHN HANCOCK 2015 LIFETIME R6	R6	NO LOAD	REDEEM ONLY	1600	1000000	1000000
JRTAX	JOHN HANCOCK	JOHN HANCOCK 2020 LIFETIME R6	R6	NO LOAD	REDEEM ONLY	1600	100000000	1000000
JRTFX	JOHN HANCOCK	JOHN HANCOCK 2025 LIFETIME R6	R6	NO LOAD	REDEEM ONLY	1600	100000000	1000000
JRTJX	JOHN HANCOCK	JOHN HANCOCK 2030 LIFETIME R6	R6	NO LOAD	REDEEM ONLY	1600	100000000	1000000
JRTNX	JOHN HANCOCK	JOHN HANCOCK 2035 LIFETIME R6	R6	NO LOAD	REDEEM ONLY	1600	100000000	1000000
JRTWX	JOHN HANCOCK	JOHN HANCOCK 2040 LIFETIME R6	R6	NO LOAD	REDEEM ONLY	1600	100000000	1000000
JRLVX	JOHN HANCOCK	JOHN HANCOCK 2045 LIFETIME R6	R6	NO LOAD	REDEEM ONLY	1600	100000000	1000000
JRLZX	JOHN HANCOCK	JOHN HANCOCK 2050 LIFETIME R6	R6	NO LOAD	REDEEM ONLY	1600	100000000	1000000
JLKYX	JOHN HANCOCK	JOHN HANCOCK 2055 LIFETIME R6	R6	NO LOAD	REDEEM ONLY	1600	100000000	1000000
JIEHX	JOHN HANCOCK	JOHN HANCOCK 2060 LIFETIME R6	R6	NO LOAD	REDEEM ONLY	1600	100000000	1000000
JAKRX	JOHN HANCOCK	JOHN HANCOCK DISCIPLINED VALUE GBL LONGSHORT A	A	LOAD	REDEEM ONLY	1600	100	100
JHGEX	JOHN HANCOCK	JOHN HANCOCK GLBL EQTY FD CL A	A	LOAD	OPEN	1600	1000	1000
JGECX	JOHN HANCOCK	JOHN HANCOCK GLBL EQTY FD CL C	C	LOAD	OPEN	1600	1000	1000
JGEFX	JOHN HANCOCK	JOHN HANCOCK GLBL EQTY FD CL I	I	NO LOAD	OPEN	1600	0	0
JEEBX	JOHN HANCOCK	JOHN HANCOCK INFRASTRUCTURE FD CL A	A	LOAD	OPEN	1600	1000	1000
JEEIX	JOHN HANCOCK	JOHN HANCOCK INFRASTRUCTURE FD CL I	I	NO LOAD	OPEN	1600	0	0
JAKUX	JOHN HANCOCK	JOHN HANCOCK INVT TR DISCIPLINED I	I	NO LOAD	REDEEM ONLY	1600	99999999	250000
TAMBX	JOHN HANCOCK	JOHN HANCOCK MUNI OPPORTUNITIES A	A	LOAD	OPEN	1600	1000	1000
TMBX	JOHN HANCOCK	JOHN HANCOCK MUNI OPPORTUNITIES C	C	LOAD	OPEN	1600	1000	1000
JTBDX	JOHN HANCOCK	JOHN HANCOCK MUNI OPPORTUNITIES I	I	NO LOAD	OPEN	1600	0	0
JSJAX	JOHN HANCOCK	JOHN HANCOCK SMALL CAP DYN GROWTH A	A	LOAD	OPEN	1600	100	100
JSJIX	JOHN HANCOCK	JOHN HANCOCK SMALL CAP DYN GROWTH I	I	NO LOAD	OPEN	1600	0	0
JSGAX	JOHN HANCOCK	JOHN HANCOCK US GROWTH FD CL A	A	LOAD	OPEN	1600	1000	1000
JSGCX	JOHN HANCOCK	JOHN HANCOCK US GROWTH FD CL C	C	LOAD	OPEN	1600	1000	1000
JSGIX	JOHN HANCOCK	JOHN HANCOCK US GROWTH FD CL I	I	NO LOAD	OPEN	1600	0	0
JCPLX	JOHNSON MUTUAL FUNDS TRUST	JOHNSON CORE PLUS BD FD	NO LOAD	NO LOAD	OPEN	1600	0	0
JENHX	JOHNSON MUTUAL FUNDS TRUST	JOHNSON ENHANCED RETURN	NO LOAD	NO LOAD	OPEN	1600	0	0
JEQIX	JOHNSON MUTUAL FUNDS TRUST	JOHNSON EQTY INCM FUND	NO LOAD	NO LOAD	OPEN	1600	0	0
JEQSX	JOHNSON MUTUAL FUNDS TRUST	JOHNSON EQUITY INCOME S	S	NO LOAD	REDEEM ONLY	1600	0	0
JIBFX	JOHNSON MUTUAL FUNDS TRUST	JOHNSON INST CORE BD FD	I	NO LOAD	OPEN	1600	0	0
JIBEX	JOHNSON MUTUAL FUNDS TRUST	JOHNSON INST INTER BD FD	I	NO LOAD	OPEN	1600	0	0
JIBDX	JOHNSON MUTUAL FUNDS TRUST	JOHNSON INST SHORT DUR BD FD	I	NO LOAD	OPEN	1600	0	0

SYMBOL	FUND FAMILY	FUND DESCRIPTION	SHARE CLASS	FUND TYPE	STATUS	CUT- OFF	IRA MIN	NON-IRA MIN
JIMFX	JOHNSON MUTUAL FUNDS TRUST	JOHNSON INSTITUTIONAL CORE BOND F	F	NO LOAD	OPEN	1600	100	100
JIBSX	JOHNSON MUTUAL FUNDS TRUST	JOHNSON INSTITUTIONAL CORE BOND S	S	NO LOAD	REDEEM ONLY	1600	0	0
JINTX	JOHNSON MUTUAL FUNDS TRUST	JOHNSON INTL FUND	NO LOAD	NO LOAD	REDEEM ONLY	1600	0	0
JMUNX	JOHNSON MUTUAL FUNDS TRUST	JOHNSON MUNI INCM	NO LOAD	NO LOAD	REDEEM ONLY	1600	0	0
JOSSX	JOHNSON MUTUAL FUNDS TRUST	JOHNSON OPPORTUNITY S	S	NO LOAD	REDEEM ONLY	1600	0	0
JOPPX	JOHNSON MUTUAL FUNDS TRUST	JOHNSON OPPTY	NO LOAD	NO LOAD	OPEN	1600	0	0
PHLOX	KANEN	PHILOTIMO FOCUSED GWTH & INCM FD	NO LOAD	NO LOAD	REDEEM ONLY	1600	0	0
KBIWX	KBI FUNDS	KBI GLBL INVESTORS AQUARIUS INST	INSTITUTIONAL	NO LOAD	OPEN	1600	100000	100000
KMDIX	KEELEY	KEELEY MID CAP DIV VALUE I	I	NO LOAD	OPEN	1600	1000000	1000000
KMDVX	KEELEY	KEELEY MID CAP DIVIDEND VALUE A	A	LOAD	OPEN	1600	100	100
KSDVX	KEELEY	KEELEY SMALL CAP DIV VALUE FD CL A	A	LOAD	OPEN	1600	2500	2500
KSDIX	KEELEY	KEELEY SMALL CAP DIV VALUE FD CL I	I	NO LOAD	OPEN	1600	1000000	1000000
KSMVX	KEELEY	KEELEY SMALLMID CAP VALUE FD CL A	A	LOAD	OPEN	1600	2500	2500
KSMIX	KEELEY	KEELEY SMALLMID CAP VALUE FD CL I	I	NO LOAD	OPEN	1600	1000000	1000000
KESGX	KENNEDY CAPITAL	KENNEDY CAPITAL ESG SMID CAP I	INST	NO LOAD	OPEN	1600	50000	50000
KVALX	KENNEDY CAPITAL	KENNEDY CAPITAL SMALL CAP VALUE INSTL	INST	NO LOAD	OPEN	1600	5000	5000
KADIX	KENSINGTON FUNDS	KENSINGTON ACTIVE ADVANTAGE INS	INSTITUTIONAL	NO LOAD	OPEN	1600	0	0
DFNDX	KENSINGTON FUNDS	KENSINGTON DEFENDER INSTITUTIONAL	INSTITUTIONAL	NO LOAD	OPEN	1600	0	0
KAGAX	KENSINGTON FUNDS	KENSINGTON DYNAMIC GROWTH A	A	LOAD	OPEN	1600	0	0
KAGIX	KENSINGTON FUNDS	KENSINGTON DYNAMIC GROWTH INSTITUTIONAL	INSTITUTIONAL	NO LOAD	OPEN	1600	0	0
KAMAX	KENSINGTON FUNDS	KENSINGTON MANAGED INCOME A	A	LOAD	OPEN	1600	0	0
KAMCX	KENSINGTON FUNDS	KENSINGTON MANAGED INCOME C	C	LOAD	OPEN	1600	250	1000
KAMIX	KENSINGTON FUNDS	KENSINGTON MANAGED INCOME INST	INSTITUTIONAL	NO LOAD	OPEN	1600	0	0
LSHAX	KINETICS	HORIZON SPIN OFF & CORP RESTRUCTURING A	A	LOAD	OPEN	1600	2500	2500
WWWFX	KINETICS	INTERNET FUND	NO LOAD	NO LOAD	OPEN	1600	100	100
KGLAX	KINETICS	KINETICS GLOBAL ADV A	A	LOAD	OPEN	1600	2500	2500
KGLCX	KINETICS	KINETICS GLOBAL ADV C	ADVISOR CLASS C	LOAD	OPEN	1600	2500	2500
WWWEX	KINETICS	KINETICS GLOBAL FUND NO LOAD	NO LOAD	NO LOAD	OPEN	1600	100	100
KINAX	KINETICS	KINETICS INTERNET ADV A	A	LOAD	OPEN	1600	100	100
KINCX	KINETICS	KINETICS INTERNET ADV C	ADVISOR CLASS C	LOAD	OPEN	1600	2500	2500
KMKAX	KINETICS	KINETICS MKT OPPTY ADV A	ADVISOR CLASS A	LOAD	OPEN	1600	2500	2500
KMKCX	KINETICS	KINETICS MKT OPPTY ADV C	ADVISOR CLASS C	LOAD	OPEN	1600	2500	2500
KMKYX	KINETICS	KINETICS MKT OPPTY FD INST	INSTITUTIONAL	NO LOAD	OPEN	1600	1000000	1000000
KMKNX	KINETICS	KINETICS MKT OPPTY FD NO LOAD SHRS	NO LOAD	NO LOAD	OPEN	1600	100	100
KMDNX	KINETICS	KINETICS MULTI DISCIP INCM NO LOAD	NO LOAD	NO LOAD	OPEN	1600	100	100
KNPAX	KINETICS	KINETICS PARADIGM ADV A	ADVISOR CLASS A	LOAD	OPEN	1600	2500	2500
KNPCX	KINETICS	KINETICS PARADIGM ADV C	ADVISOR CLASS C	LOAD	OPEN	1600	2500	2500
KNPYX	KINETICS	KINETICS PARADIGM FUND CL I	INSTITUTIONAL	NO LOAD	OPEN	1600	1000000	1000000
KSCOX	KINETICS	KINETICS SMALL CAP OPPORTUNITIES FUND	NO LOAD	NO LOAD	OPEN	1600	100	100
KSCYX	KINETICS	KINETICS SMALL CAP OPPORTUNITIES FUND CL I	INSTITUTIONAL	NO LOAD	OPEN	1600	1000000	1000000
KSOAX	KINETICS	KINETICS SMALL CAP OPPTY ADV A	ADVISOR CLASS A	LOAD	OPEN	1600	2500	2500

SYMBOL	FUND FAMILY	FUND DESCRIPTION	SHARE CLASS	FUND TYPE	STATUS	CUT-OFF	IRA MIN	NON-IRA MIN
KSOCX	KINETICS	KINETICS SMALL CAP OPPTY ADV C	ADVISOR CLASS C	LOAD	OPEN	1600	2500	2500
LSHUX	KINETICS	KINETICS SPIN OFF & CORP RESTRUCTURING INST	INSTITUTIONAL	NO LOAD	OPEN	1600	1000000	1000000
LSHCX	KINETICS	KINETICS SPINOFF AND CORP REST ADV C	ADVISOR CLASS C	LOAD	OPEN	1600	2500	2500
WWNPX	KINETICS	PARADIGM FD	NO LOAD	NO LOAD	OPEN	1600	100	100
KMVAX	KIRR MARBACH FUNDS	KIRR MARBACH PARTNERS VALUE FUND	NO LOAD	NO LOAD	OPEN	1600	100	100
ESSIX	KKM FUNDS	ESSENTIAL 40 STOCK FD I	INSTITUTIONAL	NO LOAD	OPEN	1600	0	0
KCODX	KKR	KKR CREDIT OPPORTUNITIES D	D	NO LOAD	OPEN	1600	0	0
KCOPX	KKR	KKR CREDIT OPPORTUNITIES I	INST	NO LOAD	OPEN	1600	0	0
KCCIX	KNIGHTS OF COLUMBUS	KNIGHTS OF COLUMBUS CORE BOND I	I	NO LOAD	OPEN	1600	25000	25000
KCIIX	KNIGHTS OF COLUMBUS	KNIGHTS OF COLUMBUS INTERNATIONAL EQUITY FD CL I	I	NO LOAD	OPEN	1600	0	0
KCGIX	KNIGHTS OF COLUMBUS	KNIGHTS OF COLUMBUS LARGE CAP GROWTH I	I	NO LOAD	OPEN	1600	25000	25000
KCVIX	KNIGHTS OF COLUMBUS	KNIGHTS OF COLUMBUS LARGE CAP VALUE I	I	NO LOAD	OPEN	1600	25000	25000
KCLIX	KNIGHTS OF COLUMBUS	KNIGHTS OF COLUMBUS LIMITED DURATION FD CL I	I	NO LOAD	OPEN	1600	0	0
KCEIX	KNIGHTS OF COLUMBUS	KNIGHTS OF COLUMBUS LONG SHORT EQUITY FD CL I	I	NO LOAD	OPEN	1600	25000	25000
KCRIX	KNIGHTS OF COLUMBUS	KNIGHTS OF COLUMBUS REAL ESTT I	I	NO LOAD	OPEN	1600	25000	25000
KCSIX	KNIGHTS OF COLUMBUS	KNIGHTS OF COLUMBUS SMALL CAP FD CL I	I	NO LOAD	OPEN	1600	0	0
KCSSX	KNIGHTS OF COLUMBUS	KNIGHTS OF COLUMBUS SMALL CAP S	S	NO LOAD	REDEEM ONLY	1600	100000	100000
KCXIX	KNIGHTS OF COLUMBUS	KNIGHTS OF COLUMBUS U.S. ALL CAP IDX I	I	NO LOAD	OPEN	1600	25000	25000
KGGAX	KOPERNIK FUNDS	KOPERNIK GLBL ALL CAP FD A	A	LOAD	OPEN	1600	0	0
KGGIX	KOPERNIK FUNDS	KOPERNIK GLBL ALL CAP FD INST	INSTITUTIONAL	NO LOAD	SUBS ONLY	1600	0	0
KGIX	KOPERNIK FUNDS	KOPERNIK INTL FD I	INSTITUTIONAL	NO LOAD	OPEN	1600	0	0
KGIRX	KOPERNIK FUNDS	KOPERNIK INTL FD INV	INVESTOR	NO LOAD	OPEN	1600	0	0
KRSTX	KREST	KKR REAL ESTATE SELECT TRUST INC I CMN	I	NO LOAD	REDEEM ONLY	1600	1000000	1000000
LCAIX	LAZARD	LAZARD CAP ALLOCATOROPPT STRAT PORTINST	INSTITUTIONAL	NO LOAD	OPEN	1600	10000	10000
LCAOX	LAZARD	LAZARD CAP ALLOCATOROPPT STRAT PORTOPEN	OPEN	NO LOAD	OPEN	1600	100	100
LDMIX	LAZARD	LAZARD DEVELOPING MKTS EQTY PORT INST SHS	INSTITUTIONAL	NO LOAD	OPEN	1600	10000	10000
LDMOX	LAZARD	LAZARD DEVELOPING MKTS EQTY PORT OPEN SHS	OPEN	NO LOAD	OPEN	1600	100	100
ECEIX	LAZARD	LAZARD EMRG MKTS CORE EQTY PORT INST	INSTITUTIONAL	NO LOAD	OPEN	1600	10000	10000
ECEOX	LAZARD	LAZARD EMRG MKTS CORE EQTY PORT OPEN	OPEN	NO LOAD	OPEN	1600	100	100
LEAIX	LAZARD	LAZARD EMRG MKTS EQTY ADVTG INST	INSTITUTIONAL	NO LOAD	OPEN	1600	10000	10000
LEAOX	LAZARD	LAZARD EMRG MKTS EQTY ADVTG OPEN SHARES	OPEN	NO LOAD	OPEN	1600	100	100
LZEMX	LAZARD	LAZARD EMRG MKTS EQTY PORT INST SHARE	INSTITUTIONAL	NO LOAD	OPEN	1600	10000	10000
LZOEX	LAZARD	LAZARD EMRG MKTS EQTY PORT OPEN SHARES	OPEN	NO LOAD	OPEN	1600	100	100
RLEMX	LAZARD	LAZARD EMRG MKTS EQTY PORT R6	R6	NO LOAD	REDEEM ONLY	1600	1000000	1000000
LEOIX	LAZARD	LAZARD ENHANCED OPPORTUNITIES INSTL	INSTITUTIONAL	NO LOAD	OPEN	1600	10000	10000
LEOOX	LAZARD	LAZARD ENHANCED OPPTY PORT OPEN	OPEN	NO LOAD	OPEN	1600	100	100
LZFIX	LAZARD	LAZARD EQUITY FRANCHISE PORT INST SHRS	INSTITUTIONAL	NO LOAD	OPEN	1600	10000	10000
LZFOX	LAZARD	LAZARD EQUITY FRANCHISE PORT OPEN SHRS	OPEN	NO LOAD	OPEN	1600	100	100
GESIX	LAZARD	LAZARD GLOBAL EQTY SLCT PORT INST	INSTITUTIONAL	NO LOAD	OPEN	1600	10000	10000
GESOX	LAZARD	LAZARD GLOBAL EQTY SLCT PORT OPEN SHRS	OPEN	NO LOAD	OPEN	1600	100	100
GLIFX	LAZARD	LAZARD GLOBAL LISTED INFRASTRUCTURE PORT INST	INSTITUTIONAL	NO LOAD	OPEN	1600	10000	10000

SYMBOL	FUND FAMILY	FUND DESCRIPTION	SHARE CLASS	FUND TYPE	STATUS	CUT- OFF	IRA MIN	NON-IRA MIN
GLFOX	LAZARD	LAZARD GLOBAL LISTED INFRASTRUCTURE PORT OPEN	OPEN	NO LOAD	OPEN	1600	100	100
LZESX	LAZARD	LAZARD INTERNATIONAL EQUITY SELECT OPEN SHRS	OPEN	NO LOAD	OPEN	1600	100	100
ICMPX	LAZARD	LAZARD INTERNATIONAL QUALITY GR INSTL	INSTITUTIONAL	NO LOAD	OPEN	1600	10000	10000
LISIX	LAZARD	LAZARD INTERNATIONAL STRATEGIC EQUITY PORT INST CL	INSTITUTIONAL	NO LOAD	OPEN	1600	10000	10000
OCOMPX	LAZARD	LAZARD INTL COMPOUNDERS PORT OPEN CL	OPEN	NO LOAD	OPEN	1600	0	0
IEAOX	LAZARD	LAZARD INTL EQUITY ADVTG OPEN SHRS	OPEN	NO LOAD	OPEN	1600	100	100
LZIEX	LAZARD	LAZARD INTL EQUITY PORTFOLIO INSTL	INSTITUTIONAL	NO LOAD	OPEN	1600	10000	10000
LZIOX	LAZARD	LAZARD INTL EQUITY PORTFOLIO OPEN SHARES	OPEN	NO LOAD	OPEN	1600	100	100
RLIEX	LAZARD	LAZARD INTL EQUITY PORTFOLIO R6	R6	NO LOAD	REDEEM ONLY	1600	100000000	100000
LZSIX	LAZARD	LAZARD INTL EQUITY SLCT PORT INSTL CL	INSTITUTIONAL	NO LOAD	OPEN	1600	10000	10000
LZISX	LAZARD	LAZARD INTL SMALL CAP EQTY PORT INST	INSTITUTIONAL	NO LOAD	OPEN	1600	10000	10000
LZSMX	LAZARD	LAZARD INTL SMALL CAP EQTY PORT OPEN	OPEN	NO LOAD	OPEN	1600	100	100
LISOX	LAZARD	LAZARD INTL STRATEGIC EQUITY OPEN SHARES	OPEN	NO LOAD	OPEN	1600	100	100
RALOX	LAZARD	LAZARD REAL ASSETS & PRICING OPPTY OPEN CL	OPEN	NO LOAD	OPEN	1600	100	100
RALIX	LAZARD	LAZARD REAL ASSETS INSTL	INSTITUTIONAL	NO LOAD	OPEN	1600	0	0
LEVIX	LAZARD	LAZARD US EQUITY CONCENTRATED PORT INST SHR	INSTITUTIONAL	NO LOAD	OPEN	1600	10000	10000
LEVOX	LAZARD	LAZARD US EQUITY CONCENTRATED PORT OPEN SHRS	OPEN	NO LOAD	OPEN	1600	100	100
LZUSX	LAZARD	LAZARD US EQUITY SLCT PORTFOLIO FD INST	INSTITUTIONAL	NO LOAD	OPEN	1600	10000	10000
LZUOX	LAZARD	LAZARD US EQUITY SLCT PORTFOLIO FD OPEN	OPEN	NO LOAD	OPEN	1600	100	100
LZHXY	LAZARD	LAZARD US HIGH YIELD PORTFOLIO	INSTITUTIONAL	NO LOAD	OPEN	1600	10000	10000
LZHOX	LAZARD	LAZARD US HIGH YIELD PORTFOLIO	OPEN	NO LOAD	OPEN	1600	100	100
UMNIX	LAZARD	LAZARD US SHORT DURATION FIXED INCM INST	INSTITUTIONAL	NO LOAD	OPEN	1600	10000	10000
UMNOX	LAZARD	LAZARD US SHORT DURATION FIXED INCM OPEN	OPEN	NO LOAD	OPEN	1600	100	100
LZSCX	LAZARD	LAZARD US SMALL MID CAP EQTY PORT INST SHRS	INSTITUTIONAL	NO LOAD	OPEN	1600	10000	10000
LZCOX	LAZARD	LAZARD US SMALL MID CAP EQTY PORT OPEN SHRS	OPEN	NO LOAD	OPEN	1600	100	100
SUSLX	LAZARD	LAZARD US SUSTAINABLE EQUITY OPEN	OPEN	NO LOAD	OPEN	1600	0	0
LUSIX	LAZARD	LAZARD US SYSTEMATIC SMALL CAP EQ INS	INSTITUTIONAL	NO LOAD	OPEN	1600	10000	10000
HLRRX	LDR FUNDS	LDR REAL ESTATE VALUEOPPORTUNITY INST	INSTITUTIONAL	NO LOAD	OPEN	1600	0	0
HLPPX	LDR FUNDS	LDR REAL ESTATE VALUEOPPORTUNITY P	P	NO LOAD	OPEN	1600	100	100
LCTIX	LEADER	LEADER CAPITAL HIGH QUALITY INCOME INS	INSTITUTIONAL	NO LOAD	OPEN	1600	0	0
LCTRX	LEADER	LEADER CAPITAL HIGH QUALITY INCOME INV	INVESTOR	NO LOAD	OPEN	1600	100	100
LCCIX	LEADER	LEADER SHORT TERM HIGH YIELD BOND FD INST	INSTITUTIONAL	NO LOAD	OPEN	1600	0	0
LCCMX	LEADER	LEADER SHORT TERM HIGH YIELD BOND FD INV	INVESTOR	NO LOAD	OPEN	1600	100	100
ASCGX	LEBENTHAL LISANTI	LISANTI SMALL CAP GROWTH FUND	NO LOAD	NO LOAD	OPEN	1600	100	100
LMAPX	LEGG MASON	BRANDYWINE GBL ALTERNATIVE CREDIT FD CL A	A	LOAD	OPEN	1600	0	0
LMANX	LEGG MASON	BRANDYWINE GBL ALTERNATIVE CREDIT FD CL I	I	NO LOAD	OPEN	1600	1000000	1000000
LBWAX	LEGG MASON	BRANDYWINE GBL DIVERS US LG CAP VAL FD CL A	A	LOAD	OPEN	1600	0	0
LBWIX	LEGG MASON	BRANDYWINE GBL DIVERS US LG CAP VAL FD CL I	I	NO LOAD	OPEN	1600	0	0
GOBAX	LEGG MASON	BRANDYWINE GBL OPPORT BD FD CL A	A	LOAD	OPEN	1600	0	0
LGOCX	LEGG MASON	BRANDYWINE GBL OPPORT BD FD CL C	C	LOAD	OPEN	1600	250	1000
GOBCX	LEGG MASON	BRANDYWINE GBL OPPORT BD FD CL C1	C1	LOAD	EXCH ONLY	1600	250	1000

SYMBOL	FUND FAMILY	FUND DESCRIPTION	SHARE CLASS	FUND TYPE	STATUS	CUT- OFF	IRA MIN	NON-IRA MIN
GOBFX	LEGG MASON	BRANDYWINE GLBL OPPORT BD FD CL FI	FI	NO LOAD	OPEN	1600	0	0
GOBIX	LEGG MASON	BRANDYWINE GLBL OPPORT BD FD CL I	I	NO LOAD	OPEN	1600	15000	15000
GOBSX	LEGG MASON	BRANDYWINE GLBL OPPORT BD FD CL IS	IS	NO LOAD	OPEN	1600	0	0
LROAX	LEGG MASON	BRANDYWINE GLBL UNCONSTRAINED BD FD CL A	A	LOAD	OPEN	1600	0	0
LAOCX	LEGG MASON	BRANDYWINE GLBL UNCONSTRAINED BD FD CL C	C	LOAD	OPEN	1600	250	1000
LROIX	LEGG MASON	BRANDYWINE GLBL UNCONSTRAINED BD FD CL I	I	NO LOAD	OPEN	1600	15000	15000
LROSX	LEGG MASON	BRANDYWINE GLBL UNCONSTRAINED BD FD CL IS	IS	NO LOAD	OPEN	1600	0	0
LFLAX	LEGG MASON	BRANDYWINE GLOBAL FLEXIBLE BOND FD CL A	A	LOAD	OPEN	1600	0	0
LFLSX	LEGG MASON	BRANDYWINE GLOBAL FLEXIBLE BOND FD IS	IS	NO LOAD	OPEN	1600	0	0
LMAMX	LEGG MASON	BRANDYWINEGLOBAL ALT CREDIT FD IS	IS	NO LOAD	OPEN	1600	0	0
LMAQX	LEGG MASON	BRANDYWINEGLOBAL ALTERNATIVE CREDIT C	C	LOAD	OPEN	1600	250	1000
LMAOX	LEGG MASON	BRANDYWINEGLOBAL ALTERNATIVE CREDIT FI	FI	NO LOAD	OPEN	1600	0	0
BCAAX	LEGG MASON	BRANDYWINEGLOBAL CORP CREDIT A	A	LOAD	OPEN	1600	0	0
BCGIX	LEGG MASON	BRANDYWINEGLOBAL CORP CREDIT I	I	NO LOAD	OPEN	1600	1000000	1000000
BGISX	LEGG MASON	BRANDYWINEGLOBAL CORP CREDIT IS	IS	NO LOAD	OPEN	1600	1000000	1000000
BGGCX	LEGG MASON	BRANDYWINEGLOBAL CORPORATE CREDIT C	C	LOAD	OPEN	1600	0	0
LBDRX	LEGG MASON	BRANDYWINEGLOBAL DIV US LARGE VALUE R	R	NO LOAD	REDEEM ONLY	1600	0	0
LBISX	LEGG MASON	BRANDYWINEGLOBAL DIVERS US LG CAP VAL IS	IS	NO LOAD	OPEN	1600	0	0
LFLCX	LEGG MASON	BRANDYWINEGLOBAL FLEXIBLE BOND C	C	LOAD	OPEN	1600	0	0
LFLIX	LEGG MASON	BRANDYWINEGLOBAL FLEXIBLE BOND I	I	NO LOAD	OPEN	1600	1000000	1000000
LBORX	LEGG MASON	BRANDYWINEGLOBAL GLOBAL OPP BOND R	R	NO LOAD	REDEEM ONLY	1600	0	0
LBARX	LEGG MASON	BRANDYWINEGLOBAL GLOBAL UNCONST BOND R	R	NO LOAD	REDEEM ONLY	1600	0	0
BGHAX	LEGG MASON	BRANDYWINEGLOBAL HIGH YIELD A	A	LOAD	OPEN	1600	0	0
BGHCX	LEGG MASON	BRANDYWINEGLOBAL HIGH YIELD C	C	LOAD	OPEN	1600	0	0
BGHIX	LEGG MASON	BRANDYWINEGLOBAL HIGH YIELD I	I	NO LOAD	OPEN	1600	0	0
BGHSX	LEGG MASON	BRANDYWINEGLOBAL HIGH YIELD IS	IS	NO LOAD	OPEN	1600	10000000	1000000
SHAPX	LEGG MASON	CLEARBRIDGE APPR FD CL A	A	LOAD	OPEN	1600	0	0
SAPCX	LEGG MASON	CLEARBRIDGE APPR FD CL C	C	LOAD	OPEN	1600	250	500
SAPYX	LEGG MASON	CLEARBRIDGE APPR FD CL I	I	NO LOAD	OPEN	1600	15000	15000
LMESX	LEGG MASON	CLEARBRIDGE APPR FD CL IS	IS	NO LOAD	OPEN	1600	0	0
LMPIX	LEGG MASON	CLEARBRIDGE APPRECIATION FI	FI	NO LOAD	OPEN	1600	0	0
LMPPX	LEGG MASON	CLEARBRIDGE APPRECIATION R	R	NO LOAD	REDEEM ONLY	1600	0	0
LCBEX	LEGG MASON	CLEARBRIDGE DIV STRAT FD CL IS	IS	NO LOAD	OPEN	1600	0	0
SOPAX	LEGG MASON	CLEARBRIDGE DIVIDEND STRATEGY FD CL A	A	LOAD	OPEN	1600	0	0
SBPLX	LEGG MASON	CLEARBRIDGE DIVIDEND STRATEGY FD CL C	C	LOAD	OPEN	1600	250	1000
SOPYX	LEGG MASON	CLEARBRIDGE DIVIDEND STRATEGY FD CL I	I	NO LOAD	OPEN	1600	15000	15000
LBRIX	LEGG MASON	CLEARBRIDGE DIVIDEND STRATEGY FI	FI	NO LOAD	OPEN	1600	0	0
LMMRX	LEGG MASON	CLEARBRIDGE DIVIDEND STRATEGY R	R	NO LOAD	REDEEM ONLY	1600	0	0
RGAVX	LEGG MASON	CLEARBRIDGE GLBL INFRASTRUCTURE INCM FD CL A	A	LOAD	OPEN	1600	0	0
RGIVX	LEGG MASON	CLEARBRIDGE GLBL INFRASTRUCTURE INCM FD CL I	I	NO LOAD	OPEN	1600	1000000	1000000
RGSVX	LEGG MASON	CLEARBRIDGE GLBL INFRASTRUCTURE INCM FD CL IS	IS	NO LOAD	OPEN	1600	0	0

SYMBOL	FUND FAMILY	FUND DESCRIPTION	SHARE CLASS	FUND TYPE	STATUS	CUT- OFF	IRA MIN	NON-IRA MIN
SHRAX	LEGG MASON	CLEARBRIDGE GROWTH FUND A	A	LOAD	OPEN	1600	0	0
SAGCX	LEGG MASON	CLEARBRIDGE GROWTH FUND C	C	LOAD	OPEN	1600	250	500
LMPFX	LEGG MASON	CLEARBRIDGE GROWTH FUND FI	FI	NO LOAD	OPEN	1600	0	0
SAGYX	LEGG MASON	CLEARBRIDGE GROWTH FUND I	I	NO LOAD	OPEN	1600	15000	15000
LSIFX	LEGG MASON	CLEARBRIDGE GROWTH FUND IS	IS	NO LOAD	OPEN	1600	0	0
LMPRX	LEGG MASON	CLEARBRIDGE GROWTH FUND R	R	NO LOAD	REDEEM ONLY	1600	0	0
LMGRX	LEGG MASON	CLEARBRIDGE INTERNATIONAL GROWTH R	R	NO LOAD	REDEEM ONLY	1600	0	0
LIORX	LEGG MASON	CLEARBRIDGE INTERNATIONAL VALUE R	R	NO LOAD	REDEEM ONLY	1600	0	0
LGGAX	LEGG MASON	CLEARBRIDGE INTL GWTH FD A	A	LOAD	OPEN	1600	0	0
LMGTX	LEGG MASON	CLEARBRIDGE INTL GWTH FD C	C	LOAD	OPEN	1600	250	1000
LMGFX	LEGG MASON	CLEARBRIDGE INTL GWTH FD FI	FI	NO LOAD	OPEN	1600	0	0
LMGNX	LEGG MASON	CLEARBRIDGE INTL GWTH FD I	I	NO LOAD	OPEN	1600	15000	15000
LMGPX	LEGG MASON	CLEARBRIDGE INTL GWTH FD IS	IS	NO LOAD	OPEN	1600	0	0
SBIYX	LEGG MASON	CLEARBRIDGE INTL VALUE FD CL I	I	NO LOAD	OPEN	1600	1000000	1000000
LSIUX	LEGG MASON	CLEARBRIDGE INTL VALUE FD CL IS	IS	NO LOAD	OPEN	1600	0	0
SBIEX	LEGG MASON	CLEARBRIDGE INTL VALUE FND CL A	A	LOAD	OPEN	1600	0	0
SBICX	LEGG MASON	CLEARBRIDGE INTL VALUE FND CL C	C	LOAD	OPEN	1600	250	500
LMPLX	LEGG MASON	CLEARBRIDGE LARGE CAP GROWTH R	R	NO LOAD	REDEEM ONLY	1600	0	0
SBLGX	LEGG MASON	CLEARBRIDGE LARGE CAP GWTH FD CL A	A	LOAD	OPEN	1600	0	0
SLCCX	LEGG MASON	CLEARBRIDGE LARGE CAP GWTH FD CL C	C	LOAD	OPEN	1600	250	500
SBLYX	LEGG MASON	CLEARBRIDGE LARGE CAP GWTH FD CL I	I	NO LOAD	OPEN	1600	15000	15000
LSITX	LEGG MASON	CLEARBRIDGE LARGE CAP GWTH IS	IS	NO LOAD	OPEN	1600	0	0
LCBVX	LEGG MASON	CLEARBRIDGE LARGE CAP VALUE R	R	NO LOAD	REDEEM ONLY	1600	0	0
LCMMX	LEGG MASON	CLEARBRIDGE LG CAP GWTH O	O	NO LOAD	REDEEM ONLY	1600	0	0
SINAX	LEGG MASON	CLEARBRIDGE LG CAP VALUE FD CL A	A	LOAD	OPEN	1600	0	0
SINOX	LEGG MASON	CLEARBRIDGE LG CAP VALUE FD CL C	C	LOAD	OPEN	1600	250	500
LMLSX	LEGG MASON	CLEARBRIDGE LG CAP VALUE IS	IS	NO LOAD	OPEN	1600	0	0
SBMAX	LEGG MASON	CLEARBRIDGE MID CAP FD A	A	LOAD	OPEN	1600	0	0
SBMLX	LEGG MASON	CLEARBRIDGE MID CAP FD C	C	LOAD	OPEN	1600	250	500
SMBYX	LEGG MASON	CLEARBRIDGE MID CAP FD I	I	NO LOAD	OPEN	1600	15000	15000
LBGCX	LEGG MASON	CLEARBRIDGE MID CAP GROWTH C	C	LOAD	OPEN	1600	0	0
LCMRX	LEGG MASON	CLEARBRIDGE MID CAP GROWTH R	R	NO LOAD	REDEEM ONLY	1600	0	0
LBGAX	LEGG MASON	CLEARBRIDGE MID CAP GWTH FD CL A	A	LOAD	OPEN	1600	0	0
LBGIX	LEGG MASON	CLEARBRIDGE MID CAP GWTH FD CL I	I	NO LOAD	OPEN	1600	1000000	1000000
LCMIX	LEGG MASON	CLEARBRIDGE MID CAP GWTH FD CL IS	IS	NO LOAD	OPEN	1600	0	0
LSIRX	LEGG MASON	CLEARBRIDGE MID CAP IS	IS	NO LOAD	OPEN	1600	0	0
LMREX	LEGG MASON	CLEARBRIDGE MID CAP R	R	NO LOAD	REDEEM ONLY	1600	0	0
LCLCX	LEGG MASON	CLEARBRIDGE SELECT C	C	LOAD	OPEN	1600	250	1000
LCLAX	LEGG MASON	CLEARBRIDGE SLCT FD CL A	A	LOAD	OPEN	1600	0	0
LCBSX	LEGG MASON	CLEARBRIDGE SLCT FD CL FI	FI	NO LOAD	SUBS ONLY	1600	0	0
LBFIX	LEGG MASON	CLEARBRIDGE SLCT FD CL I	I	NO LOAD	OPEN	1600	1000000	1000000

SYMBOL	FUND FAMILY	FUND DESCRIPTION	SHARE CLASS	FUND TYPE	STATUS	CUT- OFF	IRA MIN	NON-IRA MIN
LCSSX	LEGG MASON	CLEARBRIDGE SLCT FD CL IS	IS	NO LOAD	OPEN	1600	0	0
LMSAX	LEGG MASON	CLEARBRIDGE SMALL CAP A	A	LOAD	OPEN	1600	0	0
LMASX	LEGG MASON	CLEARBRIDGE SMALL CAP C	C	LOAD	OPEN	1600	250	1000
LGASX	LEGG MASON	CLEARBRIDGE SMALL CAP FI	FI	NO LOAD	OPEN	1600	0	0
LMPOX	LEGG MASON	CLEARBRIDGE SMALL CAP GROWTH R	R	NO LOAD	REDEEM ONLY	1600	0	0
SASMX	LEGG MASON	CLEARBRIDGE SMALL CAP GWTH FD CL A	A	LOAD	OPEN	1600	0	0
SCSMX	LEGG MASON	CLEARBRIDGE SMALL CAP GWTH FD CL C	C	LOAD	SUBS ONLY	1600	250	500
SBPYX	LEGG MASON	CLEARBRIDGE SMALL CAP GWTH FD CL I	I	NO LOAD	OPEN	1600	15000	15000
LMOIX	LEGG MASON	CLEARBRIDGE SMALL CAP GWTH IS	IS	NO LOAD	OPEN	1600	0	0
LMNSX	LEGG MASON	CLEARBRIDGE SMALL CAP I	I	NO LOAD	OPEN	1600	15000	15000
LISGX	LEGG MASON	CLEARBRIDGE SMALL CAP IS	IS	NO LOAD	OPEN	1600	0	0
LMARX	LEGG MASON	CLEARBRIDGE SMALL CAP R	R	NO LOAD	REDEEM ONLY	1600	0	0
CLSUX	LEGG MASON	CLEARBRIDGE SUSTAIN LEADERS A	A	LOAD	OPEN	1600	0	0
LCSTX	LEGG MASON	CLEARBRIDGE SUSTAIN LEADERS FI	FI	NO LOAD	OPEN	1600	0	0
LCISX	LEGG MASON	CLEARBRIDGE SUSTAIN LEADERS I	I	NO LOAD	OPEN	1600	15000	15000
LCILX	LEGG MASON	CLEARBRIDGE SUSTAIN LEADERS IS	IS	NO LOAD	OPEN	1600	0	0
CAABX	LEGG MASON	CLEARBRIDGE SUSTAINABILITY LEADERS C	C	LOAD	OPEN	1600	0	0
CFLGX	LEGG MASON	CLEARBRIDGE TACTICAL DIV INCM FD CL A	A	LOAD	OPEN	1600	0	0
SMDLX	LEGG MASON	CLEARBRIDGE TACTICAL DIV INCM FD CL C	C	LOAD	OPEN	1600	250	1000
LADIX	LEGG MASON	CLEARBRIDGE TACTICAL DIV INCM FD CL I	I	NO LOAD	OPEN	1600	15000	15000
LCBDX	LEGG MASON	CLEARBRIDGE TACTICAL DIV INCM IS	IS	NO LOAD	OPEN	1600	0	0
LGVAX	LEGG MASON	CLEARBRIDGE VALUE FUND A	A	LOAD	OPEN	1600	0	0
LMVTX	LEGG MASON	CLEARBRIDGE VALUE FUND C	C	LOAD	OPEN	1600	250	1000
LMVFX	LEGG MASON	CLEARBRIDGE VALUE FUND FI	FI	NO LOAD	OPEN	1600	0	0
LMNVX	LEGG MASON	CLEARBRIDGE VALUE FUND I	I	NO LOAD	OPEN	1600	15000	15000
LMVRX	LEGG MASON	CLEARBRIDGE VALUE FUND R	R	NO LOAD	REDEEM ONLY	1600	0	0
CBVBX	LEGG MASON	CLEARBRIDGE VALUE IS	IS	NO LOAD	OPEN	1600	0	0
FBSPX	LEGG MASON	FRANKLIN BSP PRIVATE CREDIT ADVISOR	ADVISOR	NO LOAD	OPEN	1600	0	0
CFIPX	LEGG MASON	FRANKLIN GLOBAL EQUITY FUND A	A	LOAD	OPEN	1600	0	0
SILLX	LEGG MASON	FRANKLIN GLOBAL EQUITY FUND C	C	LOAD	OPEN	1600	250	500
SMYIX	LEGG MASON	FRANKLIN GLOBAL EQUITY FUND I	I	NO LOAD	OPEN	1600	1000000	1000000
LMEAX	LEGG MASON	FRANKLIN INTERNATIONAL EQUITY FUND A	A	LOAD	OPEN	1600	0	0
LMGEX	LEGG MASON	FRANKLIN INTERNATIONAL EQUITY FUND C	C	LOAD	OPEN	1600	250	1000
LGFEX	LEGG MASON	FRANKLIN INTERNATIONAL EQUITY FUND F1	FI	NO LOAD	OPEN	1600	0	0
LGIEX	LEGG MASON	FRANKLIN INTERNATIONAL EQUITY FUND I	I	NO LOAD	OPEN	1600	15000	15000
LIESX	LEGG MASON	FRANKLIN INTERNATIONAL EQUITY FUND IS	IS	NO LOAD	OPEN	1600	0	0
LMIRX	LEGG MASON	FRANKLIN INTERNATIONAL EQUITY R	R	NO LOAD	REDEEM ONLY	1600	0	0
SBBAX	LEGG MASON	FRANKLIN MULTIASSET CONS GRWTH A	A	LOAD	OPEN	1600	0	0
SCBCX	LEGG MASON	FRANKLIN MULTIASSET CONS GRWTH C	C	LOAD	OPEN	1600	250	1000
LMEIX	LEGG MASON	FRANKLIN MULTIASSET CONS GRWTH I	I	NO LOAD	OPEN	1600	10000000	1000000
LLARX	LEGG MASON	FRANKLIN MULTIASSET CONS GRWTH R	R	NO LOAD	REDEEM ONLY	1600	0	0

SYMBOL	FUND FAMILY	FUND DESCRIPTION	SHARE CLASS	FUND TYPE	STATUS	CUT- OFF	IRA MIN	NON-IRA MIN
SBCPX	LEGG MASON	FRANKLIN MULTIASSET DEFFS GRWTH A	A	LOAD	OPEN	1600	0	0
LMGIX	LEGG MASON	FRANKLIN MULTIASSET DEFFS GRWTH I	I	NO LOAD	OPEN	1600	1000000	1000000
LMLRX	LEGG MASON	FRANKLIN MULTIASSET DEFFS GRWTH R	R	NO LOAD	REDEEM ONLY	1600	0	0
SCHAX	LEGG MASON	FRANKLIN MULTIASSET GROWTH FUND A	A	LOAD	OPEN	1600	0	0
SCHCX	LEGG MASON	FRANKLIN MULTIASSET GROWTH FUND C	C	LOAD	OPEN	1600	250	500
LANIX	LEGG MASON	FRANKLIN MULTIASSET GROWTH FUND I	I	NO LOAD	OPEN	1600	1000000	1000000
LLLRX	LEGG MASON	FRANKLIN MULTIASSET GROWTH FUND R	R	NO LOAD	REDEEM ONLY	1600	0	0
SAIFX	LEGG MASON	LEGG MASON CLEARBRIDGE LARGE CAP FD CL I	I	NO LOAD	OPEN	1600	15000	15000
LMPSX	LEGG MASON	LEGG MASON CLEARBRIDGE SM CAP GWTH FI	FI	NO LOAD	SUBS ONLY	1600	0	0
LGSCX	LEGG MASON	LEGG MASON QS US SM CAP EQTY FI	FI	NO LOAD	SUBS ONLY	1600	0	0
MACEX	LEGG MASON	MARTIN CURRIE EMERGING MARKETS A	A	NO LOAD	OPEN	1600	0	0
MEFIX	LEGG MASON	MARTIN CURRIE EMRG MKTS FD CL FI	FI	NO LOAD	OPEN	1600	0	0
MCEIX	LEGG MASON	MARTIN CURRIE EMRG MKTS FD CL I	I	NO LOAD	OPEN	1600	1000000	1000000
MCEMX	LEGG MASON	MARTIN CURRIE EMRG MKTS FD CL IS	IS	NO LOAD	OPEN	1600	0	0
LCSMX	LEGG MASON	MARTIN CURRIE SMASH SERIES EM	NO LOAD	NO LOAD	REDEEM ONLY	1600	0	0
SBCLX	LEGG MASON	QS DEFENSIVE GROWTH FD CL C1	C1	LOAD	EXCH ONLY	1600	250	1000
SCGRX	LEGG MASON	QS MOD GROWTH FD CL A	A	LOAD	OPEN	1600	0	0
SCGCX	LEGG MASON	QS MOD GROWTH FD CL C	C	LOAD	OPEN	1600	250	1000
LLMRX	LEGG MASON	QS MODERATE GROWTH R	R	NO LOAD	REDEEM ONLY	1600	0	0
LLAIX	LEGG MASON	QS MODERATE GWTH FD CL I	I	NO LOAD	OPEN	1600	1000000	1000000
SBSPX	LEGG MASON	QS S&P 500 INDEX FD CL A	A	NO LOAD	OPEN	1600	0	0
SBSDX	LEGG MASON	QS S&P 500 INDEX FD CL D	D	NO LOAD	OPEN	1600	0	0
LMBAX	LEGG MASON	QS US SM CAP EQTY FD CL A	A	LOAD	OPEN	1600	0	0
LMSIX	LEGG MASON	QS US SM CAP EQTY FD CL I	I	NO LOAD	OPEN	1600	0	0
LMBMX	LEGG MASON	QS US SMALL CAP EQTY FD CL IS	IS	NO LOAD	OPEN	1600	0	0
SHRCX	LEGG MASON	WESTERN ASSET CA MUNI FD CL A	A	LOAD	OPEN	1600	0	0
SCACX	LEGG MASON	WESTERN ASSET CA MUNI FD CL C	C	LOAD	OPEN	1600	500	500
LMCUX	LEGG MASON	WESTERN ASSET CA MUNI FD CL I	I	NO LOAD	OPEN	1600	15000	15000
WABAX	LEGG MASON	WESTERN ASSET CORE BD FD A	A	LOAD	OPEN	1600	0	0
LWACX	LEGG MASON	WESTERN ASSET CORE BD FD C1	C1	LOAD	EXCH ONLY	1600	250	1000
WACSX	LEGG MASON	WESTERN ASSET CORE BD FD IS	IS	NO LOAD	OPEN	1600	0	0
WABCX	LEGG MASON	WESTERN ASSET CORE BOND C	C	LOAD	OPEN	1600	250	1000
WAPIX	LEGG MASON	WESTERN ASSET CORE BOND FUND FINL INTER CL	FI	NO LOAD	OPEN	1600	0	0
WATFX	LEGG MASON	WESTERN ASSET CORE BOND FUND INST CL	I	NO LOAD	OPEN	1600	0	0
WABRX	LEGG MASON	WESTERN ASSET CORE BOND R	R	NO LOAD	REDEEM ONLY	1600	0	0
WAPAX	LEGG MASON	WESTERN ASSET CORE PLUS BD FD A	A	LOAD	OPEN	1600	0	0
WAPCX	LEGG MASON	WESTERN ASSET CORE PLUS BD FD C	C	LOAD	OPEN	1600	250	1000
LWCPX	LEGG MASON	WESTERN ASSET CORE PLUS BD FD C1	C1	LOAD	EXCH ONLY	1600	250	1000
WAPSX	LEGG MASON	WESTERN ASSET CORE PLUS BD FD IS	IS	NO LOAD	OPEN	1600	0	0
WACPX	LEGG MASON	WESTERN ASSET CORE PLUS BD FUND INST CL	I	NO LOAD	OPEN	1600	0	0
WAPRX	LEGG MASON	WESTERN ASSET CORE PLUS BOND R	R	NO LOAD	REDEEM ONLY	1600	0	0

SYMBOL	FUND FAMILY	FUND DESCRIPTION	SHARE CLASS	FUND TYPE	STATUS	CUT- OFF	IRA MIN	NON-IRA MIN
WACIX	LEGG MASON	WESTERN ASSET CORE PLUS FUND FINL INTERMEDIARY	FI	NO LOAD	OPEN	1600	0	0
SIGAX	LEGG MASON	WESTERN ASSET CORP BD FD CL A	A	LOAD	OPEN	1600	0	0
SBILX	LEGG MASON	WESTERN ASSET CORP BD FD CL C1	C1	LOAD	EXCH ONLY	1600	250	1000
SIGYX	LEGG MASON	WESTERN ASSET CORP BD FD CL I	I	NO LOAD	OPEN	1600	0	0
LCBPX	LEGG MASON	WESTERN ASSET CORP BD FD CL P	P	NO LOAD	OPEN	1600	0	0
LWBOX	LEGG MASON	WESTERN ASSET CORPORATE BOND C	C	LOAD	OPEN	1600	250	1000
SMGXX	LEGG MASON	WESTERN ASSET GOVT RESERVES A	A	LOAD	OPEN	1600	0	0
WAYAX	LEGG MASON	WESTERN ASSET HIGH YIELD FD A	A	LOAD	OPEN	1600	0	0
WAHSX	LEGG MASON	WESTERN ASSET HIGH YIELD FD IS	IS	NO LOAD	OPEN	1600	0	0
WAHYX	LEGG MASON	WESTERN ASSET HIGH YIELD FUND INSTL CL	I	NO LOAD	OPEN	1600	0	0
WAYRX	LEGG MASON	WESTERN ASSET HIGH YIELD R	R	NO LOAD	REDEEM ONLY	1600	0	0
LWSIX	LEGG MASON	WESTERN ASSET INCOME C	C	LOAD	OPEN	1600	250	1000
SDSAX	LEGG MASON	WESTERN ASSET INCOME FUND CL A	A	LOAD	OPEN	1600	0	0
SDSIX	LEGG MASON	WESTERN ASSET INCOME FUND CL C1	C1	LOAD	EXCH ONLY	1600	250	500
SDSYX	LEGG MASON	WESTERN ASSET INCOME FUND CL I	I	NO LOAD	OPEN	1600	0	0
WAGIX	LEGG MASON	WESTERN ASSET INCOME FUND CL IS	IS	NO LOAD	OPEN	1600	0	0
WAFAX	LEGG MASON	WESTERN ASSET INFL INDXD PLUS BD FD A	A	LOAD	OPEN	1600	0	0
WAFSX	LEGG MASON	WESTERN ASSET INFL INDXD PLUS BD FD IS	IS	NO LOAD	OPEN	1600	0	0
WAFCX	LEGG MASON	WESTERN ASSET INFLATION INDXD PLUS BD C	C	LOAD	OPEN	1600	0	0
WATPX	LEGG MASON	WESTERN ASSET INFLATION INDXD PLUS BD FI	FI	NO LOAD	OPEN	1600	0	0
WAFRX	LEGG MASON	WESTERN ASSET INFLATION INDXD PLUS BD R	R	NO LOAD	REDEEM ONLY	1600	0	0
WAIIX	LEGG MASON	WESTERN ASSET INFLATION INDXD PLUS BD FUND INST	I	NO LOAD	OPEN	1600	15000	15000
CIIXX	LEGG MASON	WESTERN ASSET INSTL US TRS RSRVS INSTL	I	NO LOAD	OPEN	1600	0	0
WATAX	LEGG MASON	WESTERN ASSET INTER BD FD A	A	LOAD	OPEN	1600	0	0
WATIX	LEGG MASON	WESTERN ASSET INTER BD FD I	I	NO LOAD	OPEN	1600	0	0
WABSX	LEGG MASON	WESTERN ASSET INTER BD FD IS	IS	NO LOAD	OPEN	1600	0	0
ITCAX	LEGG MASON	WESTERN ASSET INTER MAT CA MUNI FD CL A	A	LOAD	OPEN	1600	0	0
SIMLX	LEGG MASON	WESTERN ASSET INTER MAT CA MUNI FD CL C	C	LOAD	OPEN	1600	250	1000
SICYX	LEGG MASON	WESTERN ASSET INTER MAT CA MUNI FD CL I	I	NO LOAD	OPEN	1600	0	0
IMNYX	LEGG MASON	WESTERN ASSET INTER MAT NY MUNI FD CL A	A	LOAD	OPEN	1600	0	0
SINLX	LEGG MASON	WESTERN ASSET INTER MAT NY MUNI FD CL C	C	LOAD	OPEN	1600	250	1000
LMIIX	LEGG MASON	WESTERN ASSET INTERM MAT NY MUNI FD I	I	NO LOAD	OPEN	1600	10000000	1000000
SBLTX	LEGG MASON	WESTERN ASSET INTERM TERM MUNI FD CL A	A	LOAD	OPEN	1600	0	0
SMLLX	LEGG MASON	WESTERN ASSET INTERM TERM MUNI FD CL C	C	LOAD	OPEN	1600	500	500
SBTYX	LEGG MASON	WESTERN ASSET INTERM TERM MUNI FD CL I	I	NO LOAD	OPEN	1600	0	0
WATCX	LEGG MASON	WESTERN ASSET INTERMEDIATE BOND C	C	LOAD	OPEN	1600	250	1000
WATRX	LEGG MASON	WESTERN ASSET INTERMEDIATE BOND R	R	NO LOAD	REDEEM ONLY	1600	0	0
SMLSX	LEGG MASON	WESTERN ASSET INTERMEDIATETERM MUNI IS	IS	NO LOAD	OPEN	1600	0	0
SLMMX	LEGG MASON	WESTERN ASSET MA MUNI FD CL A	A	LOAD	OPEN	1600	0	0
SMALX	LEGG MASON	WESTERN ASSET MA MUNI FD CL C	C	LOAD	OPEN	1600	500	500
LHMIX	LEGG MASON	WESTERN ASSET MA MUNI FD CL I	I	NO LOAD	OPEN	1600	15000	15000

SYMBOL	FUND FAMILY	FUND DESCRIPTION	SHARE CLASS	FUND TYPE	STATUS	CUT-OFF	IRA MIN	NON-IRA MIN
LAAAX	LEGG MASON	WESTERN ASSET MACRO OPPTY FD A	A	LOAD	OPEN	1600	0	0
LAACX	LEGG MASON	WESTERN ASSET MACRO OPPTY FD C	C	LOAD	OPEN	1600	250	1000
LAFIX	LEGG MASON	WESTERN ASSET MACRO OPPTY FD FI	FI	NO LOAD	OPEN	1600	0	0
LAOIX	LEGG MASON	WESTERN ASSET MACRO OPPTY FD I	I	NO LOAD	OPEN	1600	1000000	1000000
LAOSX	LEGG MASON	WESTERN ASSET MACRO OPPTY FD IS	IS	NO LOAD	OPEN	1600	0	0
SHMMX	LEGG MASON	WESTERN ASSET MGD MUNI FD CL A	A	LOAD	OPEN	1600	0	0
SMMCX	LEGG MASON	WESTERN ASSET MGD MUNI FD CL C	C	LOAD	OPEN	1600	500	500
SMMYX	LEGG MASON	WESTERN ASSET MGD MUNI FD CL I	I	NO LOAD	OPEN	1600	15000	15000
SSMMX	LEGG MASON	WESTERN ASSET MGD MUNI FD CL IS	IS	NO LOAD	OPEN	1600	0	0
LWMSX	LEGG MASON	WESTERN ASSET MORTGAGE TOTAL RET C	C	LOAD	OPEN	1600	250	1000
SGVAX	LEGG MASON	WESTERN ASSET MORTGAGE TOTAL RETURN FD CL A	A	LOAD	OPEN	1600	0	0
SGSLX	LEGG MASON	WESTERN ASSET MORTGAGE TOTAL RETURN FD CL C1	C1	LOAD	EXCH ONLY	1600	250	500
SGSYX	LEGG MASON	WESTERN ASSET MORTGAGE TOTAL RETURN FD CL I	I	NO LOAD	OPEN	1600	1000000	1000000
LMBSX	LEGG MASON	WESTERN ASSET MORTGAGE TOTAL RETURN FD CL IS	IS	NO LOAD	OPEN	1600	0	0
STXAX	LEGG MASON	WESTERN ASSET MUNI HIGH INCM FD CL A	A	LOAD	OPEN	1600	0	0
SMHLX	LEGG MASON	WESTERN ASSET MUNI HIGH INCM FD CL C	C	LOAD	OPEN	1600	500	500
LMHIX	LEGG MASON	WESTERN ASSET MUNI HIGH INCM FD CL I	I	NO LOAD	OPEN	1600	15000	15000
SHNJX	LEGG MASON	WESTERN ASSET NJ MUNI FD CL A	A	LOAD	OPEN	1600	0	0
SNJLX	LEGG MASON	WESTERN ASSET NJ MUNI FD CL C	C	LOAD	OPEN	1600	500	500
LNJIX	LEGG MASON	WESTERN ASSET NJ MUNI FD CL I	I	NO LOAD	OPEN	1600	15000	15000
SBNYX	LEGG MASON	WESTERN ASSET NY MUNI FD CL A	A	LOAD	OPEN	1600	0	0
SBYLX	LEGG MASON	WESTERN ASSET NY MUNI FD CL C	C	LOAD	OPEN	1600	500	500
SNPYX	LEGG MASON	WESTERN ASSET NY MUNI FD CL I	I	NO LOAD	OPEN	1600	0	0
SHORX	LEGG MASON	WESTERN ASSET OR MUNI FD CL A	A	LOAD	OPEN	1600	0	0
SORLX	LEGG MASON	WESTERN ASSET OR MUNI FD CL C	C	LOAD	OPEN	1600	500	500
LMOOX	LEGG MASON	WESTERN ASSET OR MUNICIPALS FD CL I	I	NO LOAD	OPEN	1600	15000	15000
SBPAX	LEGG MASON	WESTERN ASSET PA MUNI FD CL A	A	LOAD	OPEN	1600	0	0
SPALX	LEGG MASON	WESTERN ASSET PA MUNI FD CL C	C	LOAD	OPEN	1600	500	500
LPPIX	LEGG MASON	WESTERN ASSET PA MUNI FD CL I	I	NO LOAD	OPEN	1600	1000000	1000000
LWHIX	LEGG MASON	WESTERN ASSET SHORT DUR HIGH INCM CL C	C	LOAD	OPEN	1600	250	1000
SMDYX	LEGG MASON	WESTERN ASSET SHORT DUR MUNI INC FD CL I	I	NO LOAD	OPEN	1600	15000	15000
SHDSX	LEGG MASON	WESTERN ASSET SHORT DUR MUNI INCM CL IS	IS	NO LOAD	OPEN	1600	0	0
SHDAX	LEGG MASON	WESTERN ASSET SHORT DUR MUNI INCM FD CL A	A	LOAD	OPEN	1600	0	0
SHDLX	LEGG MASON	WESTERN ASSET SHORT DUR MUNI INCM FD CL C	C	LOAD	OPEN	1600	250	1000
LWSRX	LEGG MASON	WESTERN ASSET SHORT DURATION HIGH INC R	R	NO LOAD	REDEEM ONLY	1600	0	0
SHIAX	LEGG MASON	WESTERN ASSET SHORT DURATION HIGH INCM FD CL A	A	LOAD	OPEN	1600	0	0
SHICX	LEGG MASON	WESTERN ASSET SHORT DURATION HIGH INCM FD CL C1	C1	LOAD	EXCH ONLY	1600	250	500
SHIYX	LEGG MASON	WESTERN ASSET SHORT DURATION HIGH INCM FD CL I	I	NO LOAD	OPEN	1600	15000	15000
LWSTX	LEGG MASON	WESTERN ASSET SHORT TERM BD FD CL IS	IS	NO LOAD	OPEN	1600	0	0
SBSTX	LEGG MASON	WESTERN ASSET SHORTTERM BD FD CL A	A	LOAD	OPEN	1600	0	0
SSTLX	LEGG MASON	WESTERN ASSET SHORTTERM BD FD CL C1	C1	LOAD	EXCH ONLY	1600	250	500

SYMBOL	FUND FAMILY	FUND DESCRIPTION	SHARE CLASS	FUND TYPE	STATUS	CUT- OFF	IRA MIN	NON-IRA MIN
SBSYX	LEGG MASON	WESTERN ASSET SHORTTERM BD FD CL I	I	NO LOAD	OPEN	1600	0	0
LWSOX	LEGG MASON	WESTERN ASSET SHORTTERM BOND C	C	LOAD	OPEN	1600	250	1000
LWARX	LEGG MASON	WESTERN ASSET SHORTTERM BOND R	R	NO LOAD	REDEEM ONLY	1600	0	0
LMLCX	LEGG MASON	WESTERN ASSET SMASH SERIES C	NO LOAD	NO LOAD	REDEEM ONLY	1600	0	0
LMECX	LEGG MASON	WESTERN ASSET SMASH SERIES COR PL CMLPTN	NO LOAD	NO LOAD	REDEEM ONLY	1600	0	0
WAADX	LEGG MASON	WESTERN ASSET SMASH SERIES CORE COMPLETION FUND	NO LOAD	NO LOAD	REDEEM ONLY	1600	0	0
LMSMX	LEGG MASON	WESTERN ASSET SMASH SERIES M	NO LOAD	NO LOAD	REDEEM ONLY	1600	0	0
WAURX	LEGG MASON	WESTERN ASSET TOTAL RETURN UNCONS R	R	NO LOAD	REDEEM ONLY	1600	0	0
WAUAX	LEGG MASON	WESTERN ASSET TOTAL RETURN UNCONSTRAINED A	A	LOAD	OPEN	1600	0	0
WAUCX	LEGG MASON	WESTERN ASSET TOTAL RETURN UNCONSTRAINED C	C	LOAD	OPEN	1600	250	1000
WARIX	LEGG MASON	WESTERN ASSET TOTAL RETURN UNCONSTRAINED FI	FI	NO LOAD	OPEN	1600	0	0
WAARX	LEGG MASON	WESTERN ASSET TOTAL RETURN UNCONSTRAINED I	I	NO LOAD	OPEN	1600	0	0
WAASX	LEGG MASON	WESTERN ASSET TOTAL RETURN UNCONSTRAINED IS	IS	NO LOAD	OPEN	1600	0	0
ARMZX	LEGG MASON	WESTERN ASSET ULTRA SHORT INCOME FD CL A	A	NO LOAD	OPEN	1600	0	0
ARMGX	LEGG MASON	WESTERN ASSET ULTRA SHORT INCOME FD CL C1	C1	LOAD	EXCH ONLY	1600	250	500
SBAYX	LEGG MASON	WESTERN ASSET ULTRA SHORT INCOME FD CL I	I	NO LOAD	OPEN	1600	1000000	1000000
ARMLX	LEGG MASON	WESTERN ASSET ULTRA SHORT INCOME FD CL IS	IS	NO LOAD	OPEN	1600	0	0
LWAIX	LEGG MASON	WESTERN ASSET ULTRASHORT INCOME C	C	LOAD	OPEN	1600	250	1000
LCRIX	LEUTHOLD	LEUTHOLD CORE INVESTMENT FD INST	INSTITUTIONAL	NO LOAD	OPEN	1600	1000	1000000
LCORX	LEUTHOLD	LEUTHOLD CORE INVESTMENT FUND	RETAIL	NO LOAD	OPEN	1600	100	100
GLBIX	LEUTHOLD	LEUTHOLD GLOBAL FD INST CL	INSTITUTIONAL	NO LOAD	OPEN	1600	1000	1000000
GLBLX	LEUTHOLD	LEUTHOLD GLOBAL FD RETAIL CL	RETAIL	NO LOAD	OPEN	1600	100	100
GRZZX	LEUTHOLD	LEUTHOLD GRIZZLY SHORT FUND RETAIL	RETAIL	NO LOAD	OPEN	1600	100	100
LSLTX	LEUTHOLD	LEUTHOLD SELECT INDUSTRIES	RETAIL	NO LOAD	OPEN	1600	100	100
BDKAX	LIBERTY STREET	BRAMSHILL MULTI STRATEGY INCOME A	A	LOAD	OPEN	1600	2500	2500
BDKCX	LIBERTY STREET	BRAMSHILL MULTI STRATEGY INCOME C	C	LOAD	OPEN	1600	2500	2500
BDKNX	LIBERTY STREET	BRAMSHILL MULTI STRATEGY INCOME INST	I	NO LOAD	OPEN	1600	1000000	1000000
GFEMX	LIBERTY STREET	GRAMERCY EMERGING MARKETS DBT INST	INSTITUTIONAL	NO LOAD	OPEN	1600	1000000	1000000
RBNAX	LIBERTY STREET	ROBINSON OPPORTUNISTIC INCM A	A	LOAD	OPEN	1600	2500	2500
RBNNX	LIBERTY STREET	ROBINSON OPPORTUNISTIC INCM INST	I	NO LOAD	OPEN	1600	1000000	1000000
ROBAX	LIBERTY STREET	ROBINSON TAX ADVANTAGED INCM FD A	A	LOAD	OPEN	1600	2500	2500
ROBNX	LIBERTY STREET	ROBINSON TAX ADVANTAGED INCM FD INST	I	NO LOAD	OPEN	1600	0	0
ROBCX	LIBERTY STREET	ROBINSON TAX ADVANTAGED INCOME C	C	LOAD	OPEN	1600	2500	2500
REIAX	LIBERTY STREET	WEST LOOP REALTY CL A	A	LOAD	OPEN	1600	2500	2500
REICX	LIBERTY STREET	WEST LOOP REALTY CL C	C	LOAD	OPEN	1600	2500	2500
REIIX	LIBERTY STREET	WEST LOOP REALTY CL I	I	NO LOAD	OPEN	1600	1000000	1000000
AQEIX	LKCM	LKCM AQUINAS CATHOLIC EQUITY FUND	NO LOAD	NO LOAD	OPEN	1600	100	100
LKBAX	LKCM	LKCM BALANCED FUND	NO LOAD	NO LOAD	OPEN	1600	2000	2000
LKEQX	LKCM	LKCM EQUITY FUND INSTITUTIONAL CLASS	INSTITUTIONAL	NO LOAD	OPEN	1600	0	0
LKFIX	LKCM	LKCM FIXED INCOME FUND	NO LOAD	NO LOAD	OPEN	1600	2000	2000
LKINX	LKCM	LKCM INTERNATIONAL FUND	INSTITUTIONAL	NO LOAD	OPEN	1600	0	0

SYMBOL	FUND FAMILY	FUND DESCRIPTION	SHARE CLASS	FUND TYPE	STATUS	CUT-OFF	IRA MIN	NON-IRA MIN
LKSCX	LKCM	LKCM SMALL CAP EQUITY FUND INST CL	INSTITUTIONAL	NO LOAD	OPEN	1600	0	0
LKSMX	LKCM	LKCM SMALL MID CAP EQTY FD INST	INSTITUTIONAL	NO LOAD	OPEN	1600	0	0
LEQAX	LOCORR	LOCORR DYNAMIC EQTY FD CL A	A	LOAD	OPEN	1600	0	0
LEQCX	LOCORR	LOCORR DYNAMIC EQTY FD CL C	C	LOAD	OPEN	1600	2500	2500
LEQIX	LOCORR	LOCORR DYNAMIC EQTY FD CL I	I	NO LOAD	OPEN	1600	100000	100000
LHEAX	LOCORR	LOCORR HEDGED CORE A	A	LOAD	OPEN	1600	0	0
LHEIX	LOCORR	LOCORR HEDGED CORE INST	I	NO LOAD	OPEN	1600	0	0
LCSAX	LOCORR	LOCORR LONG SHORT CMDY STRAT A	A	LOAD	OPEN	1600	2500	2500
LCSCX	LOCORR	LOCORR LONG SHORT CMDY STRAT C	C	LOAD	OPEN	1600	2500	2500
LCSIX	LOCORR	LOCORR LONG SHORT CMDY STRAT I	I	NO LOAD	OPEN	1600	100000	100000
LFMAX	LOCORR	LOCORR MACRO STRATEGIES CL A	A	LOAD	OPEN	1600	2500	2500
LFMCX	LOCORR	LOCORR MACRO STRATEGIES CL C	C	LOAD	OPEN	1600	2500	2500
LFMIX	LOCORR	LOCORR MACRO STRATEGIES CL I	I	NO LOAD	OPEN	1600	100000	100000
LOTAX	LOCORR	LOCORR MKT TREND FD CL A	A	LOAD	OPEN	1600	2500	2500
LOT CX	LOCORR	LOCORR MKT TREND FD CL C	C	LOAD	OPEN	1600	2500	2500
LOTIX	LOCORR	LOCORR MKT TREND FD CL I	I	NO LOAD	OPEN	1600	100000	100000
LSPAX	LOCORR	LOCORR SPECTRUM INCM FD A	A	LOAD	OPEN	1600	0	0
LSPCX	LOCORR	LOCORR SPECTRUM INCM FD C	C	LOAD	OPEN	1600	2500	2500
LSPIX	LOCORR	LOCORR SPECTRUM INCM FD I	I	NO LOAD	OPEN	1600	100000	100000
LSOFX	LONG SHORT FUNDS	LS OPPTY FD INST	INSTITUTIONAL	NO LOAD	OPEN	1600	5000	5000
LLPFX	LONGLEAF FUNDS	LONGLEAF PARTNERS	NO LOAD	NO LOAD	OPEN	1600	10000	10000
LLGLX	LONGLEAF FUNDS	LONGLEAF PARTNERS GBL FD	NO LOAD	NO LOAD	OPEN	1600	10000	10000
LLINX	LONGLEAF FUNDS	LONGLEAF PARTNERS INTERNATIONAL FUND	NO LOAD	NO LOAD	OPEN	1600	10000	10000
LLSCX	LONGLEAF FUNDS	LONGLEAF SMALL CAP	NO LOAD	NO LOAD	OPEN	1600	10000	10000
LSBDX	LOOMIS SAYLES	LOOMIS SAYLES BOND CLASS I	INSTITUTIONAL	NO LOAD	OPEN	1600	100000	100000
LSBRX	LOOMIS SAYLES	LOOMIS SAYLES BOND FD RETL	RETAIL	NO LOAD	OPEN	1600	100	100
LBFAX	LOOMIS SAYLES	LOOMIS SAYLES BOND FUND ADMIN SHARE	ADMIN	NO LOAD	REDEEM ONLY	1600	0	0
LSBNX	LOOMIS SAYLES	LOOMIS SAYLES BOND FUND CL N	N	NO LOAD	REDEEM ONLY	1600	1000000	1000000
LSGBX	LOOMIS SAYLES	LOOMIS SAYLES GLOBAL BD CLASS I	INSTITUTIONAL	NO LOAD	OPEN	1600	100000	100000
LSGNX	LOOMIS SAYLES	LOOMIS SAYLES GLOBAL BD FD CL N	N	NO LOAD	REDEEM ONLY	1600	1000000	1000000
LSGLX	LOOMIS SAYLES	LOOMIS SAYLES GLOBAL BD FD RETL	RETAIL	NO LOAD	OPEN	1600	100	100
LIPRX	LOOMIS SAYLES	LOOMIS SAYLES INFLATION PROTECT SEC RETAIL	RETAIL	NO LOAD	OPEN	1600	100	100
LIPNX	LOOMIS SAYLES	LOOMIS SAYLES INFLATION PROTECTED SECS N	N	NO LOAD	REDEEM ONLY	1600	1000000	1000000
LSGSX	LOOMIS SAYLES	LOOMIS SAYLES INFLATION PROTECTED SECURITIES CL I	INSTITUTIONAL	NO LOAD	OPEN	1600	100000	100000
LSSCX	LOOMIS SAYLES	LOOMIS SAYLES SM CAP VALUE CLASS I	INSTITUTIONAL	NO LOAD	OPEN	1600	100000	100000
LSSIX	LOOMIS SAYLES	LOOMIS SAYLES SMALL CAP GROWTH FD CL I	INSTITUTIONAL	NO LOAD	OPEN	1600	100000	100000
LCGRX	LOOMIS SAYLES	LOOMIS SAYLES SMALL CAP GROWTH FD RETL	RETAIL	NO LOAD	OPEN	1600	100	100
LSCNX	LOOMIS SAYLES	LOOMIS SAYLES SMALL CAP VALUE FD N	N	NO LOAD	REDEEM ONLY	1600	0	0
LSCRX	LOOMIS SAYLES	LOOMIS SAYLES SMALL CAP VALUE FD RETL	RETAIL	NO LOAD	OPEN	1600	100	100
LSVAX	LOOMIS SAYLES	LOOMIS SAYLES SMALL CAP VALUE FUND ADMIN CL	ADMIN	NO LOAD	REDEEM ONLY	1600	0	0
LSMIX	LOOMIS SAYLES	LOOMIS SAYLES SMALL MID CAP GWTH FD CL I	INSTITUTIONAL	NO LOAD	OPEN	1600	100000	100000

SYMBOL	FUND FAMILY	FUND DESCRIPTION	SHARE CLASS	FUND TYPE	STATUS	CUT- OFF	IRA MIN	NON-IRA MIN
LAEMX	LORD ABBETT	ENHANCED MUNICIPAL YIELD COMPLETION FD DIVERSIFICAT	LADS	NO LOAD	REDEEM ONLY	1600	0	0
LAAFX	LORD ABBETT	LORD ABBETT AFFILIATED F	F	NO LOAD	REDEEM ONLY	1600	0	0
LTFOX	LORD ABBETT	LORD ABBETT AFFILIATED F3	F3	NO LOAD	REDEEM ONLY	1600	0	0
LAFCX	LORD ABBETT	LORD ABBETT AFFILIATED FUND CL C	C	LOAD	OPEN	1600	1000	1000
LAFFX	LORD ABBETT	LORD ABBETT AFFILIATED FUND CLASS A	A	LOAD	OPEN	1600	1000	1000
LAFYX	LORD ABBETT	LORD ABBETT AFFILIATED I	I	NO LOAD	SUBS ONLY	1600	1000000	1000000
LAFPX	LORD ABBETT	LORD ABBETT AFFILIATED P	P	NO LOAD	SUBS ONLY	1600	0	0
ALFAX	LORD ABBETT	LORD ABBETT ALPHA STRATEGY A	A	LOAD	OPEN	1600	1500	1500
ALFCX	LORD ABBETT	LORD ABBETT ALPHA STRATEGY C	C	LOAD	OPEN	1600	1500	1500
ALFFX	LORD ABBETT	LORD ABBETT ALPHA STRATEGY F	F	NO LOAD	REDEEM ONLY	1600	0	0
ALFOX	LORD ABBETT	LORD ABBETT ALPHA STRATEGY F3	F3	NO LOAD	REDEEM ONLY	1600	0	0
ALFYX	LORD ABBETT	LORD ABBETT ALPHA STRATEGY I	I	NO LOAD	SUBS ONLY	1600	1000000	1000000
ALFRX	LORD ABBETT	LORD ABBETT ALPHA STRATEGY R3	R3	NO LOAD	REDEEM ONLY	1600	0	0
LBDFX	LORD ABBETT	LORD ABBETT BD DEBENTURE F	F	NO LOAD	REDEEM ONLY	1600	0	0
LBNYX	LORD ABBETT	LORD ABBETT BD DEBENTURE I	I	NO LOAD	SUBS ONLY	1600	1000000	1000000
LBNPX	LORD ABBETT	LORD ABBETT BD DEBENTURE P	P	NO LOAD	SUBS ONLY	1600	0	0
LBNOX	LORD ABBETT	LORD ABBETT BOND DEBENTURE F3	F3	NO LOAD	REDEEM ONLY	1600	0	0
BDLAX	LORD ABBETT	LORD ABBETT BOND DEBENTURE FUND CL C	C	LOAD	OPEN	1600	1000	1000
LBNDX	LORD ABBETT	LORD ABBETT BOND DEBENTURE FUND CLASS A	A	LOAD	OPEN	1600	1000	1000
LBNVX	LORD ABBETT	LORD ABBETT BOND DEBENTURE R6	R6	NO LOAD	REDEEM ONLY	1600	0	0
LBNRX	LORD ABBETT	LORD ABBETT BONDDEBENTURE R3	R3	NO LOAD	REDEEM ONLY	1600	0	0
LCFIX	LORD ABBETT	LORD ABBETT CA TAX FREE A	A	LOAD	OPEN	1600	1000	1000
CALAX	LORD ABBETT	LORD ABBETT CA TAX FREE C	C	LOAD	OPEN	1600	1000	1000
LCFFX	LORD ABBETT	LORD ABBETT CA TAX FREE F	F	NO LOAD	REDEEM ONLY	1600	0	0
CAILX	LORD ABBETT	LORD ABBETT CA TAX FREE I	I	NO LOAD	SUBS ONLY	1600	1000000	1000000
CFBAX	LORD ABBETT	LORD ABBETT CLIMATE FOCUSED BOND A	A	LOAD	OPEN	1600	100	100
CFLFX	LORD ABBETT	LORD ABBETT CLIMATE FOCUSED BOND F	F	NO LOAD	REDEEM ONLY	1600	0	0
CFLIX	LORD ABBETT	LORD ABBETT CLIMATE FOCUSED BOND I	I	NO LOAD	SUBS ONLY	1600	1000000	1000000
LACCX	LORD ABBETT	LORD ABBETT CONV C	C	LOAD	OPEN	1600	1500	1500
LBFFX	LORD ABBETT	LORD ABBETT CONV F	F	NO LOAD	REDEEM ONLY	1600	0	0
LCFYX	LORD ABBETT	LORD ABBETT CONV I	I	NO LOAD	SUBS ONLY	1600	1000000	1000000
LCFRX	LORD ABBETT	LORD ABBETT CONV R3	R3	NO LOAD	REDEEM ONLY	1600	0	0
LOCFX	LORD ABBETT	LORD ABBETT CONVERTIBLE F3	F3	NO LOAD	REDEEM ONLY	1600	0	0
LACFX	LORD ABBETT	LORD ABBETT CONVERTIBLE FUND CL A	A	LOAD	OPEN	1600	1500	1500
LCFSX	LORD ABBETT	LORD ABBETT CONVERTIBLE R4	R4	NO LOAD	REDEEM ONLY	1600	100000	100000
LCRAX	LORD ABBETT	LORD ABBETT CORE FIXED INCM A	A	LOAD	OPEN	1600	1500	1500
LCRCX	LORD ABBETT	LORD ABBETT CORE FIXED INCM C	C	LOAD	OPEN	1600	1500	1500
LCRFX	LORD ABBETT	LORD ABBETT CORE FIXED INCM F	F	NO LOAD	REDEEM ONLY	1600	0	0
LCRYX	LORD ABBETT	LORD ABBETT CORE FIXED INCM I	I	NO LOAD	SUBS ONLY	1600	1000000	1000000
LCROX	LORD ABBETT	LORD ABBETT CORE FIXED INCOME F3	F3	NO LOAD	REDEEM ONLY	1600	0	0
LAPLX	LORD ABBETT	LORD ABBETT CORE PLUS BD A	A	LOAD	OPEN	1600	1000	1000

SYMBOL	FUND FAMILY	FUND DESCRIPTION	SHARE CLASS	FUND TYPE	STATUS	CUT- OFF	IRA MIN	NON-IRA MIN
LAPIX	LORD ABBETT	LORD ABBETT CORE PLUS BD I	I	NO LOAD	SUBS ONLY	1600	1000000	1000000
LAPCX	LORD ABBETT	LORD ABBETT CORE PLUS BOND C	C	LOAD	OPEN	1600	1500	1500
LPLFX	LORD ABBETT	LORD ABBETT CORE PLUS BOND F	F	NO LOAD	REDEEM ONLY	1600	0	0
LOPLX	LORD ABBETT	LORD ABBETT CORE PLUS BOND F3	F3	NO LOAD	REDEEM ONLY	1600	0	0
LCRDY	LORD ABBETT	LORD ABBETT CR OPPORTUNITIES I	INSTITUTIONAL	NO LOAD	OPEN	1600	0	0
LADCX	LORD ABBETT	LORD ABBETT DEVELOPING GROWTH C	C	LOAD	OPEN	1600	1000	1000
LADFX	LORD ABBETT	LORD ABBETT DEVELOPING GROWTH F	F	NO LOAD	REDEEM ONLY	1600	0	0
LOGWX	LORD ABBETT	LORD ABBETT DEVELOPING GROWTH F3	F3	NO LOAD	REDEEM ONLY	1600	0	0
LADYX	LORD ABBETT	LORD ABBETT DEVELOPING GROWTH FD CL I	I	NO LOAD	SUBS ONLY	1600	1000000	1000000
LAGWX	LORD ABBETT	LORD ABBETT DEVELOPING GROWTH FUND CLASS A	A	LOAD	OPEN	1600	1000	1000
LADPX	LORD ABBETT	LORD ABBETT DEVELOPING GROWTH P	P	NO LOAD	REDEEM ONLY	1600	0	0
LADRX	LORD ABBETT	LORD ABBETT DEVELOPING GROWTH R3	R3	NO LOAD	REDEEM ONLY	1600	0	0
LADVX	LORD ABBETT	LORD ABBETT DEVELOPING GROWTH R6	R6	NO LOAD	REDEEM ONLY	1600	0	0
LRMAX	LORD ABBETT	LORD ABBETT DIVIDEND GROWTH F3	F3	NO LOAD	REDEEM ONLY	1600	0	0
LAMAX	LORD ABBETT	LORD ABBETT DIVIDEND GRWTH FD CL A	A	LOAD	OPEN	1600	1000	1000
LAMCX	LORD ABBETT	LORD ABBETT DIVIDEND GRWTH FD CL C	C	LOAD	OPEN	1600	1000	1000
LAMFX	LORD ABBETT	LORD ABBETT DIVIDEND GRWTH FD CL F	F	NO LOAD	REDEEM ONLY	1600	0	0
LAMYX	LORD ABBETT	LORD ABBETT DIVIDEND GRWTH FD CL I	I	NO LOAD	SUBS ONLY	1600	1000000	1000000
LDMAX	LORD ABBETT	LORD ABBETT EMERGING MARKETS BOND FD CL A	A	LOAD	OPEN	1600	1000	1000
LDMCX	LORD ABBETT	LORD ABBETT EMERGING MARKETS BOND FD CL C	C	LOAD	OPEN	1600	1000	1000
LDMFX	LORD ABBETT	LORD ABBETT EMERGING MARKETS BOND FD CL F	F	NO LOAD	REDEEM ONLY	1600	0	0
LDMYX	LORD ABBETT	LORD ABBETT EMERGING MARKETS BOND FD CL I	I	NO LOAD	SUBS ONLY	1600	1000000	1000000
EMILX	LORD ABBETT	LORD ABBETT EMERGING MARKETS EQUITY I	I	NO LOAD	SUBS ONLY	1600	1000000	1000000
LCDAX	LORD ABBETT	LORD ABBETT EMRG MKTS CORP DEBT A	A	LOAD	OPEN	1600	1000	1000
LCDFX	LORD ABBETT	LORD ABBETT EMRG MKTS CORP DEBT F	F	NO LOAD	REDEEM ONLY	1600	0	0
LCDIX	LORD ABBETT	LORD ABBETT EMRG MKTS CORP DEBT I	I	NO LOAD	SUBS ONLY	1600	1000000	1000000
LFRAI	LORD ABBETT	LORD ABBETT FLOATING RATE A	A	LOAD	OPEN	1600	1500	1500
LARCX	LORD ABBETT	LORD ABBETT FLOATING RATE C	C	LOAD	OPEN	1600	1500	1500
LFREF	LORD ABBETT	LORD ABBETT FLOATING RATE F	F	NO LOAD	REDEEM ONLY	1600	0	0
LFROX	LORD ABBETT	LORD ABBETT FLOATING RATE F3	F3	NO LOAD	REDEEM ONLY	1600	0	0
LFRII	LORD ABBETT	LORD ABBETT FLOATING RATE I	I	NO LOAD	SUBS ONLY	1600	1000000	1000000
LFRRX	LORD ABBETT	LORD ABBETT FLOATING RATE R2	R2	NO LOAD	REDEEM ONLY	1600	0	0
LRRRX	LORD ABBETT	LORD ABBETT FLOATING RATE R3	R3	NO LOAD	REDEEM ONLY	1600	0	0
LRRVX	LORD ABBETT	LORD ABBETT FLOATING RATE R6	R6	NO LOAD	REDEEM ONLY	1600	0	0
LFGAX	LORD ABBETT	LORD ABBETT FOCUSED GROWTH A	A	LOAD	OPEN	1600	100	100
LFGCX	LORD ABBETT	LORD ABBETT FOCUSED GROWTH C	C	LOAD	OPEN	1600	1500	1500
LFGFX	LORD ABBETT	LORD ABBETT FOCUSED GROWTH F	F	NO LOAD	REDEEM ONLY	1600	0	0
LFGIX	LORD ABBETT	LORD ABBETT FOCUSED GROWTH I	I	NO LOAD	SUBS ONLY	1600	1000000	1000000
LAFLX	LORD ABBETT	LORD ABBETT FOCUSED LARGE CAP VALUE A	A	LOAD	OPEN	1600	1500	1500
LCFLX	LORD ABBETT	LORD ABBETT FOCUSED LARGE CAP VALUE C	C	LOAD	OPEN	1600	1000	1000
LFFLX	LORD ABBETT	LORD ABBETT FOCUSED LARGE CAP VALUE F	F	NO LOAD	REDEEM ONLY	1600	0	0

SYMBOL	FUND FAMILY	FUND DESCRIPTION	SHARE CLASS	FUND TYPE	STATUS	CUT- OFF	IRA MIN	NON-IRA MIN
LIFLX	LORD ABBETT	LORD ABBETT FOCUSED LARGE CAP VALUE I	I	NO LOAD	SUBS ONLY	1600	1000000	1000000
LFVAX	LORD ABBETT	LORD ABBETT FOCUSED SMALL CAP VALUE A	A	LOAD	OPEN	1600	100	100
LFVCX	LORD ABBETT	LORD ABBETT FOCUSED SMALL CAP VALUE C	C	LOAD	OPEN	1600	1500	1500
LFSFX	LORD ABBETT	LORD ABBETT FOCUSED SMALL CAP VALUE F	F	NO LOAD	REDEEM ONLY	1600	0	0
LMVYX	LORD ABBETT	LORD ABBETT FOCUSED SMALL CAP VALUE I	I	NO LOAD	SUBS ONLY	1600	1000000	1000000
LAVFX	LORD ABBETT	LORD ABBETT FUNDAMENTAL EQTY FD CL F	F	NO LOAD	REDEEM ONLY	1600	0	0
LAVYX	LORD ABBETT	LORD ABBETT FUNDAMENTAL EQTY FD CL I	I	NO LOAD	SUBS ONLY	1600	1000000	1000000
LDFVX	LORD ABBETT	LORD ABBETT FUNDAMENTAL EQUITY CL A	A	LOAD	OPEN	1600	1500	1500
GILAX	LORD ABBETT	LORD ABBETT FUNDAMENTAL EQUITY CL C	C	LOAD	OPEN	1600	1500	1500
LDFOX	LORD ABBETT	LORD ABBETT FUNDAMENTAL EQUITY F3	F3	NO LOAD	REDEEM ONLY	1600	0	0
LGCAX	LORD ABBETT	LORD ABBETT GLOBAL EQUITY FUND A	A	LOAD	OPEN	1600	100	100
LGCFX	LORD ABBETT	LORD ABBETT GLOBAL EQUITY FUND F	F	NO LOAD	REDEEM ONLY	1600	0	0
LGCYX	LORD ABBETT	LORD ABBETT GLOBAL EQUITY I	I	NO LOAD	SUBS ONLY	1600	1000000	1000000
LGCWX	LORD ABBETT	LORD ABBETT GLOBAL EQUITY R6	R6	NO LOAD	REDEEM ONLY	1600	0	0
LGLOX	LORD ABBETT	LORD ABBETT GROWTH LEADERS F3	F3	NO LOAD	REDEEM ONLY	1600	0	0
LGLQX	LORD ABBETT	LORD ABBETT GROWTH LEADERS R2	R2	NO LOAD	REDEEM ONLY	1600	0	0
LMGAX	LORD ABBETT	LORD ABBETT GROWTH OPPORTUNITIES CL A	A	LOAD	OPEN	1600	1000	1000
LOMGX	LORD ABBETT	LORD ABBETT GROWTH OPPORTUNITIES F3	F3	NO LOAD	REDEEM ONLY	1600	0	0
LMGCX	LORD ABBETT	LORD ABBETT GROWTH OPPTY C	C	LOAD	OPEN	1600	1000	1000
LGOFX	LORD ABBETT	LORD ABBETT GROWTH OPPTY CL F	F	NO LOAD	REDEEM ONLY	1600	0	0
LMGYX	LORD ABBETT	LORD ABBETT GROWTH OPPTY I	I	NO LOAD	SUBS ONLY	1600	1000000	1000000
LGLAX	LORD ABBETT	LORD ABBETT GWTH LEADERS A	A	LOAD	OPEN	1600	1500	1500
LGLCX	LORD ABBETT	LORD ABBETT GWTH LEADERS C	C	LOAD	OPEN	1600	1500	1500
LGLFX	LORD ABBETT	LORD ABBETT GWTH LEADERS F	F	NO LOAD	REDEEM ONLY	1600	0	0
LGLIX	LORD ABBETT	LORD ABBETT GWTH LEADERS I	I	NO LOAD	SUBS ONLY	1600	1000000	1000000
LHCIX	LORD ABBETT	LORD ABBETT HEALTH CARE I	I	NO LOAD	SUBS ONLY	1600	1000000	1000000
HYMOX	LORD ABBETT	LORD ABBETT HIGH INCOME MUNI BOND F3	F3	NO LOAD	REDEEM ONLY	1600	0	0
HYMAX	LORD ABBETT	LORD ABBETT HIGH INCOME MUNICIPAL BOND FUND A	A	LOAD	OPEN	1600	1000	1000
HYMCX	LORD ABBETT	LORD ABBETT HIGH INCOME MUNICIPAL BOND FUND C	C	LOAD	OPEN	1600	1000	1000
HYMFX	LORD ABBETT	LORD ABBETT HIGH INCOME MUNICIPAL BOND FUND F	F	NO LOAD	REDEEM ONLY	1600	0	0
HYMIK	LORD ABBETT	LORD ABBETT HIGH INCOME MUNICIPAL BOND FUND I	I	NO LOAD	SUBS ONLY	1600	1000000	1000000
LHYAX	LORD ABBETT	LORD ABBETT HIGH YIELD A	A	LOAD	OPEN	1600	1500	1500
LHYCX	LORD ABBETT	LORD ABBETT HIGH YIELD C	C	LOAD	OPEN	1600	1500	1500
LHYFX	LORD ABBETT	LORD ABBETT HIGH YIELD F	F	NO LOAD	REDEEM ONLY	1600	0	0
LHYOX	LORD ABBETT	LORD ABBETT HIGH YIELD FD F3	F3	NO LOAD	REDEEM ONLY	1600	0	0
LHYRX	LORD ABBETT	LORD ABBETT HIGH YIELD FD R3	R3	NO LOAD	REDEEM ONLY	1600	0	0
LAHYX	LORD ABBETT	LORD ABBETT HIGH YIELD I	I	NO LOAD	SUBS ONLY	1600	1000000	1000000
LHYVX	LORD ABBETT	LORD ABBETT HIGH YIELD R6	R6	NO LOAD	REDEEM ONLY	1600	0	0
LAUYX	LORD ABBETT	LORD ABBETT INCM I	I	NO LOAD	SUBS ONLY	1600	1000000	1000000
LOGVX	LORD ABBETT	LORD ABBETT INCOME F3	F3	NO LOAD	REDEEM ONLY	1600	0	0
LAGVX	LORD ABBETT	LORD ABBETT INCOME FD CL A	A	LOAD	OPEN	1600	1500	1500

SYMBOL	FUND FAMILY	FUND DESCRIPTION	SHARE CLASS	FUND TYPE	STATUS	CUT- OFF	IRA MIN	NON-IRA MIN
LAUFX	LORD ABBETT	LORD ABBETT INCOME FD CL F	F	NO LOAD	REDEEM ONLY	1600	0	0
LAUSX	LORD ABBETT	LORD ABBETT INCOME FUND CL C	C	LOAD	OPEN	1600	1500	1500
LAURX	LORD ABBETT	LORD ABBETT INCOME R3	R3	NO LOAD	REDEEM ONLY	1600	0	0
LIFOX	LORD ABBETT	LORD ABBETT INFLATION FOCUSED F3	F3	NO LOAD	REDEEM ONLY	1600	0	0
LIFAX	LORD ABBETT	LORD ABBETT INFLATION FOCUSED FD A	A	LOAD	OPEN	1600	1500	1500
LIFCX	LORD ABBETT	LORD ABBETT INFLATION FOCUSED FD C	C	LOAD	OPEN	1600	1500	1500
LIFFX	LORD ABBETT	LORD ABBETT INFLATION FOCUSED FD F	F	NO LOAD	REDEEM ONLY	1600	0	0
LIFIX	LORD ABBETT	LORD ABBETT INFLATION FOCUSED FD I	I	NO LOAD	SUBS ONLY	1600	1000000	1000000
LISFX	LORD ABBETT	LORD ABBETT INTER TAX FREE F	F	NO LOAD	REDEEM ONLY	1600	0	0
LISAX	LORD ABBETT	LORD ABBETT INTERM TAX FREE A	A	LOAD	OPEN	1600	1000	1000
LAIIX	LORD ABBETT	LORD ABBETT INTERM TAX FREE I	I	NO LOAD	SUBS ONLY	1600	1000000	1000000
LOISX	LORD ABBETT	LORD ABBETT INTERMEDIATE TAX FREE F3	F3	NO LOAD	REDEEM ONLY	1600	0	0
LISCX	LORD ABBETT	LORD ABBETT INTERMEDIATE TX FR FD CL C	C	LOAD	OPEN	1600	1000	1000
LIAGX	LORD ABBETT	LORD ABBETT INTERNATIONAL GROWTH I	I	NO LOAD	SUBS ONLY	1600	1000000	1000000
LINQX	LORD ABBETT	LORD ABBETT INTERNATIONAL OPP R2	R2	NO LOAD	REDEEM ONLY	1600	0	0
LOIEX	LORD ABBETT	LORD ABBETT INTERNATIONAL OPPS F3	F3	NO LOAD	REDEEM ONLY	1600	0	0
LIDCX	LORD ABBETT	LORD ABBETT INTERNATIONAL VALUE FD CL C	C	LOAD	OPEN	1600	1500	1500
LICAX	LORD ABBETT	LORD ABBETT INTL EQ FD CL A	A	LOAD	OPEN	1600	1500	1500
LICCX	LORD ABBETT	LORD ABBETT INTL EQ FD CL C	C	LOAD	OPEN	1600	1500	1500
LICFX	LORD ABBETT	LORD ABBETT INTL EQ FD CL F	F	NO LOAD	REDEEM ONLY	1600	0	0
LICYX	LORD ABBETT	LORD ABBETT INTL EQ FD CL I	I	NO LOAD	SUBS ONLY	1600	1000000	1000000
LICPX	LORD ABBETT	LORD ABBETT INTL EQ FD CL P	P	NO LOAD	REDEEM ONLY	1600	0	0
LICVX	LORD ABBETT	LORD ABBETT INTL EQ FD CL R6	R6	NO LOAD	REDEEM ONLY	1600	0	0
LAIEX	LORD ABBETT	LORD ABBETT INTL OPP A	A	LOAD	OPEN	1600	1500	1500
LINCX	LORD ABBETT	LORD ABBETT INTL OPP C	C	LOAD	OPEN	1600	1500	1500
LINYX	LORD ABBETT	LORD ABBETT INTL OPP I	I	NO LOAD	SUBS ONLY	1600	1000000	1000000
LINFX	LORD ABBETT	LORD ABBETT INTL OPPTY FD F	F	NO LOAD	REDEEM ONLY	1600	0	0
LINVX	LORD ABBETT	LORD ABBETT INTL OPPTY R6	R6	NO LOAD	REDEEM ONLY	1600	0	0
LIDAX	LORD ABBETT	LORD ABBETT INTL VALUE FD CL A	A	LOAD	OPEN	1600	1500	1500
LIDFX	LORD ABBETT	LORD ABBETT INTL VALUE FD CL F	F	NO LOAD	REDEEM ONLY	1600	0	0
L AidX	LORD ABBETT	LORD ABBETT INTL VALUE FD CL I	I	NO LOAD	SUBS ONLY	1600	1000000	1000000
LGRAX	LORD ABBETT	LORD ABBETT INVESTMENT GRADE FLTNG RT A	A	LOAD	OPEN	1600	100	100
LGRFX	LORD ABBETT	LORD ABBETT INVESTMENT GRADE FLTNG RT F	F	NO LOAD	REDEEM ONLY	1600	0	0
LGRYX	LORD ABBETT	LORD ABBETT INVESTMENT GRADE FLTNG RT I	I	NO LOAD	SUBS ONLY	1600	100	100
LAMGX	LORD ABBETT	LORD ABBETT MICRO CAP GROWTH A	A	LOAD	SUBS ONLY	1600	1500	1500
LCMGX	LORD ABBETT	LORD ABBETT MICRO CAP GROWTH C	C	LOAD	SUBS ONLY	1600	1500	1500
LFMGX	LORD ABBETT	LORD ABBETT MICRO CAP GROWTH F	F	NO LOAD	REDEEM ONLY	1600	0	0
LMIYX	LORD ABBETT	LORD ABBETT MICRO CAP GROWTH I	I	NO LOAD	SUBS ONLY	1600	1000000	1000000
LAVLX	LORD ABBETT	LORD ABBETT MID CAP STOCK FD CL A	A	LOAD	OPEN	1600	1000	1000
LMCCX	LORD ABBETT	LORD ABBETT MID CAP STOCK FD CL C	C	LOAD	OPEN	1600	1000	1000
LMCFX	LORD ABBETT	LORD ABBETT MID CAP STOCK FD CL F	F	NO LOAD	REDEEM ONLY	1600	0	0

SYMBOL	FUND FAMILY	FUND DESCRIPTION	SHARE CLASS	FUND TYPE	STATUS	CUT- OFF	IRA MIN	NON-IRA MIN
LMCYX	LORD ABBETT	LORD ABBETT MID CAP STOCK FD CL I	I	NO LOAD	SUBS ONLY	1600	1000000	1000000
LABFX	LORD ABBETT	LORD ABBETT MULTI ASSET BALANCED OPPTY A	A	LOAD	OPEN	1600	1500	1500
BFLAX	LORD ABBETT	LORD ABBETT MULTI ASSET BALANCED OPPTY C	C	LOAD	OPEN	1600	1500	1500
BLAFX	LORD ABBETT	LORD ABBETT MULTI ASSET BALANCED OPPTY F	F	NO LOAD	REDEEM ONLY	1600	0	0
LABYX	LORD ABBETT	LORD ABBETT MULTI ASSET BALANCED OPPTY I	I	NO LOAD	SUBS ONLY	1600	1000000	1000000
ISFAX	LORD ABBETT	LORD ABBETT MULTI ASSET INCM A	A	LOAD	OPEN	1600	1500	1500
ISFCX	LORD ABBETT	LORD ABBETT MULTI ASSET INCM C	C	LOAD	OPEN	1600	1500	1500
LIGFX	LORD ABBETT	LORD ABBETT MULTI ASSET INCM F	F	NO LOAD	REDEEM ONLY	1600	0	0
ISFYX	LORD ABBETT	LORD ABBETT MULTI ASSET INCM I	I	NO LOAD	SUBS ONLY	1600	1000000	1000000
LON SX	LORD ABBETT	LORD ABBETT NATIONAL TAX FREE F3	F3	NO LOAD	REDEEM ONLY	1600	0	0
LANSX	LORD ABBETT	LORD ABBETT NATIONAL TAX FREE FUND CL A	A	LOAD	OPEN	1600	1000	1000
LTNSX	LORD ABBETT	LORD ABBETT NATL TAX FREE C	C	LOAD	OPEN	1600	1000	1000
LANFX	LORD ABBETT	LORD ABBETT NATL TAX FREE F	F	NO LOAD	REDEEM ONLY	1600	0	0
LTNIX	LORD ABBETT	LORD ABBETT NATL TAX FREE I	I	NO LOAD	SUBS ONLY	1600	1000000	1000000
LINJX	LORD ABBETT	LORD ABBETT NEW JERSEY TAX FREE I	I	NO LOAD	SUBS ONLY	1600	1000000	1000000
LNJFX	LORD ABBETT	LORD ABBETT NJ TAX FREE F	F	NO LOAD	REDEEM ONLY	1600	0	0
LANJX	LORD ABBETT	LORD ABBETT NJ TAX FREE FUND CL A	A	LOAD	OPEN	1600	1000	1000
LANYX	LORD ABBETT	LORD ABBETT NY TAX FREE A	A	LOAD	OPEN	1600	1000	1000
NYLAX	LORD ABBETT	LORD ABBETT NY TAX FREE C	C	LOAD	OPEN	1600	1000	1000
LN YFX	LORD ABBETT	LORD ABBETT NY TAX FREE F	F	NO LOAD	REDEEM ONLY	1600	0	0
NYLIX	LORD ABBETT	LORD ABBETT NY TAX FREE I	I	NO LOAD	SUBS ONLY	1600	1000000	1000000
LDCFX	LORD ABBETT	LORD ABBETT SHORT DUR CORE BD F	F	NO LOAD	REDEEM ONLY	1600	0	0
SDHCX	LORD ABBETT	LORD ABBETT SHORT DUR HI INC MUNI BD C	C	LOAD	OPEN	1600	1000	1000
LOLDX	LORD ABBETT	LORD ABBETT SHORT DUR INCOME FD CL F3	F3	NO LOAD	REDEEM ONLY	1600	0	0
LDLVX	LORD ABBETT	LORD ABBETT SHORT DUR INCOME FD CL R6	R6	NO LOAD	REDEEM ONLY	1600	0	0
LSDFX	LORD ABBETT	LORD ABBETT SHORT DUR TAX FREE F	F	NO LOAD	REDEEM ONLY	1600	0	0
LISDX	LORD ABBETT	LORD ABBETT SHORT DUR TAX FREE I	I	NO LOAD	SUBS ONLY	1600	1000000	1000000
LDCAX	LORD ABBETT	LORD ABBETT SHORT DURATION CORE BOND A	A	LOAD	OPEN	1600	100	100
LDCCX	LORD ABBETT	LORD ABBETT SHORT DURATION CORE BOND C	C	LOAD	OPEN	1600	1500	1500
LSCIX	LORD ABBETT	LORD ABBETT SHORT DURATION CORE BOND I	I	NO LOAD	SUBS ONLY	1600	1000000	1000000
SDHAX	LORD ABBETT	LORD ABBETT SHORT DURATION HIGH INCOME MUNICIPAL B	A	LOAD	OPEN	1600	1000	1000
LSYAX	LORD ABBETT	LORD ABBETT SHORT DURATION HIGH INCOME MUNICIPAL B	A	LOAD	OPEN	1600	1500	1500
LSYCX	LORD ABBETT	LORD ABBETT SHORT DURATION HIGH INCOME MUNICIPAL B	C	LOAD	OPEN	1600	1500	1500
SDHFX	LORD ABBETT	LORD ABBETT SHORT DURATION HIGH INCOME MUNICIPAL B	F	NO LOAD	REDEEM ONLY	1600	0	0
SDHIX	LORD ABBETT	LORD ABBETT SHORT DURATION HIGH INCOME MUNICIPAL B	I	NO LOAD	SUBS ONLY	1600	1000000	1000000
LSYFX	LORD ABBETT	LORD ABBETT SHORT DURATION HIGH YIELD FD F	F	NO LOAD	REDEEM ONLY	1600	0	0
LSYIX	LORD ABBETT	LORD ABBETT SHORT DURATION HIGH YIELD I	I	NO LOAD	SUBS ONLY	1600	1000000	1000000
LDLFX	LORD ABBETT	LORD ABBETT SHORT DURATION INCM F	F	NO LOAD	REDEEM ONLY	1600	0	0
LLDYX	LORD ABBETT	LORD ABBETT SHORT DURATION INCM I	I	NO LOAD	SUBS ONLY	1600	1000000	1000000
LALDX	LORD ABBETT	LORD ABBETT SHORT DURATION INCOME FD CL A	A	LOAD	OPEN	1600	1500	1500
LDLAX	LORD ABBETT	LORD ABBETT SHORT DURATION INCOME FD CL C	C	LOAD	OPEN	1600	1500	1500

SYMBOL	FUND FAMILY	FUND DESCRIPTION	SHARE CLASS	FUND TYPE	STATUS	CUT- OFF	IRA MIN	NON-IRA MIN
LDLQX	LORD ABBETT	LORD ABBETT SHORT DURATION INCOME R2	R2	NO LOAD	REDEEM ONLY	1600	0	0
LDLRX	LORD ABBETT	LORD ABBETT SHORT DURATION INCOME R3	R3	NO LOAD	REDEEM ONLY	1600	0	0
LSDAX	LORD ABBETT	LORD ABBETT SHORT DURATION TAX FREE A	A	LOAD	OPEN	1600	1000	1000
LSDCX	LORD ABBETT	LORD ABBETT SHORT DURATION TAX FREE C	C	LOAD	OPEN	1600	1000	1000
LSDOX	LORD ABBETT	LORD ABBETT SHORT DURATION TAX FREE F3	F3	NO LOAD	REDEEM ONLY	1600	0	0
LSRCX	LORD ABBETT	LORD ABBETT SMALL CAP VALUE C	C	LOAD	OPEN	1600	1000	1000
LRSFX	LORD ABBETT	LORD ABBETT SMALL CAP VALUE F	F	NO LOAD	REDEEM ONLY	1600	0	0
LRSCX	LORD ABBETT	LORD ABBETT SMALL CAP VALUE FUND CL A	A	LOAD	OPEN	1600	1000	1000
LRSYX	LORD ABBETT	LORD ABBETT SMALL CAP VALUE I	I	NO LOAD	SUBS ONLY	1600	1000000	1000000
LRSPX	LORD ABBETT	LORD ABBETT SMALLCAP VALUE FUND CL P	P	NO LOAD	REDEEM ONLY	1600	0	0
LISSX	LORD ABBETT	LORD ABBETT SPECIAL SITUATIONS INCOME I	I	NO LOAD	OPEN	1600	0	0
LISMX	LORD ABBETT	LORD ABBETT SUSTAINABLE MUNICIPAL BD I	I	NO LOAD	SUBS ONLY	1600	1000000	1000000
LTRCX	LORD ABBETT	LORD ABBETT TOTAL RETURN C	C	LOAD	OPEN	1600	1500	1500
LTRAX	LORD ABBETT	LORD ABBETT TOTAL RETURN CL A	A	LOAD	OPEN	1600	1500	1500
LTRFX	LORD ABBETT	LORD ABBETT TOTAL RETURN F	F	NO LOAD	REDEEM ONLY	1600	0	0
LTROX	LORD ABBETT	LORD ABBETT TOTAL RETURN F3	F3	NO LOAD	REDEEM ONLY	1600	0	0
LTRYX	LORD ABBETT	LORD ABBETT TOTAL RETURN I	I	NO LOAD	SUBS ONLY	1600	1000000	1000000
LTRPX	LORD ABBETT	LORD ABBETT TOTAL RETURN P	P	NO LOAD	SUBS ONLY	1600	0	0
LTRKX	LORD ABBETT	LORD ABBETT TOTAL RETURN R4	R4	NO LOAD	REDEEM ONLY	1600	0	0
LTRTX	LORD ABBETT	LORD ABBETT TOTAL RETURN R5	R5	NO LOAD	REDEEM ONLY	1600	0	0
LTRHX	LORD ABBETT	LORD ABBETT TOTAL RETURN R6	R6	NO LOAD	REDEEM ONLY	1600	0	0
LUBAX	LORD ABBETT	LORD ABBETT ULTRA SHORT BOND A	A	NO LOAD	OPEN	1600	1500	1500
LUBFX	LORD ABBETT	LORD ABBETT ULTRA SHORT BOND F	F	NO LOAD	REDEEM ONLY	1600	0	0
LUBOX	LORD ABBETT	LORD ABBETT ULTRA SHORT BOND F3	F3	NO LOAD	REDEEM ONLY	1600	0	0
LUBYX	LORD ABBETT	LORD ABBETT ULTRA SHORT BOND I	I	NO LOAD	SUBS ONLY	1600	1000000	1000000
LUBWX	LORD ABBETT	LORD ABBETT ULTRA SHORT BOND R6	R6	NO LOAD	REDEEM ONLY	1600	0	0
LACXX	LORD ABBETT	LORD ABBETT US GOV MONEYMARKET FUND CL A	A	LOAD	OPEN	1600	1000	1000
LCCXX	LORD ABBETT	LORD ABBETT US GOVT MONEY MARKET FUND CLASS C	C	LOAD	OPEN	1600	1000	1000
LVOOX	LORD ABBETT	LORD ABBETT VALUE OPPORTUNITIES F3	F3	NO LOAD	REDEEM ONLY	1600	0	0
LVOAX	LORD ABBETT	LORD ABBETT VALUE OPPTY A	A	LOAD	OPEN	1600	1500	1500
LVOCX	LORD ABBETT	LORD ABBETT VALUE OPPTY C	C	LOAD	OPEN	1600	1500	1500
LVOFX	LORD ABBETT	LORD ABBETT VALUE OPPTY F	F	NO LOAD	REDEEM ONLY	1600	0	0
LVOYX	LORD ABBETT	LORD ABBETT VALUE OPPTY I	I	NO LOAD	SUBS ONLY	1600	1000000	1000000
LVORX	LORD ABBETT	LORD ABBETT VALUE OPPTY R3	R3	NO LOAD	REDEEM ONLY	1600	0	0
LVOVX	LORD ABBETT	LORD ABBETT VALUE OPPTY R6	R6	NO LOAD	REDEEM ONLY	1600	0	0
LVAVX	LSV FUNDS	LSV CONSERVATIVE VALUE EQTY FD INV	INVESTOR	NO LOAD	OPEN	1600	100	100
LSVVX	LSV FUNDS	LSV CONSERVATIVE VALUE EQTY INST	INSTITUTIONAL	NO LOAD	OPEN	1600	1000	100000
LSVZX	LSV FUNDS	LSV EMERGING MARKETS EQTY INST	INSTITUTIONAL	NO LOAD	OPEN	1600	0	0
LVVZX	LSV FUNDS	LSV EMERGING MARKETS EQTY INV	INVESTOR	NO LOAD	OPEN	1600	0	0
LSVFX	LSV FUNDS	LSV GLOBAL MANAGED VOLATILITY INST	INSTITUTIONAL	NO LOAD	OPEN	1600	1000	100000
LVAFX	LSV FUNDS	LSV GLOBAL MANAGED VOLATILITY INV	INVESTOR	NO LOAD	OPEN	1600	100	100

SYMBOL	FUND FAMILY	FUND DESCRIPTION	SHARE CLASS	FUND TYPE	STATUS	CUT- OFF	IRA MIN	NON-IRA MIN
LSVGX	LSV FUNDS	LSV GLOBAL VALUE FD INST	INSTITUTIONAL	NO LOAD	OPEN	1600	1000	100000
LVAGX	LSV FUNDS	LSV GLOBAL VALUE FD INV	INVESTOR	NO LOAD	OPEN	1600	100	100
LSVQX	LSV FUNDS	LSV SMALL CAP VALUE FD INST	INSTITUTIONAL	NO LOAD	OPEN	1600	1000	100000
LVAQX	LSV FUNDS	LSV SMALL CAP VALUE FD INV	INVESTOR	NO LOAD	OPEN	1600	100	100
LSVMX	LSV FUNDS	LSV US MNGD VOLATILITY INST	INSTITUTIONAL	NO LOAD	OPEN	1600	100000	100000
LVAMX	LSV FUNDS	LSV US MNGD VOLATILITY INV	INVESTOR	NO LOAD	OPEN	1600	100	100
LSVEX	LSV FUNDS	LSV VALUE EQUITY FD INST	INSTITUTIONAL	NO LOAD	OPEN	1600	1000	100000
LVAEX	LSV FUNDS	LSV VALUE EQUITY FD INV	INVESTOR	NO LOAD	OPEN	1600	100	100
MAGSX	MADISON MOSAIC	MADISON AGGR ALLOC FD CL A	A	LOAD	SUBS ONLY	1600	100	100
MCNAX	MADISON MOSAIC	MADISON CONSRV ALLOC FD A	A	LOAD	SUBS ONLY	1600	100	100
MBOAX	MADISON MOSAIC	MADISON CORE BOND FD CL A	A	LOAD	SUBS ONLY	1600	0	0
MBOYX	MADISON MOSAIC	MADISON CORE BOND FUND CLASS Y	Y	NO LOAD	OPEN	1600	0	0
MBOIX	MADISON MOSAIC	MADISON CORE BOND I	I	NO LOAD	OPEN	1600	0	0
MBORX	MADISON MOSAIC	MADISON CORE BOND R6	R6	NO LOAD	REDEEM ONLY	1600	500000	500000
MENAX	MADISON MOSAIC	MADISON COVERED CALL & EQTY INCM A	A	LOAD	SUBS ONLY	1600	0	0
MENRX	MADISON MOSAIC	MADISON COVERED CALL & EQTY INCM R6	R6	NO LOAD	REDEEM ONLY	1600	500000	500000
MENYX	MADISON MOSAIC	MADISON COVERED CALL & EQTY INCM Y	Y	NO LOAD	OPEN	1600	100	100
MENCX	MADISON MOSAIC	MADISON COVERED CALL & EQUITY INCOME C	C	LOAD	OPEN	1600	0	0
MENIX	MADISON MOSAIC	MADISON COVERED CALL & EQUITY INCOME I	I	NO LOAD	OPEN	1600	0	0
MBLAX	MADISON MOSAIC	MADISON DIVERSIFIED INCM FD CL A	A	LOAD	SUBS ONLY	1600	100	100
MADAX	MADISON MOSAIC	MADISON DIVIDEND INCOME A	A	LOAD	SUBS ONLY	1600	0	0
BHBFX	MADISON MOSAIC	MADISON DIVIDEND INCOME FUND CLASS Y	Y	NO LOAD	OPEN	1600	100	100
MDMIX	MADISON MOSAIC	MADISON DIVIDEND INCOME I	I	NO LOAD	OPEN	1600	0	0
MADRX	MADISON MOSAIC	MADISON DIVIDEND INCOME R6	R6	NO LOAD	REDEEM ONLY	1600	500000	500000
MIIBX	MADISON MOSAIC	MADISON HIGH QUALITY BOND CLASS Y	Y	NO LOAD	OPEN	1600	100	100
MIIRX	MADISON MOSAIC	MADISON HIGH QUALITY BOND I	I	NO LOAD	OPEN	1600	0	0
MINAX	MADISON MOSAIC	MADISON INTERNATIONAL STOCK A	A	LOAD	SUBS ONLY	1600	0	0
MINYX	MADISON MOSAIC	MADISON INTERNATIONAL STOCK Y	Y	NO LOAD	OPEN	1600	0	0
MNVAX	MADISON MOSAIC	MADISON INVESTORS FD CL A	A	LOAD	SUBS ONLY	1600	0	0
MNVRX	MADISON MOSAIC	MADISON INVESTORS FD CL R6	R6	NO LOAD	REDEEM ONLY	1600	500000	500000
MINVX	MADISON MOSAIC	MADISON INVESTORS FUND CLASS Y	Y	NO LOAD	OPEN	1600	100	100
MIVIX	MADISON MOSAIC	MADISON INVESTORS I	I	NO LOAD	OPEN	1600	0	0
MERAX	MADISON MOSAIC	MADISON MID CAP FD CL A	A	LOAD	SUBS ONLY	1600	0	0
MPCR	MADISON MOSAIC	MADISON MID CAP FD CL R6	R6	NO LOAD	REDEEM ONLY	1600	500000	500000
GTSGX	MADISON MOSAIC	MADISON MID CAP FUND CLASS Y	Y	NO LOAD	OPEN	1600	100	100
MDCIX	MADISON MOSAIC	MADISON MID CAP I	I	NO LOAD	OPEN	1600	0	0
MMDAX	MADISON MOSAIC	MADISON MOD ALLOC FD CL A	A	LOAD	SUBS ONLY	1600	100	100
MASMX	MADISON MOSAIC	MADISON SM CAP FD CL A	A	LOAD	SUBS ONLY	1600	0	0
BVAOX	MADISON MOSAIC	MADISON SM CAP FD CL Y	Y	NO LOAD	OPEN	1600	0	0
MSCIX	MADISON MOSAIC	MADISON SMALL CAP I	I	NO LOAD	OPEN	1600	0	0
MSCRX	MADISON MOSAIC	MADISON SMALL CAP R6	R6	NO LOAD	REDEEM ONLY	1600	500000	500000

SYMBOL	FUND FAMILY	FUND DESCRIPTION	SHARE CLASS	FUND TYPE	STATUS	CUT- OFF	IRA MIN	NON-IRA MIN
MFSIX	MADISON MOSAIC	MADISON SUSTAINABLE EQUITY I	I	NO LOAD	OPEN	1600	0	0
MFSYX	MADISON MOSAIC	MADISON SUSTAINABLE EQUITY Y	Y	NO LOAD	OPEN	1600	100	100
GTFHX	MADISON MOSAIC	MADISON TAX FREE NATIONAL FUND CLASS Y	Y	NO LOAD	OPEN	1600	100	100
GTVAX	MADISON MOSAIC	MADISON TAX FREE VA FD Y	Y	NO LOAD	OPEN	1600	100	100
MAIPX	MAI FUNDS	MAI MANAGED VOLATILITY INSTL	I	NO LOAD	OPEN	1600	25000	50000
DIVPX	MAI FUNDS	MAI MANAGED VOLATILITY INV	INVESTOR	NO LOAD	OPEN	1600	100	100
AMLPX	MAINGATE FUNDS	MAINGATE MLP FD CL A	A	LOAD	OPEN	1600	2500	2500
MLCPX	MAINGATE FUNDS	MAINGATE MLP FD CL C	C	LOAD	OPEN	1600	2500	2500
IMLPX	MAINGATE FUNDS	MAINGATE MLP FD CL I	INSTITUTIONAL	NO LOAD	OPEN	1600	0	0
MAPOX	MAIRS	MAIRS & POWER BALANCED FUND	NO LOAD	NO LOAD	OPEN	1600	1000	2500
MSCFX	MAIRS	MAIRS & POWER SMALL CAP FUND	NO LOAD	NO LOAD	OPEN	1600	1000	2500
MPGFX	MAIRS	MAIRS AND POWER GROWTH FUND	NO LOAD	NO LOAD	OPEN	1600	1000	2500
GSTEX	MANAGED PORTFOLIO SERIES	PRINCIPAL STREET HIGH INC MUNCPL INV	INVESTOR	LOAD	REDEEM ONLY	1600	100	100
GSTAX	MANAGED PORTFOLIO SERIES	PRINCIPAL STREET HIGH INCM MUNI FD	INSTITUTIONAL	NO LOAD	REDEEM ONLY	1600	0	0
PSTYX	MANAGED PORTFOLIO SERIES	PRINCIPAL STREET SHORT TERM MUNCPL INSTL	INSTITUTIONAL	NO LOAD	REDEEM ONLY	1600	0	0
PSTEX	MANAGED PORTFOLIO SERIES	PRINCIPAL STREET SHORT TERM MUNCPL INV	INVESTOR	NO LOAD	REDEEM ONLY	1600	0	0
CEIIX	MANNING & NAPIER	CALLODINE EQUITY INCOME SERIES CLASS I	INSTITUTIONAL	NO LOAD	OPEN	1600	0	0
EXCIX	MANNING & NAPIER	MANNING & NAPIER CORE BD SERIES CL I	INSTITUTIONAL	NO LOAD	OPEN	1600	0	0
EXCRX	MANNING & NAPIER	MANNING & NAPIER CORE BD SERIES CL S	S	NO LOAD	OPEN	1600	100	100
MCBWX	MANNING & NAPIER	MANNING & NAPIER CORE BOND W	W	NO LOAD	REDEEM ONLY	1600	0	0
MCDWX	MANNING & NAPIER	MANNING & NAPIER CREDIT W	W	NO LOAD	REDEEM ONLY	1600	0	0
MNDFX	MANNING & NAPIER	MANNING & NAPIER DISCIPLINED VALUE SERIES CL I	INSTITUTIONAL	NO LOAD	OPEN	1600	1000000	1000000
MDFSX	MANNING & NAPIER	MANNING & NAPIER DISCIPLINED VALUE SERIES CL S	S	NO LOAD	OPEN	1600	100	100
MDVWX	MANNING & NAPIER	MANNING & NAPIER DISCIPLINED VALUE W	W	NO LOAD	REDEEM ONLY	1600	0	0
EXDVX	MANNING & NAPIER	MANNING & NAPIER DIVERSIFIED TE SERIES A	A	NO LOAD	OPEN	1600	1000	2500
MNDWX	MANNING & NAPIER	MANNING & NAPIER DIVERSIFIED TE SERIES W	W	NO LOAD	REDEEM ONLY	1600	0	0
EXEYX	MANNING & NAPIER	MANNING & NAPIER EQUITY SERIES CL S	S	NO LOAD	OPEN	1600	100	100
MEYWX	MANNING & NAPIER	MANNING & NAPIER EQUITY SERIES W	W	NO LOAD	REDEEM ONLY	1600	0	0
MCDIX	MANNING & NAPIER	MANNING & NAPIER FD INC NEW CR SER CL I	I	NO LOAD	OPEN	1600	0	0
MCDSX	MANNING & NAPIER	MANNING & NAPIER FD INC NEW CR SER CL S	S	NO LOAD	OPEN	1600	0	0
MNHAX	MANNING & NAPIER	MANNING & NAPIER HIGH YIELD BD SERIES CL I	INSTITUTIONAL	NO LOAD	OPEN	1600	0	0
MNHYX	MANNING & NAPIER	MANNING & NAPIER HIGH YIELD BD SERIES CL S	S	NO LOAD	OPEN	1600	100	100
MHYWX	MANNING & NAPIER	MANNING & NAPIER HIGH YIELD BOND W	W	NO LOAD	REDEEM ONLY	1600	0	0
MNOSX	MANNING & NAPIER	MANNING & NAPIER OVERSEAS SERIES CL S	S	NO LOAD	OPEN	1600	0	0
MNOWX	MANNING & NAPIER	MANNING & NAPIER OVERSEAS SERIES W	W	NO LOAD	REDEEM ONLY	1600	0	0
MNCCX	MANNING & NAPIER	MANNING & NAPIER PRO BLEND CNSRV TERM SER CL L	L	NO LOAD	OPEN	1600	100	100
MNCRX	MANNING & NAPIER	MANNING & NAPIER PRO BLEND CONSERV TERM SER R	R	NO LOAD	REDEEM ONLY	1600	100	100
MNCIX	MANNING & NAPIER	MANNING & NAPIER PRO BLEND CONSV TERM SERIES CL I	INSTITUTIONAL	NO LOAD	OPEN	1600	0	0
MNECX	MANNING & NAPIER	MANNING & NAPIER PRO BLEND EXT TRM SER CL L	L	NO LOAD	OPEN	1600	100	100
MNBIX	MANNING & NAPIER	MANNING & NAPIER PRO BLEND EXTENDED TERM SERIES CL	INSTITUTIONAL	NO LOAD	OPEN	1600	0	0
MNBAX	MANNING & NAPIER	MANNING & NAPIER PRO BLEND EXTENDED TERM SERIES CL S	S	NO LOAD	OPEN	1600	100	100

SYMBOL	FUND FAMILY	FUND DESCRIPTION	SHARE CLASS	FUND TYPE	STATUS	CUT- OFF	IRA MIN	NON-IRA MIN
MNBRX	MANNING & NAPIER	MANNING & NAPIER PRO BLEND EXTENDED TRM SER R	R	NO LOAD	REDEEM ONLY	1600	100	100
MNHRX	MANNING & NAPIER	MANNING & NAPIER PRO BLEND MAX TERM SER R	R	NO LOAD	REDEEM ONLY	1600	100	100
MNHXC	MANNING & NAPIER	MANNING & NAPIER PRO BLEND MAX TRM SER CL L	L	NO LOAD	OPEN	1600	100	100
MNHIX	MANNING & NAPIER	MANNING & NAPIER PRO BLEND MAXIMUM TERM SERIES CL I	INSTITUTIONAL	NO LOAD	OPEN	1600	0	0
MNMCX	MANNING & NAPIER	MANNING & NAPIER PRO BLEND MOD TERM SER CL L	L	NO LOAD	OPEN	1600	100	100
MNMRX	MANNING & NAPIER	MANNING & NAPIER PRO BLEND MOD TERM SER R	R	NO LOAD	REDEEM ONLY	1600	100	100
MNMIX	MANNING & NAPIER	MANNING & NAPIER PRO BLEND MOD TERM SERIES CL I	INSTITUTIONAL	NO LOAD	OPEN	1600	0	0
EXBAX	MANNING & NAPIER	MANNING & NAPIER PRO BLEND MODERATE TERM SERIES CL S	S	NO LOAD	OPEN	1600	100	100
MNCWX	MANNING & NAPIER	MANNING & NAPIER PROBLEND CNSRV TRM W	W	NO LOAD	REDEEM ONLY	1600	0	0
EXDAX	MANNING & NAPIER	MANNING & NAPIER PROBLEND CONSERVATIVE TERM SERIES S	S	NO LOAD	OPEN	1600	100	100
MNBWX	MANNING & NAPIER	MANNING & NAPIER PROBLEND EXTND TERM W	W	NO LOAD	REDEEM ONLY	1600	0	0
MNHWX	MANNING & NAPIER	MANNING & NAPIER PROBLEND MAX TERM W	W	NO LOAD	REDEEM ONLY	1600	0	0
EXHAX	MANNING & NAPIER	MANNING & NAPIER PROBLEND MAXIMUM TERM SERIES CL S	S	NO LOAD	OPEN	1600	100	100
MNMWX	MANNING & NAPIER	MANNING & NAPIER PROBLEND MOD TRM W	W	NO LOAD	REDEEM ONLY	1600	0	0
RAIIX	MANNING & NAPIER	MANNING & NAPIER RAINIER INTL DISCOVERY I	INSTITUTIONAL	NO LOAD	OPEN	1600	0	0
RISAX	MANNING & NAPIER	MANNING & NAPIER RAINIER INTL DISCOVERY SERIES CL S	S	NO LOAD	OPEN	1600	100	100
RAIWX	MANNING & NAPIER	MANNING & NAPIER RAINIER INTL DISCV W	W	NO LOAD	REDEEM ONLY	1600	0	0
RAIRX	MANNING & NAPIER	MANNING & NAPIER RAINIER INTL DISCV Z	Z	NO LOAD	REDEEM ONLY	1600	100000	100000
MNREX	MANNING & NAPIER	MANNING & NAPIER REAL ESTATE SERIES CL S	S	NO LOAD	REDEEM ONLY	1600	100	100
MNRIX	MANNING & NAPIER	MANNING & NAPIER REAL ESTATE SERIES I	INSTITUTIONAL	NO LOAD	REDEEM ONLY	1600	0	0
MNRWX	MANNING & NAPIER	MANNING & NAPIER REAL ESTATE W	W	NO LOAD	REDEEM ONLY	1600	0	0
MNCPX	MANNING & NAPIER	MANNING & NAPIER UNCONSTRAINED BD SERIES CL I	INSTITUTIONAL	NO LOAD	OPEN	1600	0	0
EXCPX	MANNING & NAPIER	MANNING & NAPIER UNCONSTRAINED BD SERIES CL S	S	NO LOAD	OPEN	1600	100	100
MUBWX	MANNING & NAPIER	MANNING & NAPIER UNCONSTRAINED BOND W	W	NO LOAD	REDEEM ONLY	1600	0	0
EXOSX	MANNING & NAPIER	MANNING NAPIER OVERSEAS SERIES CL I	INSTITUTIONAL	NO LOAD	OPEN	1600	1000000	1000000
MVSIX	MAR VISTA	MANAGER DIRECTED PORTFOLIOS MAR VISTA INSTL	INSTITUTIONAL	NO LOAD	OPEN	1600	1000000	1000000
MVSNX	MAR VISTA	MANAGER DIRECTED PORTFOLIOS MAR VISTA INVSTR	INVESTOR	NO LOAD	OPEN	1600	100	100
MVSRX	MAR VISTA	MANAGER DIRECTED PORTFOLIOS MAR VISTA RETR	RETIREMENT	NO LOAD	OPEN	1600	1000000	1000000
HJIGX	MARMONT FUNDS	HARDMAN JOHNSTON INTERNATIONAL GROWTH FD INST	INSTITUTIONAL	NO LOAD	OPEN	1600	0	0
MIFOX	MARSICO	MARSICO FOCUS INSTITUTIONAL	INSTITUTIONAL	NO LOAD	OPEN	1600	100000	100000
MFOCX	MARSICO	MARSICO FOCUS INVESTOR	INVESTOR	NO LOAD	OPEN	1600	100	100
MIGOX	MARSICO	MARSICO GLOBAL INSTITUTIONAL	INSTITUTIONAL	NO LOAD	OPEN	1600	100000	100000
MGLBX	MARSICO	MARSICO GLOBAL INVESTOR	INVESTOR	NO LOAD	OPEN	1600	100	100
MIGWX	MARSICO	MARSICO GROWTH INSTITUTIONAL	INSTITUTIONAL	NO LOAD	OPEN	1600	100000	100000
MGRIX	MARSICO	MARSICO GROWTH INVESTOR	INVESTOR	NO LOAD	OPEN	1600	100	100
MIIOX	MARSICO	MARSICO INTERNATIONAL OPPORTUNITIES INST	INSTITUTIONAL	NO LOAD	OPEN	1600	100000	100000
MIOFX	MARSICO	MARSICO INTERNATIONAL OPPORTUNITIES INVESTOR	INVESTOR	NO LOAD	OPEN	1600	100	100
MIDFX	MARSICO	MARSICO MIDCAP GROWTH FOCUS INSTL	INSTITUTIONAL	NO LOAD	OPEN	1600	100000	100000
MXXIX	MARSICO	MARSICO MIDCAP GROWTH FOCUS INVESTOR	INVESTOR	NO LOAD	OPEN	1600	100	100
BXEYX	MASSMUTUAL	EMERGING MKTS DEBT BLENDED TOTAL RETURN FD CL Y	Y	NO LOAD	OPEN	1600	0	0
BXICX	MASSMUTUAL	GLOBAL CR INCOME OPPTYS FD CL C	C	LOAD	OPEN	1600	1000	1000

SYMBOL	FUND FAMILY	FUND DESCRIPTION	SHARE CLASS	FUND TYPE	STATUS	CUT-OFF	IRA MIN	NON-IRA MIN
BXIYX	MASSMUTUAL	GLOBAL CR INCOME OPPTYS FD CL Y	Y	NO LOAD	OPEN	1600	0	0
MBCZX	MASSMUTUAL	MASSMUTUAL BLUE CHIP GRWTH I	I	NO LOAD	REDEEM ONLY	1600	0	0
MMJDX	MASSMUTUAL	MASSMUTUAL CLINTON LIMITED TERM MUNI A	A	LOAD	OPEN	1600	0	0
MMZQX	MASSMUTUAL	MASSMUTUAL CLINTON LIMITED TERM MUNI Y	Y	NO LOAD	OPEN	1600	0	0
MMJAX	MASSMUTUAL	MASSMUTUAL CLINTON MUNI CREDIT OPPTS A	A	LOAD	OPEN	1600	0	0
MMJCX	MASSMUTUAL	MASSMUTUAL CLINTON MUNI CREDIT OPPTS Y	Y	NO LOAD	OPEN	1600	0	0
MMZUX	MASSMUTUAL	MASSMUTUAL CLINTON MUNICIPAL A	A	LOAD	OPEN	1600	0	0
MMZWX	MASSMUTUAL	MASSMUTUAL CLINTON MUNICIPAL Y	Y	NO LOAD	OPEN	1600	0	0
MCZZX	MASSMUTUAL	MASSMUTUAL CORE BOND I	I	NO LOAD	REDEEM ONLY	1600	0	0
MMNWX	MASSMUTUAL	MASSMUTUAL CORE BOND Y	Y	NO LOAD	OPEN	1600	0	0
BXEAX	MASSMUTUAL	MASSMUTUAL EMRG MKTS DEBT BLENDED TOTAL RETURN L	A	LOAD	OPEN	1600	0	0
BXIAX	MASSMUTUAL	MASSMUTUAL GLOBAL CR INCOME OPPTYS L	A	LOAD	OPEN	1600	0	0
BXFCX	MASSMUTUAL	MASSMUTUAL GLOBAL FLTG RATE FD C	C	LOAD	OPEN	1600	1000	1000
BXFAX	MASSMUTUAL	MASSMUTUAL GLOBAL FLTG RATE FD L	A	LOAD	OPEN	1600	0	0
BXFX	MASSMUTUAL	MASSMUTUAL GLOBAL FLTG RATE FD Y	Y	NO LOAD	OPEN	1600	0	0
BXHYX	MASSMUTUAL	MASSMUTUAL HIGH YIELD FD CL Y	Y	NO LOAD	OPEN	1600	0	0
MPHZX	MASSMUTUAL	MASSMUTUAL HIGH YIELD I	I	NO LOAD	REDEEM ONLY	1600	0	0
MIPZX	MASSMUTUAL	MASSMUTUAL INFLATION PROTECTED & INCM I	I	NO LOAD	REDEEM ONLY	1600	0	0
MEFZX	MASSMUTUAL	MASSMUTUAL MID CAP GRWTH I	I	NO LOAD	REDEEM ONLY	1600	0	0
MEFFX	MASSMUTUAL	MASSMUTUAL MID CAP GRWTH R4	R4	NO LOAD	REDEEM ONLY	1600	0	0
MGRFX	MASSMUTUAL	MASSMUTUAL MID CAP GRWTH R5	R5	NO LOAD	OPEN	1600	0	0
MEFYX	MASSMUTUAL	MASSMUTUAL MID CAP GRWTH SV	SERVICE	NO LOAD	REDEEM ONLY	1600	0	0
MLUSX	MASSMUTUAL	MASSMUTUAL MID CAP VALUE R5	R5	NO LOAD	REDEEM ONLY	1600	0	0
MSTZX	MASSMUTUAL	MASSMUTUAL SHORT DURATION BD I	I	NO LOAD	REDEEM ONLY	1600	0	0
BXDLX	MASSMUTUAL	MASSMUTUAL SHORT DURATION BD L	L	LOAD	OPEN	1600	100	100
BXDYX	MASSMUTUAL	MASSMUTUAL SHORT DURATION BD Y	Y	NO LOAD	OPEN	1600	0	0
MSGZX	MASSMUTUAL	MASSMUTUAL SM CAP GRWTH EQUITY I	I	NO LOAD	REDEEM ONLY	1600	0	0
MSERX	MASSMUTUAL	MASSMUTUAL SM CAP GRWTH EQUITY R4	R4	NO LOAD	REDEEM ONLY	1600	0	0
MSGSX	MASSMUTUAL	MASSMUTUAL SM CAP GRWTH EQUITY R5	R5	NO LOAD	OPEN	1600	0	0
MSCYX	MASSMUTUAL	MASSMUTUAL SM CAP GRWTH EQUITY SERVICE CL	SERVICE	NO LOAD	REDEEM ONLY	1600	0	0
MSOOX	MASSMUTUAL	MASSMUTUAL SMALL CAP OPPTS I	I	NO LOAD	REDEEM ONLY	1600	0	0
MSCDX	MASSMUTUAL	MASSMUTUAL SMALL CAP OPPTS R5	R5	NO LOAD	REDEEM ONLY	1600	0	0
MMOGX	MASSMUTUAL	MASSMUTUAL SMALL CAP OPPTS Y	Y	NO LOAD	OPEN	1600	0	0
MSPLX	MASSMUTUAL	MASSMUTUAL TOTAL RETURN BOND ADM	ADMINISTRATIVE	NO LOAD	OPEN	1600	0	0
MSPZX	MASSMUTUAL	MASSMUTUAL TOTAL RETURN BOND I	I	NO LOAD	REDEEM ONLY	1600	0	0
MSPSX	MASSMUTUAL	MASSMUTUAL TOTAL RETURN BOND R5	R5	NO LOAD	REDEEM ONLY	1600	0	0
MADFX	MATRIX	MATRIX ADVISORS DIV FUND A	NO LOAD	NO LOAD	OPEN	1600	0	0
MAVFX	MATRIX	MATRIX ADVISORS VALUE FUND	NO LOAD	NO LOAD	OPEN	1600	100	100
FMFIX	MATSON MONEY FUNDS	FREE MARKET FIXED INCM FD INST CL SHRS	INSTITUTIONAL	NO LOAD	REDEEM ONLY	1600	0	0
FMNEX	MATSON MONEY FUNDS	FREE MARKET INTL EQTY FDIINST CL SHR	INSTITUTIONAL	NO LOAD	REDEEM ONLY	1600	0	0
FMUEX	MATSON MONEY FUNDS	FREE MARKET US EQTY FD INST CL SHRS	INSTITUTIONAL	NO LOAD	REDEEM ONLY	1600	0	0

SYMBOL	FUND FAMILY	FUND DESCRIPTION	SHARE CLASS	FUND TYPE	STATUS	CUT-OFF	IRA MIN	NON-IRA MIN
MXXVX	MATTHEW 25 FUNDS	MATTHEW 25 FUND	NO LOAD	NO LOAD	OPEN	1600	100	100
MAPIX	MATTHEWS	MATTHEWS ASIA DIVIDEND FD INV CL	INVESTOR	NO LOAD	OPEN	1600	100	100
MIPIX	MATTHEWS	MATTHEWS ASIA DIVIDEND FUND INSTL	INSTITUTIONAL	NO LOAD	OPEN	1600	100000	100000
MPACX	MATTHEWS	MATTHEWS ASIA GROWTH FD INV CL	INVESTOR	NO LOAD	OPEN	1600	100	100
MIAPX	MATTHEWS	MATTHEWS ASIA GROWTH FUND INSTL	INSTITUTIONAL	NO LOAD	OPEN	1600	100000	100000
MITEX	MATTHEWS	MATTHEWS ASIA INNOVATORS FD INST CL	INSTITUTIONAL	NO LOAD	OPEN	1600	100000	100000
MATFX	MATTHEWS	MATTHEWS ASIA INNOVATORS FD INV CL	INVESTOR	NO LOAD	OPEN	1600	100	100
MICSX	MATTHEWS	MATTHEWS ASIAN GROWTH & INCOME FUND INSTL	INSTITUTIONAL	NO LOAD	OPEN	1600	100000	100000
MACSX	MATTHEWS	MATTHEWS ASIAN GROWTH & INCOME FUND INV CL	INVESTOR	NO LOAD	OPEN	1600	100	100
MCDFX	MATTHEWS	MATTHEWS CHINA DIVIDEND FD INV CL	INVESTOR	NO LOAD	OPEN	1600	100	100
MICDX	MATTHEWS	MATTHEWS CHINA DIVIDEND FUND INSTL	INSTITUTIONAL	NO LOAD	OPEN	1600	100000	100000
MCHFX	MATTHEWS	MATTHEWS CHINA FD INV CL	INVESTOR	NO LOAD	OPEN	1600	100	100
MICFX	MATTHEWS	MATTHEWS CHINA FUND INSTL	INSTITUTIONAL	NO LOAD	OPEN	1600	100000	100000
MICHX	MATTHEWS	MATTHEWS CHINA SMALL CO FD INST CL	INSTITUTIONAL	NO LOAD	OPEN	1600	100000	100000
MCSMX	MATTHEWS	MATTHEWS CHINA SMALL CO FD INV CL	INVESTOR	NO LOAD	OPEN	1600	100	100
MISFX	MATTHEWS	MATTHEWS EMERG MRKT SUSTAIN FUTURE I	INSTITUTIONAL	NO LOAD	OPEN	1600	100000	100000
MASGX	MATTHEWS	MATTHEWS EMERG MRKT SUSTAIN FUTURE INV	INVESTOR	NO LOAD	OPEN	1600	100	100
MIEFX	MATTHEWS	MATTHEWS EMERGING MARKETS EQUITY INS	INSTITUTIONAL	NO LOAD	OPEN	1600	0	0
MISMX	MATTHEWS	MATTHEWS EMERGING MKTS SMALL COMPANIES I	INSTITUTIONAL	NO LOAD	OPEN	1600	100000	100000
MSMLX	MATTHEWS	MATTHEWS EMERGING MKTS SMALL COMPANIES INV	INVESTOR	NO LOAD	OPEN	1600	100	100
MINDX	MATTHEWS	MATTHEWS INDIA FD INV CL	INVESTOR	NO LOAD	OPEN	1600	100	100
MIDNX	MATTHEWS	MATTHEWS INDIA FUND INSTL	INSTITUTIONAL	NO LOAD	OPEN	1600	100000	100000
MEGMX	MATTHEWS	MATTHEWS INTL FDS EMERG EQ INV CL	INVESTOR	NO LOAD	OPEN	1600	100	100
MJFOX	MATTHEWS	MATTHEWS JAPAN FD INV CL	INVESTOR	NO LOAD	OPEN	1600	100	100
MIJFX	MATTHEWS	MATTHEWS JAPAN FUND INSTL	INSTITUTIONAL	NO LOAD	OPEN	1600	100000	100000
MIPTX	MATTHEWS	MATTHEWS PACIFIC TIGER FD INSTL CL	INSTITUTIONAL	NO LOAD	OPEN	1600	100000	100000
MAPTX	MATTHEWS	MATTHEWS PACIFIC TIGER FD INV CL	INVESTOR	NO LOAD	OPEN	1600	100	100
SEMOX	MEDALIST PARTNERS	SEMPER MBS TOTAL RETURN FD A	A	LOAD	OPEN	1600	1000	1000
SEMMX	MEDALIST PARTNERS	SEMPER MBS TOTAL RETURN FD INST	INSTITUTIONAL	NO LOAD	OPEN	1600	0	0
SEMPX	MEDALIST PARTNERS	SEMPER MBS TOTAL RETURN FD INV	INVESTOR	NO LOAD	OPEN	1600	0	0
SEMIX	MEDALIST PARTNERS	SEMPER SHORT DURATION FD INST	INSTITUTIONAL	NO LOAD	OPEN	1600	2500	2500
SEMRX	MEDALIST PARTNERS	SEMPER SHORT DURATION FD INV	INVESTOR	NO LOAD	OPEN	1600	100	100
FLDFX	MEEDER FUNDS	MEEDER BALANCED FD	NO LOAD	NO LOAD	OPEN	1600	100	100
BLNIX	MEEDER FUNDS	MEEDER BALANCED FD INST	INSTITUTIONAL	NO LOAD	OPEN	1600	500	2500
IFAIX	MEEDER FUNDS	MEEDER CONSERVATIVE ALLOC INST	INSTITUTIONAL	NO LOAD	OPEN	1600	500	2500
FLRUX	MEEDER FUNDS	MEEDER CONSERVATIVE ALLOCATION FD RETAIL	NO LOAD	NO LOAD	OPEN	1600	100	100
DYGIX	MEEDER FUNDS	MEEDER DYNAMIC ALLOC FD INST	INSTITUTIONAL	NO LOAD	OPEN	1600	500	2500
FLDGX	MEEDER FUNDS	MEEDER DYNAMIC ALLOCATION FD RETAIL	NO LOAD	NO LOAD	OPEN	1600	100	100
GBPIX	MEEDER FUNDS	MEEDER GLOBAL ALLOC FD INST	INSTITUTIONAL	NO LOAD	OPEN	1600	500	2500
FLFGX	MEEDER FUNDS	MEEDER GLOBAL ALLOCATION FD RETAIL	NO LOAD	NO LOAD	OPEN	1600	100	100
DVOIX	MEEDER FUNDS	MEEDER MODERATE ALLOC FD INST	INSTITUTIONAL	NO LOAD	OPEN	1600	500	2500

SYMBOL	FUND FAMILY	FUND DESCRIPTION	SHARE CLASS	FUND TYPE	STATUS	CUT- OFF	IRA MIN	NON-IRA MIN
FLDOX	MEEDER FUNDS	MEEDER MODERATE ALLOCATION FD RETAIL	NO LOAD	NO LOAD	OPEN	1600	0	0
FLMFX	MEEDER FUNDS	MEEDER MUIRFIELD FD	NO LOAD	NO LOAD	OPEN	1600	100	100
FLMIX	MEEDER FUNDS	MEEDER MUIRFIELD FUND I	INSTITUTIONAL	NO LOAD	OPEN	1600	500	2500
FLCGX	MEEDER FUNDS	MEEDER QUANTEX FD	NO LOAD	NO LOAD	OPEN	1600	100	100
QNTIX	MEEDER FUNDS	MEEDER QUANTEX FUND INST	INSTITUTIONAL	NO LOAD	OPEN	1600	500	2500
FLSPX	MEEDER FUNDS	MEEDER SPECTRUM FD	NO LOAD	NO LOAD	OPEN	1600	100	100
SRUIX	MEEDER FUNDS	MEEDER SPECTRUM FD INST	INSTITUTIONAL	NO LOAD	OPEN	1600	500	2500
BNDIX	MEEDER FUNDS	TACTICAL INCOME INST	INSTITUTIONAL	NO LOAD	OPEN	1600	500	2500
FLBDX	MEEDER FUNDS	TACTICAL INCOME RETAIL CL	NO LOAD	NO LOAD	OPEN	1600	100	100
MIFAX	MEKETA CAPITAL	MEKETA INFRASTRUCTURE I	I	NO LOAD	OPEN	1600	0	0
MOOPX	MERCATOR FUNDS	MERCATOR INTL OPPTY A	A	NO LOAD	OPEN	1600	0	0
MOPPX	MERCATOR FUNDS	MERCATOR INTL OPPTY INST	INSTITUTIONAL	NO LOAD	OPEN	1600	0	0
MCFIX	MERCER FUNDS	MERCER CORE FIXED INCM FD Y3	Y3	NO LOAD	REDEEM ONLY	1600	0	0
MCFQX	MERCER FUNDS	MERCER CORE FIXED INCOME INST	I	NO LOAD	REDEEM ONLY	1600	0	0
MEMSX	MERCER FUNDS	MERCER EMERGING MARKETS EQTY I	I	NO LOAD	REDEEM ONLY	1600	0	0
MEMQX	MERCER FUNDS	MERCER EMRG MKTS EQTY FD Y3	Y3	NO LOAD	REDEEM ONLY	1600	0	0
MNCSX	MERCER FUNDS	MERCER FDS NONUS COR EQ I	I	NO LOAD	REDEEM ONLY	1600	0	0
MGLVX	MERCER FUNDS	MERCER GLBL LOW VOLATILITY EQTY FD Y3	Y3	NO LOAD	REDEEM ONLY	1600	0	0
MNCEX	MERCER FUNDS	MERCER NON US CORE EQTY FD Y3	Y3	NO LOAD	REDEEM ONLY	1600	0	0
MOFIX	MERCER FUNDS	MERCER OPPORTUNISTIC FIX INCM FD Y3	Y3	NO LOAD	REDEEM ONLY	1600	0	0
MSCGX	MERCER FUNDS	MERCER US SM MID CAP EQTY FD Y3	Y3	NO LOAD	REDEEM ONLY	1600	0	0
MSCQX	MERCER FUNDS	MERCER US SMALL MID CAP EQUITY I	I	NO LOAD	REDEEM ONLY	1600	0	0
M FCCX	MERIDIAN	MERIDIAN CONTRARIAN C	C	LOAD	REDEEM ONLY	1600	1000	2500
MFCAX	MERIDIAN	MERIDIAN CONTRARIAN FUND CL A	A	LOAD	OPEN	1600	100	100
MVALX	MERIDIAN	MERIDIAN CONTRARIAN FUND LEGACY	NO LOAD	NO LOAD	SUBS ONLY	1600	1000	1000
MFCIX	MERIDIAN	MERIDIAN CONTRARIAN INVESTOR	INVESTOR	NO LOAD	OPEN	1600	500	2500
MRCEX	MERIDIAN	MERIDIAN ENHANCED EQUITY C	C	LOAD	REDEEM ONLY	1600	500	2500
MRAGX	MERIDIAN	MERIDIAN GROWTH FUND CL A	A	LOAD	SUBS ONLY	1600	100	100
MRRGX	MERIDIAN	MERIDIAN GROWTH FUND INST	INSTITUTIONAL	NO LOAD	REDEEM ONLY	1600	1000000	1000000
MRIGX	MERIDIAN	MERIDIAN GROWTH FUND INV	INVESTOR	NO LOAD	SUBS ONLY	1600	0	0
MERDX	MERIDIAN	MERIDIAN GROWTH FUND LEGACY	NO LOAD	NO LOAD	SUBS ONLY	1600	1000	1000
MRAEX	MERIDIAN	MERIDIAN HEDGED EQUITY FUND CL A	A	LOAD	OPEN	1600	100	100
MRIEX	MERIDIAN	MERIDIAN HEDGED EQUITY FUND CL INV	INVESTOR	NO LOAD	OPEN	1600	100000	100000
MEIFX	MERIDIAN	MERIDIAN HEDGED EQUITY FUND CL LEGACY	NO LOAD	NO LOAD	SUBS ONLY	1600	1000	1000
MSGAX	MERIDIAN	MERIDIAN SM CAP GWTH FD CL A	A	LOAD	OPEN	1600	100	100
MSGRX	MERIDIAN	MERIDIAN SM CAP GWTH FD INST	INSTITUTIONAL	NO LOAD	REDEEM ONLY	1600	1000000	1000000
MISGX	MERIDIAN	MERIDIAN SM CAP GWTH FD INV	NO LOAD	NO LOAD	SUBS ONLY	1600	99999	99999
MSGGX	MERIDIAN	MERIDIAN SM CAP GWTH FD LEGACY	NO LOAD	NO LOAD	SUBS ONLY	1600	1000	1000
MFBIX	MESIROW FINANCIAL	MESIROW FINANCIAL ENHANCED CORE PLUS INST	INSTITUTIONAL	NO LOAD	OPEN	1600	100000	100000
MFBVX	MESIROW FINANCIAL	MESIROW FINANCIAL ENHANCED CORE PLUS INV	INVESTOR	NO LOAD	OPEN	1600	100	100
MFHIX	MESIROW FINANCIAL	MESIROW FINANCIAL HIGH YIELD INST	INSTITUTIONAL	NO LOAD	OPEN	1600	0	0

SYMBOL	FUND FAMILY	FUND DESCRIPTION	SHARE CLASS	FUND TYPE	STATUS	CUT- OFF	IRA MIN	NON-IRA MIN
MFHVX	MESIROW FINANCIAL	MESIROW FINANCIAL HIGH YIELD INV	INVESTOR	NO LOAD	OPEN	1600	100	100
MSVIX	MESIROW FINANCIAL	MESIROW FINANCIAL SM CAP VAL SUSTAINABILITY INST	INSTITUTIONAL	NO LOAD	OPEN	1600	0	0
MSVVX	MESIROW FINANCIAL	MESIROW FINANCIAL SM CAP VAL SUSTAINABILITY INV	INVESTOR	NO LOAD	OPEN	1600	100	100
LPCIX	METLIFE FUNDS	METLIFE CORE PLUS FD CL I	INSTITUTIONAL	NO LOAD	OPEN	1600	5000000	5000000
MWATX	METROPOLITAN	METROPOLITAN WEST ALPHA TRAK 500 FUND CL M	M	NO LOAD	OPEN	1600	5000	5000
MWCBX	METROPOLITAN	METROPOLITAN WEST CORP BD FD I	I	NO LOAD	OPEN	1600	0	0
MWCSX	METROPOLITAN	METROPOLITAN WEST CORP BD FD M	M	NO LOAD	OPEN	1600	0	0
MWFLX	METROPOLITAN	METROPOLITAN WEST FLOATING RATE INC I	I	NO LOAD	OPEN	1600	100000	100000
MWFRX	METROPOLITAN	METROPOLITAN WEST FLOATING RATE INC M	M	NO LOAD	OPEN	1600	100	100
MWHIX	METROPOLITAN	METROPOLITAN WEST HIGH YIELD BD I	I	NO LOAD	OPEN	1600	100000	100000
MWHYX	METROPOLITAN	METROPOLITAN WEST HIGH YIELD BOND FUND CL M	M	NO LOAD	OPEN	1600	100	100
MWIIX	METROPOLITAN	METROPOLITAN WEST INTER BD CL I	I	NO LOAD	OPEN	1600	100000	100000
MWIMX	METROPOLITAN	METROPOLITAN WEST INTERMEDIATE BOND FD CL M	M	NO LOAD	OPEN	1600	100	100
MWIGX	METROPOLITAN	METROPOLITAN WEST INVEST GRADE CREDIT FD I	I	NO LOAD	OPEN	1600	100000	100000
MWISX	METROPOLITAN	METROPOLITAN WEST INVESTMENT GRADE CREDIT FD M	M	NO LOAD	OPEN	1600	0	0
MWLDX	METROPOLITAN	METROPOLITAN WEST LOW DURATION BOND	M	NO LOAD	OPEN	1600	100	100
MWLNX	METROPOLITAN	METROPOLITAN WEST LOW DURATION BOND ADMIN	ADMINISTRATIVE	NO LOAD	OPEN	1600	0	0
MWLIX	METROPOLITAN	METROPOLITAN WEST LOW DURATION BOND I	I	NO LOAD	OPEN	1600	100000	100000
MWSIX	METROPOLITAN	METROPOLITAN WEST STRATEGIC INCOME FD CL I	I	NO LOAD	OPEN	1600	100000	100000
MWSTX	METROPOLITAN	METROPOLITAN WEST STRATEGIC INCOME FD CL M	M	NO LOAD	OPEN	1600	100	100
MWTRX	METROPOLITAN	METROPOLITAN WEST TOTAL RETURN BOND	M	NO LOAD	OPEN	1600	100	100
MWTNX	METROPOLITAN	METROPOLITAN WEST TOTAL RETURN BOND ADMIN	ADMINISTRATIVE	NO LOAD	OPEN	1600	100	100
MWTIX	METROPOLITAN	METROPOLITAN WEST TOTAL RETURN BOND I	I	NO LOAD	OPEN	1600	100000	100000
MWTSX	METROPOLITAN	METROPOLITAN WEST TOTAL RETURN BOND PLAN	PLAN	NO LOAD	REDEEM ONLY	1600	25000000	25000000
MWUIX	METROPOLITAN	METROPOLITAN WEST ULTRA SHORT BOND FUND CL I	I	NO LOAD	OPEN	1600	100000	100000
MWUSX	METROPOLITAN	METROPOLITAN WEST ULTRASHORT BOND FD CL M	M	NO LOAD	OPEN	1600	100	100
MWCIX	METROPOLITAN	METROPOLITAN WEST UNCONSTRAINED BD CL I	I	NO LOAD	OPEN	1600	100000	100000
MWCRX	METROPOLITAN	METROPOLITAN WEST UNCONSTRAINED BD M	M	NO LOAD	OPEN	1600	100	100
MWCPX	METROPOLITAN	METROPOLITAN WEST UNCONSTRAINED BD PLAN	PLAN	NO LOAD	OPEN	1600	25000000	25000000
MWESX	METROPOLITAN	METWEST ESG SECURITIZED I	I	NO LOAD	OPEN	1600	3000000	3000000
MWERX	METROPOLITAN	METWEST ESG SECURITIZED M	M	NO LOAD	OPEN	1600	100	100
MAAGX	MFS	MFS AGGRESSIVE GROWTH ALLOCATION FUND CL A	A	LOAD	OPEN	1600	250	1000
MBAGX	MFS	MFS AGGRESSIVE GROWTH ALLOCATION FUND CL B	B	LOAD	EXCH ONLY	1600	250	1000
MCAGX	MFS	MFS AGGRESSIVE GROWTH ALLOCATION FUND CL C	C	LOAD	OPEN	1600	250	1000
MIAGX	MFS	MFS AGGRESSIVE GROWTH ALLOCATION I	I	NO LOAD	OPEN	1600	0	0
MAWAX	MFS	MFS AGGRESSIVE GROWTH ALLOCATION R2	R2	NO LOAD	REDEEM ONLY	1600	0	0
MAALX	MFS	MFS AGGRESSIVE GROWTH ALLOCATION R4	R4	NO LOAD	REDEEM ONLY	1600	0	0
AGGPX	MFS	MFS AGGRESSIVE GROWTH ALLOCATION R6	R6	NO LOAD	REDEEM ONLY	1600	0	0
MBABX	MFS	MFS AL MUNI BD B	B	LOAD	EXCH ONLY	1600	250	1000
MFALX	MFS	MFS AL MUNI BOND FD CL A	A	LOAD	OPEN	1600	250	1000
MLALX	MFS	MFS AL MUNI BOND FD CL I	I	NO LOAD	OPEN	1600	0	0

SYMBOL	FUND FAMILY	FUND DESCRIPTION	SHARE CLASS	FUND TYPE	STATUS	CUT- OFF	IRA MIN	NON-IRA MIN
MPOLX	MFS	MFS AL MUNICIPAL BOND R6	R6	NO LOAD	REDEEM ONLY	1600	0	0
MBARX	MFS	MFS AR MUNI BD B	B	LOAD	EXCH ONLY	1600	250	1000
MFARX	MFS	MFS AR MUNI BOND FD CL A	A	LOAD	OPEN	1600	250	1000
MARLX	MFS	MFS AR MUNI BOND FD CL I	I	NO LOAD	OPEN	1600	0	0
MPRAX	MFS	MFS AR MUNICIPAL BOND R6	R6	NO LOAD	REDEEM ONLY	1600	0	0
MUEAX	MFS	MFS BLENDED RESEARCH CORE EQTY FD CL A	A	LOAD	OPEN	1600	250	1000
MUSBX	MFS	MFS BLENDED RESEARCH CORE EQTY FD CL B	B	LOAD	EXCH ONLY	1600	250	1000
MUECX	MFS	MFS BLENDED RESEARCH CORE EQTY FD CL C	C	LOAD	OPEN	1600	250	1000
MUSEX	MFS	MFS BLENDED RESEARCH CORE EQTY I	I	NO LOAD	OPEN	1600	0	0
MUETX	MFS	MFS BLENDED RESEARCH CORE EQTY R3	R3	NO LOAD	REDEEM ONLY	1600	0	0
MUEUX	MFS	MFS BLENDED RESEARCH CORE EQTY R4	R4	NO LOAD	REDEEM ONLY	1600	0	0
MUEVX	MFS	MFS BLENDED RESEARCH CORE EQTY R6	R6	NO LOAD	REDEEM ONLY	1600	0	0
MUESX	MFS	MFS BLENDED RESEARCH CORE EQUITY R2	R2	NO LOAD	REDEEM ONLY	1600	0	0
BRKCX	MFS	MFS BLENDED RESEARCH EM MKTS EQ C	C	LOAD	OPEN	1600	0	0
BRKSX	MFS	MFS BLENDED RESEARCH EM MKTS EQ R2	R2	NO LOAD	REDEEM ONLY	1600	0	0
BRKAX	MFS	MFS BLENDED RESEARCH EMRG MKTS EQTY A	A	LOAD	OPEN	1600	250	1000
BRKIX	MFS	MFS BLENDED RESEARCH EMRG MKTS EQTY I	I	NO LOAD	OPEN	1600	0	0
BRWCX	MFS	MFS BLENDED RESEARCH GROWTH EQ C	C	LOAD	OPEN	1600	0	0
BRWJX	MFS	MFS BLENDED RESEARCH GROWTH EQ I	I	NO LOAD	OPEN	1600	0	0
BRWSX	MFS	MFS BLENDED RESEARCH GROWTH EQ R2	R2	NO LOAD	REDEEM ONLY	1600	0	0
BRWVX	MFS	MFS BLENDED RESEARCH GROWTH EQ R6	R6	NO LOAD	REDEEM ONLY	1600	0	0
BRWAX	MFS	MFS BLENDED RESEARCH GRWTH EQTY A	A	LOAD	OPEN	1600	100	100
BRXSX	MFS	MFS BLENDED RESEARCH INTL EQ R2	R2	NO LOAD	REDEEM ONLY	1600	0	0
BRXVX	MFS	MFS BLENDED RESEARCH INTL EQ R6	R6	NO LOAD	REDEEM ONLY	1600	0	0
BRXAX	MFS	MFS BLENDED RESEARCH INTL EQTY A	A	LOAD	OPEN	1600	250	1000
BRXIX	MFS	MFS BLENDED RESEARCH INTL EQTY I	I	NO LOAD	OPEN	1600	0	0
BMSLX	MFS	MFS BLENDED RESEARCH MID CAP EQ I	I	NO LOAD	OPEN	1600	0	0
BMSSX	MFS	MFS BLENDED RESEARCH MID CAP EQ R2	R2	NO LOAD	REDEEM ONLY	1600	0	0
BMSYX	MFS	MFS BLENDED RESEARCH MID CAP EQ R6	R6	NO LOAD	REDEEM ONLY	1600	0	0
BRSDX	MFS	MFS BLENDED RESEARCH SM CAP EQTY A	A	LOAD	OPEN	1600	250	1000
BRSHX	MFS	MFS BLENDED RESEARCH SMALL CAP EQ C	C	LOAD	OPEN	1600	0	0
BRSSX	MFS	MFS BLENDED RESEARCH SMALL CAP EQ R2	R2	NO LOAD	REDEEM ONLY	1600	0	0
BRSYX	MFS	MFS BLENDED RESEARCH SMALL CAP EQ R6	R6	NO LOAD	REDEEM ONLY	1600	100000	100000
BRSBX	MFS	MFS BLENDED RESEARCH SMALL CAP EQTY B	B	LOAD	EXCH ONLY	1600	250	1000
BRSJX	MFS	MFS BLENDED RESEARCH SMALL CAP EQTY I	I	NO LOAD	OPEN	1600	0	0
BRUDX	MFS	MFS BLENDED RESEARCH VALUE EQTY A	A	LOAD	OPEN	1600	100	100
BRUKX	MFS	MFS BLENDED RESEARCH VALUE EQUITY R2	R2	NO LOAD	REDEEM ONLY	1600	0	0
MBCAX	MFS	MFS CA MUNI BD B	B	LOAD	EXCH ONLY	1600	250	1000
MCCAX	MFS	MFS CA MUNI BD C	C	LOAD	OPEN	1600	250	1000
MCFTX	MFS	MFS CA MUNI BOND FD CL A	A	LOAD	OPEN	1600	250	1000
MCAVX	MFS	MFS CA MUNI BOND FD CL I	I	NO LOAD	OPEN	1600	0	0

SYMBOL	FUND FAMILY	FUND DESCRIPTION	SHARE CLASS	FUND TYPE	STATUS	CUT- OFF	IRA MIN	NON-IRA MIN
MPOAX	MFS	MFS CA MUNICIPAL BOND R6	R6	NO LOAD	REDEEM ONLY	1600	100000	100000
MCSAX	MFS	MFS COMMODITY STRATEGY A	A	LOAD	OPEN	1600	0	0
MCSIX	MFS	MFS COMMODITY STRATEGY I	I	NO LOAD	OPEN	1600	0	0
MCSOX	MFS	MFS COMMODITY STRATEGY R2	R2	NO LOAD	REDEEM ONLY	1600	0	0
MACIX	MFS	MFS CONSERVATIVE ALLOCATION CL I	I	NO LOAD	OPEN	1600	0	0
MACFX	MFS	MFS CONSERVATIVE ALLOCATION FD CL A	A	LOAD	OPEN	1600	250	1000
MACBX	MFS	MFS CONSERVATIVE ALLOCATION FUND CL B	B	LOAD	EXCH ONLY	1600	250	1000
MACVX	MFS	MFS CONSERVATIVE ALLOCATION FUND CL C	C	LOAD	OPEN	1600	250	1000
MACKX	MFS	MFS CONSERVATIVE ALLOCATION R1	R1	NO LOAD	REDEEM ONLY	1600	0	0
MCARX	MFS	MFS CONSERVATIVE ALLOCATION R2	R2	NO LOAD	REDEEM ONLY	1600	0	0
MACJX	MFS	MFS CONSERVATIVE ALLOCATION R4	R4	NO LOAD	REDEEM ONLY	1600	0	0
MACQX	MFS	MFS CONSERVATIVE ALLOCATION R6	R6	NO LOAD	REDEEM ONLY	1600	0	0
MRGHX	MFS	MFS CORE EQTY R3	R3	NO LOAD	REDEEM ONLY	1600	0	0
MRGJX	MFS	MFS CORE EQTY R4	R4	NO LOAD	REDEEM ONLY	1600	0	0
MRGAX	MFS	MFS CORE EQUITY CL A	A	LOAD	OPEN	1600	250	1000
MRGBX	MFS	MFS CORE EQUITY FD CL B	B	LOAD	EXCH ONLY	1600	250	1000
MRGCX	MFS	MFS CORE EQUITY FD CL C	C	LOAD	OPEN	1600	250	1000
MRGRX	MFS	MFS CORE EQUITY FD CL I	I	NO LOAD	OPEN	1600	0	0
MRGKX	MFS	MFS CORE EQUITY FD CL R6	R6	NO LOAD	REDEEM ONLY	1600	0	0
MRERX	MFS	MFS CORE EQUITY R2	R2	NO LOAD	REDEEM ONLY	1600	0	0
MFBFX	MFS	MFS CORP BOND CL A	A	LOAD	OPEN	1600	250	1000
MFB BX	MFS	MFS CORP BOND CL B	B	LOAD	EXCH ONLY	1600	250	1000
MFB CX	MFS	MFS CORP BOND CL C	C	LOAD	OPEN	1600	250	1000
MBDIX	MFS	MFS CORP BOND CL I	I	NO LOAD	OPEN	1600	0	0
MFBHX	MFS	MFS CORP BOND CL R3	R3	NO LOAD	REDEEM ONLY	1600	0	0
MFBJX	MFS	MFS CORP BOND CL R4	R4	NO LOAD	REDEEM ONLY	1600	0	0
MFBKX	MFS	MFS CORP BOND CL R6	R6	NO LOAD	REDEEM ONLY	1600	0	0
MBRRX	MFS	MFS CORPORATE BOND R2	R2	NO LOAD	REDEEM ONLY	1600	0	0
DIFAX	MFS	MFS DIVERSIFIED INCM A	A	LOAD	OPEN	1600	250	1000
DIFCX	MFS	MFS DIVERSIFIED INCM C	C	LOAD	OPEN	1600	250	1000
DIFFX	MFS	MFS DIVERSIFIED INCM R3	R3	NO LOAD	REDEEM ONLY	1600	0	0
DIFGX	MFS	MFS DIVERSIFIED INCM R4	R4	NO LOAD	REDEEM ONLY	1600	0	0
DIFIX	MFS	MFS DIVERSIFIED INCOME FD CL I	I	NO LOAD	OPEN	1600	0	0
DIFHX	MFS	MFS DIVERSIFIED INCOME FD CL R6	R6	NO LOAD	REDEEM ONLY	1600	0	0
DIFEX	MFS	MFS DIVERSIFIED INCOME R2	R2	NO LOAD	REDEEM ONLY	1600	0	0
EMLCX	MFS	MFS EMERGING MARKETS DEBT LCL CCY C	C	LOAD	OPEN	1600	0	0
EMLKX	MFS	MFS EMERGING MARKETS DEBT LCL CCY R2	R2	NO LOAD	REDEEM ONLY	1600	0	0
MEMFX	MFS	MFS EMERGING MARKETS EQUITY R2	R2	NO LOAD	REDEEM ONLY	1600	0	0
MEMJX	MFS	MFS EMERGING MARKETS EQUITY R6	R6	NO LOAD	REDEEM ONLY	1600	100000	100000
EEMEX	MFS	MFS EMERGING MARKETS EQUITY RESEARCH R2	R2	NO LOAD	REDEEM ONLY	1600	0	0
MEDHX	MFS	MFS EMERGING MKTS DEBT FD CL R6	R6	NO LOAD	REDEEM ONLY	1600	0	0

SYMBOL	FUND FAMILY	FUND DESCRIPTION	SHARE CLASS	FUND TYPE	STATUS	CUT- OFF	IRA MIN	NON-IRA MIN
MEDAX	MFS	MFS EMERGING MKTS DEBT FUND CL A	A	LOAD	OPEN	1600	250	1000
MEDEX	MFS	MFS EMERGING MKTS DEBT R2	R2	NO LOAD	REDEEM ONLY	1600	0	0
MEDFX	MFS	MFS EMERGING MKTS DEBT R3	R3	NO LOAD	REDEEM ONLY	1600	0	0
MEDGX	MFS	MFS EMERGING MKTS DEBT R4	R4	NO LOAD	REDEEM ONLY	1600	0	0
MEMIX	MFS	MFS EMERGING MKTS EQUITY FD CL I	I	NO LOAD	OPEN	1600	0	0
MEMAX	MFS	MFS EMERGING MKTS EQUITY FUND CL A	A	LOAD	OPEN	1600	250	1000
MEMBX	MFS	MFS EMERGING MKTS EQUITY FUND CL B	B	LOAD	EXCH ONLY	1600	250	1000
MEDBX	MFS	MFS EMRG MKTS DEBT B	B	LOAD	EXCH ONLY	1600	250	1000
MEDCX	MFS	MFS EMRG MKTS DEBT C	C	LOAD	OPEN	1600	250	1000
MEDIX	MFS	MFS EMRG MKTS DEBT I	I	NO LOAD	OPEN	1600	0	0
EMLAX	MFS	MFS EMRG MKTS DEBT LOCAL CURRENCY FD A	A	LOAD	OPEN	1600	250	1000
EMLIX	MFS	MFS EMRG MKTS DEBT LOCAL CURRENCY FD I	I	NO LOAD	OPEN	1600	0	0
MEMCX	MFS	MFS EMRG MKTS EQTY C	C	LOAD	OPEN	1600	250	1000
MEMGX	MFS	MFS EMRG MKTS EQTY R3	R3	NO LOAD	REDEEM ONLY	1600	0	0
MEMHX	MFS	MFS EMRG MKTS EQTY R4	R4	NO LOAD	REDEEM ONLY	1600	0	0
EQNAX	MFS	MFS EQTY INCOME FD CL A	A	LOAD	OPEN	1600	250	1000
EQNBX	MFS	MFS EQTY INCOME FD CL B	B	LOAD	EXCH ONLY	1600	250	1000
EQNCX	MFS	MFS EQTY INCOME FD CL C	C	LOAD	OPEN	1600	250	1000
EQNIX	MFS	MFS EQTY INCOME FD CL I	I	NO LOAD	OPEN	1600	0	0
EQNSX	MFS	MFS EQUITY INCOME R2	R2	NO LOAD	REDEEM ONLY	1600	0	0
EQNVX	MFS	MFS EQUITY INCOME R6	R6	NO LOAD	REDEEM ONLY	1600	100000	100000
MBGAX	MFS	MFS GA MUNI BD B	B	LOAD	EXCH ONLY	1600	250	1000
MMGAX	MFS	MFS GA MUNI BOND FD CL A	A	LOAD	OPEN	1600	250	1000
MGATX	MFS	MFS GA MUNICIPAL BOND I	I	NO LOAD	OPEN	1600	0	0
MPGOX	MFS	MFS GA MUNICIPAL BOND R6	R6	NO LOAD	REDEEM ONLY	1600	0	0
DVRBX	MFS	MFS GBL ALTERNATIVE STRAT CL B	B	LOAD	EXCH ONLY	1600	250	1000
DVRCX	MFS	MFS GBL ALTERNATIVE STRAT CL C	C	LOAD	OPEN	1600	250	1000
DVRIX	MFS	MFS GBL ALTERNATIVE STRAT CL I	I	NO LOAD	OPEN	1600	0	0
DVRLX	MFS	MFS GBL ALTERNATIVE STRAT CL R6	R6	NO LOAD	REDEEM ONLY	1600	0	0
MGLAX	MFS	MFS GBL REAL ESTATE FD A	A	LOAD	OPEN	1600	250	1000
MGLDX	MFS	MFS GBL REAL ESTATE FD B	B	LOAD	EXCH ONLY	1600	250	1000
MGLIX	MFS	MFS GBL REAL ESTATE FD I	I	NO LOAD	OPEN	1600	0	0
MGLRX	MFS	MFS GBL REAL ESTATE FD R6	R6	NO LOAD	REDEEM ONLY	1600	0	0
DVRAX	MFS	MFS GLOBAL ALTERNATIVE STRATEGY FD A	A	LOAD	OPEN	1600	250	1000
DVRHX	MFS	MFS GLOBAL ALTERNATIVE STRATEGY R2	R2	NO LOAD	REDEEM ONLY	1600	0	0
MGBAX	MFS	MFS GLOBAL BD FD A	A	LOAD	OPEN	1600	250	1000
MGBBX	MFS	MFS GLOBAL BD FD B	B	LOAD	EXCH ONLY	1600	250	1000
MGBDX	MFS	MFS GLOBAL BOND C	C	LOAD	OPEN	1600	0	0
MGBJX	MFS	MFS GLOBAL BOND I	I	NO LOAD	OPEN	1600	0	0
MWECX	MFS	MFS GLOBAL EQTY C	C	LOAD	OPEN	1600	250	1000
MWEFX	MFS	MFS GLOBAL EQTY FD CL A	A	LOAD	OPEN	1600	250	1000

SYMBOL	FUND FAMILY	FUND DESCRIPTION	SHARE CLASS	FUND TYPE	STATUS	CUT- OFF	IRA MIN	NON-IRA MIN
MWEBX	MFS	MFS GLOBAL EQTY FD CL B	B	LOAD	EXCH ONLY	1600	250	1000
MWEHX	MFS	MFS GLOBAL EQTY FD R3	R3	NO LOAD	REDEEM ONLY	1600	0	0
MWELX	MFS	MFS GLOBAL EQTY R4	R4	NO LOAD	REDEEM ONLY	1600	0	0
MWEIX	MFS	MFS GLOBAL EQUITY FD I	I	NO LOAD	OPEN	1600	0	0
MWEMX	MFS	MFS GLOBAL EQUITY FD R6	R6	NO LOAD	REDEEM ONLY	1600	0	0
MEQRX	MFS	MFS GLOBAL EQUITY R2	R2	NO LOAD	REDEEM ONLY	1600	0	0
MWOFX	MFS	MFS GLOBAL GROWTH FUND CL A	A	LOAD	OPEN	1600	250	1000
MWOBX	MFS	MFS GLOBAL GROWTH FUND CL B	B	LOAD	EXCH ONLY	1600	250	1000
MWOCX	MFS	MFS GLOBAL GROWTH FUND CL C	C	LOAD	OPEN	1600	250	1000
MWOIX	MFS	MFS GLOBAL GROWTH FUND CL I	I	NO LOAD	OPEN	1600	0	0
MWOHX	MFS	MFS GLOBAL GROWTH FUND R3	R3	NO LOAD	REDEEM ONLY	1600	0	0
MWOJX	MFS	MFS GLOBAL GROWTH FUND R4	R4	NO LOAD	REDEEM ONLY	1600	0	0
MGWRX	MFS	MFS GLOBAL GROWTH R2	R2	NO LOAD	REDEEM ONLY	1600	0	0
MWOKX	MFS	MFS GLOBAL GROWTH R6	R6	NO LOAD	REDEEM ONLY	1600	0	0
MHOAX	MFS	MFS GLOBAL HIGH YIELD FD A	A	LOAD	OPEN	1600	250	1000
MHOBX	MFS	MFS GLOBAL HIGH YIELD FD B	B	LOAD	EXCH ONLY	1600	250	1000
MHOCX	MFS	MFS GLOBAL HIGH YIELD FD C	C	LOAD	OPEN	1600	250	1000
MHOIX	MFS	MFS GLOBAL HIGH YIELD FD I	I	NO LOAD	OPEN	1600	0	0
MHOTX	MFS	MFS GLOBAL HIGH YIELD FD R3	R3	NO LOAD	REDEEM ONLY	1600	0	0
MHOUX	MFS	MFS GLOBAL HIGH YIELD FD R4	R4	NO LOAD	REDEEM ONLY	1600	0	0
MHOSX	MFS	MFS GLOBAL HIGH YIELD R2	R2	NO LOAD	REDEEM ONLY	1600	0	0
MHOVX	MFS	MFS GLOBAL HIGH YIELD R6	R6	NO LOAD	REDEEM ONLY	1600	0	0
GLNCX	MFS	MFS GLOBAL NEW DISCOVERY C	C	LOAD	OPEN	1600	0	0
GLNAX	MFS	MFS GLOBAL NEW DISCOVERY FD A	A	LOAD	OPEN	1600	250	1000
GLNBX	MFS	MFS GLOBAL NEW DISCOVERY FD B	B	LOAD	EXCH ONLY	1600	250	1000
GLNIX	MFS	MFS GLOBAL NEW DISCOVERY FD I	I	NO LOAD	OPEN	1600	0	0
GLNKX	MFS	MFS GLOBAL NEW DISCOVERY R2	R2	NO LOAD	REDEEM ONLY	1600	0	0
GLNNX	MFS	MFS GLOBAL NEW DISCOVERY R6	R6	NO LOAD	REDEEM ONLY	1600	100000	100000
MGBLX	MFS	MFS GLOBAL OPPORTUNISTIC BD R2	R2	NO LOAD	REDEEM ONLY	1600	0	0
MGBOX	MFS	MFS GLOBAL OPPORTUNISTIC BD R6	R6	NO LOAD	REDEEM ONLY	1600	0	0
MGLCX	MFS	MFS GLOBAL REAL ESTATE C	C	LOAD	OPEN	1600	0	0
MGLKX	MFS	MFS GLOBAL REAL ESTATE R2	R2	NO LOAD	REDEEM ONLY	1600	0	0
MFWTX	MFS	MFS GLOBAL TOTAL RETURN FUND CL A	A	LOAD	OPEN	1600	250	1000
MFWBX	MFS	MFS GLOBAL TOTAL RETURN FUND CL B	B	LOAD	EXCH ONLY	1600	250	1000
MFWCX	MFS	MFS GLOBAL TOTAL RETURN FUND CL C	C	LOAD	OPEN	1600	250	1000
MFWIX	MFS	MFS GLOBAL TOTAL RETURN I	I	NO LOAD	OPEN	1600	0	0
MGBRX	MFS	MFS GLOBAL TOTAL RETURN R2	R2	NO LOAD	REDEEM ONLY	1600	0	0
MFWHX	MFS	MFS GLOBAL TOTAL RETURN R3	R3	NO LOAD	REDEEM ONLY	1600	0	0
MFWJX	MFS	MFS GLOBAL TOTAL RETURN R4	R4	NO LOAD	REDEEM ONLY	1600	0	0
MFWLX	MFS	MFS GLOBAL TOTAL RETURN R6	R6	NO LOAD	REDEEM ONLY	1600	0	0
MGVSX	MFS	MFS GOVERNMENT SECURITIES R2	R2	NO LOAD	REDEEM ONLY	1600	0	0

SYMBOL	FUND FAMILY	FUND DESCRIPTION	SHARE CLASS	FUND TYPE	STATUS	CUT- OFF	IRA MIN	NON-IRA MIN
MFGKX	MFS	MFS GOVERNMENT SECURITIES R6	R6	NO LOAD	REDEEM ONLY	1600	100000	100000
MFGDX	MFS	MFS GOVT SECURITIES C	C	LOAD	OPEN	1600	250	1000
MFGHX	MFS	MFS GOVT SECURITIES CL R3	R3	NO LOAD	REDEEM ONLY	1600	0	0
MFGJX	MFS	MFS GOVT SECURITIES CL R4	R4	NO LOAD	REDEEM ONLY	1600	0	0
MFGSX	MFS	MFS GOVT SECURITIES FUND CL A	A	LOAD	OPEN	1600	250	1000
MFGBX	MFS	MFS GOVT SECURITIES FUND CL B	B	LOAD	EXCH ONLY	1600	250	1000
MGSIX	MFS	MFS GOVT SECURITIES I	I	NO LOAD	OPEN	1600	0	0
MAGWX	MFS	MFS GROWTH ALLOCATION FUND CL A	A	LOAD	OPEN	1600	250	1000
MBGWX	MFS	MFS GROWTH ALLOCATION FUND CL B	B	LOAD	EXCH ONLY	1600	250	1000
MCGWX	MFS	MFS GROWTH ALLOCATION FUND CL C	C	LOAD	OPEN	1600	250	1000
MGWIX	MFS	MFS GROWTH ALLOCATION I	I	NO LOAD	OPEN	1600	0	0
MGALX	MFS	MFS GROWTH ALLOCATION R2	R2	NO LOAD	REDEEM ONLY	1600	0	0
MAGEX	MFS	MFS GROWTH ALLOCATION R3	R3	NO LOAD	REDEEM ONLY	1600	0	0
MAGJX	MFS	MFS GROWTH ALLOCATION R4	R4	NO LOAD	REDEEM ONLY	1600	0	0
MAGQX	MFS	MFS GROWTH ALLOCATION R6	R6	NO LOAD	REDEEM ONLY	1600	0	0
MFEGX	MFS	MFS GROWTH FD CL A	A	LOAD	OPEN	1600	250	1000
MEGBX	MFS	MFS GROWTH FUND CL B	B	LOAD	EXCH ONLY	1600	250	1000
MFECX	MFS	MFS GROWTH FUND CL C	C	LOAD	OPEN	1600	250	1000
MFEKX	MFS	MFS GROWTH FUND CL R6	R6	NO LOAD	REDEEM ONLY	1600	0	0
MFEIX	MFS	MFS GROWTH I	I	NO LOAD	OPEN	1600	0	0
MEGRX	MFS	MFS GROWTH R2	R2	NO LOAD	REDEEM ONLY	1600	0	0
MFEHX	MFS	MFS GROWTH R3	R3	NO LOAD	REDEEM ONLY	1600	0	0
MFEJX	MFS	MFS GROWTH R4	R4	NO LOAD	REDEEM ONLY	1600	0	0
MHIBX	MFS	MFS HIGH INCOME FD CL B	B	LOAD	EXCH ONLY	1600	250	1000
MHICX	MFS	MFS HIGH INCOME FD CL C	C	LOAD	OPEN	1600	250	1000
MHIIX	MFS	MFS HIGH INCOME FD CL I	I	NO LOAD	OPEN	1600	0	0
MHITX	MFS	MFS HIGH INCOME FUND CL A	A	LOAD	OPEN	1600	250	1000
MIHRX	MFS	MFS HIGH INCOME R2	R2	NO LOAD	REDEEM ONLY	1600	0	0
MHIKX	MFS	MFS HIGH INCOME R6	R6	NO LOAD	REDEEM ONLY	1600	0	0
MFIOX	MFS	MFS INCOME FD CL A	A	LOAD	OPEN	1600	250	1000
MIOBX	MFS	MFS INCOME FD CL B	B	LOAD	EXCH ONLY	1600	250	1000
MIOCX	MFS	MFS INCOME FD CL C	C	LOAD	OPEN	1600	250	1000
MFIIX	MFS	MFS INCOME FD CL I	I	NO LOAD	OPEN	1600	0	0
MFIWX	MFS	MFS INCOME R6	R6	NO LOAD	REDEEM ONLY	1600	100000	100000
MIAAX	MFS	MFS INFLATION ADJUSTED BD A	A	LOAD	OPEN	1600	250	1000
MIABX	MFS	MFS INFLATION ADJUSTED BD B	B	LOAD	EXCH ONLY	1600	250	1000
MIACX	MFS	MFS INFLATION ADJUSTED BD C	C	LOAD	OPEN	1600	250	1000
MIAIX	MFS	MFS INFLATION ADJUSTED BD I	I	NO LOAD	OPEN	1600	0	0
MIAHX	MFS	MFS INFLATION ADJUSTED BD R3	R3	NO LOAD	REDEEM ONLY	1600	0	0
MIATX	MFS	MFS INFLATIONADJUSTED BOND R2	R2	NO LOAD	REDEEM ONLY	1600	0	0
MIAKX	MFS	MFS INFLATIONADJUSTED BOND R6	R6	NO LOAD	REDEEM ONLY	1600	100000	100000

SYMBOL	FUND FAMILY	FUND DESCRIPTION	SHARE CLASS	FUND TYPE	STATUS	CUT-OFF	IRA MIN	NON-IRA MIN
MIEIX	MFS	MFS INSTL INTL EQUITY	R6	NO LOAD	REDEEM ONLY	1600	0	0
MDIJX	MFS	MFS INTERNATIONAL DIVERSIFICATION I	I	NO LOAD	OPEN	1600	0	0
MIEJX	MFS	MFS INTERNATIONAL EQUITY A	A	LOAD	OPEN	1600	0	0
MIKEX	MFS	MFS INTERNATIONAL EQUITY I	I	NO LOAD	OPEN	1600	0	0
MGRAX	MFS	MFS INTERNATIONAL GROWTH FUND CL A	A	LOAD	OPEN	1600	250	1000
MGRBX	MFS	MFS INTERNATIONAL GROWTH FUND CL B	B	LOAD	EXCH ONLY	1600	250	1000
MGRCX	MFS	MFS INTERNATIONAL GROWTH FUND CL C	C	LOAD	OPEN	1600	250	1000
MGRQX	MFS	MFS INTERNATIONAL GROWTH R2	R2	NO LOAD	REDEEM ONLY	1600	0	0
MGIAX	MFS	MFS INTERNATIONAL INTRINSIC VALUE FD CL A	A	LOAD	SUBS ONLY	1600	250	1000
MGIBX	MFS	MFS INTERNATIONAL INTRINSIC VALUE FD CL B	B	LOAD	EXCH ONLY	1600	250	1000
MGICX	MFS	MFS INTERNATIONAL INTRINSIC VALUE FD CL C	C	LOAD	SUBS ONLY	1600	250	1000
MINIX	MFS	MFS INTERNATIONAL INTRINSIC VALUE FD CL I	I	NO LOAD	SUBS ONLY	1600	0	0
MINGX	MFS	MFS INTERNATIONAL INTRINSIC VALUE FD CL R3	R3	NO LOAD	REDEEM ONLY	1600	0	0
MINHX	MFS	MFS INTERNATIONAL INTRINSIC VALUE FD CL R4	R4	NO LOAD	REDEEM ONLY	1600	0	0
MINJX	MFS	MFS INTERNATIONAL INTRINSIC VALUE FD CL R6	R6	NO LOAD	REDEEM ONLY	1600	0	0
MINRX	MFS	MFS INTERNATIONAL INTRINSIC VALUE R1	R1	NO LOAD	REDEEM ONLY	1600	0	0
MINFX	MFS	MFS INTERNATIONAL INTRINSIC VALUE R2	R2	NO LOAD	REDEEM ONLY	1600	0	0
MKVBX	MFS	MFS INTERNATIONAL LARGE CAP VALUE A	A	LOAD	OPEN	1600	100	100
MKVEX	MFS	MFS INTERNATIONAL LARGE CAP VALUE R2	R2	NO LOAD	REDEEM ONLY	1600	0	0
MIDBX	MFS	MFS INTERNATIONAL NEW DISCOVERY CL B	B	LOAD	EXCH ONLY	1600	250	1000
MIDCX	MFS	MFS INTERNATIONAL NEW DISCOVERY CL C	C	LOAD	OPEN	1600	250	1000
MIDRX	MFS	MFS INTERNATIONAL NEW DISCOVERY R2	R2	NO LOAD	REDEEM ONLY	1600	0	0
MDIDX	MFS	MFS INTL DIVERSIFICATION A	A	LOAD	OPEN	1600	250	1000
MDIFX	MFS	MFS INTL DIVERSIFICATION CL B	B	LOAD	EXCH ONLY	1600	250	1000
MDIGX	MFS	MFS INTL DIVERSIFICATION CL C	C	LOAD	OPEN	1600	250	1000
MDIZX	MFS	MFS INTL DIVERSIFICATION CL R6	R6	NO LOAD	REDEEM ONLY	1600	0	0
MDIKX	MFS	MFS INTL DIVERSIFICATION R2	R2	NO LOAD	REDEEM ONLY	1600	0	0
MDIHX	MFS	MFS INTL DIVERSIFICATION R3	R3	NO LOAD	REDEEM ONLY	1600	0	0
MDITX	MFS	MFS INTL DIVERSIFICATION R4	R4	NO LOAD	REDEEM ONLY	1600	0	0
MGRDX	MFS	MFS INTL GROWTH CL R6	R6	NO LOAD	REDEEM ONLY	1600	0	0
MQGIX	MFS	MFS INTL GROWTH I	I	NO LOAD	OPEN	1600	0	0
MGRTX	MFS	MFS INTL GROWTH R3	R3	NO LOAD	REDEEM ONLY	1600	0	0
MGRVX	MFS	MFS INTL GROWTH R4	R4	NO LOAD	REDEEM ONLY	1600	0	0
MIDAX	MFS	MFS INTL NEW DISCOVERY CL A	A	LOAD	SUBS ONLY	1600	250	1000
MWNIX	MFS	MFS INTL NEW DISCOVERY I	I	NO LOAD	SUBS ONLY	1600	0	0
MIDHX	MFS	MFS INTL NEW DISCOVERY R3	R3	NO LOAD	REDEEM ONLY	1600	0	0
MIDJX	MFS	MFS INTL NEW DISCOVERY R4	R4	NO LOAD	REDEEM ONLY	1600	0	0
MIDLX	MFS	MFS INTL NEW DISCOVERY R6	R6	NO LOAD	REDEEM ONLY	1600	0	0
LTTAX	MFS	MFS LIFETIME 2025 A	A	LOAD	OPEN	1600	250	1000
LTTBX	MFS	MFS LIFETIME 2025 B	B	LOAD	EXCH ONLY	1600	0	0
LTTXC	MFS	MFS LIFETIME 2025 C	C	LOAD	OPEN	1600	0	0

SYMBOL	FUND FAMILY	FUND DESCRIPTION	SHARE CLASS	FUND TYPE	STATUS	CUT- OFF	IRA MIN	NON-IRA MIN
LTTIX	MFS	MFS LIFETIME 2025 I	I	NO LOAD	OPEN	1600	0	0
LTTSX	MFS	MFS LIFETIME 2025 R2	R2	NO LOAD	REDEEM ONLY	1600	0	0
LTTUX	MFS	MFS LIFETIME 2025 R4	R4	NO LOAD	REDEEM ONLY	1600	0	0
LTTKX	MFS	MFS LIFETIME 2025 R6	R6	NO LOAD	REDEEM ONLY	1600	0	0
MLTAX	MFS	MFS LIFETIME 2030 A	A	LOAD	OPEN	1600	250	1000
MLTBX	MFS	MFS LIFETIME 2030 B	B	LOAD	EXCH ONLY	1600	250	1000
MLTCX	MFS	MFS LIFETIME 2030 C	C	LOAD	OPEN	1600	250	1000
MLTIX	MFS	MFS LIFETIME 2030 I	I	NO LOAD	OPEN	1600	0	0
MLTGX	MFS	MFS LIFETIME 2030 R2	R2	NO LOAD	REDEEM ONLY	1600	0	0
MLTJX	MFS	MFS LIFETIME 2030 R4	R4	NO LOAD	REDEEM ONLY	1600	0	0
MLTKX	MFS	MFS LIFETIME 2030 R6	R6	NO LOAD	REDEEM ONLY	1600	0	0
LFEAX	MFS	MFS LIFETIME 2035 A	A	LOAD	OPEN	1600	1000	2500
LFECX	MFS	MFS LIFETIME 2035 C	C	LOAD	OPEN	1600	0	0
LFEDX	MFS	MFS LIFETIME 2035 I	I	NO LOAD	OPEN	1600	0	0
LFESX	MFS	MFS LIFETIME 2035 R2	R2	NO LOAD	REDEEM ONLY	1600	0	0
LFEUX	MFS	MFS LIFETIME 2035 R4	R4	NO LOAD	REDEEM ONLY	1600	0	0
LFEKX	MFS	MFS LIFETIME 2035 R6	R6	NO LOAD	REDEEM ONLY	1600	0	0
MLFAX	MFS	MFS LIFETIME 2040 A	A	LOAD	OPEN	1600	250	1000
MLFBX	MFS	MFS LIFETIME 2040 B	B	LOAD	EXCH ONLY	1600	250	1000
MLFCX	MFS	MFS LIFETIME 2040 C	C	LOAD	OPEN	1600	250	1000
MLFIX	MFS	MFS LIFETIME 2040 I	I	NO LOAD	OPEN	1600	0	0
MLFGX	MFS	MFS LIFETIME 2040 R2	R2	NO LOAD	REDEEM ONLY	1600	0	0
MLFJX	MFS	MFS LIFETIME 2040 R4	R4	NO LOAD	REDEEM ONLY	1600	0	0
MLFKX	MFS	MFS LIFETIME 2040 R6	R6	NO LOAD	REDEEM ONLY	1600	0	0
LTMAX	MFS	MFS LIFETIME 2045 A	A	LOAD	OPEN	1600	250	1000
LTMDX	MFS	MFS LIFETIME 2045 C	C	LOAD	OPEN	1600	0	0
LTMSX	MFS	MFS LIFETIME 2045 R2	R2	NO LOAD	REDEEM ONLY	1600	0	0
LTMUX	MFS	MFS LIFETIME 2045 R4	R4	NO LOAD	REDEEM ONLY	1600	0	0
LTMLX	MFS	MFS LIFETIME 2045 R6	R6	NO LOAD	REDEEM ONLY	1600	0	0
MFFSX	MFS	MFS LIFETIME 2050 A	A	LOAD	OPEN	1600	250	1000
MFFDX	MFS	MFS LIFETIME 2050 C	C	LOAD	OPEN	1600	0	0
MFFIX	MFS	MFS LIFETIME 2050 I	I	NO LOAD	OPEN	1600	0	0
MFFNX	MFS	MFS LIFETIME 2050 R2	R2	NO LOAD	REDEEM ONLY	1600	0	0
MFFPX	MFS	MFS LIFETIME 2050 R4	R4	NO LOAD	REDEEM ONLY	1600	0	0
MFFKX	MFS	MFS LIFETIME 2050 R6	R6	NO LOAD	REDEEM ONLY	1600	0	0
LFIAX	MFS	MFS LIFETIME 2055 A	A	LOAD	OPEN	1600	1000	2500
LFICX	MFS	MFS LIFETIME 2055 C	C	LOAD	OPEN	1600	0	0
LFIIIX	MFS	MFS LIFETIME 2055 I	I	NO LOAD	OPEN	1600	0	0
LFISX	MFS	MFS LIFETIME 2055 R2	R2	NO LOAD	REDEEM ONLY	1600	0	0
LFIUX	MFS	MFS LIFETIME 2055 R4	R4	NO LOAD	REDEEM ONLY	1600	0	0
LFIKX	MFS	MFS LIFETIME 2055 R6	R6	NO LOAD	REDEEM ONLY	1600	0	0

SYMBOL	FUND FAMILY	FUND DESCRIPTION	SHARE CLASS	FUND TYPE	STATUS	CUT- OFF	IRA MIN	NON-IRA MIN
MFJGX	MFS	MFS LIFETIME 2060 R2	R2	NO LOAD	REDEEM ONLY	1600	0	0
MFJUX	MFS	MFS LIFETIME 2060 R4	R4	NO LOAD	REDEEM ONLY	1600	0	0
MFJKX	MFS	MFS LIFETIME 2060 R6	R6	NO LOAD	REDEEM ONLY	1600	0	0
LFTMX	MFS	MFS LIFETIME 2065 R4	R4	NO LOAD	REDEEM ONLY	1600	0	0
LFTNX	MFS	MFS LIFETIME 2065 R6	R6	NO LOAD	REDEEM ONLY	1600	0	0
MLLAX	MFS	MFS LIFETIME INCOME FD A	A	LOAD	OPEN	1600	250	1000
MLLBX	MFS	MFS LIFETIME INCOME FD B	B	LOAD	EXCH ONLY	1600	250	1000
MLLCX	MFS	MFS LIFETIME INCOME FD C	C	LOAD	OPEN	1600	250	1000
MLLIX	MFS	MFS LIFETIME INCOME FD I	I	NO LOAD	OPEN	1600	0	0
MLLKX	MFS	MFS LIFETIME INCOME FD R6	R6	NO LOAD	REDEEM ONLY	1600	0	0
MLLGX	MFS	MFS LIFETIME INCOME R2	R2	NO LOAD	REDEEM ONLY	1600	0	0
MLLJX	MFS	MFS LIFETIME INCOME R4	R4	NO LOAD	REDEEM ONLY	1600	0	0
MQLFX	MFS	MFS LIMITED MATURITY FUND CL A	A	LOAD	OPEN	1600	250	1000
MQLCX	MFS	MFS LIMITED MATURITY FUND CL C	C	LOAD	OPEN	1600	250	1000
MQLIX	MFS	MFS LIMITED MATURITY FUND CL I	I	NO LOAD	OPEN	1600	0	0
MLMRX	MFS	MFS LIMITED MATURITY R2	R2	NO LOAD	REDEEM ONLY	1600	0	0
MQLKX	MFS	MFS LIMITED MATURITY R6	R6	NO LOAD	REDEEM ONLY	1600	100000	100000
MLVAX	MFS	MFS LOW VOLATILITY EQTY FD A	A	LOAD	OPEN	1600	250	1000
MLVBX	MFS	MFS LOW VOLATILITY EQTY FD B	B	LOAD	EXCH ONLY	1600	250	1000
MLVHX	MFS	MFS LOW VOLATILITY EQTY FD I	I	NO LOAD	OPEN	1600	0	0
MLVGX	MFS	MFS LOW VOLATILITY EQUITY C	C	LOAD	OPEN	1600	0	0
MLVOX	MFS	MFS LOW VOLATILITY EQUITY R2	R2	NO LOAD	REDEEM ONLY	1600	0	0
MLVTX	MFS	MFS LOW VOLATILITY EQUITY R6	R6	NO LOAD	REDEEM ONLY	1600	100000	100000
MVGAX	MFS	MFS LOW VOLATILITY GLBL EQTY A	A	LOAD	OPEN	1600	250	1000
MVGBX	MFS	MFS LOW VOLATILITY GLBL EQTY B	B	LOAD	EXCH ONLY	1600	250	1000
MVGIX	MFS	MFS LOW VOLATILITY GLBL EQTY I	I	NO LOAD	OPEN	1600	0	0
MVGNX	MFS	MFS LOW VOLATILITY GLBL EQTY R6	R6	NO LOAD	REDEEM ONLY	1600	0	0
MVGCX	MFS	MFS LOW VOLATILITY GLOBAL EQUITY C	C	LOAD	OPEN	1600	0	0
MVGKX	MFS	MFS LOW VOLATILITY GLOBAL EQUITY R2	R2	NO LOAD	REDEEM ONLY	1600	0	0
MQLBX	MFS	MFS LTD MATURITY B	B	LOAD	REDEEM ONLY	1600	250	1000
MIGHX	MFS	MFS MA INVESTORS GR STK R3	R3	NO LOAD	REDEEM ONLY	1600	0	0
MIGKX	MFS	MFS MA INVESTORS GR STK R4	R4	NO LOAD	REDEEM ONLY	1600	0	0
MBMAX	MFS	MFS MA MUNI BD B	B	LOAD	EXCH ONLY	1600	250	1000
MFSSX	MFS	MFS MA MUNI BOND FD CL A	A	LOAD	OPEN	1600	250	1000
MTALX	MFS	MFS MA MUNICIPAL BOND I	I	NO LOAD	OPEN	1600	0	0
MNWAX	MFS	MFS MANAGED WEALTH A	A	LOAD	OPEN	1600	100	100
MNWIX	MFS	MFS MANAGED WEALTH I	I	NO LOAD	OPEN	1600	0	0
MNWSX	MFS	MFS MANAGED WEALTH R2	R2	NO LOAD	REDEEM ONLY	1600	0	0
MIGNX	MFS	MFS MASS INV GROWTH STOCK R6	R6	NO LOAD	REDEEM ONLY	1600	0	0
MITIX	MFS	MFS MASS INV TRUST CL I	I	NO LOAD	OPEN	1600	0	0
MITHX	MFS	MFS MASS INV TRUST CL R3	R3	NO LOAD	REDEEM ONLY	1600	0	0

SYMBOL	FUND FAMILY	FUND DESCRIPTION	SHARE CLASS	FUND TYPE	STATUS	CUT- OFF	IRA MIN	NON-IRA MIN
MITDX	MFS	MFS MASS INV TRUST CL R4	R4	NO LOAD	REDEEM ONLY	1600	0	0
MITJX	MFS	MFS MASS INV TRUST CL R6	R6	NO LOAD	REDEEM ONLY	1600	0	0
MIGDX	MFS	MFS MASS INVESTORS GROWTH STOCK CL C	C	LOAD	OPEN	1600	250	1000
MIGFX	MFS	MFS MASS INVESTORS GROWTH STOCK FD CL A	A	LOAD	OPEN	1600	250	1000
MIGBX	MFS	MFS MASS INVESTORS GROWTH STOCK FUND CL B	B	LOAD	EXCH ONLY	1600	250	1000
MITTX	MFS	MFS MASS INVESTORS TRUST CL A	A	LOAD	OPEN	1600	250	1000
MITBX	MFS	MFS MASS INVESTORS TRUST CL B	B	LOAD	EXCH ONLY	1600	250	1000
MITCX	MFS	MFS MASS INVESTORS TRUST CL C	C	LOAD	OPEN	1600	250	1000
MIRGX	MFS	MFS MASSACHUSETTS INV GR STK R2	R2	NO LOAD	REDEEM ONLY	1600	0	0
MGTIX	MFS	MFS MASSACHUSETTS INVESTORS GR STK I	I	NO LOAD	OPEN	1600	0	0
MIRTX	MFS	MFS MASSACHUSETTS INVESTORS TR R2	R2	NO LOAD	REDEEM ONLY	1600	0	0
MBMDX	MFS	MFS MD MUNI BD B	B	LOAD	EXCH ONLY	1600	250	1000
MFSMX	MFS	MFS MD MUNI BOND FD CL A	A	LOAD	OPEN	1600	250	1000
MMDIX	MFS	MFS MD MUNI BOND FD CL I	I	NO LOAD	OPEN	1600	0	0
MPMDX	MFS	MFS MD MUNICIPAL BOND R6	R6	NO LOAD	REDEEM ONLY	1600	0	0
OTCCX	MFS	MFS MID CAP GROWTH CL C	C	LOAD	OPEN	1600	250	1000
OTCIX	MFS	MFS MID CAP GROWTH CL I	I	NO LOAD	OPEN	1600	0	0
OTCHX	MFS	MFS MID CAP GROWTH CL R3	R3	NO LOAD	REDEEM ONLY	1600	0	0
OTCJX	MFS	MFS MID CAP GROWTH CL R4	R4	NO LOAD	REDEEM ONLY	1600	0	0
OTCKX	MFS	MFS MID CAP GROWTH CL R6	R6	NO LOAD	REDEEM ONLY	1600	0	0
OTCAX	MFS	MFS MID CAP GROWTH FUND CL A	A	LOAD	OPEN	1600	250	1000
OTCBX	MFS	MFS MID CAP GROWTH FUND CL B	B	LOAD	EXCH ONLY	1600	250	1000
MCPRX	MFS	MFS MID CAP GROWTH R2	R2	NO LOAD	REDEEM ONLY	1600	0	0
MCBVX	MFS	MFS MID CAP VALUE B	B	LOAD	EXCH ONLY	1600	250	1000
MVCCX	MFS	MFS MID CAP VALUE C	C	LOAD	OPEN	1600	250	1000
MCVIX	MFS	MFS MID CAP VALUE FD CL I	I	NO LOAD	OPEN	1600	0	0
MCVRX	MFS	MFS MID CAP VALUE FD CL R2	R2	NO LOAD	REDEEM ONLY	1600	0	0
MVCHX	MFS	MFS MID CAP VALUE FD CL R3	R3	NO LOAD	REDEEM ONLY	1600	0	0
MVCJX	MFS	MFS MID CAP VALUE FD CL R4	R4	NO LOAD	REDEEM ONLY	1600	0	0
MVCKX	MFS	MFS MID CAP VALUE FD CL R6	R6	NO LOAD	REDEEM ONLY	1600	0	0
MVCAX	MFS	MFS MID CAP VALUE FUND CL A	A	LOAD	OPEN	1600	250	1000
MAMAX	MFS	MFS MODERATE ALLOCATION FUND CL A	A	LOAD	OPEN	1600	250	1000
MMABX	MFS	MFS MODERATE ALLOCATION FUND CL B	B	LOAD	EXCH ONLY	1600	250	1000
MMACX	MFS	MFS MODERATE ALLOCATION FUND CL C	C	LOAD	OPEN	1600	250	1000
MMAIX	MFS	MFS MODERATE ALLOCATION FUND CL I	I	NO LOAD	OPEN	1600	0	0
MAMHX	MFS	MFS MODERATE ALLOCATION FUND CL R3	R3	NO LOAD	REDEEM ONLY	1600	0	0
MARRX	MFS	MFS MODERATE ALLOCATION R2	R2	NO LOAD	REDEEM ONLY	1600	0	0
MAMJX	MFS	MFS MODERATE ALLOCATION R4	R4	NO LOAD	REDEEM ONLY	1600	0	0
MAMPX	MFS	MFS MODERATE ALLOCATION R6	R6	NO LOAD	REDEEM ONLY	1600	0	0
MISSX	MFS	MFS MS MUNI BOND FD CL A	A	LOAD	OPEN	1600	250	1000
MBMSX	MFS	MFS MS MUNI FD CL B	B	LOAD	EXCH ONLY	1600	250	1000

SYMBOL	FUND FAMILY	FUND DESCRIPTION	SHARE CLASS	FUND TYPE	STATUS	CUT- OFF	IRA MIN	NON-IRA MIN
MMSTX	MFS	MFS MS MUNICIPAL BOND I	I	NO LOAD	OPEN	1600	0	0
MPMSX	MFS	MFS MS MUNICIPAL BOND R6	R6	NO LOAD	REDEEM ONLY	1600	0	0
MMHYX	MFS	MFS MUNI HIGH INCOME FD CL A	A	LOAD	OPEN	1600	250	1000
MMHBX	MFS	MFS MUNI HIGH INCOME FD CL B	B	LOAD	EXCH ONLY	1600	250	1000
MMHCX	MFS	MFS MUNI HIGH INCOME FD CL C	C	LOAD	OPEN	1600	250	1000
MMIIX	MFS	MFS MUNI HIGH INCOME FD CL I	I	NO LOAD	OPEN	1600	0	0
MFIAX	MFS	MFS MUNI INCOME FD CL A	A	LOAD	OPEN	1600	250	1000
MMIDX	MFS	MFS MUNI INCOME FD CL A1	A1	LOAD	SUBS ONLY	1600	0	0
MMIBX	MFS	MFS MUNI INCOME FD CL B	B	LOAD	EXCH ONLY	1600	250	1000
MMIGX	MFS	MFS MUNI INCOME FD CL B1	B1	LOAD	EXCH ONLY	1600	0	0
MMICX	MFS	MFS MUNI INCOME FD CL C	C	LOAD	OPEN	1600	250	1000
MIMIX	MFS	MFS MUNI INCOME FD CL I	I	NO LOAD	OPEN	1600	0	0
MTLBX	MFS	MFS MUNI LTD MATURITY FD CL B	B	LOAD	REDEEM ONLY	1600	250	1000
MTLCX	MFS	MFS MUNI LTD MATURITY FD CL C	C	LOAD	OPEN	1600	250	1000
MTLIX	MFS	MFS MUNI LTD MATURITY FD CL I	I	NO LOAD	OPEN	1600	0	0
MMHKX	MFS	MFS MUNICIPAL HIGH INCOME R6	R6	NO LOAD	REDEEM ONLY	1600	0	0
MPMNX	MFS	MFS MUNICIPAL INCOME R6	R6	NO LOAD	REDEEM ONLY	1600	100000	100000
MIUAX	MFS	MFS MUNICIPAL INTERMEDIATE A	A	LOAD	OPEN	1600	0	0
MIUIX	MFS	MFS MUNICIPAL INTERMEDIATE I	I	NO LOAD	OPEN	1600	0	0
MIURX	MFS	MFS MUNICIPAL INTERMEDIATE R6	R6	NO LOAD	REDEEM ONLY	1600	0	0
MTLFX	MFS	MFS MUNICIPAL LIMITED MATURITY FUND CL A	A	LOAD	OPEN	1600	250	1000
MTLRX	MFS	MFS MUNICIPAL LIMITED MATURITY R6	R6	NO LOAD	REDEEM ONLY	1600	100000	100000
MBNCX	MFS	MFS NC MUNI BD B	B	LOAD	EXCH ONLY	1600	250	1000
MCNCX	MFS	MFS NC MUNI BD C	C	LOAD	OPEN	1600	250	1000
MNCLX	MFS	MFS NC MUNI BOND FD CL I	I	NO LOAD	OPEN	1600	0	0
MSNCX	MFS	MFS NC MUNI BOND FUND CL A	A	LOAD	OPEN	1600	250	1000
MPNCX	MFS	MFS NC MUNICIPAL BOND R6	R6	NO LOAD	REDEEM ONLY	1600	100000	100000
MNDKX	MFS	MFS NEW DISCOVERY FD CL R6	R6	NO LOAD	REDEEM ONLY	1600	0	0
MNDHX	MFS	MFS NEW DISCOVERY FD R3	R3	NO LOAD	REDEEM ONLY	1600	0	0
MNDBX	MFS	MFS NEW DISCOVERY FUND CL B	B	LOAD	EXCH ONLY	1600	250	1000
MNDCX	MFS	MFS NEW DISCOVERY FUND CL C	C	LOAD	OPEN	1600	250	1000
MNDAX	MFS	MFS NEW DISCOVERY FUND CLASS A	A	LOAD	OPEN	1600	250	1000
MNDIX	MFS	MFS NEW DISCOVERY I	I	NO LOAD	OPEN	1600	0	0
MNDRX	MFS	MFS NEW DISCOVERY R2	R2	NO LOAD	REDEEM ONLY	1600	0	0
MNDJX	MFS	MFS NEW DISCOVERY R4	R4	NO LOAD	REDEEM ONLY	1600	0	0
NDVAX	MFS	MFS NEW DISCOVERY VALUE FD A	A	LOAD	SUBS ONLY	1600	250	1000
NDVBX	MFS	MFS NEW DISCOVERY VALUE FD B	B	LOAD	EXCH ONLY	1600	250	1000
NDVCX	MFS	MFS NEW DISCOVERY VALUE FD C	C	LOAD	OPEN	1600	250	1000
NDVIX	MFS	MFS NEW DISCOVERY VALUE FD I	I	NO LOAD	SUBS ONLY	1600	0	0
NDVTX	MFS	MFS NEW DISCOVERY VALUE FD R3	R3	NO LOAD	REDEEM ONLY	1600	0	0
NDVUX	MFS	MFS NEW DISCOVERY VALUE FD R4	R4	NO LOAD	REDEEM ONLY	1600	0	0

SYMBOL	FUND FAMILY	FUND DESCRIPTION	SHARE CLASS	FUND TYPE	STATUS	CUT- OFF	IRA MIN	NON-IRA MIN
NDVVX	MFS	MFS NEW DISCOVERY VALUE FD R6	R6	NO LOAD	REDEEM ONLY	1600	0	0
NDVSX	MFS	MFS NEW DISCOVERY VALUE R2	R2	NO LOAD	REDEEM ONLY	1600	0	0
MBNYX	MFS	MFS NY MUNI BD B	B	LOAD	EXCH ONLY	1600	250	1000
MCNYX	MFS	MFS NY MUNI BD C	C	LOAD	OPEN	1600	250	1000
MSNYX	MFS	MFS NY MUNI BOND FUND CL A	A	LOAD	OPEN	1600	250	1000
MNYLX	MFS	MFS NY MUNICIPAL BOND I	I	NO LOAD	OPEN	1600	0	0
MBPAX	MFS	MFS PA MUNI BOND FD CL B	B	LOAD	EXCH ONLY	1600	250	1000
MPALX	MFS	MFS PA MUNI BOND FD CL I	I	NO LOAD	OPEN	1600	0	0
MFPAX	MFS	MFS PA MUNI BOND FUND CL A	A	LOAD	OPEN	1600	250	1000
MPPAX	MFS	MFS PA MUNICIPAL BOND R6	R6	NO LOAD	REDEEM ONLY	1600	0	0
FPPAX	MFS	MFS PRUDENT INVESTOR A	A	LOAD	OPEN	1600	100	100
FPPJX	MFS	MFS PRUDENT INVESTOR I	I	NO LOAD	OPEN	1600	0	0
FPPSX	MFS	MFS PRUDENT INVESTOR R2	R2	NO LOAD	REDEEM ONLY	1600	0	0
MFRHX	MFS	MFS RESEARCH FD R3	R3	NO LOAD	REDEEM ONLY	1600	0	0
MFRFX	MFS	MFS RESEARCH FUND CL A	A	LOAD	OPEN	1600	250	1000
MFRBX	MFS	MFS RESEARCH FUND CL B	B	LOAD	EXCH ONLY	1600	250	1000
MFRCX	MFS	MFS RESEARCH FUND CL C	C	LOAD	OPEN	1600	250	1000
MRFIX	MFS	MFS RESEARCH I	I	NO LOAD	OPEN	1600	0	0
MRSRX	MFS	MFS RESEARCH INTL CL R2	R2	NO LOAD	REDEEM ONLY	1600	0	0
MRSKX	MFS	MFS RESEARCH INTL CL R6	R6	NO LOAD	REDEEM ONLY	1600	0	0
MRSAX	MFS	MFS RESEARCH INTL FUND CL A	A	LOAD	OPEN	1600	250	1000
MRIBX	MFS	MFS RESEARCH INTL FUND CL B	B	LOAD	EXCH ONLY	1600	250	1000
MRICX	MFS	MFS RESEARCH INTL FUND CL C	C	LOAD	OPEN	1600	250	1000
MRSIX	MFS	MFS RESEARCH INTL I	I	NO LOAD	OPEN	1600	0	0
MRSHX	MFS	MFS RESEARCH INTL R3	R3	NO LOAD	REDEEM ONLY	1600	0	0
MRSJX	MFS	MFS RESEARCH INTL R4	R4	NO LOAD	REDEEM ONLY	1600	0	0
MSRRX	MFS	MFS RESEARCH R2	R2	NO LOAD	REDEEM ONLY	1600	0	0
MFRJX	MFS	MFS RESEARCH R4	R4	NO LOAD	REDEEM ONLY	1600	0	0
MFRKX	MFS	MFS RESEARCH R6	R6	NO LOAD	REDEEM ONLY	1600	0	0
MBSCX	MFS	MFS SC MUNI BD B	B	LOAD	EXCH ONLY	1600	250	1000
MTSCX	MFS	MFS SC MUNI BOND FD CL I	I	NO LOAD	OPEN	1600	0	0
MFSCX	MFS	MFS SC MUNI BOND FUND CL A	A	LOAD	OPEN	1600	250	1000
MPOCX	MFS	MFS SC MUNICIPAL BOND R6	R6	NO LOAD	REDEEM ONLY	1600	0	0
MTCBX	MFS	MFS TECH B	B	LOAD	EXCH ONLY	1600	250	1000
MTCCX	MFS	MFS TECH C	C	LOAD	OPEN	1600	250	1000
MTCIX	MFS	MFS TECHNOLOGY FD CL I	I	NO LOAD	OPEN	1600	0	0
MTERX	MFS	MFS TECHNOLOGY FD CL R2	R2	NO LOAD	REDEEM ONLY	1600	0	0
MTCLX	MFS	MFS TECHNOLOGY FD CL R6	R6	NO LOAD	REDEEM ONLY	1600	0	0
MTCAX	MFS	MFS TECHNOLOGY FUND CL A	A	LOAD	OPEN	1600	250	1000
MRBFX	MFS	MFS TOTAL RETURN BOND CL A	A	LOAD	OPEN	1600	250	1000
MRBBX	MFS	MFS TOTAL RETURN BOND CL B	B	LOAD	EXCH ONLY	1600	250	1000

SYMBOL	FUND FAMILY	FUND DESCRIPTION	SHARE CLASS	FUND TYPE	STATUS	CUT- OFF	IRA MIN	NON-IRA MIN
MRBCX	MFS	MFS TOTAL RETURN BOND CL C	C	LOAD	OPEN	1600	250	1000
MRBIX	MFS	MFS TOTAL RETURN BOND CL I	I	NO LOAD	OPEN	1600	0	0
MRBHX	MFS	MFS TOTAL RETURN BOND CL R3	R3	NO LOAD	REDEEM ONLY	1600	0	0
MRBJX	MFS	MFS TOTAL RETURN BOND CL R4	R4	NO LOAD	REDEEM ONLY	1600	0	0
MRBKX	MFS	MFS TOTAL RETURN BOND CL R6	R6	NO LOAD	REDEEM ONLY	1600	0	0
MRRRX	MFS	MFS TOTAL RETURN BOND R2	R2	NO LOAD	REDEEM ONLY	1600	0	0
MTRRX	MFS	MFS TOTAL RETURN CL R2	R2	NO LOAD	REDEEM ONLY	1600	0	0
MSFKX	MFS	MFS TOTAL RETURN CL R6	R6	NO LOAD	REDEEM ONLY	1600	0	0
MTRBX	MFS	MFS TOTAL RETURN FD CL B	B	LOAD	EXCH ONLY	1600	250	1000
MSFRX	MFS	MFS TOTAL RETURN FUND CL A	A	LOAD	OPEN	1600	250	1000
MTRCX	MFS	MFS TOTAL RETURN FUND CL C	C	LOAD	OPEN	1600	250	1000
MTRIX	MFS	MFS TOTAL RETURN I	I	NO LOAD	OPEN	1600	0	0
MSFHX	MFS	MFS TOTAL RETURN R3	R3	NO LOAD	REDEEM ONLY	1600	0	0
MSFJX	MFS	MFS TOTAL RETURN R4	R4	NO LOAD	REDEEM ONLY	1600	0	0
MSRXX	MFS	MFS U.S. GOVERNMENT CASH RESERVE FD A	A	LOAD	REDEEM ONLY	1600	250	1000
MCRXX	MFS	MFS U.S. GOVERNMENT CASH RESERVE FD B	B	LOAD	REDEEM ONLY	1600	250	1000
MCCXX	MFS	MFS U.S. GOVERNMENT CASH RESERVE FD C	C	LOAD	REDEEM ONLY	1600	250	1000
CRHXX	MFS	MFS US GOVERNMENT CASH RSRV I	I	NO LOAD	OPEN	1600	0	0
MCMXX	MFS	MFS US GOVT MONEY MARKET	NO LOAD	LOAD	REDEEM ONLY	1600	250	1000
MMUFX	MFS	MFS UTILITIES FUND CL A	A	LOAD	OPEN	1600	250	1000
MMUBX	MFS	MFS UTILITIES FUND CL B	B	LOAD	EXCH ONLY	1600	250	1000
MMUCX	MFS	MFS UTILITIES FUND CL C	C	LOAD	OPEN	1600	250	1000
MMUHX	MFS	MFS UTILITIES FUND CL R3	R3	NO LOAD	REDEEM ONLY	1600	0	0
MMUJX	MFS	MFS UTILITIES FUND CL R4	R4	NO LOAD	REDEEM ONLY	1600	0	0
MMUKX	MFS	MFS UTILITIES FUND CL R6	R6	NO LOAD	REDEEM ONLY	1600	0	0
MMUIX	MFS	MFS UTILITIES I	I	NO LOAD	OPEN	1600	0	0
MURRX	MFS	MFS UTILITIES R2	R2	NO LOAD	REDEEM ONLY	1600	0	0
MBVAX	MFS	MFS VA MUNI BD B	B	LOAD	EXCH ONLY	1600	250	1000
MVACX	MFS	MFS VA MUNI BD C	C	LOAD	OPEN	1600	250	1000
MIVAX	MFS	MFS VA MUNI BOND FD CL I	I	NO LOAD	OPEN	1600	0	0
MSVAX	MFS	MFS VA MUNI BOND FUND CL A	A	LOAD	OPEN	1600	250	1000
MPVOX	MFS	MFS VA MUNICIPAL BOND R6	R6	NO LOAD	REDEEM ONLY	1600	100000	100000
MFEBCX	MFS	MFS VALUE B	B	LOAD	EXCH ONLY	1600	250	1000
MEICX	MFS	MFS VALUE FUND CL C	C	LOAD	OPEN	1600	250	1000
MEIIX	MFS	MFS VALUE FUND CL I	I	NO LOAD	OPEN	1600	0	0
MVRRX	MFS	MFS VALUE FUND CL R2	R2	NO LOAD	REDEEM ONLY	1600	0	0
MEIKX	MFS	MFS VALUE FUND CL R6	R6	NO LOAD	REDEEM ONLY	1600	0	0
MEIAX	MFS	MFS VALUE FUND CLASS A	A	LOAD	OPEN	1600	250	1000
MEIGX	MFS	MFS VALUE R1	R1	NO LOAD	REDEEM ONLY	1600	0	0
MEIHX	MFS	MFS VALUE R3	R3	NO LOAD	REDEEM ONLY	1600	0	0
MEIJX	MFS	MFS VALUE R4	R4	NO LOAD	REDEEM ONLY	1600	0	0

SYMBOL	FUND FAMILY	FUND DESCRIPTION	SHARE CLASS	FUND TYPE	STATUS	CUT- OFF	IRA MIN	NON-IRA MIN
MBWVX	MFS	MFS WV MUNI BD B	B	LOAD	EXCH ONLY	1600	250	1000
MFVWX	MFS	MFS WV MUNI BOND FUND CL A	A	LOAD	OPEN	1600	250	1000
MWVIX	MFS	MFS WV MUNICIPAL BOND I	I	NO LOAD	OPEN	1600	0	0
MPVWX	MFS	MFS WV MUNICIPAL BOND R6	R6	NO LOAD	REDEEM ONLY	1600	0	0
MIDSX	MIDAS	MIDAS FUND	NO LOAD	NO LOAD	OPEN	1600	100	100
MISEX	MIDAS	MIDAS MAGIC	NO LOAD	NO LOAD	OPEN	1600	100	100
MCFAX	MILLER	MILLER CONV BOND FD CL A	A	LOAD	OPEN	1600	100	100
MCIFX	MILLER	MILLER CONV BOND FD CL I	I	NO LOAD	OPEN	1600	0	0
MCFCX	MILLER	MILLER CONVERTIBLE BOND C	C	LOAD	OPEN	1600	500	2500
MIFIX	MILLER	MILLER INTER BD FD INST	I	NO LOAD	OPEN	1600	1000000	1000000
LMCJX	MILLER VALUE FUNDS	MILLER INCOME FD CL A	A	LOAD	OPEN	1600	0	0
LCMNX	MILLER VALUE FUNDS	MILLER INCOME FD CL C	C	LOAD	OPEN	1600	0	0
LMCKX	MILLER VALUE FUNDS	MILLER INCOME FD CL FI	F1	NO LOAD	OPEN	1600	0	0
LMCLX	MILLER VALUE FUNDS	MILLER INCOME FD CL I	I	NO LOAD	OPEN	1600	0	0
MCTDX	MODERN CAPITAL	MODERN CAPITAL TACTICAL INCOME FUND	ADV	NO LOAD	OPEN	1600	0	0
MCTOX	MODERN CAPITAL	MODERN CAPITAL TACTICAL OPPORTS A	A	LOAD	OPEN	1600	0	0
MCMVX	MONCAP FUNDS	MONONGAHELA ALL CAP VALUE	NO LOAD	NO LOAD	OPEN	1600	5000	5000
MPEMX	MONDRIAN FUNDS	MONDRIAN EMERGING MKTS VALUE EQUITY FD	NO LOAD	NO LOAD	OPEN	1600	0	0
MPGVX	MONDRIAN FUNDS	MONDRIAN GLOBAL EQUITY VALUE	NO LOAD	NO LOAD	OPEN	1600	50000	50000
MGIFX	MONDRIAN FUNDS	MONDRIAN GLOBAL LISTED INFRASTRUCTURE	NO LOAD	NO LOAD	OPEN	1600	50000	50000
MPIEX	MONDRIAN FUNDS	MONDRIAN INTL VALUE EQUITY FD	NO LOAD	NO LOAD	OPEN	1600	0	0
MONTX	MONETTA	MONETTA FUND	NO LOAD	NO LOAD	OPEN	1600	100	100
MYIFX	MONETTA	MONETTA YOUNG INVESTOR GROWTH FD	NO LOAD	NO LOAD	OPEN	1600	100	100
HEQCX	MONTEAGLE FUNDS	MONTEAGLE OPPORTUNITY EQUITY FD	INSTITUTIONAL	NO LOAD	OPEN	1600	1000000	1000000
HEQFX	MONTEAGLE FUNDS	MONTEAGLE OPPORTUNITY EQUITY FD	INVESTOR	NO LOAD	OPEN	1600	100	100
MVEIX	MONTEAGLE FUNDS	MONTEAGLE SELECT VALUE FUND INST CL	INSTITUTIONAL	NO LOAD	OPEN	1600	0	0
BIGTX	MONTEAGLE FUNDS	MONTEAGLE TEXAS FD INST	INSTITUTIONAL	NO LOAD	OPEN	1600	0	0
MAPPX	MORGAN STANLEY	MORGAN STANLEY ADVANTAGE PORT A	A	LOAD	OPEN	1600	1000	1000
MPAIX	MORGAN STANLEY	MORGAN STANLEY ADVANTAGE PORT I	I	NO LOAD	OPEN	1600	5000000	5000000
MAPLX	MORGAN STANLEY	MORGAN STANLEY ADVANTAGE PORT L	L	NO LOAD	REDEEM ONLY	1600	0	0
MSAQX	MORGAN STANLEY	MORGAN STANLEY ASIA OPPTY PORT I	I	NO LOAD	OPEN	1600	5000000	5000000
MIGAX	MORGAN STANLEY	MORGAN STANLEY CORP BOND PORT A	A	LOAD	OPEN	1600	1000	1000
MPFDX	MORGAN STANLEY	MORGAN STANLEY CORP BOND PORT I	I	NO LOAD	OPEN	1600	5000000	5000000
MDOEX	MORGAN STANLEY	MORGAN STANLEY DEVELOP OPPTY I	I	NO LOAD	OPEN	1600	5000000	5000000
MDOAX	MORGAN STANLEY	MORGAN STANLEY DEVELOPING OPPORTUNITY A	A	LOAD	OPEN	1600	1000	1000
MDOBX	MORGAN STANLEY	MORGAN STANLEY DEVELOPING OPPORTUNITY C	C	LOAD	OPEN	1600	1000	1000
MACGX	MORGAN STANLEY	MORGAN STANLEY DISCOVERY PORT FD CL A	A	LOAD	OPEN	1600	1000	1000
MPEGX	MORGAN STANLEY	MORGAN STANLEY DISCOVERY PORT FD CL I	I	NO LOAD	OPEN	1600	5000000	5000000
MMCGX	MORGAN STANLEY	MORGAN STANLEY DISCOVERY PORT FD CL IS	IS	NO LOAD	REDEEM ONLY	1600	5000000	5000000
MSKLX	MORGAN STANLEY	MORGAN STANLEY DISCOVERY PORT FD CL L	L	LOAD	REDEEM ONLY	1600	0	0
MSMFX	MORGAN STANLEY	MORGAN STANLEY DISCOVERY PORTFOLIO	C	LOAD	OPEN	1600	1000	1000

SYMBOL	FUND FAMILY	FUND DESCRIPTION	SHARE CLASS	FUND TYPE	STATUS	CUT- OFF	IRA MIN	NON-IRA MIN
MMKBX	MORGAN STANLEY	MORGAN STANLEY EMERGING MARKETS CL A	A	LOAD	OPEN	1600	1000	1000
MGEMX	MORGAN STANLEY	MORGAN STANLEY EMERGING MKTS FUND CL I	I	NO LOAD	OPEN	1600	5000000	5000000
MELAX	MORGAN STANLEY	MORGAN STANLEY EMRG MKTS LEADERS A	A	LOAD	OPEN	1600	1000	1000
MELIX	MORGAN STANLEY	MORGAN STANLEY EMRG MKTS LEADERS I	I	NO LOAD	OPEN	1600	5000000	5000000
MELSX	MORGAN STANLEY	MORGAN STANLEY EMRG MKTS LEADERS IS	IS	NO LOAD	REDEEM ONLY	1600	10000000	10000000
MSEEX	MORGAN STANLEY	MORGAN STANLEY EUROPE OPPORTUNITY C	C	LOAD	OPEN	1600	1000	1000
EUGAX	MORGAN STANLEY	MORGAN STANLEY EUROPE OPPORTUNITY FD CL A	A	LOAD	OPEN	1600	1000	1000
EUGDX	MORGAN STANLEY	MORGAN STANLEY EUROPE OPPORTUNITY FD CL I	I	NO LOAD	OPEN	1600	5000000	5000000
EUGCX	MORGAN STANLEY	MORGAN STANLEY EUROPE OPPORTUNITY FD CL L	L	LOAD	REDEEM ONLY	1600	0	0
DINDX	MORGAN STANLEY	MORGAN STANLEY GBL FIXED INCM OPPTY I	I	NO LOAD	OPEN	1600	5000000	5000000
MGGPX	MORGAN STANLEY	MORGAN STANLEY GBL OPPTY PORT FD CL A	A	LOAD	OPEN	1600	1000	1000
MGGIX	MORGAN STANLEY	MORGAN STANLEY GBL OPPTY PORT FD CL I	I	NO LOAD	OPEN	1600	5000000	5000000
MGGLX	MORGAN STANLEY	MORGAN STANLEY GBL OPPTY PORT FD CL L	L	NO LOAD	REDEEM ONLY	1600	0	0
MLNIX	MORGAN STANLEY	MORGAN STANLEY GBLB CONCENTRATED PORT I	I	NO LOAD	OPEN	1600	5000000	5000000
MLMIX	MORGAN STANLEY	MORGAN STANLEY GBLB CORE PORT I	I	NO LOAD	OPEN	1600	5000000	5000000
MTIPX	MORGAN STANLEY	MORGAN STANLEY GBLB INFRAS PORT A	A	LOAD	OPEN	1600	1000	1000
MBAAX	MORGAN STANLEY	MORGAN STANLEY GBLB STRATEGIST PORT CL A	A	LOAD	OPEN	1600	1000	1000
MSDLX	MORGAN STANLEY	MORGAN STANLEY GBLB STRATEGIST PORT CL L	L	LOAD	REDEEM ONLY	1600	0	0
MGQIX	MORGAN STANLEY	MORGAN STANLEY GBLB SUSTAIN PORT FD CL I	I	NO LOAD	OPEN	1600	5000000	5000000
MSFBX	MORGAN STANLEY	MORGAN STANLEY GLOBA FRANCHISE PORT CL A	A	LOAD	OPEN	1600	1000	1000
MSJAX	MORGAN STANLEY	MORGAN STANLEY GLOBAL ENDURANCE A	A	LOAD	OPEN	1600	1000	1000
MSJCX	MORGAN STANLEY	MORGAN STANLEY GLOBAL ENDURANCE C	C	LOAD	OPEN	1600	1000	1000
MSJIX	MORGAN STANLEY	MORGAN STANLEY GLOBAL ENDURANCE I	I	NO LOAD	OPEN	1600	5000000	5000000
MSIPX	MORGAN STANLEY	MORGAN STANLEY GLOBAL FIXED INC OPPTS C	C	LOAD	OPEN	1600	1000	1000
DINAX	MORGAN STANLEY	MORGAN STANLEY GLOBAL FIXED INCM OPPTY FD A	A	LOAD	OPEN	1600	1000	1000
DINCX	MORGAN STANLEY	MORGAN STANLEY GLOBAL FIXED INCM OPPTY L	L	LOAD	REDEEM ONLY	1600	0	0
MSBEX	MORGAN STANLEY	MORGAN STANLEY GLOBAL FOCUS RL EST A	A	LOAD	OPEN	1600	100	100
MSBDX	MORGAN STANLEY	MORGAN STANLEY GLOBAL FOCUS RL EST I	I	NO LOAD	OPEN	1600	500000	500000
MSGFX	MORGAN STANLEY	MORGAN STANLEY GLOBAL FRANCHISE PORT C	C	LOAD	OPEN	1600	1000	1000
MSFAX	MORGAN STANLEY	MORGAN STANLEY GLOBAL FRANCHISE PORT CL I	I	NO LOAD	OPEN	1600	1000000	1000000
MGKAX	MORGAN STANLEY	MORGAN STANLEY GLOBAL PERMANENCE A	A	LOAD	OPEN	1600	1000	1000
MGKCX	MORGAN STANLEY	MORGAN STANLEY GLOBAL PERMANENCE C	C	LOAD	OPEN	1600	1000	1000
MRLBX	MORGAN STANLEY	MORGAN STANLEY GLOBAL REAL ESTATE CL A	A	LOAD	OPEN	1600	1000	1000
MRLAX	MORGAN STANLEY	MORGAN STANLEY GLOBAL REAL ESTATE CL I	I	NO LOAD	OPEN	1600	5000000	5000000
MPBAX	MORGAN STANLEY	MORGAN STANLEY GLOBAL STRATEGIST PORT CL I	I	NO LOAD	OPEN	1600	5000000	5000000
MSGUX	MORGAN STANLEY	MORGAN STANLEY GROWTH FD CL C	C	LOAD	OPEN	1600	1000	1000
MSEGX	MORGAN STANLEY	MORGAN STANLEY GROWTH PORT FD CL A	A	LOAD	OPEN	1600	1000	1000
MGRPX	MORGAN STANLEY	MORGAN STANLEY GROWTH PORT FD CL IS	IS	NO LOAD	REDEEM ONLY	1600	10000000	10000000
MSHLX	MORGAN STANLEY	MORGAN STANLEY GROWTH PORT FD CL L	L	LOAD	REDEEM ONLY	1600	0	0
MSEQX	MORGAN STANLEY	MORGAN STANLEY GROWTH PORTFOLIO CL I	I	NO LOAD	OPEN	1600	5000000	5000000
MSYPX	MORGAN STANLEY	MORGAN STANLEY HIGH YIELD A	A	LOAD	OPEN	1600	1000	1000

SYMBOL	FUND FAMILY	FUND DESCRIPTION	SHARE CLASS	FUND TYPE	STATUS	CUT- OFF	IRA MIN	NON-IRA MIN
MSYIX	MORGAN STANLEY	MORGAN STANLEY HIGH YIELD I	I	NO LOAD	OPEN	1600	5000000	5000000
MSSMX	MORGAN STANLEY	MORGAN STANLEY INCEPTION PORTFOLIO FD CL A	A	LOAD	OPEN	1600	1000	1000
MSSGX	MORGAN STANLEY	MORGAN STANLEY INCEPTION PORTFOLIO FD CL I	I	NO LOAD	OPEN	1600	5000000	5000000
MFLIX	MORGAN STANLEY	MORGAN STANLEY INCEPTION PORTFOLIO FD CL IS	IS	NO LOAD	REDEEM ONLY	1600	5000000	5000000
MSSLX	MORGAN STANLEY	MORGAN STANLEY INCEPTION PORTFOLIO FD CL L	L	LOAD	REDEEM ONLY	1600	0	0
MSCMX	MORGAN STANLEY	MORGAN STANLEY INSIGHT C	C	LOAD	OPEN	1600	1000	1000
CPOAX	MORGAN STANLEY	MORGAN STANLEY INSIGHT FD CL A	A	LOAD	OPEN	1600	1000	1000
MCRTX	MORGAN STANLEY	MORGAN STANLEY INSIGHT IS	IS	NO LOAD	REDEEM ONLY	1600	10000000	10000000
CPODX	MORGAN STANLEY	MORGAN STANLEY INSIGHT PORT FD CL I	I	NO LOAD	OPEN	1600	5000000	5000000
CPOCX	MORGAN STANLEY	MORGAN STANLEY INSIGHT PORT FD CL L	L	LOAD	REDEEM ONLY	1600	0	0
MSPRX	MORGAN STANLEY	MORGAN STANLEY INST ADVANTAGE C	C	LOAD	OPEN	1600	1000	1000
MSAUX	MORGAN STANLEY	MORGAN STANLEY INST ASIA OPP A	A	LOAD	OPEN	1600	1000	1000
MSAWX	MORGAN STANLEY	MORGAN STANLEY INST ASIA OPP C	C	LOAD	OPEN	1600	1000	1000
MSBOX	MORGAN STANLEY	MORGAN STANLEY INST CORPORATE BOND C	C	LOAD	OPEN	1600	1000	1000
MSEPX	MORGAN STANLEY	MORGAN STANLEY INST EMERGING MKTS C	C	LOAD	OPEN	1600	1000	1000
MEMLX	MORGAN STANLEY	MORGAN STANLEY INST EMKTS LDRS C	C	LOAD	OPEN	1600	1000	1000
MSGTX	MORGAN STANLEY	MORGAN STANLEY INST GBL INFRAS C	C	LOAD	OPEN	1600	1000	1000
MTIIX	MORGAN STANLEY	MORGAN STANLEY INST GBL INFRAS PORT I	I	NO LOAD	OPEN	1600	5000000	5000000
MTILX	MORGAN STANLEY	MORGAN STANLEY INST GBL INFRAS PORT L	L	LOAD	REDEEM ONLY	1600	0	0
MSOPX	MORGAN STANLEY	MORGAN STANLEY INST GLOBAL OPP C	C	LOAD	OPEN	1600	1000	1000
MGTSX	MORGAN STANLEY	MORGAN STANLEY INST GLOBAL OPP IS	IS	NO LOAD	REDEEM ONLY	1600	10000000	10000000
MSRDX	MORGAN STANLEY	MORGAN STANLEY INST GLOBAL REAL EST C	C	LOAD	OPEN	1600	1000	1000
MGREX	MORGAN STANLEY	MORGAN STANLEY INST GLOBAL REAL EST IS	IS	NO LOAD	REDEEM ONLY	1600	10000000	10000000
MSSOX	MORGAN STANLEY	MORGAN STANLEY INST GLOBAL STRAT C	C	LOAD	OPEN	1600	1000	1000
MGQAX	MORGAN STANLEY	MORGAN STANLEY INST GLOBAL SUSTAIN A	A	LOAD	OPEN	1600	1000	1000
MSGQX	MORGAN STANLEY	MORGAN STANLEY INST GLOBAL SUSTAIN C	C	LOAD	OPEN	1600	1000	2500
MSHDX	MORGAN STANLEY	MORGAN STANLEY INST HIGH YIELD C	C	LOAD	OPEN	1600	1000	1000
MSCOX	MORGAN STANLEY	MORGAN STANLEY INST INCEPTION C	C	LOAD	OPEN	1600	1000	1000
MSECX	MORGAN STANLEY	MORGAN STANLEY INST INTERNATIONAL EQ C	C	LOAD	OPEN	1600	1000	1000
MSOCX	MORGAN STANLEY	MORGAN STANLEY INST INTERNATIONAL OPP C	C	LOAD	OPEN	1600	1000	1000
MNOPX	MORGAN STANLEY	MORGAN STANLEY INST INTERNATIONAL OPP R6	R6	NO LOAD	REDEEM ONLY	1600	0	0
MSIAX	MORGAN STANLEY	MORGAN STANLEY INST INTL ADVTG C	C	LOAD	OPEN	1600	1000	1000
MIOPX	MORGAN STANLEY	MORGAN STANLEY INST INTL OPPTY PORT A	A	LOAD	OPEN	1600	1000	1000
MSHNX	MORGAN STANLEY	MORGAN STANLEY INST PERMANENCE A	A	LOAD	OPEN	1600	1000	1000
MSHOX	MORGAN STANLEY	MORGAN STANLEY INST PERMANENCE C	C	LOAD	OPEN	1600	1000	1000
MSURX	MORGAN STANLEY	MORGAN STANLEY INST US REAL ESTATE C	C	LOAD	OPEN	1600	1000	1000
MLNAX	MORGAN STANLEY	MORGAN STANLEY INSTL GBL CONCNR A	A	LOAD	OPEN	1600	1000	1000
MLNCX	MORGAN STANLEY	MORGAN STANLEY INSTL GBL CONCNR C	C	LOAD	OPEN	1600	1000	1000
MLMAX	MORGAN STANLEY	MORGAN STANLEY INSTL GLOBAL CORE A	A	LOAD	OPEN	1600	1000	1000
MLMCX	MORGAN STANLEY	MORGAN STANLEY INSTL GLOBAL CORE C	C	LOAD	OPEN	1600	1000	1000
MUIIX	MORGAN STANLEY	MORGAN STANLEY INSTL ULTRSHRT INC INSTL	INSTITUTIONAL	NO LOAD	OPEN	1600	5000000	5000000

SYMBOL	FUND FAMILY	FUND DESCRIPTION	SHARE CLASS	FUND TYPE	STATUS	CUT- OFF	IRA MIN	NON-IRA MIN
MUOAX	MORGAN STANLEY	MORGAN STANLEY INSTL US CORE A	A	LOAD	OPEN	1600	1000	1000
MUOCX	MORGAN STANLEY	MORGAN STANLEY INSTL US CORE C	C	LOAD	OPEN	1600	1000	1000
MUOIX	MORGAN STANLEY	MORGAN STANLEY INSTL US CORE I	I	NO LOAD	OPEN	1600	5000000	5000000
MFAPX	MORGAN STANLEY	MORGAN STANLEY INTL ADVANTAGE PORT A	A	LOAD	OPEN	1600	1000	1000
MFAIX	MORGAN STANLEY	MORGAN STANLEY INTL ADVANTAGE PORT I	I	NO LOAD	OPEN	1600	5000000	5000000
MIQBX	MORGAN STANLEY	MORGAN STANLEY INTL EQTY FD CL A	A	LOAD	OPEN	1600	1000	1000
MIQPX	MORGAN STANLEY	MORGAN STANLEY INTL EQTY FD CL IS	IS	NO LOAD	REDEEM ONLY	1600	5000000	5000000
MSQLX	MORGAN STANLEY	MORGAN STANLEY INTL EQTY FD CL L	L	LOAD	REDEEM ONLY	1600	0	0
MSIQX	MORGAN STANLEY	MORGAN STANLEY INTL EQUITY FUND CL I	I	NO LOAD	OPEN	1600	5000000	5000000
MIOIX	MORGAN STANLEY	MORGAN STANLEY INTL OPPTY I	I	NO LOAD	OPEN	1600	5000000	5000000
USGAX	MORGAN STANLEY	MORGAN STANLEY LONG DURATION GOVERNMENT OPPORTU	A	LOAD	OPEN	1600	1000	1000
MSGVX	MORGAN STANLEY	MORGAN STANLEY LONG DURATION GOVERNMENT OPPORTU	C	LOAD	OPEN	1600	1000	1000
USGDY	MORGAN STANLEY	MORGAN STANLEY LONG DURATION GOVERNMENT OPPORTU	I	NO LOAD	OPEN	1600	5000000	5000000
USGCX	MORGAN STANLEY	MORGAN STANLEY LONG DURATION GOVERNMENT OPPORTU	L	LOAD	REDEEM ONLY	1600	0	0
MTGAX	MORGAN STANLEY	MORGAN STANLEY MORTGAGE SECURITIES A	A	LOAD	OPEN	1600	1000	1000
MSMTX	MORGAN STANLEY	MORGAN STANLEY MORTGAGE SECURITIES C	C	LOAD	OPEN	1600	1000	1000
MTGDY	MORGAN STANLEY	MORGAN STANLEY MORTGAGE SECURITIES I	I	NO LOAD	OPEN	1600	5000000	5000000
MTGCX	MORGAN STANLEY	MORGAN STANLEY MORTGAGE SECURITIES L	L	LOAD	REDEEM ONLY	1600	0	0
MSIBX	MORGAN STANLEY	MORGAN STANLEY PASSPORT OVERSEAS EQUITY PORTFOLIO	A	LOAD	OPEN	1600	1000	1000
MSAAX	MORGAN STANLEY	MORGAN STANLEY PASSPORT OVERSEAS EQUITY PORTFOLIO	C	LOAD	OPEN	1600	1000	1000
MSACX	MORGAN STANLEY	MORGAN STANLEY PASSPORT OVERSEAS EQUITY PORTFOLIO	I	NO LOAD	OPEN	1600	5000000	5000000
MSLLX	MORGAN STANLEY	MORGAN STANLEY PASSPORT OVERSEAS EQUITY PORTFOLIO	L	LOAD	REDEEM ONLY	1600	0	0
MUAIX	MORGAN STANLEY	MORGAN STANLEY ULTRA SHORT INCM CL A	A	NO LOAD	OPEN	1600	100	100
MULSX	MORGAN STANLEY	MORGAN STANLEY ULTRA SHORT INCM PORTFOLIO IR	IR	NO LOAD	OPEN	1600	10000000	10000000
DWGXX	MORGAN STANLEY	MORGAN STANLEY US GOVT MONEY MKT TRUST R	R	LOAD	OPEN	1600	1000	1000
MSUSX	MORGAN STANLEY	MORGAN STANLEY US REAL ESTATE I	I	NO LOAD	OPEN	1600	5000000	5000000
MUSDY	MORGAN STANLEY	MORGAN STANLEY US REAL ESTATE PORT A	A	LOAD	OPEN	1600	1000	1000
MURSY	MORGAN STANLEY	MORGAN STANLEY US REAL ESTATE PORT IS	IS	NO LOAD	REDEEM ONLY	1600	10000000	10000000
MSULX	MORGAN STANLEY	MORGAN STANLEY US REAL ESTATE PORT L	L	LOAD	REDEEM ONLY	1600	0	0
MIGPX	MORGAN STANLEY	MSIF GLOBAL INSIGHT PORTFOLIO A	A	LOAD	OPEN	1600	1000	1000
MSPTX	MORGAN STANLEY	MSIF GLOBAL INSIGHT PORTFOLIO C	C	LOAD	OPEN	1600	1000	1000
MIGIX	MORGAN STANLEY	MSIF GLOBAL INSIGHT PORTFOLIO I	I	NO LOAD	OPEN	1600	5000000	5000000
MRJCY	MORGAN STANLEY	MSIF MULTI ASSET REAL RETURN CL C	C	LOAD	OPEN	1600	1000	1000
MRJAX	MORGAN STANLEY	MSIF MUTLI ASSET REAL RETURN CL A	A	LOAD	OPEN	1600	1000	1000
MFMPX	MORGAN STANLEY	MSIF NEXT GEN EMERGING MARKETS A	A	LOAD	OPEN	1600	1000	1000
MSFEX	MORGAN STANLEY	MSIF NEXT GEN EMERGING MARKETS C	C	LOAD	OPEN	1600	1000	1000
MFMIX	MORGAN STANLEY	MSIF NEXT GEN EMERGING MARKETS I	I	NO LOAD	OPEN	1600	5000000	5000000
MSRFX	MORGAN STANLEY	MSIF NEXT GEN EMERGING MARKETS IS	IS	NO LOAD	OPEN	1600	10000000	10000000
MAAUX	MORGAN STANLEY	MSIFT DYNAMIC VALUE A	A	LOAD	OPEN	1600	100	100
MAAOX	MORGAN STANLEY	MSIFT DYNAMIC VALUE C	C	LOAD	OPEN	1600	1000	1000
MSTVX	MORNINGSTAR	MORNINGSTAR ALTERNATIVES FD	NO LOAD	NO LOAD	OPEN	1600	0	0

SYMBOL	FUND FAMILY	FUND DESCRIPTION	SHARE CLASS	FUND TYPE	STATUS	CUT- OFF	IRA MIN	NON-IRA MIN
MSTBX	MORNINGSTAR	MORNINGSTAR DEFENSIVE BD FD	NO LOAD	NO LOAD	OPEN	1600	0	0
MSTGX	MORNINGSTAR	MORNINGSTAR GLOBAL INCM FD	NO LOAD	NO LOAD	OPEN	1600	0	0
MSTSX	MORNINGSTAR	MORNINGSTAR GLOBAL OPPS EQUITY	NO LOAD	NO LOAD	OPEN	1600	0	0
MSTFX	MORNINGSTAR	MORNINGSTAR INTL EQTY FD	NO LOAD	NO LOAD	OPEN	1600	0	0
MSTMX	MORNINGSTAR	MORNINGSTAR MULTISECTOR BD FD	NO LOAD	NO LOAD	OPEN	1600	0	0
MSTPX	MORNINGSTAR	MORNINGSTAR MUNICIPAL BOND FUND	NO LOAD	NO LOAD	OPEN	1600	0	0
MSTRX	MORNINGSTAR	MORNINGSTAR TOTAL RETURN BOND FD	NO LOAD	NO LOAD	OPEN	1600	0	0
MSTQX	MORNINGSTAR	MORNINGSTAR US EQUITY FD	NO LOAD	NO LOAD	OPEN	1600	0	0
DRIPX	MP63 FUNDS	MP 63 FUND	NO LOAD	NO LOAD	OPEN	1600	0	0
MUHLX	MUHLNKAMP	MUHLNKAMP FUND	NO LOAD	NO LOAD	OPEN	1600	100	100
MUNDX	MUNDOVAL FUNDS	MUNDOVAL FUND	NO LOAD	NO LOAD	OPEN	1600	100	100
MACHX	MUTUAL OF AMERICA	MOA BALANCED FUND	NO LOAD	NO LOAD	OPEN	1600	0	0
MAIFX	MUTUAL OF AMERICA	MOA INTERNATIONAL FUND	NO LOAD	NO LOAD	OPEN	1600	0	0
NWAHX	NATIONWIDE	NATIONWIDE ALLIANZ GI INTL GWTH FD R6	R6	NO LOAD	REDEEM ONLY	1600	0	0
NWAGX	NATIONWIDE	NATIONWIDE ALLIANZGI INTERNATIONAL GR A	A	LOAD	OPEN	1600	0	0
NWAKX	NATIONWIDE	NATIONWIDE ALLIANZGI INTL GR INS SVC	INSTITUTIONAL	NO LOAD	OPEN	1600	0	0
NWXGX	NATIONWIDE	NATIONWIDE AMUNDI STRAT INC R6	R6	NO LOAD	REDEEM ONLY	1600	0	0
NWXEX	NATIONWIDE	NATIONWIDE AMUNDI STRAT INCM A	A	LOAD	OPEN	1600	0	0
NWXHX	NATIONWIDE	NATIONWIDE AMUNDI STRAT INCM INST SV	INSTITUTIONAL	NO LOAD	OPEN	1600	0	0
NWHDX	NATIONWIDE	NATIONWIDE BAILARD COGNITIVE VAL A	A	LOAD	OPEN	1600	100	100
NWHJX	NATIONWIDE	NATIONWIDE BAILARD INTL EQUITIES A	A	LOAD	OPEN	1600	1000	2000
NWHNX	NATIONWIDE	NATIONWIDE BAILARD INTL EQUITIES INS SER	INST SVC	NO LOAD	OPEN	1600	50000	50000
NWHOX	NATIONWIDE	NATIONWIDE BAILARD TECH & SCIENCE A	A	LOAD	OPEN	1600	100	100
NWHUX	NATIONWIDE	NATIONWIDE BAILARD TECH & SCIENCE INST SERV	INST SVC	NO LOAD	OPEN	1600	0	0
NBDAX	NATIONWIDE	NATIONWIDE BD A	A	LOAD	OPEN	1600	1000	2000
GBIAX	NATIONWIDE	NATIONWIDE BD INDEX A	A	LOAD	OPEN	1600	1000	2000
NWCPX	NATIONWIDE	NATIONWIDE BNY CORE PLUS ESG A	A	LOAD	OPEN	1600	0	0
NWCSX	NATIONWIDE	NATIONWIDE BNY CORE PLUS ESG IS	INST SVC	NO LOAD	OPEN	1600	0	0
NWCIX	NATIONWIDE	NATIONWIDE BNY CORE PLUS ESG R6	R6	NO LOAD	OPEN	1600	1000000	1000000
NMFAX	NATIONWIDE	NATIONWIDE BNY MELLON DYNAMIC U.S. CORE A	A	LOAD	OPEN	1600	1000	2000
NWAEX	NATIONWIDE	NATIONWIDE BNY MELLON DYNAMIC U.S. CORE EAGLE	EAGLE	NO LOAD	SUBS ONLY	1600	0	0
NGISX	NATIONWIDE	NATIONWIDE BNY MELLON DYNAMIC U.S. CORE IS	INST SVC	NO LOAD	OPEN	1600	0	0
MUIGX	NATIONWIDE	NATIONWIDE BNY MELLON DYNAMIC U.S. CORE R6	R6	NO LOAD	SUBS ONLY	1600	1000000	1000000
NWAMX	NATIONWIDE	NATIONWIDE BNY MELLON DYNAMIC US EQUITY INCOME K	K	NO LOAD	SUBS ONLY	1600	0	0
MUIBX	NATIONWIDE	NATIONWIDE BOND INSTL SVC	INST SVC	NO LOAD	OPEN	1600	0	0
NWHAX	NATIONWIDE	NATIONWIDE DESTINATION 2025 A	A	LOAD	OPEN	1600	0	0
NWLAX	NATIONWIDE	NATIONWIDE DESTINATION 2035 A	A	LOAD	OPEN	1600	0	0
NWMAX	NATIONWIDE	NATIONWIDE DESTINATION 2040 A	A	LOAD	OPEN	1600	0	0
NWNAX	NATIONWIDE	NATIONWIDE DESTINATION 2045 A	A	LOAD	OPEN	1600	0	0
NTDAX	NATIONWIDE	NATIONWIDE DESTINATION 2055 A	A	LOAD	OPEN	1600	0	0
NWWRX	NATIONWIDE	NATIONWIDE DESTINATION 2060 A	A	LOAD	OPEN	1600	0	0

SYMBOL	FUND FAMILY	FUND DESCRIPTION	SHARE CLASS	FUND TYPE	STATUS	CUT- OFF	IRA MIN	NON-IRA MIN
NWFAX	NATIONWIDE	NATIONWIDE FUND CL A	A	LOAD	OPEN	1600	1000	2000
MUIFX	NATIONWIDE	NATIONWIDE FUND CL IS	INST SVC	NO LOAD	OPEN	1600	50000	50000
NWHVX	NATIONWIDE	NATIONWIDE GENEVA MID CAP GR A	A	LOAD	OPEN	1600	1000	2000
NWHYX	NATIONWIDE	NATIONWIDE GENEVA MID CAP GR INST SERV	INST SVC	NO LOAD	OPEN	1600	50000	50000
NWKAX	NATIONWIDE	NATIONWIDE GENEVA MID CAP GWTH FD R6	R6	NO LOAD	REDEEM ONLY	1600	0	0
NWHZX	NATIONWIDE	NATIONWIDE GENEVA SM CAP GR A	A	LOAD	OPEN	1600	1000	2500
NWKDX	NATIONWIDE	NATIONWIDE GENEVA SM CAP GR INST SERV	INST SVC	NO LOAD	SUBS ONLY	1600	50000	50000
NWKCX	NATIONWIDE	NATIONWIDE GENEVA SM CAP GR R6	R6	NO LOAD	SUBS ONLY	1600	0	0
GGEAX	NATIONWIDE	NATIONWIDE GLOBAL SUSTAINABLE EQTY FD A	A	LOAD	OPEN	1600	0	0
GGESX	NATIONWIDE	NATIONWIDE GLOBAL SUSTAINABLE EQTY FD INST	INST SVC	NO LOAD	OPEN	1600	0	0
GGEIX	NATIONWIDE	NATIONWIDE GLOBAL SUSTAINABLE EQTY FD R6	R6	NO LOAD	OPEN	1600	1000000	1000000
MIFXX	NATIONWIDE	NATIONWIDE GOVT MONEY MKT FD INV SHS	INVESTOR	LOAD	OPEN	1600	1000	2000
NWAUX	NATIONWIDE	NATIONWIDE GQG US QUALITY EQ A	A	LOAD	OPEN	1600	100	100
NWAYX	NATIONWIDE	NATIONWIDE GQG US QUALITY EQ EAGLE	EAGLE	NO LOAD	REDEEM ONLY	1600	50000	50000
NWAWX	NATIONWIDE	NATIONWIDE GQG US QUALITY EQ INSTL SER	INST SVC	NO LOAD	OPEN	1600	0	0
NIFAX	NATIONWIDE	NATIONWIDE INFLATION PROTECTED SECS A	A	LOAD	OPEN	1600	1000	2500
NIFIX	NATIONWIDE	NATIONWIDE INFLATION PROTECTED SECS R6	R6	NO LOAD	REDEEM ONLY	1600	0	0
GIIRX	NATIONWIDE	NATIONWIDE INTERNATIONAL INDEX R	R	NO LOAD	REDEEM ONLY	1600	0	0
NWXUX	NATIONWIDE	NATIONWIDE INTERNATIONAL SM CP R6	R6	NO LOAD	REDEEM ONLY	1600	0	0
GIAX	NATIONWIDE	NATIONWIDE INTL INDEX A	A	LOAD	OPEN	1600	1000	2000
NWXPX	NATIONWIDE	NATIONWIDE INTL INDEX INST SVC	INST SVC	NO LOAD	OPEN	1600	0	0
NWXVX	NATIONWIDE	NATIONWIDE INTL SMALL CAP INST SVC	INST SVC	NO LOAD	OPEN	1600	0	0
NDASX	NATIONWIDE	NATIONWIDE INV DESTINATIONS AGGR SVC	SERVICE	NO LOAD	REDEEM ONLY	1600	0	50000
NDCSX	NATIONWIDE	NATIONWIDE INV DESTINATIONS CONS SVC	SERVICE	NO LOAD	REDEEM ONLY	1600	50000	50000
NDMSX	NATIONWIDE	NATIONWIDE INV DESTINATIONS MOD AGG SVC	SERVICE	NO LOAD	REDEEM ONLY	1600	0	50000
NSDCX	NATIONWIDE	NATIONWIDE INV DESTINATIONS MOD CON SVC	SERVICE	NO LOAD	REDEEM ONLY	1600	0	50000
NSDMX	NATIONWIDE	NATIONWIDE INV DESTINATIONS MOD SVC	SERVICE	NO LOAD	REDEEM ONLY	1600	0	50000
NDAAX	NATIONWIDE	NATIONWIDE INVESTOR DESTINATIONS AGGR CL A	A	LOAD	OPEN	1600	1000	2000
NWWHX	NATIONWIDE	NATIONWIDE INVESTOR DESTINATIONS AGGR INSTL SVC	INST SVC	NO LOAD	OPEN	1600	0	0
NDCAX	NATIONWIDE	NATIONWIDE INVESTOR DESTINATIONS CONS CL A	A	LOAD	OPEN	1600	1000	2000
NWWLX	NATIONWIDE	NATIONWIDE INVESTOR DESTINATIONS CONS INST SVC	INST SVC	NO LOAD	OPEN	1600	0	0
NDMAX	NATIONWIDE	NATIONWIDE INVESTOR DESTINATIONS MOD AGGR A	A	LOAD	OPEN	1600	1000	2000
NWWIX	NATIONWIDE	NATIONWIDE INVESTOR DESTINATIONS MOD AGGR IS	INST SVC	NO LOAD	OPEN	1600	50000	50000
NADMX	NATIONWIDE	NATIONWIDE INVESTOR DESTINATIONS MOD CL A	A	LOAD	OPEN	1600	1000	2000
NWWKX	NATIONWIDE	NATIONWIDE INVESTOR DESTINATIONS MOD CON INST SVC	INST SVC	NO LOAD	OPEN	1600	0	0
GMIMX	NATIONWIDE	NATIONWIDE INVESTOR DESTINATIONS MOD CON R6	R6	NO LOAD	REDEEM ONLY	1600	0	0
NADCX	NATIONWIDE	NATIONWIDE INVESTOR DESTINATIONS MOD CONS A	A	LOAD	OPEN	1600	1000	2000
NWWJX	NATIONWIDE	NATIONWIDE INVESTOR DESTINATIONS MOD INSTL SVC	INST SVC	NO LOAD	OPEN	1600	0	0
NWZLX	NATIONWIDE	NATIONWIDE LOOMIS ALL CAP GWTH FD A	A	LOAD	OPEN	1600	0	0
NWZNX	NATIONWIDE	NATIONWIDE LOOMIS ALL CAP GWTH FD INST SERV	INST SVC	NO LOAD	OPEN	1600	0	0
NWJGX	NATIONWIDE	NATIONWIDE LOOMIS CORE BOND A	A	LOAD	OPEN	1600	1000	2000

SYMBOL	FUND FAMILY	FUND DESCRIPTION	SHARE CLASS	FUND TYPE	STATUS	CUT- OFF	IRA MIN	NON-IRA MIN
NWJXX	NATIONWIDE	NATIONWIDE LOOMIS CORE BOND INST SERV	INST SVC	NO LOAD	OPEN	1600	50000	50000
NWJSX	NATIONWIDE	NATIONWIDE LOOMIS SHORT TERM BD FD CL A	A	LOAD	OPEN	1600	1000	2000
NWJVX	NATIONWIDE	NATIONWIDE LOOMIS SHORT TERM BD FD INST SERV	INST SVC	NO LOAD	OPEN	1600	50000	50000
NWJUX	NATIONWIDE	NATIONWIDE LOOMIS SHORT TERM BD R6	R6	NO LOAD	REDEEM ONLY	1600	100000	100000
GMXAX	NATIONWIDE	NATIONWIDE MID CAP MKT INDEX A	A	LOAD	OPEN	1600	1000	2000
NWXQX	NATIONWIDE	NATIONWIDE MID CAP MKT INDEX INST SERV	INST SVC	NO LOAD	OPEN	1600	0	0
NWJCX	NATIONWIDE	NATIONWIDE NYSE ARCA TECH 100 INDEX FD CL A	A	LOAD	OPEN	1600	1000	2000
NWJEX	NATIONWIDE	NATIONWIDE NYSE ARCA TECH 100 INDEX FD CL R6	R6	NO LOAD	REDEEM ONLY	1600	0	0
NWJFX	NATIONWIDE	NATIONWIDE NYSE ARCA TECH 100 INDEX FD INS	INST SVC	NO LOAD	OPEN	1600	50000	50000
GRMAX	NATIONWIDE	NATIONWIDE S&P 500 INDEX FD A	A	LOAD	OPEN	1600	1000	2000
GRISX	NATIONWIDE	NATIONWIDE S&P 500 INDEX INST SERV	INST SVC	NO LOAD	OPEN	1600	0	0
GMRAX	NATIONWIDE	NATIONWIDE SMALL CAP INDEX FD CL A	A	LOAD	OPEN	1600	1000	2000
NWXRX	NATIONWIDE	NATIONWIDE SMALL CAP INDEX INST SERV	INST SVC	NO LOAD	OPEN	1600	0	0
NWSAX	NATIONWIDE	NATIONWIDE SMALL CO GROWTH FD A	A	LOAD	SUBS ONLY	1600	1000	2000
NWSIX	NATIONWIDE	NATIONWIDE SMALL COMPANY GROWTH INSVC	INST SVC	NO LOAD	OPEN	1600	0	0
NWGPX	NATIONWIDE	NATIONWIDE WCM FOCUSED SM CAP FD CL A	A	LOAD	OPEN	1600	1000	2000
NWGSX	NATIONWIDE	NATIONWIDE WCM FOCUSED SM CAP FD INST SERV	INST SVC	NO LOAD	OPEN	1600	50000	50000
NWKEX	NATIONWIDE	NATIONWIDE WCM FOCUSED SM CP R6	R6	NO LOAD	REDEEM ONLY	1600	100000	100000
NRFAQ	NATIXIS FUNDS	AEW GLOBAL FOCUSED REAL ESTATE FD CL A	A	LOAD	OPEN	1600	1000	2500
NRCFX	NATIXIS FUNDS	AEW GLOBAL FOCUSED REAL ESTATE FD CL C	C	LOAD	OPEN	1600	1000	2500
NRFNX	NATIXIS FUNDS	AEW GLOBAL FOCUSED REAL ESTATE FD CL N	N	NO LOAD	REDEEM ONLY	1600	1000000	1000000
NRFYX	NATIXIS FUNDS	AEW GLOBAL FOCUSED REAL ESTATE FD CL Y	Y	NO LOAD	OPEN	1600	100000	100000
GCPAX	NATIXIS FUNDS	GATEWAY EQTY CALL PREM FD A	A	LOAD	OPEN	1600	2500	2500
GCPYX	NATIXIS FUNDS	GATEWAY EQTY CALL PREM FD Y	Y	NO LOAD	OPEN	1600	100000	100000
GATEX	NATIXIS FUNDS	GATEWAY FUND CL A	A	LOAD	OPEN	1600	1000	2500
GTECX	NATIXIS FUNDS	GATEWAY FUND CL C	C	LOAD	OPEN	1600	1000	2500
GTENX	NATIXIS FUNDS	GATEWAY FUND CL N	N	NO LOAD	REDEEM ONLY	1600	1000000	1000000
GTEYX	NATIXIS FUNDS	GATEWAY FUND CL Y	Y	NO LOAD	OPEN	1600	100000	100000
NECRX	NATIXIS FUNDS	LOOMIS SAYLES CORE PLUS BOND FD CL C	C	LOAD	OPEN	1600	1000	2500
NEFRX	NATIXIS FUNDS	LOOMIS SAYLES CORE PLUS BOND FUND CL A	A	LOAD	OPEN	1600	1000	2500
NERNX	NATIXIS FUNDS	LOOMIS SAYLES CORE PLUS BOND FUND CL N	N	NO LOAD	REDEEM ONLY	1600	1000000	1000000
NERYX	NATIXIS FUNDS	LOOMIS SAYLES CORE PLUS BOND FUND CL Y	Y	NO LOAD	OPEN	1600	100000	100000
LGMAX	NATIXIS FUNDS	LOOMIS SAYLES GLOBAL ALLOC FD CL A	A	LOAD	OPEN	1600	1000	2500
LGMCX	NATIXIS FUNDS	LOOMIS SAYLES GLOBAL ALLOC FD CL C	C	LOAD	OPEN	1600	1000	2500
LSWWX	NATIXIS FUNDS	LOOMIS SAYLES GLOBAL ALLOC FD CL Y	Y	NO LOAD	OPEN	1600	100000	100000
LGMNX	NATIXIS FUNDS	LOOMIS SAYLES GLOBAL ALLOCATION N	N	NO LOAD	REDEEM ONLY	1600	1000000	1000000
LSAGX	NATIXIS FUNDS	LOOMIS SAYLES GLOBAL GROWTH CL A	A	LOAD	OPEN	1600	2500	2500
LSCGX	NATIXIS FUNDS	LOOMIS SAYLES GLOBAL GROWTH CL C	C	LOAD	OPEN	1600	2500	2500
LSGGX	NATIXIS FUNDS	LOOMIS SAYLES GLOBAL GROWTH CL Y	Y	NO LOAD	OPEN	1600	100000	100000
LSNGX	NATIXIS FUNDS	LOOMIS SAYLES GLOBAL GROWTH N	N	NO LOAD	REDEEM ONLY	1600	1000000	1000000
LGRGX	NATIXIS FUNDS	LOOMIS SAYLES GROWTH C	C	LOAD	OPEN	1600	1000	2500

SYMBOL	FUND FAMILY	FUND DESCRIPTION	SHARE CLASS	FUND TYPE	STATUS	CUT- OFF	IRA MIN	NON-IRA MIN
LGRNX	NATIXIS FUNDS	LOOMIS SAYLES GROWTH FD CL N	N	NO LOAD	REDEEM ONLY	1600	1000000	1000000
LGRRX	NATIXIS FUNDS	LOOMIS SAYLES GROWTH FUND CL A	A	LOAD	OPEN	1600	1000	2500
LSGRX	NATIXIS FUNDS	LOOMIS SAYLES GROWTH FUND CL Y	Y	NO LOAD	OPEN	1600	100000	100000
NEHYX	NATIXIS FUNDS	LOOMIS SAYLES HIGH INCM CL Y	Y	NO LOAD	OPEN	1600	100000	100000
NEHCX	NATIXIS FUNDS	LOOMIS SAYLES HIGH INCOME FD CL C	C	LOAD	OPEN	1600	1000	2500
NEFHX	NATIXIS FUNDS	LOOMIS SAYLES HIGH INCOME FUND CL A	A	LOAD	OPEN	1600	1000	2500
LSHNX	NATIXIS FUNDS	LOOMIS SAYLES HIGH INCOME N	N	NO LOAD	REDEEM ONLY	1600	1000000	1000000
LSDRX	NATIXIS FUNDS	LOOMIS SAYLES INTERM DURATION BD CL A	A	LOAD	OPEN	1600	2500	2500
LSDIX	NATIXIS FUNDS	LOOMIS SAYLES INTERM DURATION BD CL Y	Y	NO LOAD	OPEN	1600	100000	100000
LSDNX	NATIXIS FUNDS	LOOMIS SAYLES INTERM DURATION BOND N	N	NO LOAD	REDEEM ONLY	1600	1000000	1000000
LIGGX	NATIXIS FUNDS	LOOMIS SAYLES INTERNATIONAL GROWTH A	A	LOAD	OPEN	1600	2500	2500
LIGYX	NATIXIS FUNDS	LOOMIS SAYLES INTERNATIONAL GROWTH Y	Y	NO LOAD	OPEN	1600	100000	100000
LGBNX	NATIXIS FUNDS	LOOMIS SAYLES INV GRADE BOND FUND CL N	N	NO LOAD	REDEEM ONLY	1600	1000000	1000000
LIGRX	NATIXIS FUNDS	LOOMIS SAYLES INVESTMENT GRADE BD A	A	LOAD	OPEN	1600	1000	2500
LGBCX	NATIXIS FUNDS	LOOMIS SAYLES INVESTMENT GRADE BD C	C	LOAD	OPEN	1600	1000	2500
LIGAX	NATIXIS FUNDS	LOOMIS SAYLES INVESTMENT GRADE BD FD ADMIN CL SHR	ADMIN	NO LOAD	REDEEM ONLY	1600	0	0
LSIIX	NATIXIS FUNDS	LOOMIS SAYLES INVESTMENT GRADE BOND CL Y	Y	NO LOAD	OPEN	1600	100000	100000
NELYX	NATIXIS FUNDS	LOOMIS SAYLES LIMITED TERM GOVT & AGENCY CL Y	Y	NO LOAD	OPEN	1600	100000	100000
NECLX	NATIXIS FUNDS	LOOMIS SAYLES LTD TERM GOVT AND AGENCY C	C	LOAD	OPEN	1600	1000	2500
NEFLX	NATIXIS FUNDS	LOOMIS SAYLES LTD TERM GOVT AND AGENCY FD CL A	A	LOAD	OPEN	1600	1000	2500
LGANX	NATIXIS FUNDS	LOOMIS SAYLES LTD TERM GOVT AND AGENCY N	N	NO LOAD	REDEEM ONLY	1600	1000000	1000000
LSFCX	NATIXIS FUNDS	LOOMIS SAYLES SENIOR FLOATING RATE & FI C	C	LOAD	OPEN	1600	1000	2500
LSFYX	NATIXIS FUNDS	LOOMIS SAYLES SENIOR FLOATING RATE & FI Y	Y	NO LOAD	OPEN	1600	100000	100000
LSFAX	NATIXIS FUNDS	LOOMIS SAYLES SENIOR FLOATING RATE & FIXED INCM A	A	LOAD	OPEN	1600	0	0
LSFNX	NATIXIS FUNDS	LOOMIS SAYLES SR FLOATING RATE AND FI N	N	NO LOAD	REDEEM ONLY	1600	1000000	1000000
LABAX	NATIXIS FUNDS	LOOMIS SAYLES STRATEGIC ALPHA FUND CL A	A	LOAD	OPEN	1600	1000	2500
LABCX	NATIXIS FUNDS	LOOMIS SAYLES STRATEGIC ALPHA FUND CL C	C	LOAD	OPEN	1600	1000	2500
LASYX	NATIXIS FUNDS	LOOMIS SAYLES STRATEGIC ALPHA FUND CL Y	Y	NO LOAD	OPEN	1600	100000	100000
LASNX	NATIXIS FUNDS	LOOMIS SAYLES STRATEGIC ALPHA N	N	NO LOAD	REDEEM ONLY	1600	1000000	1000000
NECZX	NATIXIS FUNDS	LOOMIS SAYLES STRATEGIC INCM C	C	LOAD	OPEN	1600	1000	2500
NEZAX	NATIXIS FUNDS	LOOMIS SAYLES STRATEGIC INCM FD ADMIN CL SHRS	ADMIN	NO LOAD	REDEEM ONLY	1600	0	0
NEZYX	NATIXIS FUNDS	LOOMIS SAYLES STRATEGIC INCM FD CL Y	Y	NO LOAD	OPEN	1600	100000	100000
NEZNX	NATIXIS FUNDS	LOOMIS SAYLES STRATEGIC INCOME FD CL N	N	NO LOAD	REDEEM ONLY	1600	1000000	1000000
NEFZX	NATIXIS FUNDS	LOOMIS SAYLES STRATEGIC INCOME FUND CL A	A	LOAD	OPEN	1600	1000	2500
MGGAX	NATIXIS FUNDS	MIROVA GBL GREEN BD FD A	A	LOAD	OPEN	1600	2500	2500
MGGYX	NATIXIS FUNDS	MIROVA GBL GREEN BD FD Y	Y	NO LOAD	OPEN	1600	100000	100000
ESGMX	NATIXIS FUNDS	MIROVA GBL SUSTAINABLE EQTY A	A	LOAD	OPEN	1600	2500	2500
ESGYX	NATIXIS FUNDS	MIROVA GBL SUSTAINABLE EQTY Y	Y	NO LOAD	OPEN	1600	100000	100000
ESGCX	NATIXIS FUNDS	MIROVA GLOBAL SUSTAINABLE EQUITY C	C	LOAD	OPEN	1600	2500	2500
ESGNX	NATIXIS FUNDS	MIROVA GLOBAL SUSTAINABLE EQUITY N	N	NO LOAD	REDEEM ONLY	1600	1000000	1000000
MRVAX	NATIXIS FUNDS	MIROVA INTL SUSTAINABLE EQTY A	A	LOAD	OPEN	1600	2500	2500

SYMBOL	FUND FAMILY	FUND DESCRIPTION	SHARE CLASS	FUND TYPE	STATUS	CUT- OFF	IRA MIN	NON-IRA MIN
MRVYX	NATIXIS FUNDS	MIROVA INTL SUSTAINABLE EQTY FD CL Y	Y	NO LOAD	OPEN	1600	100000	100000
NEFOX	NATIXIS FUNDS	NATIXIS OAKMARK FD A	A	LOAD	OPEN	1600	1000	2500
NECOX	NATIXIS FUNDS	NATIXIS OAKMARK FD C	C	LOAD	OPEN	1600	1000	2500
NEOYX	NATIXIS FUNDS	NATIXIS OAKMARK FD Y	Y	NO LOAD	OPEN	1600	100000	100000
NIONX	NATIXIS FUNDS	NATIXIS OAKMARK INTERNATIONAL N	N	NO LOAD	REDEEM ONLY	1600	1000000	1000000
NOIAX	NATIXIS FUNDS	NATIXIS OAKMARK INTL FD A	A	LOAD	OPEN	1600	1000	2500
NOICX	NATIXIS FUNDS	NATIXIS OAKMARK INTL FD C	C	LOAD	OPEN	1600	1000	2500
NOIYX	NATIXIS FUNDS	NATIXIS OAKMARK INTL FD Y	Y	NO LOAD	OPEN	1600	100000	100000
NOANX	NATIXIS FUNDS	NATIXIS OAKMARK N	N	NO LOAD	REDEEM ONLY	1600	1000000	1000000
NSFDX	NATIXIS FUNDS	NATIXIS TARGET RETIREMENT 2020 CL N	N	NO LOAD	REDEEM ONLY	1600	1000000	1000000
NSFEX	NATIXIS FUNDS	NATIXIS TARGET RETIREMENT 2025 CL N	N	NO LOAD	REDEEM ONLY	1600	1000000	1000000
NSFFX	NATIXIS FUNDS	NATIXIS TARGET RETIREMENT 2030 CL N	N	NO LOAD	REDEEM ONLY	1600	1000000	1000000
NSFGX	NATIXIS FUNDS	NATIXIS TARGET RETIREMENT 2035 CL N	N	NO LOAD	REDEEM ONLY	1600	1000000	1000000
NSFHX	NATIXIS FUNDS	NATIXIS TARGET RETIREMENT 2040 CL N	N	NO LOAD	REDEEM ONLY	1600	1000000	1000000
NSFJX	NATIXIS FUNDS	NATIXIS TARGET RETIREMENT 2045 CL N	N	NO LOAD	REDEEM ONLY	1600	1000000	1000000
NSFKX	NATIXIS FUNDS	NATIXIS TARGET RETIREMENT 2050 CL N	N	NO LOAD	REDEEM ONLY	1600	1000000	1000000
NSFLX	NATIXIS FUNDS	NATIXIS TARGET RETIREMENT 2055 CL N	N	NO LOAD	REDEEM ONLY	1600	1000000	1000000
NSFMX	NATIXIS FUNDS	NATIXIS TARGET RETIREMENT 2060 CL N	N	NO LOAD	REDEEM ONLY	1600	1000000	1000000
NSFOX	NATIXIS FUNDS	NATIXIS TARGET RETIREMENT 2065 CL N	N	NO LOAD	REDEEM ONLY	1600	1000000	1000000
NECCX	NATIXIS FUNDS	NATIXIS US EQTY OPPTY FD C	C	LOAD	OPEN	1600	1000	2500
NESYX	NATIXIS FUNDS	NATIXIS US EQTY OPPTY FD Y	Y	NO LOAD	OPEN	1600	100000	100000
NEFSX	NATIXIS FUNDS	NATIXIS US EQUITY OPPORTUNITIES A	A	LOAD	OPEN	1600	1000	2500
NESNX	NATIXIS FUNDS	NATIXIS US EQUITY OPPORTUNITIES N	N	NO LOAD	REDEEM ONLY	1600	1000000	1000000
VNSNX	NATIXIS FUNDS	NATIXIS VAUGHAN NELSON SELECT N	N	NO LOAD	REDEEM ONLY	1600	1000000	1000000
VSCNX	NATIXIS FUNDS	NATIXIS VAUGHAN NELSON SMALL CAP VALUE N	N	NO LOAD	REDEEM ONLY	1600	1000000	1000000
VNSAX	NATIXIS FUNDS	VAUGHAN NELSON SELECT A	A	LOAD	OPEN	1600	1000	2500
VNSYX	NATIXIS FUNDS	VAUGHAN NELSON SELECT Y	Y	NO LOAD	OPEN	1600	100000	100000
NEFJX	NATIXIS FUNDS	VAUGHAN NELSON SMALL CAP VALUE FUND CL A	A	LOAD	OPEN	1600	1000	2500
NEJCX	NATIXIS FUNDS	VAUGHAN NELSON SMALL CAP VALUE FUND CL C	C	LOAD	OPEN	1600	1000	2500
NEJYX	NATIXIS FUNDS	VAUGHAN NELSON SMALL CAP VALUE Y	Y	NO LOAD	OPEN	1600	100000	100000
VNVAX	NATIXIS FUNDS	VAUGHN NELSON MID CAP FD CL A	A	LOAD	OPEN	1600	1000	2500
VNVCX	NATIXIS FUNDS	VAUGHN NELSON MID CAP FD CL C	C	LOAD	OPEN	1600	1000	2500
VNVNX	NATIXIS FUNDS	VAUGHN NELSON MID CAP FD CL N	N	NO LOAD	REDEEM ONLY	1600	1000000	1000000
VNVYX	NATIXIS FUNDS	VAUGHN NELSON MID CAP FD CL Y	Y	NO LOAD	OPEN	1600	100000	100000
NEAGX	NEEDHAM	NEEDHAM AGGRESSIVE GROWTH FD	NO LOAD	NO LOAD	OPEN	1600	100	100
NEAIX	NEEDHAM	NEEDHAM AGGRESSIVE GROWTH FD INST	INSTITUTIONAL	NO LOAD	OPEN	1600	100000	100000
NEEGX	NEEDHAM	NEEDHAM GROWTH	NO LOAD	NO LOAD	OPEN	1600	100	100
NEEIX	NEEDHAM	NEEDHAM GROWTH FUND INST	INSTITUTIONAL	NO LOAD	OPEN	1600	100000	100000
NESGX	NEEDHAM	NEEDHAM SMALL CAP GROWTH	NO LOAD	NO LOAD	OPEN	1600	100	100
NESIX	NEEDHAM	NEEDHAM SMALL CAP GROWTH FUND INST	INSTITUTIONAL	NO LOAD	OPEN	1600	100000	100000
NEIMX	NEIMAN FUNDS	NEIMAN LARGE CAP VALUE	NO LOAD	NO LOAD	OPEN	1600	100	100

SYMBOL	FUND FAMILY	FUND DESCRIPTION	SHARE CLASS	FUND TYPE	STATUS	CUT- OFF	IRA MIN	NON-IRA MIN
NEAMX	NEIMAN FUNDS	NEIMAN LARGE CAP VALUE A	A	NO LOAD	OPEN	1600	0	0
NABAX	NEUBERGER BERMAN	NEUBERGER BERMAN ABSOL RTN MULTI MGR A	A	LOAD	OPEN	1600	1000	1000
NABCX	NEUBERGER BERMAN	NEUBERGER BERMAN ABSOL RTN MULTI MGR C	C	LOAD	OPEN	1600	1000	1000
NABIX	NEUBERGER BERMAN	NEUBERGER BERMAN ABSOL RTN MULTI MRG I	INSTITUTIONAL	NO LOAD	OPEN	1600	0	0
NCRAX	NEUBERGER BERMAN	NEUBERGER BERMAN CORE BD FD CL A	A	LOAD	OPEN	1600	1000	1000
NCRLX	NEUBERGER BERMAN	NEUBERGER BERMAN CORE BD FD INST CL	INSTITUTIONAL	NO LOAD	OPEN	1600	0	0
NCRIX	NEUBERGER BERMAN	NEUBERGER BERMAN CORE BD FD INV CLASS	INVESTOR	NO LOAD	SUBS ONLY	1600	100	100
NCRCX	NEUBERGER BERMAN	NEUBERGER BERMAN CORE BOND C	C	LOAD	OPEN	1600	1000	1000
NRCRX	NEUBERGER BERMAN	NEUBERGER BERMAN CORE BOND R6	R6	NO LOAD	REDEEM ONLY	1600	100000	100000
NDGAX	NEUBERGER BERMAN	NEUBERGER BERMAN DIV GWTH FD A	A	LOAD	OPEN	1600	100	100
NEMCX	NEUBERGER BERMAN	NEUBERGER BERMAN EMERG MKTS EQ FD CL C	C	LOAD	OPEN	1600	1000	1000
NEMRX	NEUBERGER BERMAN	NEUBERGER BERMAN EMERG MKTS EQ R3	R3	NO LOAD	REDEEM ONLY	1600	0	0
NERAX	NEUBERGER BERMAN	NEUBERGER BERMAN EMG MKTS DEBT FD A	A	LOAD	OPEN	1600	100	100
NERIX	NEUBERGER BERMAN	NEUBERGER BERMAN EMRG MKTS DEBT INST	INSTITUTIONAL	NO LOAD	OPEN	1600	0	0
NEMAX	NEUBERGER BERMAN	NEUBERGER BERMAN EMRG MKTS EQTY FD CL A	A	LOAD	OPEN	1600	1000	1000
NEMIX	NEUBERGER BERMAN	NEUBERGER BERMAN EMRG MKTS EQTY FD INST CL	INSTITUTIONAL	NO LOAD	OPEN	1600	0	0
NREMX	NEUBERGER BERMAN	NEUBERGER BERMAN EMRG MKTS EQTY R6	R6	NO LOAD	REDEEM ONLY	1600	0	0
NBHAX	NEUBERGER BERMAN	NEUBERGER BERMAN EQTY INCM FD CL A	A	LOAD	OPEN	1600	1000	1000
NBHGX	NEUBERGER BERMAN	NEUBERGER BERMAN EQTY INCM FD CL C	C	LOAD	OPEN	1600	1000	1000
NBHIX	NEUBERGER BERMAN	NEUBERGER BERMAN EQTY INCM FD INST CL	INSTITUTIONAL	NO LOAD	OPEN	1600	0	0
NBHRX	NEUBERGER BERMAN	NEUBERGER BERMAN EQUITY INCOME R3	R3	NO LOAD	REDEEM ONLY	1600	0	0
NFICX	NEUBERGER BERMAN	NEUBERGER BERMAN FLOATING RATE INC FD CL C	C	LOAD	OPEN	1600	1000	1000
NFIAX	NEUBERGER BERMAN	NEUBERGER BERMAN FLOATING RATE INCM FD CL A	A	LOAD	OPEN	1600	1000	1000
NFIIX	NEUBERGER BERMAN	NEUBERGER BERMAN FLOATING RATE INCM FD INSTL CL	INSTITUTIONAL	NO LOAD	OPEN	1600	0	0
NBFAX	NEUBERGER BERMAN	NEUBERGER BERMAN FOCUS FD ADV CLA	ADVISOR	NO LOAD	OPEN	1600	0	0
NFAAX	NEUBERGER BERMAN	NEUBERGER BERMAN FOCUS FD CL A	A	LOAD	OPEN	1600	1000	1000
NFALX	NEUBERGER BERMAN	NEUBERGER BERMAN FOCUS FD INST	INSTITUTIONAL	NO LOAD	OPEN	1600	0	0
NBSSX	NEUBERGER BERMAN	NEUBERGER BERMAN FOCUS FD INVST CL	INVESTOR	NO LOAD	OPEN	1600	100	100
NBFCX	NEUBERGER BERMAN	NEUBERGER BERMAN FOCUS FD TRUST CL	TRUST	NO LOAD	OPEN	1600	0	0
NBGAX	NEUBERGER BERMAN	NEUBERGER BERMAN GENESIS FD ADV CL	ADVISOR	NO LOAD	OPEN	1600	0	0
NBGNX	NEUBERGER BERMAN	NEUBERGER BERMAN GENESIS FD INV CL	INVESTOR	NO LOAD	OPEN	1600	100	100
NRGSX	NEUBERGER BERMAN	NEUBERGER BERMAN GENESIS FD R6	R6	NO LOAD	REDEEM ONLY	1600	0	0
NBGEX	NEUBERGER BERMAN	NEUBERGER BERMAN GENESIS FD TRUST CL	TRUST	NO LOAD	OPEN	1600	0	0
NBGIX	NEUBERGER BERMAN	NEUBERGER BERMAN GENESIS INSTL	INSTITUTIONAL	NO LOAD	OPEN	1600	0	0
NHIAx	NEUBERGER BERMAN	NEUBERGER BERMAN HIGH INCM BD FD CL A	A	LOAD	OPEN	1600	1000	1000
NHICX	NEUBERGER BERMAN	NEUBERGER BERMAN HIGH INCM BD FD CL C	C	LOAD	OPEN	1600	1000	1000
NHIRX	NEUBERGER BERMAN	NEUBERGER BERMAN HIGH INCM BD FD CL R3	R3	NO LOAD	REDEEM ONLY	1600	0	0
NHILX	NEUBERGER BERMAN	NEUBERGER BERMAN HIGH INCM BD FD INST CL	INSTITUTIONAL	NO LOAD	OPEN	1600	0	0
NRHIX	NEUBERGER BERMAN	NEUBERGER BERMAN HIGH INCM BD R6	R6	NO LOAD	REDEEM ONLY	1600	0	0
NHINX	NEUBERGER BERMAN	NEUBERGER BERMAN HIGH INCOME BOND FD INV CL	INVESTOR	NO LOAD	SUBS ONLY	1600	100	100
NIQCX	NEUBERGER BERMAN	NEUBERGER BERMAN INTERNATIONAL EQ C	C	LOAD	OPEN	1600	1000	1000

SYMBOL	FUND FAMILY	FUND DESCRIPTION	SHARE CLASS	FUND TYPE	STATUS	CUT- OFF	IRA MIN	NON-IRA MIN
NIQAX	NEUBERGER BERMAN	NEUBERGER BERMAN INTL EQTY FD A	A	LOAD	OPEN	1600	1000	1000
NBIIX	NEUBERGER BERMAN	NEUBERGER BERMAN INTL EQTY FD INST CL	INSTITUTIONAL	NO LOAD	OPEN	1600	0	0
NIQVX	NEUBERGER BERMAN	NEUBERGER BERMAN INTL EQTY FD INV CL	INVESTOR	NO LOAD	OPEN	1600	100	100
NRIQX	NEUBERGER BERMAN	NEUBERGER BERMAN INTL EQTY FD R6	R6	NO LOAD	REDEEM ONLY	1600	0	0
NIQTX	NEUBERGER BERMAN	NEUBERGER BERMAN INTL EQTY FD TRUST CL	TRUST	NO LOAD	OPEN	1600	0	0
NBNAX	NEUBERGER BERMAN	NEUBERGER BERMAN INTL SLCT FD A	A	LOAD	OPEN	1600	1000	1000
NILIX	NEUBERGER BERMAN	NEUBERGER BERMAN INTL SLCT FD I	INSTITUTIONAL	NO LOAD	OPEN	1600	0	0
NBNRX	NEUBERGER BERMAN	NEUBERGER BERMAN INTL SLCT FD R3	R3	NO LOAD	REDEEM ONLY	1600	0	0
NILTX	NEUBERGER BERMAN	NEUBERGER BERMAN INTL SLCT FD TRUST	TRUST	NO LOAD	OPEN	1600	100	100
NIOAX	NEUBERGER BERMAN	NEUBERGER BERMAN INTL SM CAP A	A	LOAD	OPEN	1600	100	100
NIOIX	NEUBERGER BERMAN	NEUBERGER BERMAN INTL SM CAP INST	INSTITUTIONAL	NO LOAD	OPEN	1600	0	0
NINCX	NEUBERGER BERMAN	NEUBERGER BERMAN INTRINSIC VALUE FD	C	LOAD	OPEN	1600	1000	1000
NINAX	NEUBERGER BERMAN	NEUBERGER BERMAN INTRINSIC VALUE FD A	A	LOAD	OPEN	1600	1000	2500
NINLX	NEUBERGER BERMAN	NEUBERGER BERMAN INTRINSIC VALUE FD I	INSTITUTIONAL	NO LOAD	OPEN	1600	0	0
NRINX	NEUBERGER BERMAN	NEUBERGER BERMAN INTRINSIC VALUE R6	R6	NO LOAD	REDEEM ONLY	1600	0	0
NGDAX	NEUBERGER BERMAN	NEUBERGER BERMAN LARGE CAP GROWTH A	A	LOAD	OPEN	1600	1000	1000
NBGUX	NEUBERGER BERMAN	NEUBERGER BERMAN LARGE CAP GROWTH ADVISOR	ADVISOR	NO LOAD	OPEN	1600	0	0
NGDCX	NEUBERGER BERMAN	NEUBERGER BERMAN LARGE CAP GROWTH C	C	LOAD	OPEN	1600	1000	1000
NGDLX	NEUBERGER BERMAN	NEUBERGER BERMAN LARGE CAP GROWTH INSTITUTIONAL	INSTITUTIONAL	NO LOAD	OPEN	1600	0	0
NGUAX	NEUBERGER BERMAN	NEUBERGER BERMAN LARGE CAP GROWTH INVESTOR	INVESTOR	NO LOAD	SUBS ONLY	1600	100	100
NGDRX	NEUBERGER BERMAN	NEUBERGER BERMAN LARGE CAP GROWTH R3	R3	NO LOAD	REDEEM ONLY	1600	0	0
NBGTX	NEUBERGER BERMAN	NEUBERGER BERMAN LARGE CAP GROWTH TRUST	TRUST	NO LOAD	OPEN	1600	0	0
NPNAX	NEUBERGER BERMAN	NEUBERGER BERMAN LARGE CAP VALUE A	A	LOAD	OPEN	1600	1000	1000
NPNCX	NEUBERGER BERMAN	NEUBERGER BERMAN LARGE CAP VALUE C	C	LOAD	OPEN	1600	1000	1000
NBPBX	NEUBERGER BERMAN	NEUBERGER BERMAN LARGE CAP VALUE FD ADV	ADVISOR	NO LOAD	OPEN	1600	0	0
NBPIX	NEUBERGER BERMAN	NEUBERGER BERMAN LARGE CAP VALUE INST	INSTITUTIONAL	NO LOAD	OPEN	1600	0	0
NPRTX	NEUBERGER BERMAN	NEUBERGER BERMAN LARGE CAP VALUE INV	INVESTOR	NO LOAD	OPEN	1600	100	100
NPNRX	NEUBERGER BERMAN	NEUBERGER BERMAN LARGE CAP VALUE R3	R3	NO LOAD	REDEEM ONLY	1600	0	0
NRLCX	NEUBERGER BERMAN	NEUBERGER BERMAN LARGE CAP VALUE R6	R6	NO LOAD	REDEEM ONLY	1600	0	0
NBPTX	NEUBERGER BERMAN	NEUBERGER BERMAN LARGE CAP VALUE TRUST	TRUST	NO LOAD	OPEN	1600	0	0
NLSAX	NEUBERGER BERMAN	NEUBERGER BERMAN LONG SHORT FD A	A	LOAD	OPEN	1600	1000	1000
NLSCX	NEUBERGER BERMAN	NEUBERGER BERMAN LONG SHORT FD C	C	LOAD	OPEN	1600	1000	1000
NLSIX	NEUBERGER BERMAN	NEUBERGER BERMAN LONG SHORT FD INST	INSTITUTIONAL	NO LOAD	OPEN	1600	0	0
NBRRX	NEUBERGER BERMAN	NEUBERGER BERMAN MC INTRINSIC VAL R3	R3	NO LOAD	REDEEM ONLY	1600	0	0
NMGAX	NEUBERGER BERMAN	NEUBERGER BERMAN MID CAP GROWTH FD CL A	A	LOAD	OPEN	1600	1000	1000
NMGCX	NEUBERGER BERMAN	NEUBERGER BERMAN MID CAP GROWTH FD CL C	C	LOAD	OPEN	1600	1000	1000
NMGRX	NEUBERGER BERMAN	NEUBERGER BERMAN MID CAP GROWTH FD CL R3	R3	NO LOAD	REDEEM ONLY	1600	0	0
NBMLX	NEUBERGER BERMAN	NEUBERGER BERMAN MID CAP GROWTH FD INST	INSTITUTIONAL	NO LOAD	OPEN	1600	0	0
NMANX	NEUBERGER BERMAN	NEUBERGER BERMAN MID CAP GROWTH FD INV CL	INVESTOR	NO LOAD	SUBS ONLY	1600	100	100
NRMGX	NEUBERGER BERMAN	NEUBERGER BERMAN MID CAP GROWTH FD R6	R6	NO LOAD	REDEEM ONLY	1600	0	0
NBMBX	NEUBERGER BERMAN	NEUBERGER BERMAN MID CAP GROWTH FUND ADV CL	ADVISOR	NO LOAD	OPEN	1600	0	0

SYMBOL	FUND FAMILY	FUND DESCRIPTION	SHARE CLASS	FUND TYPE	STATUS	CUT- OFF	IRA MIN	NON-IRA MIN
NBMTX	NEUBERGER BERMAN	NEUBERGER BERMAN MID CAP GWTH FD TRUST CL	TRUST	NO LOAD	OPEN	1600	0	0
NBRAX	NEUBERGER BERMAN	NEUBERGER BERMAN MID CAP INTRINSIC VALUE A	A	LOAD	OPEN	1600	1000	1000
NBRCX	NEUBERGER BERMAN	NEUBERGER BERMAN MID CAP INTRINSIC VALUE C	C	LOAD	OPEN	1600	1000	1000
NBRTX	NEUBERGER BERMAN	NEUBERGER BERMAN MID CAP INTRINSIC VALUE INST	INSTITUTIONAL	NO LOAD	OPEN	1600	0	0
NBRVX	NEUBERGER BERMAN	NEUBERGER BERMAN MID CAP INTRINSIC VALUE INV	INVESTOR	NO LOAD	SUBS ONLY	1600	100	100
NBREX	NEUBERGER BERMAN	NEUBERGER BERMAN MID CAP INTRINSIC VALUE TRUST	TRUST	NO LOAD	OPEN	1600	0	0
NMUCX	NEUBERGER BERMAN	NEUBERGER BERMAN MULTI CAP OPP C	C	LOAD	OPEN	1600	1000	1000
NMULX	NEUBERGER BERMAN	NEUBERGER BERMAN MULTI CAP OPP INST	INSTITUTIONAL	NO LOAD	OPEN	1600	0	0
NMUAX	NEUBERGER BERMAN	NEUBERGER BERMAN MULTI CAP OPPTY FD CL A	A	LOAD	OPEN	1600	1000	1000
NMHAX	NEUBERGER BERMAN	NEUBERGER BERMAN MUNI HIGH INCM CL A	A	LOAD	OPEN	1600	100	100
NMHIX	NEUBERGER BERMAN	NEUBERGER BERMAN MUNI HIGH INCM INST	INSTITUTIONAL	NO LOAD	OPEN	1600	0	0
NMNCX	NEUBERGER BERMAN	NEUBERGER BERMAN MUNI INTERM BD C	C	LOAD	OPEN	1600	1000	1000
NMNAX	NEUBERGER BERMAN	NEUBERGER BERMAN MUNI INTERM BD CL A	A	LOAD	OPEN	1600	1000	1000
NMNLX	NEUBERGER BERMAN	NEUBERGER BERMAN MUNI INTERM BD INST	INSTITUTIONAL	NO LOAD	OPEN	1600	0	0
NMUIX	NEUBERGER BERMAN	NEUBERGER BERMAN MUNI INTERMEDIATE BD FD INV CL	INVESTOR	NO LOAD	SUBS ONLY	1600	100	100
NMIIX	NEUBERGER BERMAN	NEUBERGER BERMAN MUNICIPAL IMPACT FD INST	INSTITUTIONAL	NO LOAD	OPEN	1600	0	0
NREAX	NEUBERGER BERMAN	NEUBERGER BERMAN REAL ESTATE FD CL A	A	LOAD	OPEN	1600	1000	1000
NRECX	NEUBERGER BERMAN	NEUBERGER BERMAN REAL ESTATE FD CL C	C	LOAD	OPEN	1600	1000	1000
NRREX	NEUBERGER BERMAN	NEUBERGER BERMAN REAL ESTATE FD CL R6	R6	NO LOAD	REDEEM ONLY	1600	0	0
NBRIX	NEUBERGER BERMAN	NEUBERGER BERMAN REAL ESTATE FD INST CL	INSTITUTIONAL	NO LOAD	OPEN	1600	0	0
NRERX	NEUBERGER BERMAN	NEUBERGER BERMAN REAL ESTATE R3	R3	NO LOAD	REDEEM ONLY	1600	0	0
NBRFX	NEUBERGER BERMAN	NEUBERGER BERMAN REAL ESTATE TRUST CL	TRUST	NO LOAD	OPEN	1600	0	0
NSNAX	NEUBERGER BERMAN	NEUBERGER BERMAN SMALL CAP GROWTH FD CL A	A	LOAD	OPEN	1600	1000	1000
NSNCX	NEUBERGER BERMAN	NEUBERGER BERMAN SMALL CAP GROWTH FD CL C	C	LOAD	OPEN	1600	1000	1000
NBSMX	NEUBERGER BERMAN	NEUBERGER BERMAN SMALL CAP GROWTH FD INST CL	INSTITUTIONAL	NO LOAD	OPEN	1600	0	0
NBMIX	NEUBERGER BERMAN	NEUBERGER BERMAN SMALL CAP GROWTH FD INV CL	INVESTOR	NO LOAD	SUBS ONLY	1600	100	100
NBMOX	NEUBERGER BERMAN	NEUBERGER BERMAN SMALL CAP GROWTH FD TRUST CL	TRUST	NO LOAD	OPEN	1600	0	0
NBMVX	NEUBERGER BERMAN	NEUBERGER BERMAN SMALL CAP GROWTH FUND ADV CL	ADVISOR	NO LOAD	OPEN	1600	0	0
NSRSX	NEUBERGER BERMAN	NEUBERGER BERMAN SMALL CAP GROWTH R6	R6	NO LOAD	REDEEM ONLY	1600	0	0
NSNRX	NEUBERGER BERMAN	NEUBERGER BERMAN SMALL CAP GWTH FD CL R3	R3	NO LOAD	REDEEM ONLY	1600	0	0
NRSIX	NEUBERGER BERMAN	NEUBERGER BERMAN STRAT INCM R6	R6	NO LOAD	REDEEM ONLY	1600	0	0
NSTTX	NEUBERGER BERMAN	NEUBERGER BERMAN STRAT INCM TRUST	TRUST	NO LOAD	OPEN	1600	100	100
NSTAX	NEUBERGER BERMAN	NEUBERGER BERMAN STRATEGIC INCM FD CL A	A	LOAD	OPEN	1600	1000	1000
NSTLX	NEUBERGER BERMAN	NEUBERGER BERMAN STRATEGIC INCM FD INST CL	INSTITUTIONAL	NO LOAD	OPEN	1600	0	0
NSTCX	NEUBERGER BERMAN	NEUBERGER BERMAN STRATEGIC INCOME FD CL C	C	LOAD	OPEN	1600	1000	1000
NRAAX	NEUBERGER BERMAN	NEUBERGER BERMAN SUSTAINABLE EQ FD CL A	A	LOAD	OPEN	1600	1000	1000
NRACX	NEUBERGER BERMAN	NEUBERGER BERMAN SUSTAINABLE EQ FD CL C	C	LOAD	OPEN	1600	1000	1000
NRARX	NEUBERGER BERMAN	NEUBERGER BERMAN SUSTAINABLE EQ FD CL R3	R3	NO LOAD	REDEEM ONLY	1600	0	0
NRSRX	NEUBERGER BERMAN	NEUBERGER BERMAN SUSTAINABLE EQ FD CL R6	R6	NO LOAD	REDEEM ONLY	1600	0	0
NBSLX	NEUBERGER BERMAN	NEUBERGER BERMAN SUSTAINABLE EQ FD INST	INSTITUTIONAL	NO LOAD	OPEN	1600	0	0
NBSRX	NEUBERGER BERMAN	NEUBERGER BERMAN SUSTAINABLE EQ FD INV	INVESTOR	NO LOAD	SUBS ONLY	1600	100	100

SYMBOL	FUND FAMILY	FUND DESCRIPTION	SHARE CLASS	FUND TYPE	STATUS	CUT- OFF	IRA MIN	NON-IRA MIN
NBSTX	NEUBERGER BERMAN	NEUBERGER BERMAN SUSTAINABLE EQ FD TRUST CL	TRUST	NO LOAD	OPEN	1600	0	0
NALFX	NEW ALTERNATIVES	NEW ALTERNATIVES	A	LOAD	OPEN	1600	2000	2500
NAEFX	NEW ALTERNATIVES	NEW ALTERNATIVES INVESTOR	INVESTOR	NO LOAD	SUBS ONLY	1600	3500	3500
NCBGX	NEW COVENANT	NEW COVENANT BALANCED GROWTH	A	NO LOAD	REDEEM ONLY	1600	500	500
NCBIX	NEW COVENANT	NEW COVENANT BALANCED INCOME	A	NO LOAD	REDEEM ONLY	1600	999999	999999
NCGFX	NEW COVENANT	NEW COVENANT GROWTH	A	NO LOAD	REDEEM ONLY	1600	500	500
NCICX	NEW COVENANT	NEW COVENANT INCOME	A	NO LOAD	REDEEM ONLY	1600	500	500
NRSAX	NEXPOINT	NEXPOINT REAL ESTATE STRATEGIES A	A	LOAD	OPEN	1600	0	0
NRSCX	NEXPOINT	NEXPOINT REAL ESTATE STRATEGIES C	C	LOAD	OPEN	1600	50	500
NSEIX	NICHOLAS	NICHOLAS EQTY INCOME FD CLASS I	I	NO LOAD	OPEN	1600	500	500
NICSX	NICHOLAS	NICHOLAS FUND CLASS I	NO LOAD	NO LOAD	OPEN	1600	100	100
NNTWX	NICHOLAS	NICHOLAS II FUND CL N	N	NO LOAD	OPEN	1600	100	100
NCTWX	NICHOLAS	NICHOLAS II FUND CLASS I	I	NO LOAD	OPEN	1600	100000	100000
NCLEX	NICHOLAS	NICHOLAS LIMITED EDITION FUND CL I	I	NO LOAD	OPEN	1600	100000	100000
NNLEX	NICHOLAS	NICHOLAS LIMITED EDITION FUND CL N	N	NO LOAD	OPEN	1600	100	100
NPSGX	NICHOLAS PARTNERS	NICHOLAS PARTNERS SMALL CAP GWTH FD INST	INSTITUTIONAL	NO LOAD	OPEN	1600	100000	100000
ZEMAX	NINETY ONE FUNDS	NINETY ONE EMRG MKTS EQTY FD CL A	A	LOAD	OPEN	1600	0	0
ZEMIX	NINETY ONE FUNDS	NINETY ONE EMRG MKTS EQTY FD CL I	I	NO LOAD	OPEN	1600	0	0
ZGFAX	NINETY ONE FUNDS	NINETY ONE GLBL FRANCHISE FD CL A	A	LOAD	OPEN	1600	0	0
ZGFIX	NINETY ONE FUNDS	NINETY ONE GLBL FRANCHISE FD CL I	I	NO LOAD	OPEN	1600	0	0
NAIFX	NOMURA	NOMURA ALTERNATIVE INCOME I	I	NO LOAD	OPEN	1600	0	0
ADVGX	NORTH SQUARE	NORTH SQUARE ADVISORY RESEARCH ALL CAP VALUE FD CL	I	NO LOAD	OPEN	1600	0	0
NSIVX	NORTH SQUARE	NORTH SQUARE ALTRINSIC INTL EQ I	I	NO LOAD	OPEN	1600	0	0
STTIX	NORTH SQUARE	NORTH SQUARE CORE PLUS BOND FD I	I	NO LOAD	OPEN	1600	0	0
ORSAX	NORTH SQUARE	NORTH SQUARE DYNAMIC SMALL CAP A	A	LOAD	OPEN	1600	0	0
ORSIX	NORTH SQUARE	NORTH SQUARE DYNAMIC SMALL CAP FD CL I	I	NO LOAD	OPEN	1600	0	0
ETFZX	NORTH SQUARE	NORTH SQUARE INVESTMENTS TRUST TACT DEF FD CL C	C	LOAD	OPEN	1600	0	0
ETFWX	NORTH SQUARE	NORTH SQUARE INVESTMENTS TRUST TACTIC DEFNSV I	I	NO LOAD	OPEN	1600	0	0
ETFRX	NORTH SQUARE	NORTH SQUARE INVESTMENTS TRUST TACTICAL DEFE A	A	LOAD	OPEN	1600	0	0
ETFCX	NORTH SQUARE	NORTH SQUARE INVESTMENTS TRUST TACTICAL GROW C	C	LOAD	OPEN	1600	0	0
ETFOX	NORTH SQUARE	NORTH SQUARE INVESTMENTS TRUST TACTICAL GROW I	I	NO LOAD	OPEN	1600	0	0
ETFAX	NORTH SQUARE	NORTH SQUARE INVTS T	A	LOAD	OPEN	1600	0	0
ORILX	NORTH SQUARE	NORTH SQUARE MULTI STRATEGY FD CL A	A	LOAD	OPEN	1600	0	0
PORYX	NORTH SQUARE	NORTH SQUARE MULTI STRATEGY FD CL I	I	NO LOAD	OPEN	1600	0	0
ORIGX	NORTH SQUARE	NORTH SQUARE OAK RIDGE SMALL CAP GWTH FD CL A	A	LOAD	OPEN	1600	0	0
ORIXX	NORTH SQUARE	NORTH SQUARE OAK RIDGE SMALL CAP GWTH FD CL I	I	NO LOAD	OPEN	1600	0	1000000
ORDNX	NORTH SQUARE	NORTH SQUARE PREFERRED AND INCOME SECS I	I	NO LOAD	OPEN	1600	0	0
ADVAX	NORTH SQUARE	NORTH SQUARE STRATEGIC INCOME A	A	LOAD	OPEN	1600	0	0
ADVNX	NORTH SQUARE	NORTH SQUARE STRATEGIC INCOME FD CL I	I	NO LOAD	OPEN	1600	0	0
NTHSX	NORTHEAST	NORTHEAST INVESTORS TRUST	NO LOAD	NO LOAD	OPEN	1600	500	1000
NMMEX	NORTHERN	NORTHERN ACTIVE M EMRG MKTS EQUITY FUND	NO LOAD	NO LOAD	OPEN	1600	50	50

SYMBOL	FUND FAMILY	FUND DESCRIPTION	SHARE CLASS	FUND TYPE	STATUS	CUT- OFF	IRA MIN	NON-IRA MIN
NMIEX	NORTHERN	NORTHERN ACTIVE M INTL EQUITY FUND	NO LOAD	NO LOAD	OPEN	1600	50	50
NOAZX	NORTHERN	NORTHERN AZ TAX EXEMPT FUND	NO LOAD	NO LOAD	OPEN	1600	50	50
NOBOX	NORTHERN	NORTHERN BD INDEX	NO LOAD	NO LOAD	OPEN	1600	50	50
NCITX	NORTHERN	NORTHERN CA INTER TAX EXEMPT FD	NO LOAD	NO LOAD	OPEN	1600	50	50
NCATX	NORTHERN	NORTHERN CA TAXEXEMPT FUND	NO LOAD	NO LOAD	OPEN	1600	50	50
NOCBX	NORTHERN	NORTHERN CORE BOND	NO LOAD	NO LOAD	OPEN	1600	50	50
NOEMX	NORTHERN	NORTHERN EMRG MKTS EQTY INDEX FD	NO LOAD	NO LOAD	OPEN	1600	50	50
NUEIX	NORTHERN	NORTHERN FDS U S QULIY ESG I	I	NO LOAD	OPEN	1600	0	0
NOFIX	NORTHERN	NORTHERN FXD INCM FD	NO LOAD	NO LOAD	OPEN	1600	50	50
NGREX	NORTHERN	NORTHERN GBL REAL ESTATE FD	NO LOAD	NO LOAD	OPEN	1600	50	50
BBALX	NORTHERN	NORTHERN GLBL TACTICAL ASSET ALLOC	NO LOAD	NO LOAD	OPEN	1600	50	50
NSRKX	NORTHERN	NORTHERN GLOBAL SUSTAINABILITY IND	K	NO LOAD	REDEEM ONLY	1600	0	0
NSRIX	NORTHERN	NORTHERN GLOBAL SUSTAINABILITY INDEX	NO LOAD	NO LOAD	OPEN	1600	50	50
NHFIX	NORTHERN	NORTHERN HIGH YIELD FIXED INCOME FUND	NO LOAD	NO LOAD	OPEN	1600	50	50
NHYMX	NORTHERN	NORTHERN HIGHYIELD MUNI BOND FUND	NO LOAD	NO LOAD	OPEN	1600	50	50
NOIEX	NORTHERN	NORTHERN INCOME EQUITY FUND	NO LOAD	NO LOAD	OPEN	1600	50	50
NOITX	NORTHERN	NORTHERN INTERMEDIATE TAXEXEMPT	NO LOAD	NO LOAD	OPEN	1600	50	50
NOIGX	NORTHERN	NORTHERN INTL EQTY FD	NO LOAD	NO LOAD	OPEN	1600	50	50
NOINX	NORTHERN	NORTHERN INTL EQTY INDEX	NO LOAD	NO LOAD	OPEN	1600	50	50
NOLVX	NORTHERN	NORTHERN LARGE CAP VALUE FUND	NO LOAD	NO LOAD	OPEN	1600	50	50
NOLCX	NORTHERN	NORTHERN LG CAP CORE FD FD	NO LOAD	NO LOAD	OPEN	1600	50	50
NSITX	NORTHERN	NORTHERN LIMITED TERM TAX EXEMPT	NO LOAD	NO LOAD	OPEN	1600	50	50
NSIUX	NORTHERN	NORTHERN LIMITED TERM US GOVT FUND	NO LOAD	NO LOAD	OPEN	1600	50	50
NOMIX	NORTHERN	NORTHERN MID CAP INDEX	NO LOAD	NO LOAD	OPEN	1600	50	50
NMFIK	NORTHERN	NORTHERN MULTI MANAGER GLBL LISTED INFRAS A	A	NO LOAD	OPEN	1600	50	50
NMMGX	NORTHERN	NORTHERN MULTI MANAGER GLOBAL REAL ESTATE	NO LOAD	NO LOAD	OPEN	1600	50	50
NMHYX	NORTHERN	NORTHERN MULTI MANAGER HIGH YIELD OPPTY	NO LOAD	NO LOAD	OPEN	1600	50	50
BSBAX	NORTHERN	NORTHERN SHORT BOND	NO LOAD	NO LOAD	OPEN	1600	50	50
NSGRX	NORTHERN	NORTHERN SMALL CAP CORE FUND	NO LOAD	NO LOAD	OPEN	1600	50	50
NSCKX	NORTHERN	NORTHERN SMALL CAP CORE K	K	NO LOAD	REDEEM ONLY	1600	100000	100000
NSIDX	NORTHERN	NORTHERN SMALL CAP INDEX	NO LOAD	NO LOAD	OPEN	1600	50	50
NOSGX	NORTHERN	NORTHERN SMALL CAP VALUE FUND	NO LOAD	NO LOAD	OPEN	1600	50	50
NOSIX	NORTHERN	NORTHERN STK INDEX FD	NO LOAD	NO LOAD	OPEN	1600	50	50
NTAUX	NORTHERN	NORTHERN TAX ADVANTAGED ULTRA SHORT FXD INC	NO LOAD	NO LOAD	OPEN	1600	50	50
NOTEX	NORTHERN	NORTHERN TAXEXEMPT FUND	NO LOAD	NO LOAD	OPEN	1600	50	50
NUSFX	NORTHERN	NORTHERN ULTRA SHORT FIXED INCM	NO LOAD	NO LOAD	OPEN	1600	50	50
NOGXX	NORTHERN	NORTHERN US GOVERNMENT MONEY MARKET	NO LOAD	NO LOAD	REDEEM ONLY	1600	100000	100000
NOUGX	NORTHERN	NORTHERN US GOVT FD	NO LOAD	NO LOAD	OPEN	1600	50	50
NUESX	NORTHERN	NORTHERN US QUALITY ESG K	K	NO LOAD	REDEEM ONLY	1600	0	0
BTIAX	NORTHERN	NORTHERN US TRSY INDEX FUND	A	NO LOAD	REDEEM ONLY	1600	50	50
DDDAX	NORTHERN LIGHTS FUNDS	13D ACTIVIST FD CL A	A	LOAD	OPEN	1600	100	100

SYMBOL	FUND FAMILY	FUND DESCRIPTION	SHARE CLASS	FUND TYPE	STATUS	CUT- OFF	IRA MIN	NON-IRA MIN
DDDCX	NORTHERN LIGHTS FUNDS	13D ACTIVIST FD CL C	C	LOAD	OPEN	1600	2500	2500
DDDIX	NORTHERN LIGHTS FUNDS	13D ACTIVIST FD CL I	INSTITUTIONAL	NO LOAD	OPEN	1600	0	0
AAMAX	NORTHERN LIGHTS FUNDS	ABSOLUTE CAP ASSET ALLOCATOR A	A	LOAD WAIVED	REDEEM ONLY	1600	100	100
ACMAX	NORTHERN LIGHTS FUNDS	ABSOLUTE CAP DEFENDER FD A	A	LOAD WAIVED	REDEEM ONLY	1600	100	100
AAMCX	NORTHERN LIGHTS FUNDS	ABSOLUTE CAPITAL ASSET ALLOCATOR INV	INVESTOR	NO LOAD	OPEN	1600	100	100
ACMDX	NORTHERN LIGHTS FUNDS	ABSOLUTE CAPITAL DEFENDER INVESTOR	INVESTOR	NO LOAD	OPEN	1600	100	100
DPFAX	NORTHERN LIGHTS FUNDS	DEER PARK TOTAL RETURN CREDIT A	A	LOAD	OPEN	1600	100	100
DPFNX	NORTHERN LIGHTS FUNDS	DEER PARK TOTAL RETURN CREDIT I	INSTITUTIONAL	NO LOAD	OPEN	1600	100000	100000
LAWAX	NORTHERN LIGHTS FUNDS	LADENBURG AGGRESSIVE GROWTH A	A	LOAD	OPEN	1600	100	100
LAGIX	NORTHERN LIGHTS FUNDS	LADENBURG AGGRESSIVE GWTH I	INSTITUTIONAL	NO LOAD	OPEN	1600	1000	1000000
LOWAX	NORTHERN LIGHTS FUNDS	LADENBURG GROWTH & INCOME A	A	LOAD	OPEN	1600	100	100
LGWAX	NORTHERN LIGHTS FUNDS	LADENBURG GROWTH A	A	LOAD	OPEN	1600	100	100
LGWIX	NORTHERN LIGHTS FUNDS	LADENBURG GROWTH I	INSTITUTIONAL	NO LOAD	OPEN	1600	1000	1000000
LOWIX	NORTHERN LIGHTS FUNDS	LADENBURG GWTH & INCM I	INSTITUTIONAL	NO LOAD	OPEN	1600	1000000	1000000
LNOIX	NORTHERN LIGHTS FUNDS	LADENBURG INCM & GWTH I	INSTITUTIONAL	NO LOAD	OPEN	1600	1000	1000000
LNOAX	NORTHERN LIGHTS FUNDS	LADENBURG INCOME & GROWTH A	A	LOAD	OPEN	1600	100	100
LNCAX	NORTHERN LIGHTS FUNDS	LADENBURG INCOME A	A	LOAD	OPEN	1600	100	100
LNCIX	NORTHERN LIGHTS FUNDS	LADENBURG INCOME I	INSTITUTIONAL	NO LOAD	OPEN	1600	1000	1000000
LBETX	NORTHERN LIGHTS FUNDS	LGM RISK MANAGED TOTAL RETURN FD CL I	INSTITUTIONAL	NO LOAD	OPEN	1600	0	0
LONAX	NORTHERN LIGHTS FUNDS	LONGBOARD A	A	LOAD	OPEN	1600	0	0
LONGX	NORTHERN LIGHTS FUNDS	LONGBOARD I	I	NO LOAD	OPEN	1600	2500	100000
MOWIX	NORTHERN LIGHTS FUNDS	MOERUS WORLDWIDE VALUE INST	INSTITUTIONAL	NO LOAD	OPEN	1600	100000	100000
MOWNX	NORTHERN LIGHTS FUNDS	MOERUS WORLDWIDE VALUE N	N	NO LOAD	OPEN	1600	100	100
NTBAX	NORTHERN LIGHTS FUNDS	NAVIGATOR TACTICAL FIX INCM A	A	LOAD	OPEN	1600	100	100
NTBIX	NORTHERN LIGHTS FUNDS	NAVIGATOR TACTICAL FIX INCM I	INSTITUTIONAL	NO LOAD	OPEN	1600	25000	25000
NTBCX	NORTHERN LIGHTS FUNDS	NAVIGATOR TACTICAL FIXED INCOME C	C	LOAD	OPEN	1600	5000	5000
NTIIX	NORTHERN LIGHTS FUNDS	NAVIGATOR TACTICAL INVESTMENT GRD BD I	I	NO LOAD	OPEN	1600	25000	25000
NUSIX	NORTHERN LIGHTS FUNDS	NAVIGATOR ULTRA SHORT BD FD I	INSTITUTIONAL	NO LOAD	OPEN	1600	25000	25000
NSBDX	NORTHERN LIGHTS FUNDS	NORTH STAR BOND FD INST	INSTITUTIONAL	NO LOAD	OPEN	1600	5000	5000
NSDVX	NORTHERN LIGHTS FUNDS	NORTH STAR DIV FD I	INSTITUTIONAL	NO LOAD	OPEN	1600	5000	5000
NSMVX	NORTHERN LIGHTS FUNDS	NORTH STAR MICRO CAP FD I	INSTITUTIONAL	NO LOAD	OPEN	1600	5000	5000
NSOPX	NORTHERN LIGHTS FUNDS	NORTH STAR OPPTY FD A	A	LOAD	OPEN	1600	100	100
NSOIX	NORTHERN LIGHTS FUNDS	NORTH STAR OPPTY FD I	INSTITUTIONAL	NO LOAD	OPEN	1600	5000	5000
WSCVX	NORTHERN LIGHTS FUNDS	NORTHERN LTS FD TR I	INSTITUTIONAL	NO LOAD	OPEN	1600	2500	2500
WFICX	NORTHERN LIGHTS FUNDS	NORTHERN LTS FD TR I	INSTITUTIONAL	NO LOAD	OPEN	1600	100000	100000
LSEIX	NORTHERN LIGHTS FUNDS	PERSIMMON LONG SHORT I	INSTITUTIONAL	NO LOAD	OPEN	1600	100000	100000
PFDX	NORTHERN LIGHTS FUNDS	PFG ACTIVE CORE BOND STRAT FD CL R	R	NO LOAD	OPEN	1600	0	0
PFCOX	NORTHERN LIGHTS FUNDS	PFG AMERICAN FUNDS CONS INC STRAT R	R	NO LOAD	OPEN	1600	0	0
PFGGX	NORTHERN LIGHTS FUNDS	PFG AMERICAN FUNDS GROWTH STRATEGY R	R	NO LOAD	OPEN	1600	0	0
PFADX	NORTHERN LIGHTS FUNDS	PFG BNY MELLON DIVERSIFIER STRAT FD CL R	R	NO LOAD	OPEN	1600	0	0
PFESX	NORTHERN LIGHTS FUNDS	PFG BR TARGET ALLOC EQY STRATEGY R	R	NO LOAD	OPEN	1600	0	0

SYMBOL	FUND FAMILY	FUND DESCRIPTION	SHARE CLASS	FUND TYPE	STATUS	CUT- OFF	IRA MIN	NON-IRA MIN
PFFFX	NORTHERN LIGHTS FUNDS	PFG FDLT INS AM&EQ IDX STR R	R	NO LOAD	OPEN	1600	0	0
PFFSX	NORTHERN LIGHTS FUNDS	PFG FDLT INS AM&EQ SCTR STR R	R	NO LOAD	OPEN	1600	0	0
PFFBX	NORTHERN LIGHTS FUNDS	PFG FID INST AM CORE PLUS STRATEGY R	R	NO LOAD	OPEN	1600	0	0
PFSGX	NORTHERN LIGHTS FUNDS	PFG GLOBAL EQUITY INDEX CLASS R	R	NO LOAD	OPEN	1600	0	0
PFIGX	NORTHERN LIGHTS FUNDS	PFG INVES EQY FACTOR ROTATION STRTG R	R	NO LOAD	OPEN	1600	0	0
PFJHX	NORTHERN LIGHTS FUNDS	PFG JANUS HENDERSON BALANCED STRAT R	R	NO LOAD	OPEN	1600	0	0
PFSEX	NORTHERN LIGHTS FUNDS	PFG JP MORGAN TACTICAL AGGRESSIVE STRAT FD CL R	R	NO LOAD	OPEN	1600	0	0
PFJDX	NORTHERN LIGHTS FUNDS	PFG JP MORGAN TACTICAL MODERATE STRAT FD CL R	R	NO LOAD	OPEN	1600	100	100
PFTEX	NORTHERN LIGHTS FUNDS	PFG MEEDER TACTICAL STRAT FD CL R	R	NO LOAD	OPEN	1600	0	0
PFSMX	NORTHERN LIGHTS FUNDS	PFG MFS AGGRESSIVE GWTH STRAT FD CL R	R	NO LOAD	OPEN	1600	0	0
PFTSX	NORTHERN LIGHTS FUNDS	PFG TACTICAL INCOME STRATEGY R	R	NO LOAD	OPEN	1600	0	0
PFSSX	NORTHERN LIGHTS FUNDS	PFG US EQUITY INDEX FUND CLASS R	R	NO LOAD	OPEN	1600	100	100
APSHX	NORTHERN LIGHTS FUNDS	PINNACLE MULTISTRATEGY CORE A	A	LOAD	OPEN	1600	100	100
CPSHX	NORTHERN LIGHTS FUNDS	PINNACLE MULTISTRATEGY CORE C	C	LOAD	OPEN	1600	2000	2000
IPSHX	NORTHERN LIGHTS FUNDS	PINNACLE MULTISTRATEGY CORE INST	INSTITUTIONAL	NO LOAD	OPEN	1600	0	0
PPFAX	NORTHERN LIGHTS FUNDS	PRINCETON PREMIUM FD CL A	A	LOAD	OPEN	1600	100	100
PPFIX	NORTHERN LIGHTS FUNDS	PRINCETON PREMIUM FD CL I	INSTITUTIONAL	NO LOAD	OPEN	1600	100000	100000
RQEAX	NORTHERN LIGHTS FUNDS	RESQ DYNAMIC ALLOCATION FD A	A	LOAD	OPEN	1600	0	0
RQEIX	NORTHERN LIGHTS FUNDS	RESQ DYNAMIC ALLOCATION FD I	INSTITUTIONAL	NO LOAD	OPEN	1600	0	0
RQIAX	NORTHERN LIGHTS FUNDS	RESQ STRATEGIC INCM FD A	A	LOAD	OPEN	1600	0	0
RQIIX	NORTHERN LIGHTS FUNDS	RESQ STRATEGIC INCM FD I	INSTITUTIONAL	NO LOAD	OPEN	1600	0	0
SIRAX	NORTHERN LIGHTS FUNDS	SIERRA TACTICAL ALL ASSET FD CL A	A	LOAD	OPEN	1600	1000	2500
SIRCX	NORTHERN LIGHTS FUNDS	SIERRA TACTICAL ALL ASSET FD CL C	C	LOAD	OPEN	1600	1000	2500
SIRRX	NORTHERN LIGHTS FUNDS	SIERRA TACTICAL ALL ASSET FD INST	INSTITUTIONAL	NO LOAD	OPEN	1600	1000	2500
SIRIX	NORTHERN LIGHTS FUNDS	SIERRA TACTICAL ALL ASSET FD INV	INVESTOR	NO LOAD	OPEN	1600	100	100
SIRJX	NORTHERN LIGHTS FUNDS	SIERRA TACTICAL ALL ASSET I1	I1	NO LOAD	OPEN	1600	100000	100000
STBJX	NORTHERN LIGHTS FUNDS	SIERRA TACTICAL BD INST	INSTITUTIONAL	NO LOAD	OPEN	1600	10000	10000
STBKX	NORTHERN LIGHTS FUNDS	SIERRA TACTICAL BOND A	A	LOAD	OPEN	1600	100	100
STBDX	NORTHERN LIGHTS FUNDS	SIERRA TACTICAL BOND C	C	LOAD	OPEN	1600	10000	10000
STBNX	NORTHERN LIGHTS FUNDS	SIERRA TACTICAL BOND INVESTOR	INVESTOR	NO LOAD	OPEN	1600	100	100
STENX	NORTHERN LIGHTS FUNDS	SIERRA TACTICAL CORE GROWTH FUND	INVESTOR	NO LOAD	OPEN	1600	10000	10000
STEJX	NORTHERN LIGHTS FUNDS	SIERRA TACTICAL CORE GROWTH INSTL	INSTITUTIONAL	NO LOAD	OPEN	1600	10000	10000
SSIZX	NORTHERN LIGHTS FUNDS	SIERRA TACTICAL CORE INCOME FD CL A	A	LOAD	OPEN	1600	1000	2500
SSICX	NORTHERN LIGHTS FUNDS	SIERRA TACTICAL CORE INCOME FD CL C	C	LOAD	OPEN	1600	1000	2500
SSIRX	NORTHERN LIGHTS FUNDS	SIERRA TACTICAL CORE INCOME FD INST	INSTITUTIONAL	NO LOAD	OPEN	1600	1000	2500
SSIIX	NORTHERN LIGHTS FUNDS	SIERRA TACTICAL CORE INCOME FD INV	INVESTOR	NO LOAD	OPEN	1600	100	100
STMEX	NORTHERN LIGHTS FUNDS	SIERRA TACTICAL MUNI FD INSTL	INSTITUTIONAL	NO LOAD	OPEN	1600	10000	10000
STMNX	NORTHERN LIGHTS FUNDS	SIERRA TACTICAL MUNI FD INV	INVESTOR	NO LOAD	OPEN	1600	100	100
STMHX	NORTHERN LIGHTS FUNDS	SIERRA TACTICAL MUNICIPAL C	C	LOAD	OPEN	1600	1000	2500
STMYX	NORTHERN LIGHTS FUNDS	SIERRA TACTICAL MUNICIPAL SPECIAL	SPECIAL	NO LOAD	REDEEM ONLY	1600	100000	100000
SRTJX	NORTHERN LIGHTS FUNDS	SIERRA TACTICAL RISK SPECTRUM 30 INSTL	INSTITUTIONAL	NO LOAD	OPEN	1600	10000	10000

SYMBOL	FUND FAMILY	FUND DESCRIPTION	SHARE CLASS	FUND TYPE	STATUS	CUT- OFF	IRA MIN	NON-IRA MIN
SRFKX	NORTHERN LIGHTS FUNDS	SIERRA TACTICAL RISK SPECTRUM 50 C	C	LOAD	OPEN	1600	10000	10000
SRFJX	NORTHERN LIGHTS FUNDS	SIERRA TACTICAL RISK SPECTRUM 50 INS	INSTITUTIONAL	NO LOAD	OPEN	1600	10000	10000
SRFNX	NORTHERN LIGHTS FUNDS	SIERRA TACTICAL RISK SPECTRUM 50 INV	INVESTOR	NO LOAD	OPEN	1600	100	100
SRSNX	NORTHERN LIGHTS FUNDS	SIERRA TACTICAL RISK SPECTRUM 70 FUND	INVESTOR	NO LOAD	OPEN	1600	10000	10000
SRSJX	NORTHERN LIGHTS FUNDS	SIERRA TACTICAL RISK SPECTRUM 70 INSTL	INSTITUTIONAL	NO LOAD	OPEN	1600	10000	10000
SDRCX	NORTHERN LIGHTS FUNDS	SWAN DEFINED RISK C	C	LOAD	OPEN	1600	1000	2500
SDFAX	NORTHERN LIGHTS FUNDS	SWAN DEFINED RISK EMERGING MARKETS A	A	LOAD WAIVED	OPEN	1600	1000	2500
SDFCX	NORTHERN LIGHTS FUNDS	SWAN DEFINED RISK EMERGING MARKETS C	C	LOAD	OPEN	1600	1000	2500
SDFIX	NORTHERN LIGHTS FUNDS	SWAN DEFINED RISK EMRG MKTS I	INSTITUTIONAL	NO LOAD	OPEN	1600	100000	100000
SDRAX	NORTHERN LIGHTS FUNDS	SWAN DEFINED RISK FD A	A	LOAD	OPEN	1600	100	100
SDRIX	NORTHERN LIGHTS FUNDS	SWAN DEFINED RISK FD INST	INSTITUTIONAL	NO LOAD	OPEN	1600	100000	100000
SDJCX	NORTHERN LIGHTS FUNDS	SWAN DEFINED RISK FOREIGN C	C	LOAD	OPEN	1600	1000	2500
SDJAX	NORTHERN LIGHTS FUNDS	SWAN DEFINED RISK FOREIGN FD CL A	A	LOAD WAIVED	REDEEM ONLY	1600	100	100
SDJIX	NORTHERN LIGHTS FUNDS	SWAN DEFINED RISK FOREIGN FD CL I	INSTITUTIONAL	NO LOAD	OPEN	1600	100000	100000
SDACX	NORTHERN LIGHTS FUNDS	SWAN DEFINED RISK GROWTH C	C	LOAD	OPEN	1600	1000	2500
SDAAX	NORTHERN LIGHTS FUNDS	SWAN DEFINED RISK GWTH FD A	A	LOAD	OPEN	1600	100	100
SDAIX	NORTHERN LIGHTS FUNDS	SWAN DEFINED RISK GWTH FD I	INSTITUTIONAL	NO LOAD	OPEN	1600	100000	100000
SDCAX	NORTHERN LIGHTS FUNDS	SWAN DEFINED RISK US SM CAP A	A	LOAD WAIVED	REDEEM ONLY	1600	100	100
SDCIX	NORTHERN LIGHTS FUNDS	SWAN DEFINED RISK US SM CAP I	INSTITUTIONAL	NO LOAD	OPEN	1600	100000	100000
SDCCX	NORTHERN LIGHTS FUNDS	SWAN DEFINED RISK US SMALL CAP C	C	LOAD	OPEN	1600	1000	2500
AIFFX	NORTHERN LIGHTS FUNDS	TIMBER POINT ALTERNATIVE INCOME FD INST	INSTITUTIONAL	NO LOAD	OPEN	1600	100000	100000
THIDX	NORTHERN LIGHTS FUNDS	TOEWS HEDGED OCEANA FUND	NO LOAD	NO LOAD	OPEN	1600	10000	10000
THLGX	NORTHERN LIGHTS FUNDS	TOEWS HEDGED U.S. FUND	NO LOAD	NO LOAD	OPEN	1600	10000	10000
THSMX	NORTHERN LIGHTS FUNDS	TOEWS HEDGED U.S. OPPORTUNITY FUND	NO LOAD	NO LOAD	OPEN	1600	10000	10000
TTDAX	NORTHERN LIGHTS FUNDS	TOEWS TACTICAL DEFENSIVE ALPHA FD	NO LOAD	NO LOAD	OPEN	1600	10000	10000
THHYX	NORTHERN LIGHTS FUNDS	TOEWS TACTICAL INCOME FD	NO LOAD	NO LOAD	OPEN	1600	10000	10000
TUIFX	NORTHERN LIGHTS FUNDS	TOEWS UNCONSTRAINED INCM FD	NO LOAD	NO LOAD	OPEN	1600	10000	10000
UNAVX	NORTHERN LIGHTS FUNDS	USA MUTUALS ALL SEASONS FUND INST	INSTITUTIONAL	NO LOAD	OPEN	1600	0	0
VICAX	NORTHERN LIGHTS FUNDS	USA MUTUALS VICE FUND A CL	A	LOAD	OPEN	1600	100	2000
VICCX	NORTHERN LIGHTS FUNDS	USA MUTUALS VICE FUND C CL	C	LOAD	OPEN	1600	0	0
VICVX	NORTHERN LIGHTS FUNDS	USA MUTUALS VICE FUND I CL	INSTITUTIONAL	NO LOAD	OPEN	1600	100	2000
VICEX	NORTHERN LIGHTS FUNDS	USA MUTUALS VICE FUND INV CL	INVESTOR	NO LOAD	OPEN	1600	100	100
WOAIX	NORTHERN LIGHTS FUNDS	WOA ALL ASSET I FD CL I	INSTITUTIONAL	NO LOAD	OPEN	1600	1000000	1000000
NCVLX	NUANCE FUNDS	NUANCE CONCENTRATED VALUE INST	INSTITUTIONAL	NO LOAD	OPEN	1600	0	0
NCAVX	NUANCE FUNDS	NUANCE CONCENTRATED VALUE INV	INVESTOR	LOAD	OPEN	1600	100	100
NMVLX	NUANCE FUNDS	NUANCE MID CAP VALUE FD INST	INSTITUTIONAL	NO LOAD	OPEN	1600	0	0
NMAVX	NUANCE FUNDS	NUANCE MID CAP VALUE FD INV	INVESTOR	LOAD	OPEN	1600	0	0
NMVZX	NUANCE FUNDS	NUANCE MID CAP VALUE Z	Z	NO LOAD	REDEEM ONLY	1600	100000	100000
TIXRX	NUVEEN	NUVEEN 515 YEAR LADDERED TAX EXEMPT BOND FUND A	A	LOAD	OPEN	1600	100	100
TIXHX	NUVEEN	NUVEEN 515 YEAR LADDERED TAX EXEMPT BOND FUND I	I	NO LOAD	OPEN	1600	0	0
TITIX	NUVEEN	NUVEEN 515 YEAR LADDERED TAX EXEMPT BOND FUND R6	R6	NO LOAD	OPEN	1600	0	0

SYMBOL	FUND FAMILY	FUND DESCRIPTION	SHARE CLASS	FUND TYPE	STATUS	CUT- OFF	IRA MIN	NON-IRA MIN
FACCX	NUVEEN	NUVEEN ALL AMERICAN MUNI BOND C	C	LOAD	OPEN	1600	2000	3000
FLAAX	NUVEEN	NUVEEN ALL AMERICAN MUNI BOND FUND CL A	A	LOAD	OPEN	1600	2000	3000
FAARX	NUVEEN	NUVEEN ALL AMERICAN MUNI BOND I	I	NO LOAD	OPEN	1600	100000	100000
FAAWX	NUVEEN	NUVEEN ALLAMERICAN MUNICIPAL BOND R6	R6	NO LOAD	REDEEM ONLY	1600	100000	100000
FAZTX	NUVEEN	NUVEEN AZ MUNI BOND FUND CL A	A	LOAD	OPEN	1600	2000	3000
FZCCX	NUVEEN	NUVEEN AZ MUNI BOND FUND CL C	C	LOAD	OPEN	1600	2000	3000
NMARX	NUVEEN	NUVEEN AZ MUNI BOND FUND CL I	I	NO LOAD	OPEN	1600	100000	100000
TBILX	NUVEEN	NUVEEN BOND INDEX FUND A	A	LOAD	OPEN	1600	100	100
TBIPX	NUVEEN	NUVEEN BOND INDEX FUND NL	NO LOAD	NO LOAD	OPEN	1600	0	0
TBIRX	NUVEEN	NUVEEN BOND INDEX FUND NL	NO LOAD	NO LOAD	REDEEM ONLY	1600	0	0
TBIIX	NUVEEN	NUVEEN BOND INDEX FUND R6	R6	NO LOAD	OPEN	1600	0	0
TBIAX	NUVEEN	NUVEEN BOND INDEX FUNDI	I	NO LOAD	OPEN	1600	0	0
NCHAX	NUVEEN	NUVEEN CA HIGH YIELD MUNI BD A	A	LOAD	OPEN	1600	2000	3000
NAWSX	NUVEEN	NUVEEN CA HIGH YIELD MUNI BD C	C	LOAD	OPEN	1600	2000	3000
NCHRX	NUVEEN	NUVEEN CA HIGH YIELD MUNI BD I	I	NO LOAD	OPEN	1600	100000	100000
NAKFX	NUVEEN	NUVEEN CA MUNI BD C	C	LOAD	OPEN	1600	2000	3000
NCAAX	NUVEEN	NUVEEN CA MUNI BD FUND A SHRS	A	LOAD	OPEN	1600	2000	3000
NCSPX	NUVEEN	NUVEEN CA MUNI BOND FUND CL I	I	NO LOAD	OPEN	1600	100000	100000
FAFKX	NUVEEN	NUVEEN CO MUNI BD C	C	LOAD	OPEN	1600	2000	3000
FCORX	NUVEEN	NUVEEN CO MUNI BD I	I	NO LOAD	OPEN	1600	100000	100000
FCOTX	NUVEEN	NUVEEN CO MUNI BOND FUND CL A	A	LOAD	OPEN	1600	2000	3000
TIORX	NUVEEN	NUVEEN CORE BOND FUND A	A	LOAD	OPEN	1600	100	100
TIBHX	NUVEEN	NUVEEN CORE BOND FUND I	I	NO LOAD	OPEN	1600	0	0
TIDPX	NUVEEN	NUVEEN CORE BOND FUND NL	NO LOAD	NO LOAD	OPEN	1600	0	0
TIDRX	NUVEEN	NUVEEN CORE BOND FUND NL	NO LOAD	NO LOAD	REDEEM ONLY	1600	0	0
TIBDX	NUVEEN	NUVEEN CORE BOND FUND R6	R6	NO LOAD	OPEN	1600	0	0
TGIHX	NUVEEN	NUVEEN CORE EQUITY FD I	I	NO LOAD	OPEN	1600	0	0
TRGIX	NUVEEN	NUVEEN CORE EQUITY FD NL	NO LOAD	NO LOAD	REDEEM ONLY	1600	0	0
TIGRX	NUVEEN	NUVEEN CORE EQUITY FUND	R6	NO LOAD	OPEN	1600	0	0
TIIRX	NUVEEN	NUVEEN CORE EQUITY FUND A	A	LOAD	OPEN	1600	100	100
TRPGX	NUVEEN	NUVEEN CORE EQUITY NL	NO LOAD	NO LOAD	OPEN	1600	100000	100000
NCIRX	NUVEEN	NUVEEN CORE IMPACT BD MNGD ACCNTS COMMON	NO LOAD	NO LOAD	REDEEM ONLY	1600	0	0
TSBRX	NUVEEN	NUVEEN CORE IMPACT BOND FUND A	A	LOAD	OPEN	1600	100	100
TSBHX	NUVEEN	NUVEEN CORE IMPACT BOND FUND I	I	NO LOAD	OPEN	1600	0	0
TSBPX	NUVEEN	NUVEEN CORE IMPACT BOND FUND NL	NO LOAD	NO LOAD	OPEN	1600	1000000	1000000
TSBBX	NUVEEN	NUVEEN CORE IMPACT BOND FUND NL	NO LOAD	NO LOAD	REDEEM ONLY	1600	0	0
TSBIX	NUVEEN	NUVEEN CORE IMPACT BOND FUND R6	R6	NO LOAD	OPEN	1600	0	0
TCBPX	NUVEEN	NUVEEN CORE PLUS BOND FUND A	A	LOAD	OPEN	1600	100	100
TCBHX	NUVEEN	NUVEEN CORE PLUS BOND FUND I	I	NO LOAD	OPEN	1600	0	0
TBPPX	NUVEEN	NUVEEN CORE PLUS BOND FUND NL	NO LOAD	NO LOAD	OPEN	1600	0	0
TCBRX	NUVEEN	NUVEEN CORE PLUS BOND FUND NL	NO LOAD	NO LOAD	REDEEM ONLY	1600	0	0

SYMBOL	FUND FAMILY	FUND DESCRIPTION	SHARE CLASS	FUND TYPE	STATUS	CUT- OFF	IRA MIN	NON-IRA MIN
TIBFX	NUVEEN	NUVEEN CORE PLUS BOND FUND R6	R6	NO LOAD	OPEN	1600	0	0
FJSIX	NUVEEN	NUVEEN CREDIT INCOME FD CL A	A	LOAD	OPEN	1600	2000	3000
FCSIX	NUVEEN	NUVEEN CREDIT INCOME FD CL C	C	LOAD	OPEN	1600	2000	3000
FJSYX	NUVEEN	NUVEEN CREDIT INCOME FD CL I	I	NO LOAD	OPEN	1600	100000	100000
FDCDX	NUVEEN	NUVEEN CT MUNI BD C	C	LOAD	OPEN	1600	2000	3000
FCTRX	NUVEEN	NUVEEN CT MUNI BD I	I	NO LOAD	OPEN	1600	100000	100000
FCTTX	NUVEEN	NUVEEN CT MUNI BOND FUND CL A	A	LOAD	OPEN	1600	2000	3000
FFEFX	NUVEEN	NUVEEN DIV VALUE FD CL R6	R6	NO LOAD	REDEEM ONLY	1600	100000000	5000000
NSBAX	NUVEEN	NUVEEN DIVIDEND GROWTH A	A	LOAD	OPEN	1600	2000	3000
NSBCX	NUVEEN	NUVEEN DIVIDEND GROWTH C	C	LOAD	OPEN	1600	2000	3000
NSBRX	NUVEEN	NUVEEN DIVIDEND GROWTH I	I	NO LOAD	OPEN	1600	100000	100000
NSBFX	NUVEEN	NUVEEN DIVIDEND GROWTH R6	R6	NO LOAD	REDEEM ONLY	1600	100000000	1000000
FFEIX	NUVEEN	NUVEEN DIVIDEND VALUE FD CL A	A	LOAD	OPEN	1600	2000	3000
FFECX	NUVEEN	NUVEEN DIVIDEND VALUE FD CL C	C	LOAD	OPEN	1600	2000	3000
FAQIX	NUVEEN	NUVEEN DIVIDEND VALUE FD CL I	I	NO LOAD	OPEN	1600	100000	100000
NEMDX	NUVEEN	NUVEEN EMERGING MARKETS DBT MGD ACC COM	NO LOAD	NO LOAD	REDEEM ONLY	1600	0	0
TEDLX	NUVEEN	NUVEEN EMERGING MARKETS DEBT FUND A	A	LOAD	OPEN	1600	100	100
TEDHX	NUVEEN	NUVEEN EMERGING MARKETS DEBT FUND I	I	NO LOAD	OPEN	1600	0	0
TEDNX	NUVEEN	NUVEEN EMERGING MARKETS DEBT FUND R6	R6	NO LOAD	OPEN	1600	0	0
TEMRX	NUVEEN	NUVEEN EMERGING MARKETS EQUITY FUND A	A	LOAD	OPEN	1600	100	100
TEMPX	NUVEEN	NUVEEN EMERGING MARKETS EQUITY FUND NL	NO LOAD	NO LOAD	OPEN	1600	0	0
TEMSX	NUVEEN	NUVEEN EMERGING MARKETS EQUITY FUND NL	NO LOAD	NO LOAD	REDEEM ONLY	1600	0	0
TEMLX	NUVEEN	NUVEEN EMERGING MARKETS EQUITY FUND R6	R6	NO LOAD	OPEN	1600	0	0
TEQKX	NUVEEN	NUVEEN EMERGING MARKETS EQUITY INDEX FUND A	A	LOAD	OPEN	1600	100	100
TEQHX	NUVEEN	NUVEEN EMERGING MARKETS EQUITY INDEX FUND I	I	NO LOAD	OPEN	1600	0	0
TEQPX	NUVEEN	NUVEEN EMERGING MARKETS EQUITY INDEX FUND NL	NO LOAD	NO LOAD	OPEN	1600	0	0
TEQSX	NUVEEN	NUVEEN EMERGING MARKETS EQUITY INDEX FUND NL	NO LOAD	NO LOAD	REDEEM ONLY	1600	0	0
TEQLX	NUVEEN	NUVEEN EMERGING MARKETS EQUITY INDEX FUND R6	R6	NO LOAD	OPEN	1600	0	0
NMSSX	NUVEEN	NUVEEN ENHANCED HIGH YIELD MNCPL BD I	I	NO LOAD	REDEEM ONLY	1600	100000	100000
NELAX	NUVEEN	NUVEEN EQTY LONG SHORT A	A	LOAD	OPEN	1600	2000	3000
NELCX	NUVEEN	NUVEEN EQTY LONG SHORT C	C	LOAD	OPEN	1600	2000	3000
NELIX	NUVEEN	NUVEEN EQTY LONG SHORT I	I	NO LOAD	OPEN	1600	100000	100000
TINRX	NUVEEN	NUVEEN EQUITY INDEX FUND A	A	LOAD	OPEN	1600	100	100
TEIHX	NUVEEN	NUVEEN EQUITY INDEX FUND I	I	NO LOAD	OPEN	1600	0	0
TCEPX	NUVEEN	NUVEEN EQUITY INDEX FUND NL	NO LOAD	NO LOAD	OPEN	1600	100000	100000
TIQRX	NUVEEN	NUVEEN EQUITY INDEX FUND NL	NO LOAD	NO LOAD	REDEEM ONLY	1600	0	0
TIEIX	NUVEEN	NUVEEN EQUITY INDEX FUND R6	R6	NO LOAD	OPEN	1600	0	0
NFRAX	NUVEEN	NUVEEN FLOATING RATE INCOME FD CL A	A	LOAD	OPEN	1600	2000	3000
NFFCX	NUVEEN	NUVEEN FLOATING RATE INCOME FD CL C	C	LOAD	OPEN	1600	2000	3000
NFRIX	NUVEEN	NUVEEN FLOATING RATE INCOME FD CL I	I	NO LOAD	OPEN	1600	100000	100000
FGCCX	NUVEEN	NUVEEN GA MUNI BD C	C	LOAD	OPEN	1600	2000	3000

SYMBOL	FUND FAMILY	FUND DESCRIPTION	SHARE CLASS	FUND TYPE	STATUS	CUT- OFF	IRA MIN	NON-IRA MIN
FGARX	NUVEEN	NUVEEN GA MUNI BD INST	I	NO LOAD	OPEN	1600	100000	100000
FGATX	NUVEEN	NUVEEN GA MUNI BOND FUND CL A	A	LOAD	OPEN	1600	2000	3000
NUGAX	NUVEEN	NUVEEN GLOBAL DIVIDEND GROWTH A	A	LOAD	OPEN	1600	2000	3000
NUGCX	NUVEEN	NUVEEN GLOBAL DIVIDEND GROWTH C	C	LOAD	OPEN	1600	2000	3000
NUGIX	NUVEEN	NUVEEN GLOBAL DIVIDEND I	I	NO LOAD	OPEN	1600	100000	100000
NQGAX	NUVEEN	NUVEEN GLOBAL EQUITY INCOME A	A	LOAD	OPEN	1600	2000	3000
NQGCX	NUVEEN	NUVEEN GLOBAL EQUITY INCOME C	C	LOAD	OPEN	1600	2000	3000
NQGIX	NUVEEN	NUVEEN GLOBAL EQUITY INCOME I	I	NO LOAD	OPEN	1600	100000	100000
FGIAX	NUVEEN	NUVEEN GLOBAL INFRASTRUCTURE A	A	LOAD	OPEN	1600	2000	3000
FGNCX	NUVEEN	NUVEEN GLOBAL INFRASTRUCTURE C	C	LOAD	OPEN	1600	2000	3000
FGIYX	NUVEEN	NUVEEN GLOBAL INFRASTRUCTURE CL I	I	NO LOAD	OPEN	1600	100000	100000
FGIWX	NUVEEN	NUVEEN GLOBAL INFRASTRUCTURE R6	R6	NO LOAD	REDEEM ONLY	1600	5000000	5000000
NGJAX	NUVEEN	NUVEEN GLOBAL REAL ESTATE SECURITIES A	A	LOAD	OPEN	1600	2000	3000
NGJIX	NUVEEN	NUVEEN GLOBAL REAL ESTATE SECURITIES I	I	NO LOAD	OPEN	1600	100000	100000
TGRMX	NUVEEN	NUVEEN GREEN BOND FD NL	NO LOAD	NO LOAD	REDEEM ONLY	1600	0	0
TGRNX	NUVEEN	NUVEEN GREEN BOND FD R6	R6	NO LOAD	OPEN	1600	0	0
TIHHX	NUVEEN	NUVEEN HIGH YIELD FD I	I	NO LOAD	OPEN	1600	0	0
TIYRX	NUVEEN	NUVEEN HIGH YIELD FUND A	A	LOAD	OPEN	1600	100	100
TIHPX	NUVEEN	NUVEEN HIGH YIELD FUND NL	NO LOAD	NO LOAD	OPEN	1600	100000	100000
TIHRX	NUVEEN	NUVEEN HIGH YIELD FUND NL	NO LOAD	NO LOAD	REDEEM ONLY	1600	0	0
TIHYX	NUVEEN	NUVEEN HIGH YIELD FUND R6	R6	NO LOAD	OPEN	1600	0	0
NCOAX	NUVEEN	NUVEEN HIGH YIELD INCOME FD CL A	A	LOAD	OPEN	1600	2000	3000
NCFCX	NUVEEN	NUVEEN HIGH YIELD INCOME FD CL C	C	LOAD	OPEN	1600	2000	3000
NCOIX	NUVEEN	NUVEEN HIGH YIELD INCOME FD CL I	I	NO LOAD	OPEN	1600	100000	100000
NMYHX	NUVEEN	NUVEEN HIGH YIELD MGD ACCTS COM	NO LOAD	NO LOAD	REDEEM ONLY	1600	0	0
NHMAX	NUVEEN	NUVEEN HIGH YIELD MUNI BOND CL A	A	LOAD	OPEN	1600	2000	3000
NHCCX	NUVEEN	NUVEEN HIGH YIELD MUNI BOND FUND CL C	C	LOAD	OPEN	1600	2000	3000
NHMRX	NUVEEN	NUVEEN HIGH YIELD MUNICIPAL BOND FUND CL I	I	NO LOAD	OPEN	1600	100000	100000
NHMFY	NUVEEN	NUVEEN HIGH YIELD MUNICIPAL BOND R6	R6	NO LOAD	REDEEM ONLY	1600	100000	100000
TIHX	NUVEEN	NUVEEN INFLATION LINKED BOND FUND I	I	NO LOAD	OPEN	1600	0	0
TCILX	NUVEEN	NUVEEN INFLATION LINKED BOND FUND NL	A	LOAD	OPEN	1600	100	100
TIKPY	NUVEEN	NUVEEN INFLATION LINKED BOND FUND NL	NO LOAD	NO LOAD	OPEN	1600	0	0
TIKRX	NUVEEN	NUVEEN INFLATION LINKED BOND FUND NL	NO LOAD	NO LOAD	REDEEM ONLY	1600	0	0
TIILX	NUVEEN	NUVEEN INFLATION LINKED BOND FUND R6	R6	NO LOAD	OPEN	1600	0	0
NNCCX	NUVEEN	NUVEEN INTERM DURATION MUNI BD C	C	LOAD	OPEN	1600	2000	3000
NMBAX	NUVEEN	NUVEEN INTERMEDIATE DURATION MUNI BOND CL A	A	LOAD	OPEN	1600	2000	3000
NUVBX	NUVEEN	NUVEEN INTERMEDIATE DURATION MUNI BOND CL I	I	NO LOAD	OPEN	1600	100000	100000
TIBNX	NUVEEN	NUVEEN INTERNATIONAL BOND FUND I	I	NO LOAD	OPEN	1600	0	0
TIERX	NUVEEN	NUVEEN INTERNATIONAL EQUITY FUND A	A	LOAD	OPEN	1600	100	100
TIEHX	NUVEEN	NUVEEN INTERNATIONAL EQUITY FUND I	I	NO LOAD	OPEN	1600	0	0
TRERX	NUVEEN	NUVEEN INTERNATIONAL EQUITY FUND NL	NO LOAD	NO LOAD	OPEN	1600	0	0

SYMBOL	FUND FAMILY	FUND DESCRIPTION	SHARE CLASS	FUND TYPE	STATUS	CUT- OFF	IRA MIN	NON-IRA MIN
TREPX	NUVEEN	NUVEEN INTERNATIONAL EQUITY FUND NL	NO LOAD	NO LOAD	OPEN	1600	0	0
TIIEX	NUVEEN	NUVEEN INTERNATIONAL EQUITY FUND R6	R6	NO LOAD	OPEN	1600	0	0
TCIHX	NUVEEN	NUVEEN INTERNATIONAL EQUITY INDEX FUND I	I	NO LOAD	OPEN	1600	0	0
TRIEX	NUVEEN	NUVEEN INTERNATIONAL EQUITY INDEX FUND NL	NO LOAD	NO LOAD	REDEEM ONLY	1600	0	0
TRIPX	NUVEEN	NUVEEN INTERNATIONAL EQUITY INDEX FUND NL	NO LOAD	NO LOAD	OPEN	1600	0	0
TCIEX	NUVEEN	NUVEEN INTERNATIONAL EQUITY INDEX FUND R6	R6	NO LOAD	OPEN	1600	0	0
TIOHX	NUVEEN	NUVEEN INTERNATIONAL OPPORTUNITIES FUND I	I	NO LOAD	OPEN	1600	0	0
TIOSX	NUVEEN	NUVEEN INTERNATIONAL OPPORTUNITIES FUND NL	A	LOAD	OPEN	1600	100	100
TIOTX	NUVEEN	NUVEEN INTERNATIONAL OPPORTUNITIES FUND NL	NO LOAD	NO LOAD	REDEEM ONLY	1600	0	0
TIOIX	NUVEEN	NUVEEN INTERNATIONAL OPPORTUNITIES FUND R6	R6	NO LOAD	OPEN	1600	0	0
TSORX	NUVEEN	NUVEEN INTERNATIONAL RESPONSIBLE EQUITY FUND A	A	LOAD	OPEN	1600	100	100
TSOHX	NUVEEN	NUVEEN INTERNATIONAL RESPONSIBLE EQUITY FUND I	I	NO LOAD	OPEN	1600	0	0
TSONX	NUVEEN	NUVEEN INTERNATIONAL RESPONSIBLE EQUITY FUND R6	R6	NO LOAD	OPEN	1600	0	0
NWPIX	NUVEEN	NUVEEN INTERNATIONAL SM CP I	I	NO LOAD	OPEN	1600	100000	100000
NWAIX	NUVEEN	NUVEEN INTERNATIONAL SMALL CAP A	A	LOAD	OPEN	1600	2000	3000
NAIGX	NUVEEN	NUVEEN INTERNATIONAL VALUE A	A	LOAD	OPEN	1600	2000	3000
NCIGX	NUVEEN	NUVEEN INTERNATIONAL VALUE C	C	LOAD	OPEN	1600	2000	3000
NGRRX	NUVEEN	NUVEEN INTERNATIONAL VALUE I	I	NO LOAD	OPEN	1600	100000	100000
NUIAX	NUVEEN	NUVEEN INTL DIVIDEND GROWTH A	A	LOAD	OPEN	1600	2000	3000
NUIIX	NUVEEN	NUVEEN INTL DIVIDEND GROWTH I	I	NO LOAD	OPEN	1600	100000	100000
FAFOX	NUVEEN	NUVEEN KS MUNI BOND CL C	C	LOAD	OPEN	1600	2000	3000
FKSTX	NUVEEN	NUVEEN KS MUNI BOND FUND CL A	A	LOAD	OPEN	1600	2000	3000
FRKSX	NUVEEN	NUVEEN KS MUNI BOND INST	I	NO LOAD	OPEN	1600	100000	100000
FKCCX	NUVEEN	NUVEEN KY MUNI BOND CL C	C	LOAD	OPEN	1600	2000	3000
FKYTX	NUVEEN	NUVEEN KY MUNI BOND FUND CL A	A	LOAD	OPEN	1600	2000	3000
FKYRX	NUVEEN	NUVEEN KY MUNI BOND INST	I	NO LOAD	OPEN	1600	100000	100000
FAFLX	NUVEEN	NUVEEN LA MUNI BD C	C	LOAD	OPEN	1600	2000	3000
FTLRX	NUVEEN	NUVEEN LA MUNICIPAL BOND I	I	NO LOAD	OPEN	1600	100000	100000
TILGX	NUVEEN	NUVEEN LARGE CAP GROWTH FD R6	R6	NO LOAD	OPEN	1600	0	0
TIRTX	NUVEEN	NUVEEN LARGE CAP GROWTH FUND A	A	LOAD	OPEN	1600	100	100
TILHX	NUVEEN	NUVEEN LARGE CAP GROWTH FUND I	I	NO LOAD	OPEN	1600	0	0
TILRX	NUVEEN	NUVEEN LARGE CAP GROWTH FUND NL	NO LOAD	NO LOAD	REDEEM ONLY	1600	0	0
TILPX	NUVEEN	NUVEEN LARGE CAP GROWTH FUND NL	NO LOAD	NO LOAD	OPEN	1600	0	0
TRIRX	NUVEEN	NUVEEN LARGE CAP GROWTH INDEX FUND	NO LOAD	NO LOAD	REDEEM ONLY	1600	0	0
TRIHX	NUVEEN	NUVEEN LARGE CAP GROWTH INDEX FUND I	I	NO LOAD	OPEN	1600	0	0
TILIX	NUVEEN	NUVEEN LARGE CAP GROWTH INDEX FUND R6	R6	NO LOAD	OPEN	1600	0	0
TRSCX	NUVEEN	NUVEEN LARGE CAP RESPONSIBLE EQUITY FUND	NO LOAD	NO LOAD	REDEEM ONLY	1600	0	0
TRPSX	NUVEEN	NUVEEN LARGE CAP RESPONSIBLE EQUITY FUND	NO LOAD	NO LOAD	OPEN	1600	100000	100000
TICRX	NUVEEN	NUVEEN LARGE CAP RESPONSIBLE EQUITY FUND A	A	LOAD	OPEN	1600	100	100
TICHX	NUVEEN	NUVEEN LARGE CAP RESPONSIBLE EQUITY FUND I	I	NO LOAD	OPEN	1600	0	0
TISCX	NUVEEN	NUVEEN LARGE CAP RESPONSIBLE EQUITY FUND R6	R6	NO LOAD	OPEN	1600	0	0

SYMBOL	FUND FAMILY	FUND DESCRIPTION	SHARE CLASS	FUND TYPE	STATUS	CUT-OFF	IRA MIN	NON-IRA MIN
FLRAX	NUVEEN	NUVEEN LARGE CAP SELECT A	A	LOAD	OPEN	1600	2000	3000
FLYCX	NUVEEN	NUVEEN LARGE CAP SLCT C	C	LOAD	OPEN	1600	2000	3000
FLRYX	NUVEEN	NUVEEN LARGE CAP SLCT CL I	I	NO LOAD	OPEN	1600	100000	100000
TRLCX	NUVEEN	NUVEEN LARGE CAP VALUE FUND	NO LOAD	NO LOAD	REDEEM ONLY	1600	0	0
TRCPX	NUVEEN	NUVEEN LARGE CAP VALUE FUND	NO LOAD	NO LOAD	OPEN	1600	0	0
NQCAx	NUVEEN	NUVEEN LARGE CAP VALUE FUND A	A	LOAD	OPEN	1600	2000	3000
TCLCX	NUVEEN	NUVEEN LARGE CAP VALUE FUND A	A	LOAD	OPEN	1600	100	100
NQCCX	NUVEEN	NUVEEN LARGE CAP VALUE FUND C	C	LOAD	OPEN	1600	2000	3000
NQCRX	NUVEEN	NUVEEN LARGE CAP VALUE FUND I	I	NO LOAD	OPEN	1600	100000	100000
TRLHX	NUVEEN	NUVEEN LARGE CAP VALUE FUND I	I	NO LOAD	OPEN	1600	0	0
TRLIX	NUVEEN	NUVEEN LARGE CAP VALUE FUND R6	R6	NO LOAD	OPEN	1600	0	0
TILVX	NUVEEN	NUVEEN LARGE CAP VALUE INDEX FUND	R6	NO LOAD	OPEN	1600	0	0
THCVX	NUVEEN	NUVEEN LARGE CAP VALUE INDEX FUND I	I	NO LOAD	OPEN	1600	0	0
TCLEX	NUVEEN	NUVEEN LIFECYCLE 2010 FUND	NO LOAD	NO LOAD	REDEEM ONLY	1600	100000	100000
TCTIX	NUVEEN	NUVEEN LIFECYCLE 2010 FUND R6	R6	NO LOAD	OPEN	1600	0	0
TCLIX	NUVEEN	NUVEEN LIFECYCLE 2015 FUND	NO LOAD	NO LOAD	REDEEM ONLY	1600	100000	100000
TCNIX	NUVEEN	NUVEEN LIFECYCLE 2015 FUND R6	R6	NO LOAD	OPEN	1600	0	0
TCLTX	NUVEEN	NUVEEN LIFECYCLE 2020 FUND	NO LOAD	NO LOAD	REDEEM ONLY	1600	100000	100000
TCWIX	NUVEEN	NUVEEN LIFECYCLE 2020 FUND R6	R6	NO LOAD	OPEN	1600	0	0
TCLFX	NUVEEN	NUVEEN LIFECYCLE 2025 FUND	NO LOAD	NO LOAD	REDEEM ONLY	1600	100000	100000
TCYIX	NUVEEN	NUVEEN LIFECYCLE 2025 FUND R6	R6	NO LOAD	OPEN	1600	0	0
TCLNX	NUVEEN	NUVEEN LIFECYCLE 2030 FUND	NO LOAD	NO LOAD	REDEEM ONLY	1600	100000	100000
TCHPX	NUVEEN	NUVEEN LIFECYCLE 2030 FUND	NO LOAD	NO LOAD	OPEN	1600	1000000	1000000
TCHHX	NUVEEN	NUVEEN LIFECYCLE 2030 FUND I	I	NO LOAD	OPEN	1600	0	0
TCRIX	NUVEEN	NUVEEN LIFECYCLE 2030 FUND R6	R6	NO LOAD	OPEN	1600	0	0
TCLRX	NUVEEN	NUVEEN LIFECYCLE 2035 FUND	NO LOAD	NO LOAD	REDEEM ONLY	1600	0	0
TCIIX	NUVEEN	NUVEEN LIFECYCLE 2035 FUND R6	R6	NO LOAD	OPEN	1600	0	0
TCLOX	NUVEEN	NUVEEN LIFECYCLE 2040 FUND	NO LOAD	NO LOAD	REDEEM ONLY	1600	100000	100000
TCZPX	NUVEEN	NUVEEN LIFECYCLE 2040 FUND	NO LOAD	NO LOAD	OPEN	1600	1000000	1000000
TCOIX	NUVEEN	NUVEEN LIFECYCLE 2040 FUND R6	R6	NO LOAD	OPEN	1600	0	0
TFRX	NUVEEN	NUVEEN LIFECYCLE 2045 FUND	NO LOAD	NO LOAD	REDEEM ONLY	1600	100000	100000
TTFIX	NUVEEN	NUVEEN LIFECYCLE 2045 FUND R6	R6	NO LOAD	OPEN	1600	0	0
TLFRX	NUVEEN	NUVEEN LIFECYCLE 2050 FUND	NO LOAD	NO LOAD	REDEEM ONLY	1600	100000	100000
TFTIX	NUVEEN	NUVEEN LIFECYCLE 2050 FUND	R6	NO LOAD	OPEN	1600	0	0
TCLPX	NUVEEN	NUVEEN LIFECYCLE 2050 FUND NL	NO LOAD	NO LOAD	OPEN	1600	1000000	1000000
TTRIX	NUVEEN	NUVEEN LIFECYCLE 2055 FUND R6	R6	NO LOAD	OPEN	1600	0	0
TLXRX	NUVEEN	NUVEEN LIFECYCLE 2060 FUND	NO LOAD	NO LOAD	REDEEM ONLY	1600	100000	100000
TTRLX	NUVEEN	NUVEEN LIFECYCLE 2060 FUND R6	NO LOAD	NO LOAD	REDEEM ONLY	1600	100000	100000
TSFRX	NUVEEN	NUVEEN LIFECYCLE 2065 FUND NL	NO LOAD	NO LOAD	REDEEM ONLY	1600	0	0
TSFTX	NUVEEN	NUVEEN LIFECYCLE 2065 FUND R6	R6	NO LOAD	OPEN	1600	0	0
TLTRX	NUVEEN	NUVEEN LIFECYCLE INDEX 2010 FUND NL	NO LOAD	NO LOAD	REDEEM ONLY	1600	0	0

SYMBOL	FUND FAMILY	FUND DESCRIPTION	SHARE CLASS	FUND TYPE	STATUS	CUT- OFF	IRA MIN	NON-IRA MIN
TLTIX	NUVEEN	NUVEEN LIFECYCLE INDEX 2010 FUND R6	R6	NO LOAD	OPEN	1600	0	0
TLFIX	NUVEEN	NUVEEN LIFECYCLE INDEX 2015 FUND R6	R6	NO LOAD	OPEN	1600	0	0
TLGRX	NUVEEN	NUVEEN LIFECYCLE INDEX 2015 RETIRE	RETIREMENT	NO LOAD	REDEEM ONLY	1600	0	0
TLWRX	NUVEEN	NUVEEN LIFECYCLE INDEX 2020 FUND NL	NO LOAD	NO LOAD	REDEEM ONLY	1600	0	0
TLWIX	NUVEEN	NUVEEN LIFECYCLE INDEX 2020 FUND R6	R6	NO LOAD	OPEN	1600	0	0
TLQHX	NUVEEN	NUVEEN LIFECYCLE INDEX 2025 FUND I	I	NO LOAD	OPEN	1600	0	0
TLQRX	NUVEEN	NUVEEN LIFECYCLE INDEX 2025 FUND NL	NO LOAD	NO LOAD	REDEEM ONLY	1600	0	0
TLQIX	NUVEEN	NUVEEN LIFECYCLE INDEX 2025 FUND R6	R6	NO LOAD	OPEN	1600	0	0
TLHHX	NUVEEN	NUVEEN LIFECYCLE INDEX 2030 FUND I	I	NO LOAD	OPEN	1600	0	0
TLHIX	NUVEEN	NUVEEN LIFECYCLE INDEX 2030 FUND R6	R6	NO LOAD	OPEN	1600	0	0
TLHRX	NUVEEN	NUVEEN LIFECYCLE INDEX 2030 RETIRE	RETIREMENT	NO LOAD	REDEEM ONLY	1600	0	0
TLYRX	NUVEEN	NUVEEN LIFECYCLE INDEX 2035 FUND NL	NO LOAD	NO LOAD	REDEEM ONLY	1600	0	0
TLYIX	NUVEEN	NUVEEN LIFECYCLE INDEX 2035 FUND R6	R6	NO LOAD	OPEN	1600	0	0
TLZHX	NUVEEN	NUVEEN LIFECYCLE INDEX 2040 FUND I	I	NO LOAD	OPEN	1600	0	0
TLZRX	NUVEEN	NUVEEN LIFECYCLE INDEX 2040 FUND NL	NO LOAD	NO LOAD	REDEEM ONLY	1600	0	0
TLZIX	NUVEEN	NUVEEN LIFECYCLE INDEX 2040 FUND R6	R6	NO LOAD	OPEN	1600	0	0
TLMRX	NUVEEN	NUVEEN LIFECYCLE INDEX 2045 FUND NL	NO LOAD	NO LOAD	REDEEM ONLY	1600	0	0
TLXIX	NUVEEN	NUVEEN LIFECYCLE INDEX 2045 FUND R6	R6	NO LOAD	OPEN	1600	0	0
TLLRX	NUVEEN	NUVEEN LIFECYCLE INDEX 2050 FUND NL	NO LOAD	NO LOAD	REDEEM ONLY	1600	0	0
TLLIX	NUVEEN	NUVEEN LIFECYCLE INDEX 2050 FUND R6	R6	NO LOAD	OPEN	1600	0	0
TTIRX	NUVEEN	NUVEEN LIFECYCLE INDEX 2055 FUND NL	NO LOAD	NO LOAD	REDEEM ONLY	1600	0	0
TTIIX	NUVEEN	NUVEEN LIFECYCLE INDEX 2055 FUND R6	R6	NO LOAD	OPEN	1600	0	0
TVITX	NUVEEN	NUVEEN LIFECYCLE INDEX 2060 FUND NL	NO LOAD	NO LOAD	REDEEM ONLY	1600	0	0
TVIIX	NUVEEN	NUVEEN LIFECYCLE INDEX 2060 FUND R6	R6	NO LOAD	OPEN	1600	0	0
TFIRX	NUVEEN	NUVEEN LIFECYCLE INDEX 2065 FUND NL	NO LOAD	NO LOAD	REDEEM ONLY	1600	0	0
TFITX	NUVEEN	NUVEEN LIFECYCLE INDEX 2065 FUND R6	R6	NO LOAD	OPEN	1600	0	0
TRCIX	NUVEEN	NUVEEN LIFECYCLE INDEX RETIREMENT INCOME FD NL	NO LOAD	NO LOAD	REDEEM ONLY	1600	0	0
TRILX	NUVEEN	NUVEEN LIFECYCLE INDEX RETIREMENT INCOME FD R6	R6	NO LOAD	OPEN	1600	0	0
TLRRX	NUVEEN	NUVEEN LIFECYCLE RETIREMENT INCOME FD A	A	LOAD	OPEN	1600	100	100
TLRIX	NUVEEN	NUVEEN LIFECYCLE RETIREMENT INCOME FD R6	R6	NO LOAD	OPEN	1600	0	0
TSALX	NUVEEN	NUVEEN LIFESTYLE AGGRESSIVE GROWTH FD A	A	LOAD	OPEN	1600	100	100
TSAHX	NUVEEN	NUVEEN LIFESTYLE AGGRESSIVE GROWTH FD I	I	NO LOAD	OPEN	1600	0	0
TSARX	NUVEEN	NUVEEN LIFESTYLE AGGRESSIVE GROWTH FD NL	NO LOAD	NO LOAD	REDEEM ONLY	1600	0	0
TSAIX	NUVEEN	NUVEEN LIFESTYLE AGGRESSIVE GROWTH FD R6	R6	NO LOAD	OPEN	1600	0	0
TSC LX	NUVEEN	NUVEEN LIFESTYLE CONSERVATIVE FD A	A	LOAD	OPEN	1600	100	100
TLSHX	NUVEEN	NUVEEN LIFESTYLE CONSERVATIVE FD I	I	NO LOAD	OPEN	1600	0	0
TLSPX	NUVEEN	NUVEEN LIFESTYLE CONSERVATIVE FD NL	NO LOAD	NO LOAD	OPEN	1600	0	0
TSG LX	NUVEEN	NUVEEN LIFESTYLE GROWTH FD A	A	LOAD	OPEN	1600	100	100
TSGHX	NUVEEN	NUVEEN LIFESTYLE GROWTH FD I	I	NO LOAD	OPEN	1600	0	0
TSGRX	NUVEEN	NUVEEN LIFESTYLE GROWTH FD NL	NO LOAD	NO LOAD	REDEEM ONLY	1600	0	0
TSGPX	NUVEEN	NUVEEN LIFESTYLE GROWTH FD NL	NO LOAD	NO LOAD	OPEN	1600	0	0

SYMBOL	FUND FAMILY	FUND DESCRIPTION	SHARE CLASS	FUND TYPE	STATUS	CUT- OFF	IRA MIN	NON-IRA MIN
TSGGX	NUVEEN	NUVEEN LIFESTYLE GROWTH FD R6	R6	NO LOAD	OPEN	1600	0	0
TSILX	NUVEEN	NUVEEN LIFESTYLE INCOME FD A	A	LOAD	OPEN	1600	100	100
TSITX	NUVEEN	NUVEEN LIFESTYLE INCOME FD R6	R6	NO LOAD	OPEN	1600	0	0
TSMXLX	NUVEEN	NUVEEN LIFESTYLE MODERATE FD A	A	LOAD	OPEN	1600	100	100
TSMHX	NUVEEN	NUVEEN LIFESTYLE MODERATE FD I	I	NO LOAD	OPEN	1600	0	0
TSMTX	NUVEEN	NUVEEN LIFESTYLE MODERATE FD NL	NO LOAD	NO LOAD	REDEEM ONLY	1600	0	0
FLTDX	NUVEEN	NUVEEN LIMITED TERM MUNI BOND FUND CL A	A	LOAD	OPEN	1600	2000	3000
FTLAX	NUVEEN	NUVEEN LOUISIANA MUNICIPAL BOND FUND CLASS A	A	LOAD	OPEN	1600	2000	3000
FAFJX	NUVEEN	NUVEEN LTD TERM MUNI BD C	C	LOAD	OPEN	1600	2000	3000
FLTRX	NUVEEN	NUVEEN LTD TERM MUNI BOND FUND CL I	I	NO LOAD	OPEN	1600	100000	100000
NBMAX	NUVEEN	NUVEEN MA MUNI BD I	I	NO LOAD	OPEN	1600	100000	100000
NMAAX	NUVEEN	NUVEEN MA MUNI BOND FD CL A	A	LOAD	OPEN	1600	2000	3000
TIMRX	NUVEEN	NUVEEN MANAGED ALLOCATION FD A	A	LOAD	OPEN	1600	100	100
TITRX	NUVEEN	NUVEEN MANAGED ALLOCATION FD NL	NO LOAD	NO LOAD	REDEEM ONLY	1600	0	0
TIMIX	NUVEEN	NUVEEN MANAGED ALLOCATION FD R6	R6	NO LOAD	OPEN	1600	0	0
NACCX	NUVEEN	NUVEEN MD MUNI BD C	C	LOAD	OPEN	1600	2000	3000
NMDAX	NUVEEN	NUVEEN MD MUNI BD FUND CL A	A	LOAD	OPEN	1600	2000	3000
NMMDX	NUVEEN	NUVEEN MD MUNI BOND FUND CL I	I	NO LOAD	OPEN	1600	100000	100000
FAFNX	NUVEEN	NUVEEN MI MUNI BD C	C	LOAD	OPEN	1600	2000	3000
FMITX	NUVEEN	NUVEEN MI MUNI BOND FUND CL A	A	LOAD	OPEN	1600	2000	3000
NMMIX	NUVEEN	NUVEEN MI MUNI BOND FUND CL I	I	NO LOAD	OPEN	1600	100000	100000
TCMGX	NUVEEN	NUVEEN MID CAP GROWTH FD A	A	LOAD	OPEN	1600	100	100
TCMHX	NUVEEN	NUVEEN MID CAP GROWTH FD I	I	NO LOAD	OPEN	1600	0	0
TRGMX	NUVEEN	NUVEEN MID CAP GROWTH FD NL	NO LOAD	NO LOAD	REDEEM ONLY	1600	100000	100000
TRGPX	NUVEEN	NUVEEN MID CAP GROWTH FD NL	NO LOAD	NO LOAD	OPEN	1600	100000	100000
TRPWX	NUVEEN	NUVEEN MID CAP GROWTH FD R6	R6	NO LOAD	OPEN	1600	0	0
FMEFX	NUVEEN	NUVEEN MID CAP GROWTH OPPTS R6	R6	NO LOAD	REDEEM ONLY	1600	100000	100000
FISGX	NUVEEN	NUVEEN MID CAP GROWTH OPPT CL I	I	NO LOAD	OPEN	1600	100000	100000
FRSLX	NUVEEN	NUVEEN MID CAP GROWTH OPPTY A	A	LOAD	OPEN	1600	2000	3000
FACSX	NUVEEN	NUVEEN MID CAP VALUE C	C	LOAD	OPEN	1600	2000	3000
FSEIX	NUVEEN	NUVEEN MID CAP VALUE CL I	I	NO LOAD	OPEN	1600	100000	100000
TCMVX	NUVEEN	NUVEEN MID CAP VALUE FD A	A	LOAD	OPEN	1600	100	100
FASEX	NUVEEN	NUVEEN MID CAP VALUE FD CLASS A	A	LOAD	OPEN	1600	2000	3000
TRVHX	NUVEEN	NUVEEN MID CAP VALUE FD I	I	NO LOAD	OPEN	1600	0	0
TRVRX	NUVEEN	NUVEEN MID CAP VALUE FD NL	NO LOAD	NO LOAD	REDEEM ONLY	1600	0	0
TRVPX	NUVEEN	NUVEEN MID CAP VALUE FD NL	NO LOAD	NO LOAD	OPEN	1600	100000	100000
TIMVX	NUVEEN	NUVEEN MID CAP VALUE FD R6	R6	NO LOAD	OPEN	1600	0	0
FMVQX	NUVEEN	NUVEEN MID CAP VALUE R6	R6	NO LOAD	REDEEM ONLY	1600	1000000	1000000
NIBCX	NUVEEN	NUVEEN MN INTER MUNI BD FD C	C	LOAD	OPEN	1600	2000	3000
FAMTX	NUVEEN	NUVEEN MN INTER MUNI BD FD I	I	NO LOAD	OPEN	1600	100000	100000
FAMAX	NUVEEN	NUVEEN MN INTERM MUNI BOND A	A	LOAD	OPEN	1600	2000	3000

SYMBOL	FUND FAMILY	FUND DESCRIPTION	SHARE CLASS	FUND TYPE	STATUS	CUT- OFF	IRA MIN	NON-IRA MIN
NTCCX	NUVEEN	NUVEEN MN MUNI BD FD C	C	LOAD	OPEN	1600	2000	3000
FJMNX	NUVEEN	NUVEEN MN MUNI BOND A	A	LOAD	OPEN	1600	2000	3000
FYMNX	NUVEEN	NUVEEN MN MUNI BOND CL I	I	NO LOAD	OPEN	1600	100000	100000
FMMRX	NUVEEN	NUVEEN MO MUNI BD INST	I	NO LOAD	OPEN	1600	100000	100000
FMOTX	NUVEEN	NUVEEN MO MUNI BOND FUND CL A	A	LOAD	OPEN	1600	2000	3000
FAFPX	NUVEEN	NUVEEN MO MUNICIPAL BOND C	C	LOAD	OPEN	1600	2000	3000
TIRXX	NUVEEN	NUVEEN MONEY MARKET FD A	A	LOAD	OPEN	1600	100000	100000
TPPXX	NUVEEN	NUVEEN MONEY MARKET FD NL	NO LOAD	NO LOAD	REDEEM ONLY	1600	0	0
TIEXX	NUVEEN	NUVEEN MONEY MARKET FUND	NO LOAD	NO LOAD	REDEEM ONLY	1600	100000	100000
NQVAX	NUVEEN	NUVEEN MULTI CAP VALUE FUND A	A	LOAD	OPEN	1600	2000	3000
NQVCX	NUVEEN	NUVEEN MULTI CAP VALUE FUND C	C	LOAD	OPEN	1600	2000	3000
NQVRX	NUVEEN	NUVEEN MULTI CAP VALUE FUND I	I	NO LOAD	OPEN	1600	100000	100000
FCNRX	NUVEEN	NUVEEN NC MUNI BD I	I	NO LOAD	OPEN	1600	100000	100000
FDCCX	NUVEEN	NUVEEN NC MUNI BOND CL C	C	LOAD	OPEN	1600	2000	3000
FLNCX	NUVEEN	NUVEEN NC MUNI BOND FUND CL A	A	LOAD	OPEN	1600	2000	3000
FNTYX	NUVEEN	NUVEEN NE MUNI BD INST	I	NO LOAD	OPEN	1600	100000	100000
FNTAX	NUVEEN	NUVEEN NE MUNI BOND A	A	LOAD	OPEN	1600	2000	3000
NNJAX	NUVEEN	NUVEEN NJ MUNI BD FD CL A	A	LOAD	OPEN	1600	2000	3000
NJCCX	NUVEEN	NUVEEN NJ MUNI BOND CL C	C	LOAD	OPEN	1600	2000	3000
NMNJX	NUVEEN	NUVEEN NJ MUNI BOND FUND CL I	I	NO LOAD	OPEN	1600	100000	100000
FNMRX	NUVEEN	NUVEEN NM MUNI BD FD CL I	I	NO LOAD	OPEN	1600	100000	100000
FNMTX	NUVEEN	NUVEEN NM MUNI BOND FUND CL A	A	LOAD	OPEN	1600	2000	3000
FNCCX	NUVEEN	NUVEEN NM MUNICIPAL BOND C	C	LOAD	OPEN	1600	2000	3000
NWQAX	NUVEEN	NUVEEN NWQ FLEXIBLE INCM A	A	LOAD	OPEN	1600	2000	3000
NWQCX	NUVEEN	NUVEEN NWQ FLEXIBLE INCM C	C	LOAD	OPEN	1600	2000	3000
NWQIX	NUVEEN	NUVEEN NWQ FLEXIBLE INCM I	I	NO LOAD	OPEN	1600	100000	100000
NNYAX	NUVEEN	NUVEEN NY MUNI BD FD CL A	A	LOAD	OPEN	1600	2000	3000
NAJPX	NUVEEN	NUVEEN NY MUNI BOND CL C	C	LOAD	OPEN	1600	2000	3000
NTNYX	NUVEEN	NUVEEN NY MUNI BOND FUND CL I	I	NO LOAD	OPEN	1600	100000	100000
FAFMX	NUVEEN	NUVEEN OH MUNI BOND CL C	C	LOAD	OPEN	1600	2000	3000
FOHTX	NUVEEN	NUVEEN OH MUNI BOND FUND CL A	A	LOAD	OPEN	1600	2000	3000
NXOHX	NUVEEN	NUVEEN OH MUNI BOND FUND CL I	I	NO LOAD	OPEN	1600	100000	100000
NAFOX	NUVEEN	NUVEEN OR INTERM MUNI BD C	C	LOAD	OPEN	1600	2000	3000
FOTAX	NUVEEN	NUVEEN OR INTERM MUNI BOND A	A	LOAD	OPEN	1600	2000	3000
FORCX	NUVEEN	NUVEEN OR INTERM MUNI BOND CL I	I	NO LOAD	OPEN	1600	100000	100000
FPCCX	NUVEEN	NUVEEN PA MUNI BOND CL C	C	LOAD	OPEN	1600	2000	3000
FPNTX	NUVEEN	NUVEEN PA MUNI BOND FUND CL A	A	LOAD	OPEN	1600	2000	3000
NBPAX	NUVEEN	NUVEEN PA MUNI BOND FUND CL I	I	NO LOAD	OPEN	1600	100000	100000
NPSFX	NUVEEN	NUVEEN PREFERRED SECS & INC R6	R6	NO LOAD	REDEEM ONLY	1600	100000	100000
NPSAX	NUVEEN	NUVEEN PREFERRED SECURITIES AND INCOME FD CL A	A	LOAD	OPEN	1600	2000	3000
NPSCX	NUVEEN	NUVEEN PREFERRED SECURITIES AND INCOME FD CL C	C	LOAD	OPEN	1600	2000	3000

SYMBOL	FUND FAMILY	FUND DESCRIPTION	SHARE CLASS	FUND TYPE	STATUS	CUT- OFF	IRA MIN	NON-IRA MIN
NPSRX	NUVEEN	NUVEEN PREFERRED SECURITIES AND INCOME FD CL I	I	NO LOAD	OPEN	1600	100000	100000
NISPX	NUVEEN	NUVEEN PREFERRED SECURITIESANDINCMGDACCO	NO LOAD	NO LOAD	REDEEM ONLY	1600	0	0
TRSEX	NUVEEN	NUVEEN QUANT SMALL CAP EQUITY FUND	NO LOAD	NO LOAD	REDEEM ONLY	1600	100000	100000
TSRPX	NUVEEN	NUVEEN QUANT SMALL CAP EQUITY FUND	NO LOAD	NO LOAD	OPEN	1600	0	0
TCSEX	NUVEEN	NUVEEN QUANT SMALL CAP EQUITY FUND A	A	LOAD	OPEN	1600	100	100
TSCHX	NUVEEN	NUVEEN QUANT SMALL CAP EQUITY FUND I	I	NO LOAD	OPEN	1600	0	0
TISEX	NUVEEN	NUVEEN QUANT SMALL CAP EQUITY FUND R6	R6	NO LOAD	OPEN	1600	0	0
TSMNX	NUVEEN	NUVEEN QUANT SMALL MID CAP EQUITY FUND I	I	NO LOAD	OPEN	1600	0	0
NRIAX	NUVEEN	NUVEEN REAL ASSET INCM A	A	LOAD	OPEN	1600	2000	3000
NRICX	NUVEEN	NUVEEN REAL ASSET INCM C	C	LOAD	OPEN	1600	2000	3000
NRIIX	NUVEEN	NUVEEN REAL ASSET INCM I	I	NO LOAD	OPEN	1600	100000	100000
FREAX	NUVEEN	NUVEEN REAL ESTATE SEC FD CL A	A	LOAD	OPEN	1600	2000	3000
FRLCX	NUVEEN	NUVEEN REAL ESTATE SECS C	C	LOAD	OPEN	1600	2000	3000
FARCX	NUVEEN	NUVEEN REAL ESTATE SECS CL I	I	NO LOAD	OPEN	1600	100000	100000
FREGX	NUVEEN	NUVEEN REAL ESTATE SECS R6	R6	NO LOAD	REDEEM ONLY	1600	5000000	5000000
TRRSX	NUVEEN	NUVEEN REAL ESTATE SECURITIES SELECT FUND	NO LOAD	NO LOAD	REDEEM ONLY	1600	0	0
TRRPX	NUVEEN	NUVEEN REAL ESTATE SECURITIES SELECT FUND	NO LOAD	NO LOAD	OPEN	1600	100000	100000
TCREX	NUVEEN	NUVEEN REAL ESTATE SECURITIES SELECT FUND A	A	LOAD	OPEN	1600	100	100
TIRHX	NUVEEN	NUVEEN REAL ESTATE SECURITIES SELECT FUND I	I	NO LOAD	SUBS ONLY	1600	0	0
TIREX	NUVEEN	NUVEEN REAL ESTATE SECURITIES SELECT FUND R6	R6	NO LOAD	OPEN	1600	0	0
TRSPX	NUVEEN	NUVEEN S&P 500 INDEX FUND	NO LOAD	NO LOAD	REDEEM ONLY	1600	0	0
TISAX	NUVEEN	NUVEEN S&P 500 INDEX FUND I	I	NO LOAD	OPEN	1600	0	0
TISPX	NUVEEN	NUVEEN S&P 500 INDEX FUND R6	R6	NO LOAD	OPEN	1600	0	0
NNSDX	NUVEEN	NUVEEN SECURITIZED CREDIT MGD ACCTS COM	NO LOAD	NO LOAD	REDEEM ONLY	1600	0	0
NVHAX	NUVEEN	NUVEEN SHORT DUR HIGH YLD MUNI BD A	A	LOAD	OPEN	1600	2000	3000
NVCCX	NUVEEN	NUVEEN SHORT DUR HIGH YLD MUNI BD C	C	LOAD	OPEN	1600	2000	3000
NVHIX	NUVEEN	NUVEEN SHORT DUR HIGH YLD MUNI BD I	I	NO LOAD	OPEN	1600	100000	100000
TSDBX	NUVEEN	NUVEEN SHORT DURATION IMPACT BOND FUND A	A	LOAD	OPEN	1600	100000	100000
TSDJX	NUVEEN	NUVEEN SHORT DURATION IMPACT BOND FUND R6	R6	NO LOAD	OPEN	1600	0	0
TSTPX	NUVEEN	NUVEEN SHORT TERM BOND FUND	NO LOAD	NO LOAD	OPEN	1600	0	0
TISRX	NUVEEN	NUVEEN SHORT TERM BOND FUND A	NO LOAD	NO LOAD	REDEEM ONLY	1600	0	0
TCTHX	NUVEEN	NUVEEN SHORT TERM BOND FUND I	I	NO LOAD	OPEN	1600	0	0
TISIX	NUVEEN	NUVEEN SHORT TERM BOND FUND R6	R6	NO LOAD	OPEN	1600	0	0
TTBHX	NUVEEN	NUVEEN SHORT TERM BOND INDEX FUND I	I	NO LOAD	OPEN	1600	0	0
FSHAX	NUVEEN	NUVEEN SHORT TERM MUNI BD FD A	A	LOAD	OPEN	1600	2000	3000
NAAEX	NUVEEN	NUVEEN SHORT TERM MUNI BD FD C	C	LOAD	OPEN	1600	2000	3000
FSHYX	NUVEEN	NUVEEN SHORT TERM MUNI BD FD I	I	NO LOAD	OPEN	1600	100000	100000
TRBIX	NUVEEN	NUVEEN SMALL CAP BLEND INDEX FUND	NO LOAD	NO LOAD	REDEEM ONLY	1600	0	0
TRHBX	NUVEEN	NUVEEN SMALL CAP BLEND INDEX FUND I	I	NO LOAD	OPEN	1600	0	0
TISBX	NUVEEN	NUVEEN SMALL CAP BLEND INDEX FUND R6	R6	NO LOAD	OPEN	1600	0	0
FRMPX	NUVEEN	NUVEEN SMALL CAP GROWTH OPP A	A	LOAD	OPEN	1600	2000	3000

SYMBOL	FUND FAMILY	FUND DESCRIPTION	SHARE CLASS	FUND TYPE	STATUS	CUT- OFF	IRA MIN	NON-IRA MIN
FMPFX	NUVEEN	NUVEEN SMALL CAP GROWTH OPP R6	R6	NO LOAD	REDEEM ONLY	1600	0	0
FIMPX	NUVEEN	NUVEEN SMALL CAP GWTH OPP CL I	I	NO LOAD	OPEN	1600	100000	100000
EMGRX	NUVEEN	NUVEEN SMALL CAP SELECT FD CL A	A	LOAD	OPEN	1600	2000	3000
ASEFX	NUVEEN	NUVEEN SMALL CAP SELECT R6	R6	NO LOAD	REDEEM ONLY	1600	0	0
FSCVX	NUVEEN	NUVEEN SMALL CAP VALUE C	C	LOAD	OPEN	1600	2000	3000
FSCCX	NUVEEN	NUVEEN SMALL CAP VALUE CL I	I	NO LOAD	OPEN	1600	100000	100000
FSCWX	NUVEEN	NUVEEN SMALL CAP VALUE CL R6	R6	NO LOAD	REDEEM ONLY	1600	5000000	5000000
FSCAX	NUVEEN	NUVEEN SMALL CAP VALUE FD CL A	A	LOAD	OPEN	1600	2000	3000
NSCAX	NUVEEN	NUVEEN SMALL CAP VALUE FUND A	A	LOAD	OPEN	1600	2000	3000
NSCCX	NUVEEN	NUVEEN SMALL CAP VALUE FUND C	C	LOAD	OPEN	1600	2000	3000
NSCRX	NUVEEN	NUVEEN SMALL CAP VALUE FUND I	I	NO LOAD	OPEN	1600	100000	100000
ARSTX	NUVEEN	NUVEEN SMALL CAPSELECT CL I	I	NO LOAD	OPEN	1600	100000	100000
NSMAX	NUVEEN	NUVEEN SMALL MID CAP VALUE A	A	LOAD	OPEN	1600	2000	3000
NSMCX	NUVEEN	NUVEEN SMALL MID CAP VALUE C	C	LOAD	OPEN	1600	2000	3000
NSMRX	NUVEEN	NUVEEN SMALL MID CAP VALUE I	I	NO LOAD	OPEN	1600	100000	100000
TLWCX	NUVEEN	NUVEEN SOCIAL CHOICE LOW CARBON EQUITY FUND A	A	LOAD	OPEN	1600	100	100
TCCHX	NUVEEN	NUVEEN SOCIAL CHOICE LOW CARBON EQUITY FUND I	I	NO LOAD	OPEN	1600	0	0
TNWCX	NUVEEN	NUVEEN SOCIAL CHOICE LOW CARBON EQUITY FUND R6	R6	NO LOAD	OPEN	1600	0	0
FCDDX	NUVEEN	NUVEEN STRATEGIC INCOME FD CL A	A	LOAD	OPEN	1600	2000	3000
FCBCX	NUVEEN	NUVEEN STRATEGIC INCOME FUND CL C	C	LOAD	OPEN	1600	2000	3000
FCBYX	NUVEEN	NUVEEN STRATEGIC INCOME FUND CL I	I	NO LOAD	OPEN	1600	100000	100000
NSAOX	NUVEEN	NUVEEN STRATEGIC MUNI OPPTY FD A	A	LOAD	OPEN	1600	2000	3000
NSIOX	NUVEEN	NUVEEN STRATEGIC MUNI OPPTY FD INST	I	NO LOAD	OPEN	1600	100000	100000
NSCOX	NUVEEN	NUVEEN STRATEGIC MUNICIPAL OPPTS C	C	LOAD	OPEN	1600	2000	3000
NUSMX	NUVEEN	NUVEEN ULTRA SHORT MUNCPL MGD ACCTS	NO LOAD	NO LOAD	REDEEM ONLY	1600	0	0
FVCCX	NUVEEN	NUVEEN VA MUNI BOND CL C	C	LOAD	OPEN	1600	2000	3000
FVATX	NUVEEN	NUVEEN VA MUNI BOND FUND CL A	A	LOAD	OPEN	1600	2000	3000
NMVAX	NUVEEN	NUVEEN VA MUNI BOND FUND CL I	I	NO LOAD	OPEN	1600	100000	100000
FWCCX	NUVEEN	NUVEEN WI MUNI BD C	C	LOAD	REDEEM ONLY	1600	2000	3000
FWIRX	NUVEEN	NUVEEN WI MUNI BD I	I	NO LOAD	REDEEM ONLY	1600	100000	100000
NWCCX	NUVEEN	NUVEEN WINSLOW LARGE CAP GROWTH ESG C	C	LOAD	OPEN	1600	2000	3000
NWCAX	NUVEEN	NUVEEN WINSLOW LG CAP GWTH ESG FD CL A	A	LOAD	OPEN	1600	2000	3000
NVLIX	NUVEEN	NUVEEN WINSLOW LG CAP GWTH ESG FD CL I	I	NO LOAD	OPEN	1600	100000	100000
NWCFX	NUVEEN	NUVEEN WINSLOW LG CAP GWTH ESG FD CL R6	R6	NO LOAD	REDEEM ONLY	1600	5000000	5000000
FWIAX	NUVEEN	NUVEEN WISCONSIN MUNICIPAL BOND FUND CLASS A	A	LOAD	REDEEM ONLY	1600	2000	3000
TRCVX	NUVEEN	TIAA CREF LARGE CAP VALUE IDX RETIREMENT	NO LOAD	NO LOAD	REDEEM ONLY	1600	0	0
TLXNX	NUVEEN	TIAA CREF LIFECYCLE 2060 INST	R6	NO LOAD	OPEN	1600	0	0
TCTRX	NUVEEN	TIAA CREF SHORT TERM BOND FD RETAIL	A	LOAD	OPEN	1600	100	100
TLIRX	NUVEEN	TIAACREF LIFECYCLE RETIRE INCOME RETIRE	NO LOAD	NO LOAD	REDEEM ONLY	1600	100000	100000
CSHAX	NYLI	MAINSTAY CUSHING MLP PREMIER FD CL A	A	LOAD	OPEN	1600	1000	1000
CSHCX	NYLI	MAINSTAY CUSHING MLP PREMIER FD CL C	C	LOAD	OPEN	1600	250	2000

SYMBOL	FUND FAMILY	FUND DESCRIPTION	SHARE CLASS	FUND TYPE	STATUS	CUT- OFF	IRA MIN	NON-IRA MIN
CSHZX	NYLI	MAINSTAY CUSHING MLP PREMIER FD CL I	I	NO LOAD	OPEN	1600	5000000	5000000
MNOCX	NYLI	MAINSTAY MACKAY NY TAX FREE OPPTS C	C	LOAD	OPEN	1600	1000	2500
MNOAX	NYLI	MAINSTAY MACKAY NY TAX FREE OPPTY FD CL A	A	LOAD	OPEN	1600	100	100
MNOIX	NYLI	MAINSTAY MACKAY NY TAX FREE OPPTY INST	I	NO LOAD	OPEN	1600	5000000	5000000
MLRRX	NYLI	MAINSTAY WINSLOW LARGE CAP GROWTH FD CL R1	R1	NO LOAD	SUBS ONLY	1600	0	0
MBNAX	NYLI	NYLI BALANCED FD A	A	LOAD	OPEN	1600	1000	1000
MBNBX	NYLI	NYLI BALANCED FD B	B	LOAD	EXCH ONLY	1600	1000	1000
MBACX	NYLI	NYLI BALANCED FD C	C	LOAD	OPEN	1600	1000	1000
MBAIX	NYLI	NYLI BALANCED FD I	I	NO LOAD	OPEN	1600	5000000	5000000
MBINX	NYLI	NYLI BALANCED FD INVESTOR	INVESTOR	LOAD	OPEN	1600	1000	1000
MBERX	NYLI	NYLI BALANCED FD R6	R6	NO LOAD	REDEEM ONLY	1600	0	0
MGHAX	NYLI	NYLI CANDRIAM EMERGING MARKETS DEBT FD A	A	LOAD	OPEN	1600	1000	1000
MHYCX	NYLI	NYLI CANDRIAM EMERGING MARKETS DEBT FD C	C	LOAD	OPEN	1600	1000	1000
MGHIX	NYLI	NYLI CANDRIAM EMERGING MARKETS DEBT FD I	I	NO LOAD	OPEN	1600	5000000	5000000
MCYAX	NYLI	NYLI CANDRIAM EMERGING MARKETS EQUITY FD A	A	LOAD	OPEN	1600	100	100
MCYIX	NYLI	NYLI CANDRIAM EMERGING MARKETS EQUITY FD I	I	NO LOAD	OPEN	1600	1000000	1000000
VCRAX	NYLI	NYLI CBRE GLOBAL INFRASTRUCTURE FD A	A	LOAD	OPEN	1600	250	1000
VCRCX	NYLI	NYLI CBRE GLOBAL INFRASTRUCTURE FD C	C	LOAD	OPEN	1600	2500	2500
VCRIX	NYLI	NYLI CBRE GLOBAL INFRASTRUCTURE FD I	I	NO LOAD	OPEN	1600	1000000	1000000
CLARX	NYLI	NYLI CBRE REAL ESTATE FD A	A	LOAD	OPEN	1600	2500	2500
CRCRX	NYLI	NYLI CBRE REAL ESTATE FD C	C	LOAD	OPEN	1600	2500	2500
CRARX	NYLI	NYLI CBRE REAL ESTATE FD I	I	NO LOAD	OPEN	1600	1000000	1000000
MCKAX	NYLI	NYLI CONSERVATIVE ALLOCATION FD A	A	LOAD	OPEN	1600	1000	1000
MCKBX	NYLI	NYLI CONSERVATIVE ALLOCATION FD B	B	LOAD	EXCH ONLY	1600	1000	1000
MCKCX	NYLI	NYLI CONSERVATIVE ALLOCATION FD C	C	LOAD	OPEN	1600	1000	1000
MCKIX	NYLI	NYLI CONSERVATIVE ALLOCATION FD I	I	NO LOAD	OPEN	1600	5000000	5000000
MECDX	NYLI	NYLI EPOCH CAPITAL GROWTH FD A	A	LOAD	OPEN	1600	2500	2500
MECEX	NYLI	NYLI EPOCH CAPITAL GROWTH FD C	C	LOAD	OPEN	1600	1000	1000
MECFX	NYLI	NYLI EPOCH CAPITAL GROWTH FD I	I	NO LOAD	OPEN	1600	5000000	5000000
EPSPX	NYLI	NYLI EPOCH GLOBAL EQUITY YIELD FD A	A	LOAD	OPEN	1600	2500	2500
EPSKX	NYLI	NYLI EPOCH GLOBAL EQUITY YIELD FD C	C	LOAD	OPEN	1600	2500	2500
EPSYX	NYLI	NYLI EPOCH GLOBAL EQUITY YIELD FD I	I	NO LOAD	OPEN	1600	5000000	5000000
ICEVX	NYLI	NYLI EPOCH INTERNATIONAL CHOICE FD A	A	LOAD	OPEN	1600	1000	1000
ICEWX	NYLI	NYLI EPOCH INTERNATIONAL CHOICE FD C	C	LOAD	OPEN	1600	1000	1000
ICEUX	NYLI	NYLI EPOCH INTERNATIONAL CHOICE FD I	I	NO LOAD	OPEN	1600	5000000	5000000
EPLPX	NYLI	NYLI EPOCH U.S. EQUITY YIELD FD A	A	LOAD	OPEN	1600	2500	2500
EPLBX	NYLI	NYLI EPOCH U.S. EQUITY YIELD FD B	B	LOAD	EXCH ONLY	1600	100000000	100000000
EPLKX	NYLI	NYLI EPOCH U.S. EQUITY YIELD FD C	C	LOAD	OPEN	1600	2500	2500
EPLCX	NYLI	NYLI EPOCH U.S. EQUITY YIELD FD I	I	NO LOAD	OPEN	1600	5000000	5000000
MGXAX	NYLI	NYLI EQUITY ALLOCATION FD A	A	LOAD	OPEN	1600	1000	1000
MGXBX	NYLI	NYLI EQUITY ALLOCATION FD B	B	LOAD	EXCH ONLY	1600	1000	1000

SYMBOL	FUND FAMILY	FUND DESCRIPTION	SHARE CLASS	FUND TYPE	STATUS	CUT- OFF	IRA MIN	NON-IRA MIN
MGXCX	NYLI	NYLI EQUITY ALLOCATION FD C	C	LOAD	OPEN	1600	1000	1000
MGXIX	NYLI	NYLI EQUITY ALLOCATION FD I	I	NO LOAD	OPEN	1600	1000000	1000000
MGXNX	NYLI	NYLI EQUITY ALLOCATION FD INVESTOR	INVESTOR	LOAD	OPEN	1600	1000	1000
APSRX	NYLI	NYLI FIERA SMID GROWTH FD A	A	LOAD	OPEN	1600	1000	1000
APSGX	NYLI	NYLI FIERA SMID GROWTH FD I	I	NO LOAD	OPEN	1600	1000000	1000000
MXFAX	NYLI	NYLI FLOATING RATE FD A	A	LOAD	OPEN	1600	1000	1000
MXFCX	NYLI	NYLI FLOATING RATE FD C	C	LOAD	OPEN	1600	1000	1000
MXFIX	NYLI	NYLI FLOATING RATE FD I	I	NO LOAD	OPEN	1600	5000000	5000000
MGDAX	NYLI	NYLI GROWTH ALLOCATION FD A	A	LOAD	OPEN	1600	1000	1000
MGDBX	NYLI	NYLI GROWTH ALLOCATION FD B	B	LOAD	EXCH ONLY	1600	1000	1000
MGDCX	NYLI	NYLI GROWTH ALLOCATION FD C	C	LOAD	OPEN	1600	1000	1000
MGDIX	NYLI	NYLI GROWTH ALLOCATION FD I	I	NO LOAD	OPEN	1600	5000000	5000000
MGDNX	NYLI	NYLI GROWTH ALLOCATION FD INVESTOR	INVESTOR	LOAD	OPEN	1600	1000	1000
MTRAX	NYLI	NYLI INCOME BUILDER FD A	A	LOAD	OPEN	1600	1000	1000
MKTRX	NYLI	NYLI INCOME BUILDER FD B	B	LOAD	EXCH ONLY	1600	1000	1000
MCTRX	NYLI	NYLI INCOME BUILDER FD C	C	LOAD	OPEN	1600	1000	1000
MTOIX	NYLI	NYLI INCOME BUILDER FD I	I	NO LOAD	OPEN	1600	5000000	5000000
AZTCX	NYLI	NYLI MACKAY ARIZONA MUNI FD C	C	LOAD	OPEN	1600	1000	1000
AZTYX	NYLI	NYLI MACKAY ARIZONA MUNI FD I	I	NO LOAD	OPEN	1600	0	0
AZTFX	NYLI	NYLI MACKAY ARIZONA MUNI FD Z	Z	LOAD	OPEN	1600	1000	1000
AZTAX	NYLI	NYLI MACKAY ARIZONA MUNI FUND A	A	LOAD	OPEN	1600	100	100
COTCX	NYLI	NYLI MACKAY COLORADO MUNI FD C	C	LOAD	OPEN	1600	1000	1000
COTYX	NYLI	NYLI MACKAY COLORADO MUNI FD I	I	NO LOAD	OPEN	1600	0	0
COTFX	NYLI	NYLI MACKAY COLORADO MUNI FD Z	Z	LOAD	OPEN	1600	1000	1000
COTAX	NYLI	NYLI MACKAY COLORADO MUNI FUND A	A	LOAD	OPEN	1600	100	100
MCOAX	NYLI	NYLI MACKAY CONVERTIBLE FD A	A	LOAD	OPEN	1600	1000	1000
MCSVX	NYLI	NYLI MACKAY CONVERTIBLE FD B	B	LOAD	EXCH ONLY	1600	1000	1000
MCCVX	NYLI	NYLI MACKAY CONVERTIBLE FD C	C	LOAD	OPEN	1600	1000	1000
MCNVX	NYLI	NYLI MACKAY CONVERTIBLE FD I	I	NO LOAD	OPEN	1600	5000000	5000000
MCINX	NYLI	NYLI MACKAY CONVERTIBLE FD INVESTOR	INVESTOR	LOAD	OPEN	1600	1000	1000
MHCAX	NYLI	NYLI MACKAY HIGH YIELD CORPORATE BOND FD A	A	LOAD	OPEN	1600	1000	1000
MKHCX	NYLI	NYLI MACKAY HIGH YIELD CORPORATE BOND FD B	B	LOAD	EXCH ONLY	1600	1000	1000
MYHCX	NYLI	NYLI MACKAY HIGH YIELD CORPORATE BOND FD C	C	LOAD	OPEN	1600	1000	1000
MHYIX	NYLI	NYLI MACKAY HIGH YIELD CORPORATE BOND FD I	I	NO LOAD	OPEN	1600	5000000	5000000
MHHIX	NYLI	NYLI MACKAY HIGH YIELD CORPORATE BOND FD INVESTOR	INVESTOR	LOAD	OPEN	1600	1000	1000
MHYRX	NYLI	NYLI MACKAY HIGH YIELD CORPORATE BOND FD R2	R2	NO LOAD	REDEEM ONLY	1600	0	0
MHYSX	NYLI	NYLI MACKAY HIGH YIELD CORPORATE BOND FD R6	R6	NO LOAD	REDEEM ONLY	1600	0	0
MSCAX	NYLI	NYLI MACKAY HIGH YIELD MUNI BOND FD A	A	LOAD	OPEN	1600	1000	2500
MSCCX	NYLI	NYLI MACKAY HIGH YIELD MUNI BOND FD C	C	LOAD	OPEN	1600	1000	2500
MCOIX	NYLI	NYLI MACKAY HIGH YIELD MUNI BOND FD I	I	NO LOAD	OPEN	1600	5000000	5000000
MMHEX	NYLI	NYLI MACKAY HIGH YIELD MUNI BOND FD R6	R6	NO LOAD	REDEEM ONLY	1600	100000	100000

SYMBOL	FUND FAMILY	FUND DESCRIPTION	SHARE CLASS	FUND TYPE	STATUS	CUT-OFF	IRA MIN	NON-IRA MIN
MMHAX	NYLI	NYLI MACKAY HIGH YIELD MUNI BOND FUND A	A	LOAD	OPEN	1600	1000	2500
MMHDX	NYLI	NYLI MACKAY HIGH YIELD MUNI BOND FUND C	C	LOAD	OPEN	1600	2500	2500
MMHIX	NYLI	NYLI MACKAY HIGH YIELD MUNI BOND FUND I	I	NO LOAD	OPEN	1600	5000000	5000000
ORTCX	NYLI	NYLI MACKAY OREGON MUNI FD C	C	LOAD	OPEN	1600	1000	1000
ORTYX	NYLI	NYLI MACKAY OREGON MUNI FD I	I	NO LOAD	OPEN	1600	0	0
ORTFX	NYLI	NYLI MACKAY OREGON MUNI FD Z	Z	LOAD	OPEN	1600	1000	1000
ORTBX	NYLI	NYLI MACKAY OREGON MUNI FUND A	A	LOAD	OPEN	1600	100	100
MDHAX	NYLI	NYLI MACKAY SHORT DURATION HIGH INCOME FD A	A	LOAD	OPEN	1600	1000	1000
MDHCX	NYLI	NYLI MACKAY SHORT DURATION HIGH INCOME FD C	C	LOAD	OPEN	1600	2500	2500
MDHIX	NYLI	NYLI MACKAY SHORT DURATION HIGH INCOME FD I	I	NO LOAD	OPEN	1600	5000000	5000000
MSTAX	NYLI	NYLI MACKAY SHORT TERM MUNI FD A	A	LOAD	OPEN	1600	1000	1000
MSTIX	NYLI	NYLI MACKAY SHORT TERM MUNI FD I	I	NO LOAD	OPEN	1600	5000000	5000000
MASAX	NYLI	NYLI MACKAY STRATEGIC BOND FD A	A	LOAD	OPEN	1600	1000	1000
MSICX	NYLI	NYLI MACKAY STRATEGIC BOND FD C	C	LOAD	OPEN	1600	1000	1000
MSDIX	NYLI	NYLI MACKAY STRATEGIC BOND FD I	I	NO LOAD	OPEN	1600	5000000	5000000
MSYDX	NYLI	NYLI MACKAY STRATEGIC BOND FD INVESTOR	INVESTOR	LOAD WAIVED	REDEEM ONLY	1600	100	100
MSYEX	NYLI	NYLI MACKAY STRATEGIC BOND FD R6	R6	NO LOAD	REDEEM ONLY	1600	0	0
MTFDX	NYLI	NYLI MACKAY STRATEGIC MUNI ALLOCATION FD A	A	LOAD	OPEN	1600	100	100
MTFFX	NYLI	NYLI MACKAY STRATEGIC MUNI ALLOCATION FD C	C	LOAD	OPEN	1600	1000	1000
MTFGX	NYLI	NYLI MACKAY STRATEGIC MUNI ALLOCATION FD I	I	NO LOAD	OPEN	1600	5000000	5000000
MTFZX	NYLI	NYLI MACKAY STRATEGIC MUNI ALLOCATION FD Z	Z	LOAD	OPEN	1600	1000	1000
MTBAX	NYLI	NYLI MACKAY TAX FREE BOND FD A	A	LOAD	OPEN	1600	1000	2500
MKTBX	NYLI	NYLI MACKAY TAX FREE BOND FD B	B	LOAD	EXCH ONLY	1600	0	1000
MTFCX	NYLI	NYLI MACKAY TAX FREE BOND FD C	C	LOAD	OPEN	1600	1000	1000
MTBIX	NYLI	NYLI MACKAY TAX FREE BOND FD I	I	NO LOAD	OPEN	1600	5000000	5000000
MTBDX	NYLI	NYLI MACKAY TAX FREE BOND FD R6	R6	NO LOAD	REDEEM ONLY	1600	100000	100000
MTMAX	NYLI	NYLI MACKAY TOTAL RETURN BOND FD A	A	LOAD	OPEN	1600	1000	1000
MTMCX	NYLI	NYLI MACKAY TOTAL RETURN BOND FD C	C	LOAD	OPEN	1600	1000	1000
MTMIX	NYLI	NYLI MACKAY TOTAL RETURN BOND FD I	I	NO LOAD	OPEN	1600	5000000	5000000
MGVAX	NYLI	NYLI MACKAY U.S. INFRASTRUCTURE BOND FD A	A	LOAD	OPEN	1600	1000	1000
MGVCX	NYLI	NYLI MACKAY U.S. INFRASTRUCTURE BOND FD C	C	LOAD	OPEN	1600	1000	1000
MGOIX	NYLI	NYLI MACKAY U.S. INFRASTRUCTURE BOND FD I	I	NO LOAD	OPEN	1600	5000000	5000000
MGVNX	NYLI	NYLI MACKAY U.S. INFRASTRUCTURE BOND FD INVESTOR	INVESTOR	LOAD	OPEN	1600	1000	1000
UTACX	NYLI	NYLI MACKAY UTAH MUNI FD C	C	LOAD	OPEN	1600	1000	1000
UTAYX	NYLI	NYLI MACKAY UTAH MUNI FD I	I	NO LOAD	OPEN	1600	0	0
UTAHX	NYLI	NYLI MACKAY UTAH MUNI FD Z	Z	LOAD	OPEN	1600	1000	1000
UTAVX	NYLI	NYLI MACKAY UTAH MUNI FUND A	A	LOAD	OPEN	1600	100	100
MMRAX	NYLI	NYLI MODERATE ALLOCATION FD A	A	LOAD	OPEN	1600	1000	2500
MMRBX	NYLI	NYLI MODERATE ALLOCATION FD B	B	LOAD	EXCH ONLY	1600	1000	1000
MMRCX	NYLI	NYLI MODERATE ALLOCATION FD C	C	LOAD	OPEN	1600	1000	1000
MMRIX	NYLI	NYLI MODERATE ALLOCATION FD I	I	NO LOAD	OPEN	1600	5000000	5000000

SYMBOL	FUND FAMILY	FUND DESCRIPTION	SHARE CLASS	FUND TYPE	STATUS	CUT- OFF	IRA MIN	NON-IRA MIN
MMAXX	NYLI	NYLI MONEY MARKET FD A	A	LOAD	OPEN	1600	1000	1000
MKMXX	NYLI	NYLI MONEY MARKET FD B	B	LOAD	EXCH ONLY	1600	1000	1000
MSCXX	NYLI	NYLI MONEY MARKET FD C	C	LOAD	OPEN	1600	1000	1000
FCGEX	NYLI	NYLI PINESTONE GLOBAL EQUITY FD A	A	LOAD	OPEN	1600	1000	1000
FCGIX	NYLI	NYLI PINESTONE GLOBAL EQUITY FD I	I	NO LOAD	OPEN	1600	1000000	1000000
FCGMX	NYLI	NYLI PINESTONE GLOBAL EQUITY FD R6	R6	NO LOAD	REDEEM ONLY	1600	0	0
FCIRX	NYLI	NYLI PINESTONE INTERNATIONAL EQUITY FD A	A	LOAD	OPEN	1600	1000	1000
FCICX	NYLI	NYLI PINESTONE INTERNATIONAL EQUITY FD C	C	LOAD	OPEN	1600	1000	1000
FCIUX	NYLI	NYLI PINESTONE INTERNATIONAL EQUITY FD I	I	NO LOAD	OPEN	1600	1000000	1000000
FCIKX	NYLI	NYLI PINESTONE INTERNATIONAL EQUITY FD INVESTOR	INVESTOR	LOAD	OPEN	1600	100	100
FCIWX	NYLI	NYLI PINESTONE INTERNATIONAL EQUITY FD R6	R6	NO LOAD	REDEEM ONLY	1600	0	0
FCUIX	NYLI	NYLI PINESTONE U.S. EQUITY FD I	I	NO LOAD	OPEN	1600	0	0
MSXAX	NYLI	NYLI S&P 500 INDEX FD A	A	LOAD	OPEN	1600	1000	1000
MSPIX	NYLI	NYLI S&P 500 INDEX FD I	I	NO LOAD	OPEN	1600	5000000	5000000
MYSXP	NYLI	NYLI S&P 500 INDEX FD INVESTOR	INVESTOR	LOAD	OPEN	1600	1000	1000
MIXAX	NYLI	NYLI SHORT TERM BOND FD A	A	LOAD	OPEN	1600	1000	1000
MIXIX	NYLI	NYLI SHORT TERM BOND FD I	I	NO LOAD	OPEN	1600	5000000	5000000
MLAAX	NYLI	NYLI WINSLOW LARGE CAP GROWTH FD A	A	LOAD	OPEN	1600	1000	1000
MLABX	NYLI	NYLI WINSLOW LARGE CAP GROWTH FD B	B	LOAD	EXCH ONLY	1600	1000	1000
MLACX	NYLI	NYLI WINSLOW LARGE CAP GROWTH FD C	C	LOAD	OPEN	1600	1000	1000
MLAIX	NYLI	NYLI WINSLOW LARGE CAP GROWTH FD I	I	NO LOAD	OPEN	1600	5000000	5000000
MLINX	NYLI	NYLI WINSLOW LARGE CAP GROWTH FD INVESTOR	INVESTOR	LOAD	SUBS ONLY	1600	1000	1000
MLRTX	NYLI	NYLI WINSLOW LARGE CAP GROWTH FD R2	R2	NO LOAD	SUBS ONLY	1600	0	0
MLGRX	NYLI	NYLI WINSLOW LARGE CAP GROWTH FD R3	R3	NO LOAD	SUBS ONLY	1600	0	0
MLRSX	NYLI	NYLI WINSLOW LARGE CAP GROWTH FD R6	R6	NO LOAD	REDEEM ONLY	1600	1000	1000
MSOAX	NYLI	NYLI WMC ENDURING CAPITAL FD A	A	LOAD	OPEN	1600	1000	1000
MOPBX	NYLI	NYLI WMC ENDURING CAPITAL FD B	B	LOAD	EXCH ONLY	1600	1000	1000
MGOCX	NYLI	NYLI WMC ENDURING CAPITAL FD C	C	LOAD	OPEN	1600	1000	1000
MSOIX	NYLI	NYLI WMC ENDURING CAPITAL FD I	I	NO LOAD	OPEN	1600	5000000	5000000
MCSSX	NYLI	NYLI WMC ENDURING CAPITAL FD INVESTOR	INVESTOR	LOAD	OPEN	1600	1000	1000
KLGA	NYLI	NYLI WMC GROWTH FD A	A	LOAD	OPEN	1600	1000	1000
KLGBX	NYLI	NYLI WMC GROWTH FD B	B	LOAD	EXCH ONLY	1600	2500	2500
KLGCX	NYLI	NYLI WMC GROWTH FD C	C	LOAD	OPEN	1600	2500	2500
KLGIX	NYLI	NYLI WMC GROWTH FD I	I	NO LOAD	OPEN	1600	5000000	5000000
KLGNX	NYLI	NYLI WMC GROWTH FD INVESTOR	INVESTOR	LOAD WAIVED	OPEN	1600	100	100
MYITX	NYLI	NYLI WMC INTERNATIONAL RESEARCH EQUITY FD A	A	LOAD	OPEN	1600	100	100
MYICX	NYLI	NYLI WMC INTERNATIONAL RESEARCH EQUITY FD C	C	LOAD	OPEN	1600	1000	1000
MYIIX	NYLI	NYLI WMC INTERNATIONAL RESEARCH EQUITY FD I	I	NO LOAD	OPEN	1600	5000000	5000000
MYINX	NYLI	NYLI WMC INTERNATIONAL RESEARCH EQUITY FD INVESTOR	INVESTOR	LOAD WAIVED	REDEEM ONLY	1600	50	100
MOPAX	NYLI	NYLI WMC SMALL COMPANIES FD A	A	LOAD	OPEN	1600	2500	2500
MOTBX	NYLI	NYLI WMC SMALL COMPANIES FD B	B	LOAD	EXCH ONLY	1600	1000	1000

SYMBOL	FUND FAMILY	FUND DESCRIPTION	SHARE CLASS	FUND TYPE	STATUS	CUT- OFF	IRA MIN	NON-IRA MIN
MOPCX	NYLI	NYLI WMC SMALL COMPANIES FD C	C	LOAD	OPEN	1600	1000	1000
MOPIX	NYLI	NYLI WMC SMALL COMPANIES FD I	I	NO LOAD	OPEN	1600	5000000	5000000
MOINX	NYLI	NYLI WMC SMALL COMPANIES FD INVESTOR	INVESTOR	LOAD	OPEN	1600	1000	1000
MAPAX	NYLI	NYLI WMC VALUE FD A	A	LOAD	OPEN	1600	1000	1000
MAPBX	NYLI	NYLI WMC VALUE FD B	B	LOAD	EXCH ONLY	1600	1000	1000
MMPCX	NYLI	NYLI WMC VALUE FD C	C	LOAD	OPEN	1600	1000	1000
MUBFX	NYLI	NYLI WMC VALUE FD I	I	NO LOAD	OPEN	1600	5000000	5000000
MSMIX	NYLI	NYLI WMC VALUE FD INVESTOR	INVESTOR	LOAD	OPEN	1600	1000	1000
OFVIX	O SHAUGHNESSY	OSHAUGHNESSY MARKET LEADERS VALUE I	I	NO LOAD	OPEN	1600	10000	10000
BOGSX	OAK	BLACK OAK EMERGING TECHNOLOGY FUND	NO LOAD	NO LOAD	OPEN	1600	0	0
LOGSX	OAK	LIVE OAK HEALTH SCIENCESFD	NO LOAD	NO LOAD	OPEN	1600	0	0
POGSX	OAK	PIN OAK EQUITY FD	NO LOAD	NO LOAD	OPEN	1600	0	0
ROGSX	OAK	RED OAK TECHNOLOGY FUND	NO LOAD	NO LOAD	OPEN	1600	0	0
RIVSX	OAK	RIVER OAK DISCOVERY FUND	NO LOAD	NO LOAD	OPEN	1600	0	0
RCKSX	OAK	ROCK OAK CORE GROWTH FUND	NO LOAD	NO LOAD	OPEN	1600	0	0
WOGSX	OAK	WHITE OAK SELECT GROWTH FD	NO LOAD	NO LOAD	OPEN	1600	0	0
OHFGX	OAK HARVEST	OAK HARVEST LONGSHRT HDG EQ INST	INSTITUTIONAL	NO LOAD	REDEEM ONLY	1600	1000	1000
OAYCX	OAKMARK	OAKMARK BOND ADVISOR	ADVISOR	NO LOAD	OPEN	1600	0	0
OANCX	OAKMARK	OAKMARK BOND INSTITUTIONAL	INSTITUTIONAL	NO LOAD	OPEN	1600	1000	1000
OAKCX	OAKMARK	OAKMARK BOND INVESTOR	INVESTOR	NO LOAD	OPEN	1600	0	0
OAZCX	OAKMARK	OAKMARK BOND R6	R6	NO LOAD	REDEEM ONLY	1600	2000000	2000000
OAYBX	OAKMARK	OAKMARK EQUITY & INCOME FD ADV	ADVISOR	NO LOAD	OPEN	1600	0	0
OANBX	OAKMARK	OAKMARK EQUITY & INCOME FD INST	INSTITUTIONAL	NO LOAD	OPEN	1600	0	0
OAKBX	OAKMARK	OAKMARK EQUITY AND INCOME FD INV	INVESTOR	NO LOAD	OPEN	1600	0	0
OAZBX	OAKMARK	OAKMARK EQUITY AND INCOME R6	R6	NO LOAD	REDEEM ONLY	1600	2000000	2000000
OAYMX	OAKMARK	OAKMARK FUND ADV	ADVISOR	NO LOAD	OPEN	1600	0	0
OANMX	OAKMARK	OAKMARK FUND INST	INSTITUTIONAL	NO LOAD	OPEN	1600	0	0
OAKMX	OAKMARK	OAKMARK FUND INV	INVESTOR	NO LOAD	OPEN	1600	0	0
OAYGX	OAKMARK	OAKMARK GLOBAL FUND ADV	ADVISOR	NO LOAD	OPEN	1600	0	0
OANGX	OAKMARK	OAKMARK GLOBAL FUND INST	INSTITUTIONAL	NO LOAD	OPEN	1600	0	0
OAKGX	OAKMARK	OAKMARK GLOBAL FUND INV	INVESTOR	NO LOAD	OPEN	1600	0	0
OAZGX	OAKMARK	OAKMARK GLOBAL R6	R6	NO LOAD	REDEEM ONLY	1600	2000000	2000000
OAYWX	OAKMARK	OAKMARK GLOBAL SELECT FUND ADV	ADVISOR	NO LOAD	OPEN	1600	0	0
OANWX	OAKMARK	OAKMARK GLOBAL SELECT FUND INST	INSTITUTIONAL	NO LOAD	OPEN	1600	0	0
OAKWX	OAKMARK	OAKMARK GLOBAL SELECT FUND INV	INVESTOR	NO LOAD	OPEN	1600	0	0
OAZWX	OAKMARK	OAKMARK GLOBAL SELECT R6	R6	NO LOAD	REDEEM ONLY	1600	2000000	2000000
OAZIX	OAKMARK	OAKMARK INTERNATIONAL R6	R6	NO LOAD	REDEEM ONLY	1600	2000000	2000000
OAZEX	OAKMARK	OAKMARK INTERNATIONAL SMALL CAP R6	R6	NO LOAD	REDEEM ONLY	1600	2000000	2000000
OAYIX	OAKMARK	OAKMARK INTL FD ADV	ADVISOR	NO LOAD	OPEN	1600	0	0
OANIX	OAKMARK	OAKMARK INTL FD INST	INSTITUTIONAL	NO LOAD	OPEN	1600	0	0
OAKIX	OAKMARK	OAKMARK INTL FD INV	INVESTOR	NO LOAD	OPEN	1600	0	0

SYMBOL	FUND FAMILY	FUND DESCRIPTION	SHARE CLASS	FUND TYPE	STATUS	CUT- OFF	IRA MIN	NON-IRA MIN
OAYEX	OAKMARK	OAKMARK INTL SMALL CAP FUND ADV	ADVISOR	NO LOAD	OPEN	1600	0	0
OANEX	OAKMARK	OAKMARK INTL SMALL CAP FUND INST	INSTITUTIONAL	NO LOAD	OPEN	1600	0	0
OAKEX	OAKMARK	OAKMARK INTL SMALL CAP FUND INV	INVESTOR	NO LOAD	OPEN	1600	0	0
OAZMX	OAKMARK	OAKMARK R6	R6	NO LOAD	REDEEM ONLY	1600	2000000	2000000
OAYLX	OAKMARK	OAKMARK SELECT FUND ADV	ADVISOR	NO LOAD	OPEN	1600	0	0
OANLX	OAKMARK	OAKMARK SELECT FUND INST	INSTITUTIONAL	NO LOAD	OPEN	1600	0	0
OAKLX	OAKMARK	OAKMARK SELECT FUND INV	INVESTOR	NO LOAD	OPEN	1600	0	0
OAZLX	OAKMARK	OAKMARK SELECT R6	R6	NO LOAD	REDEEM ONLY	1600	2000000	2000000
OCHIX	OBERWEIS	OBERWEIS CHINA OPPTY FD INSTL	INSTITUTIONAL	NO LOAD	OPEN	1600	1000000	1000000
OBCHX	OBERWEIS	OBERWEIS CHINA OPPTY FD INV CL	INVESTOR	NO LOAD	OPEN	1600	100	100
OIEMX	OBERWEIS	OBERWEIS EMRG MKTS INST	INSTITUTIONAL	NO LOAD	OPEN	1600	1000000	1000000
OBEMX	OBERWEIS	OBERWEIS EMRG MKTS INV	INVESTOR	NO LOAD	OPEN	1600	100	100
OFIGX	OBERWEIS	OBERWEIS FUNDS	INSTITUTIONAL	NO LOAD	OPEN	1600	100000	100000
OBGIX	OBERWEIS	OBERWEIS GLOBAL OPPORTUNITIES FD INST	INSTITUTIONAL	NO LOAD	OPEN	1600	1000000	1000000
OBEGX	OBERWEIS	OBERWEIS GLOBAL OPPORTUNITIES FD INV	INVESTOR	NO LOAD	OPEN	1600	100	100
OBIIX	OBERWEIS	OBERWEIS INTERNATIONAL OPPS INSTL	INSTITUTIONAL	NO LOAD	REDEEM ONLY	1600	1000000	1000000
OBIOX	OBERWEIS	OBERWEIS INTL OPPTY FD INV CL	INVESTOR	NO LOAD	OPEN	1600	100	100
OMCIX	OBERWEIS	OBERWEIS MICRO CAP INSTL	INSTITUTIONAL	NO LOAD	OPEN	1600	1000000	1000000
OBMCX	OBERWEIS	OBERWEIS MICRO CAP INV CL	INVESTOR	NO LOAD	OPEN	1600	100	100
OBSIX	OBERWEIS	OBERWEIS SMALL CAP OPPTY FD INSTL	INSTITUTIONAL	NO LOAD	OPEN	1600	1000000	1000000
OBSOX	OBERWEIS	OBERWEIS SMALL CAP OPPTY FD INV CL	INVESTOR	NO LOAD	OPEN	1600	100	100
OCMAX	OCM MUTUAL FUND	OCM GOLD ATLAS	ATLAS	NO LOAD	OPEN	1600	0	0
OCMGX	OCM MUTUAL FUND	OCM GOLD INV CL	INVESTOR	LOAD	OPEN	1600	100	100
TOWFX	OELSCHLAGER FUNDS	TOWPATH FOCUS INSTITUTIONAL	INSTITUTIONAL	NO LOAD	OPEN	1600	2000	2000
OFAVX	OLSTEIN	OLSTEIN ALL CAP VALUE A	A	LOAD	OPEN	1600	0	0
OFAFX	OLSTEIN	OLSTEIN ALL CAP VALUE FD ADV CL	ADVISOR	NO LOAD	OPEN	1600	100	100
OFAFX	OLSTEIN	OLSTEIN ALL CAP VALUE FD ADV CL	ADVISOR	NO LOAD	OPEN	1600	100	100
OFAFX	OLSTEIN	OLSTEIN ALL CAP VALUE FD CL C	C	LOAD	OPEN	1600	1000	1000
OFSFX	OLSTEIN	OLSTEIN STRATEGIC OPPTY ADV	ADVISOR	NO LOAD	OPEN	1600	100	100
OFSAX	OLSTEIN	OLSTEIN STRATEGIC OPPTY FD CL A	A	LOAD	OPEN	1600	1000	1000
OFSCX	OLSTEIN	OLSTEIN STRATEGIC OPPTY FD CL C	C	LOAD	OPEN	1600	1000	1000
INDEX	ONE FUNDS	ONEFUND S&P 500	NO LOAD	NO LOAD	OPEN	1600	100	100
OWSCX	ONE WILLIAM STREET	1WS CREDIT INCOME I	I	NO LOAD	OPEN	1600	10000	10000
LGOAX	OPPORTUNITY TRUST	PATIENT OPPORTUNITY TR CL A	A	LOAD	OPEN	1600	0	0
LMOPX	OPPORTUNITY TRUST	PATIENT OPPORTUNITY TR CL C	C	LOAD	OPEN	1600	0	0
LMOFX	OPPORTUNITY TRUST	PATIENT OPPORTUNITY TR CL FI	F1	NO LOAD	OPEN	1600	0	0
LMNOX	OPPORTUNITY TRUST	PATIENT OPPORTUNITY TR CL I	I	NO LOAD	OPEN	1600	0	0
OAFIX	OPTIMUM	OPTIMUM FIXED INCOME A	A	LOAD	REDEEM ONLY	1600	250	1000
OCFIX	OPTIMUM	OPTIMUM FIXED INCOME C	C	LOAD	REDEEM ONLY	1600	500	1000
OAIEX	OPTIMUM	OPTIMUM INTERNATIONAL A	A	LOAD	REDEEM ONLY	1600	500	1000
OCIEX	OPTIMUM	OPTIMUM INTERNATIONAL C	C	LOAD	REDEEM ONLY	1600	250	1000
OALGX	OPTIMUM	OPTIMUM LARGE CAP GROWTH A	A	LOAD	REDEEM ONLY	1600	250	1000

SYMBOL	FUND FAMILY	FUND DESCRIPTION	SHARE CLASS	FUND TYPE	STATUS	CUT- OFF	IRA MIN	NON-IRA MIN
OCLGX	OPTIMUM	OPTIMUM LARGE CAP GROWTH C	C	LOAD	REDEEM ONLY	1600	250	1000
OALVX	OPTIMUM	OPTIMUM LARGE CAP VALUE A	A	LOAD	REDEEM ONLY	1600	250	1000
OCLVX	OPTIMUM	OPTIMUM LARGE CAP VALUE C	C	LOAD	REDEEM ONLY	1600	250	1000
OASGX	OPTIMUM	OPTIMUM SMALLMID CAP GROWTH A	A	LOAD	REDEEM ONLY	1600	500	1000
OCSGX	OPTIMUM	OPTIMUM SMALLMID CAP GROWTH C	C	LOAD	REDEEM ONLY	1600	500	1000
OASVX	OPTIMUM	OPTIMUM SMALLMID CAP VALUE A	A	LOAD	REDEEM ONLY	1600	250	1000
OCSVX	OPTIMUM	OPTIMUM SMALLMID CAP VALUE C	C	LOAD	REDEEM ONLY	1600	250	1000
PSDSX	PALMER SQUARE	PALMER SQUARE ULTRA SHORT DUR INVT GRADE FD	I	NO LOAD	OPEN	1600	1000000	1000000
PAPPX	PAPP	PAPP SMALL AND MID CAP GROWTH FD	NO LOAD	NO LOAD	OPEN	1600	100	100
PVIVX	PARADIGM FUNDS	PARADIGM MICRO CAP FD	NO LOAD	NO LOAD	OPEN	1600	100	100
PFS LX	PARADIGM FUNDS	PARADIGM SLCT	NO LOAD	NO LOAD	OPEN	1600	100	100
PVFAX	PARADIGM FUNDS	PARADIGM VALUE FUND	NO LOAD	NO LOAD	OPEN	1600	100	100
PRILX	PARNASSUS	PARNASSUS CORE EQTY FD INST	INSTITUTIONAL	NO LOAD	OPEN	1600	100000	100000
PRBLX	PARNASSUS	PARNASSUS CORE EQTY FD INV	INVESTOR	NO LOAD	OPEN	1600	100	100
PARWX	PARNASSUS	PARNASSUS ENDEAVOR FD	INVESTOR	NO LOAD	OPEN	1600	100	100
PFPWX	PARNASSUS	PARNASSUS ENDEAVOR FD INST	INSTITUTIONAL	NO LOAD	OPEN	1600	100000	100000
PFPLX	PARNASSUS	PARNASSUS FIXED INCOME FD INST	INSTITUTIONAL	NO LOAD	OPEN	1600	100000	100000
PRFIX	PARNASSUS	PARNASSUS FIXEDINCOME FUND	INVESTOR	NO LOAD	OPEN	1600	100	100
PFPGX	PARNASSUS	PARNASSUS GROWTH EQUITY FUND	INSTITUTIONAL	NO LOAD	OPEN	1600	100000	100000
PFGEX	PARNASSUS	PARNASSUS GROWTH EQUITY FUND	INVESTOR	NO LOAD	OPEN	1600	2000	2000
PFPMX	PARNASSUS	PARNASSUS MID CAP FD INST	INSTITUTIONAL	NO LOAD	OPEN	1600	100000	100000
PARMX	PARNASSUS	PARNASSUS MID CAP FUND	INVESTOR	NO LOAD	OPEN	1600	100	100
PFPRX	PARNASSUS	PARNASSUS MID CAP GWTH FD INST	INSTITUTIONAL	NO LOAD	OPEN	1600	100000	100000
PARNX	PARNASSUS	PARNASSUS MID CAP GWTH FD INVESTOR	INVESTOR	NO LOAD	OPEN	1600	100	100
PXBIX	PAX WORLD	IMPAX CORE BOND INST	INSTITUTIONAL	NO LOAD	OPEN	1600	250000	250000
PAXBX	PAX WORLD	IMPAX CORE BOND INV	INVESTOR	NO LOAD	OPEN	1600	100	100
PXWIX	PAX WORLD	IMPAX ELLEVATE GLOBAL WOMENS LEADERSHIP INST	INSTITUTIONAL	NO LOAD	OPEN	1600	250000	250000
PXWEX	PAX WORLD	IMPAX ELLEVATE GLOBAL WOMENS LEADERSHIP INV	INVESTOR	NO LOAD	OPEN	1600	100	100
PXEAX	PAX WORLD	IMPAX GLOBAL ENVMTL MKTS A	A	LOAD	OPEN	1600	0	0
PGINX	PAX WORLD	IMPAX GLOBAL ENVMTL MKTS INST	INSTITUTIONAL	NO LOAD	OPEN	1600	250000	250000
PGRNX	PAX WORLD	IMPAX GLOBAL ENVMTL MKTS INV	INVESTOR	NO LOAD	OPEN	1600	100	100
PXGOX	PAX WORLD	IMPAX GLOBAL OPPTS INST	INSTITUTIONAL	NO LOAD	OPEN	1600	250000	250000
PAXGX	PAX WORLD	IMPAX GLOBAL OPPTS INV	INVESTOR	NO LOAD	OPEN	1600	100	100
PXDIX	PAX WORLD	IMPAX GLOBAL SUST INFRAST INST	INSTITUTIONAL	NO LOAD	OPEN	1600	250000	250000
PAXDX	PAX WORLD	IMPAX GLOBAL SUST INFRAST INV	INVESTOR	NO LOAD	OPEN	1600	0	0
PXHAX	PAX WORLD	IMPAX HIGH YIELD BOND A	A	LOAD	OPEN	1600	0	0
PXHIX	PAX WORLD	IMPAX HIGH YIELD BOND INST	INSTITUTIONAL	NO LOAD	OPEN	1600	250000	250000
PAXHX	PAX WORLD	IMPAX HIGH YIELD BOND INV	INVESTOR	NO LOAD	OPEN	1600	100	100
PXNIX	PAX WORLD	IMPAX INTNL SUSTAINABLE ECON INST	INSTITUTIONAL	NO LOAD	OPEN	1600	250000	250000
PXINX	PAX WORLD	IMPAX INTNL SUSTAINABLE ECON INV	INVESTOR	NO LOAD	OPEN	1600	100	100
PXLIX	PAX WORLD	IMPAX LARGE CAP INST	INSTITUTIONAL	NO LOAD	OPEN	1600	250000	250000

SYMBOL	FUND FAMILY	FUND DESCRIPTION	SHARE CLASS	FUND TYPE	STATUS	CUT- OFF	IRA MIN	NON-IRA MIN
PAXLX	PAX WORLD	IMPAX LARGE CAP INV	INVESTOR	NO LOAD	OPEN	1600	0	0
PXSAX	PAX WORLD	IMPAX SMALL CAP A	A	LOAD	OPEN	1600	0	0
PXSIX	PAX WORLD	IMPAX SMALL CAP INST	INSTITUTIONAL	NO LOAD	OPEN	1600	250000	250000
PXSCX	PAX WORLD	IMPAX SMALL CAP INV	INVESTOR	NO LOAD	OPEN	1600	100	100
PAXIX	PAX WORLD	IMPAX SUSTAINABLE ALLOCATION INST	INSTITUTIONAL	NO LOAD	OPEN	1600	250000	250000
PAXWX	PAX WORLD	IMPAX SUSTAINABLE ALLOCATION INV	INVESTOR	NO LOAD	OPEN	1600	100	100
PXGAX	PAX WORLD	IMPAX US SUSTAINABLE ECON A	A	LOAD	OPEN	1600	0	0
PWGIX	PAX WORLD	IMPAX US SUSTAINABLE ECON INST	INSTITUTIONAL	NO LOAD	OPEN	1600	250000	250000
PXWGX	PAX WORLD	IMPAX US SUSTAINABLE ECON INV	INVESTOR	NO LOAD	OPEN	1600	100	100
PYABX	PAYDEN	PAYDEN & RYGEL INVT GROUP ABSOLUTE ADV CL	ADVISER	NO LOAD	OPEN	1600	100	100
PYRLX	PAYDEN	PAYDEN & RYGEL INVT GROUP HIGH FD ADV CL	ADVISER	NO LOAD	OPEN	1600	100	100
PYSLX	PAYDEN	PAYDEN & RYGEL INVT GROUP STRATEGIC ADV CL	ADVISER	NO LOAD	OPEN	1600	100	100
PYARX	PAYDEN	PAYDEN ABSOLUTE RETURN BD FD INV	INVESTOR	NO LOAD	OPEN	1600	0	0
PYAIX	PAYDEN	PAYDEN ABSOLUTE RETURN BD FD SI	SI	NO LOAD	REDEEM ONLY	1600	10000000	10000000
PYCRX	PAYDEN	PAYDEN CA MUNI SOCIAL IMPACT FD INV	INVESTOR	NO LOAD	OPEN	1600	0	0
PYCLX	PAYDEN	PAYDEN CALIFORNIA MUNICIPAL SCL IMP ADV	ADVISER	NO LOAD	OPEN	1600	100	100
PBHXX	PAYDEN	PAYDEN CASH RESERVES MONEY MARKET	INVESTOR	NO LOAD	REDEEM ONLY	1600	0	0
PYCBX	PAYDEN	PAYDEN CORE BOND FD	INVESTOR	NO LOAD	OPEN	1600	0	0
PYCWX	PAYDEN	PAYDEN CORE BOND FUND ADVISER	ADVISER	NO LOAD	OPEN	1600	0	0
PYCSX	PAYDEN	PAYDEN CORE BOND FUND SI	SI	NO LOAD	REDEEM ONLY	1600	10000000	10000000
PYACX	PAYDEN	PAYDEN CORPORATE BD FD	INVESTOR	NO LOAD	OPEN	1600	0	0
PYAYX	PAYDEN	PAYDEN CORPORATE BOND ADVISER	ADVISER	NO LOAD	OPEN	1600	100	100
PYCTX	PAYDEN	PAYDEN CORPORATE BOND SI	SI	NO LOAD	REDEEM ONLY	1600	10000000	10000000
PYEMX	PAYDEN	PAYDEN EMERGING MARKETS BOND FUND	INVESTOR	NO LOAD	OPEN	1600	0	0
PYILX	PAYDEN	PAYDEN EMERGING MARKETS LOCAL BOND SI	SI	NO LOAD	REDEEM ONLY	1600	10000000	10000000
PYEWX	PAYDEN	PAYDEN EMRG MKTS BD FD ADVISER	ADVISER	NO LOAD	OPEN	1600	0	0
PYEIX	PAYDEN	PAYDEN EMRG MKTS BD FD SI	SI	NO LOAD	REDEEM ONLY	1600	10000000	10000000
PYCEX	PAYDEN	PAYDEN EMRG MKTS CORP BD FD INV	INVESTOR	NO LOAD	OPEN	1600	0	0
PYCIX	PAYDEN	PAYDEN EMRG MKTS CORP BD FD SI	SI	NO LOAD	REDEEM ONLY	1600	10000000	10000000
PYELX	PAYDEN	PAYDEN EMRG MKTS LOCAL BD FD INV	INVESTOR	NO LOAD	OPEN	1600	0	0
PYVAX	PAYDEN	PAYDEN EQUITY INCOME FD ADV	ADVISER	NO LOAD	OPEN	1600	0	0
PYVSX	PAYDEN	PAYDEN EQUITY INCOME FD SI	SI	NO LOAD	REDEEM ONLY	1600	10000000	10000000
PYVLX	PAYDEN	PAYDEN EQUITY INCOME FUND	INVESTOR	NO LOAD	OPEN	1600	0	0
PYBLX	PAYDEN	PAYDEN FLOATING RATE FD ADVISER CLASS	ADVISER	NO LOAD	OPEN	1600	100	100
PYFRX	PAYDEN	PAYDEN FLOATING RATE FD INV	INVESTOR	NO LOAD	OPEN	1600	0	0
PYFIX	PAYDEN	PAYDEN FLOATING RATE FD SI	SI	NO LOAD	REDEEM ONLY	1600	10000000	10000000
PYGSX	PAYDEN	PAYDEN GBL LOW DURATION FD	INVESTOR	NO LOAD	OPEN	1600	0	0
PYGFY	PAYDEN	PAYDEN GLOBAL FIXED INCOME FD	INVESTOR	NO LOAD	OPEN	1600	0	0
PYGIX	PAYDEN	PAYDEN GLOBAL FIXED INCOME SI	SI	NO LOAD	REDEEM ONLY	1600	10000000	10000000
PYGNX	PAYDEN	PAYDEN GNMA FUND	INVESTOR	NO LOAD	OPEN	1600	0	0
PYHRX	PAYDEN	PAYDEN HIGH INCOME FUND	INVESTOR	NO LOAD	OPEN	1600	0	0

SYMBOL	FUND FAMILY	FUND DESCRIPTION	SHARE CLASS	FUND TYPE	STATUS	CUT- OFF	IRA MIN	NON-IRA MIN
PYCHX	PAYDEN	PAYDEN HIGH INCOME SI	SI	NO LOAD	REDEEM ONLY	1600	10000000	10000000
PYLBX	PAYDEN	PAYDEN LIMITED MATURITY ADVISER	ADVISER	NO LOAD	OPEN	1600	100	100
PYLMX	PAYDEN	PAYDEN LIMITED MATURITY FD	INVESTOR	NO LOAD	OPEN	1600	0	0
PYLWX	PAYDEN	PAYDEN LOW DURATION ADVISER	ADVISER	NO LOAD	OPEN	1600	100	100
PYSBX	PAYDEN	PAYDEN LOW DURATION FUND	INVESTOR	NO LOAD	OPEN	1600	0	0
PYLDX	PAYDEN	PAYDEN LOW DURATION SI	SI	NO LOAD	REDEEM ONLY	1600	10000000	10000000
PKCBX	PAYDEN	PAYDEN MANAGED INCOME ADV	ADVISER	NO LOAD	OPEN	1600	0	0
PKCIX	PAYDEN	PAYDEN MANAGED INCOME INSTL	INSTITUTIONAL	NO LOAD	OPEN	1600	0	0
PKCRX	PAYDEN	PAYDEN MANAGED INCOME RETIRE	RETIREMENT	NO LOAD	OPEN	1600	25000	25000
PKBIX	PAYDEN	PAYDEN MANAGED INCOME SI	SI	NO LOAD	OPEN	1600	10000000	10000000
PYSGX	PAYDEN	PAYDEN STRATEGIC INCM FD INV	INVESTOR	NO LOAD	OPEN	1600	0	0
PYUSX	PAYDEN	PAYDEN US GOVT	INVESTOR	NO LOAD	OPEN	1600	0	0
PBFDX	PAYSON FUNDS	PAYSON TOTAL RETURN FD	INVESTOR	NO LOAD	OPEN	1600	1000	2000
GEOSX	PEAR TREE FUNDS	PEAR TREE ESSEX ENVIRONMENTAL OPPTYS INST	INSTITUTIONAL	NO LOAD	OPEN	1600	100000	100000
EEOFX	PEAR TREE FUNDS	PEAR TREE ESSEX ENVIRONMENTAL OPPTYS ORDINARY	ORDINARY	NO LOAD	OPEN	1600	100	100
QUSRX	PEAR TREE FUNDS	PEAR TREE POLARIS FGN VAL SM CAP R6	R6	NO LOAD	REDEEM ONLY	1600	0	0
QFVRX	PEAR TREE FUNDS	PEAR TREE POLARIS FOREIGN VAL R6	R6	NO LOAD	OPEN	1600	0	0
QFVOX	PEAR TREE FUNDS	PEAR TREE POLARIS FOREIGN VALUE FD	ORDINARY	NO LOAD	OPEN	1600	100	100
QFVIX	PEAR TREE FUNDS	PEAR TREE POLARIS FOREIGN VALUE FD INST CL	INSTITUTIONAL	NO LOAD	OPEN	1600	0	0
QUSIX	PEAR TREE FUNDS	PEAR TREE POLARIS FOREIGN VALUE SM CAP FD INST CL	INSTITUTIONAL	NO LOAD	OPEN	1600	0	0
QUSOX	PEAR TREE FUNDS	PEAR TREE POLARIS FOREIGN VALUE SMALL CAP FD	ORDINARY	NO LOAD	OPEN	1600	100	100
QISIX	PEAR TREE FUNDS	PEAR TREE POLARIS INTL OPPS INSTL	INSTITUTIONAL	NO LOAD	OPEN	1600	0	0
QISOX	PEAR TREE FUNDS	PEAR TREE POLARIS INTL OPPS ORD	ORDINARY	NO LOAD	OPEN	1600	0	0
QBNAX	PEAR TREE FUNDS	PEAR TREE POLARIS SMALL CAP FD INST	INSTITUTIONAL	NO LOAD	OPEN	1600	0	0
USBNX	PEAR TREE FUNDS	PEAR TREE POLARIS SMALL CAP FD ORDINARY	ORDINARY	NO LOAD	OPEN	1600	100	100
QGIAX	PEAR TREE FUNDS	PEAR TREE QUALITY FD INST SHARES	INSTITUTIONAL	NO LOAD	OPEN	1600	0	0
USBOX	PEAR TREE FUNDS	PEAR TREE QUALITY FUND	ORDINARY	NO LOAD	OPEN	1600	100	100
PNDRX	PENDER	PENDER REAL EST CR FD SHS CL I1	I1	NO LOAD	OPEN	1600	0	0
PNDIX	PENDER	PENDER REAL EST CR FD SHS CL I2	I2	NO LOAD	REDEEM ONLY	1600	0	0
PSHNX	PENN CAPITAL	PENN CAP DEFENSIVE SHORT DURATION HIGH INCM INST	INSTITUTIONAL	NO LOAD	OPEN	1600	0	0
PSMPX	PENN CAPITAL	PENN CAP MANAGED ALPHA SMID CAP EQTY INST	INSTITUTIONAL	NO LOAD	OPEN	1600	0	0
PHYNX	PENN CAPITAL	PENN CAP OPPORTUNISTIC HIGH YLD INST	INSTITUTIONAL	NO LOAD	OPEN	1600	0	0
PSCNX	PENN CAPITAL	PENN CAP SM CAP EQTY INST	INSTITUTIONAL	NO LOAD	OPEN	1600	0	0
PMEFX	PENN MUTUAL	PENN MUTUAL AM 1847 INCOME I	I	NO LOAD	OPEN	1600	3000	3000
PMUBX	PENN MUTUAL	PENN MUTUAL AM STRATEGIC INCOME FD CL I	I	NO LOAD	OPEN	1600	25000	25000
PAGR	PERMANENT PORTFOLIO	PERMANENT PORTFOLIO AGGR GWTH I	INSTITUTIONAL	NO LOAD	OPEN	1600	100	100
PRPF	PERMANENT PORTFOLIO	PERMANENT PORTFOLIO I	INSTITUTIONAL	NO LOAD	OPEN	1600	100	100
PRPD	PERMANENT PORTFOLIO	PERMANENT PORTFOLIO PERMANENT A	A	LOAD	OPEN	1600	0	0
PRTB	PERMANENT PORTFOLIO	PERMANENT PORTFOLIO SHORT TERM TSRY I	INSTITUTIONAL	NO LOAD	OPEN	1600	100	100
PRVB	PERMANENT PORTFOLIO	PERMANENT PORTFOLIO VERSATILE BD I	INSTITUTIONAL	NO LOAD	OPEN	1600	100	100
BEOIX	PERPETUAL AMERICAS FUND TRUST	BARROW HANLEY CONCENTRATED EMG MKTS ESG OPPS INS	INSTITUTIONAL	NO LOAD	OPEN	1600	100000	100000

SYMBOL	FUND FAMILY	FUND DESCRIPTION	SHARE CLASS	FUND TYPE	STATUS	CUT- OFF	IRA MIN	NON-IRA MIN
BCONX	PERPETUAL AMERICAS FUND TRUST	BARROW HANLEY CREDIT OPPORTUNITIES INST	INSTITUTIONAL	NO LOAD	OPEN	1600	100000	100000
BEMVX	PERPETUAL AMERICAS FUND TRUST	BARROW HANLEY EMERGING MARKETS VALUE INST	INSTITUTIONAL	NO LOAD	OPEN	1600	100000	100000
BFRNX	PERPETUAL AMERICAS FUND TRUST	BARROW HANLEY FLOATING RATE INST	INSTITUTIONAL	NO LOAD	OPEN	1600	100000	100000
BNIVX	PERPETUAL AMERICAS FUND TRUST	BARROW HANLEY INTERNATIONAL VALUE INST	INSTITUTIONAL	NO LOAD	OPEN	1600	0	0
BVOIX	PERPETUAL AMERICAS FUND TRUST	BARROW HANLEY US VALUE OPPORTUNITIES INST	INSTITUTIONAL	NO LOAD	OPEN	1600	100000	100000
JOEMX	PERPETUAL AMERICAS FUND TRUST	JOHCM EMERGING MARKETS OPPORTUNITIES INST	INSTITUTIONAL	NO LOAD	OPEN	1600	1000000	1000000
JOEAX	PERPETUAL AMERICAS FUND TRUST	JOHCM EMERGING MARKETS OPPORTUNITIES INV	INVESTOR	NO LOAD	OPEN	1600	0	0
JOMMX	PERPETUAL AMERICAS FUND TRUST	JOHCM EMERGING MARKETS SMALL MID CAP EQTY INST	INSTITUTIONAL	NO LOAD	OPEN	1600	1000000	1000000
JOEIX	PERPETUAL AMERICAS FUND TRUST	JOHCM EMERGING MKTS OPPTY ADV	ADVISOR	NO LOAD	OPEN	1600	0	0
JOMEX	PERPETUAL AMERICAS FUND TRUST	JOHCM EMERGING MKTS SMALL MID CAP EQUITY ADV	ADVISOR	NO LOAD	OPEN	1600	0	0
JOGEX	PERPETUAL AMERICAS FUND TRUST	JOHCM GLOBAL SELECT ADV	ADVISOR	NO LOAD	OPEN	1600	0	0
JOGIX	PERPETUAL AMERICAS FUND TRUST	JOHCM GLOBAL SELECT INST	INSTITUTIONAL	NO LOAD	OPEN	1600	1000000	1000000
JOPSX	PERPETUAL AMERICAS FUND TRUST	JOHCM INTERNATIONAL OPPORTUNITIES INST	INSTITUTIONAL	NO LOAD	OPEN	1600	1000000	1000000
JOHIX	PERPETUAL AMERICAS FUND TRUST	JOHCM INTERNATIONAL SELECT INST	INSTITUTIONAL	NO LOAD	SUBS ONLY	1600	1000000	1000000
JOHAX	PERPETUAL AMERICAS FUND TRUST	JOHCM INTERNATIONAL SELECT INV	INVESTOR	NO LOAD	OPEN	1600	0	0
REGIX	PERPETUAL AMERICAS FUND TRUST	REGNAN GLOBAL EQUITY IMPACT SOL INSTL	INSTITUTIONAL	NO LOAD	OPEN	1600	1000000	1000000
PORIX	PERPETUAL AMERICAS FUND TRUST	TRILLIUM ESG GLOBAL EQUITY FUND INSTL	INSTITUTIONAL	NO LOAD	OPEN	1600	0	0
PORTX	PERPETUAL AMERICAS FUND TRUST	TRILLIUM ESG GLOBAL EQUITY FUND INVESTOR	INVESTOR	NO LOAD	OPEN	1600	0	0
TSMGX	PERPETUAL AMERICAS FUND TRUST	TRILLIUM ESG SMALLMID CAP INST	INSTITUTIONAL	NO LOAD	OPEN	1600	0	0
TSWFX	PERPETUAL AMERICAS FUND TRUST	TSW CORE BOND FD INST	INSTITUTIONAL	NO LOAD	OPEN	1600	100000	100000
TSWMX	PERPETUAL AMERICAS FUND TRUST	TSW EMERGING MARKETS INSTITUTIONAL	INSTITUTIONAL	NO LOAD	OPEN	1600	1000000	1000000
TSWHX	PERPETUAL AMERICAS FUND TRUST	TSW HIGH YIELD BOND INSTITUTIONAL	INSTITUTIONAL	NO LOAD	OPEN	1600	1000000	1000000
TSWEX	PERPETUAL AMERICAS FUND TRUST	TSW LARGE CAP VALUE INST	INSTITUTIONAL	NO LOAD	OPEN	1600	1000000	1000000
PRCGX	PERRITT CAPITAL	PERRITT MICRO CAP OPPORTUNITY FUND	NO LOAD	NO LOAD	OPEN	1600	100	100
PREOX	PERRITT CAPITAL	PERRITT ULTRA MICRO CAP FD	NO LOAD	NO LOAD	OPEN	1600	100	100
AFQSX	PFS FUNDS	ALPHA FIDUCIARY QUANTITATIVE STRAT	NO LOAD	NO LOAD	OPEN	1600	0	0
BRTNX	PFS FUNDS	BRETTON FD	NO LOAD	NO LOAD	OPEN	1600	0	0
TANDX	PFS FUNDS	CASTLE TANDEM FD INST	INSTITUTIONAL	NO LOAD	OPEN	1600	0	0
CWCFX	PFS FUNDS	CHRISTOPHER WEIL AND CO CORE INVESTMENT FD	INVESTOR	NO LOAD	OPEN	1600	100	100
CRDBX	PFS FUNDS	CONQUER RISK DEFENSIVE BULL	NO LOAD	NO LOAD	OPEN	1600	0	0
CRMVX	PFS FUNDS	CONQUER RISK MANAGED VOLATILITY	NO LOAD	NO LOAD	OPEN	1600	0	0
CRTOX	PFS FUNDS	CONQUER RISK TACTICAL OPPORTUNITIES	NO LOAD	NO LOAD	OPEN	1600	0	0
CRTBX	PFS FUNDS	CONQUER RISK TACTICAL ROTATION	NO LOAD	NO LOAD	OPEN	1600	0	0
ENSBX	PFS FUNDS	ENSEMBLE FD	NO LOAD	NO LOAD	OPEN	1600	100	100
TFCGX	PFS FUNDS	TAYLOR FRIGON CORE GWTH FD	NO LOAD	NO LOAD	OPEN	1600	1000	5000
WIREX	PFS FUNDS	WIRELESS FUND	NO LOAD	NO LOAD	OPEN	1600	100	100
PADAX	PGIM FUNDS	PGIM ABSOLUTE RETURN BD FD CL A	A	LOAD	OPEN	1600	1000	1000
PADCX	PGIM FUNDS	PGIM ABSOLUTE RETURN BD FD CL C	C	LOAD	OPEN	1600	1000	1000
PADQX	PGIM FUNDS	PGIM ABSOLUTE RETURN BD FD CL R6	R6	NO LOAD	REDEEM ONLY	1600	0	0
PADZX	PGIM FUNDS	PGIM ABSOLUTE RETURN BD FD CL Z	Z	NO LOAD	OPEN	1600	0	0
PIBAX	PGIM FUNDS	PGIM BALANCED FD CL A	A	LOAD	OPEN	1600	1000	1000

SYMBOL	FUND FAMILY	FUND DESCRIPTION	SHARE CLASS	FUND TYPE	STATUS	CUT- OFF	IRA MIN	NON-IRA MIN
PABCX	PGIM FUNDS	PGIM BALANCED FD CL C	C	LOAD	OPEN	1600	1000	1000
PABFX	PGIM FUNDS	PGIM BALANCED FD CL Z	Z	NO LOAD	OPEN	1600	0	0
PIBQX	PGIM FUNDS	PGIM BALANCED R6	R6	NO LOAD	REDEEM ONLY	1600	0	0
PBCAX	PGIM FUNDS	PGIM CALIFORNIA MUNI INCM FD CL A	A	LOAD	OPEN	1600	1000	1000
PCICX	PGIM FUNDS	PGIM CALIFORNIA MUNI INCM FD CL C	C	LOAD	OPEN	1600	1000	1000
PCIZX	PGIM FUNDS	PGIM CALIFORNIA MUNI INCM FD CL Z	Z	NO LOAD	OPEN	1600	0	0
TPCAX	PGIM FUNDS	PGIM CORE BD FD CL A	A	LOAD	OPEN	1600	1000	1000
TAIBX	PGIM FUNDS	PGIM CORE BD FD CL Z	Z	NO LOAD	OPEN	1600	0	0
TPCQX	PGIM FUNDS	PGIM CORE BOND R6	R6	NO LOAD	REDEEM ONLY	1600	0	0
PCWAX	PGIM FUNDS	PGIM CORP BD FD CL A	A	LOAD	OPEN	1600	1000	1000
TGMBX	PGIM FUNDS	PGIM CORP BD FD CL Z	Z	NO LOAD	OPEN	1600	0	0
PDHAX	PGIM FUNDS	PGIM EMERGING MARKETS DEBT HARD CCY A	A	NO LOAD	SUBS ONLY	1600	1000	1000
PDHVX	PGIM FUNDS	PGIM EMRG MKTS DEBT HARD CURRENCY Z	Z	NO LOAD	OPEN	1600	0	0
EMDAX	PGIM FUNDS	PGIM EMRG MKTS DEBT LOCAL CURRENCY FD CL A	A	LOAD	OPEN	1600	1000	1000
EMDZX	PGIM FUNDS	PGIM EMRG MKTS DEBT LOCAL CURRENCY FD CL Z	Z	NO LOAD	OPEN	1600	0	0
PGANX	PGIM FUNDS	PGIM ESG HIGH YIELD A	A	LOAD	OPEN	1600	1000	1000
PGAVX	PGIM FUNDS	PGIM ESG HIGH YIELD Z	Z	NO LOAD	OPEN	1600	0	0
PGIGX	PGIM FUNDS	PGIM ESG SHORT DURATION MLTSTC BD A	A	LOAD	OPEN	1600	1000	1000
PGIKX	PGIM FUNDS	PGIM ESG SHORT DURATION MLTSTC BD Z	Z	NO LOAD	OPEN	1600	0	0
PAIWX	PGIM FUNDS	PGIM ESG TOTAL RETURN BOND A	A	LOAD	OPEN	1600	1000	1000
PAIZX	PGIM FUNDS	PGIM ESG TOTAL RETURN BOND Z	Z	NO LOAD	OPEN	1600	0	0
FRFAX	PGIM FUNDS	PGIM FLOATING RATE INCM FD CL A	A	LOAD	OPEN	1600	1000	1000
FRFCX	PGIM FUNDS	PGIM FLOATING RATE INCM FD CL C	C	LOAD	OPEN	1600	1000	1000
FRFZX	PGIM FUNDS	PGIM FLOATING RATE INCM FD CL Z	Z	NO LOAD	OPEN	1600	0	0
PFRIX	PGIM FUNDS	PGIM FLOATING RATE INCOME R6	R6	NO LOAD	REDEEM ONLY	1600	0	0
PHEAX	PGIM FUNDS	PGIM GLBL TOTAL RETURN USD HEDGED A	A	LOAD	OPEN	1600	1000	1000
PHEZX	PGIM FUNDS	PGIM GLBL TOTAL RETURN USD HEDGED Z	Z	NO LOAD	OPEN	1600	0	0
PURAX	PGIM FUNDS	PGIM GLOBAL REAL ESTATE FD CL A	A	LOAD	OPEN	1600	1000	1000
PURCX	PGIM FUNDS	PGIM GLOBAL REAL ESTATE FD CL C	C	LOAD	OPEN	1600	1000	1000
PURRX	PGIM FUNDS	PGIM GLOBAL REAL ESTATE FD CL R	R	NO LOAD	REDEEM ONLY	1600	0	0
PGRQX	PGIM FUNDS	PGIM GLOBAL REAL ESTATE FD CL R6	R6	NO LOAD	REDEEM ONLY	1600	0	0
PURZX	PGIM FUNDS	PGIM GLOBAL REAL ESTATE FD CL Z	Z	NO LOAD	OPEN	1600	0	0
GTRAX	PGIM FUNDS	PGIM GLOBAL TOTAL RETURN FD CL A	A	LOAD	OPEN	1600	1000	1000
PCTRX	PGIM FUNDS	PGIM GLOBAL TOTAL RETURN FD CL C	C	LOAD	OPEN	1600	1000	1000
PGTQX	PGIM FUNDS	PGIM GLOBAL TOTAL RETURN FD CL R6	R6	NO LOAD	REDEEM ONLY	1600	0	0
PZTRX	PGIM FUNDS	PGIM GLOBAL TOTAL RETURN FD CL Z	Z	NO LOAD	OPEN	1600	0	0
PGTOX	PGIM FUNDS	PGIM GLOBAL TOTAL RETURN R2	R2	NO LOAD	REDEEM ONLY	1600	0	0
PGTSX	PGIM FUNDS	PGIM GLOBAL TOTAL RETURN R4	R4	NO LOAD	REDEEM ONLY	1600	0	0
PHEQX	PGIM FUNDS	PGIM GLOBAL TOTAL RETURN USD HEDGED R6	R6	NO LOAD	REDEEM ONLY	1600	0	0
PGIQX	PGIM FUNDS	PGIM GOVERNMENT INCOME R6	R6	NO LOAD	REDEEM ONLY	1600	0	0
PMZXX	PGIM FUNDS	PGIM GOVERNMENT MONEY MARKET Z PURCHASE	Z	NO LOAD	REDEEM ONLY	1600	0	0

SYMBOL	FUND FAMILY	FUND DESCRIPTION	SHARE CLASS	FUND TYPE	STATUS	CUT- OFF	IRA MIN	NON-IRA MIN
PGVAX	PGIM FUNDS	PGIM GOVT INCM FD CL A	A	LOAD	OPEN	1600	1000	1000
PRICX	PGIM FUNDS	PGIM GOVT INCM FD CL C	C	LOAD	OPEN	1600	1000	1000
PGVZX	PGIM FUNDS	PGIM GOVT INCM FD CL Z	Z	NO LOAD	OPEN	1600	0	0
MJAXX	PGIM FUNDS	PGIM GOVT MONEY MKT FD CL A	A	LOAD	EXCH ONLY	1600	1000	1000
PBMXX	PGIM FUNDS	PGIM GOVT MONEY MKT FD CL A	A	LOAD	OPEN	1600	1000	1000
MJCXX	PGIM FUNDS	PGIM GOVT MONEY MKT FD CL C	C	LOAD	EXCH ONLY	1600	1000	1000
PBHAX	PGIM FUNDS	PGIM HIGH YIELD FD CL A	A	LOAD	OPEN	1600	1000	1000
PRHCX	PGIM FUNDS	PGIM HIGH YIELD FD CL C	C	LOAD	OPEN	1600	1000	1000
PHYQX	PGIM FUNDS	PGIM HIGH YIELD FD CL R6	R6	NO LOAD	REDEEM ONLY	1600	0	0
PHYZX	PGIM FUNDS	PGIM HIGH YIELD FD CL Z	Z	NO LOAD	OPEN	1600	0	0
PHYGX	PGIM FUNDS	PGIM HIGH YIELD R4	R4	NO LOAD	REDEEM ONLY	1600	0	0
PCGAX	PGIM FUNDS	PGIM INCM BUILDER FD CL A	A	LOAD	OPEN	1600	1000	1000
PCCFX	PGIM FUNDS	PGIM INCM BUILDER FD CL C	C	LOAD	OPEN	1600	1000	1000
PDCZX	PGIM FUNDS	PGIM INCM BUILDER FD CL Z	Z	NO LOAD	OPEN	1600	0	0
PCLRX	PGIM FUNDS	PGIM INCOME BUILDER R	R	NO LOAD	REDEEM ONLY	1600	0	0
PCGQX	PGIM FUNDS	PGIM INCOME BUILDER R6	R6	NO LOAD	REDEEM ONLY	1600	0	0
PBQAX	PGIM FUNDS	PGIM JENNISON BLEND FD CL A	A	LOAD	OPEN	1600	1000	1000
PRECX	PGIM FUNDS	PGIM JENNISON BLEND FD CL C	C	LOAD	OPEN	1600	1000	1000
PEQZX	PGIM FUNDS	PGIM JENNISON BLEND FD CL Z	Z	NO LOAD	OPEN	1600	0	0
PBQQX	PGIM FUNDS	PGIM JENNISON BLEND R6	R6	NO LOAD	REDEEM ONLY	1600	0	0
TBDZX	PGIM FUNDS	PGIM JENNISON DIVERS GWTH Z	Z	NO LOAD	OPEN	1600	0	0
TBDAX	PGIM FUNDS	PGIM JENNISON DIVERSIFIED GROWTH FD CL A	A	LOAD	OPEN	1600	1000	1000
TBDCX	PGIM FUNDS	PGIM JENNISON DIVERSIFIED GROWTH FD CL C	C	LOAD	OPEN	1600	1000	1000
TBDQX	PGIM FUNDS	PGIM JENNISON DIVERSIFIED GROWTH R6	R6	NO LOAD	REDEEM ONLY	1600	0	0
PDECX	PGIM FUNDS	PGIM JENNISON EMERGING MARKETS EQ OPPS C	C	LOAD	OPEN	1600	1000	1000
PDEQX	PGIM FUNDS	PGIM JENNISON EMERGING MARKETS EQ OPPSR6	R6	NO LOAD	REDEEM ONLY	1600	0	0
PDEAX	PGIM FUNDS	PGIM JENNISON EMRG MKTS EQTY OPPTY FD CL A	A	LOAD	OPEN	1600	1000	1000
PDEZX	PGIM FUNDS	PGIM JENNISON EMRG MKTS EQTY OPPTY Z	Z	NO LOAD	OPEN	1600	0	0
PFSAX	PGIM FUNDS	PGIM JENNISON FINANCIAL SERVICES FD CL A	A	LOAD	OPEN	1600	1000	1000
PUFCX	PGIM FUNDS	PGIM JENNISON FINANCIAL SERVICES FD CL C	C	LOAD	OPEN	1600	1000	1000
PFSZX	PGIM FUNDS	PGIM JENNISON FINANCIAL SERVICES FD CL Z	Z	NO LOAD	OPEN	1600	0	0
PSSRX	PGIM FUNDS	PGIM JENNISON FINANCIAL SERVICES R	R	NO LOAD	REDEEM ONLY	1600	0	0
PFSQX	PGIM FUNDS	PGIM JENNISON FINANCIAL SERVICES R6	R6	NO LOAD	REDEEM ONLY	1600	0	0
SPFAX	PGIM FUNDS	PGIM JENNISON FOCUSED GROWTH FD CL A	A	LOAD	OPEN	1600	1000	1000
SPFCX	PGIM FUNDS	PGIM JENNISON FOCUSED GROWTH FD CL C	C	LOAD	OPEN	1600	1000	1000
PSGQX	PGIM FUNDS	PGIM JENNISON FOCUSED GROWTH FD CL R6	R6	NO LOAD	REDEEM ONLY	1600	0	0
SPFZX	PGIM FUNDS	PGIM JENNISON FOCUSED GROWTH FD CL Z	Z	NO LOAD	OPEN	1600	0	0
PJIAX	PGIM FUNDS	PGIM JENNISON FOCUSED VALUE FD CL A	A	LOAD	OPEN	1600	1000	1000
PJGCX	PGIM FUNDS	PGIM JENNISON FOCUSED VALUE FD CL C	C	LOAD	OPEN	1600	1000	1000
PJGZX	PGIM FUNDS	PGIM JENNISON FOCUSED VALUE FD CL Z	Z	NO LOAD	OPEN	1600	0	0
PJOQX	PGIM FUNDS	PGIM JENNISON FOCUSED VALUE R6	R6	NO LOAD	REDEEM ONLY	1600	0	0

SYMBOL	FUND FAMILY	FUND DESCRIPTION	SHARE CLASS	FUND TYPE	STATUS	CUT- OFF	IRA MIN	NON-IRA MIN
PGJZX	PGIM FUNDS	PGIM JENNISON GLBL INFRA Z	Z	NO LOAD	OPEN	1600	0	0
SPQAX	PGIM FUNDS	PGIM JENNISON GLOBAL EQUITY INCOME FD CL A	A	LOAD	OPEN	1600	1000	1000
AGOCX	PGIM FUNDS	PGIM JENNISON GLOBAL EQUITY INCOME FD CL C	C	LOAD	OPEN	1600	1000	1000
JDEZX	PGIM FUNDS	PGIM JENNISON GLOBAL EQUITY INCOME FD CL Z	Z	NO LOAD	OPEN	1600	0	0
PJIQX	PGIM FUNDS	PGIM JENNISON GLOBAL EQUITY INCOME R6	R6	NO LOAD	REDEEM ONLY	1600	0	0
PGJCX	PGIM FUNDS	PGIM JENNISON GLOBAL INFRASTRUCTURE C	C	LOAD	OPEN	1600	1000	1000
PGJAX	PGIM FUNDS	PGIM JENNISON GLOBAL INFRASTRUCTURE FD CL A	A	LOAD	OPEN	1600	1000	1000
PRJCX	PGIM FUNDS	PGIM JENNISON GLOBAL OPPORTUNITIES C	C	LOAD	OPEN	1600	1000	1000
PRJQX	PGIM FUNDS	PGIM JENNISON GLOBAL OPPORTUNITIES R6	R6	NO LOAD	REDEEM ONLY	1600	5000000	5000000
PRJAX	PGIM FUNDS	PGIM JENNISON GLOBAL OPPTY FD CL A	A	LOAD	OPEN	1600	1000	1000
PRJZX	PGIM FUNDS	PGIM JENNISON GLOBAL OPPTY FD CL Z	Z	NO LOAD	OPEN	1600	0	0
PJFAX	PGIM FUNDS	PGIM JENNISON GROWTH FD CL A	A	LOAD	OPEN	1600	1000	1000
PJFCX	PGIM FUNDS	PGIM JENNISON GROWTH FD CL C	C	LOAD	OPEN	1600	1000	1000
PJFZX	PGIM FUNDS	PGIM JENNISON GROWTH FD CL Z	Z	NO LOAD	OPEN	1600	0	0
PJFQX	PGIM FUNDS	PGIM JENNISON GROWTH R6	R6	NO LOAD	REDEEM ONLY	1600	0	0
PHLQX	PGIM FUNDS	PGIM JENNISON HEALTH SCI R6	R6	NO LOAD	REDEEM ONLY	1600	0	0
PHLAX	PGIM FUNDS	PGIM JENNISON HEALTH SCIENCES FD CL A	A	LOAD	OPEN	1600	1000	1000
PHLCX	PGIM FUNDS	PGIM JENNISON HEALTH SCIENCES FD CL C	C	LOAD	OPEN	1600	1000	1000
PHSZX	PGIM FUNDS	PGIM JENNISON HEALTH SCIENCES FD CL Z	Z	NO LOAD	OPEN	1600	0	0
PAINX	PGIM FUNDS	PGIM JENNISON INTEL SMMD CP OPPTS Z	Z	NO LOAD	OPEN	1600	0	0
PWJCX	PGIM FUNDS	PGIM JENNISON INTERNATIONAL OPPTS C	C	LOAD	OPEN	1600	1000	1000
PWJAX	PGIM FUNDS	PGIM JENNISON INTL OPPTY FD CL A	A	LOAD	OPEN	1600	1000	1000
PWJZX	PGIM FUNDS	PGIM JENNISON INTL OPPTY FD CL Z	Z	NO LOAD	OPEN	1600	0	0
PWJQX	PGIM FUNDS	PGIM JENNISON INTL OPPTY R6	R6	NO LOAD	REDEEM ONLY	1600	0	0
PAHWX	PGIM FUNDS	PGIM JENNISON INTL SMMD CAP OPPTS A	A	LOAD	OPEN	1600	1000	1000
PEEAX	PGIM FUNDS	PGIM JENNISON MID CAP GROWTH FD CL A	A	LOAD	OPEN	1600	1000	1000
PEGCX	PGIM FUNDS	PGIM JENNISON MID CAP GROWTH FD CL C	C	LOAD	OPEN	1600	1000	1000
JDERX	PGIM FUNDS	PGIM JENNISON MID CAP GROWTH FD CL R	R	NO LOAD	REDEEM ONLY	1600	0	0
PJGQX	PGIM FUNDS	PGIM JENNISON MID CAP GROWTH FD CL R6	R6	NO LOAD	REDEEM ONLY	1600	0	0
PEGZX	PGIM FUNDS	PGIM JENNISON MID CAP GROWTH FD CL Z	Z	NO LOAD	OPEN	1600	0	0
PEGGX	PGIM FUNDS	PGIM JENNISON MIDCAP GROWTH R4	R4	NO LOAD	REDEEM ONLY	1600	0	0
PRPAX	PGIM FUNDS	PGIM JENNISON MLP FD CL A	A	LOAD	OPEN	1600	1000	1000
PRPCX	PGIM FUNDS	PGIM JENNISON MLP FD CL C	C	LOAD	OPEN	1600	1000	1000
PRPZX	PGIM FUNDS	PGIM JENNISON MLP FD CL Z	Z	NO LOAD	OPEN	1600	0	0
PRPQX	PGIM FUNDS	PGIM JENNISON MLP R6	R6	NO LOAD	REDEEM ONLY	1600	0	0
PGNAX	PGIM FUNDS	PGIM JENNISON NATURAL RES FD CL A	A	LOAD	OPEN	1600	1000	1000
PNRCX	PGIM FUNDS	PGIM JENNISON NATURAL RES FD CL C	C	LOAD	OPEN	1600	1000	1000
JNRRX	PGIM FUNDS	PGIM JENNISON NATURAL RES FD CL R	R	NO LOAD	REDEEM ONLY	1600	0	0
PJNQX	PGIM FUNDS	PGIM JENNISON NATURAL RES FD CL R6	R6	NO LOAD	REDEEM ONLY	1600	0	0
PNRZX	PGIM FUNDS	PGIM JENNISON NATURAL RES FD CL Z	Z	NO LOAD	OPEN	1600	0	0
PAHSX	PGIM FUNDS	PGIM JENNISON NEXTGENERATION GLB OPPTS A	A	LOAD	OPEN	1600	1000	1000

SYMBOL	FUND FAMILY	FUND DESCRIPTION	SHARE CLASS	FUND TYPE	STATUS	CUT- OFF	IRA MIN	NON-IRA MIN
PAHUX	PGIM FUNDS	PGIM JENNISON NEXTGENERATION GLB OPPTS Z	Z	NO LOAD	OPEN	1600	0	0
PJDAX	PGIM FUNDS	PGIM JENNISON RISING DIV FD CL A	A	LOAD	OPEN	1600	1000	1000
PJDZX	PGIM FUNDS	PGIM JENNISON RISING DIV FD Z	Z	NO LOAD	OPEN	1600	0	0
PJDCX	PGIM FUNDS	PGIM JENNISON RISING DIVIDEND C	C	LOAD	OPEN	1600	1000	1000
PJDQX	PGIM FUNDS	PGIM JENNISON RISING DIVIDEND R6	R6	NO LOAD	REDEEM ONLY	1600	0	0
PGOAX	PGIM FUNDS	PGIM JENNISON SMALL CO FD CL A	A	LOAD	OPEN	1600	1000	1000
PSCCX	PGIM FUNDS	PGIM JENNISON SMALL CO FD CL C	C	LOAD	OPEN	1600	1000	1000
JSCRX	PGIM FUNDS	PGIM JENNISON SMALL CO FD CL R	R	NO LOAD	REDEEM ONLY	1600	0	0
PJSQX	PGIM FUNDS	PGIM JENNISON SMALL CO FD CL R6	R6	NO LOAD	REDEEM ONLY	1600	0	0
PSCZX	PGIM FUNDS	PGIM JENNISON SMALL CO FD CL Z	Z	NO LOAD	OPEN	1600	0	0
PGKAX	PGIM FUNDS	PGIM JENNISON TECHNOLOGY A	A	LOAD	OPEN	1600	1000	1000
PGKCX	PGIM FUNDS	PGIM JENNISON TECHNOLOGY C	C	LOAD	OPEN	1600	1000	1000
PGKZX	PGIM FUNDS	PGIM JENNISON TECHNOLOGY Z	Z	NO LOAD	OPEN	1600	0	0
PRUAX	PGIM FUNDS	PGIM JENNISON UTILITY FD CL A	A	LOAD	OPEN	1600	1000	1000
PCUFX	PGIM FUNDS	PGIM JENNISON UTILITY FD CL C	C	LOAD	OPEN	1600	1000	1000
PRUZX	PGIM FUNDS	PGIM JENNISON UTILITY FD CL Z	Z	NO LOAD	OPEN	1600	0	0
PRUQX	PGIM FUNDS	PGIM JENNISON UTILITY R6	R6	NO LOAD	REDEEM ONLY	1600	0	0
PBEAX	PGIM FUNDS	PGIM JENNISON VALUE FD CL A	A	LOAD	OPEN	1600	1000	1000
PEICX	PGIM FUNDS	PGIM JENNISON VALUE FD CL C	C	LOAD	OPEN	1600	1000	1000
PEIZX	PGIM FUNDS	PGIM JENNISON VALUE FD CL Z	Z	NO LOAD	OPEN	1600	0	0
PJVQX	PGIM FUNDS	PGIM JENNISON VALUE R6	R6	NO LOAD	REDEEM ONLY	1600	0	0
PRHAX	PGIM FUNDS	PGIM MUNI HIGH INCM FD CL A	A	LOAD	OPEN	1600	1000	1000
PHICX	PGIM FUNDS	PGIM MUNI HIGH INCM FD CL C	C	LOAD	OPEN	1600	1000	1000
PHIZX	PGIM FUNDS	PGIM MUNI HIGH INCM FD CL Z	Z	NO LOAD	OPEN	1600	0	0
PHIQX	PGIM FUNDS	PGIM MUNI HIGH INCOME R6	R6	NO LOAD	REDEEM ONLY	1600	0	0
PRNMX	PGIM FUNDS	PGIM NATIONAL MUNI FD CL A	A	LOAD	OPEN	1600	1000	1000
PNMCX	PGIM FUNDS	PGIM NATIONAL MUNI FD CL C	C	LOAD	OPEN	1600	1000	1000
DNMZX	PGIM FUNDS	PGIM NATIONAL MUNI FD CL Z	Z	NO LOAD	OPEN	1600	0	0
PNMQX	PGIM FUNDS	PGIM NATIONAL MUNI R6	R6	NO LOAD	REDEEM ONLY	1600	0	0
PJRAX	PGIM FUNDS	PGIM QMA INTL EQUITY FUND A	A	LOAD	OPEN	1600	1000	1000
PJRCX	PGIM FUNDS	PGIM QMA INTL EQUITY FUND C	C	LOAD	OPEN	1600	1000	1000
PJRQX	PGIM FUNDS	PGIM QMA INTL EQUITY FUND R6	R6	NO LOAD	REDEEM ONLY	1600	0	0
PJIZX	PGIM FUNDS	PGIM QMA INTL EQUITY FUND Z	Z	NO LOAD	OPEN	1600	0	0
PTMAX	PGIM FUNDS	PGIM QMA LARGE CAP CORE EQUITY FUND A	A	LOAD	OPEN	1600	1000	1000
PTMCX	PGIM FUNDS	PGIM QMA LARGE CAP CORE EQUITY FUND C	C	LOAD	OPEN	1600	1000	1000
PTEZX	PGIM FUNDS	PGIM QMA LARGE CAP CORE EQUITY FUND Z	Z	NO LOAD	OPEN	1600	0	0
SUVAX	PGIM FUNDS	PGIM QMA LARGE CAP VALUE FUND A	A	LOAD	OPEN	1600	1000	1000
SUVCX	PGIM FUNDS	PGIM QMA LARGE CAP VALUE FUND C	C	LOAD	OPEN	1600	1000	1000
SUVZX	PGIM FUNDS	PGIM QMA LARGE CAP VALUE FUND Z	Z	NO LOAD	OPEN	1600	0	0
SPRAX	PGIM FUNDS	PGIM QMA MIDCAP VALUE FUND A	A	LOAD	OPEN	1600	1000	1000
NCBVX	PGIM FUNDS	PGIM QMA MIDCAP VALUE FUND C	C	LOAD	OPEN	1600	1000	1000

SYMBOL	FUND FAMILY	FUND DESCRIPTION	SHARE CLASS	FUND TYPE	STATUS	CUT- OFF	IRA MIN	NON-IRA MIN
PMVQX	PGIM FUNDS	PGIM QMA MIDCAP VALUE FUND R6	R6	NO LOAD	REDEEM ONLY	1600	0	0
SPVZX	PGIM FUNDS	PGIM QMA MIDCAP VALUE FUND Z	Z	NO LOAD	OPEN	1600	0	0
TSVAX	PGIM FUNDS	PGIM QMA SMALLCAP VALUE FUND A	A	LOAD	OPEN	1600	1000	1000
TRACX	PGIM FUNDS	PGIM QMA SMALLCAP VALUE FUND C	C	LOAD	OPEN	1600	1000	1000
TSVQX	PGIM FUNDS	PGIM QMA SMALLCAP VALUE FUND R6	R6	NO LOAD	REDEEM ONLY	1600	0	0
TASVX	PGIM FUNDS	PGIM QMA SMALLCAP VALUE FUND Z	Z	NO LOAD	OPEN	1600	0	0
PQCZX	PGIM FUNDS	PGIM QUANT SOLUTIONS COMMODITY STRATS Z	Z	NO LOAD	OPEN	1600	0	0
PTMQX	PGIM FUNDS	PGIM QUANT SOLUTIONS LARGE CAP COR EQ R6	R6	NO LOAD	REDEEM ONLY	1600	0	0
SUVQX	PGIM FUNDS	PGIM QUANT SOLUTIONS LARGE CAP VAL R6	R6	NO LOAD	REDEEM ONLY	1600	0	0
PSIAX	PGIM FUNDS	PGIM QUANT SOLUTIONS LG CAP INDEX A	A	LOAD	OPEN	1600	1000	1000
PSICX	PGIM FUNDS	PGIM QUANT SOLUTIONS LG CAP INDEX C	C	LOAD	OPEN	1600	1000	1000
PDSIX	PGIM FUNDS	PGIM QUANT SOLUTIONS LG CAP INDEX I	I	NO LOAD	OPEN	1600	0	0
PSIFX	PGIM FUNDS	PGIM QUANT SOLUTIONS LG CAP INDEX Z	Z	NO LOAD	OPEN	1600	0	0
PUDAX	PGIM FUNDS	PGIM REAL ASSETS FD CL A	A	LOAD	OPEN	1600	1000	1000
PUDCX	PGIM FUNDS	PGIM REAL ASSETS FD CL C	C	LOAD	OPEN	1600	1000	1000
PUDZX	PGIM FUNDS	PGIM REAL ASSETS FD CL Z	Z	NO LOAD	OPEN	1600	0	0
PRKAX	PGIM FUNDS	PGIM REAL ESTATE INCM FD CL A	A	LOAD	OPEN	1600	1000	1000
PRKZX	PGIM FUNDS	PGIM REAL ESTATE INCOME Z	Z	NO LOAD	OPEN	1600	0	0
SCFOX	PGIM FUNDS	PGIM SECURITIZED CREDIT CL A	A	LOAD	OPEN	1600	1000	1000
SCFZX	PGIM FUNDS	PGIM SECURITIZED CREDIT CL Z	Z	NO LOAD	OPEN	1600	0	0
SREZX	PGIM FUNDS	PGIM SELECT REAL ESTATE CL Z	Z	NO LOAD	OPEN	1600	0	0
SREQX	PGIM FUNDS	PGIM SELECT REAL ESTATE R6	R6	NO LOAD	REDEEM ONLY	1600	0	0
HYSAX	PGIM FUNDS	PGIM SHORT DUR HIGH YLD INCM FD CL A	A	LOAD	OPEN	1600	1000	1000
HYSCX	PGIM FUNDS	PGIM SHORT DUR HIGH YLD INCM FD CL C	C	LOAD	OPEN	1600	1000	1000
HYSQX	PGIM FUNDS	PGIM SHORT DUR HIGH YLD INCM FD CL R6	R6	NO LOAD	REDEEM ONLY	1600	0	0
HYSZX	PGIM FUNDS	PGIM SHORT DUR HIGH YLD INCM FD CL Z	Z	NO LOAD	OPEN	1600	0	0
SDMAX	PGIM FUNDS	PGIM SHORT DUR MULTI SECT BD FD CL A	A	LOAD	OPEN	1600	1000	1000
SDMZX	PGIM FUNDS	PGIM SHORT DUR MULTI SECT BD FD CL Z	Z	NO LOAD	OPEN	1600	0	0
SDMQX	PGIM FUNDS	PGIM SHORT DURATION MULTI SECT BD R6	R6	NO LOAD	REDEEM ONLY	1600	0	0
SDMCX	PGIM FUNDS	PGIM SHORT DURATION MULTISECTOR BOND C	C	LOAD	OPEN	1600	1000	1000
PDSAX	PGIM FUNDS	PGIM SHORT DURATION MUNI FD CL A	A	LOAD	OPEN	1600	1000	1000
PDSCX	PGIM FUNDS	PGIM SHORT DURATION MUNI FD CL C	C	LOAD	OPEN	1600	1000	1000
PDSZX	PGIM FUNDS	PGIM SHORT DURATION MUNI FD CL Z	Z	NO LOAD	OPEN	1600	0	0
PDSQX	PGIM FUNDS	PGIM SHORT DURATION MUNI R6	R6	NO LOAD	REDEEM ONLY	1600	0	0
PBSMX	PGIM FUNDS	PGIM SHORTTERM CORP BD FD CL A	A	LOAD	OPEN	1600	1000	1000
PIFCX	PGIM FUNDS	PGIM SHORTTERM CORP BD FD CL C	C	LOAD	OPEN	1600	1000	1000
PSTQX	PGIM FUNDS	PGIM SHORTTERM CORP BD FD CL R6	R6	NO LOAD	REDEEM ONLY	1600	0	0
PIFZX	PGIM FUNDS	PGIM SHORTTERM CORP BD FD CL Z	Z	NO LOAD	OPEN	1600	0	0
JDTRX	PGIM FUNDS	PGIM SHORTTERM CORPORATE BOND R	R	NO LOAD	REDEEM ONLY	1600	0	0
PIFEX	PGIM FUNDS	PGIM SHORTTERM CORPORATE BOND R2	R2	NO LOAD	REDEEM ONLY	1600	2500	2500
SREAX	PGIM FUNDS	PGIM SLCT REAL ESTATE FD CL A	A	LOAD	OPEN	1600	1000	1000

SYMBOL	FUND FAMILY	FUND DESCRIPTION	SHARE CLASS	FUND TYPE	STATUS	CUT-OFF	IRA MIN	NON-IRA MIN
PUCX	PGIM FUNDS	PGIM STRATEGIC BD FD C	C	LOAD	OPEN	1600	1000	1000
PUCQX	PGIM FUNDS	PGIM STRATEGIC BD FD R6	R6	NO LOAD	REDEEM ONLY	1600	0	0
PUCZX	PGIM FUNDS	PGIM STRATEGIC BD FD Z	Z	NO LOAD	OPEN	1600	0	0
PUCAX	PGIM FUNDS	PGIM STRATEGIC BOND FD CL A	A	LOAD	OPEN	1600	1000	1000
PDCGX	PGIM FUNDS	PGIM TARGET DATE 2015 R4	R4	NO LOAD	REDEEM ONLY	1600	0	0
PDCJX	PGIM FUNDS	PGIM TARGET DATE 2015 R6	R6	NO LOAD	REDEEM ONLY	1600	0	0
PDDGX	PGIM FUNDS	PGIM TARGET DATE 2020 R4	R4	NO LOAD	REDEEM ONLY	1600	0	0
PDDJX	PGIM FUNDS	PGIM TARGET DATE 2020 R6	R6	NO LOAD	REDEEM ONLY	1600	0	0
PDEGX	PGIM FUNDS	PGIM TARGET DATE 2025 R4	R4	NO LOAD	REDEEM ONLY	1600	0	0
PDEJX	PGIM FUNDS	PGIM TARGET DATE 2025 R6	R6	NO LOAD	REDEEM ONLY	1600	0	0
PDFGX	PGIM FUNDS	PGIM TARGET DATE 2030 R4	R4	NO LOAD	REDEEM ONLY	1600	0	0
PDFJX	PGIM FUNDS	PGIM TARGET DATE 2030 R6	R6	NO LOAD	REDEEM ONLY	1600	0	0
PDGGX	PGIM FUNDS	PGIM TARGET DATE 2035 R4	R4	NO LOAD	REDEEM ONLY	1600	0	0
PDGJX	PGIM FUNDS	PGIM TARGET DATE 2035 R6	R6	NO LOAD	REDEEM ONLY	1600	0	0
PDHGX	PGIM FUNDS	PGIM TARGET DATE 2040 R4	R4	NO LOAD	REDEEM ONLY	1600	0	0
PDHJX	PGIM FUNDS	PGIM TARGET DATE 2040 R6	R6	NO LOAD	REDEEM ONLY	1600	0	0
PDIGX	PGIM FUNDS	PGIM TARGET DATE 2045 R4	R4	NO LOAD	REDEEM ONLY	1600	0	0
PDIJX	PGIM FUNDS	PGIM TARGET DATE 2045 R6	R6	NO LOAD	REDEEM ONLY	1600	0	0
PDJGX	PGIM FUNDS	PGIM TARGET DATE 2050 R4	R4	NO LOAD	REDEEM ONLY	1600	0	0
PDJX	PGIM FUNDS	PGIM TARGET DATE 2050 R6	R6	NO LOAD	REDEEM ONLY	1600	0	0
PDKGX	PGIM FUNDS	PGIM TARGET DATE 2055 R4	R4	NO LOAD	REDEEM ONLY	1600	0	0
PDKJX	PGIM FUNDS	PGIM TARGET DATE 2055 R6	R6	NO LOAD	REDEEM ONLY	1600	0	0
PDLGX	PGIM FUNDS	PGIM TARGET DATE 2060 R4	R4	NO LOAD	REDEEM ONLY	1600	0	0
PDLJX	PGIM FUNDS	PGIM TARGET DATE 2060 R6	R6	NO LOAD	REDEEM ONLY	1600	0	0
PDAGX	PGIM FUNDS	PGIM TARGET DATE INCOME R4	R4	NO LOAD	REDEEM ONLY	1600	0	0
PDAJX	PGIM FUNDS	PGIM TARGET DATE INCOME R6	R6	NO LOAD	REDEEM ONLY	1600	0	0
PDBAX	PGIM FUNDS	PGIM TOTAL RETURN BD FD CL A	A	LOAD	OPEN	1600	1000	1000
PDBCX	PGIM FUNDS	PGIM TOTAL RETURN BD FD CL C	C	LOAD	OPEN	1600	1000	1000
PTRQX	PGIM FUNDS	PGIM TOTAL RETURN BD FD CL R6	R6	NO LOAD	REDEEM ONLY	1600	0	0
PDBZX	PGIM FUNDS	PGIM TOTAL RETURN BD FD CL Z	Z	NO LOAD	OPEN	1600	0	0
PDBRX	PGIM FUNDS	PGIM TOTAL RETURN BD R2	R2	NO LOAD	REDEEM ONLY	1600	0	0
PDBSX	PGIM FUNDS	PGIM TOTAL RETURN BD R4	R4	NO LOAD	REDEEM ONLY	1600	0	0
DTBRX	PGIM FUNDS	PGIM TOTAL RETURN BOND R	R	NO LOAD	REDEEM ONLY	1600	0	0
PJEAX	PGIM FUNDS	PGIM US REAL ESTATE FD CL A	A	LOAD	OPEN	1600	1000	1000
PJEZX	PGIM FUNDS	PGIM US REAL ESTATE FD CL Z	Z	NO LOAD	OPEN	1600	0	0
PJEQX	PGIM FUNDS	PGIM US REAL ESTATE R6	R6	NO LOAD	REDEEM ONLY	1600	0	0
PHYSX	PIA FUNDS	PIA HIGH YIELD FD INST CL	INSTITUTIONAL	NO LOAD	OPEN	1600	0	0
PIAMX	PIA FUNDS	PIA HIGH YIELD MACS FD MACS CL	MANAGED ACCOU	NO LOAD	REDEEM ONLY	1600	0	0
PIASX	PIA FUNDS	PIA SHORT TERM SEC FD ADV CL	ADVISOR	NO LOAD	OPEN	1600	0	0
PAUPX	PIMCO FUNDS	PIMCO ALL ASSET ALL AUTHORITY FD CL I2	I2	NO LOAD	OPEN	1600	0	0
PAUAX	PIMCO FUNDS	PIMCO ALL ASSET ALL AUTHORITY FUND CLASS A	A	LOAD	OPEN	1600	0	0

SYMBOL	FUND FAMILY	FUND DESCRIPTION	SHARE CLASS	FUND TYPE	STATUS	CUT- OFF	IRA MIN	NON-IRA MIN
PAUIX	PIMCO FUNDS	PIMCO ALL ASSET ALL AUTHORITY FUND INSTITUTIONAL CL	INSTITUTIONAL	NO LOAD	OPEN	1600	0	0
PAUNX	PIMCO FUNDS	PIMCO ALL ASSET ALL AUTHORITY I3	I3	NO LOAD	OPEN	1600	0	0
PAALX	PIMCO FUNDS	PIMCO ALL ASSET CL ADMIN	ADMINISTRATIVE	NO LOAD	OPEN	1600	0	0
PALPX	PIMCO FUNDS	PIMCO ALL ASSET FD CL I2	I2	NO LOAD	OPEN	1600	0	0
PAAIX	PIMCO FUNDS	PIMCO ALL ASSET FUND INSTL CL	INSTITUTIONAL	NO LOAD	OPEN	1600	0	0
PAANX	PIMCO FUNDS	PIMCO ALL ASSET I3	I3	NO LOAD	OPEN	1600	0	0
PATRX	PIMCO FUNDS	PIMCO ALL ASSET R	R	NO LOAD	REDEEM ONLY	1600	0	0
PAUCX	PIMCO FUNDS	PIMCO ALL ASSETS ALL AUTHORITY FUND CLASS C	C	LOAD	OPEN	1600	0	0
PASAX	PIMCO FUNDS	PIMCO ALL ASSETS FUND CLASS A	A	LOAD	OPEN	1600	0	0
PASCX	PIMCO FUNDS	PIMCO ALL ASSETS FUND CLASS C	C	LOAD	OPEN	1600	0	0
PCFCX	PIMCO FUNDS	PIMCO CA INTER MUNI BD CL C	C	LOAD	OPEN	1600	0	0
PCIMX	PIMCO FUNDS	PIMCO CA INTER MUNI BOND FD INSTITUTIONAL CLASS	INSTITUTIONAL	NO LOAD	OPEN	1600	0	0
PCIPX	PIMCO FUNDS	PIMCO CA INTERMEDIATE MUNI BD I2	I2	NO LOAD	OPEN	1600	0	0
PCTTX	PIMCO FUNDS	PIMCO CA MUNI BOND CL A	A	LOAD	OPEN	1600	0	0
PCTIX	PIMCO FUNDS	PIMCO CA MUNI BOND INST	INSTITUTIONAL	NO LOAD	OPEN	1600	0	0
GCMVX	PIMCO FUNDS	PIMCO CA MUNI INTERMEDIATE VALUE FUND	INSTITUTIONAL	NO LOAD	REDEEM ONLY	1600	250000	250000
PCDAX	PIMCO FUNDS	PIMCO CA SH DUR MUNI INCM A	A	LOAD	OPEN	1600	0	0
PCDPX	PIMCO FUNDS	PIMCO CA SH DUR MUNICIPAL INCOME I2	I2	NO LOAD	OPEN	1600	0	0
PCDIX	PIMCO FUNDS	PIMCO CA SHORT DURATION MUNI INCM FD INST CL	INSTITUTIONAL	NO LOAD	OPEN	1600	0	0
CAFLX	PIMCO FUNDS	PIMCO CALIFORNIA FLEXIBLE MPL IN INSTL	INSTITUTIONAL	NO LOAD	REDEEM ONLY	1600	1000	2500
PCMBX	PIMCO FUNDS	PIMCO CALIFORNIA INTERMEDIATE MUNICIPAL BOND CLASS	A	LOAD	OPEN	1600	0	0
PCTPX	PIMCO FUNDS	PIMCO CALIFORNIA MUNICIPAL BOND I2	I2	NO LOAD	OPEN	1600	0	0
PCEIX	PIMCO FUNDS	PIMCO CLIMATE BD INST	INSTITUTIONAL	NO LOAD	OPEN	1600	0	0
PCEBX	PIMCO FUNDS	PIMCO CLIMATE BOND A	A	LOAD	OPEN	1600	0	0
PCEPX	PIMCO FUNDS	PIMCO CLIMATE BOND I2	I2	NO LOAD	OPEN	1600	0	0
PCEWX	PIMCO FUNDS	PIMCO CLIMATE BOND I3	I3	NO LOAD	OPEN	1600	0	0
PCLAX	PIMCO FUNDS	PIMCO COMMODITIESPLUS STRATEGY FD A	A	LOAD	OPEN	1600	0	0
PCPCX	PIMCO FUNDS	PIMCO COMMODITIESPLUS STRATEGY FD C	C	LOAD	OPEN	1600	0	0
PCLPX	PIMCO FUNDS	PIMCO COMMODITIESPLUS STRATEGY FD CL I2	I2	NO LOAD	OPEN	1600	0	0
PCLIX	PIMCO FUNDS	PIMCO COMMODITIESPLUS STRATEGY FD INST	INSTITUTIONAL	NO LOAD	OPEN	1600	0	0
PCLNX	PIMCO FUNDS	PIMCO COMMODITIESPLUS STRATEGY I3	I3	NO LOAD	OPEN	1600	0	0
PCRNX	PIMCO FUNDS	PIMCO COMMODITY REAL RET STRAT I3	I3	NO LOAD	OPEN	1600	0	0
PCSRX	PIMCO FUNDS	PIMCO COMMODITY REAL RETURN STRAT R	R	NO LOAD	REDEEM ONLY	1600	0	0
PCRRX	PIMCO FUNDS	PIMCO COMMODITY REAL RETURN STRATEGY ADMIN	ADMINISTRATIVE	NO LOAD	OPEN	1600	0	0
PCRAX	PIMCO FUNDS	PIMCO COMMODITY REAL RETURN STRATEGY CLASS A	A	LOAD	OPEN	1600	0	0
PCRCX	PIMCO FUNDS	PIMCO COMMODITY REAL RETURN STRATEGY CLASS C	C	LOAD	OPEN	1600	0	0
PCRPX	PIMCO FUNDS	PIMCO COMMODITY REAL RETURN STRATEGY FD CL I2	I2	NO LOAD	OPEN	1600	0	0
PCRIX	PIMCO FUNDS	PIMCO COMMODITY REAL RETURN STRATEGY FD INSTL	INSTITUTIONAL	NO LOAD	OPEN	1600	0	0
PZCRX	PIMCO FUNDS	PIMCO CREDIT OPPORTUNITIES BD FD CL A	A	LOAD	OPEN	1600	0	0
PPCRX	PIMCO FUNDS	PIMCO CREDIT OPPORTUNITIES BD FD CL I2	I2	NO LOAD	OPEN	1600	0	0
PCARX	PIMCO FUNDS	PIMCO CREDIT OPPORTUNITIES BD FD INST	INSTITUTIONAL	NO LOAD	OPEN	1600	0	0

SYMBOL	FUND FAMILY	FUND DESCRIPTION	SHARE CLASS	FUND TYPE	STATUS	CUT-OFF	IRA MIN	NON-IRA MIN
PCCRX	PIMCO FUNDS	PIMCO CREDIT OPPORTUNITIES BOND C	C	LOAD	OPEN	1600	0	0
PQIZX	PIMCO FUNDS	PIMCO DIV AND INCM FD A	A	LOAD	OPEN	1600	0	0
PQICX	PIMCO FUNDS	PIMCO DIV AND INCM FD C	C	LOAD	OPEN	1600	0	0
PQIPX	PIMCO FUNDS	PIMCO DIV AND INCM FD CL I2	I2	NO LOAD	OPEN	1600	0	0
PQIIX	PIMCO FUNDS	PIMCO DIV AND INCM FD INST	INSTITUTIONAL	NO LOAD	OPEN	1600	0	0
PDNIX	PIMCO FUNDS	PIMCO DIVERSIFIED INC I3	I3	NO LOAD	OPEN	1600	0	0
PDAAX	PIMCO FUNDS	PIMCO DIVERSIFIED INCOME ADMIN	ADMINISTRATIVE	NO LOAD	OPEN	1600	0	0
PDIIIX	PIMCO FUNDS	PIMCO DIVERSIFIED INCOME CL I	INSTITUTIONAL	NO LOAD	OPEN	1600	0	0
PDVAX	PIMCO FUNDS	PIMCO DIVERSIFIED INCOME CLASS A	A	LOAD	OPEN	1600	0	0
PDVPX	PIMCO FUNDS	PIMCO DIVERSIFIED INCOME FD CL I2	I2	NO LOAD	OPEN	1600	0	0
PDICX	PIMCO FUNDS	PIMCO DIVERSIFIED INCOME FUND CLASS C	C	LOAD	OPEN	1600	0	0
PUBAX	PIMCO FUNDS	PIMCO DYNAMIC BD FD CL A	A	LOAD	OPEN	1600	0	0
PUBCX	PIMCO FUNDS	PIMCO DYNAMIC BD FD CL C	C	LOAD	OPEN	1600	0	0
PUCPX	PIMCO FUNDS	PIMCO DYNAMIC BD FD CL I2	I2	NO LOAD	OPEN	1600	0	0
PFIUX	PIMCO FUNDS	PIMCO DYNAMIC BD FD INST	INSTITUTIONAL	NO LOAD	OPEN	1600	0	0
PFNUX	PIMCO FUNDS	PIMCO DYNAMIC BOND I3	I3	NO LOAD	OPEN	1600	0	0
PUBRX	PIMCO FUNDS	PIMCO DYNAMIC BOND R	R	NO LOAD	REDEEM ONLY	1600	0	0
PLMAX	PIMCO FUNDS	PIMCO EMER MKTS CURRENCY SHORT TERM INV FD CL A	A	LOAD	OPEN	1600	0	0
PLMPX	PIMCO FUNDS	PIMCO EMER MKTS CURRENCY SHORT TERM INV FD CL I2	I2	NO LOAD	OPEN	1600	0	0
PLMIX	PIMCO FUNDS	PIMCO EMER MKTS CURRENCY SHORT TERM INV FD INST	INSTITUTIONAL	NO LOAD	OPEN	1600	0	0
PFSIX	PIMCO FUNDS	PIMCO EMERG MKTS FULL SPECTRUM BD INSTL	INSTITUTIONAL	NO LOAD	OPEN	1600	0	0
PAEMX	PIMCO FUNDS	PIMCO EMERGING MARKETS BOND CLASS A	A	LOAD	OPEN	1600	0	0
PEBCX	PIMCO FUNDS	PIMCO EMERGING MARKETS BOND CLASS C	C	LOAD	OPEN	1600	0	0
PEBIX	PIMCO FUNDS	PIMCO EMERGING MARKETS BOND FD INSTL CLASS	INSTITUTIONAL	NO LOAD	OPEN	1600	0	0
PEBNX	PIMCO FUNDS	PIMCO EMERGING MARKETS BOND I3	I3	NO LOAD	OPEN	1600	0	0
PEMIX	PIMCO FUNDS	PIMCO EMERGING MARKETS CORPORATE BD FD INST CL	INSTITUTIONAL	NO LOAD	OPEN	1600	0	0
PELNX	PIMCO FUNDS	PIMCO EMERGING MKTS LCL CCY AND BD I3	I3	NO LOAD	OPEN	1600	0	0
PEMPX	PIMCO FUNDS	PIMCO EMRG MKTS BD FD CL I2	I2	NO LOAD	OPEN	1600	0	0
PELAX	PIMCO FUNDS	PIMCO EMRG MKTS LOCAL CURRENCY BD FD CL A	A	LOAD	OPEN	1600	0	0
PELCX	PIMCO FUNDS	PIMCO EMRG MKTS LOCAL CURRENCY BD FD CL C	C	LOAD	OPEN	1600	0	0
PELPX	PIMCO FUNDS	PIMCO EMRG MKTS LOCAL CURRENCY BD FD CL I2	I2	NO LOAD	OPEN	1600	0	0
PELBX	PIMCO FUNDS	PIMCO EMRG MKTS LOCAL CURRENCY BD FD INST	INSTITUTIONAL	NO LOAD	OPEN	1600	0	0
PEGAX	PIMCO FUNDS	PIMCO ESG INCOME A	A	LOAD	OPEN	1600	0	0
PEGBX	PIMCO FUNDS	PIMCO ESG INCOME C	C	LOAD	OPEN	1600	0	0
PEGQX	PIMCO FUNDS	PIMCO ESG INCOME I3	I3	NO LOAD	OPEN	1600	0	0
PEGIX	PIMCO FUNDS	PIMCO ESG INCOME INSTITUTIONAL	INSTITUTIONAL	NO LOAD	OPEN	1600	0	0
PEDPX	PIMCO FUNDS	PIMCO EXTENDED DURATION I2	I2	NO LOAD	OPEN	1600	0	0
PEDIX	PIMCO FUNDS	PIMCO EXTENDED DURATION INSTL	INSTITUTIONAL	NO LOAD	OPEN	1600	0	0
FXIDX	PIMCO FUNDS	PIMCO FIXED INCOME SHARES LD	NO LOAD	NO LOAD	REDEEM ONLY	1600	1000	2500
FXIEX	PIMCO FUNDS	PIMCO FIXED INCOME SHARES TE	SERIES TE	NO LOAD	REDEEM ONLY	1600	100000	100000
PFLEX	PIMCO FUNDS	PIMCO FLEXIBLE CREDIT INCM FD INST	INSTITUTIONAL	NO LOAD	REDEEM ONLY	1600	1000	2500

SYMBOL	FUND FAMILY	FUND DESCRIPTION	SHARE CLASS	FUND TYPE	STATUS	CUT- OFF	IRA MIN	NON-IRA MIN
EMFLX	PIMCO FUNDS	PIMCO FLEXIBLE EMERG MKTS INCOME FD	INSTITUTIONAL CC	NO LOAD	REDEEM ONLY	1600	1000	2500
PMFLX	PIMCO FUNDS	PIMCO FLEXIBLE MUNICIPAL INCOME INS	INSTITUTIONAL CC	NO LOAD	REDEEM ONLY	1600	0	0
PGSAX	PIMCO FUNDS	PIMCO GLOBAL ADVANTAGE STRATEGY BD A	A	LOAD	OPEN	1600	0	0
PSAIX	PIMCO FUNDS	PIMCO GLOBAL ADVANTAGE STRATEGY BD INST	INSTITUTIONAL	NO LOAD	OPEN	1600	0	0
PAIIX	PIMCO FUNDS	PIMCO GLOBAL BD OPPORT FD USD HEDGED CL A	A	LOAD	OPEN	1600	0	0
PCIIX	PIMCO FUNDS	PIMCO GLOBAL BD OPPORT FD USD HEDGED CL C	C	LOAD	OPEN	1600	0	0
PGNPX	PIMCO FUNDS	PIMCO GLOBAL BD OPPORT FD USD HEDGED CL I2	I2	NO LOAD	OPEN	1600	0	0
PGBIX	PIMCO FUNDS	PIMCO GLOBAL BD OPPORT FD USD HEDGED INST	INSTITUTIONAL	NO LOAD	OPEN	1600	0	0
PGMAX	PIMCO FUNDS	PIMCO GLOBAL CORE ASSET ALLOCATION FD CL A	A	LOAD	OPEN	1600	0	0
PGMCX	PIMCO FUNDS	PIMCO GLOBAL CORE ASSET ALLOCATION FD CL C	C	LOAD	OPEN	1600	0	0
PGAPX	PIMCO FUNDS	PIMCO GLOBAL CORE ASSET ALLOCATION FD CL I2	I2	NO LOAD	OPEN	1600	0	0
PGAIX	PIMCO FUNDS	PIMCO GLOBAL CORE ASSET ALLOCATION FD INST	INSTITUTIONAL	NO LOAD	OPEN	1600	0	0
PANNX	PIMCO FUNDS	PIMCO GNMA AND GOVERNMENT SECS I3	I3	NO LOAD	OPEN	1600	0	0
PAGNX	PIMCO FUNDS	PIMCO GNMA AND GOVT SEC FD CL A	A	LOAD	OPEN	1600	0	0
PCGNX	PIMCO FUNDS	PIMCO GNMA AND GOVT SEC FD CL C	C	LOAD	OPEN	1600	0	0
PPGNX	PIMCO FUNDS	PIMCO GNMA AND GOVT SEC FD CL I2	I2	NO LOAD	OPEN	1600	0	0
PDMIX	PIMCO FUNDS	PIMCO GNMA AND GOVT SEC FD INST	INSTITUTIONAL	NO LOAD	OPEN	1600	0	0
PGOXX	PIMCO FUNDS	PIMCO GOVERNMENT MONEY MARKET ADM	ADMINISTRATIVE	NO LOAD	REDEEM ONLY	1600	0	0
PGPXX	PIMCO FUNDS	PIMCO GOVERNMENT MONEY MARKET I2	I2	NO LOAD	OPEN	1600	0	0
PGYXX	PIMCO FUNDS	PIMCO GOVERNMENT MONEY MARKET INSTL	INSTITUTIONAL	NO LOAD	OPEN	1600	1000000	1000000
AMAXX	PIMCO FUNDS	PIMCO GOVT MONEY MKT A	A	LOAD	OPEN	1600	0	0
AMGXX	PIMCO FUNDS	PIMCO GOVT MONEY MKT C	C	LOAD	OPEN	1600	0	0
GCMFX	PIMCO FUNDS	PIMCO GURTIN CA MUNI OPPORTUNISTIC VALUE INST	INSTITUTIONAL	NO LOAD	REDEEM ONLY	1600	250000	250000
PHMIX	PIMCO FUNDS	PIMCO HI YLD MUNI BD INSTL CL	INSTITUTIONAL	NO LOAD	OPEN	1600	0	0
PHYAX	PIMCO FUNDS	PIMCO HIGH YIELD FUND ADMINISTRATIVE CLASS	ADMINISTRATIVE	NO LOAD	OPEN	1600	0	0
PHDAX	PIMCO FUNDS	PIMCO HIGH YIELD FUND CL A	A	LOAD	OPEN	1600	0	0
PHDCX	PIMCO FUNDS	PIMCO HIGH YIELD FUND CLASS C	C	LOAD	OPEN	1600	0	0
PHLPX	PIMCO FUNDS	PIMCO HIGH YIELD FUND FD CL I2	I2	NO LOAD	OPEN	1600	0	0
PHIYX	PIMCO FUNDS	PIMCO HIGH YIELD FUND INSTITUTIONAL CLASS	INSTITUTIONAL	NO LOAD	OPEN	1600	0	0
PHNNX	PIMCO FUNDS	PIMCO HIGH YIELD I3	I3	NO LOAD	OPEN	1600	0	0
PYMAX	PIMCO FUNDS	PIMCO HIGH YIELD MUNI BD A	A	LOAD	OPEN	1600	0	0
PYMCX	PIMCO FUNDS	PIMCO HIGH YIELD MUNI BD C	C	LOAD	OPEN	1600	0	0
PYMPX	PIMCO FUNDS	PIMCO HIGH YIELD MUNI BD FD CL I2	I2	NO LOAD	OPEN	1600	0	0
PYMNX	PIMCO FUNDS	PIMCO HIGH YIELD MUNICIPAL BOND I3	I3	NO LOAD	OPEN	1600	0	0
PHYRX	PIMCO FUNDS	PIMCO HIGH YIELD R	R	NO LOAD	REDEEM ONLY	1600	0	0
PHSAX	PIMCO FUNDS	PIMCO HIGH YIELD SPECTRUM A	A	LOAD	OPEN	1600	0	0
PHSCX	PIMCO FUNDS	PIMCO HIGH YIELD SPECTRUM C	C	LOAD	OPEN	1600	0	0
PHSPX	PIMCO FUNDS	PIMCO HIGH YIELD SPECTRUM FD CL I2	I2	NO LOAD	OPEN	1600	0	0
PHFNX	PIMCO FUNDS	PIMCO HIGH YIELD SPECTRUM I3	I3	NO LOAD	OPEN	1600	0	0
PHSIX	PIMCO FUNDS	PIMCO HIGH YIELD SPECTRUM INSTL CL	INSTITUTIONAL	NO LOAD	OPEN	1600	0	0
PONCX	PIMCO FUNDS	PIMCO INCM C	C	LOAD	OPEN	1600	0	0

SYMBOL	FUND FAMILY	FUND DESCRIPTION	SHARE CLASS	FUND TYPE	STATUS	CUT-OFF	IRA MIN	NON-IRA MIN
PONAX	PIMCO FUNDS	PIMCO INCM CL A	A	LOAD	OPEN	1600	0	0
PIINX	PIMCO FUNDS	PIMCO INCM CL ADMIN	ADMINISTRATIVE	NO LOAD	OPEN	1600	0	0
PONPX	PIMCO FUNDS	PIMCO INCM FD CL I2	I2	NO LOAD	OPEN	1600	0	0
PIMIX	PIMCO FUNDS	PIMCO INCM FD INST CL	INSTITUTIONAL	NO LOAD	OPEN	1600	0	0
PONRX	PIMCO FUNDS	PIMCO INCM R	R	NO LOAD	REDEEM ONLY	1600	0	0
PIPNX	PIMCO FUNDS	PIMCO INCOME I3	I3	NO LOAD	OPEN	1600	0	0
PZRMX	PIMCO FUNDS	PIMCO INFLATION RESPONSE MULTI ASSET A	A	LOAD	OPEN	1600	0	0
PPRMX	PIMCO FUNDS	PIMCO INFLATION RESPONSE MULTI ASSET I2	I2	NO LOAD	OPEN	1600	0	0
PIRMX	PIMCO FUNDS	PIMCO INFLATION RESPONSE MULTI ASSET INST	INSTITUTIONAL	NO LOAD	OPEN	1600	0	0
PFRMX	PIMCO FUNDS	PIMCO INFLATION RESPONSE MULTIASST I3	I3	NO LOAD	OPEN	1600	0	0
PFUNX	PIMCO FUNDS	PIMCO INTERNATIONAL BOND UNHEDGED I3	I3	NO LOAD	OPEN	1600	100000	100000
PFONX	PIMCO FUNDS	PIMCO INTERNATIONAL BOND USDHDG I3	I3	NO LOAD	OPEN	1600	0	0
PFUUX	PIMCO FUNDS	PIMCO INTL BD FD UNHEDGED ADMIN CL	ADMINISTRATIVE	NO LOAD	OPEN	1600	0	0
PFUAX	PIMCO FUNDS	PIMCO INTL BD FD UNHEDGED CL A	A	LOAD	OPEN	1600	0	0
PFRCX	PIMCO FUNDS	PIMCO INTL BD FD UNHEDGED CL C	C	LOAD	OPEN	1600	0	0
PFUPX	PIMCO FUNDS	PIMCO INTL BD FD UNHEDGED CL I2	I2	NO LOAD	OPEN	1600	0	0
PFRAX	PIMCO FUNDS	PIMCO INTL BD FD USD HEDGED ADMIN CL	ADMINISTRATIVE	NO LOAD	OPEN	1600	0	0
PFUIX	PIMCO FUNDS	PIMCO INTL BOND FD UNHEDGED INST	INSTITUTIONAL	NO LOAD	OPEN	1600	0	0
PFOAX	PIMCO FUNDS	PIMCO INTL BOND FUND USD HEDGED CL A	A	LOAD	OPEN	1600	0	0
PFOCX	PIMCO FUNDS	PIMCO INTL BOND FUND USD HEDGED CL C	C	LOAD	OPEN	1600	0	0
PFBPX	PIMCO FUNDS	PIMCO INTL BOND FUND USD HEDGED CL I2	I2	NO LOAD	OPEN	1600	0	0
PFRRX	PIMCO FUNDS	PIMCO INTL BOND FUND USD HEDGED CL R	R	NO LOAD	REDEEM ONLY	1600	0	0
PFORX	PIMCO FUNDS	PIMCO INTL BOND FUND USD HEDGED INST	INSTITUTIONAL	NO LOAD	OPEN	1600	0	0
PGCAX	PIMCO FUNDS	PIMCO INVESTMENT GRADE CREDIT BD FD ADMIN	ADMINISTRATIVE	NO LOAD	OPEN	1600	0	0
PBDAX	PIMCO FUNDS	PIMCO INVESTMENT GRADE CREDIT BD FD CL A	A	LOAD	OPEN	1600	0	0
PBDCX	PIMCO FUNDS	PIMCO INVESTMENT GRADE CREDIT BD FD CL C	C	LOAD	OPEN	1600	0	0
PBDPX	PIMCO FUNDS	PIMCO INVESTMENT GRADE CREDIT BD FD CL I2	I2	NO LOAD	OPEN	1600	0	0
PIGIX	PIMCO FUNDS	PIMCO INVESTMENT GRADE CREDIT BD FD INST	INSTITUTIONAL	NO LOAD	OPEN	1600	0	0
PCNNX	PIMCO FUNDS	PIMCO INVESTMENT GRADE CREDIT BOND I3	I3	NO LOAD	OPEN	1600	0	0
PLRAX	PIMCO FUNDS	PIMCO LONG DURATION TOTAL RETURN A	A	LOAD	OPEN	1600	0	0
PLRCX	PIMCO FUNDS	PIMCO LONG DURATION TOTAL RETURN C	C	LOAD	OPEN	1600	0	0
PLRPX	PIMCO FUNDS	PIMCO LONG DURATION TOTAL RETURN FD CL I2	I2	NO LOAD	OPEN	1600	0	0
PLRIX	PIMCO FUNDS	PIMCO LONG DURATION TOTAL RETURN INSTL	INSTITUTIONAL	NO LOAD	OPEN	1600	0	0
PTCIX	PIMCO FUNDS	PIMCO LONG TERM CREDIT BD FD INST	INSTITUTIONAL	NO LOAD	OPEN	1600	0	0
PLCPX	PIMCO FUNDS	PIMCO LONG TERM CREDIT BOND I2	I2	NO LOAD	OPEN	1600	0	0
P RTPX	PIMCO FUNDS	PIMCO LONG TERM REAL RETURN FD CL I2	I2	NO LOAD	OPEN	1600	0	0
PRAIX	PIMCO FUNDS	PIMCO LONG TERM REAL RETURN FD INST	INSTITUTIONAL	NO LOAD	OPEN	1600	0	0
PFGAX	PIMCO FUNDS	PIMCO LONG TERM U.S. GOVT A	A	LOAD	OPEN	1600	0	0
PLTPX	PIMCO FUNDS	PIMCO LONG TERM US GOVT FD CL I2	I2	NO LOAD	OPEN	1600	0	0
PGOVX	PIMCO FUNDS	PIMCO LONG TERM US GOVT INSTL CLASS	INSTITUTIONAL	NO LOAD	OPEN	1600	0	0
PFGCX	PIMCO FUNDS	PIMCO LONGTERM U.S. GOVERNMENT FUND CLASS C	C	LOAD	OPEN	1600	0	0

SYMBOL	FUND FAMILY	FUND DESCRIPTION	SHARE CLASS	FUND TYPE	STATUS	CUT-OFF	IRA MIN	NON-IRA MIN
PSRZX	PIMCO FUNDS	PIMCO LOW DURATION CREDIT A	A	LOAD	OPEN	1600	0	0
PSRWX	PIMCO FUNDS	PIMCO LOW DURATION CREDIT C	C	LOAD	OPEN	1600	0	0
PSRPX	PIMCO FUNDS	PIMCO LOW DURATION CREDIT I2	I2	NO LOAD	OPEN	1600	0	0
PSRIX	PIMCO FUNDS	PIMCO LOW DURATION CREDIT INST	INSTITUTIONAL	NO LOAD	OPEN	1600	0	0
PLUPX	PIMCO FUNDS	PIMCO LOW DURATION ESG FD I2	I2	NO LOAD	OPEN	1600	0	0
PLDIX	PIMCO FUNDS	PIMCO LOW DURATION ESG FD INST	INSTITUTIONAL	NO LOAD	OPEN	1600	0	0
PLDPX	PIMCO FUNDS	PIMCO LOW DURATION FD CL I2	I2	NO LOAD	OPEN	1600	0	0
PDFAX	PIMCO FUNDS	PIMCO LOW DURATION FD II ADMIN	ADMINISTRATIVE	NO LOAD	OPEN	1600	0	0
PLDAX	PIMCO FUNDS	PIMCO LOW DURATION FUND ADM CL	ADMINISTRATIVE	NO LOAD	OPEN	1600	0	0
PTLAX	PIMCO FUNDS	PIMCO LOW DURATION FUND CL A	A	LOAD	OPEN	1600	0	0
PTLCX	PIMCO FUNDS	PIMCO LOW DURATION FUND CLASS C	C	LOAD	OPEN	1600	0	0
PTLDX	PIMCO FUNDS	PIMCO LOW DURATION FUND INSTITUTIONAL CLASS	INSTITUTIONAL	NO LOAD	OPEN	1600	0	0
PTLNX	PIMCO FUNDS	PIMCO LOW DURATION I3	I3	NO LOAD	OPEN	1600	0	0
PLDTX	PIMCO FUNDS	PIMCO LOW DURATION II FUND INSTITUTIONAL CLASS	INSTITUTIONAL	NO LOAD	OPEN	1600	0	0
PFTPX	PIMCO FUNDS	PIMCO LOW DURATION INCM FD I2	I2	NO LOAD	OPEN	1600	0	0
PFIAX	PIMCO FUNDS	PIMCO LOW DURATION INCOME FD CL A	A	LOAD	OPEN	1600	0	0
PFNCX	PIMCO FUNDS	PIMCO LOW DURATION INCOME FD CL C	C	LOAD	OPEN	1600	0	0
PFIIX	PIMCO FUNDS	PIMCO LOW DURATION INCOME FD INSTL CL	INSTITUTIONAL	NO LOAD	OPEN	1600	0	0
PFNIX	PIMCO FUNDS	PIMCO LOW DURATION INCOME I3	I3	NO LOAD	OPEN	1600	100000	100000
ATMAX	PIMCO FUNDS	PIMCO LOW DURATION OPPORTUNITIES A	A	LOAD	OPEN	1600	0	0
ATMCX	PIMCO FUNDS	PIMCO LOW DURATION OPPORTUNITIES C	C	LOAD	OPEN	1600	0	0
PUTPX	PIMCO FUNDS	PIMCO LOW DURATION OPPORTUNITIES I2	I2	NO LOAD	OPEN	1600	0	0
PUTIX	PIMCO FUNDS	PIMCO LOW DURATION OPPORTUNITIES INST	INSTITUTIONAL	NO LOAD	OPEN	1600	0	0
PLDRX	PIMCO FUNDS	PIMCO LOW DURATION R	R	NO LOAD	REDEEM ONLY	1600	0	0
PMOPX	PIMCO FUNDS	PIMCO MODERATE DURATION FD CL I2	I2	NO LOAD	OPEN	1600	0	0
PMDRX	PIMCO FUNDS	PIMCO MODERATE DURATION INSTITUTIONAL CLASS	INSTITUTIONAL	NO LOAD	OPEN	1600	0	0
PMZCX	PIMCO FUNDS	PIMCO MORTGAGE OPPORTUNITIES AND BD C	C	LOAD	OPEN	1600	0	0
PMZNX	PIMCO FUNDS	PIMCO MORTGAGE OPPORTUNITIES AND BD I3	I3	NO LOAD	OPEN	1600	0	0
PMZAX	PIMCO FUNDS	PIMCO MORTGAGE OPPTY AND BD FD CL A	A	LOAD	OPEN	1600	0	0
PMZPX	PIMCO FUNDS	PIMCO MORTGAGE OPPTY AND BD FD CL I2	I2	NO LOAD	OPEN	1600	0	0
PMZIX	PIMCO FUNDS	PIMCO MORTGAGE OPPTY AND BD FD INST	INSTITUTIONAL	NO LOAD	OPEN	1600	0	0
PMUPX	PIMCO FUNDS	PIMCO MUNI BD I2	I2	NO LOAD	OPEN	1600	0	0
PFMIX	PIMCO FUNDS	PIMCO MUNICIPAL BOND FND INSTITUTIONAL CLASS	INSTITUTIONAL	NO LOAD	OPEN	1600	0	0
PMLAX	PIMCO FUNDS	PIMCO MUNICIPAL BOND FUND CLASS A	A	LOAD	OPEN	1600	0	0
PMLCX	PIMCO FUNDS	PIMCO MUNICIPAL BOND FUND CLASS C	C	LOAD	OPEN	1600	0	0
PMUNX	PIMCO FUNDS	PIMCO MUNICIPAL BOND I3	I3	NO LOAD	OPEN	1600	0	0
PMNTX	PIMCO FUNDS	PIMCO NATIONAL INTERM MUNI BD A	A	LOAD	OPEN	1600	0	0
PMNIX	PIMCO FUNDS	PIMCO NATIONAL INTERM MUNI BD INSTL	INSTITUTIONAL	NO LOAD	OPEN	1600	0	0
PMNPX	PIMCO FUNDS	PIMCO NATIONAL INTERM MUNI BOND I2	I2	NO LOAD	OPEN	1600	0	0
GNMVX	PIMCO FUNDS	PIMCO NATIONAL MUNICIPAL INTERMEDIATE VALUE INST	INSTITUTIONAL	NO LOAD	REDEEM ONLY	1600	250000	250000
GNMFX	PIMCO FUNDS	PIMCO NATIONAL MUNICIPAL OPPORTUNISTIC VALUE INST	INSTITUTIONAL	NO LOAD	REDEEM ONLY	1600	250000	250000

SYMBOL	FUND FAMILY	FUND DESCRIPTION	SHARE CLASS	FUND TYPE	STATUS	CUT- OFF	IRA MIN	NON-IRA MIN
PNYAX	PIMCO FUNDS	PIMCO NEW YORK MUNICIPAL BOND FD CL A	A	LOAD	OPEN	1600	0	0
PNYNX	PIMCO FUNDS	PIMCO NEW YORK MUNICIPAL I3	I3	NO LOAD	OPEN	1600	0	0
PBFCX	PIMCO FUNDS	PIMCO NY MUNI BOND CL C	C	LOAD	OPEN	1600	0	0
PNYPX	PIMCO FUNDS	PIMCO NY MUNICIPAL BD I2	I2	NO LOAD	OPEN	1600	0	0
PNYIX	PIMCO FUNDS	PIMCO NY MUNICIPAL FUND INSTL CL	INSTITUTIONAL	NO LOAD	OPEN	1600	0	0
PFCJX	PIMCO FUNDS	PIMCO PREFERRED AND CAPITAL SECS C	C	LOAD	OPEN	1600	0	0
PFPNX	PIMCO FUNDS	PIMCO PREFERRED AND CAPITAL SECS I2	I2	NO LOAD	OPEN	1600	0	0
PFNNX	PIMCO FUNDS	PIMCO PREFERRED AND CAPITAL SECS I3	I3	NO LOAD	OPEN	1600	0	0
PFANX	PIMCO FUNDS	PIMCO PREFERRED AND CAPITAL SECURITIES FUND A	A	LOAD	OPEN	1600	0	0
PFINX	PIMCO FUNDS	PIMCO PREFERRED AND CAPITAL SECURITIES FUND INSTL	INSTITUTIONAL	NO LOAD	OPEN	1600	0	0
PEPFX	PIMCO FUNDS	PIMCO RAE EMERGING MARKETS I2	I2	NO LOAD	OPEN	1600	0	0
PEAFX	PIMCO FUNDS	PIMCO RAE EMRG MKTS FD CL A	A	LOAD	OPEN	1600	0	0
PEIFX	PIMCO FUNDS	PIMCO RAE EMRG MKTS FD INST	INSTITUTIONAL	NO LOAD	OPEN	1600	0	0
PTFAX	PIMCO FUNDS	PIMCO RAE FUNDAMENTAL ADVTG PLUS A	A	LOAD	OPEN	1600	0	0
PFATX	PIMCO FUNDS	PIMCO RAE FUNDAMENTAL ADVTG PLUS INST	INSTITUTIONAL	NO LOAD	OPEN	1600	0	0
PZRIX	PIMCO FUNDS	PIMCO RAE GLOBAL EX US FD INST	INSTITUTIONAL	NO LOAD	OPEN	1600	0	0
PZRAX	PIMCO FUNDS	PIMCO RAE GLOBAL EXUS A	A	LOAD	OPEN	1600	0	0
PPYAX	PIMCO FUNDS	PIMCO RAE INTL A	A	LOAD	OPEN	1600	0	0
PPYIX	PIMCO FUNDS	PIMCO RAE INTL FD INST	INSTITUTIONAL	NO LOAD	OPEN	1600	0	0
PPYPX	PIMCO FUNDS	PIMCO RAE INTL I2	I2	NO LOAD	OPEN	1600	0	0
PEFCX	PIMCO FUNDS	PIMCO RAE PLUS EMG C	C	LOAD	OPEN	1600	0	0
PEFFX	PIMCO FUNDS	PIMCO RAE PLUS EMG FD CL A	A	LOAD	OPEN	1600	0	0
PEFPX	PIMCO FUNDS	PIMCO RAE PLUS EMG FD CL I2	I2	NO LOAD	OPEN	1600	0	0
PEFIX	PIMCO FUNDS	PIMCO RAE PLUS EMG FD INST	INSTITUTIONAL	NO LOAD	OPEN	1600	0	0
PIXAX	PIMCO FUNDS	PIMCO RAE PLUS FD CL A	A	LOAD	OPEN	1600	0	0
PIXCX	PIMCO FUNDS	PIMCO RAE PLUS FD CL C	C	LOAD	OPEN	1600	0	0
PIXPX	PIMCO FUNDS	PIMCO RAE PLUS FD CL I2	I2	NO LOAD	OPEN	1600	0	0
PXTIX	PIMCO FUNDS	PIMCO RAE PLUS FD INST	INSTITUTIONAL	NO LOAD	OPEN	1600	0	0
PXTNX	PIMCO FUNDS	PIMCO RAE PLUS I3	I3	NO LOAD	OPEN	1600	0	0
PTIPX	PIMCO FUNDS	PIMCO RAE PLUS INTERNATIONAL I2	I2	NO LOAD	OPEN	1600	0	0
PTSOX	PIMCO FUNDS	PIMCO RAE PLUS INTL FD CL A	A	LOAD	OPEN	1600	0	0
PTSIX	PIMCO FUNDS	PIMCO RAE PLUS INTL FD INST	INSTITUTIONAL	NO LOAD	OPEN	1600	0	0
PCFEX	PIMCO FUNDS	PIMCO RAE PLUS SMALL C	C	LOAD	OPEN	1600	0	0
PCFAX	PIMCO FUNDS	PIMCO RAE PLUS SMALL FD CL A	A	LOAD	OPEN	1600	0	0
PCFIX	PIMCO FUNDS	PIMCO RAE PLUS SMALL FD INST	INSTITUTIONAL	NO LOAD	OPEN	1600	0	0
PCCPX	PIMCO FUNDS	PIMCO RAE PLUS SMALL I2	I2	NO LOAD	OPEN	1600	0	0
PKAAX	PIMCO FUNDS	PIMCO RAE US A	A	LOAD	OPEN	1600	0	0
PKAIX	PIMCO FUNDS	PIMCO RAE US FD INST	INSTITUTIONAL	NO LOAD	OPEN	1600	0	0
PKAPX	PIMCO FUNDS	PIMCO RAE US I2	I2	NO LOAD	OPEN	1600	0	0
PMJAX	PIMCO FUNDS	PIMCO RAE US SMALL A	A	LOAD	OPEN	1600	0	0
PMJIX	PIMCO FUNDS	PIMCO RAE US SMALL FD INST	INSTITUTIONAL	NO LOAD	OPEN	1600	0	0

SYMBOL	FUND FAMILY	FUND DESCRIPTION	SHARE CLASS	FUND TYPE	STATUS	CUT- OFF	IRA MIN	NON-IRA MIN
PMJPX	PIMCO FUNDS	PIMCO RAE US SMALL I2	I2	NO LOAD	OPEN	1600	0	0
PWLEX	PIMCO FUNDS	PIMCO RAE WLDWD LONG SHORT PLUC C	C	LOAD	OPEN	1600	0	0
PWLBX	PIMCO FUNDS	PIMCO RAE WLDWD LONG SHORT PLUS A	A	LOAD	OPEN	1600	0	0
PWLMX	PIMCO FUNDS	PIMCO RAE WLDWD LONG SHORT PLUS I2	I2	NO LOAD	OPEN	1600	0	0
PWLIX	PIMCO FUNDS	PIMCO RAE WLDWD LONG SHORT PLUS INST	INSTITUTIONAL	NO LOAD	OPEN	1600	0	0
PETPX	PIMCO FUNDS	PIMCO REAL ESTATE REAL RETURN STRAT FD CL I2	I2	NO LOAD	OPEN	1600	0	0
PETAX	PIMCO FUNDS	PIMCO REAL ESTATE REAL RETURN STRATEGY CLASS A	A	LOAD	OPEN	1600	0	0
PETCX	PIMCO FUNDS	PIMCO REAL ESTATE REAL RETURN STRATEGY CLASS C	C	LOAD	OPEN	1600	0	0
PRRSX	PIMCO FUNDS	PIMCO REAL ESTATE REAL RETURN STRATEGY FD CL I	INSTITUTIONAL	NO LOAD	OPEN	1600	0	0
PNRNX	PIMCO FUNDS	PIMCO REAL ESTATE REAL RETURNSTRATEGYI3	I3	NO LOAD	OPEN	1600	0	0
PRTNX	PIMCO FUNDS	PIMCO REAL RETURN BOND FUND CL A	A	LOAD	OPEN	1600	0	0
PARRX	PIMCO FUNDS	PIMCO REAL RETURN FD ADMIN	ADMINISTRATIVE	NO LOAD	REDEEM ONLY	1600	0	0
PRLPX	PIMCO FUNDS	PIMCO REAL RETURN FD CL I2	I2	NO LOAD	OPEN	1600	0	0
PRTCX	PIMCO FUNDS	PIMCO REAL RETURN FUND CLASS C	C	LOAD	OPEN	1600	0	0
PRRIX	PIMCO FUNDS	PIMCO REAL RETURN FUND INSTITUTIONAL CLASS	INSTITUTIONAL	NO LOAD	OPEN	1600	0	0
PRNPX	PIMCO FUNDS	PIMCO REAL RETURN I3	I3	NO LOAD	OPEN	1600	0	0
PRRRX	PIMCO FUNDS	PIMCO REAL RETURN R	R	NO LOAD	REDEEM ONLY	1600	0	0
PPZAX	PIMCO FUNDS	PIMCO REALPATH BLEND 2025 A	A	LOAD	OPEN	1600	0	0
PPZRX	PIMCO FUNDS	PIMCO REALPATH BLEND 2025 FD INST	INSTITUTIONAL	NO LOAD	OPEN	1600	0	0
PBPAX	PIMCO FUNDS	PIMCO REALPATH BLEND 2030 A	A	LOAD	OPEN	1600	0	0
PBPNX	PIMCO FUNDS	PIMCO REALPATH BLEND 2030 FD INST	INSTITUTIONAL	NO LOAD	OPEN	1600	0	0
PDGAX	PIMCO FUNDS	PIMCO REALPATH BLEND 2035 A	A	LOAD	OPEN	1600	0	0
PDGZX	PIMCO FUNDS	PIMCO REALPATH BLEND 2035 FD INST	INSTITUTIONAL	NO LOAD	OPEN	1600	0	0
PVPAX	PIMCO FUNDS	PIMCO REALPATH BLEND 2040 A	A	LOAD	OPEN	1600	0	0
PVPNX	PIMCO FUNDS	PIMCO REALPATH BLEND 2040 FD INST	INSTITUTIONAL	NO LOAD	OPEN	1600	0	0
PVQAX	PIMCO FUNDS	PIMCO REALPATH BLEND 2045 A	A	LOAD	OPEN	1600	0	0
PVQNX	PIMCO FUNDS	PIMCO REALPATH BLEND 2045 FD INST	INSTITUTIONAL	NO LOAD	OPEN	1600	0	0
PPQAX	PIMCO FUNDS	PIMCO REALPATH BLEND 2050 A	A	LOAD	OPEN	1600	0	0
PPQZX	PIMCO FUNDS	PIMCO REALPATH BLEND 2050 FD INST	INSTITUTIONAL	NO LOAD	OPEN	1600	0	0
PRQAX	PIMCO FUNDS	PIMCO REALPATH BLEND 2055 A	A	LOAD	OPEN	1600	0	0
PRQZX	PIMCO FUNDS	PIMCO REALPATH BLEND 2055 FD INST	INSTITUTIONAL	NO LOAD	OPEN	1600	0	0
PRBAX	PIMCO FUNDS	PIMCO REALPATH BLEND 2060 A	A	LOAD	OPEN	1600	0	0
PRBMX	PIMCO FUNDS	PIMCO REALPATH BLEND 2060 FD INST	INSTITUTIONAL	NO LOAD	REDEEM ONLY	1600	0	0
PBLIX	PIMCO FUNDS	PIMCO REALPATH BLEND 2065 INSTITUTIONAL	INSTITUTIONAL	NO LOAD	REDEEM ONLY	1600	1000000	1000000
PBRNX	PIMCO FUNDS	PIMCO REALPATH BLEND INCM FD INST	INSTITUTIONAL	NO LOAD	OPEN	1600	0	0
PBRAX	PIMCO FUNDS	PIMCO REALPATH BLEND INCOME A	A	LOAD	OPEN	1600	0	0
PANDX	PIMCO FUNDS	PIMCO SHORT ASSET INVESTMENT I3	I3	NO LOAD	OPEN	1600	0	0
PAIAX	PIMCO FUNDS	PIMCO SHORT ASSET INVT FD A	A	NO LOAD	OPEN	1600	0	0
PAIQX	PIMCO FUNDS	PIMCO SHORT ASSET INVT FD ADMIN	ADMINISTRATIVE	NO LOAD	OPEN	1600	0	0
PAIPX	PIMCO FUNDS	PIMCO SHORT ASSET INVT FD I2	I2	NO LOAD	OPEN	1600	0	0
PAIDX	PIMCO FUNDS	PIMCO SHORT ASSET INVT FD INST	INSTITUTIONAL	NO LOAD	OPEN	1600	0	0

SYMBOL	FUND FAMILY	FUND DESCRIPTION	SHARE CLASS	FUND TYPE	STATUS	CUT- OFF	IRA MIN	NON-IRA MIN
PSDPX	PIMCO FUNDS	PIMCO SHORT DURATION MUNI INCM CL I2	I2	NO LOAD	OPEN	1600	0	0
PSDUX	PIMCO FUNDS	PIMCO SHORT DURATION MUNI INCOME I3	I3	NO LOAD	OPEN	1600	0	0
PSDIX	PIMCO FUNDS	PIMCO SHORT DURATION MUNICIPAL INCOME FD INSTL CL	INSTITUTIONAL	NO LOAD	OPEN	1600	0	0
PSDAX	PIMCO FUNDS	PIMCO SHORT DURATION MUNICIPAL INCOME FUND CLASS A	A	LOAD	OPEN	1600	0	0
PSDCX	PIMCO FUNDS	PIMCO SHORT DURATION MUNICIPAL INCOME FUND CLASS C	C	LOAD	OPEN	1600	0	0
PSFAX	PIMCO FUNDS	PIMCO SHORT TERM ADMIN	ADMINISTRATIVE	NO LOAD	OPEN	1600	0	0
PTSPX	PIMCO FUNDS	PIMCO SHORT TERM FD CL I2	I2	NO LOAD	OPEN	1600	0	0
PSHAX	PIMCO FUNDS	PIMCO SHORT TERM FUND CL A	A	LOAD	OPEN	1600	0	0
PTSHX	PIMCO FUNDS	PIMCO SHORT TERM FUND INSTITUTIONAL CLASS	INSTITUTIONAL	NO LOAD	OPEN	1600	0	0
PTSNX	PIMCO FUNDS	PIMCO SHORT TERM I3	I3	NO LOAD	OPEN	1600	0	0
PTSRX	PIMCO FUNDS	PIMCO SHORT TERM R	R	NO LOAD	REDEEM ONLY	1600	0	0
PFTCX	PIMCO FUNDS	PIMCO SHORTTERM FUND CLASS C	C	LOAD	OPEN	1600	0	0
PTOPX	PIMCO FUNDS	PIMCO STKSPLUS ABSOLUTE RETURN FD CL I2	I2	NO LOAD	OPEN	1600	0	0
PSKPX	PIMCO FUNDS	PIMCO STKSPLUS FD CL I2	I2	NO LOAD	OPEN	1600	0	0
PSLDX	PIMCO FUNDS	PIMCO STKSPLUS LONG DURATION FD INSTL	INSTITUTIONAL	NO LOAD	OPEN	1600	0	0
PTOAX	PIMCO FUNDS	PIMCO STOCKPLUS ABSOLUTE RETURN FD CL A	A	LOAD	OPEN	1600	0	0
PSOCX	PIMCO FUNDS	PIMCO STOCKPLUS ABSOLUTE RETURN FD CL C	C	LOAD	OPEN	1600	0	0
PSPCX	PIMCO FUNDS	PIMCO STOCKPLUS FUND CLASS C	C	LOAD	OPEN	1600	0	0
PSPAX	PIMCO FUNDS	PIMCO STOCKS PLUS FUND CL A	A	LOAD	OPEN	1600	0	0
PSTKX	PIMCO FUNDS	PIMCO STOCKS PLUS FUND INSTL CL	INSTITUTIONAL	NO LOAD	OPEN	1600	0	0
PSPTX	PIMCO FUNDS	PIMCO STOCKSPLUS ABSOLUTE RETURN FD INSTL CL	INSTITUTIONAL	NO LOAD	OPEN	1600	0	0
PSPNX	PIMCO FUNDS	PIMCO STOCKSPLUS ABSOLUTE RETURN I3	I3	NO LOAD	OPEN	1600	0	0
PSTNX	PIMCO FUNDS	PIMCO STOCKSPLUS I3	I3	NO LOAD	OPEN	1600	0	0
PPUAX	PIMCO FUNDS	PIMCO STOCKSPLUS INTL FD UNHEDGED A	A	LOAD	OPEN	1600	0	0
PPUCX	PIMCO FUNDS	PIMCO STOCKSPLUS INTL FD UNHEDGED C	C	LOAD	OPEN	1600	0	0
PPLPX	PIMCO FUNDS	PIMCO STOCKSPLUS INTL FD UNHEDGED FD CL I2	I2	NO LOAD	OPEN	1600	0	0
PSKIX	PIMCO FUNDS	PIMCO STOCKSPLUS INTL FD UNHEDGED I	INSTITUTIONAL	NO LOAD	OPEN	1600	0	0
PIPAX	PIMCO FUNDS	PIMCO STOCKSPLUS INTL FD USD HEDGED A	A	LOAD	OPEN	1600	0	0
PIPCX	PIMCO FUNDS	PIMCO STOCKSPLUS INTL FD USD HEDGED C	C	LOAD	OPEN	1600	0	0
PIUHX	PIMCO FUNDS	PIMCO STOCKSPLUS INTL FD USD HEDGED FD CL I2	I2	NO LOAD	OPEN	1600	0	0
PISIX	PIMCO FUNDS	PIMCO STOCKSPLUS INTL FD USD HEDGED I	INSTITUTIONAL	NO LOAD	OPEN	1600	0	0
PSKNX	PIMCO FUNDS	PIMCO STOCKSPLUS INTL UNHEDGED I3	I3	NO LOAD	OPEN	1600	0	0
PISNX	PIMCO FUNDS	PIMCO STOCKSPLUS INTL USDHEDGED I3	I3	NO LOAD	OPEN	1600	0	0
PSPRX	PIMCO FUNDS	PIMCO STOCKSPLUS R	R	NO LOAD	REDEEM ONLY	1600	0	0
PSSAX	PIMCO FUNDS	PIMCO STOCKSPLUS SHORT A	A	LOAD	OPEN	1600	0	0
PSSCX	PIMCO FUNDS	PIMCO STOCKSPLUS SHORT C	C	LOAD	OPEN	1600	0	0
PSPLX	PIMCO FUNDS	PIMCO STOCKSPLUS SHORT FD CL I2	I2	NO LOAD	OPEN	1600	0	0
PSNNX	PIMCO FUNDS	PIMCO STOCKSPLUS SHORT I3	I3	NO LOAD	OPEN	1600	0	0
PSTIX	PIMCO FUNDS	PIMCO STOCKSPLUS SHORT INST	INSTITUTIONAL	NO LOAD	OPEN	1600	0	0
PCKAX	PIMCO FUNDS	PIMCO STOCKSPLUS SMALL A	A	LOAD	OPEN	1600	0	0
PCKCX	PIMCO FUNDS	PIMCO STOCKSPLUS SMALL C	C	LOAD	OPEN	1600	0	0

SYMBOL	FUND FAMILY	FUND DESCRIPTION	SHARE CLASS	FUND TYPE	STATUS	CUT- OFF	IRA MIN	NON-IRA MIN
PCKPX	PIMCO FUNDS	PIMCO STOCKSPLUS SMALL FD CL I2	I2	NO LOAD	OPEN	1600	0	0
PSNSX	PIMCO FUNDS	PIMCO STOCKSPLUS SMALL I3	I3	NO LOAD	OPEN	1600	0	0
PSCSX	PIMCO FUNDS	PIMCO STOCKSPLUS SMALL INST	INSTITUTIONAL	NO LOAD	OPEN	1600	0	0
PTTAX	PIMCO FUNDS	PIMCO TOTAL RETURN CL A	A	LOAD	OPEN	1600	0	0
PTGAX	PIMCO FUNDS	PIMCO TOTAL RETURN ESG A	A	LOAD	OPEN	1600	0	0
PTGCX	PIMCO FUNDS	PIMCO TOTAL RETURN ESG C	C	LOAD	OPEN	1600	0	0
PRFAX	PIMCO FUNDS	PIMCO TOTAL RETURN ESG FD ADMIN	ADMINISTRATIVE	NO LOAD	OPEN	1600	0	0
PRAPX	PIMCO FUNDS	PIMCO TOTAL RETURN ESG FD CL I2	I2	NO LOAD	OPEN	1600	0	0
PTSAX	PIMCO FUNDS	PIMCO TOTAL RETURN ESG FD INST	INSTITUTIONAL	NO LOAD	OPEN	1600	0	0
PTRSX	PIMCO FUNDS	PIMCO TOTAL RETURN ESG I3	I3	NO LOAD	OPEN	1600	0	0
PMTPX	PIMCO FUNDS	PIMCO TOTAL RETURN FD II FD CL I2	I2	NO LOAD	OPEN	1600	0	0
PTRAX	PIMCO FUNDS	PIMCO TOTAL RETURN FUND ADM CL	ADMINISTRATIVE	NO LOAD	OPEN	1600	0	0
PTTCX	PIMCO FUNDS	PIMCO TOTAL RETURN FUND CLASS C	C	LOAD	OPEN	1600	0	0
PPTPX	PIMCO FUNDS	PIMCO TOTAL RETURN FUND FD CL I2	I2	NO LOAD	OPEN	1600	0	0
PTRRX	PIMCO FUNDS	PIMCO TOTAL RETURN FUND INSTITUTIONAL CLASS	INSTITUTIONAL	NO LOAD	OPEN	1600	0	0
PTUZX	PIMCO FUNDS	PIMCO TOTAL RETURN FUND IV CL A	A	LOAD	OPEN	1600	0	0
PTUIX	PIMCO FUNDS	PIMCO TOTAL RETURN FUND IV INST	INSTITUTIONAL	NO LOAD	OPEN	1600	0	0
PTTNX	PIMCO FUNDS	PIMCO TOTAL RETURN I3	I3	NO LOAD	OPEN	1600	0	0
PMBIX	PIMCO FUNDS	PIMCO TOTAL RETURN II FUND INSTITUTIONAL CLASS	INSTITUTIONAL	NO LOAD	OPEN	1600	0	0
PTRRX	PIMCO FUNDS	PIMCO TOTAL RETURN R	R	NO LOAD	REDEEM ONLY	1600	0	0
PPEIX	PIMCO FUNDS	PIMCO TOTAL RETURN V INSTITUTIONAL	INSTITUTIONAL	NO LOAD	OPEN	1600	0	0
PQTPX	PIMCO FUNDS	PIMCO TRENDS MANAGED FUTURES STRAT I2	I2	NO LOAD	OPEN	1600	0	0
PQTNX	PIMCO FUNDS	PIMCO TRENDS MANAGED FUTURES STRAT I3	I3	NO LOAD	OPEN	1600	0	0
PQTAX	PIMCO FUNDS	PIMCO TRENDS MGD FUTURES STRAT A	A	LOAD	OPEN	1600	0	0
PQTIX	PIMCO FUNDS	PIMCO TRENDS MGD FUTURES STRAT INST	INSTITUTIONAL	NO LOAD	OPEN	1600	0	0
PDAIX	PINEBRIDGE FUNDS	PINEBRIDGE DYNAMIC ASSET ALLOC INST	INSTITUTIONAL	NO LOAD	REDEEM ONLY	1600	1000000	1000000
PDAVX	PINEBRIDGE FUNDS	PINEBRIDGE DYNAMIC ASSET ALLOC INV SVC	INVESTOR	NO LOAD	OPEN	1600	100000	100000
PVFIX	PINNACLE	PINNACLE VALUE	NO LOAD	NO LOAD	OPEN	1600	1500	2500
MNBCX	PIONEER	PIONEER AMT FREE MUNI C	C	LOAD	OPEN	1600	250	1000
PBYMX	PIONEER	PIONEER AMT FREE MUNI Y	Y	NO LOAD	OPEN	1600	0	0
PBMFX	PIONEER	PIONEER AMTFREE MUNI FUND CL A	A	LOAD	OPEN	1600	0	0
AOBLX	PIONEER	PIONEER BALANCED ESG FUND CL A	A	LOAD	OPEN	1600	0	0
PCBCX	PIONEER	PIONEER BALANCED ESG FUND CL C	C	LOAD	OPEN	1600	250	1000
AYBLX	PIONEER	PIONEER BALANCED ESG FUND CL Y	Y	NO LOAD	OPEN	1600	0	0
PCBKX	PIONEER	PIONEER BALANCED ESG K	K	NO LOAD	REDEEM ONLY	1600	0	0
CBPRX	PIONEER	PIONEER BALANCED ESG R	R	NO LOAD	REDEEM ONLY	1600	0	0
PIOBX	PIONEER	PIONEER BOND FUND CL A	A	LOAD	OPEN	1600	0	0
PBFKX	PIONEER	PIONEER BOND FUND CL K	K	NO LOAD	REDEEM ONLY	1600	0	0
PBFRX	PIONEER	PIONEER BOND FUND CL R	R	NO LOAD	REDEEM ONLY	1600	0	0
PICYX	PIONEER	PIONEER BOND FUND CL Y	Y	NO LOAD	OPEN	1600	0	0
PCYBX	PIONEER	PIONEER BOND FUND CLASS C	C	LOAD	OPEN	1600	250	1000

SYMBOL	FUND FAMILY	FUND DESCRIPTION	SHARE CLASS	FUND TYPE	STATUS	CUT- OFF	IRA MIN	NON-IRA MIN
CBYYX	PIONEER	PIONEER CAT BOND Y	Y	NO LOAD	OPEN	1600	0	0
PIOTX	PIONEER	PIONEER CORE EQUITY FUND CL A	A	LOAD	OPEN	1600	0	0
PCOTX	PIONEER	PIONEER CORE EQUITY FUND CL C	C	LOAD	OPEN	1600	250	1000
PVFX	PIONEER	PIONEER CORE EQUITY FUND CL Y	Y	NO LOAD	OPEN	1600	0	0
CERPX	PIONEER	PIONEER CORE EQUITY R	R	NO LOAD	REDEEM ONLY	1600	0	0
PINDX	PIONEER	PIONEER DISCIPLINED GWTH CL A	A	LOAD	OPEN	1600	0	0
INDCX	PIONEER	PIONEER DISCIPLINED GWTH CL C	C	LOAD	OPEN	1600	250	1000
INYDX	PIONEER	PIONEER DISCIPLINED GWTH CL Y	Y	NO LOAD	OPEN	1600	0	0
CVFCX	PIONEER	PIONEER DISCIPLINED VALUE CL A	A	LOAD	OPEN	1600	0	0
CVCFX	PIONEER	PIONEER DISCIPLINED VALUE CL C	C	LOAD	OPEN	1600	250	1000
CVRFX	PIONEER	PIONEER DISCIPLINED VALUE CL R	R	NO LOAD	REDEEM ONLY	1600	0	0
CVFYX	PIONEER	PIONEER DISCIPLINED VALUE CL Y	Y	NO LOAD	OPEN	1600	0	0
RCRCX	PIONEER	PIONEER DYNAMIC CREDIT C	C	LOAD	OPEN	1600	250	1000
RCRAX	PIONEER	PIONEER DYNAMIC CREDIT FUND CL A	A	LOAD	OPEN	1600	0	0
RCRYX	PIONEER	PIONEER DYNAMIC CREDIT FUND CL Y	Y	NO LOAD	OPEN	1600	0	0
PYEQX	PIONEER	PIONEER EQTY INCM Y	Y	NO LOAD	OPEN	1600	0	0
PEQIX	PIONEER	PIONEER EQUITY INCOME FUND CLASS A	A	LOAD	OPEN	1600	0	0
PCEQX	PIONEER	PIONEER EQUITY INCOME FUND CLASS C	C	LOAD	OPEN	1600	250	1000
PEQKX	PIONEER	PIONEER EQUITY INCOME K	K	NO LOAD	REDEEM ONLY	1600	0	0
PQIRX	PIONEER	PIONEER EQUITY INCOME R	R	NO LOAD	REDEEM ONLY	1600	0	0
PMARX	PIONEER	PIONEER EQUITY PREMIUM INCOME FD A	A	LOAD	OPEN	1600	0	0
PRRCX	PIONEER	PIONEER EQUITY PREMIUM INCOME FD C	C	LOAD	OPEN	1600	250	1000
PMYRX	PIONEER	PIONEER EQUITY PREMIUM INCOME FD Y	Y	NO LOAD	OPEN	1600	0	0
FLARX	PIONEER	PIONEER FLOATING RATE A	A	LOAD	OPEN	1600	0	0
FLRCX	PIONEER	PIONEER FLOATING RATE C	C	LOAD	OPEN	1600	250	1000
FLYRX	PIONEER	PIONEER FLOATING RATE Y	Y	NO LOAD	OPEN	1600	0	0
PIODX	PIONEER	PIONEER FUND CL A	A	LOAD	OPEN	1600	0	0
PIORX	PIONEER	PIONEER FUND CL R	R	NO LOAD	REDEEM ONLY	1600	0	0
PYODX	PIONEER	PIONEER FUND CL Y	Y	NO LOAD	OPEN	1600	0	0
PCODX	PIONEER	PIONEER FUND CLASS C	C	LOAD	OPEN	1600	250	1000
FUNCX	PIONEER	PIONEER FUNDAMENTAL GROWTH C	C	LOAD	OPEN	1600	250	1000
PIGFX	PIONEER	PIONEER FUNDAMENTAL GROWTH FUND CLASS A	A	LOAD	OPEN	1600	0	0
PFGKX	PIONEER	PIONEER FUNDAMENTAL GROWTH K	K	NO LOAD	REDEEM ONLY	1600	0	0
PFGRX	PIONEER	PIONEER FUNDAMENTAL GROWTH R	R	NO LOAD	REDEEM ONLY	1600	0	0
FUNYX	PIONEER	PIONEER FUNDAMENTAL GROWTH Y	Y	NO LOAD	OPEN	1600	0	0
GLOSX	PIONEER	PIONEER GLOBAL SUSTAINABLE EQUITY A	A	LOAD	OPEN	1600	0	0
GCSLX	PIONEER	PIONEER GLOBAL SUSTAINABLE EQUITY C	C	LOAD	OPEN	1600	250	1000
PRGEX	PIONEER	PIONEER GLOBAL SUSTAINABLE EQUITY R	R	NO LOAD	REDEEM ONLY	1600	0	0
PGSYX	PIONEER	PIONEER GLOBAL SUSTAINABLE EQUITY Y	Y	NO LOAD	OPEN	1600	0	0
PIMAX	PIONEER	PIONEER HIGH INCM MUNI A	A	LOAD	OPEN	1600	0	0
HICMX	PIONEER	PIONEER HIGH INCOME MUNI C	C	LOAD	OPEN	1600	250	1000

SYMBOL	FUND FAMILY	FUND DESCRIPTION	SHARE CLASS	FUND TYPE	STATUS	CUT- OFF	IRA MIN	NON-IRA MIN
HIMYX	PIONEER	PIONEER HIGH INCOME MUNI Y	Y	NO LOAD	OPEN	1600	0	0
TYHYX	PIONEER	PIONEER HIGH YIELD CL Y	Y	NO LOAD	OPEN	1600	0	0
TAHYX	PIONEER	PIONEER HIGH YIELD FUND CL A	A	LOAD	OPEN	1600	0	0
PYICX	PIONEER	PIONEER HIGH YIELD FUND CLASS C	C	LOAD	OPEN	1600	250	1000
TYHRX	PIONEER	PIONEER HIGH YIELD R	R	NO LOAD	REDEEM ONLY	1600	0	0
XILSX	PIONEER	PIONEER ILS INTERVAL	COMMON SHARES	NO LOAD	REDEEM ONLY	1600	5000000	5000000
PIEKX	PIONEER	PIONEER INTERNATIONAL EQUITY K	K	NO LOAD	REDEEM ONLY	1600	0	0
INVYX	PIONEER	PIONEER INTL EQTY Y	Y	NO LOAD	OPEN	1600	0	0
PIIFX	PIONEER	PIONEER INTL EQUITY CL A	A	LOAD	OPEN	1600	0	0
PCITX	PIONEER	PIONEER INTL EQUITY CL C	C	LOAD	OPEN	1600	250	1000
HIMUX	PIONEER	PIONEER MAP HIGH INCOME MUNICIPAL	NO LOAD	NO LOAD	REDEEM ONLY	1600	100000	100000
PYCGX	PIONEER	PIONEER MID CAP VAL Y	Y	NO LOAD	OPEN	1600	0	0
PCGRX	PIONEER	PIONEER MID CAP VALUE FUND CL A	A	LOAD	OPEN	1600	0	0
PCMRX	PIONEER	PIONEER MID CAP VALUE FUND CL R	R	NO LOAD	REDEEM ONLY	1600	0	0
PCCGX	PIONEER	PIONEER MID CAP VALUE FUND CLASS C	C	LOAD	OPEN	1600	250	1000
PMAIX	PIONEER	PIONEER MULTI ASSET INCM A	A	LOAD	OPEN	1600	0	0
PMACX	PIONEER	PIONEER MULTI ASSET INCM C	C	LOAD	OPEN	1600	0	0
PMFYX	PIONEER	PIONEER MULTI ASSET INCM Y	Y	NO LOAD	OPEN	1600	0	0
MCFRX	PIONEER	PIONEER MULTI ASSET ULTRASHORT INCM C	C	LOAD	OPEN	1600	250	1000
MAFRX	PIONEER	PIONEER MULTI ASSET ULTRASHORT INCOME FUND CL A	A	NO LOAD	OPEN	1600	0	0
MYFRX	PIONEER	PIONEER MULTI ASSET ULTRASHORT INCOME FUND CL Y	Y	NO LOAD	OPEN	1600	0	0
PMFRX	PIONEER	PIONEER MULTI ASSET INCOME R	R	NO LOAD	REDEEM ONLY	1600	0	0
MAUKX	PIONEER	PIONEER MULTI ASSET ULTRASHORT INCOME K	K	NO LOAD	REDEEM ONLY	1600	5000000	5000000
SYFFX	PIONEER	PIONEER SECURITIZED INCOME Y	Y	NO LOAD	OPEN	1600	0	0
PGOFX	PIONEER	PIONEER SELECT MID CAP GWTH CL A	A	LOAD	OPEN	1600	0	0
GOF CX	PIONEER	PIONEER SELECT MID CAP GWTH CL C	C	LOAD	OPEN	1600	250	1000
PSMKX	PIONEER	PIONEER SELECT MID CAP GWTH CL K	K	NO LOAD	REDEEM ONLY	1600	0	0
PGRRX	PIONEER	PIONEER SELECT MID CAP GWTH CL R	R	NO LOAD	REDEEM ONLY	1600	0	0
GROYX	PIONEER	PIONEER SELECT MID CAP GWTH CL Y	Y	NO LOAD	OPEN	1600	0	0
PSHCX	PIONEER	PIONEER SHORT TERM INCM CL C	C	LOAD	OPEN	1600	250	1000
PSHYX	PIONEER	PIONEER SHORT TERM INCM CL Y	Y	NO LOAD	OPEN	1600	0	0
STABX	PIONEER	PIONEER SHORT TERM INCOME FUND CLASS A	A	NO LOAD	OPEN	1600	0	0
PIALX	PIONEER	PIONEER SOLUTIONS BALA CL A	A	LOAD	OPEN	1600	0	0
PIDCX	PIONEER	PIONEER SOLUTIONS BALA CL C	C	LOAD	OPEN	1600	250	1000
IMOYX	PIONEER	PIONEER SOLUTIONS BALA CL Y	Y	NO LOAD	OPEN	1600	0	0
BALRX	PIONEER	PIONEER SOLUTIONS BALANCED R	R	NO LOAD	REDEEM ONLY	1600	0	0
STRYX	PIONEER	PIONEER STRATEGIC INCM Y	Y	NO LOAD	OPEN	1600	0	0
STRKX	PIONEER	PIONEER STRATEGIC INCOME FD CL K	K	NO LOAD	REDEEM ONLY	1600	0	0
STIRX	PIONEER	PIONEER STRATEGIC INCOME FD CL R	R	NO LOAD	REDEEM ONLY	1600	0	0
PSRCX	PIONEER	PIONEER STRATEGIC INCOME FUND CLASS C	C	LOAD	OPEN	1600	250	1000
PSRAX	PIONEER	PIONEER STRATEGIC INCOME CL A	A	LOAD	OPEN	1600	0	0

SYMBOL	FUND FAMILY	FUND DESCRIPTION	SHARE CLASS	FUND TYPE	STATUS	CUT- OFF	IRA MIN	NON-IRA MIN
PMTXX	PIONEER	PIONEER US GOVT MONEY MKT A	A	LOAD	OPEN	1600	0	0
PLABX	PLUMB	PLUMB BALANCED A	A	LOAD	OPEN	1600	0	0
PLBBX	PLUMB	PLUMB BALANCED FD	NO LOAD	NO LOAD	OPEN	1600	100	100
PLIBX	PLUMB	PLUMB BALANCED INSTITUTIONAL	INSTITUTIONAL	NO LOAD	OPEN	1600	0	0
PLBEX	PLUMB	PLUMB EQTY FD	NO LOAD	NO LOAD	OPEN	1600	100	100
PLIEX	PLUMB	PLUMB EQUITY INSTITUTIONAL	INSTITUTIONAL	NO LOAD	OPEN	1600	0	0
POLEX	POLAR CAPITAL	POLAR CAPITAL EMERGING MARKET STRS INSTL	INSTITUTIONAL	NO LOAD	OPEN	1600	100000	100000
PGVFX	POLARIS FUNDS	POLARIS GLOBAL VALUE INVESTOR SHARE	INVESTOR	NO LOAD	OPEN	1600	2000	2500
PCOFX	POLEN CREDIT	POLEN CREDIT OPPORTUNITIES INSTITUTIONAL	INSTITUTIONAL CC	NO LOAD	REDEEM ONLY	1600	0	0
PGEIX	POLEN FUNDS	FUNDVANTAGE POLEN EMERGING MARKETS GROWTH INST	INSTITUTIONAL	NO LOAD	OPEN	1600	100000	100000
DDJCX	POLEN FUNDS	FUNDVANTAGE TR	INSTITUTIONAL	NO LOAD	OPEN	1600	0	0
DDJRX	POLEN FUNDS	FUNDVANTAGE TR	INVESTOR	NO LOAD	OPEN	1600	0	0
DDJIX	POLEN FUNDS	FUNDVANTAGE TR	Y	NO LOAD	OPEN	1600	1000000	1000000
PGIIX	POLEN FUNDS	POLEN GLOBAL GROWTH FD INST	I	NO LOAD	OPEN	1600	0	0
PGIRX	POLEN FUNDS	POLEN GLOBAL GROWTH FD INV	INVESTOR	NO LOAD	OPEN	1600	0	0
POLIX	POLEN FUNDS	POLEN GROWTH FD INST CL SHRS	I	NO LOAD	OPEN	1600	0	0
POLRX	POLEN FUNDS	POLEN GROWTH FD RETAIL CL SHRS	RETAIL	NO LOAD	OPEN	1600	100	100
POIIX	POLEN FUNDS	POLEN INTL GROWTH INST	I	NO LOAD	OPEN	1600	0	0
POIRX	POLEN FUNDS	POLEN INTL GROWTH INV	INVESTOR	NO LOAD	OPEN	1600	100	100
PBBIX	POLEN FUNDS	POLEN U.S. HIGH YIELD FD I	INSTITUTIONAL	NO LOAD	OPEN	1600	0	0
PBSIX	POLEN FUNDS	POLEN US SMALL COMPANY GROWTH INST	I	NO LOAD	OPEN	1600	0	0
PBSRX	POLEN FUNDS	POLEN US SMALL COMPANY GROWTH INV	INVESTOR	NO LOAD	OPEN	1600	100	100
PBMIX	POLEN FUNDS	POLEN US SMID COMPANY GROWTH INSTL	INSTITUTIONAL	NO LOAD	OPEN	1600	0	0
IPFCX	POPLAR FOREST FUNDS	POPLAR FOREST CORNERSTONE FD INST	INSTITUTIONAL	NO LOAD	OPEN	1600	100000	100000
PFPFX	POPLAR FOREST FUNDS	POPLAR FOREST PARTNERS A	A	LOAD	OPEN	1600	100	100
IPFPX	POPLAR FOREST FUNDS	POPLAR FOREST PARTNERS INST	INSTITUTIONAL	NO LOAD	OPEN	1600	100000	100000
PSQGX	PORT STREET INVESTMENTS FUNDS	PORT STREET QUALITY GWTH FD INST	INSTITUTIONAL	NO LOAD	OPEN	1600	2000	2000
MBAPX	PRAXIS FUNDS	PRAXIS GENESIS BALANCED A	A	LOAD	OPEN	1600	0	0
MCONX	PRAXIS FUNDS	PRAXIS GENESIS CONSERVATIVE A	A	LOAD	OPEN	1600	0	0
MGAFX	PRAXIS FUNDS	PRAXIS GENESIS GROWTH A	A	LOAD	OPEN	1600	0	0
MGNDX	PRAXIS FUNDS	PRAXIS GROWTH INDEX FUND CL A	A	LOAD	REDEEM ONLY	1600	2500	2500
MMDEX	PRAXIS FUNDS	PRAXIS GWTH INDEX I	I	NO LOAD	OPEN	1600	100	100
MIIAX	PRAXIS FUNDS	PRAXIS IMPACT BD FD CL A	A	LOAD	OPEN	1600	100	100
MIIIX	PRAXIS FUNDS	PRAXIS IMPACT BD FD CL I	I	NO LOAD	OPEN	1600	100	100
MPLAX	PRAXIS FUNDS	PRAXIS INTL INDEX FD CL A	A	LOAD	OPEN	1600	100	100
MPLIX	PRAXIS FUNDS	PRAXIS INTL INDEX FD CL I	I	NO LOAD	OPEN	1600	100	100
MMSCX	PRAXIS FUNDS	PRAXIS SMALL CAP A	A	LOAD WAIVED	OPEN	1600	100	100
MMSIX	PRAXIS FUNDS	PRAXIS SMALL CAP I	I	NO LOAD	OPEN	1600	100	100
MVIAX	PRAXIS FUNDS	PRAXIS VALUE INDEX FD CL A	A	LOAD	OPEN	1600	100	100
MVIIX	PRAXIS FUNDS	PRAXIS VALUE INDEX I	I	NO LOAD	OPEN	1600	100	100
BCIFX	PREMIER	BLUE CHIP INVESTOR FUND	NO LOAD	NO LOAD	OPEN	1600	2500	5000

SYMBOL	FUND FAMILY	FUND DESCRIPTION	SHARE CLASS	FUND TYPE	STATUS	CUT- OFF	IRA MIN	NON-IRA MIN
PMPEX	PRIMARK	PRIMARK MEKETA PRIVATE EQUITY INVS FD CL I	I	NO LOAD	OPEN	1600	5000	5000
POAGX	PRIMECAP	PRIMECAP ODYSSEY AGGR GROWTH FD	NO LOAD	NO LOAD	OPEN	1600	1000	2000
POGRX	PRIMECAP	PRIMECAP ODYSSEY GROWTH	NO LOAD	NO LOAD	OPEN	1600	1000	2000
POSKX	PRIMECAP	PRIMECAP ODYSSEY STOCK	NO LOAD	NO LOAD	OPEN	1600	1000	2000
PFUMX	PRINCIPAL	FINISTERRE EMERGING MARKETS TOTAL RETURN BD INST	INSTITUTIONAL	NO LOAD	OPEN	1600	0	0
PBLAX	PRINCIPAL	PRINCIPAL BLUE CHIP FD CL A	A	LOAD	OPEN	1600	0	0
PBLCX	PRINCIPAL	PRINCIPAL BLUE CHIP FD CL C	C	LOAD	OPEN	1600	1000	1000
PBCKX	PRINCIPAL	PRINCIPAL BLUE CHIP FD CL INST	INSTITUTIONAL	NO LOAD	OPEN	1600	0	0
PGBHX	PRINCIPAL	PRINCIPAL BLUE CHIP FD CL R6	R6	NO LOAD	OPEN	1600	0	0
PGBGX	PRINCIPAL	PRINCIPAL BLUE CHIP R5	R5	NO LOAD	REDEEM ONLY	1600	0	0
PNIIX	PRINCIPAL	PRINCIPAL BOND MKT INDEX INST	INSTITUTIONAL	NO LOAD	OPEN	1600	0	0
SRCMX	PRINCIPAL	PRINCIPAL CA MUNI A	A	LOAD	OPEN	1600	1000	1000
SRCCX	PRINCIPAL	PRINCIPAL CA MUNI C	C	LOAD	OPEN	1600	1000	1000
PCMFY	PRINCIPAL	PRINCIPAL CA MUNI INST	INSTITUTIONAL	NO LOAD	OPEN	1600	0	0
CMNWX	PRINCIPAL	PRINCIPAL CAPITAL APPR FD CL A	A	LOAD	OPEN	1600	1000	1000
PWCIX	PRINCIPAL	PRINCIPAL CAPITAL APPR FD INSTL CL	INSTITUTIONAL	NO LOAD	OPEN	1600	0	0
CMPIX	PRINCIPAL	PRINCIPAL CORE FIXED INCOME FD CL A	A	LOAD	OPEN	1600	1000	1000
PICNX	PRINCIPAL	PRINCIPAL CORE FIXED INCOME FD CL R6	R6	NO LOAD	OPEN	1600	0	0
PIOIX	PRINCIPAL	PRINCIPAL CORE FIXED INCOME FD INST	INSTITUTIONAL	NO LOAD	OPEN	1600	0	0
PRBDX	PRINCIPAL	PRINCIPAL CORE PLUS BOND A	A	LOAD	OPEN	1600	1000	1000
PMSIX	PRINCIPAL	PRINCIPAL CORE PLUS BOND INST	INSTITUTIONAL	NO LOAD	OPEN	1600	0	0
PINLX	PRINCIPAL	PRINCIPAL DIVERS INTL R4	R4	NO LOAD	REDEEM ONLY	1600	0	0
PGBAX	PRINCIPAL	PRINCIPAL DIVERSIFIED INC A	A	LOAD	OPEN	1600	1000	1000
PGDCX	PRINCIPAL	PRINCIPAL DIVERSIFIED INC C	C	LOAD	OPEN	1600	1000	1000
PGDIX	PRINCIPAL	PRINCIPAL DIVERSIFIED INC INST	INSTITUTIONAL	NO LOAD	OPEN	1600	0	0
PGBLX	PRINCIPAL	PRINCIPAL DIVERSIFIED INC R6	R6	NO LOAD	OPEN	1600	0	0
PRWLX	PRINCIPAL	PRINCIPAL DIVERSIFIED INTERNATIONAL CL A	A	LOAD	OPEN	1600	1000	1000
PIIIX	PRINCIPAL	PRINCIPAL DIVERSIFIED INTL INSTL CL	INSTITUTIONAL	NO LOAD	OPEN	1600	0	0
PINPX	PRINCIPAL	PRINCIPAL DIVERSIFIED INTL R5	R5	NO LOAD	REDEEM ONLY	1600	0	0
PRDAX	PRINCIPAL	PRINCIPAL DIVERSIFIED REAL ASSET A	A	LOAD	OPEN	1600	1000	1000
PDRDX	PRINCIPAL	PRINCIPAL DIVERSIFIED REAL ASSET INST	INSTITUTIONAL	NO LOAD	OPEN	1600	0	0
PDARX	PRINCIPAL	PRINCIPAL DIVERSIFIED REAL ASSET R6	R6	NO LOAD	OPEN	1600	0	0
PEMCX	PRINCIPAL	PRINCIPAL EDGE MIDCAP A	A	LOAD	OPEN	1600	0	0
PEDGX	PRINCIPAL	PRINCIPAL EDGE MIDCAP INST	INSTITUTIONAL	NO LOAD	OPEN	1600	0	0
PEDMX	PRINCIPAL	PRINCIPAL EDGE MIDCAP R6	R6	NO LOAD	OPEN	1600	0	0
PEUCX	PRINCIPAL	PRINCIPAL EQTY INCM C	C	LOAD	OPEN	1600	1000	1000
PEIIX	PRINCIPAL	PRINCIPAL EQTY INCM INSTL CL	INSTITUTIONAL	NO LOAD	OPEN	1600	0	0
PEIQX	PRINCIPAL	PRINCIPAL EQTY INCM R5	R5	NO LOAD	REDEEM ONLY	1600	0	0
PQIAX	PRINCIPAL	PRINCIPAL EQUITY INCOME CL A	A	LOAD	OPEN	1600	1000	1000
PEIOX	PRINCIPAL	PRINCIPAL EQUITY INCOME R3	R3	NO LOAD	REDEEM ONLY	1600	0	0
PIIMX	PRINCIPAL	PRINCIPAL GLB EMERGING MARKETS R6	R6	NO LOAD	REDEEM ONLY	1600	0	0

SYMBOL	FUND FAMILY	FUND DESCRIPTION	SHARE CLASS	FUND TYPE	STATUS	CUT- OFF	IRA MIN	NON-IRA MIN
PRIAX	PRINCIPAL	PRINCIPAL GLOBAL EMRG MKT A	A	LOAD	OPEN	1600	1000	1000
PIEIX	PRINCIPAL	PRINCIPAL GLOBAL EMRG MKT INST	INSTITUTIONAL	NO LOAD	OPEN	1600	0	0
PESSX	PRINCIPAL	PRINCIPAL GLOBAL EMRG MKT R4	R4	NO LOAD	SUBS ONLY	1600	0	0
PEPSX	PRINCIPAL	PRINCIPAL GLOBAL EMRG MKT R5	R5	NO LOAD	REDEEM ONLY	1600	0	0
PMSAX	PRINCIPAL	PRINCIPAL GLOBAL MULTI STRATEGY A	A	LOAD	OPEN	1600	0	0
PSMIX	PRINCIPAL	PRINCIPAL GLOBAL MULTI STRATEGY INSTL	INSTITUTIONAL	NO LOAD	OPEN	1600	0	0
PGLSX	PRINCIPAL	PRINCIPAL GLOBAL MULTI STRATEGY R6	R6	NO LOAD	OPEN	1600	0	0
PGRSX	PRINCIPAL	PRINCIPAL GLOBAL REAL ESTATE SEC R6	R6	NO LOAD	OPEN	1600	0	0
POSAX	PRINCIPAL	PRINCIPAL GLOBAL REAL ESTATE SECURITIES CL A	A	LOAD	OPEN	1600	1000	1000
POSIX	PRINCIPAL	PRINCIPAL GLOBAL REAL ESTATE SECURITIES INST CL	INSTITUTIONAL	NO LOAD	OPEN	1600	0	0
PGSLX	PRINCIPAL	PRINCIPAL GLOBAL SUS LSTD INF INST	INSTITUTIONAL	NO LOAD	OPEN	1600	0	0
CMPGX	PRINCIPAL	PRINCIPAL GOVT & HIGH QUALITY BD FD CL A	A	LOAD	OPEN	1600	1000	1000
PMRIX	PRINCIPAL	PRINCIPAL GOVT AND HIGH QUALITY BD INST	INSTITUTIONAL	NO LOAD	OPEN	1600	0	0
PMRDY	PRINCIPAL	PRINCIPAL GOVT AND HIGH QUALITY BD R4	R4	NO LOAD	REDEEM ONLY	1600	0	0
PYHIX	PRINCIPAL	PRINCIPAL HIGH INCOME FD CL I	INSTITUTIONAL	NO LOAD	OPEN	1600	0	0
CCHIX	PRINCIPAL	PRINCIPAL HIGH YIELD C	C	LOAD	OPEN	1600	1000	1000
CPHYX	PRINCIPAL	PRINCIPAL HIGH YIELD CL A	A	LOAD	OPEN	1600	1000	1000
PHYTX	PRINCIPAL	PRINCIPAL HIGH YIELD INSTL CL	INSTITUTIONAL	NO LOAD	OPEN	1600	0	0
PHYFX	PRINCIPAL	PRINCIPAL HIGH YIELD R6	R6	NO LOAD	REDEEM ONLY	1600	100000	100000
PIPIX	PRINCIPAL	PRINCIPAL INFLATION PROTECTION INST	INSTITUTIONAL	NO LOAD	OPEN	1600	0	0
PINIX	PRINCIPAL	PRINCIPAL INTERNATIONAL EQUITY FD INST	INSTITUTIONAL	NO LOAD	OPEN	1600	0	0
PIIDX	PRINCIPAL	PRINCIPAL INTERNATIONAL EQUITY FD R6	R6	NO LOAD	REDEEM ONLY	1600	0	0
PISMX	PRINCIPAL	PRINCIPAL INTERNATIONAL SML COMPNY INSTL	INSTITUTIONAL	NO LOAD	OPEN	1600	0	0
PIDIX	PRINCIPAL	PRINCIPAL INTL EQUITY INDEX FD INSTL	INSTITUTIONAL	NO LOAD	OPEN	1600	0	0
PFIEY	PRINCIPAL	PRINCIPAL INTL EQUITY INDEX FD R6	R6	NO LOAD	OPEN	1600	0	0
PLCGX	PRINCIPAL	PRINCIPAL LARGE CAP GROWTH I R6	R6	NO LOAD	REDEEM ONLY	1600	0	0
PLFPX	PRINCIPAL	PRINCIPAL LARGE CAP S&P 500 INDEX R5	R5	NO LOAD	REDEEM ONLY	1600	0	0
PLVIX	PRINCIPAL	PRINCIPAL LARGE CAP VALUE III INST	INSTITUTIONAL	NO LOAD	OPEN	1600	0	0
PLGAX	PRINCIPAL	PRINCIPAL LARGE CAP GRWTH I CL A	A	LOAD	OPEN	1600	1000	1000
PLGIX	PRINCIPAL	PRINCIPAL LARGE CAP GRWTH I CL INST	INSTITUTIONAL	NO LOAD	OPEN	1600	0	0
PPUMX	PRINCIPAL	PRINCIPAL LARGE CAP GRWTH I R3	R3	NO LOAD	REDEEM ONLY	1600	0	0
PPUSX	PRINCIPAL	PRINCIPAL LARGE CAP GRWTH I R4	R4	NO LOAD	REDEEM ONLY	1600	0	0
PLSAX	PRINCIPAL	PRINCIPAL LARGE CAP S&P 500 IDX CL A	A	LOAD	OPEN	1600	1000	1000
PLFIX	PRINCIPAL	PRINCIPAL LARGE CAP S&P 500 INDEX INST	INSTITUTIONAL	NO LOAD	OPEN	1600	0	0
PLFMX	PRINCIPAL	PRINCIPAL LARGE CAP S&P 500 INDEX R3	R3	NO LOAD	REDEEM ONLY	1600	0	0
PLFSX	PRINCIPAL	PRINCIPAL LARGE CAP S&P 500 INDEX R4	R4	NO LOAD	REDEEM ONLY	1600	0	0
LTINX	PRINCIPAL	PRINCIPAL LIFETIME 2015 INST	INSTITUTIONAL	NO LOAD	OPEN	1600	0	0
PTBAX	PRINCIPAL	PRINCIPAL LIFETIME 2020 CL A	A	LOAD	OPEN	1600	1000	1000
PLWIX	PRINCIPAL	PRINCIPAL LIFETIME 2020 INST	INSTITUTIONAL	NO LOAD	OPEN	1600	0	0
PTBPX	PRINCIPAL	PRINCIPAL LIFETIME 2020 R5	R5	NO LOAD	REDEEM ONLY	1600	0	0
LTSTX	PRINCIPAL	PRINCIPAL LIFETIME 2025 INST	INSTITUTIONAL	NO LOAD	OPEN	1600	0	0

SYMBOL	FUND FAMILY	FUND DESCRIPTION	SHARE CLASS	FUND TYPE	STATUS	CUT- OFF	IRA MIN	NON-IRA MIN
PTCAX	PRINCIPAL	PRINCIPAL LIFETIME 2030 CL A	A	LOAD	OPEN	1600	1000	1000
PMTIX	PRINCIPAL	PRINCIPAL LIFETIME 2030 INST	INSTITUTIONAL	NO LOAD	OPEN	1600	0	0
PTCPX	PRINCIPAL	PRINCIPAL LIFETIME 2030 R5	R5	NO LOAD	REDEEM ONLY	1600	0	0
LTIUX	PRINCIPAL	PRINCIPAL LIFETIME 2035 INST	INSTITUTIONAL	NO LOAD	OPEN	1600	0	0
LTAOX	PRINCIPAL	PRINCIPAL LIFETIME 2035 R3	R3	NO LOAD	REDEEM ONLY	1600	0	0
PTDAX	PRINCIPAL	PRINCIPAL LIFETIME 2040 CL A	A	LOAD	OPEN	1600	1000	1000
PTDIX	PRINCIPAL	PRINCIPAL LIFETIME 2040 INST	INSTITUTIONAL	NO LOAD	OPEN	1600	0	0
PTDPX	PRINCIPAL	PRINCIPAL LIFETIME 2040 R5	R5	NO LOAD	REDEEM ONLY	1600	0	0
LTRIX	PRINCIPAL	PRINCIPAL LIFETIME 2045 INST	INSTITUTIONAL	NO LOAD	OPEN	1600	0	0
PPEAX	PRINCIPAL	PRINCIPAL LIFETIME 2050 CL A	A	LOAD	OPEN	1600	1000	1000
PPLIX	PRINCIPAL	PRINCIPAL LIFETIME 2050 INST	INSTITUTIONAL	NO LOAD	OPEN	1600	0	0
PTEFX	PRINCIPAL	PRINCIPAL LIFETIME 2050 R5	R5	NO LOAD	REDEEM ONLY	1600	0	0
LTFIX	PRINCIPAL	PRINCIPAL LIFETIME 2055 INST	INSTITUTIONAL	NO LOAD	OPEN	1600	0	0
PLTZX	PRINCIPAL	PRINCIPAL LIFETIME 2060 INSTITUTIONAL	INSTITUTIONAL	NO LOAD	OPEN	1600	0	0
PLJIX	PRINCIPAL	PRINCIPAL LIFETIME 2065 INSTITUTIONAL	INSTITUTIONAL	NO LOAD	OPEN	1600	0	0
PLSIX	PRINCIPAL	PRINCIPAL LIFETIME STRAT INCM INST	INSTITUTIONAL	NO LOAD	OPEN	1600	0	0
PLSPX	PRINCIPAL	PRINCIPAL LIFETIME STRATEGIC INC R5	R5	NO LOAD	REDEEM ONLY	1600	0	0
PALTX	PRINCIPAL	PRINCIPAL LIFETIME STRATEGIC INCM CL A	A	LOAD	OPEN	1600	1000	1000
PMBPX	PRINCIPAL	PRINCIPAL MID CAP BLEND R5	R5	NO LOAD	SUBS ONLY	1600	0	0
MPSIX	PRINCIPAL	PRINCIPAL MID CAP S&P 400 INDEX INST	INSTITUTIONAL	NO LOAD	OPEN	1600	0	0
PMFPX	PRINCIPAL	PRINCIPAL MID CAP S&P 400 INDEX R5	R5	NO LOAD	REDEEM ONLY	1600	0	0
PEMGX	PRINCIPAL	PRINCIPAL MIDCAP A	A	LOAD	OPEN	1600	1000	1000
PMBCX	PRINCIPAL	PRINCIPAL MIDCAP BLEND FD CL C	C	LOAD	OPEN	1600	1000	1000
PMSBX	PRINCIPAL	PRINCIPAL MIDCAP CL R1	R1	NO LOAD	REDEEM ONLY	1600	0	0
PMAQX	PRINCIPAL	PRINCIPAL MIDCAP CL R6	R6	NO LOAD	REDEEM ONLY	1600	0	0
PPIMX	PRINCIPAL	PRINCIPAL MIDCAP GROWTH III INSTL CL	INSTITUTIONAL	NO LOAD	OPEN	1600	0	0
PGWIX	PRINCIPAL	PRINCIPAL MIDCAP GROWTH INST	INSTITUTIONAL	NO LOAD	OPEN	1600	0	0
PHPPX	PRINCIPAL	PRINCIPAL MIDCAP GROWTH R5	R5	NO LOAD	REDEEM ONLY	1600	0	0
PCBIX	PRINCIPAL	PRINCIPAL MIDCAP INST	INSTITUTIONAL	NO LOAD	OPEN	1600	0	0
PMBSX	PRINCIPAL	PRINCIPAL MIDCAP R4	R4	NO LOAD	SUBS ONLY	1600	0	0
PMFMX	PRINCIPAL	PRINCIPAL MIDCAP S&P 400 INDEX R3	R3	NO LOAD	REDEEM ONLY	1600	0	0
PMAPX	PRINCIPAL	PRINCIPAL MIDCAP S&P 400 INDEX R6	R6	NO LOAD	REDEEM ONLY	1600	0	0
PCMVX	PRINCIPAL	PRINCIPAL MIDCAP VALUE I A	A	LOAD	OPEN	1600	0	0
PVMIX	PRINCIPAL	PRINCIPAL MIDCAP VALUE I INST	INSTITUTIONAL	NO LOAD	OPEN	1600	0	0
PMPRX	PRINCIPAL	PRINCIPAL MIDCAP VALUE I R3	R3	NO LOAD	REDEEM ONLY	1600	0	0
PABWX	PRINCIPAL	PRINCIPAL MIDCAP VALUE I R4	R4	NO LOAD	REDEEM ONLY	1600	0	0
PABVX	PRINCIPAL	PRINCIPAL MIDCAP VALUE I R5	R5	NO LOAD	REDEEM ONLY	1600	0	0
PCMSX	PRINCIPAL	PRINCIPAL MIDCAP VALUE I R6	R6	NO LOAD	REDEEM ONLY	1600	0	0
PCSXX	PRINCIPAL	PRINCIPAL MONEY MARKET CL A	A	LOAD	OPEN	1600	1000	1000
POMFX	PRINCIPAL	PRINCIPAL OPPORTUNISTIC MUNI INST	INSTITUTIONAL	NO LOAD	OPEN	1600	0	0
PMOAX	PRINCIPAL	PRINCIPAL OPPORTUNISTIC MUNICIPAL A	A	LOAD	OPEN	1600	0	0

SYMBOL	FUND FAMILY	FUND DESCRIPTION	SHARE CLASS	FUND TYPE	STATUS	CUT- OFF	IRA MIN	NON-IRA MIN
POEYX	PRINCIPAL	PRINCIPAL ORIGIN EMERGING MARKETS A	A	LOAD	OPEN	1600	0	0
POEIX	PRINCIPAL	PRINCIPAL ORIGIN EMRG MKTS INST	INSTITUTIONAL	NO LOAD	OPEN	1600	0	0
POEFX	PRINCIPAL	PRINCIPAL ORIGIN EMRG MKTS R6	R6	NO LOAD	OPEN	1600	0	0
PINZX	PRINCIPAL	PRINCIPAL OVERSEAS INSTL	INSTITUTIONAL	NO LOAD	OPEN	1600	0	0
PRCEX	PRINCIPAL	PRINCIPAL REAL ESTATE SEC C	C	LOAD	OPEN	1600	1000	1000
PRETX	PRINCIPAL	PRINCIPAL REAL ESTATE SEC R4	R4	NO LOAD	REDEEM ONLY	1600	0	0
PFRSX	PRINCIPAL	PRINCIPAL REAL ESTATE SEC R6	R6	NO LOAD	REDEEM ONLY	1600	0	0
PRRAX	PRINCIPAL	PRINCIPAL REAL ESTATE SECURITIES CL A	A	LOAD	OPEN	1600	1000	1000
PIREX	PRINCIPAL	PRINCIPAL REAL ESTATE SECURITIES INST	INSTITUTIONAL	NO LOAD	OPEN	1600	0	0
PREPX	PRINCIPAL	PRINCIPAL REAL ESTATE SECURITIES R5	R5	NO LOAD	REDEEM ONLY	1600	0	0
PSBIX	PRINCIPAL	PRINCIPAL SAM BALANCED PORT FUND CL I	INSTITUTIONAL	NO LOAD	OPEN	1600	0	0
PSBLX	PRINCIPAL	PRINCIPAL SAM BALANCED PORT FUND CL R4	R4	NO LOAD	REDEEM ONLY	1600	0	0
SABPX	PRINCIPAL	PRINCIPAL SAM BALANCED PORTFOLIO CL A	A	LOAD	OPEN	1600	1000	1000
SCBPX	PRINCIPAL	PRINCIPAL SAM BALANCED PROT FUND CL C	C	LOAD	OPEN	1600	1000	1000
PSBGX	PRINCIPAL	PRINCIPAL SAM BALANCED R1	R1	NO LOAD	REDEEM ONLY	1600	0	0
SCIPX	PRINCIPAL	PRINCIPAL SAM CONSERV BALANCED PORT C	C	LOAD	OPEN	1600	1000	1000
PCCIX	PRINCIPAL	PRINCIPAL SAM CONSERV BALANCED PORT INST	INSTITUTIONAL	NO LOAD	OPEN	1600	0	0
PCBLX	PRINCIPAL	PRINCIPAL SAM CONSERV BALANCED PORT R4	R4	NO LOAD	REDEEM ONLY	1600	0	0
SCGPX	PRINCIPAL	PRINCIPAL SAM CONSERV GROWTH PORT CL C	C	LOAD	OPEN	1600	1000	1000
PCWIX	PRINCIPAL	PRINCIPAL SAM CONSERV GROWTH PORT INST	INSTITUTIONAL	NO LOAD	OPEN	1600	0	0
SAIPX	PRINCIPAL	PRINCIPAL SAM CONSERVATIVE BALANCED PORTFOLIO CL A	A	LOAD	OPEN	1600	1000	1000
SAGPX	PRINCIPAL	PRINCIPAL SAM CONSERVATIVE GROWTH PORT CL A	A	LOAD	OPEN	1600	1000	1000
SAUPX	PRINCIPAL	PRINCIPAL SAM FLEXIBLE INCOME PORT CL A	A	LOAD	OPEN	1600	1000	1000
SCUPX	PRINCIPAL	PRINCIPAL SAM FLEXIBLE INCOME PORT CL C	C	LOAD	OPEN	1600	1000	1000
PIFIX	PRINCIPAL	PRINCIPAL SAM FLEXIBLE INCOME PORT INST	INSTITUTIONAL	NO LOAD	OPEN	1600	0	0
SACAX	PRINCIPAL	PRINCIPAL SAM STRATEGIC GROWTH PORT CL A	A	LOAD	OPEN	1600	1000	1000
SWHCX	PRINCIPAL	PRINCIPAL SAM STRATEGIC GROWTH PORT CL C	C	LOAD	OPEN	1600	1000	1000
PSWIX	PRINCIPAL	PRINCIPAL SAM STRATEGIC GROWTH PORT INST	INSTITUTIONAL	NO LOAD	OPEN	1600	0	0
PSGLX	PRINCIPAL	PRINCIPAL SAM STRATEGIC GROWTH PORT R4	R4	NO LOAD	REDEEM ONLY	1600	0	0
STCCX	PRINCIPAL	PRINCIPAL SHORT TERM INCOME C	C	LOAD	OPEN	1600	1000	1000
PSHIX	PRINCIPAL	PRINCIPAL SHORT TERM INCOME INST	INSTITUTIONAL	NO LOAD	OPEN	1600	0	0
SRHQX	PRINCIPAL	PRINCIPAL SHORTTERM INCOME CL A	A	LOAD	OPEN	1600	1000	1000
PLLAX	PRINCIPAL	PRINCIPAL SMALL CAP FD A	A	LOAD	OPEN	1600	1000	1000
PSLIX	PRINCIPAL	PRINCIPAL SMALL CAP FD I	INSTITUTIONAL	NO LOAD	OPEN	1600	0	0
PCSMX	PRINCIPAL	PRINCIPAL SMALL CAP GRWTH R6	R6	NO LOAD	REDEEM ONLY	1600	0	0
PPNMX	PRINCIPAL	PRINCIPAL SMALL CAP GWTH I R3	R3	NO LOAD	REDEEM ONLY	1600	0	0
PSSIX	PRINCIPAL	PRINCIPAL SMALL CAP S&P 600 INDEX INST	INSTITUTIONAL	NO LOAD	OPEN	1600	0	0
PSSSX	PRINCIPAL	PRINCIPAL SMALL CAP S&P 600 INDEX R4	R4	NO LOAD	REDEEM ONLY	1600	0	0
PSSPX	PRINCIPAL	PRINCIPAL SMALL CAP S&P 600 INDEX R5	R5	NO LOAD	REDEEM ONLY	1600	0	0
PSPIX	PRINCIPAL	PRINCIPAL SMALL CAP S&P 600 INDEX R6	R6	NO LOAD	REDEEM ONLY	1600	0	0
PPVIX	PRINCIPAL	PRINCIPAL SMALL CAP VALUE II INST	INSTITUTIONAL	NO LOAD	OPEN	1600	0	0

SYMBOL	FUND FAMILY	FUND DESCRIPTION	SHARE CLASS	FUND TYPE	STATUS	CUT-OFF	IRA MIN	NON-IRA MIN
PMDAX	PRINCIPAL	PRINCIPAL SMALL MID CAP DIV INCM A	A	LOAD	OPEN	1600	1000	1000
PMDDX	PRINCIPAL	PRINCIPAL SMALL MID CAP DIV INCM C	C	LOAD	OPEN	1600	1000	1000
PMDIX	PRINCIPAL	PRINCIPAL SMALL MID CAP DIV INCM INST	INSTITUTIONAL	NO LOAD	OPEN	1600	0	0
PGRTX	PRINCIPAL	PRINCIPAL SMALLCAP GWTH I INST	INSTITUTIONAL	NO LOAD	OPEN	1600	0	0
PXMLX	PRINCIPAL	PRINCIPAL SMALLCAP R6	R6	NO LOAD	REDEEM ONLY	1600	100000	100000
PSSMX	PRINCIPAL	PRINCIPAL SMALLCAP S&P 600 INDEX R3	R3	NO LOAD	REDEEM ONLY	1600	100000	100000
PLARX	PRINCIPAL	PRINCIPAL SMALLCAP VALUE II R5	R5	NO LOAD	REDEEM ONLY	1600	0	0
PSMVX	PRINCIPAL	PRINCIPAL SMALLCAP VALUE II R6	R6	NO LOAD	REDEEM ONLY	1600	0	0
PMDHX	PRINCIPAL	PRINCIPAL SMALLMIDCAP DIVIDEND INC R6	R6	NO LOAD	REDEEM ONLY	1600	0	0
PNARX	PRINCIPAL	PRINCIPAL SPECTRUM PREF&CPTL SCS INCR3	R3	NO LOAD	REDEEM ONLY	1600	0	0
PPREX	PRINCIPAL	PRINCIPAL SPECTRUM PREF&CPTL SCS INCR6	R6	NO LOAD	REDEEM ONLY	1600	100000	100000
PPSAX	PRINCIPAL	PRINCIPAL SPECTRUM PREFERRED AND CAPITAL SECURITIES	A	LOAD	OPEN	1600	1000	1000
PRFCX	PRINCIPAL	PRINCIPAL SPECTRUM PREFERRED AND CAPITAL SECURITIES	C	LOAD	OPEN	1600	1000	1000
PPSIX	PRINCIPAL	PRINCIPAL SPECTRUM PREFERRED AND CAPITAL SECURITIES	INSTITUTIONAL	NO LOAD	OPEN	1600	0	0
PTEAX	PRINCIPAL	PRINCIPAL TAX EXEMPT BD A	A	LOAD	OPEN	1600	1000	1000
PTBCX	PRINCIPAL	PRINCIPAL TAX EXEMPT BD C	C	LOAD	OPEN	1600	1000	1000
PITEX	PRINCIPAL	PRINCIPAL TAX EXEMPT BD INST	INSTITUTIONAL	NO LOAD	OPEN	1600	0	0
PSBFX	PRINCIPAL	STRATEGIC ASSET MGMT BALANCED R5	R5	NO LOAD	REDEEM ONLY	1600	0	0
PCBFX	PRINCIPAL	STRATEGIC ASSET MGMT CONSRV BAL R5	R5	NO LOAD	REDEEM ONLY	1600	0	0
PCWPX	PRINCIPAL	STRATEGIC ASSET MGMT CONSRV GROWTH R5	R5	NO LOAD	REDEEM ONLY	1600	0	0
PFIFX	PRINCIPAL	STRATEGIC ASSET MGMT FLEXIBLE INCM R5	R5	NO LOAD	REDEEM ONLY	1600	0	0
PSGFX	PRINCIPAL	STRATEGIC ASSET MGMT STRATEGIC GROWTH R5	R5	NO LOAD	REDEEM ONLY	1600	0	0
VFPIX	PRIVATE CAPITAL MANAGEMENT	PRIVATE CAPITAL MGMT VALUE FD CL I	I	NO LOAD	OPEN	1600	5000	5000
PRLVX	PRIVATE SHARES FUNDS	THE PRIVATE SHARES FUND L	L	LOAD WAIVED	OPEN	1600	2500	2500
BVEIX	PROFESSIONALLY MANAGED	BECKER VALUE EQUITY FUND INST	I	NO LOAD	OPEN	1600	0	0
BVEFX	PROFESSIONALLY MANAGED	BECKER VALUE EQUITY FUND RETAIL CL	NO LOAD	NO LOAD	OPEN	1600	0	0
BCEMX	PROFESSIONALLY MANAGED	BOSTON COMMON ESG IMPACT EM MKTS	NO LOAD	NO LOAD	OPEN	1600	10000	10000
BCAIX	PROFESSIONALLY MANAGED	BOSTON COMMON ESG IMPACT INTL FD	NO LOAD	NO LOAD	OPEN	1600	100000	100000
BCAMX	PROFESSIONALLY MANAGED	BOSTON COMMON ESG IMPACT US EQTY FD	NO LOAD	NO LOAD	OPEN	1600	100000	100000
CMLIX	PROFESSIONALLY MANAGED	CONGRESS LARGE CAP GROWTH INST	I	NO LOAD	OPEN	1600	0	0
CAMLX	PROFESSIONALLY MANAGED	CONGRESS LARGE CAP GROWTH RETAIL	RETAIL	NO LOAD	OPEN	1600	100	100
IMIDX	PROFESSIONALLY MANAGED	CONGRESS MID CAP GWTH INST	I	NO LOAD	OPEN	1600	0	0
CMIDX	PROFESSIONALLY MANAGED	CONGRESS MID CAP GWTH RETAIL	NO LOAD	NO LOAD	OPEN	1600	100	100
CSMCX	PROFESSIONALLY MANAGED	CONGRESS SMALL CAP GWTH INST	I	NO LOAD	OPEN	1600	0	0
CSMVX	PROFESSIONALLY MANAGED	CONGRESS SMALL CAP GWTH RETAIL	I	NO LOAD	OPEN	1600	100	100
MZCIX	PROFESSIONALLY MANAGED	MUZINICH CREDIT OPPTY FD INST	INSTITUTIONAL	NO LOAD	OPEN	1600	10000	10000
MZCSX	PROFESSIONALLY MANAGED	MUZINICH CREDIT OPPTY FD SUPRA INST	I	NO LOAD	OPEN	1600	2000000	2000000
MZLSX	PROFESSIONALLY MANAGED	MUZINICH LOW DURATION SUPRA INST	I	NO LOAD	OPEN	1600	50000	500000
MZHSX	PROFESSIONALLY MANAGED	MUZINICH US HIGH YIELD CORP BD SUPRA INST	SUPRA INSTITUTIC	NO LOAD	OPEN	1600	0	0
OSTGX	PROFESSIONALLY MANAGED	OSTERWEIS EMRG OPPTY FD INV	INVESTOR	NO LOAD	OPEN	1600	1500	5000
OSTFX	PROFESSIONALLY MANAGED	OSTERWEIS FUND	NO LOAD	NO LOAD	OPEN	1600	1500	5000

SYMBOL	FUND FAMILY	FUND DESCRIPTION	SHARE CLASS	FUND TYPE	STATUS	CUT- OFF	IRA MIN	NON-IRA MIN
OSTIX	PROFESSIONALLY MANAGED	OSTERWEIS STRATEGIC INCOME FUND	NO LOAD	NO LOAD	OPEN	1600	1500	5000
OSTVX	PROFESSIONALLY MANAGED	OSTERWEIS STRATEGIC INVESTMENT FD	NO LOAD	NO LOAD	OPEN	1600	1500	5000
OTTRX	PROFESSIONALLY MANAGED	OTTER CREEK LONG SHORT OPPTY FD INST	I	NO LOAD	OPEN	1600	0	0
OTCRX	PROFESSIONALLY MANAGED	OTTER CREEK LONG SHORT OPPTY FD INV	INVESTOR	NO LOAD	OPEN	1600	0	0
VILLX	PROFESSIONALLY MANAGED	VILLERE BALANCED FUND	NO LOAD	NO LOAD	OPEN	1600	100	100
VLEQX	PROFESSIONALLY MANAGED	VILLERE EQTY FD	NO LOAD	NO LOAD	OPEN	1600	0	0
AFBIX	PROFUNDS	ACCESS FLEX BEAR HIGH YIELD PROFUND INV	EARLY CUTOFF	NO LOAD	OPEN	1600	100	100
AFBSX	PROFUNDS	ACCESS FLEX BEAR HIGH YIELD PROFUND SVC	LATE CUTOFF	LOAD	OPEN	1600	5000	5000
FYAIX	PROFUNDS	ACCESS FLEX HIGH YIELD PROFUND INV	EARLY CUTOFF	NO LOAD	OPEN	1600	0	0
FYASX	PROFUNDS	ACCESS FLEX HIGH YIELD PROFUND SVC	LATE CUTOFF	LOAD	OPEN	1600	5000	5000
PMPSX	PROFUNDS	PRECIOUS METALS ULTRASECTOR SVC	EARLY CUTOFF	NO LOAD	OPEN	1500	0	0
UBPSX	PROFUNDS	PROFDS ULTRALATIN AMERICA SVC CL	EARLY CUTOFF	NO LOAD	OPEN	1500	0	0
UKPSX	PROFUNDS	PROFDS ULTRASHORT JAPAN SVC	LATE CUTOFF	NO LOAD	OPEN	1600	0	0
UFPSX	PROFUNDS	PROFDS ULTRASHORT LATIN AMERICA SVC CL	EARLY CUTOFF	NO LOAD	OPEN	1500	0	0
CYPIX	PROFUNDS	PROFUND CNSMR DSCRTRY ULTRASECTR PROFUND INV	INVESTOR	NO LOAD	OPEN	1500	0	0
CNPIX	PROFUNDS	PROFUND CONSUMER STAPLES ULTRASECTR PROFND INV	INVESTOR	NO LOAD	OPEN	1500	0	0
CNPSX	PROFUNDS	PROFUND CONSUMER STAPLES ULTRASECTR PROFND SVC	SERVICE	NO LOAD	OPEN	1500	0	0
ENPIX	PROFUNDS	PROFUND ENERGY ULTRASECTOR PROFUND INV	INVESTOR	NO LOAD	OPEN	1500	0	0
ENPSX	PROFUNDS	PROFUND ENERGY ULTRASECTOR PROFUND SVC	SERVICE	NO LOAD	OPEN	1500	0	0
BMPIX	PROFUNDS	PROFUND MATERIALS ULTRASECTOR PROFUND INV	INVESTOR	NO LOAD	OPEN	1500	0	0
BMPSX	PROFUNDS	PROFUND MATERIALS ULTRASECTOR PROFUND SVC	SERVICE	NO LOAD	OPEN	1500	0	0
OEPIX	PROFUNDS	PROFUND OIL GAS EQMT SVCS ULTRSECTR PRFND INV	INVESTOR	NO LOAD	OPEN	1500	0	0
OEPSX	PROFUNDS	PROFUND OIL GAS EQMT SVCS ULTRSECTR PRFND SVC	SERVICE	NO LOAD	OPEN	1500	0	0
SNPIX	PROFUNDS	PROFUND SHORT ENERGY PROFUND INV	INVESTOR	NO LOAD	OPEN	1500	0	0
SNPSX	PROFUNDS	PROFUND SHORT ENERGY PROFUND SVC	SERVICE	NO LOAD	OPEN	1500	0	0
BKPSX	PROFUNDS	PROFUND BANKS ULTRASECTOR SVC	EARLY CUTOFF	NO LOAD	OPEN	1500	0	0
BRPIX	PROFUNDS	PROFUND BEAR FUND INVESTOR CL	LATE CUTOFF	NO LOAD	OPEN	1600	0	0
BRPSX	PROFUNDS	PROFUND BEAR SVC	LATE CUTOFF	NO LOAD	OPEN	1600	0	0
BIPXS	PROFUNDS	PROFUND BIOTECH ULTRASECTOR SVC	EARLY CUTOFF	NO LOAD	OPEN	1500	0	0
BIPIX	PROFUNDS	PROFUND BIOTECHNOLOGY FUND INVESTOR SH	EARLY CUTOFF	NO LOAD	OPEN	1500	0	0
BTCFX	PROFUNDS	PROFUND BITCOIN STRATEGY INV	EARLY CUTOFF	NO LOAD	OPEN	1500	0	0
BLPIX	PROFUNDS	PROFUND BULL FUND INVESTOR SHARES	LATE CUTOFF	NO LOAD	OPEN	1600	0	0
BLPSX	PROFUNDS	PROFUND BULL SVC	LATE CUTOFF	NO LOAD	OPEN	1600	0	0
CYPSX	PROFUNDS	PROFUND CNSMR DSCRTRY ULTRASECTR PROFUND SVC	SERVICE	NO LOAD	OPEN	1500	0	0
WCPIX	PROFUNDS	PROFUND COMM SVCS ULTRASECTOR PROFND INV	EARLY CUTOFF	NO LOAD	OPEN	1500	0	0
WCPSX	PROFUNDS	PROFUND COMM SVCS ULTRASECTOR PROFND SVC	EARLY CUTOFF	NO LOAD	OPEN	1500	0	0
UEPSX	PROFUNDS	PROFUND EURO 30 SVC	LATE CUTOFF	NO LOAD	OPEN	1600	0	0
UEPIX	PROFUNDS	PROFUND EUROPE 30 FUND INVESTOR SHRS	EARLY CUTOFF	NO LOAD	OPEN	1500	0	0
FDPIX	PROFUNDS	PROFUND FALLING DOLLAR U.S. FUND INV CL	LATE CUTOFF	NO LOAD	OPEN	1600	0	0
FDPSX	PROFUNDS	PROFUND FALLING US DOLLAR SVC	LATE CUTOFF	NO LOAD	OPEN	1600	0	0
FNPSX	PROFUNDS	PROFUND FINANCIALS ULTRASECTOR SVC	EARLY CUTOFF	NO LOAD	OPEN	1500	0	0

SYMBOL	FUND FAMILY	FUND DESCRIPTION	SHARE CLASS	FUND TYPE	STATUS	CUT- OFF	IRA MIN	NON-IRA MIN
MPIX	PROFUNDS	PROFUNDS GOVT MONEY MKT PROFUND INV CL	LATE CUTOFF	NO LOAD	OPEN	1600	0	0
MPSXX	PROFUNDS	PROFUNDS GOVT MONEY MKT PROFUND SVC CL	LATE CUTOFF	NO LOAD	OPEN	1600	0	0
IDPSX	PROFUNDS	PROFUNDS INDUSTRIAL ULTRA SECTOR SVC	EARLY CUTOFF	NO LOAD	OPEN	1500	0	0
IDPIX	PROFUNDS	PROFUNDS INDUSTRIAL ULTRASECTOR FUND	EARLY CUTOFF	NO LOAD	OPEN	1500	0	0
LGPIX	PROFUNDS	PROFUNDS LARGE CAP GROWTH FUND INVESTOR SHR	LATE CUTOFF	NO LOAD	OPEN	1600	0	0
LGPSX	PROFUNDS	PROFUNDS LARGE CAP GROWTH SVC	LATE CUTOFF	NO LOAD	OPEN	1600	0	0
LVPIX	PROFUNDS	PROFUNDS LARGE CAP VALUE FUND	LATE CUTOFF	NO LOAD	OPEN	1600	0	0
LVPSX	PROFUNDS	PROFUNDS LARGE CAP VALUE SVC	LATE CUTOFF	NO LOAD	OPEN	1600	0	0
MGPSX	PROFUNDS	PROFUNDS MID CAP GROWTH SVC	LATE CUTOFF	NO LOAD	OPEN	1600	0	0
MDPSX	PROFUNDS	PROFUNDS MID CAP SVC	LATE CUTOFF	NO LOAD	OPEN	1600	0	0
MLPSX	PROFUNDS	PROFUNDS MID CAP VALUE SVC	LATE CUTOFF	NO LOAD	OPEN	1600	0	0
MDPIX	PROFUNDS	PROFUNDS MIDCAP FUND INVESTOR SHRS	LATE CUTOFF	NO LOAD	OPEN	1600	0	0
MGPIX	PROFUNDS	PROFUNDS MIDCAP GROWTH FUND INVESTOR SHRS	LATE CUTOFF	NO LOAD	OPEN	1600	0	0
MLPIX	PROFUNDS	PROFUNDS MIDCAP VALUE FUND INVESTOR SHRS	LATE CUTOFF	NO LOAD	OPEN	1600	0	0
OTPSX	PROFUNDS	PROFUNDS NASDAQ 100 SVC	LATE CUTOFF	NO LOAD	OPEN	1600	0	0
OTPIX	PROFUNDS	PROFUNDS NASDAQ100 INV	LATE CUTOFF	NO LOAD	OPEN	1600	0	0
PHPSX	PROFUNDS	PROFUNDS PHARMACEUTICAL SECTOR SVC	EARLY CUTOFF	NO LOAD	OPEN	1500	0	0
PHPIX	PROFUNDS	PROFUNDS PHARMACEUTICALS ULTRASECTOR INV CL	EARLY CUTOFF	NO LOAD	OPEN	1500	0	0
REPSX	PROFUNDS	PROFUNDS REAL ESTATE ULTRASECTOR SVC	EARLY CUTOFF	NO LOAD	OPEN	1500	0	0
RTPSX	PROFUNDS	PROFUNDS RISING RATES OPPOR 10 SVC	LATE CUTOFF	NO LOAD	OPEN	1600	0	0
RTPIX	PROFUNDS	PROFUNDS RISING RATES OPPORTUNITY 10 FD INV CL	LATE CUTOFF	NO LOAD	OPEN	1600	0	0
RRPIX	PROFUNDS	PROFUNDS RISING RATES OPPORTUNITY FUND INV SHR	LATE CUTOFF	NO LOAD	OPEN	1600	0	0
RRPSX	PROFUNDS	PROFUNDS RISING RATES OPPTY SVC	LATE CUTOFF	NO LOAD	OPEN	1600	0	0
RDPIX	PROFUNDS	PROFUNDS RISING U.S. DOLLAR FUND INV CL	LATE CUTOFF	NO LOAD	OPEN	1600	0	0
RDPSX	PROFUNDS	PROFUNDS RISING U.S. DOLLAR SVC	LATE CUTOFF	NO LOAD	OPEN	1600	0	0
SMPX	PROFUNDS	PROFUNDS SEMICONDUCTOR ULTRASECTOR SVC	EARLY CUTOFF	NO LOAD	OPEN	1500	0	0
BITIX	PROFUNDS	PROFUNDS SHORT BITCOIN STR PROFD INV	INVESTOR	NO LOAD	OPEN	1500	0	0
SOPIX	PROFUNDS	PROFUNDS SHORT NASDAQ100 INV	LATE CUTOFF	NO LOAD	OPEN	1600	0	0
SOPX	PROFUNDS	PROFUNDS SHORT NASDAQ100 SVC	LATE CUTOFF	NO LOAD	OPEN	1600	0	0
SPPIX	PROFUNDS	PROFUNDS SHORT PRECIOUS METALS INVESTOR	EARLY CUTOFF	NO LOAD	OPEN	1500	0	0
SPPSX	PROFUNDS	PROFUNDS SHORT PRECIOUS METALS SVC	EARLY CUTOFF	NO LOAD	OPEN	1500	0	0
SRPIX	PROFUNDS	PROFUNDS SHORT REAL ESTATE FUND INV CL	EARLY CUTOFF	NO LOAD	OPEN	1500	0	0
SRPSX	PROFUNDS	PROFUNDS SHORT REAL ESTATE SVC	EARLY CUTOFF	NO LOAD	OPEN	1500	0	0
SHPIX	PROFUNDS	PROFUNDS SHORT SMALL CAP FUND INVESTOR SHRS	LATE CUTOFF	NO LOAD	OPEN	1600	0	0
SHPSX	PROFUNDS	PROFUNDS SHORT SMALL CAP SVC	LATE CUTOFF	NO LOAD	OPEN	1600	0	0
SGPSX	PROFUNDS	PROFUNDS SMALL CAP GROWTH SVC	LATE CUTOFF	NO LOAD	OPEN	1600	0	0
SLPSX	PROFUNDS	PROFUNDS SMALL CAP SVC	LATE CUTOFF	NO LOAD	OPEN	1600	0	0
SVPSX	PROFUNDS	PROFUNDS SMALL CAP VALUE SVC	LATE CUTOFF	NO LOAD	OPEN	1600	0	0
SLPIX	PROFUNDS	PROFUNDS SMALLCAP FUND INVESTOR SHRS	LATE CUTOFF	NO LOAD	OPEN	1600	0	0
SGPIX	PROFUNDS	PROFUNDS SMALLCAP GROWTH FUND INVESTOR SHR	LATE CUTOFF	NO LOAD	OPEN	1600	0	0
SVPIX	PROFUNDS	PROFUNDS SMALLCAP VALUE FUND INVESTOR SHARES	LATE CUTOFF	NO LOAD	OPEN	1600	0	0

SYMBOL	FUND FAMILY	FUND DESCRIPTION	SHARE CLASS	FUND TYPE	STATUS	CUT- OFF	IRA MIN	NON-IRA MIN
TEPSX	PROFUNDS	PROFUNDS TECHNOLOGY ULTRASECTOR SVC	EARLY CUTOFF	NO LOAD	OPEN	1500	0	0
GVPIX	PROFUNDS	PROFUNDS U.S. GOVERNMENT PLUS FUND INVESTOR SH	LATE CUTOFF	NO LOAD	OPEN	1600	0	0
BKPIX	PROFUNDS	PROFUNDS ULTRA BANKS INV	EARLY CUTOFF	NO LOAD	OPEN	1500	0	0
URPIX	PROFUNDS	PROFUNDS ULTRA BEAR FUND INVESTOR SHARES	EARLY CUTOFF	NO LOAD	OPEN	1600	0	0
ULPIX	PROFUNDS	PROFUNDS ULTRA BULL FUND INVESTOR SHARES	LATE CUTOFF	NO LOAD	OPEN	1600	0	0
UGPIX	PROFUNDS	PROFUNDS ULTRA CHINA PROFUND INV CL SHRS	EARLY CUTOFF	NO LOAD	OPEN	1500	0	0
UDPIX	PROFUNDS	PROFUNDS ULTRA DOW 30 FUND INVESTOR SHRS	LATE CUTOFF	NO LOAD	OPEN	1600	0	0
UDPSX	PROFUNDS	PROFUNDS ULTRA DOW 30 SVC	LATE CUTOFF	NO LOAD	OPEN	1600	0	0
UUIPX	PROFUNDS	PROFUNDS ULTRA EMERGING MARKETS INV CL	EARLY CUTOFF	NO LOAD	OPEN	1500	0	0
FNPIX	PROFUNDS	PROFUNDS ULTRA FINANCIAL FUND INVESTOR SH	EARLY CUTOFF	NO LOAD	OPEN	1500	0	0
HCPIX	PROFUNDS	PROFUNDS ULTRA HEALTHCARE FD INVESTOR SH	EARLY CUTOFF	NO LOAD	OPEN	1500	0	0
UNPIX	PROFUNDS	PROFUNDS ULTRA INTERNATIONAL INV CL	EARLY CUTOFF	NO LOAD	OPEN	1500	0	0
INPIX	PROFUNDS	PROFUNDS ULTRA INTERNET FUND INV SHRS	EARLY CUTOFF	NO LOAD	OPEN	1500	0	0
UNPSX	PROFUNDS	PROFUNDS ULTRA INTL SVC	EARLY CUTOFF	NO LOAD	OPEN	1500	0	0
UJPIX	PROFUNDS	PROFUNDS ULTRA JAPAN FUND INVESTOR CLASS	LATE CUTOFF	NO LOAD	OPEN	1600	0	0
UMPIX	PROFUNDS	PROFUNDS ULTRA MID CAP INVESTOR CLASS	LATE CUTOFF	NO LOAD	OPEN	1600	0	0
UMPSX	PROFUNDS	PROFUNDS ULTRA MID CAP SVC	LATE CUTOFF	NO LOAD	OPEN	1600	0	0
UOPIX	PROFUNDS	PROFUNDS ULTRA NASDAQ100 INVESTOR SHARE	LATE CUTOFF	NO LOAD	OPEN	1600	0	0
UOPSX	PROFUNDS	PROFUNDS ULTRA NASDAQ100 SVC	LATE CUTOFF	NO LOAD	OPEN	1600	0	0
PMPIX	PROFUNDS	PROFUNDS ULTRA PRECIOUS METALS FUND INVESTOR SH	EARLY CUTOFF	NO LOAD	OPEN	1500	0	0
REPIX	PROFUNDS	PROFUNDS ULTRA REAL ESTATE FUND INVESTOR SH	EARLY CUTOFF	NO LOAD	OPEN	1500	0	0
SMPIX	PROFUNDS	PROFUNDS ULTRA SEMICONDUCTOR FD INV SHR	EARLY CUTOFF	NO LOAD	OPEN	1500	0	0
UAPIX	PROFUNDS	PROFUNDS ULTRA SMALL CAP INVESTOR SHARE	LATE CUTOFF	NO LOAD	OPEN	1600	0	0
TEPIX	PROFUNDS	PROFUNDS ULTRA TECH FD INVESTOR SH	EARLY CUTOFF	NO LOAD	OPEN	1500	0	0
UTPIX	PROFUNDS	PROFUNDS ULTRA UTILITIES FD INVESTOR SH	EARLY CUTOFF	NO LOAD	OPEN	1500	0	0
URPSX	PROFUNDS	PROFUNDS ULTRABEAR SVC	LATE CUTOFF	NO LOAD	OPEN	1600	0	0
ULPSX	PROFUNDS	PROFUNDS ULTRABULL SVC	LATE CUTOFF	NO LOAD	OPEN	1600	0	0
UGPSX	PROFUNDS	PROFUNDS ULTRACHINA SERVICE	LATE CUTOFF	NO LOAD	OPEN	1600	0	0
UUPSX	PROFUNDS	PROFUNDS ULTRAEMRG MKTS SVC	EARLY CUTOFF	NO LOAD	OPEN	1500	0	0
UJPSX	PROFUNDS	PROFUNDS ULTRAJAPAN SVC	LATE CUTOFF	NO LOAD	OPEN	1600	0	0
HCPSX	PROFUNDS	PROFUNDS ULTRASECTOR HEALTH CARE SVC	EARLY CUTOFF	NO LOAD	OPEN	1500	0	0
UHPHX	PROFUNDS	PROFUNDS ULTRASHORT CHINA PROFUND INV CL SHRS	EARLY CUTOFF	NO LOAD	OPEN	1500	0	0
UHPSX	PROFUNDS	PROFUNDS ULTRASHORT CHINA SVC	LATE CUTOFF	NO LOAD	OPEN	1600	0	0
UWPX	PROFUNDS	PROFUNDS ULTRASHORT DOW 30 FUND INV CL	LATE CUTOFF	NO LOAD	OPEN	1600	0	0
UWPSX	PROFUNDS	PROFUNDS ULTRASHORT DOW 30 SVC CL	LATE CUTOFF	NO LOAD	OPEN	1600	0	0
UVPIX	PROFUNDS	PROFUNDS ULTRASHORT EMERGING MARKETS INV CL	EARLY CUTOFF	NO LOAD	OPEN	1500	0	0
UVPSX	PROFUNDS	PROFUNDS ULTRASHORT EMRGMKTS SVC	EARLY CUTOFF	NO LOAD	OPEN	1500	0	0
UXPIX	PROFUNDS	PROFUNDS ULTRASHORT INTERNATIONAL INV CL	EARLY CUTOFF	NO LOAD	OPEN	1500	0	0
UXPSX	PROFUNDS	PROFUNDS ULTRASHORT INTL SVC	EARLY CUTOFF	NO LOAD	OPEN	1500	0	0
UKPIX	PROFUNDS	PROFUNDS ULTRASHORT JAPAN INV CL	LATE CUTOFF	NO LOAD	OPEN	1600	0	0
UIPIX	PROFUNDS	PROFUNDS ULTRASHORT MID CAP FUND INV SHARES	EARLY CUTOFF	NO LOAD	OPEN	1500	0	0

SYMBOL	FUND FAMILY	FUND DESCRIPTION	SHARE CLASS	FUND TYPE	STATUS	CUT-OFF	IRA MIN	NON-IRA MIN
UIPSX	PROFUNDS	PROFUNDS ULTRASHORT MID CAP SVC	LATE CUTOFF	NO LOAD	OPEN	1600	0	0
USPIX	PROFUNDS	PROFUNDS ULTRASHORT NASDAQ 100 INV CL	LATE CUTOFF	NO LOAD	OPEN	1600	0	0
USPSX	PROFUNDS	PROFUNDS ULTRASHORT NASDAQ 100 SVC	LATE CUTOFF	NO LOAD	OPEN	1600	0	0
UCPIX	PROFUNDS	PROFUNDS ULTRASHORT SMALL CAP FUND INV SHRS	LATE CUTOFF	NO LOAD	OPEN	1600	0	0
UCPSX	PROFUNDS	PROFUNDS ULTRASHORT SMALL CAP SVC	LATE CUTOFF	NO LOAD	OPEN	1600	0	0
UAPXS	PROFUNDS	PROFUNDS ULTRASMALL CAP SVC	LATE CUTOFF	NO LOAD	OPEN	1600	0	0
GVPSX	PROFUNDS	PROFUNDS US GOVT PLUS SVC	LATE CUTOFF	NO LOAD	OPEN	1600	0	0
UTPSX	PROFUNDS	PROFUNDS UTILITIES ULTRASECTOR SVC	EARLY CUTOFF	NO LOAD	OPEN	1500	0	0
INPSX	PROFUNDS	PROFUNDSINTERNET ULTRASECTOR SVC	EARLY CUTOFF	NO LOAD	OPEN	1500	0	0
UBPIX	PROFUNDS	ULTRALATIN AMERICA PROFD INV	EARLY CUTOFF	NO LOAD	OPEN	1500	0	0
UFPIX	PROFUNDS	ULTRASHORT LATIN AMERICA PROFD INV	EARLY CUTOFF	NO LOAD	OPEN	1500	0	0
PCAFX	PROSPECTOR	PROSPECTOR CAPITAL APPR	NO LOAD	NO LOAD	OPEN	1600	10000	10000
POPFX	PROSPECTOR	PROSPECTOR OPPORTUNITY	NO LOAD	NO LOAD	OPEN	1600	10000	10000
PROVX	PROVIDENT TRUST	PROVIDENT TRUST STRATEGY FD	NO LOAD	NO LOAD	OPEN	1600	0	0
PGEOX	PUTNAM	GEORGE PUTNAM BALANCED FD CL A	A	LOAD	OPEN	1600	0	0
PGPCX	PUTNAM	GEORGE PUTNAM BALANCED FD CL C	C	LOAD	OPEN	1600	0	0
PGEYX	PUTNAM	GEORGE PUTNAM BALANCED FD CL Y	Y	NO LOAD	OPEN	1600	0	0
PGPRX	PUTNAM	GEORGE PUTNAM BALANCED R	R	NO LOAD	REDEEM ONLY	1600	0	0
PMIAX	PUTNAM	PUTNAM ASSET ALLOCATION FD MULTI ASST CL A	A	LOAD	OPEN	1600	100	100
PCTCX	PUTNAM	PUTNAM CA TAX EXEMPT INCM C	C	LOAD	OPEN	1600	0	0
PCTEX	PUTNAM	PUTNAM CA TAX EXEMPT INCOME FD CLASS A	A	LOAD	OPEN	1600	0	0
PCIYX	PUTNAM	PUTNAM CA TAX EXEMPT INCOME FUND CL Y	Y	NO LOAD	OPEN	1600	0	0
PCONX	PUTNAM	PUTNAM CONVERTIBLE SEC FD CL A	A	LOAD	OPEN	1600	0	0
PRCCX	PUTNAM	PUTNAM CONVERTIBLE SEC FD CL C	C	LOAD	OPEN	1600	0	0
PCGYX	PUTNAM	PUTNAM CONVERTIBLE SEC FD CL Y	Y	NO LOAD	OPEN	1600	0	0
PCVRX	PUTNAM	PUTNAM CONVERTIBLE SECURITIES R	R	NO LOAD	REDEEM ONLY	1600	0	0
PTRNX	PUTNAM	PUTNAM CORE BOND A	A	LOAD	OPEN	1600	0	0
PTRGX	PUTNAM	PUTNAM CORE BOND C	C	LOAD	OPEN	1600	0	0
PTRKX	PUTNAM	PUTNAM CORE BOND R	R	NO LOAD	REDEEM ONLY	1600	0	0
PYTRX	PUTNAM	PUTNAM CORE BOND Y	Y	NO LOAD	OPEN	1600	0	0
PMYAX	PUTNAM	PUTNAM CORE EQUITY A	A	LOAD	OPEN	1600	0	0
PMYCX	PUTNAM	PUTNAM CORE EQUITY C	C	LOAD	OPEN	1600	0	0
PMYTX	PUTNAM	PUTNAM CORE EQUITY FUND R6	R6	NO LOAD	REDEEM ONLY	1600	0	0
PMYZX	PUTNAM	PUTNAM CORE EQUITY R	R	NO LOAD	REDEEM ONLY	1600	0	0
PMYYX	PUTNAM	PUTNAM CORE EQUITY Y	Y	NO LOAD	OPEN	1600	0	0
PDVCX	PUTNAM	PUTNAM DIVERSIFIED INCM C	C	LOAD	OPEN	1600	0	0
PDVYX	PUTNAM	PUTNAM DIVERSIFIED INCM TRUST CL Y	Y	NO LOAD	OPEN	1600	0	0
PDVRX	PUTNAM	PUTNAM DIVERSIFIED INCOME R	R	NO LOAD	REDEEM ONLY	1600	0	0
PDINX	PUTNAM	PUTNAM DIVERSIFIED INCOME TRUST CL A	A	LOAD	OPEN	1600	0	0
PABAX	PUTNAM	PUTNAM DYNAMIC ASSET ALLOC BALANCED A	A	LOAD	OPEN	1600	0	0
AABCX	PUTNAM	PUTNAM DYNAMIC ASSET ALLOC BALANCED C	C	LOAD	OPEN	1600	0	0

SYMBOL	FUND FAMILY	FUND DESCRIPTION	SHARE CLASS	FUND TYPE	STATUS	CUT- OFF	IRA MIN	NON-IRA MIN
PAAEX	PUTNAM	PUTNAM DYNAMIC ASSET ALLOC BALANCED R6	R6	NO LOAD	REDEEM ONLY	1600	0	0
PABYX	PUTNAM	PUTNAM DYNAMIC ASSET ALLOC BALANCED Y	Y	NO LOAD	OPEN	1600	0	0
PACAX	PUTNAM	PUTNAM DYNAMIC ASSET ALLOC CONSERV A	A	LOAD	OPEN	1600	0	0
PACCX	PUTNAM	PUTNAM DYNAMIC ASSET ALLOC CONSERV C	C	LOAD	OPEN	1600	0	0
PCCEX	PUTNAM	PUTNAM DYNAMIC ASSET ALLOC CONSERV R6	R6	NO LOAD	REDEEM ONLY	1600	0	0
PACYX	PUTNAM	PUTNAM DYNAMIC ASSET ALLOC CONSERV Y	Y	NO LOAD	OPEN	1600	0	0
PAEAX	PUTNAM	PUTNAM DYNAMIC ASSET ALLOC GROWTH A	A	LOAD	OPEN	1600	0	0
PAECX	PUTNAM	PUTNAM DYNAMIC ASSET ALLOC GROWTH C	C	LOAD	OPEN	1600	0	0
PAGYX	PUTNAM	PUTNAM DYNAMIC ASSET ALLOC GROWTH FND CL Y	Y	NO LOAD	OPEN	1600	0	0
PAEEX	PUTNAM	PUTNAM DYNAMIC ASSET ALLOC GROWTH R6	R6	NO LOAD	REDEEM ONLY	1600	0	0
PAARX	PUTNAM	PUTNAM DYNAMIC ASSET ALLOCATION BAL R	R	NO LOAD	REDEEM ONLY	1600	0	0
PACRX	PUTNAM	PUTNAM DYNAMIC ASSET ALLOCATION CNSRV R	R	NO LOAD	REDEEM ONLY	1600	0	0
PASRX	PUTNAM	PUTNAM DYNAMIC ASSET ALLOCATION GR R	R	NO LOAD	REDEEM ONLY	1600	0	0
PEMLX	PUTNAM	PUTNAM EMERGING MARKETS EQUITY R	R	NO LOAD	REDEEM ONLY	1600	0	0
PEMQX	PUTNAM	PUTNAM EMERGING MARKETS EQUITY R6	R6	NO LOAD	REDEEM ONLY	1600	0	0
PEMMX	PUTNAM	PUTNAM EMRG MKTS EQTY A	A	LOAD	OPEN	1600	0	0
PEMZC	PUTNAM	PUTNAM EMRG MKTS EQTY C	C	LOAD	OPEN	1600	0	0
PEMYX	PUTNAM	PUTNAM EMRG MKTS EQTY Y	Y	NO LOAD	OPEN	1600	0	0
PFICX	PUTNAM	PUTNAM FLOATING RATE INCM C	C	LOAD	OPEN	1600	0	0
PFRYX	PUTNAM	PUTNAM FLOATING RATE INCM Y	Y	NO LOAD	OPEN	1600	0	0
PFLRX	PUTNAM	PUTNAM FLOATING RATE INCOME CL A	A	LOAD	OPEN	1600	0	0
PFLCX	PUTNAM	PUTNAM FLOATING RATE INCOME R	R	NO LOAD	REDEEM ONLY	1600	0	0
PGIAX	PUTNAM	PUTNAM FOCUSED EQTY FD CL A	A	LOAD	OPEN	1600	0	0
PGIEX	PUTNAM	PUTNAM FOCUSED EQTY FD CL C	C	LOAD	OPEN	1600	0	0
PGIOX	PUTNAM	PUTNAM FOCUSED EQTY FD CL R	R	NO LOAD	REDEEM ONLY	1600	0	0
PGILX	PUTNAM	PUTNAM FOCUSED EQTY FD CL Y	Y	NO LOAD	OPEN	1600	0	0
PGLRX	PUTNAM	PUTNAM FOCUSED INTERNATIONAL EQUITY R	R	NO LOAD	REDEEM ONLY	1600	0	0
PEQUX	PUTNAM	PUTNAM FOCUSED INTL EQUITY FUND A	A	LOAD	OPEN	1600	0	0
PUGCX	PUTNAM	PUTNAM FOCUSED INTL EQUITY FUND C	C	LOAD	OPEN	1600	0	0
PEQYX	PUTNAM	PUTNAM GLOBAL EQTY FD CL Y	Y	NO LOAD	OPEN	1600	0	0
PHSTX	PUTNAM	PUTNAM GLOBAL HEALTH CARE FD CL A	A	LOAD	OPEN	1600	0	0
PCHSX	PUTNAM	PUTNAM GLOBAL HEALTH CARE FD CL C	C	LOAD	OPEN	1600	0	0
PHSYX	PUTNAM	PUTNAM GLOBAL HEALTH CARE FD CL Y	Y	NO LOAD	OPEN	1600	0	0
PHSRX	PUTNAM	PUTNAM GLOBAL HEALTH CARE R	R	NO LOAD	REDEEM ONLY	1600	0	0
PGGLX	PUTNAM	PUTNAM GLOBAL INCM TRUST C	C	LOAD	OPEN	1600	0	0
PGGEX	PUTNAM	PUTNAM GLOBAL INCM TRUST FD CL R6	R6	NO LOAD	REDEEM ONLY	1600	0	0
PGGYX	PUTNAM	PUTNAM GLOBAL INCM TRUST FD CL Y	Y	NO LOAD	OPEN	1600	0	0
PGBRX	PUTNAM	PUTNAM GLOBAL INCOME R	R	NO LOAD	REDEEM ONLY	1600	0	0
PGGIX	PUTNAM	PUTNAM GLOBAL INCOME TRUST CLASS A	A	LOAD	OPEN	1600	0	0
PGTAX	PUTNAM	PUTNAM GLOBAL TECH FD CL A	A	LOAD	OPEN	1600	0	0
PGTDX	PUTNAM	PUTNAM GLOBAL TECH FD CL C	C	LOAD	OPEN	1600	0	0

SYMBOL	FUND FAMILY	FUND DESCRIPTION	SHARE CLASS	FUND TYPE	STATUS	CUT- OFF	IRA MIN	NON-IRA MIN
PGTRX	PUTNAM	PUTNAM GLOBAL TECH FD CL R	R	NO LOAD	REDEEM ONLY	1600	0	0
PGTYX	PUTNAM	PUTNAM GLOBAL TECH FD CL Y	Y	NO LOAD	OPEN	1600	0	0
PGDXX	PUTNAM	PUTNAM GOVERNMENT MONEY MARKET A	A	LOAD WAIVED	OPEN	1600	0	0
PHYIX	PUTNAM	PUTNAM HIGH YIELD FUND CL A	A	LOAD	OPEN	1600	0	0
PHYLX	PUTNAM	PUTNAM HIGH YIELD FUND CL C	C	LOAD	OPEN	1600	0	0
PHAYX	PUTNAM	PUTNAM HIGH YIELD FUND CL Y	Y	NO LOAD	OPEN	1600	0	0
PFJAX	PUTNAM	PUTNAM HIGH YIELD R	R	NO LOAD	REDEEM ONLY	1600	0	0
PUICX	PUTNAM	PUTNAM INCM C	C	LOAD	OPEN	1600	0	0
PNCYX	PUTNAM	PUTNAM INCM Y	Y	NO LOAD	OPEN	1600	0	0
PINCX	PUTNAM	PUTNAM INCOME FND CL A	A	LOAD	OPEN	1600	0	0
PIFRX	PUTNAM	PUTNAM INCOME FUND CL R	R	NO LOAD	REDEEM ONLY	1600	0	0
PIMEX	PUTNAM	PUTNAM INTERM TERM MUNI INCM A	A	LOAD	OPEN	1600	0	0
PIMFX	PUTNAM	PUTNAM INTERM TERM MUNI INCM C	C	LOAD	OPEN	1600	0	0
PIMYX	PUTNAM	PUTNAM INTERM TERM MUNI INCM Y	Y	NO LOAD	OPEN	1600	0	0
PICRX	PUTNAM	PUTNAM INTERNATIONAL CAPITAL OPP R	R	NO LOAD	REDEEM ONLY	1600	0	0
PICOX	PUTNAM	PUTNAM INTERNATIONAL CAPITAL OPP R6	R6	NO LOAD	REDEEM ONLY	1600	0	0
PIERX	PUTNAM	PUTNAM INTERNATIONAL EQUITY R	R	NO LOAD	REDEEM ONLY	1600	0	0
PIIRX	PUTNAM	PUTNAM INTERNATIONAL VALUE R	R	NO LOAD	REDEEM ONLY	1600	0	0
PIGWX	PUTNAM	PUTNAM INTERNATIONAL VALUE R6	R6	NO LOAD	REDEEM ONLY	1600	0	0
PIVYX	PUTNAM	PUTNAM INTL CAPITAL OPP Y	Y	NO LOAD	OPEN	1600	0	0
PNVAX	PUTNAM	PUTNAM INTL CAPITAL OPPORTUNITIES FUND CL A	A	LOAD	OPEN	1600	0	0
PUVCX	PUTNAM	PUTNAM INTL CAPITAL OPPTY C	C	LOAD	OPEN	1600	0	0
POVYX	PUTNAM	PUTNAM INTL EQTY Y CL Y	Y	NO LOAD	OPEN	1600	0	0
POVEX	PUTNAM	PUTNAM INTL EQUITY CL R6	R6	NO LOAD	REDEEM ONLY	1600	0	0
POVSX	PUTNAM	PUTNAM INTL EQUITY FUND CL A	A	LOAD	OPEN	1600	0	0
PIGCX	PUTNAM	PUTNAM INTL EQUITY FUND CL C	C	LOAD	OPEN	1600	0	0
PNGAX	PUTNAM	PUTNAM INTL VALUE FD CL A	A	LOAD	OPEN	1600	0	0
PIGRX	PUTNAM	PUTNAM INTL VALUE FD CL C	C	LOAD	OPEN	1600	0	0
PNGYX	PUTNAM	PUTNAM INTL VALUE FD CL Y	Y	NO LOAD	OPEN	1600	0	0
POGAX	PUTNAM	PUTNAM LARGE CAP GROWTH A	A	LOAD	OPEN	1600	0	0
POGCX	PUTNAM	PUTNAM LARGE CAP GROWTH C	C	LOAD	OPEN	1600	0	0
PGORX	PUTNAM	PUTNAM LARGE CAP GROWTH R	R	NO LOAD	REDEEM ONLY	1600	0	0
PGOEX	PUTNAM	PUTNAM LARGE CAP GROWTH R6	R6	NO LOAD	REDEEM ONLY	1600	0	0
PGOYX	PUTNAM	PUTNAM LARGE CAP GROWTH Y	Y	NO LOAD	OPEN	1600	0	0
PEYAX	PUTNAM	PUTNAM LARGE CAP VALUE A	A	LOAD	OPEN	1600	0	0
PEQCX	PUTNAM	PUTNAM LARGE CAP VALUE C	C	LOAD	OPEN	1600	0	0
PEQRX	PUTNAM	PUTNAM LARGE CAP VALUE R	R	NO LOAD	REDEEM ONLY	1600	0	0
PEQLX	PUTNAM	PUTNAM LARGE CAP VALUE R5	R5	NO LOAD	REDEEM ONLY	1600	100000	100000
PEIYX	PUTNAM	PUTNAM LARGE CAP VALUE Y	Y	NO LOAD	OPEN	1600	0	0
PMMCX	PUTNAM	PUTNAM MA TAX EXEMPT INCM C	C	LOAD	OPEN	1600	0	0
PMAYX	PUTNAM	PUTNAM MA TAX EXEMPT INCM Y	Y	NO LOAD	OPEN	1600	0	0

SYMBOL	FUND FAMILY	FUND DESCRIPTION	SHARE CLASS	FUND TYPE	STATUS	CUT- OFF	IRA MIN	NON-IRA MIN
PXMAX	PUTNAM	PUTNAM MA TAX EXEMPT INCOME FUND CLASS A	A	LOAD	OPEN	1600	0	0
PMOCX	PUTNAM	PUTNAM MN TAX EXEMPT INCOME FD CL C	C	LOAD	OPEN	1600	0	0
PMNYX	PUTNAM	PUTNAM MN TAX EXEMPT INCOME FD CL Y	Y	NO LOAD	OPEN	1600	0	0
PXMNX	PUTNAM	PUTNAM MN TAX EXEMPT INCOME FUND CL A	A	LOAD	OPEN	1600	0	0
PFCXX	PUTNAM	PUTNAM MONEY MKT FD C	C	LOAD	OPEN	1600	0	0
PDDXX	PUTNAM	PUTNAM MONEY MKT FD CL A	A	LOAD	OPEN	1600	0	0
PMORX	PUTNAM	PUTNAM MORTGAGE OPPORTUNITIES A	A	LOAD	OPEN	1600	100	100
PMOZX	PUTNAM	PUTNAM MORTGAGE OPPORTUNITIES C	C	LOAD	OPEN	1600	0	0
PMOYX	PUTNAM	PUTNAM MORTGAGE OPPORTUNITIES Y	Y	NO LOAD	OPEN	1600	0	0
PGSIX	PUTNAM	PUTNAM MORTGAGE SECURITIES FUND CL A	A	LOAD	OPEN	1600	0	0
PGVCX	PUTNAM	PUTNAM MORTGAGE SECURITIES FUND CL C	C	LOAD	OPEN	1600	0	0
PGVRX	PUTNAM	PUTNAM MORTGAGE SECURITIES FUND CL R	R	NO LOAD	REDEEM ONLY	1600	0	0
PUSYX	PUTNAM	PUTNAM MORTGAGE SECURITIES FUND CL Y	Y	NO LOAD	OPEN	1600	0	0
PMICX	PUTNAM	PUTNAM MULTIASET INCOME FD CL C	C	LOAD	OPEN	1600	0	0
PMIRX	PUTNAM	PUTNAM MULTIASET INCOME FD CL R	R	NO LOAD	REDEEM ONLY	1600	0	0
PMIYX	PUTNAM	PUTNAM MULTIASET INCOME FD CL Y	Y	NO LOAD	OPEN	1600	0	0
PNJCX	PUTNAM	PUTNAM NJ TAX EXEMPT INCM C	C	LOAD	OPEN	1600	0	0
PTNJX	PUTNAM	PUTNAM NJ TAX EXEMPT INCOME CL A	A	LOAD	OPEN	1600	0	0
PNJYX	PUTNAM	PUTNAM NJ TAX EXEMPT INCOME FD CL Y	Y	NO LOAD	OPEN	1600	0	0
PNNCX	PUTNAM	PUTNAM NY TAX EXEMPT INCM C	C	LOAD	OPEN	1600	0	0
PNYYX	PUTNAM	PUTNAM NY TAX EXEMPT INCOME FD CL Y	Y	NO LOAD	OPEN	1600	0	0
PTEIX	PUTNAM	PUTNAM NY TAX EXEMPT INCOME FUND CL A	A	LOAD	OPEN	1600	0	0
POOCX	PUTNAM	PUTNAM OH TAX EXEMPT INCM C	C	LOAD	OPEN	1600	0	0
POTYX	PUTNAM	PUTNAM OH TAX EXEMPT INCM FD CL Y	Y	NO LOAD	OPEN	1600	0	0
PXOHX	PUTNAM	PUTNAM OH TAX EXEMPT INCOME CL A	A	LOAD	OPEN	1600	0	0
PPNCX	PUTNAM	PUTNAM PA TAX EXEMPT INCM C	C	LOAD	OPEN	1600	0	0
PPTYX	PUTNAM	PUTNAM PA TAX EXEMPT INCM Y	Y	NO LOAD	OPEN	1600	0	0
PTEPX	PUTNAM	PUTNAM PA TAX EXEMPT INCOME CL A	A	LOAD	OPEN	1600	0	0
PRACX	PUTNAM	PUTNAM RESEARCH C	C	LOAD	OPEN	1600	0	0
PURYX	PUTNAM	PUTNAM RESEARCH FD CL Y	Y	NO LOAD	OPEN	1600	0	0
PNRAX	PUTNAM	PUTNAM RESEARCH FUND CL A	A	LOAD	OPEN	1600	0	0
PRSRX	PUTNAM	PUTNAM RESEARCH R	R	NO LOAD	REDEEM ONLY	1600	0	0
PBDLX	PUTNAM	PUTNAM RETIREMENT ADVANTAGE 2025 A	A	LOAD	OPEN	1600	0	0
PBATX	PUTNAM	PUTNAM RETIREMENT ADVANTAGE 2025 R6	R6	NO LOAD	REDEEM ONLY	1600	0	0
PDIZX	PUTNAM	PUTNAM RETIREMENT ADVANTAGE 2030 R6	R6	NO LOAD	REDEEM ONLY	1600	0	0
PCDLX	PUTNAM	PUTNAM RETIREMENT ADVANTAGE 2035 R6	R6	NO LOAD	REDEEM ONLY	1600	0	0
PBAMX	PUTNAM	PUTNAM RETIREMENT ADVANTAGE 2040 R6	R6	NO LOAD	REDEEM ONLY	1600	0	0
PALGX	PUTNAM	PUTNAM RETIREMENT ADVANTAGE 2045 A	A	LOAD	OPEN	1600	0	0
PAFPX	PUTNAM	PUTNAM RETIREMENT ADVANTAGE 2045 C	C	LOAD	OPEN	1600	0	0
PAFMX	PUTNAM	PUTNAM RETIREMENT ADVANTAGE 2045 R6	R6	NO LOAD	REDEEM ONLY	1600	0	0
PAEKX	PUTNAM	PUTNAM RETIREMENT ADVANTAGE 2050 R6	R6	NO LOAD	REDEEM ONLY	1600	0	0

SYMBOL	FUND FAMILY	FUND DESCRIPTION	SHARE CLASS	FUND TYPE	STATUS	CUT- OFF	IRA MIN	NON-IRA MIN
PACJX	PUTNAM	PUTNAM RETIREMENT ADVANTAGE 2055 R6	R6	NO LOAD	REDEEM ONLY	1600	0	0
PAAVX	PUTNAM	PUTNAM RETIREMENT ADVANTAGE 2060 A	A	LOAD	OPEN	1600	0	0
PAAKX	PUTNAM	PUTNAM RETIREMENT ADVANTAGE 2060 R6	R6	NO LOAD	REDEEM ONLY	1600	0	0
PCKJX	PUTNAM	PUTNAM RETIREMENT ADVANTAGE 2065 R6	R6	NO LOAD	REDEEM ONLY	1600	0	0
PADLX	PUTNAM	PUTNAM RETIREMENT ADVANTAGE MATURITY R6	R6	NO LOAD	REDEEM ONLY	1600	0	0
PRARX	PUTNAM	PUTNAM SHORT DURATION BD FD CL R	R	NO LOAD	REDEEM ONLY	1600	0	0
PARTX	PUTNAM	PUTNAM SHORT DURATION BOND FD CL A	A	LOAD	OPEN	1600	0	0
PARQX	PUTNAM	PUTNAM SHORT DURATION BOND FD CL C	C	LOAD	OPEN	1600	0	0
PARYX	PUTNAM	PUTNAM SHORT DURATION BOND FD CL Y	Y	NO LOAD	OPEN	1600	0	0
PRREX	PUTNAM	PUTNAM SHORT DURATION BOND R6	R6	NO LOAD	REDEEM ONLY	1600	0	0
PSMEX	PUTNAM	PUTNAM SHORT TERM MUNI INCM A	A	LOAD	OPEN	1600	0	0
PSMTX	PUTNAM	PUTNAM SHORT TERM MUNI INCM C	C	LOAD	OPEN	1600	0	0
PSMYX	PUTNAM	PUTNAM SHORT TERM MUNI INCM Y	Y	NO LOAD	OPEN	1600	0	0
PNSAX	PUTNAM	PUTNAM SMALL CAP GROWTH CL A	A	LOAD	OPEN	1600	0	0
PNSCX	PUTNAM	PUTNAM SMALL CAP GROWTH FUND CL C	C	LOAD	OPEN	1600	0	0
PSYGX	PUTNAM	PUTNAM SMALL CAP GROWTH FUND CLASS Y	Y	NO LOAD	OPEN	1600	0	0
PSGRX	PUTNAM	PUTNAM SMALL CAP GROWTH R	R	NO LOAD	REDEEM ONLY	1600	0	0
PLKGX	PUTNAM	PUTNAM SMALL CAP GROWTH R6	R6	NO LOAD	REDEEM ONLY	1600	100000	100000
PSLCX	PUTNAM	PUTNAM SMALL CAP VALUE C	C	LOAD	OPEN	1600	0	0
PSLAX	PUTNAM	PUTNAM SMALL CAP VALUE CLASS A	A	LOAD	OPEN	1600	0	0
PSCRX	PUTNAM	PUTNAM SMALL CAP VALUE R	R	NO LOAD	REDEEM ONLY	1600	0	0
PYSVX	PUTNAM	PUTNAM SMALL CAP VALUE Y	Y	NO LOAD	OPEN	1600	0	0
PAMLX	PUTNAM	PUTNAM STRATEGIC INTERMEDIATE MUNCPL R6	R6	NO LOAD	REDEEM ONLY	1600	0	0
PPNAX	PUTNAM	PUTNAM STRATEGIC INTERMEDIATE MUNI FD CL A	A	LOAD	OPEN	1600	0	0
PAMTX	PUTNAM	PUTNAM STRATEGIC INTERMEDIATE MUNI FD CL C	C	LOAD	OPEN	1600	0	0
PAMYX	PUTNAM	PUTNAM STRATEGIC INTERMEDIATE MUNI FD CL Y	Y	NO LOAD	OPEN	1600	0	0
PMVAX	PUTNAM	PUTNAM SUSTAINABLE FUTURE FUND CL A	A	LOAD	OPEN	1600	0	0
PMPCX	PUTNAM	PUTNAM SUSTAINABLE FUTURE FUND CL C	C	LOAD	OPEN	1600	0	0
PMVYX	PUTNAM	PUTNAM SUSTAINABLE FUTURE FUND CL Y	Y	NO LOAD	OPEN	1600	0	0
PMVRX	PUTNAM	PUTNAM SUSTAINABLE FUTURE R	R	NO LOAD	REDEEM ONLY	1600	0	0
PNOPX	PUTNAM	PUTNAM SUSTAINABLE LEADERS FUND CL A	A	LOAD	OPEN	1600	0	0
PNOCX	PUTNAM	PUTNAM SUSTAINABLE LEADERS FUND CL C	C	LOAD	OPEN	1600	0	0
PNOYX	PUTNAM	PUTNAM SUSTAINABLE LEADERS FUND CL Y	Y	NO LOAD	OPEN	1600	0	0
PNORX	PUTNAM	PUTNAM SUSTAINABLE LEADERS R	R	NO LOAD	REDEEM ONLY	1600	0	0
PRROX	PUTNAM	PUTNAM SUSTAINABLE RETIREMENT 2025 A	A	LOAD	OPEN	1600	0	0
PRRQX	PUTNAM	PUTNAM SUSTAINABLE RETIREMENT 2030 A	A	LOAD	OPEN	1600	0	0
PRRTX	PUTNAM	PUTNAM SUSTAINABLE RETIREMENT 2030 Y	Y	NO LOAD	OPEN	1600	0	0
PRRWX	PUTNAM	PUTNAM SUSTAINABLE RETIREMENT 2035 A	A	LOAD	OPEN	1600	0	0
PRRZX	PUTNAM	PUTNAM SUSTAINABLE RETIREMENT 2040 A	A	LOAD	OPEN	1600	0	0
PRRZZ	PUTNAM	PUTNAM SUSTAINABLE RETIREMENT 2040 C	C	LOAD	OPEN	1600	0	0
PRVLX	PUTNAM	PUTNAM SUSTAINABLE RETIREMENT 2045 A	A	LOAD	OPEN	1600	0	0

SYMBOL	FUND FAMILY	FUND DESCRIPTION	SHARE CLASS	FUND TYPE	STATUS	CUT- OFF	IRA MIN	NON-IRA MIN
PRRRZ	PUTNAM	PUTNAM SUSTAINABLE RETIREMENT 2045 C	C	LOAD	OPEN	1600	0	0
PRVYX	PUTNAM	PUTNAM SUSTAINABLE RETIREMENT 2045 Y	Y	NO LOAD	OPEN	1600	0	0
PRRJX	PUTNAM	PUTNAM SUSTAINABLE RETIREMENT 2050 A	A	LOAD	OPEN	1600	0	0
PRRKX	PUTNAM	PUTNAM SUSTAINABLE RETIREMENT 2050 R	R	NO LOAD	REDEEM ONLY	1600	0	0
PRRFX	PUTNAM	PUTNAM SUSTAINABLE RETIREMENT 2055 A	A	LOAD	OPEN	1600	1000	2500
PRRVX	PUTNAM	PUTNAM SUSTAINABLE RETIREMENT 2055 R	R	NO LOAD	REDEEM ONLY	1600	100	100
PRTFX	PUTNAM	PUTNAM SUSTAINABLE RETIREMENT 2060 A	A	LOAD	OPEN	1600	0	0
PCJUX	PUTNAM	PUTNAM SUSTAINABLE RETIREMENT 2065 R	R	NO LOAD	REDEEM ONLY	1600	0	0
PRMAX	PUTNAM	PUTNAM SUSTAINABLE RETIREMENT MATURITY A	A	LOAD	OPEN	1600	0	0
PRMCX	PUTNAM	PUTNAM SUSTAINABLE RETIREMENT MATURITY C	C	LOAD	OPEN	1600	0	0
PRMKX	PUTNAM	PUTNAM SUSTAINABLE RETIREMENT MATURITY R	R	NO LOAD	REDEEM ONLY	1600	0	0
PRMYX	PUTNAM	PUTNAM SUSTAINABLE RETIREMENT MATURITY Y	Y	NO LOAD	OPEN	1600	0	0
PTECX	PUTNAM	PUTNAM TAX EXEMPT INCM C	C	LOAD	OPEN	1600	0	0
PTEYX	PUTNAM	PUTNAM TAX EXEMPT INCM Y	Y	NO LOAD	OPEN	1600	0	0
PTAEX	PUTNAM	PUTNAM TAX EXEMPT INCOME CLASS A	A	LOAD	OPEN	1600	0	0
PTCCX	PUTNAM	PUTNAM TAX FREE HIGH YIELD C	C	LOAD	OPEN	1600	0	0
PTHAX	PUTNAM	PUTNAM TAX FREE HIGH YIELD FUND CL A	A	LOAD	OPEN	1600	0	0
PTFYX	PUTNAM	PUTNAM TAX FREE HIGH YIELD Y	Y	NO LOAD	OPEN	1600	0	0
PSDTX	PUTNAM	PUTNAM ULTRA SHORT DURATION INCM FD CL A	A	NO LOAD	OPEN	1600	0	0
PSDLX	PUTNAM	PUTNAM ULTRA SHORT DURATION INCM FD CL C	C	LOAD	OPEN	1600	0	0
PSDYX	PUTNAM	PUTNAM ULTRA SHORT DURATION INCM FD CL Y	Y	NO LOAD	OPEN	1600	0	0
PSDRX	PUTNAM	PUTNAM ULTRA SHORT DURATION INCOME R	R	NO LOAD	REDEEM ONLY	1600	0	0
PSDQX	PUTNAM	PUTNAM ULTRA SHORT DURATION INCOME R6	R6	NO LOAD	REDEEM ONLY	1600	0	0
PZIEX	PZENA FUNDS	PZENA EMRG MKTS VALUE FD INST	INSTITUTIONAL	NO LOAD	OPEN	1600	0	0
PZVEX	PZENA FUNDS	PZENA EMRG MKTS VALUE INV	INVESTOR	NO LOAD	OPEN	1600	0	0
PZINX	PZENA FUNDS	PZENA INTERNATIONAL VALUE INSTL	INSTITUTIONAL	NO LOAD	OPEN	1600	0	0
PZIIX	PZENA FUNDS	PZENA INTL SMALL CAP VALUE INST	INSTITUTIONAL	NO LOAD	OPEN	1600	0	0
PZVIX	PZENA FUNDS	PZENA INTL SMALL CAP VALUE INV	INVESTOR	NO LOAD	OPEN	1600	0	0
PZIMX	PZENA FUNDS	PZENA MID CAP VALUE INST	INSTITUTIONAL	NO LOAD	OPEN	1600	0	0
PZVMX	PZENA FUNDS	PZENA MID CAP VALUE INV	INVESTOR	NO LOAD	OPEN	1600	0	0
PZISX	PZENA FUNDS	PZENA SM CAP VALUE INST	INSTITUTIONAL	NO LOAD	OPEN	1600	0	0
PZVSX	PZENA FUNDS	PZENA SM CAP VALUE INV	INVESTOR	NO LOAD	OPEN	1600	0	0
RFIMX	RANGER FUNDS	RANGER MICRO CAP INST	INSTITUTIONAL	NO LOAD	OPEN	1600	10000	10000
RFISX	RANGER FUNDS	RANGER SM CAP INST	INSTITUTIONAL	NO LOAD	OPEN	1600	10000	10000
HSUCX	RATIONAL FUNDS	RATIONAL DYNAMIC BRANDS C	C	LOAD	OPEN	1600	1000	1000
HSUAX	RATIONAL FUNDS	RATIONAL DYNAMIC BRANDS FD A	A	LOAD	OPEN	1600	1000	1000
HSUTX	RATIONAL FUNDS	RATIONAL DYNAMIC BRANDS FD INST	INSTITUTIONAL	NO LOAD	OPEN	1600	1000	1000
HDCAX	RATIONAL FUNDS	RATIONAL EQUITY ARMOR FD CL A	A	LOAD	OPEN	1600	1000	1000
HDCTX	RATIONAL FUNDS	RATIONAL EQUITY ARMOR FD INST	INSTITUTIONAL	NO LOAD	OPEN	1600	1000	1000
HDCEX	RATIONAL FUNDS	RATIONAL EQUITY ARMOR FUND C	C	LOAD	OPEN	1600	1000	1000
PBXAX	RATIONAL FUNDS	RATIONAL PIER 88 CONVERTIBLE SECS A	A	LOAD	OPEN	1600	1000	1000

SYMBOL	FUND FAMILY	FUND DESCRIPTION	SHARE CLASS	FUND TYPE	STATUS	CUT- OFF	IRA MIN	NON-IRA MIN
PBXIX	RATIONAL FUNDS	RATIONAL PIER 88 CONVERTIBLE SECS I	INSTITUTIONAL	NO LOAD	OPEN	1600	1000	1000
IGOIX	RATIONAL FUNDS	RATIONAL REAL ASSETS FUND I	INSTITUTIONAL	NO LOAD	REDEEM ONLY	1600	1000	1000
RDMIX	RATIONAL FUNDS	RATIONAL RESOLVE ADAPT ASSET ALLOC INST	INSTITUTIONAL	NO LOAD	OPEN	1600	0	0
RDMAX	RATIONAL FUNDS	RATIONAL RESOLVE ADAPTIVE ASSET ALLOC A	A	LOAD	OPEN	1600	1000	1000
RFXAX	RATIONAL FUNDS	RATIONAL SPECIAL SITUATIONS INCM A	A	LOAD	OPEN	1600	1000	1000
RFXIX	RATIONAL FUNDS	RATIONAL SPECIAL SITUATIONS INCM INST	INSTITUTIONAL	NO LOAD	OPEN	1600	0	0
RFXCX	RATIONAL FUNDS	RATIONAL SPECIAL SITUATIONS INCOME C	C	LOAD	OPEN	1600	1000	1000
RHSAX	RATIONAL FUNDS	RATIONAL STRATEGIC ALLOC FD A	A	LOAD	OPEN	1600	1000	1000
HRSFX	RATIONAL FUNDS	RATIONAL TACTICAL RETURN FD C	C	LOAD	OPEN	1600	0	0
HRSAX	RATIONAL FUNDS	RATIONAL TACTICAL RETURN FD CL A	A	LOAD	OPEN	1600	1000	1000
HRSTX	RATIONAL FUNDS	RATIONAL TACTICAL RETURN FD INST	INSTITUTIONAL	NO LOAD	OPEN	1600	1000	1000
ABYAX	RBB TRUST	ABBEY CAP FUTURES STRAT FD A	A	LOAD	OPEN	1600	0	0
ABYIX	RBB TRUST	ABBEY CAP FUTURES STRAT FD I	I	NO LOAD	OPEN	1600	1000000	1000000
MAFIX	RBB TRUST	ABBEY CAP MULTI ASSET FD I	I	NO LOAD	OPEN	1600	1000000	1000000
ABYCX	RBB TRUST	ABBEY CAPITAL FUTURES STRATEGY C	C	LOAD	OPEN	1600	2500	2500
MAFAX	RBB TRUST	ABBEY CAPITAL MULTI ASSET A	A	LOAD	OPEN	1600	100	100
ACASX	RBC CENTURA	ACCESS CAPITAL COMMUNITY INVESTMENT FD CL A	A	LOAD	OPEN	1600	250	2500
ACCSX	RBC CENTURA	ACCESS CAPITAL COMMUNITY INVESTMENT FD I	I	NO LOAD	OPEN	1600	0	0
ACATX	RBC CENTURA	ACCESS CAPITAL COMMUNITY INVESTMENT IS	IS	NO LOAD	OPEN	1600	0	0
RCPAX	RBC CENTURA	RBC BLUEBAY CORE PLUS BOND A	A	LOAD	OPEN	1600	0	0
RCPIX	RBC CENTURA	RBC BLUEBAY CORE PLUS BOND I	I	NO LOAD	OPEN	1600	1000	2500
RESAX	RBC CENTURA	RBC BLUEBAY EMRG MKT DEBT FD CL A	A	LOAD	OPEN	1600	100	100
RBESX	RBC CENTURA	RBC BLUEBAY EMRG MKT DEBT FD CL I	I	NO LOAD	OPEN	1600	1000000	1000000
RHYAX	RBC CENTURA	RBC BLUEBAY HIGH YIELD BD FD CL A	A	LOAD	OPEN	1600	100	2500
RGHYX	RBC CENTURA	RBC BLUEBAY HIGH YIELD BD FD CL I	I	NO LOAD	OPEN	1600	0	0
RBIAX	RBC CENTURA	RBC BLUEBAY STRATEGIC INCOME A	A	LOAD	OPEN	1600	0	0
RBSIX	RBC CENTURA	RBC BLUEBAY STRATEGIC INCOME I	I	NO LOAD	OPEN	1600	0	0
RREMX	RBC CENTURA	RBC EMERGING MARKETS EQUITY R6	R6	NO LOAD	REDEEM ONLY	1600	250000	250000
RECAx	RBC CENTURA	RBC EMERGING MARKETS EXCHINA EQUITY A	A	LOAD	OPEN	1600	0	0
RECIX	RBC CENTURA	RBC EMERGING MARKETS EXCHINA EQUITY I	I	NO LOAD	OPEN	1600	0	0
REEAX	RBC CENTURA	RBC EMRG MKTS EQTY A	A	LOAD	OPEN	1600	100	100
REEIX	RBC CENTURA	RBC EMRG MKTS EQTY I	I	NO LOAD	OPEN	1600	0	0
REMVX	RBC CENTURA	RBC EMRG MKTS VALUE I	I	NO LOAD	OPEN	1600	0	0
TETAX	RBC CENTURA	RBC ENTERPRISE FD CL A	A	LOAD	OPEN	1600	250	1000
TETIX	RBC CENTURA	RBC ENTERPRISE I	I	NO LOAD	OPEN	1600	0	0
RGPAX	RBC CENTURA	RBC GLBL OPPTY A	A	LOAD	OPEN	1600	100	100
RGOIX	RBC CENTURA	RBC GLBL OPPTY I	I	NO LOAD	OPEN	1600	0	0
RIBIX	RBC CENTURA	RBC IMPACT BD FD INST	I	NO LOAD	OPEN	1600	0	0
RIBAX	RBC CENTURA	RBC IMPACT BOND A	A	LOAD	OPEN	1600	0	0
RIOAX	RBC CENTURA	RBC INTL OPPTY A	A	LOAD	OPEN	1600	100	100
RIOIX	RBC CENTURA	RBC INTL OPPTY I	I	NO LOAD	OPEN	1600	0	0

SYMBOL	FUND FAMILY	FUND DESCRIPTION	SHARE CLASS	FUND TYPE	STATUS	CUT- OFF	IRA MIN	NON-IRA MIN
RMVIX	RBC CENTURA	RBC MICRO CAP VALUE FD CL I	I	NO LOAD	OPEN	1600	0	0
TMVAX	RBC CENTURA	RBC MICROCAP VALUE FD CL A	A	LOAD	SUBS ONLY	1600	250	1000
RSDIX	RBC CENTURA	RBC SHORT DURATION FIXED INCM I	I	NO LOAD	OPEN	1600	0	0
RCSIX	RBC CENTURA	RBC SM CAP CORE FD I	I	NO LOAD	OPEN	1600	0	0
TEEAX	RBC CENTURA	RBC SMALL CAP CORE FD CL A	A	LOAD	OPEN	1600	250	1000
RSVIX	RBC CENTURA	RBC SMALL CAP VALUE I	I	NO LOAD	OPEN	1600	0	0
TMCAx	RBC CENTURA	RBC SMID CAP GROWTH FD A	A	LOAD	OPEN	1600	250	1000
TMCIX	RBC CENTURA	RBC SMID CAP GROWTH FD I	I	NO LOAD	OPEN	1600	250000	250000
RUSIX	RBC CENTURA	RBC ULTRA SHORT FIXED INCM I	I	NO LOAD	OPEN	1600	0	0
TUGXX	RBC CENTURA	US GOVT MONEY MARKET RBC INSTL 1	I1	NO LOAD	REDEEM ONLY	1600	10000000	10000000
RSRFX	REAVES	REAVES UTIL AND ENERGY INFRASTRUCTURE INST	INSTITUTIONAL	NO LOAD	OPEN	1600	1000	1000
ECOAX	REDWHEEL	ECOFIN GLOBAL RENEWABLES INFRAS A	A	LOAD	OPEN	1600	0	0
ECOIX	REDWHEEL	ECOFIN GLOBAL RENEWABLES INFRASTRUCTURE FUND	INSTITUTIONAL	NO LOAD	OPEN	1600	0	0
CREMX	REDWOOD	REDWOOD REAL ESTATE INCOME FUND	I CLASS	NO LOAD	OPEN	1600	0	0
RPMFX	REINHART FUNDS	REINHART GENESIS PMV ADVISOR	ADVISOR	NO LOAD	OPEN	1600	0	0
RPMNX	REINHART FUNDS	REINHART MID CAP PMV INSTITUTIONAL	INSTITUTIONAL	NO LOAD	REDEEM ONLY	1600	1000000	1000000
RPMVX	REINHART FUNDS	REINHART MID CAP PRIVATE MKT VALUE ADV	ADVISOR	NO LOAD	OPEN	1600	0	0
RPMMX	REINHART FUNDS	REINHART MID CAP PRIVATE MKT VALUE INV	INVESTOR	NO LOAD	OPEN	1600	100	100
RBCGX	REYNOLDS	REYNOLDS BLUE CHIP GROWTH	NO LOAD	NO LOAD	OPEN	1600	100	100
RHJSX	RICE HALL JAMES	RICE HALL JAMES MICRO CAP PORT INSTL CL	INSTITUTIONAL	NO LOAD	OPEN	1600	100	100
RCTIX	RIVER CANYON	RIVER CANYON TOTAL RETURN BOND INST	INSTITUTIONAL	NO LOAD	OPEN	1600	0	0
RIVBX	RIVERBRIDGE	RIVERBRIDGE GWTH FD INST	I	NO LOAD	OPEN	1600	2500	2500
RIVRX	RIVERBRIDGE	RIVERBRIDGE GWTH FD INV	INVESTOR	NO LOAD	OPEN	1600	100	100
RNCIX	RIVERNORTH	RIVERNORTH CORE OPPTY FD CL I	INSTITUTIONAL	NO LOAD	OPEN	1600	0	0
RNCOX	RIVERNORTH	RIVERNORTH CORE OPPTY FD CL R	R	NO LOAD	OPEN	1600	100	100
RNSIX	RIVERNORTH	RIVERNORTH DOUBLELINE STRAT INCM FD INST	INSTITUTIONAL	NO LOAD	OPEN	1600	0	0
RNDLX	RIVERNORTH	RIVERNORTH DOUBLELINE STRAT INCM FD R	R	NO LOAD	OPEN	1600	100	100
RNHIX	RIVERNORTH	RIVERNORTH OAKTREE HIGH INCM I	INSTITUTIONAL	NO LOAD	OPEN	1600	0	0
RNOTX	RIVERNORTH	RIVERNORTH OAKTREE HIGH INCM R	R	NO LOAD	OPEN	1600	100	100
RCRIX	RIVERPARK FUNDS	RIVERPARK FLOATING RATE CMBS FD INST	INSTITUTIONAL	NO LOAD	OPEN	1600	100000	100000
RCRFX	RIVERPARK FUNDS	RIVERPARK FLOATING RATE CMBS FD RTL	RETAIL	NO LOAD	OPEN	1600	1000	1000
RPXIX	RIVERPARK FUNDS	RIVERPARK LARGE GROWTH FD INST CL SHRS	INSTITUTIONAL	NO LOAD	OPEN	1600	100000	100000
RPXFX	RIVERPARK FUNDS	RIVERPARK LARGE GROWTH FD RETAIL CL SHRS	RETAIL	NO LOAD	OPEN	1600	100	100
RLSIX	RIVERPARK FUNDS	RIVERPARK LONG SHORT OPPTY FD I	INSTITUTIONAL	NO LOAD	OPEN	1600	100000	100000
RLSFX	RIVERPARK FUNDS	RIVERPARK LONG SHORT OPPTY FD RETAIL	RETAIL	NO LOAD	OPEN	1600	100	100
RPHIX	RIVERPARK FUNDS	RIVERPARK SHORT TERM HIGH YLD FD INSTL CL SHRS	INSTITUTIONAL	NO LOAD	OPEN	1600	100000	100000
RPHYX	RIVERPARK FUNDS	RIVERPARK SHORT TERM HIGH YLD FD RETAIL CL SHRS	RETAIL	NO LOAD	OPEN	1600	100	100
RPNIX	RIVERPARK FUNDS	RIVERPARKNEXT CENTURY GR INST	INSTITUTIONAL	NO LOAD	OPEN	1600	5000	5000
RPNCX	RIVERPARK FUNDS	RIVERPARKNEXT CENTURY GROWTH RETAIL	RETAIL	NO LOAD	OPEN	1600	1000	1000
RPNLX	RIVERPARK FUNDS	RIVERPARKNEXT CENTURY LG GR INST	INSTITUTIONAL	NO LOAD	OPEN	1600	1000	2500
RWGIX	RIVERPARK FUNDS	WEDGEWOOD FD INST	INSTITUTIONAL	NO LOAD	OPEN	1600	100000	100000

SYMBOL	FUND FAMILY	FUND DESCRIPTION	SHARE CLASS	FUND TYPE	STATUS	CUT- OFF	IRA MIN	NON-IRA MIN
RWGFY	RIVERPARK FUNDS	WEDGEWOOD FD RETAIL	RETAIL	NO LOAD	OPEN	1600	100	100
RMBGX	RMB FUNDS	RMB FD CL I	INSTITUTIONAL	NO LOAD	OPEN	1600	0	0
RMBHX	RMB FUNDS	RMB FUND CLASS A	A	LOAD	OPEN	1600	100	2500
RMBJX	RMB FUNDS	RMB FUND CLASS C	C	LOAD	OPEN	1600	100	2500
RMBTX	RMB FUNDS	RMB INTL FD I	INSTITUTIONAL	NO LOAD	OPEN	1600	0	0
RMBPX	RMB FUNDS	RMB JAPAN FD I	INSTITUTIONAL	NO LOAD	OPEN	1600	0	0
RMBKX	RMB FUNDS	RMB MENDON FINANCIAL SERVICES CL A	A	LOAD	OPEN	1600	100	2500
RMBNX	RMB FUNDS	RMB MENDON FINANCIAL SERVICES CL C	C	LOAD	OPEN	1600	100	2500
RMBLX	RMB FUNDS	RMB MENDON FINANCIAL SERVICES I	INSTITUTIONAL	NO LOAD	OPEN	1600	0	0
RMBBX	RMB FUNDS	RMB SMALL CAP FUND CL I	INSTITUTIONAL	NO LOAD	OPEN	1600	100000	100000
RMBMX	RMB FUNDS	RMB SMID CAP FUND CL I	INSTITUTIONAL	NO LOAD	OPEN	1600	100000	100000
RNWIX	RONDURE FUNDS	RONDURE NEW WORLD INSTITUTIONAL	INSTITUTIONAL	NO LOAD	REDEEM ONLY	1600	1000	2500
RNWOX	RONDURE FUNDS	RONDURE NEW WORLD INVESTOR	INVESTOR	NO LOAD	REDEEM ONLY	1600	100	100
RYDVX	ROYCE	ROYCE DIV VAL SERVICE	SERVICE	NO LOAD	OPEN	1600	100	100
RDVIX	ROYCE	ROYCE DIV VALUE FD INVESTMENT CL	INVESTMENT	NO LOAD	OPEN	1600	1000	2000
RIPIX	ROYCE	ROYCE INTL PREMIER FD INST	INSTITUTIONAL	NO LOAD	REDEEM ONLY	1600	1000000	1000000
RIPNX	ROYCE	ROYCE INTL PREMIER FD INVT CL	INVESTMENT	NO LOAD	OPEN	1600	1000	2000
RYIPX	ROYCE	ROYCE INTL PREMIER FD SERVICE	SERVICE	NO LOAD	OPEN	1600	100	100
RYMCX	ROYCE	ROYCE MICRO CAP FD CONSULTANT	CONSULTANT	LOAD	OPEN	1600	1000	2000
RYOTX	ROYCE	ROYCE MICRO CAP FD INVESTMENT CL SHRS	INVESTMENT	NO LOAD	OPEN	1600	1000	2000
RMCFX	ROYCE	ROYCE MICRO CAP SERVICE	SERVICE	NO LOAD	OPEN	1600	100	100
RPRCX	ROYCE	ROYCE PREMIER CONSULTANT	CONSULTANT	LOAD	OPEN	1600	1000	2000
RYPRX	ROYCE	ROYCE PREMIER FD INVESTMENT CL SHRS	INVESTMENT	NO LOAD	OPEN	1600	1000	2000
RPFFX	ROYCE	ROYCE PREMIER FD SVC CL	SERVICE	NO LOAD	OPEN	1600	100	100
RPFIX	ROYCE	ROYCE PREMIER FUND INST CL	INSTITUTIONAL	NO LOAD	REDEEM ONLY	1600	1000000	1000000
RVVHX	ROYCE	ROYCE SMALL CAP VALUE FD INV CL	INVESTMENT	NO LOAD	OPEN	1600	1000	2000
RYVFX	ROYCE	ROYCE SMALL CAP VALUE FD SVC CL	SERVICE	NO LOAD	OPEN	1600	100	100
RYPCX	ROYCE	ROYCE SMALLCAP FD CONSULTANT CL	CONSULTANT	LOAD	OPEN	1600	1000	2000
RPMIX	ROYCE	ROYCE SMALLCAP FD INST	INSTITUTIONAL	NO LOAD	REDEEM ONLY	1600	1000000	1000000
PENNX	ROYCE	ROYCE SMALLCAP FD INV CL SHRS	INVESTMENT	NO LOAD	OPEN	1600	1000	2000
RYPFX	ROYCE	ROYCE SMALLCAP FUND SVC CL	SERVICE	NO LOAD	OPEN	1600	100	100
ROFCX	ROYCE	ROYCE SMALLCAP OPPORTUNITY CONSULTNT	CONSULTANT	LOAD	OPEN	1600	1000	2000
ROFIX	ROYCE	ROYCE SMALLCAP OPPORTUNITY INST	INSTITUTIONAL	NO LOAD	REDEEM ONLY	1600	1000000	1000000
RYPNX	ROYCE	ROYCE SMALLCAP OPPORTUNITY INV	INVESTMENT	NO LOAD	OPEN	1600	1000	2000
ROFRX	ROYCE	ROYCE SMALLCAP OPPORTUNITY R	R	NO LOAD	OPEN	1600	0	0
RYOFX	ROYCE	ROYCE SMALLCAP OPPORTUNITY S	SERVICE	NO LOAD	OPEN	1600	100	100
RSQCX	ROYCE	ROYCE SMALLCAP SPECIAL EQUITY C	CONSULTANT	LOAD	OPEN	1600	1000	2000
RSEIX	ROYCE	ROYCE SMALLCAP SPECIAL EQUITY INST	INSTITUTIONAL	NO LOAD	REDEEM ONLY	1600	1000000	1000000
RYSEX	ROYCE	ROYCE SMALLCAP SPECIAL EQUITY INV	INVESTMENT	NO LOAD	OPEN	1600	1000	2000
RSEFX	ROYCE	ROYCE SMALLCAP SPECIAL EQUITY SERVICE	SERVICE	NO LOAD	OPEN	1600	100	100
RYTCX	ROYCE	ROYCE SMALLCAP TOTAL RETURN C	CONSULTANT	LOAD	OPEN	1600	1000	2000

SYMBOL	FUND FAMILY	FUND DESCRIPTION	SHARE CLASS	FUND TYPE	STATUS	CUT-OFF	IRA MIN	NON-IRA MIN
RTRIX	ROYCE	ROYCE SMALLCAP TOTAL RETURN INST	INSTITUTIONAL	NO LOAD	REDEEM ONLY	1600	1000000	1000000
RYTRX	ROYCE	ROYCE SMALLCAP TOTAL RETURN INV	INVESTMENT	NO LOAD	OPEN	1600	1000	2000
RTRRX	ROYCE	ROYCE SMALLCAP TOTAL RETURN R	R	NO LOAD	OPEN	1600	0	0
RYTFX	ROYCE	ROYCE SMALLCAP TOTAL RETURN SERVICE	SERVICE	NO LOAD	OPEN	1600	100	100
RVPIX	ROYCE	ROYCE SMALLER CO GRWTH FD INST CL	INSTITUTIONAL	NO LOAD	REDEEM ONLY	1600	1000000	1000000
RVPHX	ROYCE	ROYCE SMALLER CO GRWTH FD INV CL	INVESTMENT	NO LOAD	OPEN	1600	1000	2000
RYVPX	ROYCE	ROYCE SMALLER CO GRWTH FD SVC CL	SERVICE	NO LOAD	OPEN	1600	100	100
RMHTX	RUSSELL	LONG DURATION BOND FUND M	M	NO LOAD	OPEN	1600	0	0
RBLAX	RUSSELL	RUSSELL BALANCED STRATEGY FD CL A	A	LOAD	OPEN	1600	0	0
RBLCX	RUSSELL	RUSSELL BALANCED STRATEGY FD CL C	C	LOAD	OPEN	1600	0	0
RBLSX	RUSSELL	RUSSELL BALANCED STRATEGY FD CL S	S	NO LOAD	REDEEM ONLY	1600	0	0
RCLAX	RUSSELL	RUSSELL CONSERVATIVE STRATEGY FD CL A	A	LOAD	OPEN	1600	0	0
RCLCX	RUSSELL	RUSSELL CONSERVATIVE STRATEGY FD CL C	C	LOAD	OPEN	1600	0	0
RCLSX	RUSSELL	RUSSELL CONSERVATIVE STRATEGY FD CL S	S	NO LOAD	REDEEM ONLY	1600	0	0
REMCX	RUSSELL	RUSSELL EMERGING MARKETS CL C	C	LOAD	OPEN	1600	0	0
RMMTX	RUSSELL	RUSSELL EMERGING MARKETS FD CL M	M	NO LOAD	REDEEM ONLY	1600	0	0
REGRX	RUSSELL	RUSSELL EMERGING MARKETS FD CL R6	R6	NO LOAD	REDEEM ONLY	1600	0	0
REMYX	RUSSELL	RUSSELL EMERGING MARKETS FD CL Y	Y	NO LOAD	REDEEM ONLY	1600	10000000	10000000
REMSX	RUSSELL	RUSSELL EMERGING MKTS FD CL S	S	NO LOAD	REDEEM ONLY	1600	0	0
REMAX	RUSSELL	RUSSELL EMRGING MARKETS CL A	A	LOAD	OPEN	1600	0	0
REAAX	RUSSELL	RUSSELL EQTY GWTH STRATEGY FD CL A	A	LOAD	OPEN	1600	0	0
RELCX	RUSSELL	RUSSELL EQTY GWTH STRATEGY FD CL C	C	LOAD	OPEN	1600	0	0
RELSX	RUSSELL	RUSSELL EQTY GWTH STRATEGY FD CL S	S	NO LOAD	REDEEM ONLY	1600	0	0
RLISX	RUSSELL	RUSSELL EQTY INCM FD CL S	S	NO LOAD	REDEEM ONLY	1600	0	0
RSQAX	RUSSELL	RUSSELL EQUITY INCOME FD CL A	A	LOAD	OPEN	1600	0	0
REQSX	RUSSELL	RUSSELL EQUITY INCOME FD CL C	C	LOAD	OPEN	1600	0	0
RREYX	RUSSELL	RUSSELL GBL REAL ESTATE SECURITIES CL Y	Y	NO LOAD	REDEEM ONLY	1600	10000000	10000000
RGEAX	RUSSELL	RUSSELL GLOBAL EQTY CL A	A	LOAD	OPEN	1600	0	0
RGECX	RUSSELL	RUSSELL GLOBAL EQTY CL C	C	LOAD	OPEN	1600	0	0
RGDTX	RUSSELL	RUSSELL GLOBAL EQTY FD CL M	M	NO LOAD	REDEEM ONLY	1600	0	0
RGESX	RUSSELL	RUSSELL GLOBAL EQTY FD CL S	S	NO LOAD	REDEEM ONLY	1600	0	0
RGIAX	RUSSELL	RUSSELL GLOBAL INFRASTRUCTURE A	A	LOAD	OPEN	1600	0	0
RGCIX	RUSSELL	RUSSELL GLOBAL INFRASTRUCTURE C	C	LOAD	OPEN	1600	0	0
RGISX	RUSSELL	RUSSELL GLOBAL INFRASTRUCTURE FD CL S	S	NO LOAD	REDEEM ONLY	1600	0	0
RGFTX	RUSSELL	RUSSELL GLOBAL INFRASTRUCTURE M	M	NO LOAD	REDEEM ONLY	1600	0	0
RGIYX	RUSSELL	RUSSELL GLOBAL INFRASTRUCTURE Y	Y	NO LOAD	REDEEM ONLY	1600	10000000	10000000
RREAX	RUSSELL	RUSSELL GLOBAL REAL ESTATE SEC FD CL A	A	LOAD	OPEN	1600	0	0
RRSCX	RUSSELL	RUSSELL GLOBAL REAL ESTATE SEC FD CL C	C	LOAD	OPEN	1600	0	0
RRESX	RUSSELL	RUSSELL GLOBAL REAL ESTATE SEC FD CL S	S	NO LOAD	REDEEM ONLY	1600	0	0
RETTX	RUSSELL	RUSSELL GLOBAL REAL ESTATE SECS M	M	NO LOAD	REDEEM ONLY	1600	0	0
RALAX	RUSSELL	RUSSELL GWTH STRATEGY FD CL A	A	LOAD	OPEN	1600	0	0

SYMBOL	FUND FAMILY	FUND DESCRIPTION	SHARE CLASS	FUND TYPE	STATUS	CUT- OFF	IRA MIN	NON-IRA MIN
RALCX	RUSSELL	RUSSELL GWTH STRATEGY FD CL C	C	LOAD	OPEN	1600	0	0
RALSX	RUSSELL	RUSSELL GWTH STRATEGY FD CL S	S	NO LOAD	REDEEM ONLY	1600	0	0
RNTTX	RUSSELL	RUSSELL INTL DEVELOPED MKTS CL M	M	NO LOAD	REDEEM ONLY	1600	0	0
RINYX	RUSSELL	RUSSELL INTL DEVELOPED MKTS CL Y	Y	NO LOAD	REDEEM ONLY	1600	10000000	10000000
RLNAX	RUSSELL	RUSSELL INTL DEVELOPED MKTS FD CL A	A	LOAD	OPEN	1600	0	0
RLNCX	RUSSELL	RUSSELL INTL DEVELOPED MKTS FD CL C	C	LOAD	OPEN	1600	0	0
RINTX	RUSSELL	RUSSELL INTL DEVELOPED MKTS FD CL S	S	NO LOAD	REDEEM ONLY	1600	0	0
RBLRX	RUSSELL	RUSSELL INV LIFEPOINTS BALANCED STRAT R1	R1	NO LOAD	REDEEM ONLY	1600	0	0
RBLUX	RUSSELL	RUSSELL INV LIFEPOINTS BALANCED STRAT R4	R4	NO LOAD	REDEEM ONLY	1600	0	0
RCLRX	RUSSELL	RUSSELL INV LIFEPOINTS CNSRV STRAT R1	R1	NO LOAD	REDEEM ONLY	1600	0	0
RCLUX	RUSSELL	RUSSELL INV LIFEPOINTS CNSRV STRAT R4	R4	NO LOAD	REDEEM ONLY	1600	0	0
RELRX	RUSSELL	RUSSELL INV LIFEPOINTS EQUITY GR STRATR1	R1	NO LOAD	REDEEM ONLY	1600	0	0
RELUX	RUSSELL	RUSSELL INV LIFEPOINTS EQUITY GR STRATR4	R4	NO LOAD	REDEEM ONLY	1600	0	0
RALRX	RUSSELL	RUSSELL INV LIFEPOINTS GROWTH STRAT R1	R1	NO LOAD	REDEEM ONLY	1600	0	0
RALUX	RUSSELL	RUSSELL INV LIFEPOINTS GROWTH STRAT R4	R4	NO LOAD	REDEEM ONLY	1600	0	0
RMLRX	RUSSELL	RUSSELL INV LIFEPOINTS MODERATE STRAT R1	R1	NO LOAD	REDEEM ONLY	1600	0	0
RMLUX	RUSSELL	RUSSELL INV LIFEPOINTS MODERATE STRAT R4	R4	NO LOAD	REDEEM ONLY	1600	0	0
RDBRX	RUSSELL	RUSSELL INV SHORT DURATION BOND R6	R6	NO LOAD	REDEEM ONLY	1600	0	0
RTXAX	RUSSELL	RUSSELL INV TAXMANAGED REAL ASSETS A	A	LOAD	OPEN	1600	0	0
RTXCX	RUSSELL	RUSSELL INV TAXMANAGED REAL ASSETS C	C	LOAD	OPEN	1600	0	0
RFACX	RUSSELL	RUSSELL INVESTMENT GRADE BD C	C	LOAD	OPEN	1600	0	0
RFATX	RUSSELL	RUSSELL INVESTMENT GRADE BD FD CL S	S	NO LOAD	REDEEM ONLY	1600	0	0
RMHSX	RUSSELL	RUSSELL INVT CO MULTIFCTR BD S	S	NO LOAD	OPEN	1600	0	0
RFAAX	RUSSELL	RUSSELL INVT GRADE BD FD CL A	A	LOAD	OPEN	1600	0	0
RIWTX	RUSSELL	RUSSELL INVT GRADE BD FD CL M	M	NO LOAD	REDEEM ONLY	1600	0	0
RMLAX	RUSSELL	RUSSELL MODERATE STRATEGY FD CL A	A	LOAD	OPEN	1600	0	0
RMLCX	RUSSELL	RUSSELL MODERATE STRATEGY FD CL C	C	LOAD	OPEN	1600	0	0
RMLSX	RUSSELL	RUSSELL MODERATE STRATEGY FD CL S	S	NO LOAD	REDEEM ONLY	1600	0	0
RMGSX	RUSSELL	RUSSELL MULTI ASSET GWTH STRAT FD CL S	S	NO LOAD	REDEEM ONLY	1600	0	0
RMATX	RUSSELL	RUSSELL MULTI ASSET GWTH STRAT M	M	NO LOAD	REDEEM ONLY	1600	0	0
RMYAX	RUSSELL	RUSSELL MULTI STRATEGY INCM FD A	A	LOAD	OPEN	1600	0	0
RMYCX	RUSSELL	RUSSELL MULTI STRATEGY INCM FD C	C	LOAD	OPEN	1600	0	0
RMYSX	RUSSELL	RUSSELL MULTI STRATEGY INCM FD CL S	S	NO LOAD	REDEEM ONLY	1600	0	0
RGYTX	RUSSELL	RUSSELL MULTI STRATEGY INCM FD M	M	NO LOAD	REDEEM ONLY	1600	0	0
RTISX	RUSSELL	RUSSELL MULTIFACTOR INTL EQTY FD CL S	S	NO LOAD	REDEEM ONLY	1600	0	0
RTITX	RUSSELL	RUSSELL MULTIFACTOR INTL EQTY FD M	M	NO LOAD	REDEEM ONLY	1600	0	0
RTIYX	RUSSELL	RUSSELL MULTIFACTOR INTL EQTY FD Y	Y	NO LOAD	REDEEM ONLY	1600	10000000	10000000
RTDAX	RUSSELL	RUSSELL MULTIFACTOR US EQTY FD A	A	LOAD	OPEN	1600	0	0
RTDCX	RUSSELL	RUSSELL MULTIFACTOR US EQTY FD C	C	LOAD	OPEN	1600	0	0
RTDSX	RUSSELL	RUSSELL MULTIFACTOR US EQTY FD CL S	S	NO LOAD	REDEEM ONLY	1600	0	0
RTDTX	RUSSELL	RUSSELL MULTIFACTOR US EQTY FD M	M	NO LOAD	REDEEM ONLY	1600	0	0

SYMBOL	FUND FAMILY	FUND DESCRIPTION	SHARE CLASS	FUND TYPE	STATUS	CUT-OFF	IRA MIN	NON-IRA MIN
RTDYX	RUSSELL	RUSSELL MULTIFACTOR US EQTY FD Y	Y	NO LOAD	REDEEM ONLY	1600	10000000	10000000
RGCAx	RUSSELL	RUSSELL OPPORTUNISTIC CREDIT FD CL A	A	LOAD	OPEN	1600	0	0
RGCCX	RUSSELL	RUSSELL OPPORTUNISTIC CREDIT FD CL C	C	LOAD	OPEN	1600	0	0
RGOTX	RUSSELL	RUSSELL OPPORTUNISTIC CREDIT FD CL M	M	NO LOAD	REDEEM ONLY	1600	0	0
RGCSX	RUSSELL	RUSSELL OPPORTUNISTIC CREDIT FD CL S	S	NO LOAD	REDEEM ONLY	1600	0	0
RGCYX	RUSSELL	RUSSELL OPPORTUNISTIC CREDIT FD CL Y	Y	NO LOAD	REDEEM ONLY	1600	10000000	10000000
RFBSX	RUSSELL	RUSSELL SHORT DURATION BD FD CL S	S	NO LOAD	REDEEM ONLY	1600	0	0
RSBTX	RUSSELL	RUSSELL SHORT DURATION BOND CL A	A	LOAD	OPEN	1600	0	0
RSBCX	RUSSELL	RUSSELL SHORT DURATION BOND CL C	C	LOAD	OPEN	1600	0	0
RSCTX	RUSSELL	RUSSELL SHORT DURATION BOND CL M	M	NO LOAD	REDEEM ONLY	1600	0	0
RFDAX	RUSSELL	RUSSELL STRATEGIC BD FD CL A	A	LOAD	OPEN	1600	0	0
RFCCX	RUSSELL	RUSSELL STRATEGIC BD FD CL C	C	LOAD	OPEN	1600	0	0
RFCTX	RUSSELL	RUSSELL STRATEGIC BD FD CL S	S	NO LOAD	REDEEM ONLY	1600	0	0
RSYTX	RUSSELL	RUSSELL STRATEGIC BOND CL M	M	NO LOAD	REDEEM ONLY	1600	0	0
RSBRX	RUSSELL	RUSSELL STRATEGIC BOND CL R6	R6	NO LOAD	REDEEM ONLY	1600	0	0
RFCYX	RUSSELL	RUSSELL STRATEGIC BOND CL Y	Y	NO LOAD	REDEEM ONLY	1600	10000000	10000000
REQAX	RUSSELL	RUSSELL SUSTAINABLE EQTY FD CL A	A	LOAD	OPEN	1600	0	0
REQCX	RUSSELL	RUSSELL SUSTAINABLE EQTY FD CL C	C	LOAD	OPEN	1600	0	0
REQTX	RUSSELL	RUSSELL SUSTAINABLE EQTY FD CL S	S	NO LOAD	REDEEM ONLY	1600	0	0
REUYX	RUSSELL	RUSSELL SUSTAINABLE EQTY FD CL Y	Y	NO LOAD	REDEEM ONLY	1600	10000000	10000000
RTEAX	RUSSELL	RUSSELL TAX EXEMPT BD FD CL A	A	LOAD	OPEN	1600	0	0
RTECX	RUSSELL	RUSSELL TAX EXEMPT BD FD CL C	C	LOAD	OPEN	1600	0	0
RLVSX	RUSSELL	RUSSELL TAX EXEMPT BD FD CL S	S	NO LOAD	REDEEM ONLY	1600	0	0
RBCUX	RUSSELL	RUSSELL TAX EXEMPT BD M	M	NO LOAD	REDEEM ONLY	1600	0	0
RTHAX	RUSSELL	RUSSELL TAX EXEMPT HIGH YLD BD FD A	A	LOAD	REDEEM ONLY	1600	0	0
RTHCX	RUSSELL	RUSSELL TAX EXEMPT HIGH YLD BD FD C	C	LOAD	OPEN	1600	0	0
RTHSX	RUSSELL	RUSSELL TAX EXEMPT HIGH YLD BD FD CL S	S	NO LOAD	REDEEM ONLY	1600	0	0
RHYTX	RUSSELL	RUSSELL TAX EXEMPT HIGH YLD BD FD M	M	NO LOAD	OPEN	1600	0	0
RTLX	RUSSELL	RUSSELL TAX MANAGED US LARGE CAP C	C	LOAD	OPEN	1600	0	0
RTSCX	RUSSELL	RUSSELL TAX MANAGED US MID & SMALL CAP C	C	LOAD	OPEN	1600	0	0
RTNAX	RUSSELL	RUSSELL TAX MGD INTL EQTY FD A	A	LOAD	OPEN	1600	0	0
RTNCX	RUSSELL	RUSSELL TAX MGD INTL EQTY FD C	C	LOAD	OPEN	1600	0	0
RTNSX	RUSSELL	RUSSELL TAX MGD INTL EQTY FD CL S	S	NO LOAD	REDEEM ONLY	1600	0	0
RTIUX	RUSSELL	RUSSELL TAX MGD INTL EQTY FD M	M	NO LOAD	REDEEM ONLY	1600	0	0
RTXSX	RUSSELL	RUSSELL TAX MGD REAL ASSETS FD CL S	S	NO LOAD	REDEEM ONLY	1600	0	0
RTXMX	RUSSELL	RUSSELL TAX MGD REAL ASSETS FD M	M	NO LOAD	REDEEM ONLY	1600	0	0
RTLAX	RUSSELL	RUSSELL TAX MGD U.S. LARGE CAP A	A	LOAD	OPEN	1600	0	0
RTSAX	RUSSELL	RUSSELL TAX MGD U.S. MID & SMALL CAP A	A	LOAD	OPEN	1600	0	0
RETSX	RUSSELL	RUSSELL TAX MGD US LARGE CAP FD CL S	S	NO LOAD	REDEEM ONLY	1600	0	0
RTMTX	RUSSELL	RUSSELL TAX MGD US LARGE CAP M	M	NO LOAD	REDEEM ONLY	1600	0	0
RTOUX	RUSSELL	RUSSELL TAX MGD US MID & SMALL CAP M	M	NO LOAD	REDEEM ONLY	1600	0	0

SYMBOL	FUND FAMILY	FUND DESCRIPTION	SHARE CLASS	FUND TYPE	STATUS	CUT- OFF	IRA MIN	NON-IRA MIN
RTSSX	RUSSELL	RUSSELL TAX MGD US MID AND SM CAP FD CL S	S	NO LOAD	REDEEM ONLY	1600	0	0
RLACX	RUSSELL	RUSSELL US SMALL CAP EQTY FD CL A	A	LOAD	OPEN	1600	0	0
RLECX	RUSSELL	RUSSELL US SMALL CAP EQTY FD CL C	C	LOAD	OPEN	1600	0	0
RUNTX	RUSSELL	RUSSELL US SMALL CAP EQTY FD CL M	M	NO LOAD	REDEEM ONLY	1600	0	0
RSCRX	RUSSELL	RUSSELL US SMALL CAP EQTY FD CL R6	R6	NO LOAD	REDEEM ONLY	1600	0	0
RLESX	RUSSELL	RUSSELL US SMALL CAP EQTY FD CL S	S	NO LOAD	REDEEM ONLY	1600	0	0
REBYX	RUSSELL	RUSSELL US SMALL CAP EQTY FD CL Y	Y	NO LOAD	REDEEM ONLY	1600	10000000	10000000
RSEAX	RUSSELL	RUSSELL US STRATEGIC EQTY CL A	A	LOAD	OPEN	1600	0	0
RSECX	RUSSELL	RUSSELL US STRATEGIC EQTY CL C	C	LOAD	OPEN	1600	0	0
RUSTX	RUSSELL	RUSSELL US STRATEGIC EQTY CL M	M	NO LOAD	REDEEM ONLY	1600	0	0
RSESX	RUSSELL	RUSSELL US STRATEGIC EQTY FD CL S	S	NO LOAD	REDEEM ONLY	1600	0	0
RWCEX	RWC FUNDS	REDWHEEL GLOBAL EMERGING EQUITY INS	INSTITUTIONAL	NO LOAD	OPEN	1600	0	0
RWCIX	RWC FUNDS	RWC GLOBAL EMRG EQTY I	I	NO LOAD	OPEN	1600	0	0
SAOAX	RYDEX	GUGGENHEIM ALPHA OPPORTUNITY A	A	LOAD	OPEN	1600	2500	2500
SAOCX	RYDEX	GUGGENHEIM ALPHA OPPORTUNITY C	C	LOAD	OPEN	1600	2500	2500
SAOIX	RYDEX	GUGGENHEIM ALPHA OPPTY I	INSTITUTIONAL	NO LOAD	OPEN	1600	2000000	2000000
SAOSX	RYDEX	GUGGENHEIM ALPHA OPPTY P	P	NO LOAD	OPEN	1600	0	0
SIUSX	RYDEX	GUGGENHEIM CORE BOND FD CL A	A	LOAD	OPEN	1600	2500	2500
SDICX	RYDEX	GUGGENHEIM CORE BOND FD CL C	C	LOAD	OPEN	1600	100	100
SIUPX	RYDEX	GUGGENHEIM CORE BOND FD CL P	P	NO LOAD	OPEN	1600	0	0
GIUSX	RYDEX	GUGGENHEIM CORE BOND FD INST	INSTITUTIONAL	NO LOAD	OPEN	1600	2000000	2000000
TVRAX	RYDEX	GUGGENHEIM DIRECT ALLOC A	A	LOAD	OPEN	1600	2500	2500
TVRCX	RYDEX	GUGGENHEIM DIRECT ALLOC C	C	LOAD	OPEN	1600	2500	2500
TVRIX	RYDEX	GUGGENHEIM DIRECT ALLOC I	INSTITUTIONAL	NO LOAD	OPEN	1600	2000000	2000000
TVFRX	RYDEX	GUGGENHEIM DIRECT ALLOC P	P	NO LOAD	OPEN	1600	0	0
GIFAX	RYDEX	GUGGENHEIM FLOATING RATE STRATEGIES A	A	LOAD	OPEN	1600	2500	2500
GIFCX	RYDEX	GUGGENHEIM FLOATING RATE STRATEGIES C	C	LOAD	OPEN	1600	2500	2500
GIFIX	RYDEX	GUGGENHEIM FLOATING RATE STRATEGIES INST	INSTITUTIONAL	NO LOAD	OPEN	1600	2000000	2000000
GIFPX	RYDEX	GUGGENHEIM FLOATING RATE STRATEGIES P	P	NO LOAD	OPEN	1600	0	0
GIFSX	RYDEX	GUGGENHEIM FLOATING RATE STRATEGIES R6	R6	NO LOAD	REDEEM ONLY	1600	0	0
SIHAX	RYDEX	GUGGENHEIM HIGH YIELD A	A	LOAD	OPEN	1600	2500	2500
SIHSX	RYDEX	GUGGENHEIM HIGH YIELD C	C	LOAD	OPEN	1600	2500	2500
SHYIX	RYDEX	GUGGENHEIM HIGH YIELD I	INSTITUTIONAL	NO LOAD	OPEN	1600	2000000	2000000
SIHPX	RYDEX	GUGGENHEIM HIGH YIELD P	P	NO LOAD	OPEN	1600	0	0
SHYSX	RYDEX	GUGGENHEIM HIGH YIELD R6	R6	NO LOAD	REDEEM ONLY	1600	0	0
GILCX	RYDEX	GUGGENHEIM LARGE CAP VAL INST	INSTITUTIONAL	NO LOAD	OPEN	1600	2000000	2000000
SEGPX	RYDEX	GUGGENHEIM LARGE CAP VAL P	P	NO LOAD	OPEN	1600	0	0
SECIX	RYDEX	GUGGENHEIM LARGE CAP VALUE A	A	LOAD	OPEN	1600	2500	2500
SEGIX	RYDEX	GUGGENHEIM LARGE CAP VALUE C	C	LOAD	OPEN	1600	2500	2500
GILFX	RYDEX	GUGGENHEIM LIMITED DURATION C	C	LOAD	OPEN	1600	2500	2500
GIKRX	RYDEX	GUGGENHEIM LIMITED DURATION R6	R6	NO LOAD	REDEEM ONLY	1600	0	0

SYMBOL	FUND FAMILY	FUND DESCRIPTION	SHARE CLASS	FUND TYPE	STATUS	CUT- OFF	IRA MIN	NON-IRA MIN
GILD	RYDEX	GUGGENHEIM LTD DURATION A	A	LOAD	OPEN	1600	2500	2500
GILH	RYDEX	GUGGENHEIM LTD DURATION INST	INSTITUTIONAL	NO LOAD	OPEN	1600	0	0
GILP	RYDEX	GUGGENHEIM LTD DURATION P	P	NO LOAD	OPEN	1600	0	0
GIOA	RYDEX	GUGGENHEIM MACRO OPPTY A	A	LOAD	OPEN	1600	2500	2500
GIOC	RYDEX	GUGGENHEIM MACRO OPPTY C	C	LOAD	OPEN	1600	2500	2500
GIOI	RYDEX	GUGGENHEIM MACRO OPPTY INST	INSTITUTIONAL	NO LOAD	OPEN	1600	2000000	2000000
GIOP	RYDEX	GUGGENHEIM MACRO OPPTY P	P	NO LOAD	OPEN	1600	0	0
GIOX	RYDEX	GUGGENHEIM MACRO OPPTY R6	R6	NO LOAD	REDEEM ONLY	1600	0	0
RYMZ	RYDEX	GUGGENHEIM MANAGED FUTURES STRATEGY C	C	LOAD	OPEN	1600	1000	2500
RYMT	RYDEX	GUGGENHEIM MANAGED FUTURES STRATEGY CL A	A	LOAD	OPEN	1600	2500	2500
RYIF	RYDEX	GUGGENHEIM MANAGED FUTURES STRATEGY FD INST	INSTITUTIONAL	NO LOAD	OPEN	1600	2000000	2000000
GUMX	RYDEX	GUGGENHEIM MARKET NEUTRAL REL EST A	A	LOAD	OPEN	1600	2500	2500
RYMF	RYDEX	GUGGENHEIM MGD FUTURES STRAT P	P	NO LOAD	OPEN	1600	0	0
GUMN	RYDEX	GUGGENHEIM MKT NEUTRAL REAL ESTATE INST	INSTITUTIONAL	NO LOAD	OPEN	1600	2000000	2000000
GUMP	RYDEX	GUGGENHEIM MKT NEUTRAL REAL ESTATE P	P	NO LOAD	OPEN	1600	0	0
RYMS	RYDEX	GUGGENHEIM MULTI HEDGE STRAT P	P	NO LOAD	OPEN	1600	0	0
RYMQ	RYDEX	GUGGENHEIM MULTIHEDGE STRATEGIES FD CL A	A	LOAD	OPEN	1600	2500	2500
RYMR	RYDEX	GUGGENHEIM MULTIHEDGE STRATEGIES FD CL C	C	LOAD	OPEN	1600	0	0
RYIM	RYDEX	GUGGENHEIM MULTIHEDGE STRATEGIES INST	INSTITUTIONAL	NO LOAD	OPEN	1600	2000000	2000000
GIJX	RYDEX	GUGGENHEIM MUNI INCM FD	A	LOAD	OPEN	1600	1000	2500
GIJX	RYDEX	GUGGENHEIM MUNI INCM FD INST	INSTITUTIONAL	NO LOAD	OPEN	1600	2000000	2000000
GIJP	RYDEX	GUGGENHEIM MUNI INCM FD P	P	NO LOAD	OPEN	1600	0	0
TVEA	RYDEX	GUGGENHEIM RBP DIV A	A	LOAD	OPEN	1600	2500	2500
TVEC	RYDEX	GUGGENHEIM RBP DIV C	C	LOAD	OPEN	1600	2500	2500
TVEI	RYDEX	GUGGENHEIM RBP DIV I	INSTITUTIONAL	NO LOAD	OPEN	1600	2000000	2000000
TVEF	RYDEX	GUGGENHEIM RBP DIV P	P	NO LOAD	OPEN	1600	0	0
TVDX	RYDEX	GUGGENHEIM RBP LARGE CAP DEFENSIVE A	A	LOAD	OPEN	1600	2500	2500
TVDC	RYDEX	GUGGENHEIM RBP LARGE CAP DEFENSIVE C	C	LOAD	OPEN	1600	1000	2500
TVID	RYDEX	GUGGENHEIM RBP LARGE CAP DEFENSIVE INST	INSTITUTIONAL	NO LOAD	OPEN	1600	2000000	2000000
TVFD	RYDEX	GUGGENHEIM RBP LARGE CAP DEFENSIVE P	P	NO LOAD	OPEN	1600	0	0
TVVI	RYDEX	GUGGENHEIM RBP LARGE CAP VALUE I	INSTITUTIONAL	NO LOAD	OPEN	1600	2000000	2000000
TVVF	RYDEX	GUGGENHEIM RBP LARGE CAP VALUE P	P	NO LOAD	OPEN	1600	0	0
GURX	RYDEX	GUGGENHEIM RISK MANAGED REAL ESTATE A	A	LOAD	OPEN	1600	2500	2500
GURI	RYDEX	GUGGENHEIM RISK MANAGED REAL ESTATE INST	INSTITUTIONAL	NO LOAD	OPEN	1600	2000000	2000000
GURP	RYDEX	GUGGENHEIM RISK MANAGED REAL ESTATE P	P	NO LOAD	OPEN	1600	0	0
GURC	RYDEX	GUGGENHEIM RISK MANAGED REAL ESTT C	C	LOAD	OPEN	1600	2500	2500
SEVA	RYDEX	GUGGENHEIM SMID CAP VALUE FD CL A	A	LOAD	OPEN	1600	2500	2500
SEVS	RYDEX	GUGGENHEIM SMID CAP VALUE FD CL C	C	LOAD	OPEN	1600	2500	2500
SEVP	RYDEX	GUGGENHEIM SMID CAP VALUE FD CL P	P	NO LOAD	OPEN	1600	0	0
SVUI	RYDEX	GUGGENHEIM SMID CAP VALUE INST	INSTITUTIONAL	NO LOAD	OPEN	1600	2000000	2000000
SECE	RYDEX	GUGGENHEIM STYLE PLUS LG CORE FD A	A	LOAD	OPEN	1600	2500	2500

SYMBOL	FUND FAMILY	FUND DESCRIPTION	SHARE CLASS	FUND TYPE	STATUS	CUT- OFF	IRA MIN	NON-IRA MIN
SFEPX	RYDEX	GUGGENHEIM STYLE PLUS LG CORE FD P	P	NO LOAD	OPEN	1600	0	0
GILIX	RYDEX	GUGGENHEIM STYLE PLUS LG CORE INST	INSTITUTIONAL	NO LOAD	OPEN	1600	2000000	2000000
SECUX	RYDEX	GUGGENHEIM STYLE PLUS MID GWTH FD A	A	LOAD	OPEN	1600	2500	2500
SUFCX	RYDEX	GUGGENHEIM STYLE PLUS MID GWTH FD C	C	LOAD	OPEN	1600	2500	2500
GIUIX	RYDEX	GUGGENHEIM STYLE PLUS MID GWTH FD INST	INSTITUTIONAL	NO LOAD	OPEN	1600	2000000	2000000
SEUPX	RYDEX	GUGGENHEIM STYLE PLUS MID GWTH FD P	P	NO LOAD	OPEN	1600	0	0
SFECX	RYDEX	GUGGENHEIM STYLEPLUS LARGE CORE C	C	LOAD	OPEN	1600	2500	2500
GIBAX	RYDEX	GUGGENHEIM TOTAL RETURN BD A	A	LOAD	OPEN	1600	2500	2500
GIBCX	RYDEX	GUGGENHEIM TOTAL RETURN BD C	C	LOAD	OPEN	1600	2500	2500
GIBIX	RYDEX	GUGGENHEIM TOTAL RETURN BD INST	INSTITUTIONAL	NO LOAD	OPEN	1600	2000000	2000000
GIBLX	RYDEX	GUGGENHEIM TOTAL RETURN BD P	P	NO LOAD	OPEN	1600	0	0
GIBRX	RYDEX	GUGGENHEIM TOTAL RETURN BD R6	R6	NO LOAD	REDEEM ONLY	1600	0	0
GIYAX	RYDEX	GUGGENHEIM ULTRA SHORT DURATION A	A	NO LOAD	OPEN	1600	0	0
GIYIX	RYDEX	GUGGENHEIM ULTRA SHORT DURATION INST	INSTITUTIONAL	NO LOAD	OPEN	1600	2000000	2000000
SEQAX	RYDEX	GUGGENHEIM WORLD EQTY INCM A	A	LOAD	OPEN	1600	2500	2500
SEWIX	RYDEX	GUGGENHEIM WORLD EQTY INCM INST	INSTITUTIONAL	NO LOAD	OPEN	1600	2000000	2000000
SEQPX	RYDEX	GUGGENHEIM WORLD EQTY INCM P	P	NO LOAD	OPEN	1600	0	0
SFGCX	RYDEX	GUGGENHEIM WORLD EQUITY INCOME C	C	LOAD	OPEN	1600	2500	2500
RYBKX	RYDEX	RYDEX BANKING A	A	LOAD	OPEN	1600	1000	2500
RYKCX	RYDEX	RYDEX BANKING C	C	LOAD	OPEN	1600	1000	2500
RYKIX	RYDEX	RYDEX BANKING FD INV	INVESTOR LATE CU	NO LOAD	OPEN	1600	0	0
RYKAX	RYDEX	RYDEX BANKING FUND CL H	H	NO LOAD	OPEN	1600	0	0
RYBMX	RYDEX	RYDEX BASIC MATERIALS A	A	LOAD	OPEN	1600	1000	2500
RYBCX	RYDEX	RYDEX BASIC MATERIALS C	C	LOAD	OPEN	1600	1000	2500
RYBIX	RYDEX	RYDEX BASIC MATERIALS FD INV	INVESTOR LATE CU	NO LOAD	OPEN	1600	0	0
RYBAX	RYDEX	RYDEX BASIC MATERIALS FUND CL H	H	NO LOAD	OPEN	1600	0	0
RYOIX	RYDEX	RYDEX BIOTECHNOLOGY FD INV	INVESTOR LATE CU	NO LOAD	OPEN	1600	0	0
RYBOX	RYDEX	RYDEX BIOTECHNOLOGY FUND A	A	LOAD	OPEN	1600	1000	2500
RYCFX	RYDEX	RYDEX BIOTECHNOLOGY FUND CL C	C	LOAD	OPEN	1600	1000	2500
RYOAX	RYDEX	RYDEX BIOTECHNOLOGY FUND CL H	H	NO LOAD	OPEN	1600	0	0
RYMEX	RYDEX	RYDEX COMMODITIES STRATEGY CL A	A	LOAD	OPEN	1600	1000	2500
RYMJX	RYDEX	RYDEX COMMODITIES STRATEGY FUND CL C	C	LOAD	OPEN	1600	1000	2500
RYMBX	RYDEX	RYDEX COMMODITIES STRATEGY FUND CL H	H	NO LOAD	OPEN	1600	100	100
RYPDX	RYDEX	RYDEX CONSUMER PRODUCTS A	A	LOAD	OPEN	1600	1000	2500
RYCPX	RYDEX	RYDEX CONSUMER PRODUCTS C	C	LOAD	OPEN	1600	1000	2500
RYCIX	RYDEX	RYDEX CONSUMER PRODUCTS FD INV	INVESTOR LATE CU	NO LOAD	OPEN	1600	0	0
RYCAX	RYDEX	RYDEX CONSUMER PRODUCTS FUND CL H	H	NO LOAD	OPEN	1600	0	0
RYCYX	RYDEX	RYDEX DOW 2X STRATEGY FD CL C	C	LOAD	OPEN	1600	1000	2500
RYLDX	RYDEX	RYDEX DOW 2X STRATEGY FUND CL A	A	LOAD	OPEN	1600	1000	2500
RYCVX	RYDEX	RYDEX DOW 2X STRATEGY FUND CL H	H	NO LOAD	OPEN	1600	100	100
RYDAX	RYDEX	RYDEX DOW JONES INDUSTRIAL AVERAGE A	A	LOAD	OPEN	1600	1000	2500

SYMBOL	FUND FAMILY	FUND DESCRIPTION	SHARE CLASS	FUND TYPE	STATUS	CUT- OFF	IRA MIN	NON-IRA MIN
RYDKX	RYDEX	RYDEX DOW JONES INDUSTRIAL AVERAGE C	C	LOAD	OPEN	1600	1000	2500
RYDHX	RYDEX	RYDEX DOW JONES INDUSTRIAL AVERAGE H	H	NO LOAD	OPEN	1600	100	100
RYELX	RYDEX	RYDEX ELECTRONICS A	A	LOAD	OPEN	1600	1000	2500
RYSCX	RYDEX	RYDEX ELECTRONICS C	C	LOAD	OPEN	1600	1000	2500
RYSEX	RYDEX	RYDEX ELECTRONICS CL H	H	NO LOAD	OPEN	1600	0	0
RYSEX	RYDEX	RYDEX ELECTRONICS FD INV	INVESTOR LATE CU	NO LOAD	OPEN	1600	0	0
RYWUX	RYDEX	RYDEX EMERGING MARKETS 2X STRATEGY C	C	LOAD	OPEN	1600	1000	2500
RYWVX	RYDEX	RYDEX EMERGING MARKETS 2X STRATEGY H	H	NO LOAD	OPEN	1600	100	100
RYIEX	RYDEX	RYDEX EMERGING MARKETS BOND STRATEGY A	A	LOAD	OPEN	1600	100	100
RYWTX	RYDEX	RYDEX EMRG MKTS 2X STRAT FD A	A	LOAD	OPEN	1600	1000	2500
RYGTX	RYDEX	RYDEX EMRG MKTS BD STRATEGY H	H	NO LOAD	OPEN	1600	100	100
RYENX	RYDEX	RYDEX ENERGY A	A	LOAD	OPEN	1600	1000	2500
RYECX	RYDEX	RYDEX ENERGY C	C	LOAD	OPEN	1600	1000	2500
RYEIX	RYDEX	RYDEX ENERGY FD INV	INVESTOR LATE CU	NO LOAD	OPEN	1600	0	0
RYEAX	RYDEX	RYDEX ENERGY FUND CL H	H	NO LOAD	OPEN	1600	0	0
RYVCX	RYDEX	RYDEX ENERGY SERVICES C	C	LOAD	OPEN	1600	1000	2500
RYVAX	RYDEX	RYDEX ENERGY SERVICES FD CL H	H	NO LOAD	OPEN	1600	0	0
RYVIX	RYDEX	RYDEX ENERGY SERVICES FD INV	INVESTOR LATE CU	NO LOAD	OPEN	1600	0	0
RYCEX	RYDEX	RYDEX EUROPE 1.25X STRATEGY FD CL C	C	LOAD	OPEN	1600	1000	2500
RYAEX	RYDEX	RYDEX EUROPE 1.25X STRATEGY FUND CL A	A	LOAD	OPEN	1600	1000	2500
RYEUX	RYDEX	RYDEX EUROPE 1.25X STRATEGY FUND CL H	H	NO LOAD	OPEN	1600	100	100
RYFNX	RYDEX	RYDEX FINANCIAL SERVICES A	A	LOAD	OPEN	1600	1000	2500
RYFCX	RYDEX	RYDEX FINANCIAL SERVICES C	C	LOAD	OPEN	1600	1000	2500
RYFAX	RYDEX	RYDEX FINANCIAL SERVICES CL H	H	NO LOAD	SUBS ONLY	1600	0	0
RYFIX	RYDEX	RYDEX FINANCIAL SERVICES FD INV	INVESTOR LATE CU	NO LOAD	OPEN	1600	0	0
RYABX	RYDEX	RYDEX GOVT LONG BD 1.2 X STRATEGY FUND A	A	LOAD	OPEN	1600	1000	2500
RYHBX	RYDEX	RYDEX GOVT LONG BD 1.2X STRATEGY CL H	H	NO LOAD	OPEN	1600	100	100
RYCGX	RYDEX	RYDEX GOVT LONG BD 1.2X STRATEGY FUND C	C	LOAD	OPEN	1600	1000	2500
RYGBX	RYDEX	RYDEX GOVT LONG BOND 1.2X STRATEGY FUND INV	INVESTOR LATE CU	NO LOAD	OPEN	1600	0	0
RYHEX	RYDEX	RYDEX HEALTH CARE A	A	LOAD	OPEN	1600	1000	2500
RYHCX	RYDEX	RYDEX HEALTH CARE C	C	LOAD	OPEN	1600	1000	2500
RYHAX	RYDEX	RYDEX HEALTH CARE CL H	H	NO LOAD	OPEN	1600	0	0
RYHIX	RYDEX	RYDEX HEALTH CARE FD INV	INVESTOR LATE CU	NO LOAD	OPEN	1600	0	0
RYHDX	RYDEX	RYDEX HIGH YIELD STRATEGY A	A	LOAD	OPEN	1600	0	0
RYHHX	RYDEX	RYDEX HIGH YIELD STRATEGY C	C	LOAD	OPEN	1600	0	0
RYHGX	RYDEX	RYDEX HIGH YIELD STRATEGY H	H	NO LOAD	OPEN	1600	100	100
RYINX	RYDEX	RYDEX INTERNET A	A	LOAD	OPEN	1600	1000	2500
RYICX	RYDEX	RYDEX INTERNET C	C	LOAD	OPEN	1600	1000	2500
RYIAX	RYDEX	RYDEX INTERNET CL H	H	NO LOAD	SUBS ONLY	1600	0	0
RYIIX	RYDEX	RYDEX INTERNET FD INV	INVESTOR LATE CU	NO LOAD	OPEN	1600	0	0
RYCZX	RYDEX	RYDEX INVERSE DOW 2X STRATEGY FD CL C	C	LOAD	OPEN	1600	1000	2500

SYMBOL	FUND FAMILY	FUND DESCRIPTION	SHARE CLASS	FUND TYPE	STATUS	CUT- OFF	IRA MIN	NON-IRA MIN
RYIDX	RYDEX	RYDEX INVERSE DOW 2X STRATEGY FUND CL A	A	LOAD	OPEN	1600	1000	2500
RYCWX	RYDEX	RYDEX INVERSE DOW 2X STRATEGY FUND CL H	H	NO LOAD	OPEN	1600	100	100
RYWWX	RYDEX	RYDEX INVERSE EMERGING MKTS 2X STRAT A	A	LOAD	OPEN	1600	100	100
RYWYX	RYDEX	RYDEX INVERSE EMRG MKTS 2X STRATEGY H	H	NO LOAD	OPEN	1600	100	100
RYHJX	RYDEX	RYDEX INVERSE GOVT LONG BD STRATEGY CL H	H	NO LOAD	OPEN	1600	100	100
RYJCX	RYDEX	RYDEX INVERSE GOVT LONG BOND STRATEGY FD CL C	C	LOAD	OPEN	1600	1000	2500
RYJUX	RYDEX	RYDEX INVERSE GOVT LONG BOND STRATEGY FD INV	INVESTOR LATE CL	NO LOAD	OPEN	1600	0	0
RYAQX	RYDEX	RYDEX INVERSE GOVT LONG BOND STRATEGY FUND CL A	A	LOAD	OPEN	1600	1000	2500
RYILX	RYDEX	RYDEX INVERSE HIGH YIELD STRATEGY A	A	LOAD	OPEN	1600	1000	2500
RYIYX	RYDEX	RYDEX INVERSE HIGH YIELD STRATEGY C	C	LOAD	OPEN	1600	1000	2500
RYIHX	RYDEX	RYDEX INVERSE HIGH YIELD STRATEGY H	H	NO LOAD	OPEN	1600	100	100
RYCLX	RYDEX	RYDEX INVERSE MID CAP STRATEGY FD CL C	C	LOAD	OPEN	1600	1000	2500
RYAGX	RYDEX	RYDEX INVERSE MID CAP STRATEGY FUND CL A	A	LOAD	OPEN	1600	1000	2500
RYMHX	RYDEX	RYDEX INVERSE MID CAP STRATEGY FUND CL H	H	NO LOAD	OPEN	1600	100	100
RYVTX	RYDEX	RYDEX INVERSE NASDAQ100 2X STRATEGY FD CL A	A	LOAD	OPEN	1600	1000	2500
RYCDX	RYDEX	RYDEX INVERSE NASDAQ100 2X STRATEGY FD CL C	C	LOAD	OPEN	1600	1000	2500
RYVNX	RYDEX	RYDEX INVERSE NASDAQ100 2X STRATEGY FUND CL H	H	NO LOAD	OPEN	1600	100	100
RYALX	RYDEX	RYDEX INVERSE NASDAQ100 STRATEGY CL H	H	NO LOAD	OPEN	1600	100	100
RYACX	RYDEX	RYDEX INVERSE NASDAQ100 STRATEGY FD CL C	C	LOAD	OPEN	1600	1000	2500
RYAIX	RYDEX	RYDEX INVERSE NASDAQ100 STRATEGY FD INV	INVESTOR LATE CL	NO LOAD	OPEN	1600	0	0
RYAPX	RYDEX	RYDEX INVERSE NASDAQ100 STRATEGY FUND CL A	A	LOAD	OPEN	1600	1000	2500
RYIZX	RYDEX	RYDEX INVERSE RUSSELL 2000 2X STRATEGY FD CL C	C	LOAD	OPEN	1600	1000	2500
RYIUX	RYDEX	RYDEX INVERSE RUSSELL 2000 2X STRATEGY FUND CL A	A	LOAD	OPEN	1600	1000	2500
RYIRX	RYDEX	RYDEX INVERSE RUSSELL 2000 2X STRATEGY FUND CL H	H	NO LOAD	OPEN	1600	100	100
RYCQX	RYDEX	RYDEX INVERSE RUSSELL 2000 STRATEGY FD CL C	C	LOAD	OPEN	1600	1000	2500
RYAFX	RYDEX	RYDEX INVERSE RUSSELL 2000 STRATEGY FUND CL A	A	LOAD	OPEN	1600	1000	2500
RYSHX	RYDEX	RYDEX INVERSE RUSSELL 2000 STRATEGY FUND CL H	H	NO LOAD	OPEN	1600	100	100
RYCBX	RYDEX	RYDEX INVERSE S & P 500 2X STRATEGY FD CL C	C	LOAD	OPEN	1600	1000	2500
RYUCX	RYDEX	RYDEX INVERSE S & P 500 STRATEGY FD CL C	C	LOAD	OPEN	1600	1000	2500
RYUHX	RYDEX	RYDEX INVERSE S & P 500 STRATEGY FD CL H	H	NO LOAD	OPEN	1600	100	100
RYARX	RYDEX	RYDEX INVERSE S & P 500 STRATEGY FUND CL A	A	LOAD	OPEN	1600	1000	2500
RYTMX	RYDEX	RYDEX INVERSE S&P 500 2X STRATEGY FUDN CL A	A	LOAD	OPEN	1600	1000	2500
RYTPX	RYDEX	RYDEX INVERSE S&P 500 2X STRATEGY FUND CL H	H	NO LOAD	OPEN	1600	100	100
RYURX	RYDEX	RYDEX INVERSE S&P 500 STRATEGY FD INVESTOR CLASS	INVESTOR LATE CL	NO LOAD	OPEN	1600	0	0
RYJSX	RYDEX	RYDEX JAPAN 2X STRATEGY A	A	LOAD	OPEN	1600	0	0
RYJTX	RYDEX	RYDEX JAPAN 2X STRATEGY C	C	LOAD	OPEN	1600	0	0
RYJHX	RYDEX	RYDEX JAPAN 2X STRATEGY H	H	NO LOAD	OPEN	1600	100	100
RYLSX	RYDEX	RYDEX LEISURE A	A	LOAD	OPEN	1600	1000	2500
RYLCX	RYDEX	RYDEX LEISURE C	C	LOAD	OPEN	1600	1000	2500
RYLAX	RYDEX	RYDEX LEISURE CL H	H	NO LOAD	OPEN	1600	0	0
RYLIX	RYDEX	RYDEX LEISURE FD INV	INVESTOR LATE CL	NO LOAD	OPEN	1600	0	0

SYMBOL	FUND FAMILY	FUND DESCRIPTION	SHARE CLASS	FUND TYPE	STATUS	CUT- OFF	IRA MIN	NON-IRA MIN
RYDCX	RYDEX	RYDEX MID CAP 1.5X STRATEGY FD CL C	C	LOAD	OPEN	1600	1000	2500
RYAHX	RYDEX	RYDEX MID CAP 1.5X STRATEGY FUND CL A	A	LOAD	OPEN	1600	1000	2500
RYMDX	RYDEX	RYDEX MID CAP 1.5X STRATEGY FUND CL H	H	NO LOAD	OPEN	1600	100	100
RYAXX	RYDEX	RYDEX MONEY MARKET CL A	A	LOAD	EXCH ONLY	1600	1000	2500
RMQAX	RYDEX	RYDEX MONTHLY RBL NASDAQ 100 2X STRAT A	A	LOAD	OPEN	1600	2500	2500
RMQCX	RYDEX	RYDEX MONTHLY RBL NASDAQ100 2X STRT C	C	LOAD	OPEN	1600	1000	2500
RYCCX	RYDEX	RYDEX NASDAQ100 2X STRATEGY FD CL C	C	LOAD	OPEN	1600	1000	2500
RYVLX	RYDEX	RYDEX NASDAQ100 2X STRATEGY FUND CL A	A	LOAD	OPEN	1600	1000	2500
RYVYX	RYDEX	RYDEX NASDAQ100 2X STRATEGY FUND CL H	H	NO LOAD	OPEN	1600	100	100
RYATX	RYDEX	RYDEX NASDAQ100 A	A	LOAD	OPEN	1600	1000	2500
RYCOX	RYDEX	RYDEX NASDAQ100 C	C	LOAD	OPEN	1600	1000	2500
RYHOX	RYDEX	RYDEX NASDAQ100 FD CL H	H	NO LOAD	OPEN	1600	100	100
RYOCX	RYDEX	RYDEX NASDAQ100 FUND INVESTOR CLASS	INVESTOR LATE CU	NO LOAD	OPEN	1600	0	0
RYANX	RYDEX	RYDEX NOVA A	A	LOAD	OPEN	1600	1000	2500
RYNCX	RYDEX	RYDEX NOVA C	C	LOAD	OPEN	1600	1000	2500
RYNVX	RYDEX	RYDEX NOVA FUND INVESTOR CLASS	INVESTOR LATE CU	NO LOAD	OPEN	1600	0	0
RYNHX	RYDEX	RYDEX NOVA H	H	NO LOAD	OPEN	1600	100	100
RYMNX	RYDEX	RYDEX PRECIOUS METALS A	A	LOAD	OPEN	1600	1000	2500
RYZCX	RYDEX	RYDEX PRECIOUS METALS C	C	LOAD	OPEN	1600	1000	2500
RYMPX	RYDEX	RYDEX PRECIOUS METALS CL H	H	NO LOAD	OPEN	1600	0	0
RYPMX	RYDEX	RYDEX PRECIOUS METALS FD INV	INVESTOR LATE CU	NO LOAD	OPEN	1600	0	0
RYREX	RYDEX	RYDEX REAL ESTATE A	A	LOAD	OPEN	1600	1000	2500
RYCRX	RYDEX	RYDEX REAL ESTATE C	C	LOAD	OPEN	1600	1000	2500
RYHRX	RYDEX	RYDEX REAL ESTATE FUND CL H	H	NO LOAD	OPEN	1600	100	100
RYRTX	RYDEX	RYDEX RETAILING A	A	LOAD	OPEN	1600	1000	2500
RYRCX	RYDEX	RYDEX RETAILING C	C	LOAD	OPEN	1600	1000	2500
RYRIX	RYDEX	RYDEX RETAILING FD INV	INVESTOR LATE CU	NO LOAD	OPEN	1600	0	0
RYRAX	RYDEX	RYDEX RETAILING FUND CL H	H	NO LOAD	OPEN	1600	0	0
RYAKX	RYDEX	RYDEX RUSSELL 2000 1.5X STRATEGY FD CL A	A	LOAD	OPEN	1600	1000	2500
RYCMX	RYDEX	RYDEX RUSSELL 2000 1.5X STRATEGY FD CL C	C	LOAD	OPEN	1600	1000	2500
RYMKX	RYDEX	RYDEX RUSSELL 2000 1.5X STRATEGY FUND CL H	H	NO LOAD	OPEN	1600	100	100
RYRLX	RYDEX	RYDEX RUSSELL 2000 2X STRATEGY FD CL C	C	LOAD	OPEN	1600	1000	2500
RYRUX	RYDEX	RYDEX RUSSELL 2000 2X STRATEGY FUND CL A	A	LOAD	OPEN	1600	1000	2500
RYRSX	RYDEX	RYDEX RUSSELL 2000 2X STRATEGY FUND CL H	H	NO LOAD	OPEN	1600	0	0
RYRRX	RYDEX	RYDEX RUSSELL 2000 A	A	LOAD	OPEN	1600	1000	2500
RYROX	RYDEX	RYDEX RUSSELL 2000 C	C	LOAD	OPEN	1600	1000	2500
RYRHX	RYDEX	RYDEX RUSSELL 2000 CL H	H	NO LOAD	OPEN	1600	100	100
RYCTX	RYDEX	RYDEX S&P 500 2X STRATEGY FD CL C	C	LOAD	OPEN	1600	1000	2500
RYTTX	RYDEX	RYDEX S&P 500 2X STRATEGY FUND CL A	A	LOAD	OPEN	1600	1000	2500
RYTNX	RYDEX	RYDEX S&P 500 2X STRATEGY FUND CL H	H	NO LOAD	OPEN	1600	100	100
RYSOX	RYDEX	RYDEX S&P 500 A	A	LOAD	OPEN	1600	1000	2500

SYMBOL	FUND FAMILY	FUND DESCRIPTION	SHARE CLASS	FUND TYPE	STATUS	CUT- OFF	IRA MIN	NON-IRA MIN
RYSYX	RYDEX	RYDEX S&P 500 C	C	LOAD	OPEN	1600	1000	2500
RYS PX	RYDEX	RYDEX S&P 500 CL H	H	NO LOAD	OPEN	1600	100	100
RYLGX	RYDEX	RYDEX S&P 500 PURE GROWTH FD CL A	A	LOAD	OPEN	1600	1000	2500
RYGRX	RYDEX	RYDEX S&P 500 PURE GROWTH FD CL C	C	LOAD	OPEN	1600	1000	2500
RYAWX	RYDEX	RYDEX S&P 500 PURE GROWTH FD CL H	H	NO LOAD	OPEN	1600	100	100
RYLVX	RYDEX	RYDEX S&P 500 PURE VALUE FD CL A	A	LOAD	OPEN	1600	1000	2500
RYVVX	RYDEX	RYDEX S&P 500 PURE VALUE FD CL C	C	LOAD	OPEN	1600	1000	2500
RYZAX	RYDEX	RYDEX S&P 500 PURE VALUE FD CL H	H	NO LOAD	OPEN	1600	100	100
RYMGX	RYDEX	RYDEX S&P MIDCAP 400 PURE GROWTH FD CL A	A	LOAD	OPEN	1600	1000	2500
RYCKX	RYDEX	RYDEX S&P MIDCAP 400 PURE GROWTH FD CL C	C	LOAD	OPEN	1600	1000	2500
RYBHX	RYDEX	RYDEX S&P MIDCAP 400 PURE GROWTH FD CL H	H	NO LOAD	OPEN	1600	100	100
RYMVX	RYDEX	RYDEX S&P MIDCAP 400 PURE VALUE FD CL A	A	LOAD	OPEN	1600	1000	2500
RYMMX	RYDEX	RYDEX S&P MIDCAP 400 PURE VALUE FD CL C	C	LOAD	OPEN	1600	1000	2500
RYAVX	RYDEX	RYDEX S&P MIDCAP 400 PURE VALUE FD CL H	H	NO LOAD	OPEN	1600	100	100
RYSGX	RYDEX	RYDEX S&P SMALLCAP 600 PURE GROWTH FD CL A	A	LOAD	OPEN	1600	1000	2500
RYWCX	RYDEX	RYDEX S&P SMALLCAP 600 PURE GROWTH FD CL C	C	LOAD	OPEN	1600	1000	2500
RYWAX	RYDEX	RYDEX S&P SMALLCAP 600 PURE GROWTH FD CL H	H	NO LOAD	OPEN	1600	100	100
RYSVX	RYDEX	RYDEX S&P SMALLCAP 600 PURE VALUE FD CL A	A	LOAD	OPEN	1600	1000	2500
RYYCX	RYDEX	RYDEX S&P SMALLCAP 600 PURE VALUE FD CL C	C	LOAD	OPEN	1600	1000	2500
RYAZX	RYDEX	RYDEX S&P SMALLCAP 600 PURE VALUE FD CL H	H	NO LOAD	OPEN	1600	100	100
RYESX	RYDEX	RYDEX SERIES FDS ENERGY SERVICES CL A	A	LOAD	OPEN	1600	1000	2500
RMQHX	RYDEX	RYDEX SGI MONTHLY RBL NASDAQ 100 2X STRAT H	H	NO LOAD	OPEN	1600	100	100
RYSDX	RYDEX	RYDEX STRENGTHENING DOLLAR 2X STRATEGY FD CL A	A	LOAD	OPEN	1600	1000	2500
RYSJX	RYDEX	RYDEX STRENGTHENING DOLLAR 2X STRATEGY FD CL C	C	LOAD	OPEN	1600	1000	2500
RYSBX	RYDEX	RYDEX STRENGTHENING DOLLAR 2X STRATEGY FUND CL H	H	NO LOAD	OPEN	1600	100	100
RYTHX	RYDEX	RYDEX TECH A	A	LOAD	OPEN	1600	1000	2500
RYCHX	RYDEX	RYDEX TECH C	C	LOAD	OPEN	1600	1000	2500
RYTIX	RYDEX	RYDEX TECHNOLOGY FD INV	INVESTOR LATE CL	NO LOAD	OPEN	1600	0	0
RYTAX	RYDEX	RYDEX TECHNOLOGY FUND CL H	H	NO LOAD	OPEN	1600	0	0
RYMIX	RYDEX	RYDEX TELECOMMUNICATIONS FD INV	INVESTOR LATE CL	NO LOAD	OPEN	1600	0	0
RYMAX	RYDEX	RYDEX TELECOMMUNICATIONS FUND CL H	H	NO LOAD	OPEN	1600	0	0
RYTLX	RYDEX	RYDEX TELECOMS A	A	LOAD	OPEN	1600	1000	2500
RYCSX	RYDEX	RYDEX TELECOMS C	C	LOAD	OPEN	1600	1000	2500
RYTSX	RYDEX	RYDEX TRANSPORTATION A	A	LOAD	OPEN	1600	1000	2500
RYCNX	RYDEX	RYDEX TRANSPORTATION C	C	LOAD	OPEN	1600	1000	2500
RYPAX	RYDEX	RYDEX TRANSPORTATION CL H	H	NO LOAD	OPEN	1600	0	0
RYPIX	RYDEX	RYDEX TRANSPORTATION FD INV	INVESTOR LATE CL	NO LOAD	OPEN	1600	0	0
RYCXX	RYDEX	RYDEX U.S. GOVT MONEY MARKET C	C	LOAD	EXCH ONLY	1600	1000	2500
RYFXX	RYDEX	RYDEX US GOVT MONEY MKT	NO LOAD	NO LOAD	OPEN	1600	0	0
RYUTX	RYDEX	RYDEX UTILITIES A	A	LOAD	OPEN	1600	1000	2500
RYCUX	RYDEX	RYDEX UTILITIES C	C	LOAD	OPEN	1600	1000	2500

SYMBOL	FUND FAMILY	FUND DESCRIPTION	SHARE CLASS	FUND TYPE	STATUS	CUT- OFF	IRA MIN	NON-IRA MIN
RYAUX	RYDEX	RYDEX UTILITIES CL H	H	NO LOAD	SUBS ONLY	1600	0	0
RYUIX	RYDEX	RYDEX UTILITIES FD INV	INVESTOR LATE C	NO LOAD	OPEN	1600	0	0
RYWJX	RYDEX	RYDEX WEAKENING DOLLAR 2X STRATEGY FD CL C	C	LOAD	OPEN	1600	1000	2500
RYWDX	RYDEX	RYDEX WEAKENING DOLLAR 2X STRATEGY FUND CL A	A	LOAD	OPEN	1600	1000	2500
RYWBX	RYDEX	RYDEX WEAKENING DOLLAR 2X STRATEGY FUND CL H	H	NO LOAD	OPEN	1600	100	100
SAXIX	SA FUNDS	SA GLOBAL FIXED INCOME FD INV	INVESTOR	NO LOAD	REDEEM ONLY	1600	0	0
SAISX	SA FUNDS	SA INTERNATIONAL SMALL COMPANY FUND CLASS I	INVESTOR	NO LOAD	REDEEM ONLY	1600	0	0
SAHMX	SA FUNDS	SA INTERNATIONAL VALUE FD INV	INVESTOR	NO LOAD	REDEEM ONLY	1600	0	0
SAMKX	SA FUNDS	SA US CORE MARKET FD INV	INVESTOR	NO LOAD	REDEEM ONLY	1600	0	0
SAUMX	SA FUNDS	SA US SMALL COMPANY INV	INVESTOR	NO LOAD	REDEEM ONLY	1600	0	0
SABTX	SA FUNDS	SA US VALUE FD INV	INVESTOR	NO LOAD	REDEEM ONLY	1600	0	0
SCMGX	SANDS CAPITAL	SANDS CAPITAL GLOBAL GROWTH FUND INST	INSTITUTIONAL	NO LOAD	OPEN	1600	1000000	1000000
SCGVX	SANDS CAPITAL	SANDS CAPITAL GLOBAL GROWTH FUND INV	INVESTOR	NO LOAD	OPEN	1600	100000	100000
SIIDX	SANFORD C BERNSTEIN FUND INC	BERNSTEIN INTERMEDIATE DURATION INSTL	IDI	NO LOAD	REDEEM ONLY	1600	3000000	3000000
SBMBX	SARATOGA	SARATOGA ENERGY AND BASIC MATERIALS PORT CL A	A	LOAD	OPEN	1600	0	250
SEPCX	SARATOGA	SARATOGA ENERGY AND BASIC MATERIALS PORT CL C	C	LOAD	OPEN	1600	0	250
SEPIX	SARATOGA	SARATOGA ENERGY AND BASIC MATERIALS PORT CL I	I	NO LOAD	OPEN	1600	0	250
SFPAX	SARATOGA	SARATOGA FINANCIAL SERVICES PORT CL A	A	LOAD	OPEN	1600	0	250
SFPCX	SARATOGA	SARATOGA FINANCIAL SERVICES PORT CL C	C	LOAD	OPEN	1600	0	250
SFPIX	SARATOGA	SARATOGA FINANCIAL SERVICES PORT CL I	I	NO LOAD	OPEN	1600	0	250
SHPAX	SARATOGA	SARATOGA HEALTH AND BIOTECHNOLOGY PORT CL A	A	LOAD	OPEN	1600	0	250
SHPCX	SARATOGA	SARATOGA HEALTH AND BIOTECHNOLOGY PORT CL C	C	LOAD	OPEN	1600	0	250
SBHIX	SARATOGA	SARATOGA HEALTH AND BIOTECHNOLOGY PORT CL I	I	NO LOAD	OPEN	1600	0	250
SIEYX	SARATOGA	SARATOGA INTL EQTY PORT CL A	A	LOAD	OPEN	1600	0	250
SIECX	SARATOGA	SARATOGA INTL EQTY PORT CL C	C	LOAD	OPEN	1600	0	250
SIEPX	SARATOGA	SARATOGA INTL EQTY PORT CL I	I	NO LOAD	OPEN	1600	0	250
SQBAX	SARATOGA	SARATOGA INVESTMENT QUALITY BD PORT CL A	A	LOAD	OPEN	1600	0	250
SQBCX	SARATOGA	SARATOGA INVESTMENT QUALITY BD PORT CL C	C	LOAD	OPEN	1600	0	250
SIBPX	SARATOGA	SARATOGA INVESTMENT QUALITY BD PORT CL I	I	NO LOAD	OPEN	1600	0	250
SLGYX	SARATOGA	SARATOGA LARGE CAP GWTH PORT CL A	A	LOAD	OPEN	1600	0	250
SLGCX	SARATOGA	SARATOGA LARGE CAP GWTH PORT CL C	C	LOAD	OPEN	1600	0	250
SLCGX	SARATOGA	SARATOGA LARGE CAP GWTH PORT CL I	I	NO LOAD	OPEN	1600	0	250
SLVYX	SARATOGA	SARATOGA LARGE CAP VALUE PORT CL A	A	LOAD	OPEN	1600	0	250
SLVCX	SARATOGA	SARATOGA LARGE CAP VALUE PORT CL C	C	LOAD	OPEN	1600	0	250
SLCVX	SARATOGA	SARATOGA LARGE CAP VALUE PORT CL I	I	NO LOAD	OPEN	1600	0	250
SPMAX	SARATOGA	SARATOGA MID CAP PORT CL A	A	LOAD	OPEN	1600	0	250
SPMCX	SARATOGA	SARATOGA MID CAP PORT CL C	C	LOAD	OPEN	1600	0	250
SMIPX	SARATOGA	SARATOGA MID CAP PORT CL I	I	NO LOAD	OPEN	1600	0	250
SMBAX	SARATOGA	SARATOGA MUNI BD PORT CL A	A	LOAD	OPEN	1600	0	250
SMBCX	SARATOGA	SARATOGA MUNI BD PORT CL C	C	LOAD	OPEN	1600	0	250
SMBPX	SARATOGA	SARATOGA MUNI BD PORT CL I	I	NO LOAD	OPEN	1600	0	250

SYMBOL	FUND FAMILY	FUND DESCRIPTION	SHARE CLASS	FUND TYPE	STATUS	CUT- OFF	IRA MIN	NON-IRA MIN
SSCYX	SARATOGA	SARATOGA SMALL CAP PORT CL A	A	LOAD	OPEN	1600	0	250
SSCCX	SARATOGA	SARATOGA SMALL CAP PORT CL C	C	LOAD	OPEN	1600	0	250
SSCPX	SARATOGA	SARATOGA SMALL CAP PORT CL I	I	NO LOAD	OPEN	1600	0	250
STPAX	SARATOGA	SARATOGA TECH AND COMMUNICATIONS PORT CL A	A	LOAD	OPEN	1600	0	250
STPCX	SARATOGA	SARATOGA TECH AND COMMUNICATIONS PORT CL C	C	LOAD	OPEN	1600	0	250
STPIX	SARATOGA	SARATOGA TECH AND COMMUNICATIONS PORT CL I	I	NO LOAD	OPEN	1600	0	250
SGAXX	SARATOGA	SARATOGA US GOVT MONEY MKT PORT CL A	A	LOAD	REDEEM ONLY	1600	0	250
SZCXX	SARATOGA	SARATOGA US GOVT MONEY MKT PORT CL C	C	LOAD	REDEEM ONLY	1600	0	0
SGMXX	SARATOGA	SARATOGA US GOVT MONEY MKT PORT CL I	I	NO LOAD	REDEEM ONLY	1600	0	0
SEBFX	SATURNA FUND	SATURNA SUSTAINABLE BOND FD	NO LOAD	NO LOAD	OPEN	1600	1000	1000
SEEFX	SATURNA FUND	SATURNA SUSTAINABLE EQTY FD	NO LOAD	NO LOAD	OPEN	1600	1000	1000
SBIFX	SATURNA FUND	SEXTANT BOND INCOME FD INV	NO LOAD	NO LOAD	OPEN	1600	1000	1000
SGHIX	SATURNA FUND	SEXTANT GLOBAL HIGH INCM FUND	NO LOAD	NO LOAD	OPEN	1600	100	100
SSGFX	SATURNA FUND	SEXTANT GROWTH FD	INVESTOR	NO LOAD	OPEN	1600	100	100
SSIFX	SATURNA FUND	SEXTANT INTL FD	INVESTOR	NO LOAD	OPEN	1600	100	100
SIFZX	SATURNA FUND	SEXTANT INTL FD CL Z	Z	NO LOAD	OPEN	1600	0	1000
STBFX	SATURNA FUND	SEXTANT SHORTTERM BOND	NO LOAD	NO LOAD	OPEN	1600	1000	1000
LOGOX	SCHARF FUNDS	SCHARF BALANCED OPPTY INST	INVESTOR	NO LOAD	OPEN	1600	5000	10000
LOGBX	SCHARF FUNDS	SCHARF BALANCED OPPTY RETAIL	INSTITUTIONAL	NO LOAD	OPEN	1600	0	0
LOGIX	SCHARF FUNDS	SCHARF FD INST CL	INSTITUTIONAL	NO LOAD	OPEN	1600	5000000	5000000
LOGRX	SCHARF FUNDS	SCHARF FD RETAIL CL	RETAIL	NO LOAD	OPEN	1600	5000	10000
WRLDX	SCHARF FUNDS	SCHARF GLOBAL OPPTY FD RETAIL	RETAIL	NO LOAD	OPEN	1600	0	0
SNXFX	SCHWAB	SCHWAB 1000 FUND INVESTOR SHARES	INVESTOR	NO LOAD	OPEN	1600	0	0
SCTXX	SCHWAB	SCHWAB AMT TAX FREE MONEY FD ULTRA	ULTRA	NO LOAD	OPEN	1600	1000000	1000000
SWWXX	SCHWAB	SCHWAB AMT TAXFREE MONEY INV	INVESTOR	NO LOAD	OPEN	1600	0	0
SWKXX	SCHWAB	SCHWAB CA MUNICIPAL MONEY INV	INVESTOR	NO LOAD	OPEN	1600	0	0
SWCAX	SCHWAB	SCHWAB CA TAXFREE BD FD INV CL	INVESTOR	NO LOAD	OPEN	1600	0	0
SWANX	SCHWAB	SCHWAB CORE EQUITY FUND	NO LOAD	NO LOAD	OPEN	1600	0	0
SWDSX	SCHWAB	SCHWAB DIVIDEND EQUITY FUND SELECT SHARES	SELECT	NO LOAD	OPEN	1600	0	0
SFENX	SCHWAB	SCHWAB FUNDAMENTAL EMER MKT EQUITY INDEX INST	INSTITUTIONAL	NO LOAD	OPEN	1600	0	0
SFREX	SCHWAB	SCHWAB FUNDAMENTAL GLBL REAL ESTATE INDEX FUND	NO LOAD	NO LOAD	OPEN	1600	0	0
SFNNX	SCHWAB	SCHWAB FUNDAMENTAL INTL EQUITY INDEX INSTL SHS	INSTITUTIONAL	NO LOAD	OPEN	1600	0	0
SFILX	SCHWAB	SCHWAB FUNDAMENTAL INTL SMALL EQUITY INDEX INSTL	INSTITUTIONAL	NO LOAD	OPEN	1600	0	0
SFLNX	SCHWAB	SCHWAB FUNDAMENTAL U.S. LARGE CO INDEX INSTL	INSTITUTIONAL	NO LOAD	OPEN	1600	0	0
SFSNX	SCHWAB	SCHWAB FUNDAMENTAL U.S. SMALL CO INDEX INSTL	INSTITUTIONAL	NO LOAD	OPEN	1600	0	0
SWASX	SCHWAB	SCHWAB GLOBAL REAL ESTATE FD SELECT SHARES	SELECT	NO LOAD	OPEN	1600	0	0
SNVXX	SCHWAB	SCHWAB GOVERNMENT MONEY FUND INVESTOR SHARES	INVESTOR	NO LOAD	OPEN	1600	0	0
SGUXX	SCHWAB	SCHWAB GOVT MONEY FUND ULTRA	ULTRA	NO LOAD	OPEN	1600	1000000	1000000
SWHFX	SCHWAB	SCHWAB HEALTH CARE FUND	NO LOAD	NO LOAD	OPEN	1600	0	0
SWISX	SCHWAB	SCHWAB INTERNATIONAL INDEX FUND SELECT SHARES	SELECT	NO LOAD	OPEN	1600	0	0
SWMIX	SCHWAB	SCHWAB INTERNATIONAL OPPORTUNITIES	NO LOAD	NO LOAD	OPEN	1600	0	0

SYMBOL	FUND FAMILY	FUND DESCRIPTION	SHARE CLASS	FUND TYPE	STATUS	CUT- OFF	IRA MIN	NON-IRA MIN
SICNX	SCHWAB	SCHWAB INTL CORE EQUITY INSTL	INSTITUTIONAL	NO LOAD	OPEN	1600	0	0
SWLSX	SCHWAB	SCHWAB LARGE CAP GROWTH FUND SELECT SHARES	SELECT	NO LOAD	OPEN	1600	0	0
SWEGX	SCHWAB	SCHWAB MARKET TRACK ALL EQUITY PORTFOLIO	INVESTOR	NO LOAD	OPEN	1600	0	0
SWBGX	SCHWAB	SCHWAB MKTTRK BALANCED	INVESTOR	NO LOAD	OPEN	1600	0	0
SWCGX	SCHWAB	SCHWAB MKTTRK CONSERVATIVE	INVESTOR	NO LOAD	OPEN	1600	0	0
SWHGX	SCHWAB	SCHWAB MKTTRK GROWTH	INVESTOR	NO LOAD	OPEN	1600	0	0
SWKRX	SCHWAB	SCHWAB MONTHLY INC FLEXIBLE PAYOUT	NO LOAD	NO LOAD	OPEN	1600	0	0
SWLRX	SCHWAB	SCHWAB MONTHLY INC MAX PAYOUT	NO LOAD	NO LOAD	OPEN	1600	0	0
SWJRX	SCHWAB	SCHWAB MONTHLY INC MOD PAYOUT	NO LOAD	NO LOAD	OPEN	1600	0	0
SWTXX	SCHWAB	SCHWAB MUNICIPAL MONEY INV	INVESTOR	NO LOAD	OPEN	1600	0	0
SWOXX	SCHWAB	SCHWAB MUNICIPAL MONEY ULTRA	ULTRA	NO LOAD	OPEN	1600	1000000	1000000
SNYXX	SCHWAB	SCHWAB NEW YORK MUNICIPAL MONEY ULTRA	ULTRA	NO LOAD	OPEN	1600	1000000	1000000
SWYXX	SCHWAB	SCHWAB NY MUNICIPAL MONEY INV	INVESTOR	NO LOAD	OPEN	1600	0	0
SWHYX	SCHWAB	SCHWAB OPPORTUNISTIC MUNICIPAL BOND FD	NO LOAD	NO LOAD	OPEN	1600	0	0
SNRXX	SCHWAB	SCHWAB RETIREMENT GOVERNMENT MONEY	NO LOAD	NO LOAD	REDEEM ONLY	1600	0	0
SWPPX	SCHWAB	SCHWAB S&P 500 FUND SELECT SHARES	SELECT	NO LOAD	OPEN	1600	0	0
LGILX	SCHWAB	SCHWAB SELECT LARGE CAP GROWTH	NO LOAD	NO LOAD	OPEN	1600	0	0
SWSBX	SCHWAB	SCHWAB SHORTTERM BOND INDEX FUND	NO LOAD	NO LOAD	OPEN	1600	100	100
SWSSX	SCHWAB	SCHWAB SMALL CAP INDEX FUND SELECT SHARES	SELECT	NO LOAD	OPEN	1600	0	0
SWSCX	SCHWAB	SCHWAB SMALLCAP EQUITY FUND SELECT SHARES	SELECT	NO LOAD	OPEN	1600	0	0
SWBRX	SCHWAB	SCHWAB TARGET 2010 FUND	NO LOAD	NO LOAD	OPEN	1600	0	0
SWYAX	SCHWAB	SCHWAB TARGET 2010 INDEX FUND	INSTITUTIONAL	NO LOAD	OPEN	1600	0	0
SWGRX	SCHWAB	SCHWAB TARGET 2015 FUND	NO LOAD	NO LOAD	OPEN	1600	0	0
SWYBX	SCHWAB	SCHWAB TARGET 2015 INDEX FUND	INSTITUTIONAL	NO LOAD	OPEN	1600	0	0
SWCRX	SCHWAB	SCHWAB TARGET 2020 FUND	NO LOAD	NO LOAD	OPEN	1600	0	0
SWY LX	SCHWAB	SCHWAB TARGET 2020 INDEX FUND	INSTITUTIONAL	NO LOAD	OPEN	1600	0	0
SWHRX	SCHWAB	SCHWAB TARGET 2025 FUND	NO LOAD	NO LOAD	OPEN	1600	0	0
SWYDX	SCHWAB	SCHWAB TARGET 2025 INDEX FUND	INSTITUTIONAL	NO LOAD	OPEN	1600	0	0
SWDRX	SCHWAB	SCHWAB TARGET 2030 FUND	NO LOAD	NO LOAD	OPEN	1600	0	0
SWYEX	SCHWAB	SCHWAB TARGET 2030 INDEX FUND	INSTITUTIONAL	NO LOAD	OPEN	1600	0	0
SWIRX	SCHWAB	SCHWAB TARGET 2035 FUND	NO LOAD	NO LOAD	OPEN	1600	0	0
SWYFX	SCHWAB	SCHWAB TARGET 2035 INDEX FUND	INSTITUTIONAL	NO LOAD	OPEN	1600	0	0
SWERX	SCHWAB	SCHWAB TARGET 2040 FUND	NO LOAD	NO LOAD	OPEN	1600	0	0
SWYGX	SCHWAB	SCHWAB TARGET 2040 INDEX FUND	INSTITUTIONAL	NO LOAD	OPEN	1600	0	0
SWMRX	SCHWAB	SCHWAB TARGET 2045 FUND	NO LOAD	NO LOAD	OPEN	1600	0	0
SWYHX	SCHWAB	SCHWAB TARGET 2045 INDEX FUND	INSTITUTIONAL	NO LOAD	OPEN	1600	0	0
SWNRX	SCHWAB	SCHWAB TARGET 2050 FUND	NO LOAD	NO LOAD	OPEN	1600	0	0
SWYMX	SCHWAB	SCHWAB TARGET 2050 INDEX FUND	INSTITUTIONAL	NO LOAD	OPEN	1600	0	0
SWORX	SCHWAB	SCHWAB TARGET 2055 FUND	NO LOAD	NO LOAD	OPEN	1600	0	0
SWYJX	SCHWAB	SCHWAB TARGET 2055 INDEX FUND	INSTITUTIONAL	NO LOAD	OPEN	1600	0	0
SWPRX	SCHWAB	SCHWAB TARGET 2060 FUND	NO LOAD	NO LOAD	OPEN	1600	0	0

SYMBOL	FUND FAMILY	FUND DESCRIPTION	SHARE CLASS	FUND TYPE	STATUS	CUT- OFF	IRA MIN	NON-IRA MIN
SWYNX	SCHWAB	SCHWAB TARGET 2060 INDEX FUND	INSTITUTIONAL	NO LOAD	OPEN	1600	0	0
SWQRX	SCHWAB	SCHWAB TARGET 2065 FUND	NO LOAD	NO LOAD	OPEN	1600	0	0
SWYOX	SCHWAB	SCHWAB TARGET 2065 INDEX FUND	NO LOAD	NO LOAD	OPEN	1600	0	0
SWNTX	SCHWAB	SCHWAB TAXFREE BD FD INV CL	INVESTOR	NO LOAD	OPEN	1600	0	0
SWTSX	SCHWAB	SCHWAB TOTAL STK MKTSEL	SELECT	NO LOAD	OPEN	1600	0	0
SWRSX	SCHWAB	SCHWAB TREASURY INFLATION PROTECTED SECS INDEX FUN	SELECT	NO LOAD	OPEN	1600	0	0
SNOXX	SCHWAB	SCHWAB TREASURY OBLIG MONEY INV	INVESTOR	NO LOAD	OPEN	1600	0	0
SCOXX	SCHWAB	SCHWAB TREASURY OBLIGATIONS MONEY FD ULTRA	ULTRA	NO LOAD	OPEN	1600	1000000	1000000
SWAGX	SCHWAB	SCHWAB US AGGREGATE BOND INDEX FD	NO LOAD	NO LOAD	OPEN	1600	100	100
SWLGX	SCHWAB	SCHWAB US LARGE CAP GROWTH INDEX FD	INVESTOR	NO LOAD	OPEN	1600	0	0
SWLVX	SCHWAB	SCHWAB US LARGE CAP VALUE INDEX FD	INVESTOR	NO LOAD	OPEN	1600	0	0
SWMCX	SCHWAB	SCHWAB US MID CAP INDEX FD	INVESTOR	NO LOAD	OPEN	1600	0	0
SNSXX	SCHWAB	SCHWAB US TREASURY MONEY INVESTOR	INVESTOR	NO LOAD	OPEN	1600	0	0
SUTXX	SCHWAB	SCHWAB US TREASURY MONEY ULTRA FUND	ULTRA	NO LOAD	OPEN	1600	1000000	1000000
SWVXX	SCHWAB	SCHWAB VALUE ADVANTAGE MONEY FD	INVESTOR	NO LOAD	OPEN	1600	0	0
SWOBX	SCHWAB	SCHWAB VIEWPOINTS FUND INVESTOR SHARES	INVESTOR	NO LOAD	OPEN	1600	0	0
AVEFX	SCHWARTZ	AVE MARIA BOND FD	NO LOAD	NO LOAD	OPEN	1600	0	0
AVEAX	SCHWARTZ	AVE MARIA FOCUSED	NO LOAD	NO LOAD	OPEN	1600	0	0
AVEGX	SCHWARTZ	AVE MARIA GROWTH FUND	NO LOAD	NO LOAD	OPEN	1600	0	0
AVEDX	SCHWARTZ	AVE MARIA RISING DIVIDEND FUND	NO LOAD	NO LOAD	OPEN	1600	0	0
AVEMX	SCHWARTZ	AVE MARIA VALUE FUND	NO LOAD	NO LOAD	OPEN	1600	0	0
AVEWX	SCHWARTZ	AVE MARIA WORLD EQTY FD	NO LOAD	NO LOAD	OPEN	1600	0	0
RCMFX	SCHWARTZ	SCHWARTZ VALUE FUND	NO LOAD	NO LOAD	OPEN	1600	100	100
SFGRX	SEAFARER FUNDS	SEAFARER OVERSEAS GR AND INCOME RETAIL	RETAIL	NO LOAD	OPEN	1600	100	100
SIGIX	SEAFARER FUNDS	SEAFARER OVERSEAS GWTH & INCM FD INST	I	NO LOAD	OPEN	1600	0	0
SFGIX	SEAFARER FUNDS	SEAFARER OVERSEAS GWTH & INCM FD INV	INVESTOR	NO LOAD	SUBS ONLY	1600	100	100
SIVLX	SEAFARER FUNDS	SEAFARER OVERSEAS VALUE FD INST	I	NO LOAD	OPEN	1600	0	0
SFVRX	SEAFARER FUNDS	SEAFARER OVERSEAS VALUE RETAIL	RETAIL	NO LOAD	OPEN	1600	100	100
SBHAX	SEGALL BRYANT AND HAMILL	SEGALL BRYANT & HAMILL ALL CAP INST	INSTITUTIONAL	NO LOAD	OPEN	1600	0	0
SBRAX	SEGALL BRYANT AND HAMILL	SEGALL BRYANT & HAMILL ALL CAP RET	RETAIL	NO LOAD	OPEN	1600	100	100
SBEMX	SEGALL BRYANT AND HAMILL	SEGALL BRYANT & HAMILL EMRG MKTS INST	INSTITUTIONAL	NO LOAD	OPEN	1600	0	0
SBHEX	SEGALL BRYANT AND HAMILL	SEGALL BRYANT & HAMILL EMRG MKTS RETAIL	RETAIL	NO LOAD	OPEN	1600	100	100
SBSIX	SEGALL BRYANT AND HAMILL	SEGALL BRYANT & HAMILL INTL SMALL CAP INST	INSTITUTIONAL	NO LOAD	OPEN	1600	0	0
SBHSX	SEGALL BRYANT AND HAMILL	SEGALL BRYANT & HAMILL INTL SMALL CAP RETAIL	RETAIL	NO LOAD	OPEN	1600	100	100
SBAPX	SEGALL BRYANT AND HAMILL	SEGALL BRYANT & HAMILL SHORT TERM PLUS INST	INSTITUTIONAL	NO LOAD	OPEN	1600	0	0
SBHPX	SEGALL BRYANT AND HAMILL	SEGALL BRYANT & HAMILL SHORT TERM PLUS RETAIL	RETAIL	NO LOAD	OPEN	1600	100	100
SBRVX	SEGALL BRYANT AND HAMILL	SEGALL BRYANT & HAMILL SM CAP VALUE FD R	RETAIL	NO LOAD	OPEN	1600	100	100
SBASX	SEGALL BRYANT AND HAMILL	SEGALL BRYANT & HAMILL SMALL CAP CORE INST	INSTITUTIONAL	NO LOAD	OPEN	1600	0	0
SBHCX	SEGALL BRYANT AND HAMILL	SEGALL BRYANT & HAMILL SMALL CAP CORE RETAIL	RETAIL	NO LOAD	OPEN	1600	100	100
SBHVX	SEGALL BRYANT AND HAMILL	SEGALL BRYANT & HAMILL SMALL CAP VALUE INST	INSTITUTIONAL	NO LOAD	OPEN	1600	0	0
WICOX	SEGALL BRYANT AND HAMILL	SEGALL BRYANT HAMILL CO TAX FREE INST	INSTITUTIONAL	NO LOAD	OPEN	1600	0	0

SYMBOL	FUND FAMILY	FUND DESCRIPTION	SHARE CLASS	FUND TYPE	STATUS	CUT- OFF	IRA MIN	NON-IRA MIN
WTCOX	SEGALL BRYANT AND HAMILL	SEGALL BRYANT HAMILL CO TAX FREE RETAIL	RETAIL	NO LOAD	OPEN	1600	100	100
WIMVX	SEGALL BRYANT AND HAMILL	SEGALL BRYANT HAMILL GLOB ALL CAP FD INST	INSTITUTIONAL	NO LOAD	OPEN	1600	0	0
WTMVX	SEGALL BRYANT AND HAMILL	SEGALL BRYANT HAMILL GLOB ALL CAP FD RETAIL	RETAIL	NO LOAD	OPEN	1600	100	100
WITAX	SEGALL BRYANT AND HAMILL	SEGALL BRYANT HAMILL MUNI OPPTY INST	INSTITUTIONAL	NO LOAD	OPEN	1600	0	0
WTTAX	SEGALL BRYANT AND HAMILL	SEGALL BRYANT HAMILL MUNI OPPTY RETAIL	RETAIL	NO LOAD	OPEN	1600	100	100
WIIBX	SEGALL BRYANT AND HAMILL	SEGALL BRYANT HAMILL PLUS BOND INST	INSTITUTIONAL	NO LOAD	OPEN	1600	0	0
WTIBX	SEGALL BRYANT AND HAMILL	SEGALL BRYANT HAMILL PLUS BOND RETAIL	RETAIL	NO LOAD	OPEN	1600	100	100
WILT	SEGALL BRYANT AND HAMILL	SEGALL BRYANT HAMILL QUALITY HI YLD INST	INSTITUTIONAL	NO LOAD	OPEN	1600	0	0
WTLTX	SEGALL BRYANT AND HAMILL	SEGALL BRYANT HAMILL QUALITY HI YLD RETAIL	RETAIL	NO LOAD	OPEN	1600	100	100
WISGX	SEGALL BRYANT AND HAMILL	SEGALL BRYANT HAMILL SM CAP GWTH INST	INSTITUTIONAL	NO LOAD	OPEN	1600	0	0
WTSGX	SEGALL BRYANT AND HAMILL	SEGALL BRYANT HAMILL SM CAP GWTH RETAIL	RETAIL	NO LOAD	OPEN	1600	100	100
CIEQX	SEGALL BRYANT AND HAMILL	SEGALL BRYANT HAMILL TR INTL FD INSTL CL	INSTITUTIONAL	NO LOAD	OPEN	1600	0	0
SAOPX	SEGALL BRYANT FUNDS	BARRETT OPPORTUNITY FD RETAIL CL	RETAIL	NO LOAD	OPEN	1600	1000	2500
BGRWX	SEGALL BRYANT FUNDS	SEGALL BRYANT & HAMI	RETAIL	NO LOAD	OPEN	1600	100	100
SASDX	SEI	SEI AGGRESSIVE STRATEGY FD CL D	D	NO LOAD	OPEN	1600	150000	150000
SSGAX	SEI	SEI AGGRESSIVE STRATEGY FD CL F	F	NO LOAD	OPEN	1600	0	0
SVSAX	SEI	SEI ASSET ALLOC TRUST CONSERV STRAT F	F	NO LOAD	OPEN	1600	0	0
SRWAX	SEI	SEI ASSET ALLOC TRUST MKT GWTH STRAT F	F	NO LOAD	OPEN	1600	0	0
SMOAX	SEI	SEI ASSET ALLOC TRUST MOD STRAT F	F	NO LOAD	OPEN	1600	0	0
SBDAX	SEI	SEI CA MUNI BD CL F	F	NO LOAD	OPEN	1600	0	0
SCYYX	SEI	SEI CALIFORNIA MUNICIPAL BOND Y STET	Y	NO LOAD	REDEEM ONLY	1600	0	0
SMGAX	SEI	SEI CONSERVATIVE STRATEGY ALLC F SAAT	F	NO LOAD	OPEN	1600	100000	100000
COIAX	SEI	SEI CONSRV INCM F	F	NO LOAD	OPEN	1600	0	0
COIYX	SEI	SEI CONSRV INCM Y	Y	NO LOAD	REDEEM ONLY	1600	0	0
TRLVX	SEI	SEI CORE FIXED INCOME FD F	F	NO LOAD	OPEN	1600	0	0
SCFYX	SEI	SEI CORE FIXED INCOME FD Y	Y	NO LOAD	REDEEM ONLY	1600	0	0
SKTAX	SEI	SEI CORE MARKET STRATEGY ALLC F SAAT	F	NO LOAD	OPEN	1600	100000	100000
SOKAX	SEI	SEI CORE MKT STRATEGY F	F	NO LOAD	OPEN	1600	0	0
SEGMX	SEI	SEI DAILY INCM TRUST GNMA BD FD F	F	NO LOAD	OPEN	1600	0	0
SNSAX	SEI	SEI DEFENSIVE STRAT FD CL F	F	NO LOAD	OPEN	1600	0	0
STDAX	SEI	SEI DEFENSIVE STRATEGY ALLC F SAAT	F	NO LOAD	OPEN	1600	100000	100000
SDYAX	SEI	SEI DYNAMIC ASSET ALLOC F	F	NO LOAD	OPEN	1600	0	0
SDYYX	SEI	SEI DYNAMIC ASSET ALLOC Y	Y	NO LOAD	REDEEM ONLY	1600	0	0
SITEX	SEI	SEI EMERGING MKTS DEBT FUND F	F	NO LOAD	OPEN	1600	0	0
SIEDX	SEI	SEI EMERGING MKTS DEBT FUND Y	Y	NO LOAD	REDEEM ONLY	1600	0	0
SIEMX	SEI	SEI EMERGING MKTS EQUITY FUND F	F	NO LOAD	OPEN	1600	0	0
SEQFX	SEI	SEI EMERGING MKTS EQUITY FUND Y	Y	NO LOAD	REDEEM ONLY	1600	0	0
SVTAX	SEI	SEI GLBL MGD VOLATILITY F	F	NO LOAD	OPEN	1600	0	0
SGLYX	SEI	SEI GLBL MGD VOLATILITY Y	Y	NO LOAD	REDEEM ONLY	1600	0	0
SEOXX	SEI	SEI GOVERNMENT F	F	NO LOAD	REDEEM ONLY	1600	0	0
TCGXX	SEI	SEI GOVERNMENT II F SDIT	F	NO LOAD	REDEEM ONLY	1600	0	0

SYMBOL	FUND FAMILY	FUND DESCRIPTION	SHARE CLASS	FUND TYPE	STATUS	CUT- OFF	IRA MIN	NON-IRA MIN
SHYAX	SEI	SEI INSTL MGD TRUST HIGH YIELD BD F	F	NO LOAD	OPEN	1600	0	0
SIYYX	SEI	SEI INSTL MGD TRUST HIGH YIELD BD Y	Y	NO LOAD	REDEEM ONLY	1600	0	0
SMSAX	SEI	SEI INSTL MGD TRUST MULTI STRAT ALT F	F	NO LOAD	OPEN	1600	0	0
SMUYX	SEI	SEI INSTL MGD TRUST MULTI STRAT ALT Y	Y	NO LOAD	REDEEM ONLY	1600	0	0
SRAAX	SEI	SEI INSTL MGD TRUST REAL RETURN F	F	NO LOAD	OPEN	1600	0	0
SRYRX	SEI	SEI INSTL MGD TRUST REAL RETURN Y	Y	NO LOAD	REDEEM ONLY	1600	0	0
SSPIX	SEI	SEI INSTL MGD TRUST SP 500 INDEX F	F	NO LOAD	OPEN	1600	0	0
SVOAX	SEI	SEI INSTL MGD US MGD VOLATILITY F	F	NO LOAD	OPEN	1600	0	0
SEIMX	SEI	SEI INTER TERM MUNI FD F	F	NO LOAD	OPEN	1600	0	0
SINYX	SEI	SEI INTER TERM MUNI FD Y	Y	NO LOAD	REDEEM ONLY	1600	0	0
SEITX	SEI	SEI INTERNATIONAL EQUITY FUND F	F	NO LOAD	OPEN	1600	0	0
SEFCX	SEI	SEI INTERNATIONAL EQUITY FUND Y	Y	NO LOAD	REDEEM ONLY	1600	0	0
SEFIX	SEI	SEI INTERNATIONAL FIXED INCOME FUND F	F	NO LOAD	OPEN	1600	0	0
SIFIX	SEI	SEI INTERNATIONAL FIXED INCOME Y SIT	Y	NO LOAD	REDEEM ONLY	1600	0	0
SLGAX	SEI	SEI LARGE CAP FD CL F	F	NO LOAD	OPEN	1600	0	0
SLYCX	SEI	SEI LARGE CAP FD CL Y	Y	NO LOAD	REDEEM ONLY	1600	0	0
SELCX	SEI	SEI LARGE CAP GROWTH FD F	F	NO LOAD	OPEN	1600	0	0
SLRYX	SEI	SEI LARGE CAP GROWTH Y SIMT	Y	NO LOAD	REDEEM ONLY	1600	0	0
SLGFX	SEI	SEI LARGE CAP INDEX FD CL F	F	NO LOAD	OPEN	1600	0	0
TRMVX	SEI	SEI LARGE CAP VALUE FUND F	F	NO LOAD	OPEN	1600	0	0
SVAYX	SEI	SEI LARGE CAP VALUE Y SIMT	Y	NO LOAD	REDEEM ONLY	1600	0	0
SEMCX	SEI	SEI MID CAP FUND F	F	NO LOAD	OPEN	1600	0	0
SGOAX	SEI	SEI MKT GWTH STRAT ALLOC F	F	NO LOAD	OPEN	1600	0	0
SXMAX	SEI	SEI MODERATE STRAT ALLOC F	F	NO LOAD	OPEN	1600	100000	100000
SAAXX	SEI	SEI MULTI ASSET ACCUMULATION F	F	NO LOAD	OPEN	1600	0	0
SMOYX	SEI	SEI MULTI ASSET ACCUMULATION Y	Y	NO LOAD	REDEEM ONLY	1600	0	0
SCLAX	SEI	SEI MULTI ASSET CAP STABILITY F	F	NO LOAD	OPEN	1600	0	0
SMLYX	SEI	SEI MULTI ASSET CAP STABILITY Y	Y	NO LOAD	REDEEM ONLY	1600	0	0
SIOAX	SEI	SEI MULTI ASSET INCM F	F	NO LOAD	OPEN	1600	0	0
SLIYX	SEI	SEI MULTI ASSET INCM Y	Y	NO LOAD	REDEEM ONLY	1600	0	0
SIFAX	SEI	SEI MULTI ASSET INFLATION MGD F	F	NO LOAD	OPEN	1600	0	0
SLFYX	SEI	SEI MULTI ASSET INFLATION MGD Y	Y	NO LOAD	REDEEM ONLY	1600	0	0
SETAX	SEI	SEI REAL ESTATE F	F	NO LOAD	OPEN	1600	0	0
SREYX	SEI	SEI REAL ESTATE Y SIMT	Y	NO LOAD	REDEEM ONLY	1600	0	0
CAVAX	SEI	SEI SCVT CATHOLIC VALUES EQTY FD F	F	NO LOAD	OPEN	1600	0	0
CFVAX	SEI	SEI SCVT CATHOLIC VALUES FIXED INCM FD	F	NO LOAD	OPEN	1600	0	0
TCSGX	SEI	SEI SHORT DURATION GOVT FD F	F	NO LOAD	OPEN	1600	0	0
SDGFX	SEI	SEI SHORT DURATION GOVT FD Y	Y	NO LOAD	REDEEM ONLY	1600	0	0
SUMAX	SEI	SEI SHORT DURATION MUNI F	F	NO LOAD	OPEN	1600	0	0
SHYMX	SEI	SEI SHORT DURATION MUNI Y	Y	NO LOAD	REDEEM ONLY	1600	0	0
SLLAX	SEI	SEI SMALL CAP FD CL F	F	NO LOAD	OPEN	1600	0	0

SYMBOL	FUND FAMILY	FUND DESCRIPTION	SHARE CLASS	FUND TYPE	STATUS	CUT-OFF	IRA MIN	NON-IRA MIN
SMYFX	SEI	SEI SMALL CAP FD CL Y	Y	NO LOAD	REDEEM ONLY	1600	0	0
SSCGX	SEI	SEI SMALL CAP GROWTH FD F	F	NO LOAD	OPEN	1600	0	0
SMAYX	SEI	SEI SMALL CAP GROWTH Y SIMT	Y	NO LOAD	REDEEM ONLY	1600	0	0
SESVX	SEI	SEI SMALL CAP VALUE FUND CL F	F	NO LOAD	OPEN	1600	0	0
SPVYX	SEI	SEI SMALL CAP VALUE Y SIMT	Y	NO LOAD	REDEEM ONLY	1600	0	0
SEATX	SEI	SEI TAX ADVANTAGED INCM F	F	NO LOAD	OPEN	1600	0	0
STAYX	SEI	SEI TAX ADVANTAGED INCM Y	Y	NO LOAD	REDEEM ONLY	1600	0	0
SMAAX	SEI	SEI TAX EXEMPT MA MUNI BD F	F	NO LOAD	OPEN	1600	0	0
SENJX	SEI	SEI TAX EXEMPT NJ MUNI BD F	F	NO LOAD	OPEN	1600	0	0
SENYX	SEI	SEI TAX EXEMPT NY MUNI BD F	F	NO LOAD	OPEN	1600	0	0
SEPAX	SEI	SEI TAX EXEMPT PA MUNI BD CL F	F	NO LOAD	OPEN	1600	0	0
TFCAX	SEI	SEI TAX FREE CONSRV INCM F	F	NO LOAD	OPEN	1600	0	0
TFCYX	SEI	SEI TAX FREE CONSRV INCM Y	Y	NO LOAD	REDEEM ONLY	1600	0	0
SISAX	SEI	SEI TAX MANAGED AGGR STRAT FD CL F	F	NO LOAD	OPEN	1600	100000	100000
TMLCX	SEI	SEI TAX MANAGED LARGE CAP FUND CL F	F	NO LOAD	OPEN	1600	0	0
STLYX	SEI	SEI TAX MANAGED LARGE CAP FUND CL Y	Y	NO LOAD	REDEEM ONLY	1600	0	0
TMMAX	SEI	SEI TAX MANAGED MANAGED VOLATILITY F	F	NO LOAD	OPEN	1600	0	0
STMSX	SEI	SEI TAX MANAGED SM MID CAP FD CL F	F	NO LOAD	OPEN	1600	0	0
STMPX	SEI	SEI TAX MANAGED SM MID CAP FD CL Y	Y	NO LOAD	REDEEM ONLY	1600	0	0
SMINX	SEI	SEI TAX MNGD INTL MNGD VOLATILITY F	F	NO LOAD	OPEN	1600	0	0
SIMYX	SEI	SEI TAX MNGD INTL MNGD VOLATILITY Y	Y	NO LOAD	REDEEM ONLY	1600	0	0
STVYX	SEI	SEI TAX MNGD VOLATILITY FD CL Y	Y	NO LOAD	REDEEM ONLY	1600	0	0
SECPX	SEI	SEI ULTRA SHORT DURATION BD FD CL F	F	NO LOAD	OPEN	1600	0	0
SECYX	SEI	SEI ULTRA SHORT DURATION BD FD CL Y	Y	NO LOAD	REDEEM ONLY	1600	0	0
SUSYX	SEI	SEI US MGD VOLATILITY Y	Y	NO LOAD	REDEEM ONLY	1600	0	0
LLOBX	SEI	SIMT LIQUID ALTERNATIVE F	F	NO LOAD	OPEN	1600	0	0
LLOAX	SEI	SIMT LIQUID ALTERNATIVE Y	Y	NO LOAD	REDEEM ONLY	1600	0	0
SEQUX	SEQUOIA	SEQUOIA FUND	NO LOAD	NO LOAD	OPEN	1600	2500	5000
EQHEX	SERIES PORTFOLIO TRUST	EQUABLE SHARES HEDGED EQUITY FD CL I	I	NO LOAD	REDEEM ONLY	1600	100000	100000
GCSVX	SERIES PORTFOLIO TRUST	GENEVA SMID CAP GROWTH INSTITUTIONAL	I	NO LOAD	OPEN	1600	100000	100000
HTMIX	SERIES PORTFOLIO TRUST	HEITMAN US REAL ESTATE SECURITIES INST	I	NO LOAD	OPEN	1600	0	0
KARIX	SERIES PORTFOLIO TRUST	KAYNE ANDERSON RENEWABLE INFRAS I	I	NO LOAD	OPEN	1600	250000	250000
KARRX	SERIES PORTFOLIO TRUST	KAYNE ANDERSON RENEWABLE INFRAS RETAIL	RETAIL	NO LOAD	OPEN	1600	100	100
OASDX	SERIES PORTFOLIO TRUST	OAKHURST STRATEGIC DEFINED RISK FD I	I	NO LOAD	OPEN	1600	25000	25000
PVCIX	SERIES PORTFOLIO TRUST	PALM VALLEY CAPITAL INSTITUTIONAL	I	NO LOAD	OPEN	1600	500000	500000
PVCMX	SERIES PORTFOLIO TRUST	PALM VALLEY CAPITAL INVESTOR	INVESTOR	NO LOAD	OPEN	1600	100	100
WASIX	SEVEN CANYONS	SEVEN CANYONS STRATEGIC INCOME INV	INVESTOR	NO LOAD	OPEN	1600	100	100
WIGTX	SEVEN CANYONS	SEVEN CANYONS WORLD INNOVATORS INST	INSTITUTIONAL	NO LOAD	OPEN	1600	100000	100000
WAGTX	SEVEN CANYONS	SEVEN CANYONS WORLD INNOVATORS INV	INVESTOR	NO LOAD	OPEN	1600	100	100
PRIVX	SHARESPOST	PRIVATE SHARES FD A	A	LOAD WAIVED	OPEN	1600	0	0
PIIVX	SHARESPOST	PRIVATE SHARES FD I	I	NO LOAD	OPEN	1600	0	0

SYMBOL	FUND FAMILY	FUND DESCRIPTION	SHARE CLASS	FUND TYPE	STATUS	CUT- OFF	IRA MIN	NON-IRA MIN
SPTMX	SHARIA	SP FUNDS 2030 TARGET DATE INSTITUTIONAL	INSTITUTIONAL	NO LOAD	REDEEM ONLY	1600	0	0
SPTAX	SHARIA	SP FUNDS 2030 TARGET DATE INVESTOR	INVESTOR	NO LOAD	REDEEM ONLY	1600	0	0
SPTNX	SHARIA	SP FUNDS 2040 TARGET DATE INSTITUTIONAL	INSTITUTIONAL	NO LOAD	REDEEM ONLY	1600	0	0
SPTBX	SHARIA	SP FUNDS 2040 TARGET DATE INVESTOR	INVESTOR	NO LOAD	REDEEM ONLY	1600	0	0
SPTOX	SHARIA	SP FUNDS 2050 TARGET DATE INSTITUTIONAL	INSTITUTIONAL	NO LOAD	REDEEM ONLY	1600	0	0
SPTCX	SHARIA	SP FUNDS 2050 TARGET DATE INVESTOR	INVESTOR	NO LOAD	REDEEM ONLY	1600	0	0
CFNTX	SHELTON FUNDS TRUST	GREEN CA TAX FREE INC INV	INVESTOR	NO LOAD	OPEN	1600	0	0
ICFSX	SHELTON FUNDS TRUST	ICON CONSUMER SELECT FD INSTL CL	INSTITUTIONAL	NO LOAD	OPEN	1600	0	0
ICFAX	SHELTON FUNDS TRUST	ICON CONSUMER SELECT INVESTOR	INVESTOR	NO LOAD	OPEN	1600	0	0
IOLZX	SHELTON FUNDS TRUST	ICON EQUITY FD INSTL CL	INSTITUTIONAL	NO LOAD	OPEN	1600	0	0
ISTAX	SHELTON FUNDS TRUST	ICON EQUITY FD INV CL	INVESTOR	NO LOAD	OPEN	1600	0	0
IOEZX	SHELTON FUNDS TRUST	ICON EQUITY INCOME FD INSTL CL	INSTITUTIONAL	NO LOAD	OPEN	1600	0	0
IEQAX	SHELTON FUNDS TRUST	ICON EQUITY INCOME FD INV CL	INVESTOR	NO LOAD	OPEN	1600	0	0
IOBZX	SHELTON FUNDS TRUST	ICON FLEXIBLE BD FD INST	INSTITUTIONAL	NO LOAD	OPEN	1600	0	0
IOBAX	SHELTON FUNDS TRUST	ICON FLEXIBLE BD FD INV	INVESTOR	NO LOAD	OPEN	1600	0	0
ICTEX	SHELTON FUNDS TRUST	ICON HEALTH & INFORMATION TECHNOLOGY FD INSTL CL	INSTITUTIONAL	NO LOAD	OPEN	1600	0	0
ICTTX	SHELTON FUNDS TRUST	ICON HEALTH AND INFORMATION TECH INV	INVESTOR	NO LOAD	OPEN	1600	0	0
ICBAX	SHELTON FUNDS TRUST	ICON NAT RES & INFRA INV	INVESTOR	NO LOAD	OPEN	1600	0	0
ICBMX	SHELTON FUNDS TRUST	ICON NAT RES FD INSTL CL	INSTITUTIONAL	NO LOAD	OPEN	1600	0	0
ICTUX	SHELTON FUNDS TRUST	ICON UTILITIES & INCM FD INST	INSTITUTIONAL	NO LOAD	OPEN	1600	0	0
ICTVX	SHELTON FUNDS TRUST	ICON UTILITIES AND INCOME INV	INVESTOR	NO LOAD	OPEN	1600	0	0
NASDX	SHELTON FUNDS TRUST	NASDAQ 100 INDEX INV	INVESTOR	NO LOAD	OPEN	1600	0	0
EMSQX	SHELTON FUNDS TRUST	S C M TRUST	INSTITUTIONAL	NO LOAD	OPEN	1600	0	0
SPFIX	SHELTON FUNDS TRUST	S&P 500 INDEX INV	INVESTOR	NO LOAD	OPEN	1600	0	0
SPMIX	SHELTON FUNDS TRUST	S&P MIDCAP INDEX INV	INVESTOR	NO LOAD	OPEN	1600	0	0
SMCIX	SHELTON FUNDS TRUST	S&P SMALL CAP INDEX INV	INVESTOR	NO LOAD	OPEN	1600	0	0
EMSLX	SHELTON FUNDS TRUST	SHELTON EMERGING MARKETS INVESTOR	INVESTOR	NO LOAD	OPEN	1600	0	0
EQTIX	SHELTON FUNDS TRUST	SHELTON EQUITY INCOME INV	INVESTOR	NO LOAD	OPEN	1600	0	0
EQTKX	SHELTON FUNDS TRUST	SHELTON EQUITY INCOME K	K	NO LOAD	REDEEM ONLY	1600	0	0
SISEX	SHELTON FUNDS TRUST	SHELTON INTL SELECT EQTY FD INST	INSTITUTIONAL	NO LOAD	OPEN	1600	0	0
SISLX	SHELTON FUNDS TRUST	SHELTON INTL SELECT EQTY FD INV	INVESTOR	NO LOAD	OPEN	1600	0	0
NDXKX	SHELTON FUNDS TRUST	SHELTON NASDAQ 100 INDEX FD K	K	NO LOAD	OPEN	1600	0	0
NQQQX	SHELTON FUNDS TRUST	SHELTON NASDAQ100 INDEX INSTITUTIONAL	INSTITUTIONAL	NO LOAD	OPEN	1600	10000000	10000000
SPKXK	SHELTON FUNDS TRUST	SHELTON S&P 500 INDEX FD K	K	NO LOAD	REDEEM ONLY	1600	0	0
MIDKX	SHELTON FUNDS TRUST	SHELTON S&P MIDCAP INDEX FD K	K	NO LOAD	REDEEM ONLY	1600	0	0
SMLKX	SHELTON FUNDS TRUST	SHELTON S&P SM CAP INDEX FD K	K	NO LOAD	REDEEM ONLY	1600	0	0
NEXTX	SHELTON FUNDS TRUST	SHELTON SUST EQUITY	NO LOAD	NO LOAD	OPEN	1600	0	0
NEXIX	SHELTON FUNDS TRUST	SHELTON SUSTAINABLE EQUITY INSTITUTIONAL	INSTITUTIONAL	NO LOAD	OPEN	1600	0	0
DEBIX	SHELTON FUNDS TRUST	SHELTON TACTICAL CREDIT FD INST	INSTITUTIONAL	NO LOAD	OPEN	1600	0	0
DEBTX	SHELTON FUNDS TRUST	SHELTON TACTICAL CREDIT FD INV	INVESTOR	NO LOAD	OPEN	1600	0	0
UTSXX	SHELTON FUNDS TRUST	SHELTON UNITED STATES TREASURY TRUST DIR	DIRECT	NO LOAD	REDEEM ONLY	1600	0	0

SYMBOL	FUND FAMILY	FUND DESCRIPTION	SHARE CLASS	FUND TYPE	STATUS	CUT- OFF	IRA MIN	NON-IRA MIN
CAUKX	SHELTON FUNDS TRUST	SHELTON US GOVT SECS FD K	K	NO LOAD	REDEEM ONLY	1600	0	0
CAUSX	SHELTON FUNDS TRUST	U.S. GOVT SECURITIES INV	INVESTOR	NO LOAD	OPEN	1600	0	0
SFHIX	SHENKMAN FUNDS	SHENKMAN CAP FLOATING RATE HIGH INCM INST	INSTITUTIONAL	NO LOAD	OPEN	1600	1000000	1000000
SCFCX	SHENKMAN FUNDS	SHENKMAN CAPITAL SHORT DUR HI INC C	C	LOAD	OPEN	1600	1000	1000
SCFAX	SHENKMAN FUNDS	SHENKMAN SHORT DURATION HIGH INCM A	A	LOAD	OPEN	1600	100	100
SCFFX	SHENKMAN FUNDS	SHENKMAN SHORT DURATION HIGH INCM F	F	NO LOAD	OPEN	1600	1000	1000
SCFIX	SHENKMAN FUNDS	SHENKMAN SHORT DURATION HIGH INCM INST	INSTITUTIONAL	NO LOAD	OPEN	1600	0	0
SIBAX	SIT	SIT BALANCED I	I	NO LOAD	OPEN	1600	100	100
SDMGX	SIT	SIT DEVELOPING MKTS GROWTH I	I	NO LOAD	OPEN	1600	100	100
SDVGX	SIT	SIT DIVIDEND GROWTH FD CL I	I	NO LOAD	OPEN	1600	100000	100000
SDVSX	SIT	SIT DIVIDEND GROWTH FD CL S	S	NO LOAD	OPEN	1600	100	100
GDGSX	SIT	SIT GLBL DIV GWTH FD S	S	NO LOAD	OPEN	1600	100	100
SNGRX	SIT	SIT INTL GROWTH I	I	NO LOAD	OPEN	1600	100	100
SNIGX	SIT	SIT LARGE CAP GROWTH I	I	NO LOAD	OPEN	1600	100	100
NBNGX	SIT	SIT MID CAP GROWTH I	I	NO LOAD	OPEN	1600	100	100
SMTFX	SIT	SIT MN TAX FREE INCOMES	S	NO LOAD	OPEN	1600	100	100
SQIFX	SIT	SIT QUALITY INCM S	S	NO LOAD	OPEN	1600	100	100
SQIYX	SIT	SIT QUALITY INCOME Y	Y	NO LOAD	OPEN	1600	1000000	1000000
SSCDX	SIT	SIT SMALL CAP DIVIDEND GROWTH I	I	NO LOAD	OPEN	1600	100000	100000
SDFSX	SIT	SIT SMALL CAP DIVIDEND GROWTH S	S	NO LOAD	OPEN	1600	100	100
SSMGX	SIT	SIT SMALL CAPITAL GROWTH I	I	NO LOAD	OPEN	1600	100	100
SNTIX	SIT	SIT TAX FREE INCOME S	S	NO LOAD	OPEN	1600	100	100
SNTYX	SIT	SIT TAXFREE INCOME Y	Y	NO LOAD	OPEN	1600	1000000	1000000
SNGVX	SIT	SIT US GOVERNMENT SECS S	S	NO LOAD	OPEN	1600	100	100
SNGYX	SIT	SIT US GOVERNMENT SECURITIES Y	Y	NO LOAD	OPEN	1600	1000000	1000000
SVFCX	SMEAD	SMEAD FDS TR VALUE FD C	C	LOAD	OPEN	1600	25000	5000
SVXCX	SMEAD	SMEAD INTERNATIONAL VALUE C	C	LOAD	OPEN	1600	25000	25000
SVXFX	SMEAD	SMEAD INTERNATIONAL VALUE FD I1	I1	NO LOAD	OPEN	1600	1000000	1000000
SVXAX	SMEAD	SMEAD INTERNATIONAL VALUE FUND A	A	LOAD	OPEN	1600	3000	3000
SVXYX	SMEAD	SMEAD INTERNATIONAL VALUE Y	Y	NO LOAD	OPEN	1600	10000000	10000000
SVFAX	SMEAD	SMEAD VALUE FD A	A	LOAD	OPEN	1600	3000	3000
SVFFX	SMEAD	SMEAD VALUE FD I1 CL	I1	NO LOAD	OPEN	1600	1000000	1000000
SMVLX	SMEAD	SMEAD VALUE FD INV CL	INVESTOR	NO LOAD	REDEEM ONLY	1600	100	100
SVFYX	SMEAD	SMEAD VALUE Y	Y	NO LOAD	OPEN	1600	10000000	10000000
SSHVX	SOUND SHORE	SOUND SHORE INST	INSTITUTIONAL	NO LOAD	OPEN	1600	0	0
SSHFX	SOUND SHORE	SOUND SHORE INVESTOR	NO LOAD	NO LOAD	OPEN	1600	0	0
SSSIX	SOUTHERNSUN	SOUTHERNSUN SMALL CAP FUND I	I	NO LOAD	OPEN	1600	25000	100000
SSSFX	SOUTHERNSUN	SOUTHERNSUN SMALL CAP FUND N	N	NO LOAD	OPEN	1600	100	100
SSEIX	SOUTHERNSUN	SOUTHERNSUN US EQUITY FUND I	I	NO LOAD	OPEN	1600	25000	100000
SSEFX	SOUTHERNSUN	SOUTHERNSUN US EQUITY FUND N	N	NO LOAD	OPEN	1600	100	100
SGNFX	SPARROW CAP MGMT	SPARROW GROWTH NOLOAD	NO LOAD	NO LOAD	OPEN	1600	0	0

SYMBOL	FUND FAMILY	FUND DESCRIPTION	SHARE CLASS	FUND TYPE	STATUS	CUT- OFF	IRA MIN	NON-IRA MIN
SGDLX	SPROTT	SPROTT GOLD	INVESTOR	NO LOAD	OPEN	1600	100	100
SGDIX	SPROTT	SPROTT GOLD FUND	INSTITUTIONAL	NO LOAD	OPEN	1600	0	0
SPRNX	SPRUCEGROVE	SPRUCEGROVE INTERNATIONAL EQ INST	INSTITUTIONAL	NO LOAD	OPEN	1600	0	0
SPYGX	SPYGLASS FUNDS	SPYGLASS GROWTH FD INST	INSTITUTIONAL	NO LOAD	OPEN	1600	0	0
SSIPX	SSGA	SSGA INTL STOCK SELECTION I	I	NO LOAD	REDEEM ONLY	1600	0	0
SSFCX	SSGA	STATE STREET AGGREGATE BOND INDEX A	A	LOAD WAIVED	REDEEM ONLY	1600	100	100
SSFEX	SSGA	STATE STREET AGGREGATE BOND INDEX K	K	NO LOAD	REDEEM ONLY	1600	0	0
SSBIX	SSGA	STATE STREET BALANCED INDEX K	K	NO LOAD	REDEEM ONLY	1600	0	0
SSKEX	SSGA	STATE STREET EMRG MKTS EQTY INDEX K	K	NO LOAD	REDEEM ONLY	1600	0	0
SSSWX	SSGA	STATE STREET EQUITY 500 INDEX I	I	NO LOAD	REDEEM ONLY	1600	0	0
SSSYX	SSGA	STATE STREET EQUITY 500 INDEX K	K	NO LOAD	REDEEM ONLY	1600	0	0
SSGJX	SSGA	STATE STREET GLB ALL CAP EQ EXUS IDX I	I	NO LOAD	REDEEM ONLY	1600	0	0
SSGLX	SSGA	STATE STREET GLOBAL ALL CAP EQTY EX US INDEX FD CL K	K	NO LOAD	REDEEM ONLY	1600	0	0
SSHQX	SSGA	STATE STREET HEDGED INTL DEVELOPED EQTY INDEX K	K	NO LOAD	REDEEM ONLY	1600	0	0
SIVIX	SSGA	STATE STREET INST SMALL CAP EQTY FD INV	INVESTMENT	NO LOAD	OPEN	1600	0	0
SSQSX	SSGA	STATE STREET INST SMALL CAP EQTY FD SERV	SERVICE	NO LOAD	REDEEM ONLY	1600	100	100
SUSIX	SSGA	STATE STREET INST US EQTY FD INV	INVESTMENT	NO LOAD	OPEN	1600	5000000	5000000
SUSSX	SSGA	STATE STREET INST US EQTY FD SERV	SERVICE	NO LOAD	REDEEM ONLY	1600	100	100
TRVXX	SSGA	STATE STREET INSTL TREASURY MMKT INVSMT	INVESTMENT	NO LOAD	REDEEM ONLY	1600	999999	999999
TPVXX	SSGA	STATE STREET INSTL TRS PLS MMKT INVSMT	INVESTMENT	NO LOAD	REDEEM ONLY	1600	999999	999999
GVVXX	SSGA	STATE STREET INSTL US GOVT MMKT INVSMT	INVESTMENT	NO LOAD	REDEEM ONLY	1600	250	250
SSAIX	SSGA	STATE STREET INTL STOCK SELECTION FD CL N	N	NO LOAD	OPEN	1600	0	0
SVSPX	SSGA	STATE STREET S P 500 INDEX FD CL N	N	NO LOAD	OPEN	1600	0	0
SSMLX	SSGA	STATE STREET SMALL MID CAP EQTY INDEX I	I	NO LOAD	REDEEM ONLY	1600	0	0
SSMKX	SSGA	STATE STREET SMALL MID CAP EQTY INDEX K	K	NO LOAD	REDEEM ONLY	1600	0	0
SSMJX	SSGA	STATE STREET SMALLMID CAP EQUITY IDX A	A	LOAD	SUBS ONLY	1600	100	100
SSFKX	SSGA	STATE STREET TARGET RETIREMENT 2065 K	K	NO LOAD	REDEEM ONLY	1600	0	0
SSFOX	SSGA	STATE STREET TARGET RETIREMENT K	K	NO LOAD	REDEEM ONLY	1600	0	0
SSBOX	SSGA	STATE STREET TARGET RTRMT 2020 K	K	NO LOAD	REDEEM ONLY	1600	0	0
SSBSX	SSGA	STATE STREET TARGET RTRMT 2025 K	K	NO LOAD	REDEEM ONLY	1600	0	0
SSBYX	SSGA	STATE STREET TARGET RTRMT 2030 K	K	NO LOAD	REDEEM ONLY	1600	0	0
SSCKX	SSGA	STATE STREET TARGET RTRMT 2035 K	K	NO LOAD	REDEEM ONLY	1600	0	0
SSCQX	SSGA	STATE STREET TARGET RTRMT 2040 K	K	NO LOAD	REDEEM ONLY	1600	0	0
SSDEX	SSGA	STATE STREET TARGET RTRMT 2045 K	K	NO LOAD	REDEEM ONLY	1600	0	0
SSDLX	SSGA	STATE STREET TARGET RTRMT 2050 K	K	NO LOAD	REDEEM ONLY	1600	0	0
SSDQX	SSGA	STATE STREET TARGET RTRMT 2055 K	K	NO LOAD	REDEEM ONLY	1600	0	0
SSDYX	SSGA	STATE STREET TARGET RTRMT 2060 K	K	NO LOAD	REDEEM ONLY	1600	0	0
MDFIX	STARBOARD INVESTMENT TR	MATISSE DISCOUNTED BOND CEF STRAT INSTL	INSTITUTIONAL	NO LOAD	OPEN	1600	0	0
MDCEX	STARBOARD INVESTMENT TR	MATISSE DISCOUNTED CLOSED END FD STRATEGY	NO LOAD	NO LOAD	OPEN	1600	0	0
RAMSX	STARBOARD INVESTMENT TR	ROUMELL OPPORTUNISTIC VALUE FD INST CL	INSTITUTIONAL	NO LOAD	OPEN	1600	0	0
NAVFX	STARBOARD INVESTMENT TR	SECTOR ROTATION FD NO LOAD CL	NO LOAD	NO LOAD	OPEN	1600	100	100

SYMBOL	FUND FAMILY	FUND DESCRIPTION	SHARE CLASS	FUND TYPE	STATUS	CUT- OFF	IRA MIN	NON-IRA MIN
STRUX	STEPSTONE	STEPSTONE PRIVATE INFRASTRUCTURE I	I	NO LOAD	REDEEM ONLY	1600	25000	25000
SCCMX	STERLING CAPITAL FUNDS	STERLING CAP LONG DURATION CORP BOND A	A	LOAD	OPEN	1600	0	0
SCCNX	STERLING CAPITAL FUNDS	STERLING CAP LONG DURATION CORP BOND C	C	LOAD	OPEN	1600	0	0
SCCPX	STERLING CAPITAL FUNDS	STERLING CAP LONG DURATION CORP BOND I	INSTITUTIONAL	NO LOAD	OPEN	1600	0	0
STRGX	STERLING CAPITAL FUNDS	STERLING CAP MID CAP RELATIVE VALUE I	INSTITUTIONAL	NO LOAD	OPEN	1600	0	0
SBIAX	STERLING CAPITAL FUNDS	STERLING CAPITAL BEHAVIORAL INTL EQTY A	A	LOAD	OPEN	1600	0	0
SBIDX	STERLING CAPITAL FUNDS	STERLING CAPITAL BEHAVIORAL INTL EQTY C	C	LOAD	OPEN	1600	0	0
SBIIX	STERLING CAPITAL FUNDS	STERLING CAPITAL BEHAVIORAL INTL EQTY INST	INSTITUTIONAL	NO LOAD	OPEN	1600	0	0
BBTGX	STERLING CAPITAL FUNDS	STERLING CAPITAL BEHAVIORAL LG CAP VAL EQ A	A	LOAD	OPEN	1600	0	0
BBISX	STERLING CAPITAL FUNDS	STERLING CAPITAL BEHAVIORAL LG CAP VAL EQ I	INSTITUTIONAL	NO LOAD	OPEN	1600	0	0
BCVCX	STERLING CAPITAL FUNDS	STERLING CAPITAL BEHAVIORAL LG CAP VAL EQTY C	C	LOAD	OPEN	1600	0	0
SPSAX	STERLING CAPITAL FUNDS	STERLING CAPITAL BEHAVIORAL SM CAP VAL EQ A	A	LOAD	OPEN	1600	0	0
SPSCX	STERLING CAPITAL FUNDS	STERLING CAPITAL BEHAVIORAL SM CAP VAL EQ I	INSTITUTIONAL	NO LOAD	OPEN	1600	0	0
SPSDX	STERLING CAPITAL FUNDS	STERLING CAPITAL BEHAVIORAL SM CAP VAL EQTY C	C	LOAD	OPEN	1600	0	0
BAEIX	STERLING CAPITAL FUNDS	STERLING CAPITAL EQ INCM FD CL A	A	LOAD	OPEN	1600	0	0
BEGIX	STERLING CAPITAL FUNDS	STERLING CAPITAL EQ INCM INST CL	INSTITUTIONAL	NO LOAD	OPEN	1600	0	0
BCEGX	STERLING CAPITAL FUNDS	STERLING CAPITAL EQUITY INCM C	C	LOAD	OPEN	1600	0	0
STREX	STERLING CAPITAL FUNDS	STERLING CAPITAL EQUITY INCOME R6	R6	NO LOAD	REDEEM ONLY	1600	0	0
BGVAX	STERLING CAPITAL FUNDS	STERLING CAPITAL INTERM US GOVT A	A	LOAD	OPEN	1600	0	0
BIUCX	STERLING CAPITAL FUNDS	STERLING CAPITAL INTERM US GOVT C	C	LOAD	OPEN	1600	0	0
BBGVX	STERLING CAPITAL FUNDS	STERLING CAPITAL INTERM US GOVT INST	INSTITUTIONAL	NO LOAD	OPEN	1600	0	0
OVECX	STERLING CAPITAL FUNDS	STERLING CAPITAL MID VALUE C	C	LOAD	OPEN	1600	0	0
OVEAX	STERLING CAPITAL FUNDS	STERLING CAPITAL MID VALUE FD CL A	A	LOAD	OPEN	1600	0	0
OVEIX	STERLING CAPITAL FUNDS	STERLING CAPITAL MID VALUE INST FD	INSTITUTIONAL	NO LOAD	OPEN	1600	0	0
BBNCX	STERLING CAPITAL FUNDS	STERLING CAPITAL NC INTERM TF C	C	LOAD	OPEN	1600	0	0
BBNTX	STERLING CAPITAL FUNDS	STERLING CAPITAL NC INTERM TF INST	INSTITUTIONAL	NO LOAD	OPEN	1600	0	0
BNCAX	STERLING CAPITAL FUNDS	STERLING CAPITAL NC INTERMEDIATE TAX FREE FD CL A	A	LOAD	OPEN	1600	0	0
SCSSX	STERLING CAPITAL FUNDS	STERLING CAPITAL QUALITY INCOME FD CL A	A	LOAD	OPEN	1600	0	0
SCSTX	STERLING CAPITAL FUNDS	STERLING CAPITAL QUALITY INCOME FD CL C	C	LOAD	OPEN	1600	0	0
SCSPX	STERLING CAPITAL FUNDS	STERLING CAPITAL QUALITY INCOME FD CL I	INSTITUTIONAL	NO LOAD	OPEN	1600	0	0
STMMX	STERLING CAPITAL FUNDS	STERLING CAPITAL REAL ESTATE CLASS A	A	LOAD	OPEN	1600	0	0
STMOX	STERLING CAPITAL FUNDS	STERLING CAPITAL REAL ESTATE CLASS C	C	LOAD	OPEN	1600	0	0
STMDX	STERLING CAPITAL FUNDS	STERLING CAPITAL REAL ESTATE CLASS I	INSTITUTIONAL	NO LOAD	OPEN	1600	0	0
BASCX	STERLING CAPITAL FUNDS	STERLING CAPITAL SC INTERM TF A	A	LOAD	OPEN	1600	0	0
BSCCX	STERLING CAPITAL FUNDS	STERLING CAPITAL SC INTERM TF C	C	LOAD	OPEN	1600	0	0
BSCIX	STERLING CAPITAL FUNDS	STERLING CAPITAL SC INTERM TF INST	INSTITUTIONAL	NO LOAD	OPEN	1600	0	0
BSGAX	STERLING CAPITAL FUNDS	STERLING CAPITAL SHORT DUR BD A	A	LOAD	OPEN	1600	0	0
BBSCX	STERLING CAPITAL FUNDS	STERLING CAPITAL SHORT DUR BD C	C	LOAD	OPEN	1600	0	0
BBSGX	STERLING CAPITAL FUNDS	STERLING CAPITAL SHORT DUR BD INST	INSTITUTIONAL	NO LOAD	OPEN	1600	0	0
STSNX	STERLING CAPITAL FUNDS	STERLING CAPITAL SMALL CAP VALUE CL A	A	LOAD	OPEN	1600	0	0
STSCX	STERLING CAPITAL FUNDS	STERLING CAPITAL SMALL CAP VALUE CL I	INSTITUTIONAL	NO LOAD	OPEN	1600	0	0

SYMBOL	FUND FAMILY	FUND DESCRIPTION	SHARE CLASS	FUND TYPE	STATUS	CUT-OFF	IRA MIN	NON-IRA MIN
BOPAX	STERLING CAPITAL FUNDS	STERLING CAPITAL SPECIAL OPPORTUNITIES FD CL A	A	LOAD	OPEN	1600	0	0
BOPCX	STERLING CAPITAL FUNDS	STERLING CAPITAL SPECIAL OPPORTUNITIES FD CL C	C	LOAD	OPEN	1600	0	0
BOPIX	STERLING CAPITAL FUNDS	STERLING CAPITAL SPECIAL OPPORTUNITIES INST FD	INSTITUTIONAL	NO LOAD	OPEN	1600	0	0
BICCX	STERLING CAPITAL FUNDS	STERLING CAPITAL TOTAL RETURN BD C	C	LOAD	OPEN	1600	0	0
BIBTX	STERLING CAPITAL FUNDS	STERLING CAPITAL TOTAL RETURN BD INST FD	INSTITUTIONAL	NO LOAD	OPEN	1600	0	0
STRDX	STERLING CAPITAL FUNDS	STERLING CAPITAL TOTAL RETURN BD R6	R6	NO LOAD	REDEEM ONLY	1600	0	0
BICAX	STERLING CAPITAL FUNDS	STERLING CAPITAL TOTAL RETURN BOND FD CL A	A	LOAD	OPEN	1600	0	0
BUSRX	STERLING CAPITAL FUNDS	STERLING CAPITAL ULTRA SHORT BD A	A	LOAD	OPEN	1600	0	0
BUSIX	STERLING CAPITAL FUNDS	STERLING CAPITAL ULTRA SHORT BD INST	INSTITUTIONAL	NO LOAD	OPEN	1600	0	0
BVAAX	STERLING CAPITAL FUNDS	STERLING CAPITAL VA INTERM TF A	A	LOAD	OPEN	1600	0	0
BVACX	STERLING CAPITAL FUNDS	STERLING CAPITAL VA INTERM TF C	C	LOAD	OPEN	1600	0	0
BVATX	STERLING CAPITAL FUNDS	STERLING CAPITAL VA INTERM TF INST	INSTITUTIONAL	NO LOAD	OPEN	1600	0	0
BWVAX	STERLING CAPITAL FUNDS	STERLING CAPITAL WW INTER TAX FREE A	A	LOAD	OPEN	1600	0	0
BWVCX	STERLING CAPITAL FUNDS	STERLING CAPITAL WW INTERM TF C	C	LOAD	OPEN	1600	0	0
OWVAX	STERLING CAPITAL FUNDS	STERLING CAPITAL WW INTERM TF INST	INSTITUTIONAL	NO LOAD	OPEN	1600	0	0
SCJAX	STEWARD	STEWARD COVERED CALL INCM A	A	LOAD	OPEN	1600	0	0
SCJCX	STEWARD	STEWARD COVERED CALL INCM C	C	LOAD	OPEN	1600	1000	2000
SCJIX	STEWARD	STEWARD COVERED CALL INCM INST	INSTITUTIONAL	NO LOAD	OPEN	1600	0	0
SMNAX	STEWARD	STEWARD EQUITY MARKET NEUTRAL A	A	LOAD	OPEN	1600	0	0
SMNIX	STEWARD	STEWARD EQUITY MARKET NEUTRAL INSTL	INSTITUTIONAL	NO LOAD	OPEN	1600	0	0
SGIDX	STEWARD	STEWARD GLOBAL EQTY INCM A	A	LOAD	OPEN	1600	0	0
SGIFX	STEWARD	STEWARD GLOBAL EQTY INCM C	C	LOAD	OPEN	1600	1000	1000
SGISX	STEWARD	STEWARD GLOBAL EQTY INCM INST	INSTITUTIONAL	NO LOAD	OPEN	1600	0	0
SNTKX	STEWARD	STEWARD INTL ENHANCED INDEX A	A	LOAD	OPEN	1600	100	100
SNTCX	STEWARD	STEWARD INTL ENHANCED INDEX INST	INSTITUTIONAL	NO LOAD	OPEN	1600	0	0
SJCAX	STEWARD	STEWARD LARGE CAP CORE A	A	LOAD	OPEN	1600	0	0
SJCIX	STEWARD	STEWARD LARGE CAP CORE INSTITUTIONAL	INSTITUTIONAL	NO LOAD	OPEN	1600	0	0
SJGIX	STEWARD	STEWARD LARGE CAP GROWTH INSTITUTIONAL	INSTITUTIONAL	NO LOAD	OPEN	1600	0	0
SJVIX	STEWARD	STEWARD LARGE CAP VALUE INSTITUTIONAL	INSTITUTIONAL	NO LOAD	OPEN	1600	0	0
SEAKX	STEWARD	STEWARD SELECT BOND FD A	A	LOAD	OPEN	1600	100	100
SEACX	STEWARD	STEWARD SELECT BOND FD INST CL	INSTITUTIONAL	NO LOAD	OPEN	1600	0	0
SEEKX	STEWARD	STEWARD VAL FOCUS LG CAP ENHD INDEX A	A	LOAD	OPEN	1600	100	100
SEECX	STEWARD	STEWARD VAL FOCUS LG CAP ENHD INDEX INST	INSTITUTIONAL	NO LOAD	OPEN	1600	0	0
TRDFX	STEWARD	STEWARD VAL FOCUS SM MID CAP ENHD IDX A	A	LOAD	OPEN	1600	100	100
SCECX	STEWARD	STEWARD VAL FOCUS SM MID CAP ENHD IDX INST	INSTITUTIONAL	NO LOAD	OPEN	1600	0	0
SRDAX	STONE RIDGE	STONE RIDGE TR DVRSFD ALTR I	I	NO LOAD	OPEN	1600	0	0
SRDBX	STONE RIDGE	STONE RIDGE TR DVRSFD ALTR M	M	NO LOAD	REDEEM ONLY	1600	2500	2500
SRGAX	STRINGER FUNDS	STRINGER GWTH FD CL A	A	LOAD	OPEN	1600	0	0
SRGIX	STRINGER FUNDS	STRINGER GWTH FD CL I	INSTITUTIONAL	NO LOAD	OPEN	1600	0	0
SGLIX	SUMMIT GLOBAL FUNDS	SGI GLOBAL EQUITY FD CL I	I	NO LOAD	OPEN	1600	100	100
SGPKX	SUMMIT GLOBAL FUNDS	SGI PEAK GROWTH FUND	I	NO LOAD	OPEN	1600	0	0

SYMBOL	FUND FAMILY	FUND DESCRIPTION	SHARE CLASS	FUND TYPE	STATUS	CUT- OFF	IRA MIN	NON-IRA MIN
SGPGX	SUMMIT GLOBAL FUNDS	SGI PRUDENT GROWTH	I	NO LOAD	OPEN	1600	0	0
BOGIX	SUMMIT GLOBAL FUNDS	SGI SMALL CAP CORE I	I	NO LOAD	OPEN	1600	1000000	1000000
LVOLX	SUMMIT GLOBAL FUNDS	SGI U.S. LARGE CAP EQUITY FD CL A	A	LOAD	OPEN	1600	0	0
SILVX	SUMMIT GLOBAL FUNDS	SGI U.S. LARGE CAP EQUITY FD CL I	I	NO LOAD	OPEN	1600	1000000	1000000
GGEFX	SUMMITRY	GOLUB SUMMITRY EQTY FD	A	NO LOAD	OPEN	1600	100	100
SPATX	SYMMETRY PANORAMIC TRUST	SYMMETRY PANORAMIC ALTERNATIVES I	I	NO LOAD	OPEN	1600	1000	1000
SPGEX	SYMMETRY PANORAMIC TRUST	SYMMETRY PANORAMIC GLBL EQTY I	I	NO LOAD	OPEN	1600	1000	1000
SPGBX	SYMMETRY PANORAMIC TRUST	SYMMETRY PANORAMIC GLBL FIXED INCOME I	I	NO LOAD	OPEN	1600	1000	1000
SPILX	SYMMETRY PANORAMIC TRUST	SYMMETRY PANORAMIC INTL EQTY I	I	NO LOAD	OPEN	1600	1000	1000
SPMFX	SYMMETRY PANORAMIC TRUST	SYMMETRY PANORAMIC MUNI FIXED INCOME I	I	NO LOAD	OPEN	1600	1000	1000
SPGTX	SYMMETRY PANORAMIC TRUST	SYMMETRY PANORAMIC TAX MGD GLBL EQTY I	I	NO LOAD	REDEEM ONLY	1600	1000	1000
SPUSX	SYMMETRY PANORAMIC TRUST	SYMMETRY PANORAMIC US EQTY I	I	NO LOAD	OPEN	1600	1000	1000
SPUBX	SYMMETRY PANORAMIC TRUST	SYMMETRY PANORAMIC US FIXED INCOME I	I	NO LOAD	OPEN	1600	1000	1000
TSDLX	T ROWE PRICE	ROWE T PRICE SHORT TERM BD FD SHT DUR INC INV	INVESTOR	NO LOAD	OPEN	1600	0	0
PAIJX	T ROWE PRICE	T ROWE EMERGING MRKTS DISCOVERY STOCK ADV	ADVISOR	NO LOAD	OPEN	1600	0	0
REVIX	T ROWE PRICE	T ROWE EMERGING MRKTS DISCOVERY STOCK FD CL I	I CLASS	NO LOAD	REDEEM ONLY	1600	0	0
PRIJX	T ROWE PRICE	T ROWE EMERGING MRKTS DISCOVERY STOCK FD INV	INVESTOR	NO LOAD	OPEN	1600	0	0
PRNCX	T ROWE PRICE	T ROWE INTERNATIONAL DISCIPLINED EQUITY ADV	ADVISOR	NO LOAD	OPEN	1600	0	0
RICIX	T ROWE PRICE	T ROWE INTERNATIONAL DISCIPLINED EQUITY FD CL I	I CLASS	NO LOAD	REDEEM ONLY	1600	0	0
PRCNX	T ROWE PRICE	T ROWE INTERNATIONAL DISCIPLINED EQUITY FD INV	INVESTOR	NO LOAD	OPEN	1600	0	0
PRAMX	T ROWE PRICE	T ROWE PRICE AFRICA & MIDDLE EAST I	I CLASS	NO LOAD	REDEEM ONLY	1600	0	0
TRAMX	T ROWE PRICE	T ROWE PRICE AFRICA AND MIDDLE EAST FD INV	INVESTOR	NO LOAD	OPEN	1600	0	0
PNAIX	T ROWE PRICE	T ROWE PRICE ALL CAP OPPORTUNITIES FD CL I	I CLASS	NO LOAD	REDEEM ONLY	1600	0	0
PRWAX	T ROWE PRICE	T ROWE PRICE ALL CAP OPPORTUNITIES FD INV	INVESTOR	NO LOAD	OPEN	1600	0	0
PAAOX	T ROWE PRICE	T ROWE PRICE ASIA OPPTY FD ADV	ADVISOR	NO LOAD	OPEN	1600	0	0
TRASX	T ROWE PRICE	T ROWE PRICE ASIA OPPTY FD I	I CLASS	NO LOAD	REDEEM ONLY	1600	0	0
TRAOX	T ROWE PRICE	T ROWE PRICE ASIA OPPTY FD INV	INVESTOR	NO LOAD	OPEN	1600	0	0
RBAIX	T ROWE PRICE	T ROWE PRICE BALANCED FD I	I CLASS	NO LOAD	REDEEM ONLY	1600	0	0
RPBAX	T ROWE PRICE	T ROWE PRICE BALANCED INV	INVESTOR	NO LOAD	OPEN	1600	0	0
PABGX	T ROWE PRICE	T ROWE PRICE BLUE CHIP GROWTH ADV	ADVISOR	NO LOAD	OPEN	1600	0	0
RRBGX	T ROWE PRICE	T ROWE PRICE BLUE CHIP GROWTH FUND CL R	R	NO LOAD	REDEEM ONLY	1600	0	0
TBCIX	T ROWE PRICE	T ROWE PRICE BLUE CHIP GROWTH I	I CLASS	NO LOAD	REDEEM ONLY	1600	0	0
TRBCX	T ROWE PRICE	T ROWE PRICE BLUE CHIP GROWTH INV	INVESTOR	NO LOAD	OPEN	1600	0	0
TCFEX	T ROWE PRICE	T ROWE PRICE CA TAX FREE BD I	I CLASS	NO LOAD	REDEEM ONLY	1600	0	0
PRXCX	T ROWE PRICE	T ROWE PRICE CALIFORNIA TAX FREE BOND FUND INV	INVESTOR	NO LOAD	OPEN	1600	0	0
PACLX	T ROWE PRICE	T ROWE PRICE CAP APPR ADV	ADVISOR	NO LOAD	SUBS ONLY	1600	0	0
TRAIX	T ROWE PRICE	T ROWE PRICE CAP APPR FD I	I CLASS	NO LOAD	REDEEM ONLY	1600	0	0
PRWCX	T ROWE PRICE	T ROWE PRICE CAPITAL APPRECIATION INV	INVESTOR	NO LOAD	SUBS ONLY	1600	0	0
TSCXX	T ROWE PRICE	T ROWE PRICE CASH RESERVES FD	MONEY MARKET	NO LOAD	OPEN	1600	100000	100000
TTMIX	T ROWE PRICE	T ROWE PRICE COMMUNICATIONS AND TECH FUND INST	I CLASS	NO LOAD	OPEN	1600	0	0
PRMTX	T ROWE PRICE	T ROWE PRICE COMMUNICATIONS AND TECH FUND INV	INVESTOR	NO LOAD	OPEN	1600	0	0

SYMBOL	FUND FAMILY	FUND DESCRIPTION	SHARE CLASS	FUND TYPE	STATUS	CUT- OFF	IRA MIN	NON-IRA MIN
TICCX	T ROWE PRICE	T ROWE PRICE CORPORATE INCOME FUND I	I CLASS	NO LOAD	REDEEM ONLY	1600	0	0
PRPIX	T ROWE PRICE	T ROWE PRICE CORPORATE INCOME FUND INV	INVESTOR	NO LOAD	OPEN	1600	0	0
PAOPX	T ROWE PRICE	T ROWE PRICE CREDIT OPPTY FD ADV	ADVISOR	NO LOAD	OPEN	1600	0	0
TCRRX	T ROWE PRICE	T ROWE PRICE CREDIT OPPTY FD I	I CLASS	NO LOAD	REDEEM ONLY	1600	0	0
PRCPX	T ROWE PRICE	T ROWE PRICE CREDIT OPPTY FD INV	INVESTOR	NO LOAD	OPEN	1600	0	0
TADGX	T ROWE PRICE	T ROWE PRICE DIV GWTH ADV	ADVISOR	NO LOAD	OPEN	1600	0	0
PDGIX	T ROWE PRICE	T ROWE PRICE DIV GWTH FD I	I CLASS	NO LOAD	REDEEM ONLY	1600	0	0
RPTTX	T ROWE PRICE	T ROWE PRICE DIVERS MID CAP GWTH FD I	I CLASS	NO LOAD	REDEEM ONLY	1600	0	0
PRDMX	T ROWE PRICE	T ROWE PRICE DIVERSIFIED MID CAP GROWTH FUND INV	INVESTOR	NO LOAD	OPEN	1600	0	0
PRDGX	T ROWE PRICE	T ROWE PRICE DIVIDEND GROWTH INV	INVESTOR	NO LOAD	OPEN	1600	0	0
RPELX	T ROWE PRICE	T ROWE PRICE DYNAMIC CREDIT FD I	I CLASS	NO LOAD	REDEEM ONLY	1600	0	0
RPIDX	T ROWE PRICE	T ROWE PRICE DYNAMIC CREDIT FD INV	INVESTOR	NO LOAD	OPEN	1600	0	0
PAIEX	T ROWE PRICE	T ROWE PRICE DYNAMIC GBL BD ADV	ADVISOR	NO LOAD	OPEN	1600	0	0
RPEIX	T ROWE PRICE	T ROWE PRICE DYNAMIC GBL BD I	I CLASS	NO LOAD	REDEEM ONLY	1600	0	0
RPIEX	T ROWE PRICE	T ROWE PRICE DYNAMIC GBL BD INV	INVESTOR	NO LOAD	OPEN	1600	0	0
TREMX	T ROWE PRICE	T ROWE PRICE EMERGING EUROPE FD INV	INVESTOR	NO LOAD	REDEEM ONLY	1600	0	0
PAELX	T ROWE PRICE	T ROWE PRICE EMERGING MARKETS LOCAL CURRENCY BD ADV	ADVISOR	NO LOAD	OPEN	1600	0	0
PRMSX	T ROWE PRICE	T ROWE PRICE EMERGING MARKETS STOCK INV	INVESTOR	NO LOAD	OPEN	1600	0	0
PREMX	T ROWE PRICE	T ROWE PRICE EMERGING MKTS BOND FUND INV	INVESTOR	NO LOAD	OPEN	1600	0	0
TTEEX	T ROWE PRICE	T ROWE PRICE EMRG EUROPE FD I	I CLASS	NO LOAD	REDEEM ONLY	1600	0	0
PAIKX	T ROWE PRICE	T ROWE PRICE EMRG MKTS BD FD ADV	ADVISOR	NO LOAD	OPEN	1600	0	0
PRXIX	T ROWE PRICE	T ROWE PRICE EMRG MKTS BD FD I	I CLASS	NO LOAD	REDEEM ONLY	1600	0	0
PACEX	T ROWE PRICE	T ROWE PRICE EMRG MKTS CORP BD FD ADV CL	ADVISOR	NO LOAD	OPEN	1600	0	0
TECIX	T ROWE PRICE	T ROWE PRICE EMRG MKTS CORP BD FD I	I CLASS	NO LOAD	REDEEM ONLY	1600	0	0
TRECX	T ROWE PRICE	T ROWE PRICE EMRG MKTS CORP BD FD INV	INVESTOR	NO LOAD	OPEN	1600	0	0
PRELX	T ROWE PRICE	T ROWE PRICE EMRG MKTS LOCAL CURRENCY BD FD INV	INVESTOR	NO LOAD	OPEN	1600	0	0
TEIMX	T ROWE PRICE	T ROWE PRICE EMRG MKTS LOCAL CURRENCY BD I	I CLASS	NO LOAD	REDEEM ONLY	1600	0	0
PRZIX	T ROWE PRICE	T ROWE PRICE EMRG MKTS STOCK FD I	I CLASS	NO LOAD	REDEEM ONLY	1600	0	0
PAFDX	T ROWE PRICE	T ROWE PRICE EQTY INCM ADV	ADVISOR	NO LOAD	OPEN	1600	0	0
REIPX	T ROWE PRICE	T ROWE PRICE EQTY INCM FD I	I CLASS	NO LOAD	REDEEM ONLY	1600	0	0
RRFDX	T ROWE PRICE	T ROWE PRICE EQTY INCM R	R	NO LOAD	REDEEM ONLY	1600	0	0
PRUIX	T ROWE PRICE	T ROWE PRICE EQTY INDEX 500 FD I	I CLASS	NO LOAD	REDEEM ONLY	1600	0	0
TRGOX	T ROWE PRICE	T ROWE PRICE EQUITY FDS INC LRG CAP GRW FD	INVESTOR	NO LOAD	OPEN	1600	0	0
PRFDX	T ROWE PRICE	T ROWE PRICE EQUITY INCOME INV	INVESTOR	NO LOAD	OPEN	1600	0	0
PREIX	T ROWE PRICE	T ROWE PRICE EQUITY INDEX 500 FD INV	INVESTOR	NO LOAD	OPEN	1600	0	0
TEUIX	T ROWE PRICE	T ROWE PRICE EUROPEAN STOCK FUND I	I CLASS	NO LOAD	REDEEM ONLY	1600	0	0
PRESX	T ROWE PRICE	T ROWE PRICE EUROPEAN STOCK INV	INVESTOR	NO LOAD	OPEN	1600	0	0
PEMX	T ROWE PRICE	T ROWE PRICE EXTENDED EQTY MKT INDEX FD INV	INVESTOR	NO LOAD	OPEN	1600	0	0
PRISX	T ROWE PRICE	T ROWE PRICE FINANCIAL SERVICE INV	INVESTOR	NO LOAD	OPEN	1600	0	0
TFIFX	T ROWE PRICE	T ROWE PRICE FINANCIAL SERVICES FUND I	I CLASS	NO LOAD	REDEEM ONLY	1600	0	0
PAFRX	T ROWE PRICE	T ROWE PRICE FLOATING RATE ADV	ADVISOR	NO LOAD	OPEN	1600	0	0

SYMBOL	FUND FAMILY	FUND DESCRIPTION	SHARE CLASS	FUND TYPE	STATUS	CUT- OFF	IRA MIN	NON-IRA MIN
TFAIX	T ROWE PRICE	T ROWE PRICE FLOATING RATE I	I CLASS	NO LOAD	REDEEM ONLY	1600	0	0
PRFRX	T ROWE PRICE	T ROWE PRICE FLOATING RATE INV	INVESTOR	NO LOAD	OPEN	1600	0	0
TBGAX	T ROWE PRICE	T ROWE PRICE GA TAX FREE BOND FUND I	I CLASS	NO LOAD	REDEEM ONLY	1600	0	0
GTFBX	T ROWE PRICE	T ROWE PRICE GA TAX FREE BOND INV	INVESTOR	NO LOAD	OPEN	1600	0	0
PAGSX	T ROWE PRICE	T ROWE PRICE GBL STK ADV	ADVISOR	NO LOAD	OPEN	1600	0	0
PAFGX	T ROWE PRICE	T ROWE PRICE GLBL ALLOC FD ADV	ADVISOR	NO LOAD	OPEN	1600	0	0
TGAFX	T ROWE PRICE	T ROWE PRICE GLBL ALLOC FD I	I CLASS	NO LOAD	REDEEM ONLY	1600	0	0
RPGAX	T ROWE PRICE	T ROWE PRICE GLBL ALLOC FD INV	INVESTOR	NO LOAD	OPEN	1600	0	0
RGGIX	T ROWE PRICE	T ROWE PRICE GLBL GWTH STK FD I	I CLASS	NO LOAD	REDEEM ONLY	1600	0	0
PAIHX	T ROWE PRICE	T ROWE PRICE GLBL HIGH INCM BD ADV	ADVISOR	NO LOAD	OPEN	1600	0	0
RPOIX	T ROWE PRICE	T ROWE PRICE GLBL HIGH INCM BD I	I CLASS	NO LOAD	REDEEM ONLY	1600	0	0
RPIHX	T ROWE PRICE	T ROWE PRICE GLBL HIGH INCM BD INV	INVESTOR	NO LOAD	OPEN	1600	0	0
RPGIX	T ROWE PRICE	T ROWE PRICE GLBL INDUSTRIALS FD INV	INVESTOR	NO LOAD	OPEN	1600	0	0
TRGAX	T ROWE PRICE	T ROWE PRICE GLBL INDUSTRIALS I	I CLASS	NO LOAD	REDEEM ONLY	1600	0	0
PR SAX	T ROWE PRICE	T ROWE PRICE GLBL MULTI SECTOR BD FD ADV	ADVISOR	NO LOAD	OPEN	1600	0	0
PGMSX	T ROWE PRICE	T ROWE PRICE GLBL MULTI SECTOR BD FD I	I CLASS	NO LOAD	REDEEM ONLY	1600	0	0
PRSNX	T ROWE PRICE	T ROWE PRICE GLBL MULTI SECTOR BD FD INV	INVESTOR	NO LOAD	OPEN	1600	0	0
TIRGX	T ROWE PRICE	T ROWE PRICE GLBL REAL ESTATE FD I	I CLASS	NO LOAD	REDEEM ONLY	1600	0	0
TRGLX	T ROWE PRICE	T ROWE PRICE GLBL STK FD I	I CLASS	NO LOAD	REDEEM ONLY	1600	0	0
PGLOX	T ROWE PRICE	T ROWE PRICE GLOBAL CONSUMER INV	INVESTOR	NO LOAD	OPEN	1600	0	0
PAGLX	T ROWE PRICE	T ROWE PRICE GLOBAL GROWTH STOCK FD ADV	ADVISOR	NO LOAD	OPEN	1600	0	0
RPGEX	T ROWE PRICE	T ROWE PRICE GLOBAL GROWTH STOCK FD INV	INVESTOR	NO LOAD	OPEN	1600	0	0
PAGEX	T ROWE PRICE	T ROWE PRICE GLOBAL REAL ESTATE ADV CL	ADVISOR	NO LOAD	OPEN	1600	0	0
TRGRX	T ROWE PRICE	T ROWE PRICE GLOBAL REAL ESTATE FUND INV	INVESTOR	NO LOAD	OPEN	1600	0	0
PRGSX	T ROWE PRICE	T ROWE PRICE GLOBAL STOCK INV	INVESTOR	NO LOAD	OPEN	1600	0	0
PRGTX	T ROWE PRICE	T ROWE PRICE GLOBAL TECHNOLOGY FUND INV	INVESTOR	NO LOAD	OPEN	1600	0	0
PGTIX	T ROWE PRICE	T ROWE PRICE GLOBAL TECHNOLOGY I	I CLASS	NO LOAD	REDEEM ONLY	1600	0	0
PRXAX	T ROWE PRICE	T ROWE PRICE GNMA FD I	I CLASS	NO LOAD	REDEEM ONLY	1600	0	0
PRGMX	T ROWE PRICE	T ROWE PRICE GNMA INV	INVESTOR	NO LOAD	OPEN	1600	0	0
PRRXX	T ROWE PRICE	T ROWE PRICE GOVT MONEY FD	INVESTOR	NO LOAD	OPEN	1600	100000	100000
TRSAX	T ROWE PRICE	T ROWE PRICE GROWTH STK ADV	ADVISOR	NO LOAD	OPEN	1600	0	0
RRGSX	T ROWE PRICE	T ROWE PRICE GROWTH STOCK FUND CL R	R	NO LOAD	REDEEM ONLY	1600	0	0
PRGFX	T ROWE PRICE	T ROWE PRICE GROWTH STOCK INV	INVESTOR	NO LOAD	OPEN	1600	0	0
PRUFX	T ROWE PRICE	T ROWE PRICE GWTH STOCK FD I	I CLASS	NO LOAD	REDEEM ONLY	1600	0	0
THISX	T ROWE PRICE	T ROWE PRICE HEALTH SCIENCES FD I	I CLASS	NO LOAD	REDEEM ONLY	1600	0	0
PRHSX	T ROWE PRICE	T ROWE PRICE HEALTH SCIENCES INV	INVESTOR	NO LOAD	OPEN	1600	0	0
PAHIX	T ROWE PRICE	T ROWE PRICE HI YLD ADV	ADVISOR	NO LOAD	OPEN	1600	0	0
PRHIX	T ROWE PRICE	T ROWE PRICE HIGH YIELD FD I	I CLASS	NO LOAD	REDEEM ONLY	1600	0	0
PRHYX	T ROWE PRICE	T ROWE PRICE HIGH YIELD INV	INVESTOR	NO LOAD	OPEN	1600	0	0
TIIPX	T ROWE PRICE	T ROWE PRICE INFLATION PROTECTED BD FD I	I CLASS	NO LOAD	REDEEM ONLY	1600	0	0
PRIPX	T ROWE PRICE	T ROWE PRICE INFLATION PROTECTED BD FD INV	INVESTOR	NO LOAD	OPEN	1600	0	0

SYMBOL	FUND FAMILY	FUND DESCRIPTION	SHARE CLASS	FUND TYPE	STATUS	CUT- OFF	IRA MIN	NON-IRA MIN
IEMFX	T ROWE PRICE	T ROWE PRICE INST EMRG MKTS EQTY FD	INSTITUTIONAL	NO LOAD	REDEEM ONLY	1600	0	0
PFFRX	T ROWE PRICE	T ROWE PRICE INST FLOATING RATE F	F	NO LOAD	OPEN	1600	0	0
RPIFX	T ROWE PRICE	T ROWE PRICE INST FLOATING RATE FD	INSTITUTIONAL	NO LOAD	REDEEM ONLY	1600	0	0
TPLGX	T ROWE PRICE	T ROWE PRICE INST LARGE CAP CORE GWTH FD	INSTITUTIONAL	NO LOAD	REDEEM ONLY	1600	0	0
PMEGX	T ROWE PRICE	T ROWE PRICE INST MID CAP EQTY GROWTH	INSTITUTIONAL	NO LOAD	OPEN	1600	0	0
TRSSX	T ROWE PRICE	T ROWE PRICE INST SMALL CAP STK INST	INSTITUTIONAL	NO LOAD	REDEEM ONLY	1600	0	0
TRHYX	T ROWE PRICE	T ROWE PRICE INSTL HIGH YIELD	INSTITUTIONAL	NO LOAD	REDEEM ONLY	1600	0	0
TRLGX	T ROWE PRICE	T ROWE PRICE INSTL LARGE CAP GROWTH FUND	INSTITUTIONAL	NO LOAD	REDEEM ONLY	1600	0	0
TILCX	T ROWE PRICE	T ROWE PRICE INSTL LARGE CAP VALUE	INSTITUTIONAL	NO LOAD	REDEEM ONLY	1600	0	0
TQGIX	T ROWE PRICE	T ROWE PRICE INTEGRATED GLOBAL EQUITY I	I CLASS	NO LOAD	REDEEM ONLY	1600	0	0
TQGEX	T ROWE PRICE	T ROWE PRICE INTEGRATED GLOBAL EQUITY INV	INVESTOR	NO LOAD	OPEN	1600	0	0
TQVIX	T ROWE PRICE	T ROWE PRICE INTEGRATED US LARGE CAP VALUE EQUITY I	I CLASS	NO LOAD	REDEEM ONLY	1600	0	0
TQMVX	T ROWE PRICE	T ROWE PRICE INTEGRATED US LARGE CAP VALUE EQUITY INV	INVESTOR	NO LOAD	OPEN	1600	0	0
TQSAX	T ROWE PRICE	T ROWE PRICE INTEGRATED US SMALL AND MID CAP CORE EC	ADVISOR	NO LOAD	OPEN	1600	0	0
TQSIX	T ROWE PRICE	T ROWE PRICE INTEGRATED US SMALL AND MID CAP CORE EC	I CLASS	NO LOAD	REDEEM ONLY	1600	0	0
TQSMX	T ROWE PRICE	T ROWE PRICE INTEGRATED US SMALL AND MID CAP CORE EC	INVESTOR	NO LOAD	OPEN	1600	0	0
TQAIX	T ROWE PRICE	T ROWE PRICE INTEGRATED US SMALL CAP GROWTH EQUITY I	I CLASS	NO LOAD	REDEEM ONLY	1600	0	0
PRDSX	T ROWE PRICE	T ROWE PRICE INTEGRATED US SMALL CAP GROWTH EQUITY I	INVESTOR	NO LOAD	OPEN	1600	0	0
TFHAX	T ROWE PRICE	T ROWE PRICE INTER TAX FREE HIGH YLD FD I	I CLASS	NO LOAD	REDEEM ONLY	1600	0	0
PRIHX	T ROWE PRICE	T ROWE PRICE INTER TAX FREE HIGH YLD INV	INVESTOR	NO LOAD	OPEN	1600	0	0
PAIBX	T ROWE PRICE	T ROWE PRICE INTL BD ADV	ADVISOR	NO LOAD	OPEN	1600	0	0
RPISX	T ROWE PRICE	T ROWE PRICE INTL BD FD I	I CLASS	NO LOAD	REDEEM ONLY	1600	0	0
TNIBX	T ROWE PRICE	T ROWE PRICE INTL BD FD USD HEDGED INV	INVESTOR	NO LOAD	OPEN	1600	0	0
RPIBX	T ROWE PRICE	T ROWE PRICE INTL BOND INV	INVESTOR	NO LOAD	OPEN	1600	0	0
TIDDX	T ROWE PRICE	T ROWE PRICE INTL DISCOVERY FD I	I CLASS	NO LOAD	REDEEM ONLY	1600	0	0
PRIDX	T ROWE PRICE	T ROWE PRICE INTL DISCOVERY INV	INVESTOR	NO LOAD	OPEN	1600	0	0
PIEQX	T ROWE PRICE	T ROWE PRICE INTL EQUITY INDEX FUND INV	INVESTOR	NO LOAD	OPEN	1600	0	0
PAITX	T ROWE PRICE	T ROWE PRICE INTL STK ADV	ADVISOR	NO LOAD	OPEN	1600	0	0
PRIUX	T ROWE PRICE	T ROWE PRICE INTL STOCK FD CL I	I CLASS	NO LOAD	REDEEM ONLY	1600	0	0
RRITX	T ROWE PRICE	T ROWE PRICE INTL STOCK FUND CL R	R	NO LOAD	REDEEM ONLY	1600	0	0
PRITX	T ROWE PRICE	T ROWE PRICE INTL STOCK INV	INVESTOR	NO LOAD	OPEN	1600	0	0
PAIGX	T ROWE PRICE	T ROWE PRICE INTL VALUE EQUITY FD ADV	ADVISOR	NO LOAD	OPEN	1600	0	0
TRTIX	T ROWE PRICE	T ROWE PRICE INTL VALUE EQUITY FD I	I CLASS	NO LOAD	REDEEM ONLY	1600	0	0
TRIGX	T ROWE PRICE	T ROWE PRICE INTL VALUE EQUITY FD INV	INVESTOR	NO LOAD	OPEN	1600	0	0
RRIGX	T ROWE PRICE	T ROWE PRICE INTL VALUE EQUITY FD R	R	NO LOAD	REDEEM ONLY	1600	0	0
RJAIX	T ROWE PRICE	T ROWE PRICE JAPAN FUND I	I CLASS	NO LOAD	REDEEM ONLY	1600	0	0
PRJPX	T ROWE PRICE	T ROWE PRICE JAPAN INV	INVESTOR	NO LOAD	OPEN	1600	0	0
RLAIX	T ROWE PRICE	T ROWE PRICE LATIN AMERICA FUND I	I CLASS	NO LOAD	REDEEM ONLY	1600	0	0
PRLAX	T ROWE PRICE	T ROWE PRICE LATIN AMERICA INV	INVESTOR	NO LOAD	OPEN	1600	0	0
TRLDX	T ROWE PRICE	T ROWE PRICE LTD DUR INFL FOCUS BD I	I CLASS	NO LOAD	REDEEM ONLY	1600	0	0
TRBFX	T ROWE PRICE	T ROWE PRICE LTD DUR INFL FOCUS BD INV	INVESTOR	NO LOAD	OPEN	1600	0	0

SYMBOL	FUND FAMILY	FUND DESCRIPTION	SHARE CLASS	FUND TYPE	STATUS	CUT- OFF	IRA MIN	NON-IRA MIN
MDXBX	T ROWE PRICE	T ROWE PRICE MARYLAND TAX FREE BOND FD INV	INVESTOR	NO LOAD	OPEN	1600	0	0
PRMDX	T ROWE PRICE	T ROWE PRICE MD SHORT TERM TAX FREE BOND INV	INVESTOR	NO LOAD	OPEN	1600	0	0
TRMUX	T ROWE PRICE	T ROWE PRICE MD SHORT TERM TAX FREE FD I	I CLASS	NO LOAD	REDEEM ONLY	1600	0	0
TFBIX	T ROWE PRICE	T ROWE PRICE MD TAX FREE BOND FUND I	I CLASS	NO LOAD	REDEEM ONLY	1600	0	0
PAMCX	T ROWE PRICE	T ROWE PRICE MID CAP GROWTH FD INC ADV	ADVISOR	NO LOAD	OPEN	1600	0	0
RRMGX	T ROWE PRICE	T ROWE PRICE MID CAP GROWTH FUND CLASS R	R	NO LOAD	REDEEM ONLY	1600	0	0
RPMGX	T ROWE PRICE	T ROWE PRICE MID CAP GROWTH INV	INVESTOR	NO LOAD	OPEN	1600	0	0
RPTIX	T ROWE PRICE	T ROWE PRICE MID CAP GWTH FD I	I CLASS	NO LOAD	OPEN	1600	0	0
TAMVX	T ROWE PRICE	T ROWE PRICE MID CAP VAL ADV	ADVISOR	NO LOAD	OPEN	1600	0	0
TRMIX	T ROWE PRICE	T ROWE PRICE MID CAP VAL FD I	I CLASS	NO LOAD	OPEN	1600	0	0
RRMVX	T ROWE PRICE	T ROWE PRICE MID CAP VALUE FUND CLASS R	R	NO LOAD	REDEEM ONLY	1600	0	0
TRMCX	T ROWE PRICE	T ROWE PRICE MID CAP VALUE INV	INVESTOR	NO LOAD	OPEN	1600	0	0
TMSSX	T ROWE PRICE	T ROWE PRICE MULTI STRAT TOTAL RETURN I	I CLASS	NO LOAD	REDEEM ONLY	1600	0	0
TMSRX	T ROWE PRICE	T ROWE PRICE MULTI STRAT TOTAL RETURN INV	INVESTOR	NO LOAD	OPEN	1600	0	0
PAWAX	T ROWE PRICE	T ROWE PRICE NEW AMERICA GWTH ADV	ADVISOR	NO LOAD	OPEN	1600	0	0
PNSIX	T ROWE PRICE	T ROWE PRICE NEW ASIA FD I	I CLASS	NO LOAD	REDEEM ONLY	1600	0	0
PRASX	T ROWE PRICE	T ROWE PRICE NEW ASIA INV	INVESTOR	NO LOAD	OPEN	1600	0	0
TRNEX	T ROWE PRICE	T ROWE PRICE NEW ERA FD I	I CLASS	NO LOAD	OPEN	1600	0	0
PRNEX	T ROWE PRICE	T ROWE PRICE NEW ERA INV	INVESTOR	NO LOAD	OPEN	1600	0	0
PRJIX	T ROWE PRICE	T ROWE PRICE NEW HORIZON FUND I	I CLASS	NO LOAD	REDEEM ONLY	1600	0	0
PRNHX	T ROWE PRICE	T ROWE PRICE NEW HORIZON INV	INVESTOR	NO LOAD	OPEN	1600	0	0
PRXEX	T ROWE PRICE	T ROWE PRICE NEW INCM FD CL I	I CLASS	NO LOAD	REDEEM ONLY	1600	0	0
RRNIX	T ROWE PRICE	T ROWE PRICE NEW INCM FUND CL R	R	NO LOAD	REDEEM ONLY	1600	0	0
PRCIX	T ROWE PRICE	T ROWE PRICE NEW INCOME INV	INVESTOR	NO LOAD	OPEN	1600	0	0
NJTFX	T ROWE PRICE	T ROWE PRICE NEW JERSEY TAX FREE BOND INV	INVESTOR	NO LOAD	OPEN	1600	0	0
TRJIX	T ROWE PRICE	T ROWE PRICE NJ TAX FREE BOND FUND I	I CLASS	NO LOAD	REDEEM ONLY	1600	0	0
PRNYX	T ROWE PRICE	T ROWE PRICE NY BOND FUND INV	INVESTOR	NO LOAD	OPEN	1600	0	0
TRYIX	T ROWE PRICE	T ROWE PRICE NY TAX FREE BOND FUND I	I CLASS	NO LOAD	REDEEM ONLY	1600	0	0
TROIX	T ROWE PRICE	T ROWE PRICE OVERSEAS STOCK FUND I	I CLASS	NO LOAD	OPEN	1600	0	0
TROSX	T ROWE PRICE	T ROWE PRICE OVERSEAS STOCK FUND INV	INVESTOR	NO LOAD	OPEN	1600	0	0
PBDIX	T ROWE PRICE	T ROWE PRICE QM US BOND INDEX FD INV	INVESTOR	NO LOAD	OPEN	1600	0	0
PRIKX	T ROWE PRICE	T ROWE PRICE REAL ASSETS FD I	I CLASS	NO LOAD	REDEEM ONLY	1600	0	0
PRAFX	T ROWE PRICE	T ROWE PRICE REAL ASSETS FD INV	INVESTOR	NO LOAD	OPEN	1600	0	0
PAREX	T ROWE PRICE	T ROWE PRICE REAL ESTATE ADV	ADVISOR	NO LOAD	OPEN	1600	0	0
TRREX	T ROWE PRICE	T ROWE PRICE REAL ESTATE FUND INV	INVESTOR	NO LOAD	OPEN	1600	0	0
TIRRX	T ROWE PRICE	T ROWE PRICE REAL ESTATE I	I CLASS	NO LOAD	REDEEM ONLY	1600	0	0
RRTLX	T ROWE PRICE	T ROWE PRICE RETIREMENT 2005 FD CL R	R	NO LOAD	REDEEM ONLY	1600	0	0
TRRFX	T ROWE PRICE	T ROWE PRICE RETIREMENT 2005 FUND INV	INVESTOR	NO LOAD	OPEN	1600	0	0
PARAX	T ROWE PRICE	T ROWE PRICE RETIREMENT 2010 ADV	ADVISOR	NO LOAD	OPEN	1600	0	0
RRTAX	T ROWE PRICE	T ROWE PRICE RETIREMENT 2010 FD CL R	R	NO LOAD	REDEEM ONLY	1600	0	0
TRRAX	T ROWE PRICE	T ROWE PRICE RETIREMENT 2010 FUND INV	INVESTOR	NO LOAD	OPEN	1600	0	0

SYMBOL	FUND FAMILY	FUND DESCRIPTION	SHARE CLASS	FUND TYPE	STATUS	CUT- OFF	IRA MIN	NON-IRA MIN
PARHX	T ROWE PRICE	T ROWE PRICE RETIREMENT 2015 ADV	ADVISOR	NO LOAD	OPEN	1600	0	0
RRTMX	T ROWE PRICE	T ROWE PRICE RETIREMENT 2015 FD CL R	R	NO LOAD	REDEEM ONLY	1600	0	0
TRRGX	T ROWE PRICE	T ROWE PRICE RETIREMENT 2015 FUND INV	INVESTOR	NO LOAD	OPEN	1600	0	0
PARBX	T ROWE PRICE	T ROWE PRICE RETIREMENT 2020 ADV	ADVISOR	NO LOAD	OPEN	1600	0	0
RRTBX	T ROWE PRICE	T ROWE PRICE RETIREMENT 2020 FD CL R	R	NO LOAD	REDEEM ONLY	1600	0	0
TRRBX	T ROWE PRICE	T ROWE PRICE RETIREMENT 2020 FUND INV	INVESTOR	NO LOAD	OPEN	1600	0	0
PARJX	T ROWE PRICE	T ROWE PRICE RETIREMENT 2025 ADV	ADVISOR	NO LOAD	OPEN	1600	0	0
RRTNX	T ROWE PRICE	T ROWE PRICE RETIREMENT 2025 FD CL R	R	NO LOAD	REDEEM ONLY	1600	0	0
TRRHX	T ROWE PRICE	T ROWE PRICE RETIREMENT 2025 FUND INV	INVESTOR	NO LOAD	OPEN	1600	0	0
PARCX	T ROWE PRICE	T ROWE PRICE RETIREMENT 2030 ADV	ADVISOR	NO LOAD	OPEN	1600	0	0
RRTCX	T ROWE PRICE	T ROWE PRICE RETIREMENT 2030 FD CL R	R	NO LOAD	REDEEM ONLY	1600	0	0
TRRCX	T ROWE PRICE	T ROWE PRICE RETIREMENT 2030 FUND INV	INVESTOR	NO LOAD	OPEN	1600	0	0
PARKX	T ROWE PRICE	T ROWE PRICE RETIREMENT 2035 ADV	ADVISOR	NO LOAD	OPEN	1600	0	0
RRTPX	T ROWE PRICE	T ROWE PRICE RETIREMENT 2035 FD CL R	R	NO LOAD	REDEEM ONLY	1600	0	0
TRRJX	T ROWE PRICE	T ROWE PRICE RETIREMENT 2035 FUND INV	INVESTOR	NO LOAD	OPEN	1600	0	0
PARDX	T ROWE PRICE	T ROWE PRICE RETIREMENT 2040 ADV	ADVISOR	NO LOAD	OPEN	1600	0	0
RRTDX	T ROWE PRICE	T ROWE PRICE RETIREMENT 2040 FD CL R	R	NO LOAD	REDEEM ONLY	1600	0	0
TRRDY	T ROWE PRICE	T ROWE PRICE RETIREMENT 2040 FUND INV	INVESTOR	NO LOAD	OPEN	1600	0	0
PARLX	T ROWE PRICE	T ROWE PRICE RETIREMENT 2045 ADV	ADVISOR	NO LOAD	OPEN	1600	0	0
RRTRX	T ROWE PRICE	T ROWE PRICE RETIREMENT 2045 FD CL R	R	NO LOAD	REDEEM ONLY	1600	0	0
TRRKX	T ROWE PRICE	T ROWE PRICE RETIREMENT 2045 FUND INV	INVESTOR	NO LOAD	OPEN	1600	0	0
PARFX	T ROWE PRICE	T ROWE PRICE RETIREMENT 2050 ADV	ADVISOR	NO LOAD	OPEN	1600	0	0
RRTFX	T ROWE PRICE	T ROWE PRICE RETIREMENT 2050 FD CL R	R	NO LOAD	REDEEM ONLY	1600	0	0
TRRMX	T ROWE PRICE	T ROWE PRICE RETIREMENT 2050 INV	INVESTOR	NO LOAD	OPEN	1600	0	0
RRTVX	T ROWE PRICE	T ROWE PRICE RETIREMENT 2055 FD CL R	R	NO LOAD	REDEEM ONLY	1600	0	0
TRRNX	T ROWE PRICE	T ROWE PRICE RETIREMENT 2055 INV	INVESTOR	NO LOAD	OPEN	1600	0	0
TRRYX	T ROWE PRICE	T ROWE PRICE RETIREMENT 2060 ADV	ADVISOR	NO LOAD	OPEN	1600	0	0
TRRZX	T ROWE PRICE	T ROWE PRICE RETIREMENT 2060 FD CL R	R	NO LOAD	REDEEM ONLY	1600	0	0
TRRLX	T ROWE PRICE	T ROWE PRICE RETIREMENT 2060 FD INV	INVESTOR	NO LOAD	OPEN	1600	0	0
PASUX	T ROWE PRICE	T ROWE PRICE RETIREMENT 2065 ADV	ADVISOR	NO LOAD	OPEN	1600	0	0
RRSUX	T ROWE PRICE	T ROWE PRICE RETIREMENT 2065 R	R	NO LOAD	REDEEM ONLY	1600	0	0
PARIX	T ROWE PRICE	T ROWE PRICE RETIREMENT BALANCED FD ADV	ADVISOR	NO LOAD	OPEN	1600	0	0
RRTIX	T ROWE PRICE	T ROWE PRICE RETIREMENT BALANCED FUND CL R	R	NO LOAD	REDEEM ONLY	1600	0	0
TRRIX	T ROWE PRICE	T ROWE PRICE RETIREMENT BALANCED FUND INV	INVESTOR	NO LOAD	OPEN	1600	0	0
PASTX	T ROWE PRICE	T ROWE PRICE SCIENCE & TECH ADV	ADVISOR	NO LOAD	OPEN	1600	0	0
TSNIX	T ROWE PRICE	T ROWE PRICE SCIENCE & TECH I	I CLASS	NO LOAD	REDEEM ONLY	1600	0	0
PRSCX	T ROWE PRICE	T ROWE PRICE SCIENCE AND TECHNOLOGY INV	INVESTOR	NO LOAD	OPEN	1600	0	0
TBSIX	T ROWE PRICE	T ROWE PRICE SHORT TERM BOND FUND I	I CLASS	NO LOAD	REDEEM ONLY	1600	0	0
PRWBX	T ROWE PRICE	T ROWE PRICE SHORT TERM BOND INV	INVESTOR	NO LOAD	OPEN	1600	0	0
PASSX	T ROWE PRICE	T ROWE PRICE SMALL CAP STOCK ADV	ADVISOR	NO LOAD	SUBS ONLY	1600	0	0
OTIIX	T ROWE PRICE	T ROWE PRICE SMALL CAP STOCK I	I CLASS	NO LOAD	SUBS ONLY	1600	0	0

SYMBOL	FUND FAMILY	FUND DESCRIPTION	SHARE CLASS	FUND TYPE	STATUS	CUT- OFF	IRA MIN	NON-IRA MIN
OTCFX	T ROWE PRICE	T ROWE PRICE SMALL CAP STOCK INV	INVESTOR	NO LOAD	SUBS ONLY	1600	0	0
PASVX	T ROWE PRICE	T ROWE PRICE SMALL CAP VAL ADV	ADVISOR	NO LOAD	OPEN	1600	0	0
PRVIX	T ROWE PRICE	T ROWE PRICE SMALL CAP VALUE FUND I	I CLASS	NO LOAD	REDEEM ONLY	1600	0	0
PRSVX	T ROWE PRICE	T ROWE PRICE SMALL CAP VALUE FUND INV	INVESTOR	NO LOAD	OPEN	1600	0	0
PPIPX	T ROWE PRICE	T ROWE PRICE SPECTRUM CONSERVATIVE ALLOCATION FD C	I CLASS	NO LOAD	REDEEM ONLY	1600	0	0
PRSIX	T ROWE PRICE	T ROWE PRICE SPECTRUM CONSERVATIVE ALLOCATION FD IN	INVESTOR	NO LOAD	OPEN	1600	0	0
TSVPX	T ROWE PRICE	T ROWE PRICE SPECTRUM DIVERSIFIED EQTY I	I CLASS	NO LOAD	REDEEM ONLY	1600	0	0
TSPNX	T ROWE PRICE	T ROWE PRICE SPECTRUM INCOME FD I	I CLASS	NO LOAD	REDEEM ONLY	1600	0	0
RPSIX	T ROWE PRICE	T ROWE PRICE SPECTRUM INCOME INV	INVESTOR	NO LOAD	OPEN	1600	0	0
TSINX	T ROWE PRICE	T ROWE PRICE SPECTRUM INTL EQTY FD I	I CLASS	NO LOAD	REDEEM ONLY	1600	0	0
TPPAX	T ROWE PRICE	T ROWE PRICE SPECTRUM MODERATE ALLOCATION FD CL I	I CLASS	NO LOAD	REDEEM ONLY	1600	0	0
TRPBX	T ROWE PRICE	T ROWE PRICE SPECTRUM MODERATE ALLOCATION FD INV	INVESTOR	NO LOAD	OPEN	1600	0	0
TGIPX	T ROWE PRICE	T ROWE PRICE SPECTRUM MODERATE GROWTH ALLOCATION I	I CLASS	NO LOAD	REDEEM ONLY	1600	0	0
TRSGX	T ROWE PRICE	T ROWE PRICE SPECTRUM MODERATE GROWTH ALLOCATION	INVESTOR	NO LOAD	OPEN	1600	0	0
PAIMX	T ROWE PRICE	T ROWE PRICE SUMMIT MUNI INC ADV	ADVISOR	NO LOAD	OPEN	1600	100	100
PRIMX	T ROWE PRICE	T ROWE PRICE SUMMIT MUNI INCM FD I	I CLASS	NO LOAD	REDEEM ONLY	1600	0	0
PAIFX	T ROWE PRICE	T ROWE PRICE SUMMIT MUNI INTERM ADV	ADVISOR	NO LOAD	OPEN	1600	100	100
PRTMX	T ROWE PRICE	T ROWE PRICE SUMMIT MUNI INTERM I	I CLASS	NO LOAD	REDEEM ONLY	1600	0	0
PRSMX	T ROWE PRICE	T ROWE PRICE SUMMIT MUNI INTERMEDIATE FUND INV	INVESTOR	NO LOAD	OPEN	1600	100	100
PRINX	T ROWE PRICE	T ROWE PRICE SUMMIT MUNICIPAL INCOME FUND INV	INVESTOR	NO LOAD	OPEN	1600	100	100
PANRX	T ROWE PRICE	T ROWE PRICE TARGET 2005 ADV	ADVISOR	NO LOAD	OPEN	1600	0	0
TFRRX	T ROWE PRICE	T ROWE PRICE TARGET 2005 I	I CLASS	NO LOAD	REDEEM ONLY	1600	0	0
TRARX	T ROWE PRICE	T ROWE PRICE TARGET 2005 INV	INVESTOR	NO LOAD	OPEN	1600	0	0
PAERX	T ROWE PRICE	T ROWE PRICE TARGET 2010 ADV	ADVISOR	NO LOAD	OPEN	1600	0	0
TORFX	T ROWE PRICE	T ROWE PRICE TARGET 2010 I	I CLASS	NO LOAD	REDEEM ONLY	1600	0	0
TRROX	T ROWE PRICE	T ROWE PRICE TARGET 2010 INV	INVESTOR	NO LOAD	OPEN	1600	0	0
PAHRX	T ROWE PRICE	T ROWE PRICE TARGET 2015 ADV	ADVISOR	NO LOAD	OPEN	1600	0	0
TTRTX	T ROWE PRICE	T ROWE PRICE TARGET 2015 I	I CLASS	NO LOAD	REDEEM ONLY	1600	0	0
TRRTX	T ROWE PRICE	T ROWE PRICE TARGET 2015 INV	INVESTOR	NO LOAD	OPEN	1600	0	0
PAIRX	T ROWE PRICE	T ROWE PRICE TARGET 2020 ADV	ADVISOR	NO LOAD	OPEN	1600	0	0
TTURX	T ROWE PRICE	T ROWE PRICE TARGET 2020 I	I CLASS	NO LOAD	REDEEM ONLY	1600	0	0
TRRUX	T ROWE PRICE	T ROWE PRICE TARGET 2020 INV	INVESTOR	NO LOAD	OPEN	1600	0	0
PAJRX	T ROWE PRICE	T ROWE PRICE TARGET 2025 ADV	ADVISOR	NO LOAD	OPEN	1600	0	0
TRVVX	T ROWE PRICE	T ROWE PRICE TARGET 2025 I	I CLASS	NO LOAD	REDEEM ONLY	1600	0	0
TRRVX	T ROWE PRICE	T ROWE PRICE TARGET 2025 INV	INVESTOR	NO LOAD	OPEN	1600	0	0
PAKRX	T ROWE PRICE	T ROWE PRICE TARGET 2030 ADV	ADVISOR	NO LOAD	OPEN	1600	0	0
TWRRX	T ROWE PRICE	T ROWE PRICE TARGET 2030 I	I CLASS	NO LOAD	REDEEM ONLY	1600	0	0
TRRWX	T ROWE PRICE	T ROWE PRICE TARGET 2030 INV	INVESTOR	NO LOAD	OPEN	1600	0	0
PATVX	T ROWE PRICE	T ROWE PRICE TARGET 2035 ADV	ADVISOR	NO LOAD	OPEN	1600	0	0
TPGPX	T ROWE PRICE	T ROWE PRICE TARGET 2035 I	I CLASS	NO LOAD	REDEEM ONLY	1600	0	0
RPGRX	T ROWE PRICE	T ROWE PRICE TARGET 2035 INV	INVESTOR	NO LOAD	OPEN	1600	0	0

SYMBOL	FUND FAMILY	FUND DESCRIPTION	SHARE CLASS	FUND TYPE	STATUS	CUT- OFF	IRA MIN	NON-IRA MIN
PAHHX	T ROWE PRICE	T ROWE PRICE TARGET 2040 ADV	ADVISOR	NO LOAD	OPEN	1600	0	0
TRXR	T ROWE PRICE	T ROWE PRICE TARGET 2040 I	I CLASS	NO LOAD	REDEEM ONLY	1600	0	0
TRHRX	T ROWE PRICE	T ROWE PRICE TARGET 2040 INV	INVESTOR	NO LOAD	OPEN	1600	0	0
PAFFX	T ROWE PRICE	T ROWE PRICE TARGET 2045 ADV	ADVISOR	NO LOAD	OPEN	1600	0	0
TRFWX	T ROWE PRICE	T ROWE PRICE TARGET 2045 I	I CLASS	NO LOAD	REDEEM ONLY	1600	0	0
RPTFX	T ROWE PRICE	T ROWE PRICE TARGET 2045 INV	INVESTOR	NO LOAD	OPEN	1600	0	0
PAOFX	T ROWE PRICE	T ROWE PRICE TARGET 2050 ADV	ADVISOR	NO LOAD	OPEN	1600	0	0
TOORX	T ROWE PRICE	T ROWE PRICE TARGET 2050 I	I CLASS	NO LOAD	REDEEM ONLY	1600	0	0
TRFOX	T ROWE PRICE	T ROWE PRICE TARGET 2050 INV	INVESTOR	NO LOAD	OPEN	1600	0	0
PAFTX	T ROWE PRICE	T ROWE PRICE TARGET 2055 ADV	ADVISOR	NO LOAD	OPEN	1600	0	0
TRPPX	T ROWE PRICE	T ROWE PRICE TARGET 2055 I	I CLASS	NO LOAD	REDEEM ONLY	1600	0	0
TRFFX	T ROWE PRICE	T ROWE PRICE TARGET 2055 INV	INVESTOR	NO LOAD	OPEN	1600	0	0
TRTGX	T ROWE PRICE	T ROWE PRICE TARGET 2060 ADV	ADVISOR	NO LOAD	OPEN	1600	0	0
TTOIX	T ROWE PRICE	T ROWE PRICE TARGET 2060 I	I CLASS	NO LOAD	REDEEM ONLY	1600	0	0
TRTFX	T ROWE PRICE	T ROWE PRICE TARGET 2060 INV	INVESTOR	NO LOAD	OPEN	1600	0	0
PREFX	T ROWE PRICE	T ROWE PRICE TAX EFFICIENT EQTY FD INV	INVESTOR	NO LOAD	OPEN	1600	0	0
TEEFX	T ROWE PRICE	T ROWE PRICE TAX EFFICIENT EQTY I	I CLASS	NO LOAD	REDEEM ONLY	1600	0	0
PTEXX	T ROWE PRICE	T ROWE PRICE TAX EXEMPT MONEY FD	MONEY MARKET	NO LOAD	OPEN	1600	100000	100000
PATFX	T ROWE PRICE	T ROWE PRICE TAX FREE HIGH YIELD ADV	ADVISOR	NO LOAD	OPEN	1600	0	0
PTYIX	T ROWE PRICE	T ROWE PRICE TAX FREE HIGH YIELD I	I CLASS	NO LOAD	REDEEM ONLY	1600	0	0
PRFHX	T ROWE PRICE	T ROWE PRICE TAX FREE HIGH YIELD INV	INVESTOR	NO LOAD	OPEN	1600	0	0
TFILX	T ROWE PRICE	T ROWE PRICE TAX FREE INCOME FUND I	I CLASS	NO LOAD	REDEEM ONLY	1600	0	0
PRTAX	T ROWE PRICE	T ROWE PRICE TAX FREE INCOME INV	INVESTOR	NO LOAD	OPEN	1600	0	0
PRFSX	T ROWE PRICE	T ROWE PRICE TAX FREE SHORT INTER INV	INVESTOR	NO LOAD	OPEN	1600	0	0
PATIX	T ROWE PRICE	T ROWE PRICE TAX FREE SHORT INTERM ADV	ADVISOR	NO LOAD	OPEN	1600	0	0
TTSIX	T ROWE PRICE	T ROWE PRICE TAX FREE SHORT INTERM I	I CLASS	NO LOAD	REDEEM ONLY	1600	0	0
PATAX	T ROWE PRICE	T ROWE PRICE TF INCM ADV	ADVISOR	NO LOAD	OPEN	1600	0	0
POMIX	T ROWE PRICE	T ROWE PRICE TOTAL EQTY MKT INDEX FD INV	INVESTOR	NO LOAD	OPEN	1600	0	0
PTKIX	T ROWE PRICE	T ROWE PRICE TOTAL RETURN I	I CLASS	NO LOAD	REDEEM ONLY	1600	0	0
PTTFX	T ROWE PRICE	T ROWE PRICE TOTAL RETURN INV	INVESTOR	NO LOAD	OPEN	1600	0	0
PRCOX	T ROWE PRICE	T ROWE PRICE U.S. EQUITY RESEARCH FD	INVESTOR	NO LOAD	OPEN	1600	0	0
PACOX	T ROWE PRICE	T ROWE PRICE U.S. EQUITY RESEARCH FD ADVISOR	ADVISOR	NO LOAD	OPEN	1600	0	0
PCCOX	T ROWE PRICE	T ROWE PRICE U.S. EQUITY RESEARCH FD CL I	I CLASS	NO LOAD	REDEEM ONLY	1600	0	0
RRCOX	T ROWE PRICE	T ROWE PRICE U.S. EQUITY RESEARCH FD CL R	R	NO LOAD	REDEEM ONLY	1600	0	0
TRSTX	T ROWE PRICE	T ROWE PRICE ULTRA SHORT TERM BD I	I CLASS	NO LOAD	REDEEM ONLY	1600	0	0
TRBUX	T ROWE PRICE	T ROWE PRICE ULTRA SHORT TERM BOND FUND INV	INVESTOR	NO LOAD	OPEN	1600	0	0
TUHAX	T ROWE PRICE	T ROWE PRICE US HIGH YIELD FD ADV	ADVISOR	NO LOAD	OPEN	1600	0	0
TUHIX	T ROWE PRICE	T ROWE PRICE US HIGH YIELD FD I	I CLASS	NO LOAD	REDEEM ONLY	1600	0	0
TUHYX	T ROWE PRICE	T ROWE PRICE US HIGH YIELD FD INV	INVESTOR	NO LOAD	OPEN	1600	0	0
PAULX	T ROWE PRICE	T ROWE PRICE US LARGE CAP CORE ADV	ADVISOR	NO LOAD	OPEN	1600	0	0
RCLIX	T ROWE PRICE	T ROWE PRICE US LARGE CAP CORE FD I	I CLASS	NO LOAD	REDEEM ONLY	1600	0	0

SYMBOL	FUND FAMILY	FUND DESCRIPTION	SHARE CLASS	FUND TYPE	STATUS	CUT- OFF	IRA MIN	NON-IRA MIN
TRULX	T ROWE PRICE	T ROWE PRICE US LARGE CAP CORE FD INV	INVESTOR	NO LOAD	OPEN	1600	0	0
PRKIX	T ROWE PRICE	T ROWE PRICE US TREASURY INT INDEX FD CL I	I CLASS	NO LOAD	REDEEM ONLY	1600	0	0
PRTIX	T ROWE PRICE	T ROWE PRICE US TREASURY INT INDEX FD INV	INVESTOR	NO LOAD	OPEN	1600	0	0
PRULX	T ROWE PRICE	T ROWE PRICE US TREASURY LG TRM INDEX FD INV	INVESTOR	NO LOAD	OPEN	1600	0	0
PRUUX	T ROWE PRICE	T ROWE PRICE US TREASURY LNG TRM INDEX FD CL I	I CLASS	NO LOAD	REDEEM ONLY	1600	0	0
PRTXX	T ROWE PRICE	T ROWE PRICE US TREASURY MONEY	INVESTOR	NO LOAD	OPEN	1600	2500	2500
TRGXX	T ROWE PRICE	T ROWE PRICE US TRSY MONEY FD I	I CLASS	NO LOAD	OPEN	1600	0	0
PRVAX	T ROWE PRICE	T ROWE PRICE VA BOND INV	INVESTOR	NO LOAD	OPEN	1600	0	0
TFBVX	T ROWE PRICE	T ROWE PRICE VA TAX FREE BOND FUND I	I CLASS	NO LOAD	REDEEM ONLY	1600	0	0
PAVLX	T ROWE PRICE	T ROWE PRICE VAL ADV	ADVISOR	NO LOAD	OPEN	1600	0	0
TRPIX	T ROWE PRICE	T ROWE PRICE VALUE FD I	I CLASS	NO LOAD	REDEEM ONLY	1600	0	0
TRVLX	T ROWE PRICE	T ROWE PRICE VALUE INV	INVESTOR	NO LOAD	OPEN	1600	0	0
PRCFX	T ROWE PRICE	T. ROWE PRICE CAP APPREC AND INC	INVESTOR	NO LOAD	OPEN	1600	0	0
PRCHX	T ROWE PRICE	T. ROWE PRICE CAP APPREC AND INC I	I CLASS	NO LOAD	REDEEM ONLY	1600	0	0
TCELX	T ROWE PRICE	T. ROWE PRICE CHINA EVOLUTION EQUITY	INVESTOR	NO LOAD	OPEN	1600	0	0
TRCLX	T ROWE PRICE	T. ROWE PRICE CHINA EVOLUTION EQUITY I	I CLASS	NO LOAD	REDEEM ONLY	1600	0	0
TRGVX	T ROWE PRICE	T. ROWE PRICE GLBL VAL EQ	INVESTOR	NO LOAD	OPEN	1600	0	0
PRIGX	T ROWE PRICE	T. ROWE PRICE GLBL VAL EQ CL I	I CLASS	NO LOAD	REDEEM ONLY	1600	0	0
TGBLX	T ROWE PRICE	T. ROWE PRICE GLOBAL IMPACT EQUITY I	I CLASS	NO LOAD	REDEEM ONLY	1600	0	0
TGPEX	T ROWE PRICE	T. ROWE PRICE GLOBAL IMPACT EQUITY INV	INVESTOR	NO LOAD	OPEN	1600	100	100
TTGXX	T ROWE PRICE	T. ROWE PRICE GOVERNMENT MONEY I	I CLASS	NO LOAD	REDEEM ONLY	1600	0	0
PHEFX	T ROWE PRICE	T. ROWE PRICE HEDGED EQUITY	INVESTOR	NO LOAD	OPEN	1600	0	0
PHEIX	T ROWE PRICE	T. ROWE PRICE HEDGED EQUITY I	I CLASS	NO LOAD	REDEEM ONLY	1600	0	0
RPLCX	T ROWE PRICE	T. ROWE PRICE INSTL LNG DUR CRDT	INSTITUTIONAL	NO LOAD	REDEEM ONLY	1600	0	0
TNBMX	T ROWE PRICE	T. ROWE PRICE INTL BD USD HDGD I	I CLASS	NO LOAD	REDEEM ONLY	1600	0	0
TRLUX	T ROWE PRICE	T. ROWE PRICE LRG CP VA INV	INVESTOR	NO LOAD	OPEN	1600	0	0
PANIX	T ROWE PRICE	T. ROWE PRICE NEW INCOME ADV	ADVISOR	NO LOAD	OPEN	1600	0	0
PAEIX	T ROWE PRICE	T. ROWE PRICE OVERSEAS STOCK FUND ADV	ADVISOR	NO LOAD	OPEN	1600	0	0
TSBLX	T ROWE PRICE	T. ROWE PRICE QM US BOND INDEX I	I CLASS	NO LOAD	REDEEM ONLY	1600	0	0
PARGX	T ROWE PRICE	T. ROWE PRICE RETIREMENT 2005 ADV	ADVISOR	NO LOAD	OPEN	1600	0	0
TRAJX	T ROWE PRICE	T. ROWE PRICE RETIREMENT 2005 I	I CLASS	NO LOAD	REDEEM ONLY	1600	0	0
TRPUX	T ROWE PRICE	T. ROWE PRICE RETIREMENT 2010 I	I CLASS	NO LOAD	REDEEM ONLY	1600	0	0
TRUBX	T ROWE PRICE	T. ROWE PRICE RETIREMENT 2015 I	I CLASS	NO LOAD	REDEEM ONLY	1600	0	0
TRDBX	T ROWE PRICE	T. ROWE PRICE RETIREMENT 2020 I	I CLASS	NO LOAD	REDEEM ONLY	1600	0	0
TREHX	T ROWE PRICE	T. ROWE PRICE RETIREMENT 2025 I	I CLASS	NO LOAD	REDEEM ONLY	1600	0	0
TRFHX	T ROWE PRICE	T. ROWE PRICE RETIREMENT 2030 I	I CLASS	NO LOAD	REDEEM ONLY	1600	0	0
TRFJX	T ROWE PRICE	T. ROWE PRICE RETIREMENT 2035 I	I CLASS	NO LOAD	REDEEM ONLY	1600	0	0
TRHDX	T ROWE PRICE	T. ROWE PRICE RETIREMENT 2040 I	I CLASS	NO LOAD	REDEEM ONLY	1600	0	0
TRIKX	T ROWE PRICE	T. ROWE PRICE RETIREMENT 2045 I	I CLASS	NO LOAD	REDEEM ONLY	1600	0	0
TRJLX	T ROWE PRICE	T. ROWE PRICE RETIREMENT 2050 I	I CLASS	NO LOAD	REDEEM ONLY	1600	0	0
PAROX	T ROWE PRICE	T. ROWE PRICE RETIREMENT 2055 ADV	ADVISOR	NO LOAD	OPEN	1600	0	0

SYMBOL	FUND FAMILY	FUND DESCRIPTION	SHARE CLASS	FUND TYPE	STATUS	CUT-OFF	IRA MIN	NON-IRA MIN
TRJMX	T ROWE PRICE	T. ROWE PRICE RETIREMENT 2055 I	I CLASS	NO LOAD	REDEEM ONLY	1600	0	0
TRLNX	T ROWE PRICE	T. ROWE PRICE RETIREMENT 2060 I	I CLASS	NO LOAD	REDEEM ONLY	1600	0	0
TRSJX	T ROWE PRICE	T. ROWE PRICE RETIREMENT 2065	INVESTOR	NO LOAD	OPEN	1600	0	0
TRMOX	T ROWE PRICE	T. ROWE PRICE RETIREMENT 2065 I	I CLASS	NO LOAD	REDEEM ONLY	1600	0	0
TRJWX	T ROWE PRICE	T. ROWE PRICE RETIREMENT BALANCED CLASSI	I CLASS	NO LOAD	REDEEM ONLY	1600	0	0
TBLAX	T ROWE PRICE	T. ROWE PRICE RETIREMENT BLEND 2005 I	I CLASS	NO LOAD	REDEEM ONLY	1600	0	0
TBLPX	T ROWE PRICE	T. ROWE PRICE RETIREMENT BLEND 2005 INV	INVESTOR	NO LOAD	OPEN	1600	0	0
TBLBX	T ROWE PRICE	T. ROWE PRICE RETIREMENT BLEND 2010 I	I CLASS	NO LOAD	REDEEM ONLY	1600	0	0
TBLQX	T ROWE PRICE	T. ROWE PRICE RETIREMENT BLEND 2010 INV	INVESTOR	NO LOAD	OPEN	1600	0	0
TBLCX	T ROWE PRICE	T. ROWE PRICE RETIREMENT BLEND 2015 I	I CLASS	NO LOAD	REDEEM ONLY	1600	0	0
TBLSX	T ROWE PRICE	T. ROWE PRICE RETIREMENT BLEND 2015 INV	INVESTOR	NO LOAD	OPEN	1600	0	0
TBLDX	T ROWE PRICE	T. ROWE PRICE RETIREMENT BLEND 2020 I	I CLASS	NO LOAD	REDEEM ONLY	1600	0	0
TSBAX	T ROWE PRICE	T. ROWE PRICE RETIREMENT BLEND 2020 INV	INVESTOR	NO LOAD	OPEN	1600	0	0
TBLEX	T ROWE PRICE	T. ROWE PRICE RETIREMENT BLEND 2025 I	I CLASS	NO LOAD	REDEEM ONLY	1600	0	0
TBLVX	T ROWE PRICE	T. ROWE PRICE RETIREMENT BLEND 2025 INV	INVESTOR	NO LOAD	OPEN	1600	0	0
TBLGX	T ROWE PRICE	T. ROWE PRICE RETIREMENT BLEND 2030 I	I CLASS	NO LOAD	REDEEM ONLY	1600	0	0
TBLWX	T ROWE PRICE	T. ROWE PRICE RETIREMENT BLEND 2030 INV	INVESTOR	NO LOAD	OPEN	1600	0	0
TBLYX	T ROWE PRICE	T. ROWE PRICE RETIREMENT BLEND 2035	INVESTOR	NO LOAD	OPEN	1600	0	0
TBLHX	T ROWE PRICE	T. ROWE PRICE RETIREMENT BLEND 2035 I	I CLASS	NO LOAD	REDEEM ONLY	1600	0	0
TBLJX	T ROWE PRICE	T. ROWE PRICE RETIREMENT BLEND 2040 I	I CLASS	NO LOAD	REDEEM ONLY	1600	0	0
TRBLX	T ROWE PRICE	T. ROWE PRICE RETIREMENT BLEND 2040 INV	INVESTOR	NO LOAD	OPEN	1600	0	0
TRBQX	T ROWE PRICE	T. ROWE PRICE RETIREMENT BLEND 2045	INVESTOR	NO LOAD	OPEN	1600	0	0
TBLKX	T ROWE PRICE	T. ROWE PRICE RETIREMENT BLEND 2045 I	I CLASS	NO LOAD	REDEEM ONLY	1600	0	0
TBL LX	T ROWE PRICE	T. ROWE PRICE RETIREMENT BLEND 2050 I	I CLASS	NO LOAD	REDEEM ONLY	1600	0	0
TRBSX	T ROWE PRICE	T. ROWE PRICE RETIREMENT BLEND 2050 INV	INVESTOR	NO LOAD	OPEN	1600	0	0
TBLMX	T ROWE PRICE	T. ROWE PRICE RETIREMENT BLEND 2055 I	I CLASS	NO LOAD	REDEEM ONLY	1600	0	0
TRBOX	T ROWE PRICE	T. ROWE PRICE RETIREMENT BLEND 2055 INV	INVESTOR	NO LOAD	OPEN	1600	0	0
TBLNX	T ROWE PRICE	T. ROWE PRICE RETIREMENT BLEND 2060 I	I CLASS	NO LOAD	REDEEM ONLY	1600	0	0
TRBNX	T ROWE PRICE	T. ROWE PRICE RETIREMENT BLEND 2060 INV	INVESTOR	NO LOAD	OPEN	1600	0	0
TBLOX	T ROWE PRICE	T. ROWE PRICE RETIREMENT BLEND 2065 I	I CLASS	NO LOAD	REDEEM ONLY	1600	0	0
TRBPX	T ROWE PRICE	T. ROWE PRICE RETIREMENT BLEND 2065 INV	INVESTOR	NO LOAD	OPEN	1600	0	0
TSIDX	T ROWE PRICE	T. ROWE PRICE SHORT DURATION INCOME I	I CLASS	NO LOAD	REDEEM ONLY	1600	0	0
PASHX	T ROWE PRICE	T. ROWE PRICE SHORT TERM BOND ADV	ADVISOR	NO LOAD	OPEN	1600	0	0
PRSLX	T ROWE PRICE	T. ROWE PRICE TARGET 2065	INVESTOR	NO LOAD	OPEN	1600	0	0
RPFDX	T ROWE PRICE	T. ROWE PRICE TARGET 2065 I	I CLASS	NO LOAD	REDEEM ONLY	1600	0	0
TERXX	T ROWE PRICE	T. ROWE PRICE TAXEXEMPT MONEY I	I CLASS	NO LOAD	REDEEM ONLY	1600	0	0
TLDUX	T ROWE PRICE	T. ROWE PRICE US LIMITED DTN TIPS I	I CLASS	NO LOAD	REDEEM ONLY	1600	0	0
TLDTX	T ROWE PRICE	T. ROWE PRICE US LIMITED DTN TIPS INV	INVESTOR	NO LOAD	OPEN	1600	0	0
PRSGX	T ROWE PRICE	T. ROWE PRICE SPECTRUM DIVER EQUITY INV	INVESTOR	NO LOAD	OPEN	1600	0	0
PSILX	T ROWE PRICE	T. ROWE PRICE SPECTRUM INTL EQUITY INV	INVESTOR	NO LOAD	OPEN	1600	0	0
TFAFX	TACTICAL INVESTMENT SERIES TRUST	TACTICAL GROWTH ALLOCATION I	INSTITUTIONAL	NO LOAD	OPEN	1600	500	500

SYMBOL	FUND FAMILY	FUND DESCRIPTION	SHARE CLASS	FUND TYPE	STATUS	CUT- OFF	IRA MIN	NON-IRA MIN
TFAGX	TACTICAL INVESTMENT SERIES TRUST	TFA ALPHAGEN GROWTH I	INSTITUTIONAL	NO LOAD	OPEN	1600	0	0
TFAQX	TACTICAL INVESTMENT SERIES TRUST	TFA QUANTITATIVE I	INSTITUTIONAL	NO LOAD	OPEN	1600	250	250
TFAZX	TACTICAL INVESTMENT SERIES TRUST	TFA TACTICAL INCOME FUND CLASS I	INSTITUTIONAL	NO LOAD	OPEN	1600	500	500
TGFRX	TANAKA	TANAKA GROWTH FUND CL R	R	NO LOAD	OPEN	1600	100	100
TGPCX	TCW	TCW CONSERVATIVE ALLOC FD CL I	I	NO LOAD	OPEN	1600	500	2000
TGPNX	TCW	TCW CONSERVATIVE ALLOC FD CL N	N	NO LOAD	OPEN	1600	100	100
TGCFX	TCW	TCW COR FIXED INCOME FUND CL I	I	NO LOAD	OPEN	1600	500	2000
TGFNX	TCW	TCW CORE FIXED INCOME FUND CL N	N	NO LOAD	OPEN	1600	100	100
TGCPX	TCW	TCW CORE FIXED INCOME PLAN	PLAN	NO LOAD	REDEEM ONLY	1600	25000000	25000000
TGEIX	TCW	TCW EMERGING MARKETS INCOME FUND CL I	I	NO LOAD	OPEN	1600	500	2000
TGEPX	TCW	TCW EMERGING MARKETS INCOME PLAN	PLAN	NO LOAD	REDEEM ONLY	1600	25000000	25000000
TGINX	TCW	TCW EMRG MKTS INCM N	N	NO LOAD	OPEN	1600	100	100
TGWIX	TCW	TCW EMRG MKTS LOCAL CURRENCY INCM I	I	NO LOAD	OPEN	1600	500	2000
TGWNX	TCW	TCW EMRG MKTS LOCAL CURRENCY INCM N	N	NO LOAD	OPEN	1600	100	100
TGGWX	TCW	TCW ENHANCED COMMODITY STRATEGY I	I	NO LOAD	OPEN	1600	500	2000
TGABX	TCW	TCW ENHANCED COMMODITY STRATEGY N	N	NO LOAD	OPEN	1600	100	100
TGGFX	TCW	TCW GLOBAL BOND FD CL N	N	NO LOAD	OPEN	1600	100	100
TGGBX	TCW	TCW GLOBAL BOND I	I	NO LOAD	OPEN	1600	0	0
TGREX	TCW	TCW GLOBAL REAL ESTATE I	I	NO LOAD	OPEN	1600	500	2500
TGRYX	TCW	TCW GLOBAL REAL ESTATE N	N	NO LOAD	OPEN	1600	100	100
TGHYX	TCW	TCW HIGH YIELD BOND FUND CL I	I	NO LOAD	OPEN	1600	500	2000
TGHNX	TCW	TCW HIGH YIELD BOND FUND CL N	N	NO LOAD	OPEN	1600	100	100
TGDIX	TCW	TCW RELATIVE VALUE LARGE CAP FUND CLASS I	I	NO LOAD	OPEN	1600	500	2000
TGDVX	TCW	TCW RELATIVE VALUE LARGE CAP FUND CLASS N	N	NO LOAD	OPEN	1600	100	100
TGVOX	TCW	TCW RELATIVE VALUE MID CAP FD I	I	NO LOAD	OPEN	1600	500	2000
TGVNX	TCW	TCW RELATIVE VALUE MID CAP FD N	N	NO LOAD	OPEN	1600	100	100
TGCEX	TCW	TCW SELECT EQUITIES FUND CL I	I	NO LOAD	OPEN	1600	500	2000
TGCNX	TCW	TCW SELECT EQUITIES FUND CL N	N	NO LOAD	OPEN	1600	100	100
TGSMX	TCW	TCW SHORT TERM BD I	I	NO LOAD	OPEN	1600	500	2000
TGLMX	TCW	TCW TOTAL RETURN BOND FUND CL I	I	NO LOAD	OPEN	1600	500	2000
TGMNX	TCW	TCW TOTAL RETURN BOND FUND CL N	N	NO LOAD	OPEN	1600	100	100
REIFX	THIRD AVENUE	THIRD AVE TR	INSTITUTIONAL	NO LOAD	OPEN	1600	0	0
TAREX	THIRD AVENUE	THIRD AVENUE REAL ESTATE VALUE FD INST CL	INSTITUTIONAL	NO LOAD	OPEN	1600	100000	100000
TVRVX	THIRD AVENUE	THIRD AVENUE REAL ESTATE VALUE FD INV CL	INVESTOR	NO LOAD	OPEN	1600	100	100
TARZX	THIRD AVENUE	THIRD AVENUE REAL ESTATE VALUE FD Z	Z	NO LOAD	REDEEM ONLY	1600	0	0
TASCX	THIRD AVENUE	THIRD AVENUE SMALL CAP VALUE FD INST CL	INSTITUTIONAL	NO LOAD	OPEN	1600	100000	100000
TVSVX	THIRD AVENUE	THIRD AVENUE SMALL CAP VALUE FD INV CL	INVESTOR	NO LOAD	OPEN	1600	100	100
TAVFX	THIRD AVENUE	THIRD AVENUE VALUE FD INST CL	INSTITUTIONAL	NO LOAD	OPEN	1600	100000	100000
TVFVX	THIRD AVENUE	THIRD AVENUE VALUE FD INV CL	INVESTOR	NO LOAD	OPEN	1600	100	100
THOPX	THOMPSON PLUMB	THOMPSON BOND FUND	NO LOAD	NO LOAD	OPEN	1600	100	100
THPGX	THOMPSON PLUMB	THOMPSON LARGE CAP FUND	NO LOAD	NO LOAD	OPEN	1600	100	100

SYMBOL	FUND FAMILY	FUND DESCRIPTION	SHARE CLASS	FUND TYPE	STATUS	CUT- OFF	IRA MIN	NON-IRA MIN
THPMX	THOMPSON PLUMB	THOMPSON MIDCAP FUND	NO LOAD	NO LOAD	OPEN	1600	100	100
TBWCX	THORNBURG	THORNBURG BETTER WORLD INTERNATIONAL C	C	LOAD	OPEN	1600	2000	5000
TBWAX	THORNBURG	THORNBURG BETTER WORLD INTL A	A	LOAD	OPEN	1600	2000	2500
TBWIX	THORNBURG	THORNBURG BETTER WORLD INTL I	I	NO LOAD	OPEN	1600	0	0
LTCAX	THORNBURG	THORNBURG CA LTD TERM MUNI FD CL A	A	LOAD	OPEN	1600	2000	2500
LTCIX	THORNBURG	THORNBURG CA LTD TERM MUNI FD CL I	I	NO LOAD	OPEN	1600	0	0
LTCCX	THORNBURG	THORNBURG CALIFORNIA LIMITED TERM MUNICIPAL CL C	C	LOAD	OPEN	1600	2000	5000
THCGX	THORNBURG	THORNBURG CORE GROWTH FUND CL A	A	LOAD	OPEN	1600	2000	2500
THCRX	THORNBURG	THORNBURG CORE GROWTH FUND CL R3	R3	NO LOAD	REDEEM ONLY	1600	0	0
TCGCX	THORNBURG	THORNBURG CORE GROWTH FUND CLASS C	C	LOAD	OPEN	1600	2000	5000
THIGX	THORNBURG	THORNBURG CORE GROWTH FUND CLASS I	INSTITUTIONAL	NO LOAD	OPEN	1600	0	0
TCGRX	THORNBURG	THORNBURG CORE GROWTH R4	R4	NO LOAD	REDEEM ONLY	1600	0	0
THGRX	THORNBURG	THORNBURG CORE GROWTH R5	R5	NO LOAD	REDEEM ONLY	1600	0	0
THCAX	THORNBURG	THORNBURG CORE PLUS BOND A	A	LOAD	OPEN	1600	0	0
THCIX	THORNBURG	THORNBURG CORE PLUS BOND I	INSTITUTIONAL	NO LOAD	OPEN	1600	0	0
THDAX	THORNBURG	THORNBURG DEVELOPING WORLD FD CL A	A	LOAD	OPEN	1600	2000	2500
THDCX	THORNBURG	THORNBURG DEVELOPING WORLD FD CL C	C	LOAD	OPEN	1600	2000	5000
THDRX	THORNBURG	THORNBURG DEVELOPING WORLD FD CL R5	R5	NO LOAD	REDEEM ONLY	1600	0	0
TDWRX	THORNBURG	THORNBURG DEVELOPING WORLD FD CL R6	R6	NO LOAD	REDEEM ONLY	1600	0	0
THDIX	THORNBURG	THORNBURG DEVELOPING WORLD FD INST	I	NO LOAD	OPEN	1600	0	0
THMGX	THORNBURG	THORNBURG EMERGING MARKETS MGD ACCT I	I	NO LOAD	OPEN	1600	0	0
THOAX	THORNBURG	THORNBURG GBL OPPTY FD CL A	A	LOAD	OPEN	1600	2000	2500
THOIX	THORNBURG	THORNBURG GBL OPPTY FD INSTL CL	I	NO LOAD	OPEN	1600	0	0
THOCX	THORNBURG	THORNBURG GLOBAL OPPTY FD CL C	C	LOAD	OPEN	1600	2000	5000
THORX	THORNBURG	THORNBURG GLOBAL OPPTY FD CL R 3	R3	NO LOAD	REDEEM ONLY	1600	0	0
THOVX	THORNBURG	THORNBURG GLOBAL OPPTY FD CL R 4	R4	NO LOAD	REDEEM ONLY	1600	0	0
THOFX	THORNBURG	THORNBURG GLOBAL OPPTY FD CL R 5	R5	NO LOAD	REDEEM ONLY	1600	0	0
THOGX	THORNBURG	THORNBURG GLOBAL OPPTY FD CL R6	R6	NO LOAD	REDEEM ONLY	1600	0	0
THIMX	THORNBURG	THORNBURG INTERMEDIATE MUNI FUND CL A	A	LOAD	OPEN	1600	2000	2500
THMIX	THORNBURG	THORNBURG INTERMEDIATE MUNI FUND CLASS I	I	NO LOAD	OPEN	1600	0	0
THMCX	THORNBURG	THORNBURG INTERMEDIATE MUNICIPAL CLASS C	C	LOAD	OPEN	1600	2000	5000
TGVAX	THORNBURG	THORNBURG INTERNATIONAL VALUE FUND CLASS A	A	LOAD	OPEN	1600	2000	2500
THGCX	THORNBURG	THORNBURG INTERNATIONAL VALUE FUND CLASS C	C	LOAD	OPEN	1600	2000	5000
TIGAX	THORNBURG	THORNBURG INTL GROWTH FD CL A	A	LOAD	OPEN	1600	2000	2500
TIGCX	THORNBURG	THORNBURG INTL GROWTH FD CL C	C	LOAD	OPEN	1600	2000	5000
TINGX	THORNBURG	THORNBURG INTL GROWTH FD CL I	I	NO LOAD	OPEN	1600	0	0
TIGVX	THORNBURG	THORNBURG INTL GROWTH FD CL R 3	R3	NO LOAD	REDEEM ONLY	1600	0	0
TINVX	THORNBURG	THORNBURG INTL GROWTH FD CL R 4	R4	NO LOAD	REDEEM ONLY	1600	0	0
TINFX	THORNBURG	THORNBURG INTL GROWTH FD CL R 5	R5	NO LOAD	REDEEM ONLY	1600	0	0
THGIX	THORNBURG	THORNBURG INTL GROWTH FD CL R6	R6	NO LOAD	REDEEM ONLY	1600	0	0
TGVRX	THORNBURG	THORNBURG INTL VALUE CL R3	R3	NO LOAD	REDEEM ONLY	1600	0	0

SYMBOL	FUND FAMILY	FUND DESCRIPTION	SHARE CLASS	FUND TYPE	STATUS	CUT- OFF	IRA MIN	NON-IRA MIN
TGIRX	THORNBURG	THORNBURG INTL VALUE FD CL R6	R6	NO LOAD	REDEEM ONLY	1600	0	0
TGVIX	THORNBURG	THORNBURG INTL VALUE FD INST CLASS	INSTITUTIONAL	NO LOAD	OPEN	1600	0	0
THVRX	THORNBURG	THORNBURG INTL VALUE R4	R4	NO LOAD	REDEEM ONLY	1600	0	0
TIVRX	THORNBURG	THORNBURG INTL VALUE R5	R5	NO LOAD	REDEEM ONLY	1600	0	0
TIBRX	THORNBURG	THORNBURG INV INCOME BUILDER FD CL R3	R3	NO LOAD	REDEEM ONLY	1600	0	0
TIBGX	THORNBURG	THORNBURG INVESTMENT INCM BUILDER FD CL R 4	R4	NO LOAD	REDEEM ONLY	1600	0	0
TIBMX	THORNBURG	THORNBURG INVESTMENT INCM BUILDER FD CL R 5	R5	NO LOAD	REDEEM ONLY	1600	0	0
TIBCX	THORNBURG	THORNBURG INVESTMENT INCOME BUILDER CLASS C	C	LOAD	OPEN	1600	2000	5000
TIBAX	THORNBURG	THORNBURG INVESTMENT INCOME BUILDER FUND CL A	A	LOAD	OPEN	1600	2000	2500
TIBIX	THORNBURG	THORNBURG INVESTMENT INCOME BUILDER FUND CL I	I	NO LOAD	OPEN	1600	0	0
TIBOX	THORNBURG	THORNBURG INVT INCOME BUILDER FD CL R6	R6	NO LOAD	REDEEM ONLY	1600	0	0
LTM CX	THORNBURG	THORNBURG LIMITED TERM MUNICIPAL CLASS C	C	LOAD	OPEN	1600	2000	5000
LTUCX	THORNBURG	THORNBURG LIMITED TERM US GOVERNMENT CLASS C	C	LOAD	OPEN	1600	2000	5000
THIRX	THORNBURG	THORNBURG LTD TERM INCM FD CL R3	R3	NO LOAD	REDEEM ONLY	1600	0	0
THRRX	THORNBURG	THORNBURG LTD TERM INCM FD CL R5	R5	NO LOAD	REDEEM ONLY	1600	0	0
THRLX	THORNBURG	THORNBURG LTD TERM INCM FD CL R6	R6	NO LOAD	REDEEM ONLY	1600	0	0
THIIX	THORNBURG	THORNBURG LTD TERM INCOME CL I	INSTITUTIONAL	NO LOAD	OPEN	1600	0	0
THIFX	THORNBURG	THORNBURG LTD TERM INCOME FUND CLASS A	A	LOAD	OPEN	1600	2000	2500
THICX	THORNBURG	THORNBURG LTD TERM INCOME FUND CLASS C	C	LOAD	OPEN	1600	2000	5000
LTMFX	THORNBURG	THORNBURG LTD TERM MUNI FD CL A	A	LOAD	OPEN	1600	2000	2500
LTMIX	THORNBURG	THORNBURG LTD TERM MUNI FD CL I	I	NO LOAD	OPEN	1600	0	0
LTURX	THORNBURG	THORNBURG LTD TERM U.S. GOVT FD CL R3	R3	NO LOAD	REDEEM ONLY	1600	0	0
LTUIX	THORNBURG	THORNBURG LTD TERM US GOVT FUND CL I	INSTITUTIONAL	NO LOAD	OPEN	1600	0	0
LTUSX	THORNBURG	THORNBURG LTD TERM US GOVT FUND CLASS A	A	LOAD	OPEN	1600	2000	2500
THMMX	THORNBURG	THORNBURG MUNICIPAL MANAGED ACCOUNT I	I	NO LOAD	OPEN	1600	2500	2500000
THNMX	THORNBURG	THORNBURG NEW MEXICO INTERM MUNI CL A	A	LOAD	OPEN	1600	2000	2500
THNYX	THORNBURG	THORNBURG NEW YORK INTRM MUNI CL A	A	LOAD	OPEN	1600	2000	2500
THNIX	THORNBURG	THORNBURG NM INTERM MUNI FUND CLASS I	I	NO LOAD	OPEN	1600	0	0
THNDX	THORNBURG	THORNBURG NM INTERMEDIATE MUNICIPAL D	D	NO LOAD	REDEEM ONLY	1600	10000000	5000
TNYIX	THORNBURG	THORNBURG NY INTERM MUNI FUND CLASS I	I	NO LOAD	OPEN	1600	0	0
TLMAX	THORNBURG	THORNBURG SHORT DURATION MUNICIPAL FD CL A	A	LOAD	OPEN	1600	100	100
TLMIX	THORNBURG	THORNBURG SHORT DURATION MUNICIPAL FD INST	I	NO LOAD	OPEN	1600	0	0
TSICX	THORNBURG	THORNBURG STRATEGIC INCM C	C	LOAD	OPEN	1600	2000	5000
TSIAX	THORNBURG	THORNBURG STRATEGIC INCM FD A	A	LOAD	OPEN	1600	2000	2500
TSIIX	THORNBURG	THORNBURG STRATEGIC INCM FD I	I	NO LOAD	OPEN	1600	0	0
TSRSX	THORNBURG	THORNBURG STRATEGIC INCM FD R6	R6	NO LOAD	REDEEM ONLY	1600	0	0
TSSCX	THORNBURG	THORNBURG STRATEGIC MUNI INCM FD C	C	LOAD	OPEN	1600	2000	5000
TSSAX	THORNBURG	THORNBURG STRATEGIC MUNI INCM FD CL A	A	LOAD	OPEN	1600	2000	2500
TSSIX	THORNBURG	THORNBURG STRATEGIC MUNI INCM FD INSTL CL SHRS	I	NO LOAD	OPEN	1600	0	0
TSAMX	THORNBURG	THORNBURG SUMMIT A	A	LOAD	OPEN	1600	0	0
TSUMX	THORNBURG	THORNBURG SUMMIT I	I	NO LOAD	OPEN	1600	0	0

SYMBOL	FUND FAMILY	FUND DESCRIPTION	SHARE CLASS	FUND TYPE	STATUS	CUT- OFF	IRA MIN	NON-IRA MIN
TLDAX	THORNBURG	THORNBURG ULTRA SHORT INCOME FD CL A	A	LOAD	OPEN	1600	100	100
TLDIX	THORNBURG	THORNBURG ULTRA SHORT INCOME FD CL I	I	NO LOAD	OPEN	1600	0	0
TVIFX	THORNBURG	THORNBURG VALUE FD INST CL	INSTITUTIONAL	NO LOAD	OPEN	1600	0	0
TVAFX	THORNBURG	THORNBURG VALUE FUND CL A	A	LOAD	OPEN	1600	2000	2500
TVRFX	THORNBURG	THORNBURG VALUE FUND CL R3	R3	NO LOAD	REDEEM ONLY	1600	0	0
TVCFX	THORNBURG	THORNBURG VALUE FUND CLASS C	C	LOAD	OPEN	1600	2000	5000
TVIRX	THORNBURG	THORNBURG VALUE R4	R4	NO LOAD	REDEEM ONLY	1600	0	0
TVRRX	THORNBURG	THORNBURG VALUE R5	R5	NO LOAD	REDEEM ONLY	1600	0	0
TAAAX	THRIVENT MUTUAL FUNDS	THRIVENT AGGR ALLOC FD A	A	LOAD	OPEN	1600	0	0
TAAIX	THRIVENT MUTUAL FUNDS	THRIVENT AGGR ALLOC FD S	S	NO LOAD	OPEN	1600	0	0
AABFX	THRIVENT MUTUAL FUNDS	THRIVENT BALANCED INCOME PLUS A	A	LOAD WAIVED	REDEEM ONLY	1600	0	0
IBBFX	THRIVENT MUTUAL FUNDS	THRIVENT BALANCED INCOME PLUS S	S	NO LOAD	OPEN	1600	0	0
THYFX	THRIVENT MUTUAL FUNDS	THRIVENT DIVERSIFIED INCM PLUS S	S	NO LOAD	OPEN	1600	0	0
AAHYX	THRIVENT MUTUAL FUNDS	THRIVENT DIVERSIFIED INCOME PLUS A	A	LOAD WAIVED	REDEEM ONLY	1600	0	0
AALGX	THRIVENT MUTUAL FUNDS	THRIVENT GLOBAL STOCK FD A	A	LOAD	OPEN	1600	0	0
IILGX	THRIVENT MUTUAL FUNDS	THRIVENT GLOBAL STOCK FD CL S	S	NO LOAD	OPEN	1600	0	0
TBFIK	THRIVENT MUTUAL FUNDS	THRIVENT GOVERNMENT BOND S	S	NO LOAD	OPEN	1600	0	0
THMBX	THRIVENT MUTUAL FUNDS	THRIVENT HIGH INC MUNCPL BD S	S	NO LOAD	OPEN	1600	0	0
LBHYX	THRIVENT MUTUAL FUNDS	THRIVENT HIGH YIELD A	A	LOAD WAIVED	REDEEM ONLY	1600	0	0
LBHIX	THRIVENT MUTUAL FUNDS	THRIVENT HIGH YIELD FD S	S	NO LOAD	SUBS ONLY	1600	0	0
LUBIX	THRIVENT MUTUAL FUNDS	THRIVENT INCOME A	A	LOAD WAIVED	REDEEM ONLY	1600	0	0
LBIIX	THRIVENT MUTUAL FUNDS	THRIVENT INCOME FD S	S	NO LOAD	OPEN	1600	0	0
TWAIX	THRIVENT MUTUAL FUNDS	THRIVENT INTERNATIONAL ALLOCATION S	S	NO LOAD	OPEN	1600	0	0
TWAAX	THRIVENT MUTUAL FUNDS	THRIVENT INTL ALLOCATIONA	A	LOAD	OPEN	1600	0	0
AAAGX	THRIVENT MUTUAL FUNDS	THRIVENT LARGE CAP GWTH FD A	A	LOAD	OPEN	1600	0	0
THLCX	THRIVENT MUTUAL FUNDS	THRIVENT LARGE CAP GWTH FD S	S	NO LOAD	OPEN	1600	0	0
AAUTX	THRIVENT MUTUAL FUNDS	THRIVENT LARGE CAP VALUE A	A	LOAD WAIVED	REDEEM ONLY	1600	0	0
TLVIX	THRIVENT MUTUAL FUNDS	THRIVENT LARGE CAP VALUE FD S	S	NO LOAD	OPEN	1600	0	0
LBLAX	THRIVENT MUTUAL FUNDS	THRIVENT LIMITED MATURITY BOND A	A	NO LOAD	REDEEM ONLY	1600	0	0
TLVOX	THRIVENT MUTUAL FUNDS	THRIVENT LOW VOLATILITY EQUITY S	S	NO LOAD	REDEEM ONLY	1600	0	0
THLIX	THRIVENT MUTUAL FUNDS	THRIVENT LTD MATURITY BD FD S	S	NO LOAD	OPEN	1600	0	0
AASCX	THRIVENT MUTUAL FUNDS	THRIVENT MID CAP STK FD A	A	LOAD	OPEN	1600	0	0
TMSIX	THRIVENT MUTUAL FUNDS	THRIVENT MID CAP STOCK S	S	NO LOAD	OPEN	1600	0	0
TMCVX	THRIVENT MUTUAL FUNDS	THRIVENT MID CAP VALUE S	S	NO LOAD	OPEN	1600	0	0
THMAX	THRIVENT MUTUAL FUNDS	THRIVENT MODERATE ALLOC FD A	A	LOAD	OPEN	1600	0	0
TMAIX	THRIVENT MUTUAL FUNDS	THRIVENT MODERATE ALLOC FD S	S	NO LOAD	OPEN	1600	0	0
TMAAX	THRIVENT MUTUAL FUNDS	THRIVENT MODERATELY AGGR ALLOC A	A	LOAD	OPEN	1600	0	0
TMAFX	THRIVENT MUTUAL FUNDS	THRIVENT MODERATELY AGGR ALLOC S	S	NO LOAD	OPEN	1600	0	0
TCAAX	THRIVENT MUTUAL FUNDS	THRIVENT MODERATELY CNSRV ALLOCATION A	A	LOAD WAIVED	REDEEM ONLY	1600	0	0
TCAIX	THRIVENT MUTUAL FUNDS	THRIVENT MODERATELY CNSRV ALLOCATION S	S	NO LOAD	OPEN	1600	0	0
TMBIX	THRIVENT MUTUAL FUNDS	THRIVENT MUNI BD FD S	S	NO LOAD	OPEN	1600	0	0

SYMBOL	FUND FAMILY	FUND DESCRIPTION	SHARE CLASS	FUND TYPE	STATUS	CUT- OFF	IRA MIN	NON-IRA MIN
AAMBX	THRIVENT MUTUAL FUNDS	THRIVENT MUNICIPAL BOND A	A	LOAD WAIVED	REDEEM ONLY	1600	0	0
AAINX	THRIVENT MUTUAL FUNDS	THRIVENT OPPORTUNITY INCOME PLUS A	A	LOAD WAIVED	REDEEM ONLY	1600	0	0
IIINX	THRIVENT MUTUAL FUNDS	THRIVENT OPPTY INCM PLUS S	S	NO LOAD	OPEN	1600	0	0
TSCGX	THRIVENT MUTUAL FUNDS	THRIVENT SMALL CAP GROWTH S	S	NO LOAD	OPEN	1600	0	0
AASMX	THRIVENT MUTUAL FUNDS	THRIVENT SMALL CAP STOCK FUND CL A	A	LOAD	OPEN	1600	0	0
TSCSX	THRIVENT MUTUAL FUNDS	THRIVENT SMALL CAP STOCK FUND CL S	S	NO LOAD	OPEN	1600	0	0
CGHIX	TIMBER POINT	TIMBER POINT GLOBAL ALLOCATIONS FD INST	INSTITUTIONAL	NO LOAD	OPEN	1600	100000	100000
TCGAX	TIMOTHY PLAN	TIMOTHY PLAN CONSRV GWTH A	A	LOAD	OPEN	1600	0	0
TCVCX	TIMOTHY PLAN	TIMOTHY PLAN CONSRV GWTH C	C	LOAD	OPEN	1600	0	0
TPDAX	TIMOTHY PLAN	TIMOTHY PLAN DEFENSIVE STRAT A	A	LOAD	OPEN	1600	0	0
TPDCX	TIMOTHY PLAN	TIMOTHY PLAN DEFENSIVE STRAT C	C	LOAD	OPEN	1600	0	0
TPDIX	TIMOTHY PLAN	TIMOTHY PLAN DEFENSIVE STRAT I	I	NO LOAD	OPEN	1600	100000	100000
TFIAX	TIMOTHY PLAN	TIMOTHY PLAN FIXED INCM FD CL A	A	LOAD	OPEN	1600	0	0
TPFIX	TIMOTHY PLAN	TIMOTHY PLAN FIXED INCM FD CL I	I	NO LOAD	OPEN	1600	100000	100000
TGIAX	TIMOTHY PLAN	TIMOTHY PLAN GWTH & INCM A	A	LOAD WAIVED	REDEEM ONLY	1600	0	0
TIGIX	TIMOTHY PLAN	TIMOTHY PLAN GWTH & INCM I	I	NO LOAD	OPEN	1600	100000	100000
TPHAX	TIMOTHY PLAN	TIMOTHY PLAN HIGH YIELD BD CL A	A	LOAD	OPEN	1600	0	0
TPHCX	TIMOTHY PLAN	TIMOTHY PLAN HIGH YIELD BD CL C	C	LOAD	REDEEM ONLY	1600	0	0
TPHIX	TIMOTHY PLAN	TIMOTHY PLAN HIGH YIELD BD CL I	I	NO LOAD	OPEN	1600	100000	100000
TPIAX	TIMOTHY PLAN	TIMOTHY PLAN INTL FUND CL A	A	LOAD	OPEN	1600	0	0
TPICX	TIMOTHY PLAN	TIMOTHY PLAN INTL FUND CL C	C	LOAD	OPEN	1600	0	0
TPIIX	TIMOTHY PLAN	TIMOTHY PLAN INTL FUND CL I	I	NO LOAD	OPEN	1600	100000	100000
TPAIX	TIMOTHY PLAN	TIMOTHY PLAN ISRAEL COM VALUES A	A	LOAD	OPEN	1600	0	0
TPCIX	TIMOTHY PLAN	TIMOTHY PLAN ISRAEL COM VALUES C	C	LOAD	OPEN	1600	0	0
TICIX	TIMOTHY PLAN	TIMOTHY PLAN ISRAEL COM VALUES I	I	NO LOAD	OPEN	1600	100000	100000
TPLIX	TIMOTHY PLAN	TIMOTHY PLAN LARGE MID CAP GWTH CL I	I	NO LOAD	OPEN	1600	100000	100000
TLGAX	TIMOTHY PLAN	TIMOTHY PLAN LARGE MID CAP GWTH FD CL A	A	LOAD	OPEN	1600	0	0
TLGCX	TIMOTHY PLAN	TIMOTHY PLAN LARGE MID CAP GWTH FD CL C	C	LOAD	OPEN	1600	0	0
TLVAX	TIMOTHY PLAN	TIMOTHY PLAN LARGE MID CAP VALUE A	A	LOAD	OPEN	1600	0	0
TLVCX	TIMOTHY PLAN	TIMOTHY PLAN LARGE MID CAP VALUE C	C	LOAD	OPEN	1600	0	0
TMVIX	TIMOTHY PLAN	TIMOTHY PLAN LARGE MID CAP VALUE I	I	NO LOAD	OPEN	1600	100000	100000
TPLNX	TIMOTHY PLAN	TIMOTHY PLAN SMALL CAP VALUE CL A	A	LOAD	OPEN	1600	0	0
TSVCX	TIMOTHY PLAN	TIMOTHY PLAN SMALL CAP VALUE CL C	C	LOAD	OPEN	1600	0	0
TPVIX	TIMOTHY PLAN	TIMOTHY PLAN SMALL CAP VALUE CL I	I	NO LOAD	OPEN	1600	100000	100000
TAAGX	TIMOTHY PLAN	TIMOTHY PLAN SMALL MID CAP GROWTH A	A	LOAD	OPEN	1600	0	0
TCAGX	TIMOTHY PLAN	TIMOTHY PLAN SMALL MID CAP GROWTH C	C	LOAD	OPEN	1600	0	0
TIAGX	TIMOTHY PLAN	TIMOTHY PLAN SMALL MID CAP GROWTH I	I	NO LOAD	OPEN	1600	100000	100000
TSGAX	TIMOTHY PLAN	TIMOTHY PLAN STRATEGIC GWTH A	A	LOAD	OPEN	1600	0	0
TSGCX	TIMOTHY PLAN	TIMOTHY PLAN STRATEGIC GWTH C	C	LOAD	OPEN	1600	0	0
TOCQX	TOCQUEVILLE	TOCQUEVILLE FUND	NO LOAD	NO LOAD	OPEN	1600	100	100
TORYX	TORRAY	TORRAY FD	NO LOAD	NO LOAD	OPEN	1600	100	100

SYMBOL	FUND FAMILY	FUND DESCRIPTION	SHARE CLASS	FUND TYPE	STATUS	CUT- OFF	IRA MIN	NON-IRA MIN
INFRX	TORTOISE ECOFIN	TORTOISE ENERGY INFRASTRUCTURE INC A	A	LOAD	OPEN	1600	0	0
INFFX	TORTOISE ECOFIN	TORTOISE ENERGY INFRASTRUCTURE INC C	C	LOAD	OPEN	1600	0	0
INFIX	TORTOISE ECOFIN	TORTOISE ENERGY INFRASTRUCTURE INC INST	INSTITUTIONAL	NO LOAD	OPEN	1600	0	0
TORCX	TORTOISE ECOFIN	TORTOISE MLP & PIPELINE C	C	LOAD	OPEN	1600	0	0
TORTX	TORTOISE ECOFIN	TORTOISE MLP & PIPELINE FD CL A	INVESTOR	LOAD	OPEN	1600	2500	2500
TORIX	TORTOISE ECOFIN	TORTOISE MLP & PIPELINE INST	INSTITUTIONAL	NO LOAD	OPEN	1600	0	0
MRASX	TOTAL FUND SOLUTION	CROMWELL CENTERSQUARE REAL ESTATE FD INSTL CL	INSTITUTIONAL	NO LOAD	OPEN	1600	1000	2500
MRESX	TOTAL FUND SOLUTION	CROMWELL CENTERSQUARE REAL ESTATE FD INV CL	INVESTOR	NO LOAD	OPEN	1600	100	100
CFGIX	TOTAL FUND SOLUTION	CROMWELL FORESIGHT GLBL SUST INFRASINSTL	INSTITUTIONAL	NO LOAD	OPEN	1600	0	0
GRSPX	TOTAL FUND SOLUTION	CROMWELL GREENSPRING MID CAP FD INSTL CL	INSTITUTIONAL	NO LOAD	OPEN	1600	0	0
MFLDX	TOTAL FUND SOLUTION	CROMWELL MARKETFIELD LS FD INSTL CL	INSTITUTIONAL	NO LOAD	OPEN	1600	0	0
MFADX	TOTAL FUND SOLUTION	CROMWELL MARKETFIELD LS FD INV CL	INVESTOR	NO LOAD	OPEN	1600	0	0
CSBIX	TOTAL FUND SOLUTION	CROMWELL SUSTAINABLE BALANCED FD INSTL	INSTITUTIONAL	NO LOAD	OPEN	1600	0	0
LIMIX	TOTAL FUND SOLUTION	CROMWELL TRAN SUSTAINABLE FOCUS INST	INSTITUTIONAL	NO LOAD	OPEN	1600	0	0
LIMAX	TOTAL FUND SOLUTION	CROMWELL TRAN SUSTAINABLE FOCUS INV	INVESTOR	NO LOAD	SUBS ONLY	1600	0	0
TOBAX	TOUCHSTONE	TOUCHSTONE ACTIVE BD FD A	A	LOAD	SUBS ONLY	1600	0	0
TODCX	TOUCHSTONE	TOUCHSTONE ACTIVE BD FD C	C	LOAD	OPEN	1600	1000	2500
TOBIX	TOUCHSTONE	TOUCHSTONE ACTIVE BD FD INST	INSTITUTIONAL	NO LOAD	OPEN	1600	500000	500000
TOBYX	TOUCHSTONE	TOUCHSTONE ACTIVE BD FD Y	Y	NO LOAD	OPEN	1600	2500	2500
TMARX	TOUCHSTONE	TOUCHSTONE ARES CREDIT OPPORTUNITIES A	A	LOAD	OPEN	1600	1000	2500
TMACX	TOUCHSTONE	TOUCHSTONE ARES CREDIT OPPORTUNITIES C	C	LOAD	OPEN	1600	1000	2500
TARBX	TOUCHSTONE	TOUCHSTONE ARES CREDIT OPPORTUNITIES I	INSTITUTIONAL	NO LOAD	OPEN	1600	500000	500000
TMAYX	TOUCHSTONE	TOUCHSTONE ARES CREDIT OPPORTUNITIES Y	Y	NO LOAD	OPEN	1600	2500	2500
SEBLX	TOUCHSTONE	TOUCHSTONE BALANCED FD A	A	LOAD	OPEN	1600	1000	2500
SBACX	TOUCHSTONE	TOUCHSTONE BALANCED FD C	C	LOAD	OPEN	1600	1000	2500
SIBLX	TOUCHSTONE	TOUCHSTONE BALANCED FD Y	Y	NO LOAD	OPEN	1600	2500	2500
TOHAX	TOUCHSTONE	TOUCHSTONE CORE MUNI BOND A	A	LOAD	OPEN	1600	2500	2500
TOHCX	TOUCHSTONE	TOUCHSTONE CORE MUNI BOND C	C	LOAD	OPEN	1600	1000	2500
TOHIX	TOUCHSTONE	TOUCHSTONE CORE MUNI BOND I	INSTITUTIONAL	NO LOAD	OPEN	1600	500000	500000
TOHYX	TOUCHSTONE	TOUCHSTONE CORE MUNI BOND Y	Y	NO LOAD	OPEN	1600	2500	2500
TQCIX	TOUCHSTONE	TOUCHSTONE DIVIDEND EQUITY INSTITUTIONAL	INSTITUTIONAL	NO LOAD	OPEN	1600	500000	500000
TQCAX	TOUCHSTONE	TOUCHSTONE FDS GROUP	A	LOAD	OPEN	1600	1000	2500
TQCCX	TOUCHSTONE	TOUCHSTONE FDS GROUP	C	LOAD	OPEN	1600	1000	2500
TQCYX	TOUCHSTONE	TOUCHSTONE FDS GROUP	Y	NO LOAD	OPEN	1600	2500	2500
FFSAX	TOUCHSTONE	TOUCHSTONE FLEXIBLE INCM A	A	LOAD	OPEN	1600	1000	2500
FRACX	TOUCHSTONE	TOUCHSTONE FLEXIBLE INCM C	C	LOAD	OPEN	1600	1000	2500
TFSLX	TOUCHSTONE	TOUCHSTONE FLEXIBLE INCM INST	INSTITUTIONAL	NO LOAD	OPEN	1600	500000	500000
MXIIX	TOUCHSTONE	TOUCHSTONE FLEXIBLE INCM Y	Y	NO LOAD	OPEN	1600	2500	2500
TFFCX	TOUCHSTONE	TOUCHSTONE FOCUSED C	C	LOAD	OPEN	1600	1000	2500
TFOAX	TOUCHSTONE	TOUCHSTONE FOCUSED FD CL A	A	LOAD	OPEN	1600	1000	2500
TFFYX	TOUCHSTONE	TOUCHSTONE FOCUSED FD CL Y	Y	NO LOAD	OPEN	1600	2500	2500

SYMBOL	FUND FAMILY	FUND DESCRIPTION	SHARE CLASS	FUND TYPE	STATUS	CUT- OFF	IRA MIN	NON-IRA MIN
TFFIX	TOUCHSTONE	TOUCHSTONE FOCUSED FD INST CL	INSTITUTIONAL	NO LOAD	OPEN	1600	500000	500000
TGVFX	TOUCHSTONE	TOUCHSTONE GROWTH OPPORTUNITIES FUND CL A	A	LOAD	OPEN	1600	1000	2500
TGVCX	TOUCHSTONE	TOUCHSTONE GROWTH OPPTY C	C	LOAD	OPEN	1600	1000	2500
TGVYX	TOUCHSTONE	TOUCHSTONE GROWTH OPPTY FD CL Y	Y	NO LOAD	OPEN	1600	2500	2500
TGVVX	TOUCHSTONE	TOUCHSTONE GROWTH OPPTY INST	INSTITUTIONAL	NO LOAD	OPEN	1600	500000	500000
THYCX	TOUCHSTONE	TOUCHSTONE HIGH YIELD BD C	C	LOAD	OPEN	1600	1000	2500
THYAX	TOUCHSTONE	TOUCHSTONE HIGH YIELD BD CL A	A	LOAD	OPEN	1600	1000	2500
THIYX	TOUCHSTONE	TOUCHSTONE HIGH YIELD FD CL I	INSTITUTIONAL	NO LOAD	OPEN	1600	500000	500000
THYYX	TOUCHSTONE	TOUCHSTONE HIGH YIELD FD CL Y	Y	NO LOAD	OPEN	1600	2500	2500
TCPAX	TOUCHSTONE	TOUCHSTONE IMPACT BOND FD CL A	A	LOAD	OPEN	1600	1000	2500
TCPCX	TOUCHSTONE	TOUCHSTONE IMPACT BOND FD CL C	C	LOAD	OPEN	1600	1000	2500
TCPNX	TOUCHSTONE	TOUCHSTONE IMPACT BOND FD CL I	INSTITUTIONAL	NO LOAD	OPEN	1600	500000	500000
TCPYX	TOUCHSTONE	TOUCHSTONE IMPACT BOND FD CL Y	Y	NO LOAD	OPEN	1600	2500	2500
TOIIX	TOUCHSTONE	TOUCHSTONE INTERNATIONAL EQUITY INSTL	INSTITUTIONAL	NO LOAD	OPEN	1600	500000	500000
SWRLX	TOUCHSTONE	TOUCHSTONE INTL EQTY FD A	A	LOAD	OPEN	1600	1000	2500
SWFCX	TOUCHSTONE	TOUCHSTONE INTL EQTY FD C	C	LOAD	OPEN	1600	1000	2500
SIIEX	TOUCHSTONE	TOUCHSTONE INTL EQTY FD Y	Y	NO LOAD	OPEN	1600	2500	2500
TFCCX	TOUCHSTONE	TOUCHSTONE LARGE CAP C	C	LOAD	OPEN	1600	1000	2500
TACLX	TOUCHSTONE	TOUCHSTONE LARGE CAP FD A	A	LOAD	OPEN	1600	1000	2500
TLCIX	TOUCHSTONE	TOUCHSTONE LARGE CAP FD INST	INSTITUTIONAL	NO LOAD	OPEN	1600	500000	500000
TLCYX	TOUCHSTONE	TOUCHSTONE LARGE CAP FD Y	Y	NO LOAD	OPEN	1600	2500	2500
SENCX	TOUCHSTONE	TOUCHSTONE LARGE CAP FOCUSED FD CL A	A	LOAD	OPEN	1600	1000	2500
SCSCX	TOUCHSTONE	TOUCHSTONE LARGE CAP FOCUSED FD CL C	C	LOAD	OPEN	1600	1000	2500
SICWX	TOUCHSTONE	TOUCHSTONE LARGE CAP FOCUSED FD CL Y	Y	NO LOAD	OPEN	1600	2500	2500
SCRLX	TOUCHSTONE	TOUCHSTONE LARGE CAP FOCUSED INSTL	INSTITUTIONAL	NO LOAD	OPEN	1600	500000	500000
TSRLX	TOUCHSTONE	TOUCHSTONE LARGE CAP FOCUSED R6	R6	NO LOAD	OPEN	1600	0	0
TSAGX	TOUCHSTONE	TOUCHSTONE LARGE CO GWTH CL A	A	LOAD	OPEN	1600	100	100
DSMLX	TOUCHSTONE	TOUCHSTONE LARGE CO GWTH CL I	INSTITUTIONAL	NO LOAD	OPEN	1600	500000	500000
TLGYX	TOUCHSTONE	TOUCHSTONE LARGE CO GWTH CL Y	Y	NO LOAD	OPEN	1600	2500	2500
TMAPX	TOUCHSTONE	TOUCHSTONE MID CAP A	A	LOAD	OPEN	1600	1000	2500
TMCJX	TOUCHSTONE	TOUCHSTONE MID CAP FD C	C	LOAD	OPEN	1600	1000	2500
TMCPX	TOUCHSTONE	TOUCHSTONE MID CAP FD CL Y	Y	NO LOAD	OPEN	1600	2500	2500
TMCTX	TOUCHSTONE	TOUCHSTONE MID CAP FD CL Z	Z	NO LOAD	OPEN	1600	100	100
TEGYX	TOUCHSTONE	TOUCHSTONE MID CAP GROWTH FD CL Y	Y	NO LOAD	OPEN	1600	2500	2500
TEGIX	TOUCHSTONE	TOUCHSTONE MID CAP GROWTH FD INST	INSTITUTIONAL	NO LOAD	OPEN	1600	500000	500000
TEGAX	TOUCHSTONE	TOUCHSTONE MID CAP GROWTH FUND CLASS A	A	LOAD	OPEN	1600	1000	2500
TOECX	TOUCHSTONE	TOUCHSTONE MID CAP GROWTH FUND CLASS C	C	LOAD	OPEN	1600	1000	2500
TFGRX	TOUCHSTONE	TOUCHSTONE MID CAP GROWTH R6	R6	NO LOAD	OPEN	1600	100000	100000
TMPIX	TOUCHSTONE	TOUCHSTONE MID CAP INST	INSTITUTIONAL	NO LOAD	OPEN	1600	500000	500000
TMFCX	TOUCHSTONE	TOUCHSTONE MID CAP VALUE C	C	LOAD	OPEN	1600	1000	2500
TCVAX	TOUCHSTONE	TOUCHSTONE MID CAP VALUE FD CL A	A	LOAD	OPEN	1600	1000	2500

SYMBOL	FUND FAMILY	FUND DESCRIPTION	SHARE CLASS	FUND TYPE	STATUS	CUT- OFF	IRA MIN	NON-IRA MIN
TCVIX	TOUCHSTONE	TOUCHSTONE MID CAP VALUE FD CL INST	INSTITUTIONAL	NO LOAD	OPEN	1600	500000	500000
TCVYX	TOUCHSTONE	TOUCHSTONE MID CAP VALUE FD CL Y	Y	NO LOAD	OPEN	1600	2500	2500
TMPRX	TOUCHSTONE	TOUCHSTONE MIDCAP R6	R6	NO LOAD	OPEN	1600	100000	100000
TEQAX	TOUCHSTONE	TOUCHSTONE NON US ESG EQUITY A	A	LOAD	OPEN	1600	1000	2500
TEQCX	TOUCHSTONE	TOUCHSTONE NON US ESG EQUITY C	C	LOAD	OPEN	1600	1000	2500
TROCX	TOUCHSTONE	TOUCHSTONE NON US ESG EQUITY I	INSTITUTIONAL	NO LOAD	OPEN	1600	500000	500000
TIQIX	TOUCHSTONE	TOUCHSTONE NON US ESG EQUITY Y	Y	NO LOAD	OPEN	1600	2500	2500
TPYAX	TOUCHSTONE	TOUCHSTONE SANDS CAP INTL GRWTH EQTY A	A	LOAD	OPEN	1600	1000	2500
TPYCX	TOUCHSTONE	TOUCHSTONE SANDS CAP INTL GRWTH EQTY C	C	LOAD	OPEN	1600	1000	2500
TPYYX	TOUCHSTONE	TOUCHSTONE SANDS CAP INTL GRWTH EQTY Y	Y	NO LOAD	OPEN	1600	2500	2500
TSMGX	TOUCHSTONE	TOUCHSTONE SANDS CAPITAL EMRG MKTS GWTH A	A	LOAD	OPEN	1600	100	100
TSEGX	TOUCHSTONE	TOUCHSTONE SANDS CAPITAL EMRG MKTS GWTH INST	INSTITUTIONAL	NO LOAD	OPEN	1600	500000	500000
TSEMX	TOUCHSTONE	TOUCHSTONE SANDS CAPITAL EMRG MKTS GWTH Y	Y	NO LOAD	OPEN	1600	2500	2500
TPYIX	TOUCHSTONE	TOUCHSTONE SANDS CAPITAL INTL GR EQ I	INSTITUTIONAL	NO LOAD	OPEN	1600	500000	500000
CFSIX	TOUCHSTONE	TOUCHSTONE SANDS CAPITAL SELECT GR FD CL Y	Y	NO LOAD	OPEN	1600	2500	2500
PTSGX	TOUCHSTONE	TOUCHSTONE SANDS CAPITAL SELECT GR FD CL Z	Z	NO LOAD	OPEN	1600	100	100
CISGX	TOUCHSTONE	TOUCHSTONE SANDS CAPITAL SELECT GR INSTL	INSTITUTIONAL	NO LOAD	OPEN	1600	500000	500000
TSNRX	TOUCHSTONE	TOUCHSTONE SANDS CAPITAL SELECT GR R6	R6	NO LOAD	OPEN	1600	100000	100000
TSNAX	TOUCHSTONE	TOUCHSTONE SANDS CAPITAL SLCT GWTH CL A	A	LOAD	OPEN	1600	1000	2500
TSNCX	TOUCHSTONE	TOUCHSTONE SANDS CAPITAL SLCT GWTH CL C	C	LOAD	OPEN	1600	1000	2500
TEGCX	TOUCHSTONE	TOUCHSTONE SANDS CPTL EMERG MKTS GR C	C	LOAD	OPEN	1600	1000	2500
TSRMX	TOUCHSTONE	TOUCHSTONE SANDS CPTL EMERG MKTS GR R6	R6	NO LOAD	REDEEM ONLY	1600	100000	100000
TSFAX	TOUCHSTONE	TOUCHSTONE SMALL CAP FD CL A	A	LOAD	OPEN	1600	1000	2500
TSFCX	TOUCHSTONE	TOUCHSTONE SMALL CAP FD CL C	C	LOAD	OPEN	1600	1000	2500
TSFIX	TOUCHSTONE	TOUCHSTONE SMALL CAP FD CL INST	INSTITUTIONAL	NO LOAD	OPEN	1600	500000	500000
TSFYX	TOUCHSTONE	TOUCHSTONE SMALL CAP FD CL Y	Y	NO LOAD	OPEN	1600	2500	2500
TVOAX	TOUCHSTONE	TOUCHSTONE SMALL CAP VALUE CL A	A	LOAD	OPEN	1600	1000	2500
TVOCX	TOUCHSTONE	TOUCHSTONE SMALL CAP VALUE CL C	C	LOAD	OPEN	1600	1000	2500
TVOIX	TOUCHSTONE	TOUCHSTONE SMALL CAP VALUE CL INST	INSTITUTIONAL	NO LOAD	OPEN	1600	500000	500000
TVOYX	TOUCHSTONE	TOUCHSTONE SMALL CAP VALUE CL Y	Y	NO LOAD	OPEN	1600	2500	2500
SAGWX	TOUCHSTONE	TOUCHSTONE SMALL CO FD A	A	LOAD	OPEN	1600	1000	2500
SSCOX	TOUCHSTONE	TOUCHSTONE SMALL CO FD C	C	LOAD	OPEN	1600	1000	2500
SSRRX	TOUCHSTONE	TOUCHSTONE SMALL CO FD R6	R6	NO LOAD	OPEN	1600	1000	2500
SIGWX	TOUCHSTONE	TOUCHSTONE SMALL CO FD Y	Y	NO LOAD	OPEN	1600	2500	2500
TICSX	TOUCHSTONE	TOUCHSTONE SMALL COMPANY INSTL	INSTITUTIONAL	NO LOAD	OPEN	1600	500000	500000
TQPIX	TOUCHSTONE	TOUCHSTONE STRATEGIC INCOME OPP INSTL	INSTITUTIONAL	NO LOAD	OPEN	1600	500000	500000
TQPAX	TOUCHSTONE	TOUCHSTONE STRATEGIC INCOME OPPORTUNITIES A	A	LOAD	OPEN	1600	1000	2500
TQPCX	TOUCHSTONE	TOUCHSTONE STRATEGIC INCOME OPPORTUNITIES C	C	LOAD	OPEN	1600	1000	2500
TQPYX	TOUCHSTONE	TOUCHSTONE STRATEGIC INCOME OPPORTUNITIES Y	Y	NO LOAD	OPEN	1600	2500	2500
TSDCX	TOUCHSTONE	TOUCHSTONE ULTRA SHORT DUR FIXED INCM C	C	LOAD	OPEN	1600	1000	2500
SSSGX	TOUCHSTONE	TOUCHSTONE ULTRA SHORT DUR FIXED INCM S	S	LOAD	OPEN	1600	1000	2500

SYMBOL	FUND FAMILY	FUND DESCRIPTION	SHARE CLASS	FUND TYPE	STATUS	CUT- OFF	IRA MIN	NON-IRA MIN
TSDIX	TOUCHSTONE	TOUCHSTONE ULTRA SHORT DURATION FIX INCM INST	INSTITUTIONAL	NO LOAD	OPEN	1600	500000	500000
TSDOX	TOUCHSTONE	TOUCHSTONE ULTRA SHORT DURATION FIXED INCM FD CL Z	Z	NO LOAD	OPEN	1600	100	100
TSDAX	TOUCHSTONE	TOUCHSTONE ULTRA SHT DUR FIXED INC CL A	A	LOAD	OPEN	1600	1000	2500
TSYYX	TOUCHSTONE	TOUCHSTONE ULTRA SHT DUR FIXED INC CL Y	Y	NO LOAD	OPEN	1600	2500	2500
TVLAX	TOUCHSTONE	TOUCHSTONE VALUE FD CL A	A	LOAD	OPEN	1600	1000	2500
TVLCX	TOUCHSTONE	TOUCHSTONE VALUE FD CL C	C	LOAD	OPEN	1600	1000	2500
TVLYX	TOUCHSTONE	TOUCHSTONE VALUE FD CL Y	Y	NO LOAD	OPEN	1600	2500	2500
TVLIX	TOUCHSTONE	TOUCHSTONE VALUE FD INST	INSTITUTIONAL	NO LOAD	OPEN	1600	500000	500000
TDVFX	TOWLE FUNDS	TOWLE DEEP VALUE	NO LOAD	NO LOAD	OPEN	1600	2500	5000
TACIX	TRANSAMERICA	TRANSAMERICA ASSET ALLOC CONSRV PORT I	I	NO LOAD	OPEN	1600	0	0
TAGIX	TRANSAMERICA	TRANSAMERICA ASSET ALLOC GROWTH PORT I	I	NO LOAD	OPEN	1600	0	0
TMGIX	TRANSAMERICA	TRANSAMERICA ASSET ALLOC MOD GWTH PORT I	I	NO LOAD	OPEN	1600	0	0
IMOLX	TRANSAMERICA	TRANSAMERICA ASSET ALLOC MODERATE C	C	LOAD	OPEN	1600	1000	1000
TMMIX	TRANSAMERICA	TRANSAMERICA ASSET ALLOC MODERATE PORT I	I	NO LOAD	OPEN	1600	0	0
ICLLX	TRANSAMERICA	TRANSAMERICA ASSET ALLOCATION CONSERVATIVE PORT CL C	C	LOAD	OPEN	1600	1000	1000
ICLAX	TRANSAMERICA	TRANSAMERICA ASSET ALLOCATION CONSERVATIVE PORTFO A	A	LOAD	OPEN	1600	1000	1000
IAAAX	TRANSAMERICA	TRANSAMERICA ASSET ALLOCATION GROWTH PORT CL A	A	LOAD	OPEN	1600	1000	1000
IAALX	TRANSAMERICA	TRANSAMERICA ASSET ALLOCATION GROWTH PORT CL C	C	LOAD	OPEN	1600	1000	1000
IGWRX	TRANSAMERICA	TRANSAMERICA ASSET ALLOCATION GROWTH R	R	NO LOAD	REDEEM ONLY	1600	0	0
IMLLX	TRANSAMERICA	TRANSAMERICA ASSET ALLOCATION MODERATE GROWTH PC	C	LOAD	OPEN	1600	1000	1000
IMOAX	TRANSAMERICA	TRANSAMERICA ASSET ALLOCATIONMODERATE PORT A	A	LOAD	OPEN	1600	1000	1000
IDITX	TRANSAMERICA	TRANSAMERICA BOND FD CL A	A	LOAD	OPEN	1600	1000	1000
IFLLX	TRANSAMERICA	TRANSAMERICA BOND FD CL C	C	LOAD	OPEN	1600	1000	1000
TFXIX	TRANSAMERICA	TRANSAMERICA BOND FD CL I	I	NO LOAD	OPEN	1600	0	0
TAFLX	TRANSAMERICA	TRANSAMERICA BOND R6	R6	NO LOAD	REDEEM ONLY	1600	100000	100000
IALAX	TRANSAMERICA	TRANSAMERICA CAPITAL GROWTH CL A	A	LOAD	OPEN	1600	1000	1000
ILLX	TRANSAMERICA	TRANSAMERICA CAPITAL GROWTH CL C	C	LOAD	OPEN	1600	1000	1000
TFOIX	TRANSAMERICA	TRANSAMERICA CAPITAL GROWTH CL I	I	NO LOAD	OPEN	1600	0	0
TABGX	TRANSAMERICA	TRANSAMERICA CORE BOND I	I	NO LOAD	OPEN	1600	1000000	1000000
EMTAX	TRANSAMERICA	TRANSAMERICA EMRG MKTS DBT A	A	LOAD	OPEN	1600	1000	1000
EMTCX	TRANSAMERICA	TRANSAMERICA EMRG MKTS DEBT FD CL C	C	LOAD	OPEN	1600	1000	1000
EMTIX	TRANSAMERICA	TRANSAMERICA EMRG MKTS DEBT FD CL I	I	NO LOAD	OPEN	1600	0	0
TMLAX	TRANSAMERICA	TRANSAMERICA ENERGY INFRASTRUCTURE A	A	LOAD	OPEN	1600	1000	1000
TMCLX	TRANSAMERICA	TRANSAMERICA ENERGY INFRASTRUCTURE C	C	LOAD	OPEN	1600	1000	1000
TMLPX	TRANSAMERICA	TRANSAMERICA ENERGY INFRASTRUCTURE I	I	NO LOAD	OPEN	1600	0	0
TFLAX	TRANSAMERICA	TRANSAMERICA FLOATING RATE A	A	LOAD	OPEN	1600	1000	1000
TFLCX	TRANSAMERICA	TRANSAMERICA FLOATING RATE C	C	LOAD	OPEN	1600	1000	1000
TFLIX	TRANSAMERICA	TRANSAMERICA FLOATING RATE I	I	NO LOAD	OPEN	1600	0	0
IATXX	TRANSAMERICA	TRANSAMERICA GOVT MONEY MKT FD CL A	A	LOAD	SUBS ONLY	1600	1000	1000
IMLXX	TRANSAMERICA	TRANSAMERICA GOVT MONEY MKT FD CL C	C	LOAD	OPEN	1600	1000	1000
IHIYX	TRANSAMERICA	TRANSAMERICA HIGH YIELD BD FD CL A	A	LOAD	OPEN	1600	1000	1000

SYMBOL	FUND FAMILY	FUND DESCRIPTION	SHARE CLASS	FUND TYPE	STATUS	CUT-OFF	IRA MIN	NON-IRA MIN
INCLX	TRANSAMERICA	TRANSAMERICA HIGH YIELD BD FD CL C	C	LOAD	OPEN	1600	1000	1000
TDHIX	TRANSAMERICA	TRANSAMERICA HIGH YIELD BD FD CL I	I	NO LOAD	OPEN	1600	0	0
TAHRX	TRANSAMERICA	TRANSAMERICA HIGH YIELD BOND R	R	NO LOAD	REDEEM ONLY	1600	0	0
TAHBX	TRANSAMERICA	TRANSAMERICA HIGH YIELD BOND R6	R6	NO LOAD	REDEEM ONLY	1600	100000	100000
TAJEX	TRANSAMERICA	TRANSAMERICA HIGH YIELD ESG I	I	NO LOAD	OPEN	1600	0	0
THAYX	TRANSAMERICA	TRANSAMERICA HIGH YIELD MUNI A	A	LOAD	OPEN	1600	1000	1000
THCYX	TRANSAMERICA	TRANSAMERICA HIGH YIELD MUNI C	C	LOAD	OPEN	1600	1000	1000
THYIX	TRANSAMERICA	TRANSAMERICA HIGH YIELD MUNI I	I	NO LOAD	OPEN	1600	0	0
TIOAX	TRANSAMERICA	TRANSAMERICA INFLATION OPPTY CL A	A	LOAD	OPEN	1600	1000	1000
ITIOX	TRANSAMERICA	TRANSAMERICA INFLATION OPPTY CL I	I	NO LOAD	OPEN	1600	0	0
TMBRX	TRANSAMERICA	TRANSAMERICA INTERMEDIATE BOND R	R	NO LOAD	REDEEM ONLY	1600	0	0
TAMUX	TRANSAMERICA	TRANSAMERICA INTERMEDIATE MUNI A	A	LOAD	OPEN	1600	1000	1000
TCMUX	TRANSAMERICA	TRANSAMERICA INTERMEDIATE MUNI C	C	LOAD	OPEN	1600	1000	1000
TIMUX	TRANSAMERICA	TRANSAMERICA INTERMEDIATE MUNI I	I	NO LOAD	OPEN	1600	0	0
TRWRX	TRANSAMERICA	TRANSAMERICA INTERNATIONAL EQUITY R	R	NO LOAD	REDEEM ONLY	1600	0	0
TAINX	TRANSAMERICA	TRANSAMERICA INTERNATIONAL EQUITY R6	R6	NO LOAD	REDEEM ONLY	1600	100000	100000
TIHBX	TRANSAMERICA	TRANSAMERICA INTERNATIONAL STOCK I	I	NO LOAD	OPEN	1600	0	0
TRWAX	TRANSAMERICA	TRANSAMERICA INTL EQTY CL A	A	LOAD	OPEN	1600	1000	1000
TSWIX	TRANSAMERICA	TRANSAMERICA INTL EQTY CL I	I	NO LOAD	OPEN	1600	0	0
TRWCX	TRANSAMERICA	TRANSAMERICA INTL EQTY FD CL C	C	LOAD	OPEN	1600	1000	1000
TRWIX	TRANSAMERICA	TRANSAMERICA INTL EQTY FD CL I2	I2	NO LOAD	REDEEM ONLY	1600	0	0
TISVX	TRANSAMERICA	TRANSAMERICA INTL SM CAP VALUE I	I	NO LOAD	OPEN	1600	0	0
TIHAX	TRANSAMERICA	TRANSAMERICA INTL STOCK FD CL A	A	LOAD	OPEN	1600	1000	1000
TWQAX	TRANSAMERICA	TRANSAMERICA LARGE CAP VALUE FD CL A	A	LOAD	OPEN	1600	1000	1000
TWQCX	TRANSAMERICA	TRANSAMERICA LARGE CAP VALUE FD CL C	C	LOAD	OPEN	1600	1000	1000
TWQIX	TRANSAMERICA	TRANSAMERICA LARGE CAP VALUE FD CL I	I	NO LOAD	OPEN	1600	0	0
TLARX	TRANSAMERICA	TRANSAMERICA LARGE CORE R	R	NO LOAD	REDEEM ONLY	1600	0	0
TGWRX	TRANSAMERICA	TRANSAMERICA LARGE GROWTH R	R	NO LOAD	REDEEM ONLY	1600	0	0
TLORX	TRANSAMERICA	TRANSAMERICA LARGE VALUE OPPTS R	R	NO LOAD	REDEEM ONLY	1600	0	0
MCGAX	TRANSAMERICA	TRANSAMERICA MID CAP GROWTH A	A	LOAD	OPEN	1600	1000	1000
MGTCX	TRANSAMERICA	TRANSAMERICA MID CAP GROWTH C	C	LOAD	OPEN	1600	1000	1000
TMIRX	TRANSAMERICA	TRANSAMERICA MID CAP GROWTH R	R	NO LOAD	REDEEM ONLY	1600	0	0
TOTRX	TRANSAMERICA	TRANSAMERICA MID CAP VALUE OPPTS R	R	NO LOAD	REDEEM ONLY	1600	0	0
MCVAX	TRANSAMERICA	TRANSAMERICA MID CAP VALUE OPPTY A	A	LOAD	OPEN	1600	1000	1000
MCVCX	TRANSAMERICA	TRANSAMERICA MID CAP VALUE OPPTY C	C	LOAD	OPEN	1600	1000	1000
MVTIX	TRANSAMERICA	TRANSAMERICA MID CAP VALUE OPPTY I	I	NO LOAD	OPEN	1600	0	0
MVTRX	TRANSAMERICA	TRANSAMERICA MID CAP VALUE OPPTY R6	R6	NO LOAD	REDEEM ONLY	1600	0	0
IMLAX	TRANSAMERICA	TRANSAMERICA MOD AGGRESSIVE ASSET ALLOCATION CL A	A	LOAD	OPEN	1600	1000	1000
TASHX	TRANSAMERICA	TRANSAMERICA MULTIASSET INCOME FD CL A	A	LOAD	OPEN	1600	1000	1000
TCSHX	TRANSAMERICA	TRANSAMERICA MULTIASSET INCOME FD CL C	C	LOAD	OPEN	1600	1000	1000
TSHIX	TRANSAMERICA	TRANSAMERICA MULTIASSET INCOME FD CL I	I	NO LOAD	OPEN	1600	0	0

SYMBOL	FUND FAMILY	FUND DESCRIPTION	SHARE CLASS	FUND TYPE	STATUS	CUT- OFF	IRA MIN	NON-IRA MIN
IBALX	TRANSAMERICA	TRANSAMERICA MULTIMANAGED BALANCED CL A	A	LOAD	OPEN	1600	1000	1000
IBLLX	TRANSAMERICA	TRANSAMERICA MULTIMANAGED BALANCED CL C	C	LOAD	OPEN	1600	1000	1000
TBLIX	TRANSAMERICA	TRANSAMERICA MULTIMANAGED BALANCED CL I	I	NO LOAD	OPEN	1600	0	0
TASTX	TRANSAMERICA	TRANSAMERICA SHORT TERM BOND FD CL R6	R6	NO LOAD	REDEEM ONLY	1600	0	0
ITAAX	TRANSAMERICA	TRANSAMERICA SHORTTERM BOND CL A	A	LOAD	OPEN	1600	1000	1000
ITACX	TRANSAMERICA	TRANSAMERICA SHORTTERM BOND CL C	C	LOAD	OPEN	1600	1000	1000
TSTIX	TRANSAMERICA	TRANSAMERICA SHORTTERM BOND CL I	I	NO LOAD	OPEN	1600	0	0
ISCGX	TRANSAMERICA	TRANSAMERICA SMALL CAP GROWTH I	I	NO LOAD	OPEN	1600	0	0
TSPRX	TRANSAMERICA	TRANSAMERICA SMALL CAP GROWTH R	R	NO LOAD	REDEEM ONLY	1600	0	0
ASGTX	TRANSAMERICA	TRANSAMERICA SMALL CAP GWTH A	A	LOAD	OPEN	1600	1000	1000
CSGTX	TRANSAMERICA	TRANSAMERICA SMALL CAP GWTH C	C	LOAD	OPEN	1600	1000	1000
TSLAX	TRANSAMERICA	TRANSAMERICA SMALL CAP VALUE A	A	LOAD	OPEN	1600	1000	1000
TSLCX	TRANSAMERICA	TRANSAMERICA SMALL CAP VALUE C	C	LOAD	OPEN	1600	1000	1000
TRSLX	TRANSAMERICA	TRANSAMERICA SMALL CAP VALUE R	R	NO LOAD	REDEEM ONLY	1600	0	0
TASMX	TRANSAMERICA	TRANSAMERICA SMALL MID CAP VALUE R6	R6	NO LOAD	REDEEM ONLY	1600	0	0
IIVAX	TRANSAMERICA	TRANSAMERICA SMALLMID CAP VALUE CL A	A	LOAD	OPEN	1600	1000	1000
IIVLX	TRANSAMERICA	TRANSAMERICA SMALLMID CAP VALUE CL C	C	LOAD	OPEN	1600	1000	1000
TSVIX	TRANSAMERICA	TRANSAMERICA SMALLMID CAP VALUE CL I	I	NO LOAD	OPEN	1600	0	0
TSTFX	TRANSAMERICA	TRANSAMERICA STOCK INDEX FD CL R4	R4	NO LOAD	REDEEM ONLY	1600	0	0
TSTRX	TRANSAMERICA	TRANSAMERICA STOCK INDEX R	R	NO LOAD	REDEEM ONLY	1600	0	0
TAPKX	TRANSAMERICA	TRANSAMERICA SUSTAINABLE BOND I	I	NO LOAD	SUBS ONLY	1600	0	0
TDFAX	TRANSAMERICA	TRANSAMERICA SUSTAINABLE EQUITY INC A	A	LOAD	OPEN	1600	1000	1000
TDFCX	TRANSAMERICA	TRANSAMERICA SUSTAINABLE EQUITY INC C	C	LOAD	OPEN	1600	1000	1000
TDFIX	TRANSAMERICA	TRANSAMERICA SUSTAINABLE EQUITY INC I	I	NO LOAD	OPEN	1600	0	0
TUNIX	TRANSAMERICA	TRANSAMERICA UNCONSTRAINED BOND I	I	NO LOAD	OPEN	1600	0	0
TWMSZ	TRANSAMERICA	TRANSAMERICA US GROWTH CL I2	I2	NO LOAD	SUBS ONLY	1600	0	0
TADAX	TRANSAMERICA	TRANSAMERICA US GROWTH FD CL A	A	LOAD	OPEN	1600	1000	1000
TADCX	TRANSAMERICA	TRANSAMERICA US GROWTH FD CL C	C	LOAD	OPEN	1600	1000	1000
TDEIX	TRANSAMERICA	TRANSAMERICA US GROWTH FD CL I	I	NO LOAD	OPEN	1600	0	0
TWMTX	TRANSAMERICA	TRANSAMERICA US GROWTH FD CL T	T	LOAD	OPEN	1600	1000	1000
FOBAX	TRIBUTARY	TRIBUTARY BALANCED FD INST CL	INSTITUTIONAL	NO LOAD	SUBS ONLY	1600	100	100
FOBPX	TRIBUTARY	TRIBUTARY BALANCED INSTL PLUS	INST PLUS	NO LOAD	OPEN	1600	0	0
FOIPX	TRIBUTARY	TRIBUTARY INCOME FD INST PLUS	INST PLUS	NO LOAD	OPEN	1600	0	0
FONPX	TRIBUTARY	TRIBUTARY NE TAX FREE INSTL PLUS	INST PLUS	NO LOAD	OPEN	1600	0	0
FOSPX	TRIBUTARY	TRIBUTARY SHORT INTERM BOND INST PLUS	INST PLUS	NO LOAD	OPEN	1600	0	0
FOSIX	TRIBUTARY	TRIBUTARY SHRT INTERM BOND INSTL	INSTITUTIONAL	NO LOAD	SUBS ONLY	1600	0	0
FOSCX	TRIBUTARY	TRIBUTARY SMALL CO INSTL	INSTITUTIONAL	NO LOAD	OPEN	1600	100	100
FOSBX	TRIBUTARY	TRIBUTARY SMALL CO INSTL PLUS	INST PLUS	NO LOAD	OPEN	1600	0	0
FSMBX	TRIBUTARY	TRIBUTARY SMALL MID CAP INST PLUS	INST PLUS	NO LOAD	OPEN	1600	0	0
FSMCX	TRIBUTARY	TRIBUTARY SMALLMID CAP INSTITUTIONAL	INSTITUTIONAL	NO LOAD	SUBS ONLY	1600	0	0
FARIX	TRUST FOR ADVISED PORTFOLIOS	FULCRUM DIVERSIFIED ABSOLUTE RETURN FD INSTL CL	INSTITUTIONAL	NO LOAD	OPEN	1600	0	0

SYMBOL	FUND FAMILY	FUND DESCRIPTION	SHARE CLASS	FUND TYPE	STATUS	CUT- OFF	IRA MIN	NON-IRA MIN
FARYX	TRUST FOR ADVISED PORTFOLIOS	FULCRUM DIVERSIFIED ABSOLUTE RETURN FD SUPER INSTL C	SUPER INSTITUTIONAL	NO LOAD	OPEN	1600	0	0
IQDNX	TRUST FOR ADVISED PORTFOLIOS	INFINITY Q DIVERSIFIED ALPHA INST	INSTITUTIONAL	NO LOAD	CLOSED	1600	1000000	1000000
LMORX	TRUST FOR ADVISED PORTFOLIOS	PATIENT OPPORTUNITY TR CL R	R	NO LOAD	REDEEM ONLY	1600	0	0
RCIRX	TRUST FOR ADVISED PORTFOLIOS	REGAN TOTAL RETURN INCOME FD INSTL CL	INSTITUTIONAL	NO LOAD	OPEN	1600	0	0
RCTRX	TRUST FOR ADVISED PORTFOLIOS	REGAN TOTAL RETURN INCOME FD INVS CL	INVESTOR	NO LOAD	OPEN	1600	0	0
CBLDX	TRUST FOR PROFESSIONAL MGRS	CROSSINGBRIDGE LOW DUR HIGH YIELD INST	I	NO LOAD	OPEN	1600	5000	5000
CBRDY	TRUST FOR PROFESSIONAL MGRS	CROSSINGBRIDGE RESPONSIBLE CREDIT INST	I	NO LOAD	OPEN	1600	5000	5000
CBUDX	TRUST FOR PROFESSIONAL MGRS	CROSSINGBRIDGE ULTRASHORT DUR INSTL	INSTITUTIONAL	NO LOAD	OPEN	1600	5000	5000
DRDAX	TRUST FOR PROFESSIONAL MGRS	DEARBORN PARTNERS RISING DIV A	A	LOAD	OPEN	1600	5000	5000
DRDCX	TRUST FOR PROFESSIONAL MGRS	DEARBORN PARTNERS RISING DIV C	C	LOAD	OPEN	1600	5000	5000
DRDIX	TRUST FOR PROFESSIONAL MGRS	DEARBORN PARTNERS RISING DIV I	I	NO LOAD	OPEN	1600	500000	500000
PTCRX	TRUST FOR PROFESSIONAL MGRS	PERFORMANCE TRUST CREDIT INSTITUTIONAL	INSTITUTIONAL	NO LOAD	OPEN	1600	2500	2500
PTRMX	TRUST FOR PROFESSIONAL MGRS	PERFORMANCE TRUST MUNI BD FD CL A	A	LOAD	OPEN	1600	100	100
PTIMX	TRUST FOR PROFESSIONAL MGRS	PERFORMANCE TRUST MUNI BD INST	I	NO LOAD	OPEN	1600	2500	2500
PTAOX	TRUST FOR PROFESSIONAL MGRS	PERFORMANCE TRUST STRAT BD FD A	A	LOAD	OPEN	1600	1000	1000
PTCOX	TRUST FOR PROFESSIONAL MGRS	PERFORMANCE TRUST STRAT BD FD C	C	LOAD	OPEN	1600	1000	1000
PTIAX	TRUST FOR PROFESSIONAL MGRS	PERFORMANCE TRUST STRAT BD FD INST	I	NO LOAD	OPEN	1600	100	100
RSIIX	TRUST FOR PROFESSIONAL MGRS	RIVERPARK STRATEGIC INCOME INSTITUTIONAL	INSTITUTIONAL	NO LOAD	OPEN	1600	5000	5000
RSIVX	TRUST FOR PROFESSIONAL MGRS	RIVERPARK STRATEGIC INCOME RETAIL	RETAIL	NO LOAD	OPEN	1600	2500	2500
RKCIX	TRUST FOR PROFESSIONAL MGRS	ROCKEFELLER CLIMATE SOLUTIONS INSTL	INSTITUTIONAL	NO LOAD	OPEN	1600	0	0
TBGVX	TWEEDY	TWEEDY BROWNE INT VALUE	NO LOAD	NO LOAD	OPEN	1600	500	2500
TBCUX	TWEEDY	TWEEDY BROWNE INT VALUE II	NO LOAD	NO LOAD	OPEN	1600	500	2500
TWEBX	TWEEDY	TWEEDY BROWNE VALUE FD	NO LOAD	NO LOAD	OPEN	1600	500	2500
TBHDX	TWEEDY	TWEEDY BROWNE WORLDWIDE HIGH DIV VALUE	NO LOAD	NO LOAD	OPEN	1600	500	2500
AFLEX	TWO ROADS SHARED TRUST	ANFIELD UNIVERSAL FIXED INCM FD CL A	A	LOAD	OPEN	1600	2500	2500
AFLKX	TWO ROADS SHARED TRUST	ANFIELD UNIVERSAL FIXED INCM FD CL C	C	LOAD	OPEN	1600	2500	2500
AFLIX	TWO ROADS SHARED TRUST	ANFIELD UNIVERSAL FIXED INCM FD CL I	INSTITUTIONAL	NO LOAD	OPEN	1600	100000	100000
HTDAX	TWO ROADS SHARED TRUST	HANLON TACTICAL DIV AND MOMENTUM A	A	LOAD	OPEN	1600	2500	2500
HTDIX	TWO ROADS SHARED TRUST	HANLON TACTICAL DIV AND MOMENTUM I	INSTITUTIONAL	NO LOAD	OPEN	1600	100000	100000
HOBAX	TWO ROADS SHARED TRUST	HOLBROOK INCOME A	A	LOAD	OPEN	1600	2500	2500
HOBIX	TWO ROADS SHARED TRUST	HOLBROOK INCOME I	INSTITUTIONAL	NO LOAD	OPEN	1600	0	0
HOBEX	TWO ROADS SHARED TRUST	HOLBROOK INCOME INV	INVESTOR	NO LOAD	OPEN	1600	100	100
HOSAX	TWO ROADS SHARED TRUST	HOLBROOK STRUCTURED INCOME A	A	LOAD	OPEN	1600	2500	2500
HOSIX	TWO ROADS SHARED TRUST	HOLBROOK STRUCTURED INCOME I	I	NO LOAD	OPEN	1600	0	0
HOSTX	TWO ROADS SHARED TRUST	HOLBROOK STRUCTURED INCOME INVESTOR	INVESTOR	NO LOAD	OPEN	1600	0	0
HSCVX	TWO ROADS SHARED TRUST	HUNTER SMALL CAP VALUE FUND CL I	I	NO LOAD	OPEN	1600	100000	100000
RMLPX	TWO ROADS SHARED TRUST	RECURRENT MLP & INFRASTRUCTURE FD I	INSTITUTIONAL	NO LOAD	OPEN	1600	2500	2500
RWIIX	TWO ROADS SHARED TRUST	REDWOOD ALPHAFACOR TACTICAL INTL FD I	INSTITUTIONAL	NO LOAD	OPEN	1600	0	0
RWINX	TWO ROADS SHARED TRUST	REDWOOD ALPHAFACOR TACTICAL INTL N	N	NO LOAD	OPEN	1600	100	100
RWMNX	TWO ROADS SHARED TRUST	REDWOOD MANAGED MUNICIPAL INCOME N	N	NO LOAD	OPEN	1600	100	100
RWMIX	TWO ROADS SHARED TRUST	REDWOOD MGD MUNI INCM INST	INSTITUTIONAL	NO LOAD	OPEN	1600	0	0

SYMBOL	FUND FAMILY	FUND DESCRIPTION	SHARE CLASS	FUND TYPE	STATUS	CUT-OFF	IRA MIN	NON-IRA MIN
RWDIX	TWO ROADS SHARED TRUST	REDWOOD MGD VOLATILITY FD I	I	NO LOAD	OPEN	1600	0	0
RWDNX	TWO ROADS SHARED TRUST	REDWOOD MGD VOLATILITY FD N	NO LOAD	NO LOAD	OPEN	1600	0	0
RWDYX	TWO ROADS SHARED TRUST	REDWOOD MGD VOLATILITY FD Y	Y	NO LOAD	OPEN	1600	0	0
RWSIX	TWO ROADS SHARED TRUST	REDWOOD SYSTEMATIC MACRO TREND SMART INST	INSTITUTIONAL	NO LOAD	OPEN	1600	0	0
RWSNX	TWO ROADS SHARED TRUST	REDWOOD SYSTEMATIC MACRO TRND SMART N	N	NO LOAD	OPEN	1600	100	100
PASYX	UBS	PACE ALTERNATIVE STRAT INVESTMENTS Y	Y	NO LOAD	REDEEM ONLY	1600	5000000	5000000
PASPX	UBS	PACE ALTERNATIVE STRAT INVT P	P	NO LOAD	REDEEM ONLY	1600	5000000	5000000
PREQX	UBS	PACE GLBL REAL ESTATE SEC INVT P	P	NO LOAD	REDEEM ONLY	1600	5000000	5000000
PWFAX	UBS	PACE GLOBAL FIXED INCOME INVT CL A	A	LOAD	REDEEM ONLY	1600	1000	1000
PCGLX	UBS	PACE GLOBAL FIXED INCOME INVT CL P	P	NO LOAD	REDEEM ONLY	1600	5000000	5000000
PHIAX	UBS	PACE HIGH YIELD INVT A	A	LOAD	REDEEM ONLY	1600	1000	1000
PHYPX	UBS	PACE HIGH YIELD INVT P	P	NO LOAD	REDEEM ONLY	1600	5000000	5000000
PIFAX	UBS	PACE INTERM FIXED INCM INVT CL A	A	LOAD	REDEEM ONLY	1600	1000	1000
PCIFX	UBS	PACE INTERM FIXED INCM INVT CL P	P	NO LOAD	REDEEM ONLY	1600	5000000	5000000
PWIYX	UBS	PACE INTERNATIONAL EQUITY Y	Y	NO LOAD	REDEEM ONLY	1600	999999	999999
PWEAX	UBS	PACE INTL EMRG MKTS EQTY A	A	LOAD	REDEEM ONLY	1600	1000	1000
PCEMX	UBS	PACE INTL EMRG MKTS EQTY INVT P	P	NO LOAD	REDEEM ONLY	1600	5000000	5000000
PWEYX	UBS	PACE INTL EMRG MKTS EQUITY FD CL Y	Y	NO LOAD	REDEEM ONLY	1600	5000000	5000000
PWGAX	UBS	PACE INTL EQUITY INVT CL A	A	LOAD	REDEEM ONLY	1600	250	1000
PCIEX	UBS	PACE INTL EQUITY INVT CL P	P	NO LOAD	REDEEM ONLY	1600	5000000	5000000
PLAAX	UBS	PACE LARGE CO GWTH EQTY INVT CL A	A	LOAD	REDEEM ONLY	1600	1000	1000
PCLCX	UBS	PACE LARGE CO GWTH EQTY INVT CL P	P	NO LOAD	REDEEM ONLY	1600	5000000	5000000
PCPAX	UBS	PACE LARGE CO VALUE EQTY INVT CL A	A	LOAD	REDEEM ONLY	1600	1000	1000
PCLVX	UBS	PACE LARGE CO VALUE EQTY INVT CL P	P	NO LOAD	REDEEM ONLY	1600	5000000	5000000
PFXAX	UBS	PACE MORTGAGE BACKED SECS FIX INCM A	A	LOAD	REDEEM ONLY	1600	1000	1000
PCGTX	UBS	PACE MORTGAGE BACKED SECS FIX INCM P	P	NO LOAD	REDEEM ONLY	1600	5000000	5000000
PMUAX	UBS	PACE MUNI FIXED INCOME INVT A	A	LOAD	REDEEM ONLY	1600	1000	1000
PCMNX	UBS	PACE MUNI FIXED INCOME INVT P	P	NO LOAD	REDEEM ONLY	1600	5000000	5000000
PQUAX	UBS	PACE SMALL MEDIUM CO GWTH EQTY INVT A	A	LOAD	REDEEM ONLY	1600	250	1000
PCSGX	UBS	PACE SMALL MEDIUM CO GWTH EQTY INVT P	P	NO LOAD	REDEEM ONLY	1600	5000000	5000000
PEVAX	UBS	PACE SMALL MEDIUM CO VAL EQTY INVT A	A	LOAD	REDEEM ONLY	1600	1000	1000
PCSVX	UBS	PACE SMALL MEDIUM CO VAL EQTY INVT P	P	NO LOAD	REDEEM ONLY	1600	5000000	5000000
PBNAX	UBS	PACE STRAT FIXED INCM INVT CL A	A	LOAD	REDEEM ONLY	1600	1000	1000
PCSIX	UBS	PACE STRAT FIXED INCM INVT CL P	P	NO LOAD	REDEEM ONLY	1600	5000000	5000000
PSFYX	UBS	PACE STRATEGIC FIXED INCOME Y	Y	NO LOAD	REDEEM ONLY	1600	999999	999999
BPGLX	UBS	UBS GLOBAL ALLOCATION CL P	P	NO LOAD	REDEEM ONLY	1600	5000000	5000000
BNGLX	UBS	UBS GLOBAL ALLOCATION FD CL A	A	LOAD	REDEEM ONLY	1600	1000	1000
BNIEX	UBS	UBS INTL SUSTAINABLE EQTY A	A	LOAD	REDEEM ONLY	1600	1000	1000
BNUEX	UBS	UBS INTL SUSTAINABLE EQTY P	P	NO LOAD	REDEEM ONLY	1600	5000000	5000000
UTBPX	UBS	UBS MULTI INCOME BOND P	P	NO LOAD	REDEEM ONLY	1600	5000000	5000000
BNSCX	UBS	UBS U.S. SMALL CAP GROWTH CL A	A	LOAD	REDEEM ONLY	1600	1000	1000

SYMBOL	FUND FAMILY	FUND DESCRIPTION	SHARE CLASS	FUND TYPE	STATUS	CUT- OFF	IRA MIN	NON-IRA MIN
BISCX	UBS	UBS U.S. SMALL CAP GROWTH FUND CL P	P	NO LOAD	REDEEM ONLY	1600	5000000	5000000
USIAX	UBS	UBS ULTRA SHORT INCOME A	A	LOAD WAIVED	REDEEM ONLY	1600	0	0
PWTAX	UBS	UBS US ALLOCATION FUND CL A	A	LOAD	REDEEM ONLY	1600	1000	1000
PWTYX	UBS	UBS US ALLOCATION FUND CL P	P	NO LOAD	REDEEM ONLY	1600	5000000	5000000
CAPOX	ULTIMUS MANAGERS TRUST	ABSOLUTE CAPITAL OPPORTUNITIES INST	INSTITUTIONAL	NO LOAD	OPEN	1600	25000	25000
ARBIX	ULTIMUS MANAGERS TRUST	ABSOLUTE CONVERTIBLE ARBITRAGE INST	INSTITUTIONAL	NO LOAD	OPEN	1600	25000	25000
ARBOX	ULTIMUS MANAGERS TRUST	ABSOLUTE CONVERTIBLE ARBITRAGE INV	INVESTOR	NO LOAD	OPEN	1600	100	100
ASFIX	ULTIMUS MANAGERS TRUST	ABSOLUTE STRATEGIES INST	INSTITUTIONAL	NO LOAD	OPEN	1600	25000	25000
BCGDY	ULTIMUS MANAGERS TRUST	BLUE CURRENT GBL DIV FD I	INSTITUTIONAL	NO LOAD	OPEN	1600	0	0
BLUIX	ULTIMUS MANAGERS TRUST	BLUEPRINT ADAPTIVE GROWTH ALLOCATION INST	INSTITUTIONAL	NO LOAD	OPEN	1600	5000	5000
INVTX	ULTIMUS MANAGERS TRUST	EVOLUTIONARY TREE INNOVATORS A	A	LOAD	OPEN	1600	100	100
INVNX	ULTIMUS MANAGERS TRUST	EVOLUTIONARY TREE INNOVATORS I	I	NO LOAD	OPEN	1600	50000	50000
FIKDX	ULTIMUS MANAGERS TRUST	KEMPNER MULTI CAP DEEP VALUE FD INST	INSTITUTIONAL	NO LOAD	OPEN	1600	0	0
LYRWX	ULTIMUS MANAGERS TRUST	LYRICAL INTERNATIONAL VALUE EQUITY INSTL	INSTITUTIONAL	NO LOAD	OPEN	1600	100000	100000
LYRNX	ULTIMUS MANAGERS TRUST	LYRICAL INTERNATIONAL VALUE EQUITY INV	INVESTOR	NO LOAD	OPEN	1600	100	100
LYRIX	ULTIMUS MANAGERS TRUST	LYRICAL US VALUE EQTY FD INST	INSTITUTIONAL	NO LOAD	OPEN	1600	100000	100000
LYRBX	ULTIMUS MANAGERS TRUST	LYRICAL US VALUE EQTY FD INV	INVESTOR	NO LOAD	OPEN	1600	100	100
MRFOX	ULTIMUS MANAGERS TRUST	MARSHFIELD CONCENTRATED OPPTY FD	INVESTOR	NO LOAD	OPEN	1600	1000	1000
MEFOX	ULTIMUS MANAGERS TRUST	MEEHAN FOCUS	NO LOAD	NO LOAD	OPEN	1600	2000	5000
NIAGX	ULTIMUS MANAGERS TRUST	NIA IMPACT SOLUTIONS	NO LOAD	NO LOAD	OPEN	1600	1000	1000
KIFYX	ULTIMUS MANAGERS TRUST	OPEN END MUTUAL FUND	INSTITUTIONAL	NO LOAD	OPEN	1600	100000	100000
QASOX	ULTIMUS MANAGERS TRUST	Q3 ALLSEASON SYSTEMATIC OPPORTUNITIES INST	INSTITUTIONAL	NO LOAD	OPEN	1600	0	0
QACTX	ULTIMUS MANAGERS TRUST	Q3 ALLWEATHER TACTICAL C	C	LOAD	OPEN	1600	1000	1000
QAITX	ULTIMUS MANAGERS TRUST	Q3 ALLWEATHER TACTICAL INSTL	INSTITUTIONAL	NO LOAD	OPEN	1600	0	0
WAVLX	ULTIMUS MANAGERS TRUST	WAVELENGTH FUND	NO LOAD	NO LOAD	OPEN	1600	10000	10000
WAYEX	ULTIMUS MANAGERS TRUST	WAYCROSS LONG SHORT EQUITY FD	NO LOAD	NO LOAD	OPEN	1600	5000	5000
KIFCX	ULTIMUS MANAGERS TRUST	WESTOOD SALIENT SELECT INCOME FUND C	C	LOAD	OPEN	1600	1000	1000
WMNAX	ULTIMUS MANAGERS TRUST	WESTWOOD ALTERNATIVE INCOME A	A	LOAD	OPEN	1600	100	100
WWACX	ULTIMUS MANAGERS TRUST	WESTWOOD ALTERNATIVE INCOME C	C	LOAD	OPEN	1600	1000	1000
WMNIX	ULTIMUS MANAGERS TRUST	WESTWOOD ALTERNATIVE INCOME INSTL	INSTITUTIONAL	NO LOAD	OPEN	1600	0	0
WMNUX	ULTIMUS MANAGERS TRUST	WESTWOOD ALTERNATIVE INCOME ULTRA	ULTRA	NO LOAD	REDEEM ONLY	1600	20000000	20000000
FTAGX	ULTIMUS MANAGERS TRUST	WESTWOOD BROADMARK TACTICAL GROWTH FD A	A	LOAD	OPEN	1600	1000	1000
FTGOX	ULTIMUS MANAGERS TRUST	WESTWOOD BROADMARK TACTICAL GROWTH FD C	C	LOAD	OPEN	1600	1000	1000
FTGWX	ULTIMUS MANAGERS TRUST	WESTWOOD BROADMARK TACTICAL GROWTH FD INST	INSTITUTIONAL	NO LOAD	OPEN	1600	0	0
SBTAX	ULTIMUS MANAGERS TRUST	WESTWOOD BROADMARK TACTICAL PLUS FD A	A	LOAD	OPEN	1600	1000	1000
BTPIX	ULTIMUS MANAGERS TRUST	WESTWOOD BROADMARK TACTICAL PLUS FD F	F	NO LOAD	SUBS ONLY	1600	0	0
SBTIX	ULTIMUS MANAGERS TRUST	WESTWOOD BROADMARK TACTICAL PLUS FD INST	INSTITUTIONAL	NO LOAD	OPEN	1600	0	0
WSDAX	ULTIMUS MANAGERS TRUST	WESTWOOD HIGH INCOME FD A	A	LOAD	OPEN	1600	100	100
WHGHX	ULTIMUS MANAGERS TRUST	WESTWOOD HIGH INCOME INSTL	INSTITUTIONAL	NO LOAD	OPEN	1600	0	0
WHGIX	ULTIMUS MANAGERS TRUST	WESTWOOD INCOME OPPORTUNITY INSTL	INSTITUTIONAL	NO LOAD	OPEN	1600	0	0
WHGOX	ULTIMUS MANAGERS TRUST	WESTWOOD INCOME OPPORTUNITY ULTRA	ULTRA	NO LOAD	REDEEM ONLY	1600	20000000	20000000

SYMBOL	FUND FAMILY	FUND DESCRIPTION	SHARE CLASS	FUND TYPE	STATUS	CUT- OFF	IRA MIN	NON-IRA MIN
WWICX	ULTIMUS MANAGERS TRUST	WESTWOOD INCOME OPPTY C	C	LOAD	OPEN	1600	1000	1000
WWIAX	ULTIMUS MANAGERS TRUST	WESTWOOD INCOME OPPTY FD A	A	LOAD	OPEN	1600	100	100
WQAIX	ULTIMUS MANAGERS TRUST	WESTWOOD QUALITY ALLCAP INSTITUTIONAL	INSTITUTIONAL	NO LOAD	OPEN	1600	0	0
WQAUX	ULTIMUS MANAGERS TRUST	WESTWOOD QUALITY ALLCAP ULTRA	ULTRA	NO LOAD	REDEEM ONLY	1600	20000000	20000000
WVMCX	ULTIMUS MANAGERS TRUST	WESTWOOD QUALITY MIDCAP INSTITUTIONAL	INSTITUTIONAL	NO LOAD	OPEN	1600	0	0
WHGAX	ULTIMUS MANAGERS TRUST	WESTWOOD QUALITY SMALLCAP FD A	A	LOAD	OPEN	1600	100	100
WHGCX	ULTIMUS MANAGERS TRUST	WESTWOOD QUALITY SMALLCAP FD C	C	LOAD	OPEN	1600	1000	1000
WWSYX	ULTIMUS MANAGERS TRUST	WESTWOOD QUALITY SMALLCAP FD ULTRA	ULTRA	NO LOAD	REDEEM ONLY	1600	20000000	20000000
WHGSX	ULTIMUS MANAGERS TRUST	WESTWOOD QUALITY SMALLCAP FUND INST	INSTITUTIONAL	NO LOAD	OPEN	1600	0	0
WHGMX	ULTIMUS MANAGERS TRUST	WESTWOOD QUALITY SMIDCAP FUND INST	INSTITUTIONAL	NO LOAD	OPEN	1600	0	0
WWSMX	ULTIMUS MANAGERS TRUST	WESTWOOD QUALITY SMIDCAP FUND ULTRA	ULTRA	NO LOAD	REDEEM ONLY	1600	20000000	20000000
WWLAX	ULTIMUS MANAGERS TRUST	WESTWOOD QUALITY VALUE FD A	A	LOAD	OPEN	1600	100	100
WHGLX	ULTIMUS MANAGERS TRUST	WESTWOOD QUALITY VALUE FUND INST	INSTITUTIONAL	NO LOAD	OPEN	1600	0	0
WHGQX	ULTIMUS MANAGERS TRUST	WESTWOOD QUALITY VALUE ULTRA	ULTRA	NO LOAD	REDEEM ONLY	1600	20000000	20000000
KIRAX	ULTIMUS MANAGERS TRUST	WESTWOOD SALIENT GLOBAL REAL ESTATE FD A	A	LOAD	OPEN	1600	1000	1000
KIRCX	ULTIMUS MANAGERS TRUST	WESTWOOD SALIENT GLOBAL REAL ESTATE FD C	C	LOAD	OPEN	1600	1000	1000
KIRYX	ULTIMUS MANAGERS TRUST	WESTWOOD SALIENT GLOBAL REAL ESTATE FD INST	INSTITUTIONAL	NO LOAD	OPEN	1600	0	0
SMAPX	ULTIMUS MANAGERS TRUST	WESTWOOD SALIENT MLP & ENERGY INFRASTRUCTURE FD A	A	LOAD	OPEN	1600	1000	1000
SMFPX	ULTIMUS MANAGERS TRUST	WESTWOOD SALIENT MLP & ENERGY INFRASTRUCTURE FD C	C	LOAD	OPEN	1600	1000	1000
SMLPX	ULTIMUS MANAGERS TRUST	WESTWOOD SALIENT MLP & ENERGY INFRASTRUCTURE FD INST	INSTITUTIONAL	NO LOAD	OPEN	1600	0	0
KIFAX	ULTIMUS MANAGERS TRUST	WESTWOOD SALIENT SELECT INCOME FUND A	A	LOAD	OPEN	1600	1000	1000
CPSIX	UNIFIED	CHANNEL SHORT DURATION INCOME	NO LOAD	NO LOAD	OPEN	1600	2500	2500
CDGIX	UNIFIED	CRAWFORD LARGE CAP DIVIDEND FD CL I	INSTITUTIONAL	NO LOAD	OPEN	1600	0	0
CMALX	UNIFIED	CRAWFORD MULTI ASSET INCM FD	NO LOAD	NO LOAD	OPEN	1600	10000	10000
CDOFX	UNIFIED	CRAWFORD SMALL CAP DIVIDEND FD CL I	INSTITUTIONAL	NO LOAD	OPEN	1600	0	0
IAFEX	UNIFIED	FISHER IIG ALL FRGN EQ ENV & SCL VAL	NO LOAD	NO LOAD	REDEEM ONLY	1600	100000	100000
ILESX	UNIFIED	FISHER IIG US LRG CP EQ ENV & SCL VAL	NO LOAD	NO LOAD	REDEEM ONLY	1600	100000	100000
IUSCX	UNIFIED	FISHER IIG US SM CAP EQ	NO LOAD	NO LOAD	REDEEM ONLY	1600	100000	100000
IMSCX	UNIFIED	IMS CAPITAL VALUE FD INST	INSTITUTIONAL	NO LOAD	OPEN	1600	100	100
IMSIX	UNIFIED	IMS STRAT INCM FD INST	INSTITUTIONAL	NO LOAD	OPEN	1600	0	0
REMIX	UNIFIED	STANDPOINT MULTI ASSET FUND INV	INVESTOR	NO LOAD	OPEN	1600	100	100
BLNDX	UNIFIED	STANDPOINT MULTI ASSET INSTITUTIONAL	INSTITUTIONAL	NO LOAD	OPEN	1600	0	0
UPUPX	UPRIGHT INVESTMENTS TRUST	UPRIGHT GWTH FD	NO LOAD	NO LOAD	OPEN	1600	2000	2000
USLUX	US GLOBAL INVESTORS	GLOBAL LUXURY GOODS FD	NO LOAD	NO LOAD	OPEN	1600	100	100
UNWPX	US GLOBAL INVESTORS	U.S. GLOBAL INVESTORS WORLD PRECIOUS MINERALS FUND	NO LOAD	NO LOAD	OPEN	1600	100	100
PSPFX	US GLOBAL INVESTORS	US GLOBAL INV GLOBAL RESOURCES FD INV	INVESTOR	NO LOAD	OPEN	1600	100	100
USERX	US GLOBAL INVESTORS	US GLOBAL INV GOLD PRECIOUS METALS INV	INVESTOR	NO LOAD	OPEN	1600	100	100
NEARX	US GLOBAL INVESTORS	US GLOBAL INV NEAR TERM TAX FREE FD INV	INVESTOR	NO LOAD	OPEN	1600	5000	5000
UGSDX	US GLOBAL INVESTORS	US GLOBAL INVS US GOVT ULTRASHRT BD	INVESTOR	NO LOAD	OPEN	1600	0	0
USQIX	USQ	USQ CORE REAL ESTATE I	SHARES OF BENEF	NO LOAD	OPEN	1600	0	0
VLAAX	VALUE LINE	VALUE LINE ASET ALLOCATION FUND INV	INVESTOR	NO LOAD	OPEN	1600	100	100

SYMBOL	FUND FAMILY	FUND DESCRIPTION	SHARE CLASS	FUND TYPE	STATUS	CUT- OFF	IRA MIN	NON-IRA MIN
VLAIX	VALUE LINE	VALUE LINE ASSET ALLOC FD INST	INSTITUTIONAL	NO LOAD	OPEN	1600	0	100000
VLIIX	VALUE LINE	VALUE LINE CAPITAL APPRECIATION FUND INST	INSTITUTIONAL	NO LOAD	OPEN	1600	0	100000
VALIX	VALUE LINE	VALUE LINE CAPITAL APPRECIATION FUND INV	INVESTOR	NO LOAD	OPEN	1600	100	100
VAGIX	VALUE LINE	VALUE LINE CORE BOND FD	NO LOAD	NO LOAD	OPEN	1600	100	100
VLLIX	VALUE LINE	VALUE LINE LARGER CO FOCUSED FD INST	INSTITUTIONAL	NO LOAD	OPEN	1600	0	100000
VALLX	VALUE LINE	VALUE LINE LARGER CO FOCUSED FD INV	INVESTOR	NO LOAD	OPEN	1600	100	100
VLMIX	VALUE LINE	VALUE LINE MID CAP FOCUSED FD I	INSTITUTIONAL	NO LOAD	OPEN	1600	0	100000
VLIFX	VALUE LINE	VALUE LINE MID CAP FOCUSED FD INV	INVESTOR	NO LOAD	OPEN	1600	100	100
VALSX	VALUE LINE	VALUE LINE SELECT GROWTH FD INV	INVESTOR	NO LOAD	OPEN	1600	100	100
VILSX	VALUE LINE	VALUE LINE SELECT GROWTH INSTITUTIONAL	INSTITUTIONAL	NO LOAD	OPEN	1600	0	100000
VLEIX	VALUE LINE	VALUE LINE SM CAP OPPTY FD INST	INSTITUTIONAL	NO LOAD	OPEN	1600	0	100000
VLEOX	VALUE LINE	VALUE LINE SM CAP OPPTY FD INV	INVESTOR	NO LOAD	OPEN	1600	100	100
BTIFX	VALUED ADVISERS	BELMONT THETA INCOME INST	INSTITUTIONAL	NO LOAD	OPEN	1600	5000	5000
ESGIX	VALUED ADVISERS	DANA EPIPHANY ESG EQTY INST	INSTITUTIONAL	NO LOAD	OPEN	1600	5000	5000
DSCIX	VALUED ADVISERS	DANA EPIPHANY ESG SMALL CAP EQUITY FD INST	INSTITUTIONAL	NO LOAD	OPEN	1600	5000	5000
DLCEX	VALUED ADVISERS	DANA LARGE CAP EQTY FD CL INV	INVESTOR	NO LOAD	OPEN	1600	100	100
DLCIX	VALUED ADVISERS	DANA LARGE CAP EQTY FD INST	INSTITUTIONAL	NO LOAD	OPEN	1600	1000000	1000000
SMIDX	VALUED ADVISERS	SMI DYNAMIC ALLOCATION FD	NO LOAD	NO LOAD	OPEN	1600	2500	2500
SMILX	VALUED ADVISERS	SMI MULTISTRATEGY FD	NO LOAD	NO LOAD	OPEN	1600	2500	2500
SMIFX	VALUED ADVISERS	SOUND MIND INVESTING FUND	NO LOAD	NO LOAD	OPEN	1600	2500	2500
BFSIX	VALUED ADVISERS	VALUED ADVISERS TR BFS INSTL CL	INSTITUTIONAL	NO LOAD	OPEN	1600	1000	1000
CMCAX	VAN ECK	VAN ECK CM COMMODITY INDEX FD A	A	LOAD	OPEN	1600	0	1000
COMIX	VAN ECK	VAN ECK CM COMMODITY INDEX FD I	I	NO LOAD	OPEN	1600	1000000	1000000
CMCYX	VAN ECK	VAN ECK CM COMMODITY INDEX FD Y	Y	NO LOAD	OPEN	1600	0	1000
EMBAX	VAN ECK	VAN ECK EMERGING MARKETS BD FD CL A	A	LOAD	OPEN	1600	0	100
EMBUX	VAN ECK	VAN ECK EMERGING MARKETS BD FD CL I	I	NO LOAD	OPEN	1600	1000000	1000000
EMBYX	VAN ECK	VAN ECK EMERGING MARKETS BD FD CL Y	Y	NO LOAD	OPEN	1600	0	1000
EMRCX	VAN ECK	VAN ECK EMERGING MARKETFUND CL C	C	LOAD	OPEN	1600	0	1000
GBFAX	VAN ECK	VAN ECK EMRG MKTS FD CL A	A	LOAD	OPEN	1600	0	1000
EMRYX	VAN ECK	VAN ECK EMRG MKTS FD CL Y	Y	NO LOAD	OPEN	1600	0	1000
EMRZX	VAN ECK	VAN ECK EMRG MKTS FD CL Z	Z	NO LOAD	REDEEM ONLY	1600	0	0
EMRIX	VAN ECK	VAN ECK EMRG MKTS FD I	I	NO LOAD	OPEN	1600	1000000	1000000
INIVX	VAN ECK	VAN ECK INTL INV GOLD FUND CL A	A	LOAD	OPEN	1600	0	1000
IIGCX	VAN ECK	VAN ECK INTL INV GOLD FUND CL C	C	LOAD	OPEN	1600	0	1000
INIIX	VAN ECK	VAN ECK INTL INVESTORS GOLD FD I	I	NO LOAD	OPEN	1600	1000000	1000000
INIYX	VAN ECK	VAN ECK INTL INVESTORS GOLD FD Y	Y	NO LOAD	OPEN	1600	0	1000
MWMIX	VAN ECK	VAN ECK MORNINGSTAR WIDE MOAT FD I	I	NO LOAD	OPEN	1600	1000000	1000000
MWMZX	VAN ECK	VAN ECK MORNINGSTAR WIDE MOAT FD Z	Z	NO LOAD	REDEEM ONLY	1600	0	0
GHAAX	VAN ECK	VANECK GLOBAL RESOURCES A	A	LOAD	OPEN	1600	0	1000
GHACX	VAN ECK	VANECK GLOBAL RESOURCES C	C	LOAD	OPEN	1600	0	1000
GHAIX	VAN ECK	VANECK GLOBAL RESOURCES I	I	NO LOAD	OPEN	1600	1000000	1000000

SYMBOL	FUND FAMILY	FUND DESCRIPTION	SHARE CLASS	FUND TYPE	STATUS	CUT- OFF	IRA MIN	NON-IRA MIN
GHAYX	VAN ECK	VANECK GLOBAL RESOURCES Y	Y	NO LOAD	OPEN	1600	0	1000
VFIAX	VANGUARD	VANGUARD 500 INDEX FUND ADMIRAL SHARES	ADMIRAL	NO LOAD	OPEN	1600	3000	3000
VFFSX	VANGUARD	VANGUARD 500 INDEX INSTITUTIONAL SELECT	INSTITUTIONAL SE	NO LOAD	REDEEM ONLY	1600	0	0
VSPGX	VANGUARD	VANGUARD ADMIRAL FDS INC S&P 500GRW INS	INSTITUTIONAL	NO LOAD	REDEEM ONLY	1600	5000000	5000000
VBAIX	VANGUARD	VANGUARD BALANCED INDEX FD INST CL SHRS	INSTITUTIONAL	NO LOAD	REDEEM ONLY	1600	5000000	5000000
VBIAX	VANGUARD	VANGUARD BALANCED INDEX FUND ADMIRAL SHARES	ADMIRAL	NO LOAD	OPEN	1600	3000	3000
VCAIX	VANGUARD	VANGUARD CA INTER TERM TAXEXEMPT FD INV SHS	INVESTOR	NO LOAD	OPEN	1600	3000	3000
VCADX	VANGUARD	VANGUARD CA INTERM TERM TAX EXEMPT ADMIRAL SHR	ADMIRAL	NO LOAD	REDEEM ONLY	1600	0	0
VCLAX	VANGUARD	VANGUARD CA LONG TERM TAX EX ADM	ADMIRAL	NO LOAD	REDEEM ONLY	1600	0	0
VCITX	VANGUARD	VANGUARD CA LONG TERM TAXEXEMPT FD INV SHS	INVESTOR	NO LOAD	OPEN	1600	3000	3000
VCTXX	VANGUARD	VANGUARD CA MUNI MONEY MKT FD INV	INVESTOR	NO LOAD	OPEN	1600	3000	3000
VHCOX	VANGUARD	VANGUARD CAPITAL OPPORTUNITY	INVESTOR	NO LOAD	REDEEM ONLY	1600	3000	3000
VHCAX	VANGUARD	VANGUARD CAPITAL OPPORTUNITY FUND ADM SHR	ADMIRAL	NO LOAD	REDEEM ONLY	1600	0	0
VMRXX	VANGUARD	VANGUARD CASH RESERVES FEDERAL MONEY MARKET FD AD	ADMIRAL	NO LOAD	OPEN	1600	3000	3000
VTCAx	VANGUARD	VANGUARD COMM SERVICES INDEX FD ADM SHR	ADMIRAL	NO LOAD	OPEN	1600	100000	100000
VCMDX	VANGUARD	VANGUARD COMM STRATEGY FD ADM SH	ADMIRAL	NO LOAD	OPEN	1600	50000	50000
VCDAX	VANGUARD	VANGUARD CONSUMER DISCRET INDEX ADM	ADMIRAL	NO LOAD	OPEN	1600	100000	100000
VCSAX	VANGUARD	VANGUARD CONSUMER STAPLES INDEX ADM	ADMIRAL	NO LOAD	OPEN	1600	100000	100000
VCOBX	VANGUARD	VANGUARD CORE BD ADM	ADMIRAL	NO LOAD	REDEEM ONLY	1600	0	0
VCORX	VANGUARD	VANGUARD CORE BD INV	INVESTOR	NO LOAD	OPEN	1600	3000	3000
VCPAX	VANGUARD	VANGUARD COREPLUS BOND ADMIRAL	ADMIRAL	NO LOAD	REDEEM ONLY	1600	0	0
VCPIX	VANGUARD	VANGUARD COREPLUS BOND INVESTOR	INVESTOR	NO LOAD	OPEN	1600	3000	3000
VTMGX	VANGUARD	VANGUARD DEVELOPED MKTS INDEX ADM	ADMIRAL	NO LOAD	OPEN	1600	3000	3000
VTMNx	VANGUARD	VANGUARD DEVELOPED MKTS INDEX INST	INSTITUTIONAL	NO LOAD	REDEEM ONLY	1600	5000000	5000000
VDIPX	VANGUARD	VANGUARD DEVELOPED MKTS INDEX INST PLUS	INST PLUS	NO LOAD	REDEEM ONLY	1600	100000000	100000000
VDADX	VANGUARD	VANGUARD DIV APPR INDEX FD ADM CL SHRS	ADMIRAL	NO LOAD	OPEN	1600	3000	3000
VDEQX	VANGUARD	VANGUARD DIVERSIFIED EQTY FD INVESTOR CL	INVESTOR	NO LOAD	OPEN	1600	3000	3000
VDIGX	VANGUARD	VANGUARD DIVIDEND GROWTH FUND	INVESTOR	NO LOAD	OPEN	1600	3000	3000
VEMRX	VANGUARD	VANGUARD EMERGING MKTS STOCK IDX INSPLUS	INST PLUS	NO LOAD	REDEEM ONLY	1600	100000000	100000000
VEMIX	VANGUARD	VANGUARD EMERGING MKTS STOCK INDEX INST	INSTITUTIONAL	NO LOAD	REDEEM ONLY	1600	5000000	5000000
VEGBX	VANGUARD	VANGUARD EMRG MKTS BOND FD AMD	ADMIRAL	NO LOAD	REDEEM ONLY	1600	0	0
VEMBX	VANGUARD	VANGUARD EMRG MKTS BOND FD INV	INVESTOR	NO LOAD	OPEN	1600	3000	3000
VGAVX	VANGUARD	VANGUARD EMRG MKTS GOV BD INDX FD ADM	ADMIRAL	NO LOAD	OPEN	1600	3000	3000
VMMSX	VANGUARD	VANGUARD EMRG MKTS SLCT STK FD INV CL	INVESTOR	NO LOAD	OPEN	1600	3000	3000
VEMAX	VANGUARD	VANGUARD EMRG MKTS STK INDEX ADM	ADMIRAL	NO LOAD	OPEN	1600	3000	3000
VGENX	VANGUARD	VANGUARD ENERGY FD	INVESTOR	NO LOAD	OPEN	1600	3000	3000
VGELX	VANGUARD	VANGUARD ENERGY FUND ADMIRAL SHARE	ADMIRAL	NO LOAD	REDEEM ONLY	1600	0	0
VENAX	VANGUARD	VANGUARD ENERGY INDEX ADM	ADMIRAL	NO LOAD	OPEN	1600	100000	100000
VEIRX	VANGUARD	VANGUARD EQUITY INCOME FUND ADMIRAL SHARE	ADMIRAL	NO LOAD	REDEEM ONLY	1600	0	0
VEIPX	VANGUARD	VANGUARD EQUITY INCOME INVESTOR CL	INVESTOR	NO LOAD	OPEN	1600	3000	3000
VESIX	VANGUARD	VANGUARD EURO STK INDEX INSTL	INSTITUTIONAL	NO LOAD	REDEEM ONLY	1600	5000000	5000000

SYMBOL	FUND FAMILY	FUND DESCRIPTION	SHARE CLASS	FUND TYPE	STATUS	CUT- OFF	IRA MIN	NON-IRA MIN
VEUSX	VANGUARD	VANGUARD EUROPEAN STOCK INDEX FUND ADMIRAL SHR	ADMIRAL	NO LOAD	OPEN	1600	3000	3000
VERX	VANGUARD	VANGUARD EXPLORER FUND ADMIRAL SHARES	ADMIRAL	NO LOAD	REDEEM ONLY	1600	0	0
VEXPX	VANGUARD	VANGUARD EXPLORER FUND INVESTOR SHARES	INVESTOR	NO LOAD	OPEN	1600	3000	3000
VEVFX	VANGUARD	VANGUARD EXPLORER VALUE FD INV	INVESTOR	NO LOAD	OPEN	1600	3000	3000
VEXAX	VANGUARD	VANGUARD EXTENDED MARKET INDEX ADMIRAL SHARE	ADMIRAL	NO LOAD	OPEN	1600	3000	3000
VEMPX	VANGUARD	VANGUARD EXTENDED MARKET INDEX FD INST PLUS	INST PLUS	NO LOAD	REDEEM ONLY	1600	10000000	10000000
VIEIX	VANGUARD	VANGUARD EXTENDED MARKET INDEX FD INST SHRS	INSTITUTIONAL	NO LOAD	REDEEM ONLY	1600	5000000	5000000
VMFXX	VANGUARD	VANGUARD FED MONEY MKT FD INV CL	INVESTOR	NO LOAD	OPEN	1600	3000	3000
VFAIX	VANGUARD	VANGUARD FINANCIALS INDEX ADM	ADMIRAL	NO LOAD	OPEN	1600	100000	100000
VFWAX	VANGUARD	VANGUARD FTSE ALL WORLD EX US INDEX ADM	ADMIRAL	NO LOAD	OPEN	1600	3000	3000
VFWSX	VANGUARD	VANGUARD FTSE ALL WORLD EX US INDEX INST CL	INSTITUTIONAL	NO LOAD	REDEEM ONLY	1600	5000000	5000000
VFSAX	VANGUARD	VANGUARD FTSE ALL WORLD EX US SM CAP INDEX FD ADM S	ADMIRAL	NO LOAD	OPEN	1600	3000	3000
VFSNX	VANGUARD	VANGUARD FTSE ALL WORLD EX US SM CAP INDEX FD INST	INSTITUTIONAL	NO LOAD	REDEEM ONLY	1600	5000000	5000000
VFTAX	VANGUARD	VANGUARD FTSE SOCIAL INDEX ADM	ADMIRAL	NO LOAD	OPEN	1600	3000	3000
VFTNX	VANGUARD	VANGUARD FTSE SOCIAL INDEX INSTL	INSTITUTIONAL	NO LOAD	REDEEM ONLY	1600	5000000	5000000
VGPMX	VANGUARD	VANGUARD GLBL CAP CYCLES INV	INVESTOR	NO LOAD	OPEN	1600	3000	3000
VESGX	VANGUARD	VANGUARD GLBL ESG SELECT STK ADMIRAL	ADMIRAL	NO LOAD	REDEEM ONLY	1600	50000	50000
VGRLX	VANGUARD	VANGUARD GLBL EX US REAL ESTATE INDEX ADMIRAL	ADMIRAL	NO LOAD	OPEN	1600	3000	3000
VMNVX	VANGUARD	VANGUARD GLBL MINIMUM VOLATILITY ADM	ADMIRAL	NO LOAD	REDEEM ONLY	1600	0	0
VMVFX	VANGUARD	VANGUARD GLBL MINIMUM VOLATILITY INV	INVESTOR	NO LOAD	OPEN	1600	3000	3000
VGYAX	VANGUARD	VANGUARD GLBL WELLESLEY INCM FD ADMIRAL	ADMIRAL	NO LOAD	REDEEM ONLY	1600	0	0
VGWAX	VANGUARD	VANGUARD GLBL WELLINGTON FD ADMIRAL	ADMIRAL	NO LOAD	REDEEM ONLY	1600	0	0
VGCAX	VANGUARD	VANGUARD GLOBAL CREDIT BOND ADMIRAL	ADMIRAL	NO LOAD	REDEEM ONLY	1600	0	0
VGCIX	VANGUARD	VANGUARD GLOBAL CREDIT BOND INV	INVESTOR	NO LOAD	OPEN	1600	3000	3000
VEOAX	VANGUARD	VANGUARD GLOBAL ENVIRONMENTAL OPP STKADM	ADMIRAL	NO LOAD	REDEEM ONLY	1600	100000	100000
VEOIX	VANGUARD	VANGUARD GLOBAL ENVIRONMENTAL OPP STKINV	INVESTOR	NO LOAD	OPEN	1500	100000	100000
VHGEX	VANGUARD	VANGUARD GLOBAL EQUITY FUND INVESTOR SHARES	INVESTOR	NO LOAD	OPEN	1600	3000	3000
VEIGX	VANGUARD	VANGUARD GLOBAL ESG SELECT STOCK FD INV	INVESTOR	NO LOAD	OPEN	1600	0	0
VGWIX	VANGUARD	VANGUARD GLOBAL WELLESLEY INCOME INV	INVESTOR	NO LOAD	OPEN	1600	3000	3000
VGWLX	VANGUARD	VANGUARD GLOBAL WELLINGTON INV	INVESTOR	NO LOAD	OPEN	1600	3000	3000
VFIIX	VANGUARD	VANGUARD GNMA FD INVESTOR SHARES	INVESTOR	NO LOAD	OPEN	1600	3000	3000
VFIJX	VANGUARD	VANGUARD GNMA FUND ADMIRAL SHARE	ADMIRAL	NO LOAD	REDEEM ONLY	1600	0	0
VQNPX	VANGUARD	VANGUARD GROWTH & INCOME FUND	INVESTOR	NO LOAD	OPEN	1600	3000	3000
VGIAX	VANGUARD	VANGUARD GROWTH & INCOME FUND ADMIRAL SHARE	ADMIRAL	NO LOAD	REDEEM ONLY	1600	0	0
VIGAX	VANGUARD	VANGUARD GROWTH INDEX FD ADMIRAL SHARE	ADMIRAL	NO LOAD	OPEN	1600	3000	3000
VIGIX	VANGUARD	VANGUARD GROWTH INDEX FUND INSTL SHR	INSTITUTIONAL	NO LOAD	REDEEM ONLY	1600	5000000	5000000
VGHAX	VANGUARD	VANGUARD HEALTH CARE FD ADMIRAL SHARE	ADMIRAL	NO LOAD	REDEEM ONLY	1600	0	0
VGHCX	VANGUARD	VANGUARD HEALTH CARE FD INVESTOR SHARES	INVESTOR	NO LOAD	OPEN	1600	3000	3000
VHCIX	VANGUARD	VANGUARD HEALTH CARE INDEX FD ADM	ADMIRAL	NO LOAD	OPEN	1600	100000	100000
VHYAX	VANGUARD	VANGUARD HIGH DIV YIELD INDEX ADM	ADMIRAL	NO LOAD	OPEN	1600	3000	3000
VWALX	VANGUARD	VANGUARD HIGH YIELD TAX EXEMPT FUND ADM SHR	ADMIRAL	NO LOAD	REDEEM ONLY	1600	0	0

SYMBOL	FUND FAMILY	FUND DESCRIPTION	SHARE CLASS	FUND TYPE	STATUS	CUT- OFF	IRA MIN	NON-IRA MIN
VWEHX	VANGUARD	VANGUARD HIGHYIELD CORP FUND INVESTOR SHARES	INVESTOR	NO LOAD	OPEN	1600	3000	3000
VWAHX	VANGUARD	VANGUARD HIGHYIELD TAXEXEMPT FD	INVESTOR	NO LOAD	OPEN	1600	3000	3000
VWEAX	VANGUARD	VANGUARD HY CORP FD ADM	ADMIRAL	NO LOAD	REDEEM ONLY	1600	0	0
VINAX	VANGUARD	VANGUARD INDUSTRIALS INDEX ADM SHRS	ADMIRAL	NO LOAD	OPEN	1600	100000	100000
VAIPX	VANGUARD	VANGUARD INFLATION PROTECTED SECS ADM SHR	ADMIRAL	NO LOAD	REDEEM ONLY	1600	0	0
VIPIX	VANGUARD	VANGUARD INFLATION PROTECTED SECS FD INST	INSTITUTIONAL	NO LOAD	REDEEM ONLY	1600	5000000	5000000
VIPSX	VANGUARD	VANGUARD INFLATION PROTECTED SECURITIES FND INV	INVESTOR	NO LOAD	OPEN	1600	3000	3000
VITAX	VANGUARD	VANGUARD INFO TECH INDEX FD ADMIRAL SHARES	ADMIRAL	NO LOAD	OPEN	1600	100000	100000
VIIIX	VANGUARD	VANGUARD INST INDEX INSTL PL	INST PLUS	NO LOAD	REDEEM ONLY	1600	200000000	200000000
VINIX	VANGUARD	VANGUARD INSTL INDEX FD INSTL	INSTITUTIONAL	NO LOAD	REDEEM ONLY	1600	5000000	5000000
VITNX	VANGUARD	VANGUARD INSTL TOTAL STK MKT INDEX FD INSTL SHRS	INSTITUTIONAL	NO LOAD	REDEEM ONLY	1600	5000000	5000000
VBILX	VANGUARD	VANGUARD INT TERM BOND INDEX ADM	ADMIRAL	NO LOAD	OPEN	1600	3000	3000
VICSX	VANGUARD	VANGUARD INTER TERM CORP BD INDEX ADMIRAL	ADMIRAL	NO LOAD	OPEN	1600	3000	3000
VFITX	VANGUARD	VANGUARD INTER TERM TREASURY FUND INVESTOR SHARES	INVESTOR	NO LOAD	OPEN	1600	3000	3000
VBIMX	VANGUARD	VANGUARD INTERM TERM BD INDEX INST	INSTITUTIONAL	NO LOAD	REDEEM ONLY	1600	5000000	5000000
VFIDX	VANGUARD	VANGUARD INTERM TERM INV GRADE ADM SH	ADMIRAL	NO LOAD	REDEEM ONLY	1600	0	0
VFICX	VANGUARD	VANGUARD INTERM TERM INVESTMENT GRADE FUND	INVESTOR	NO LOAD	OPEN	1600	3000	3000
VWITX	VANGUARD	VANGUARD INTERM TERM TAXEXEMPT FD	INVESTOR	NO LOAD	OPEN	1600	3000	3000
VFIUX	VANGUARD	VANGUARD INTERM TERM TRSY FD ADM CL SHRS	ADMIRAL	NO LOAD	REDEEM ONLY	1600	0	0
VSIGX	VANGUARD	VANGUARD INTERM TERM TRSY INDEX ADMIRAL	ADMIRAL	NO LOAD	OPEN	1600	3000	3000
VIIGX	VANGUARD	VANGUARD INTERM TERM TRSY INDEX INST	INSTITUTIONAL	NO LOAD	REDEEM ONLY	1600	5000000	5000000
VICBX	VANGUARD	VANGUARD INTERMTERM CORP BD IDX INSTL	INSTITUTIONAL	NO LOAD	REDEEM ONLY	1600	5000000	5000000
VZICX	VANGUARD	VANGUARD INTERNATIONAL CORE STOCK ADM	ADMIRAL	NO LOAD	REDEEM ONLY	1600	0	0
VWICX	VANGUARD	VANGUARD INTERNATIONAL CORE STOCK INV	INVESTOR	NO LOAD	OPEN	1600	3000	3000
VIDGX	VANGUARD	VANGUARD INTERNATIONAL DIVIDEND GR INV	INVESTOR	NO LOAD	OPEN	1600	0	0
VINEX	VANGUARD	VANGUARD INTERNATIONAL EXPLORER INVESTOR SHARES	INVESTOR	NO LOAD	OPEN	1600	3000	3000
VWIUX	VANGUARD	VANGUARD INTERTERM TAX EXEMPT FD ADMIRAL SHARES	ADMIRAL	NO LOAD	REDEEM ONLY	1600	0	0
VIAAX	VANGUARD	VANGUARD INTL DIV APP INDX ADM	ADMIRAL	NO LOAD	OPEN	1600	3000	3000
VWILX	VANGUARD	VANGUARD INTL GROWTH FD ADMIRAL SHARE	ADMIRAL	NO LOAD	REDEEM ONLY	1600	0	0
VWIGX	VANGUARD	VANGUARD INTL GROWTH FD INVESTOR SHARES	INVESTOR	NO LOAD	OPEN	1600	3000	3000
VIHAX	VANGUARD	VANGUARD INTL HIGH DIV YLD INDX ADM	ADMIRAL	NO LOAD	OPEN	1600	3000	3000
VTRIX	VANGUARD	VANGUARD INTL VALUE	INVESTOR	NO LOAD	OPEN	1600	3000	3000
VLCAX	VANGUARD	VANGUARD LARGE CAP INDEX ADM	ADMIRAL	NO LOAD	OPEN	1600	3000	3000
VLISX	VANGUARD	VANGUARD LARGE CAP INDEX INST	INSTITUTIONAL	NO LOAD	REDEEM ONLY	1600	5000000	5000000
VSCGX	VANGUARD	VANGUARD LIFESTRATEGY CONSERV GROWTH FD INV	INVESTOR	NO LOAD	OPEN	1600	3000	3000
VASGX	VANGUARD	VANGUARD LIFESTRATEGY GROWTH FUND INV	INVESTOR	NO LOAD	OPEN	1600	3000	3000
VASIX	VANGUARD	VANGUARD LIFESTRATEGY INCOME FUND INV	INVESTOR	NO LOAD	OPEN	1600	3000	3000
VSMGX	VANGUARD	VANGUARD LIFESTRATEGY MODERATE GROWTH FUND INV	INVESTOR	NO LOAD	OPEN	1600	3000	3000
VMLTX	VANGUARD	VANGUARD LIMITEDTERM TAXEXEMPT FD INV SHS	INVESTOR	NO LOAD	OPEN	1600	3000	3000
VBLIX	VANGUARD	VANGUARD LONG TERM BD INDEX INST	INSTITUTIONAL	NO LOAD	REDEEM ONLY	1600	5000000	5000000
VBLAX	VANGUARD	VANGUARD LONG TERM BOND INDEX FD ADM CL	ADMIRAL	NO LOAD	OPEN	1600	3000	3000

SYMBOL	FUND FAMILY	FUND DESCRIPTION	SHARE CLASS	FUND TYPE	STATUS	CUT- OFF	IRA MIN	NON-IRA MIN
VLCIX	VANGUARD	VANGUARD LONG TERM CORP BOND INDEX FD IS	INSTITUTIONAL	NO LOAD	REDEEM ONLY	1600	5000000	5000000
VLTCX	VANGUARD	VANGUARD LONG TERM CORP INDEX ADM CLASS	ADMIRAL	NO LOAD	OPEN	1600	3000	3000
VWESX	VANGUARD	VANGUARD LONG TERM INVESTMENT GRADE	INVESTOR	NO LOAD	OPEN	1600	3000	3000
VWETX	VANGUARD	VANGUARD LONG TERM INVESTMENT GRADE ADMIRALFUND	ADMIRAL	NO LOAD	REDEEM ONLY	1600	0	0
VWLUX	VANGUARD	VANGUARD LONG TERM TAX EXEMPT FUND ADM SHARES	ADMIRAL	NO LOAD	REDEEM ONLY	1600	0	0
VWLTX	VANGUARD	VANGUARD LONG TERM TAXEXEMPT FD INV CL	INVESTOR	NO LOAD	OPEN	1600	3000	3000
VUSUX	VANGUARD	VANGUARD LONG TERM TREASURY FUND ADMIRAL SHARES	ADMIRAL	NO LOAD	REDEEM ONLY	1600	0	0
VLGSX	VANGUARD	VANGUARD LONG TERM TRSY INDEX ADM	ADMIRAL	NO LOAD	OPEN	1600	3000	3000
VLGIX	VANGUARD	VANGUARD LONG TERM TRSY INDEX I	INSTITUTIONAL	NO LOAD	REDEEM ONLY	1600	5000000	5000000
VUSTX	VANGUARD	VANGUARD LONGTERM TREASURY FD INVESTOR SHARES	INVESTOR	NO LOAD	OPEN	1600	3000	3000
VMLUX	VANGUARD	VANGUARD LTD TERM TAXEX ADMIRAL SHARES	ADMIRAL	NO LOAD	REDEEM ONLY	1600	0	0
VMATX	VANGUARD	VANGUARD MA TAX EXEMPT FUND INV	INVESTOR	NO LOAD	OPEN	1600	3000	3000
VMIAX	VANGUARD	VANGUARD MATERIALS INDEX FD ADM	ADMIRAL	NO LOAD	OPEN	1600	100000	100000
VMCTX	VANGUARD	VANGUARD MEGA CAP INDEX INSTITUTIONAL	INSTITUTIONAL	NO LOAD	REDEEM ONLY	1600	5000000	5000000
VMVLX	VANGUARD	VANGUARD MEGA CAP VALUE INSTL FD	INSTITUTIONAL	NO LOAD	REDEEM ONLY	1600	5000000	5000000
VMGMX	VANGUARD	VANGUARD MID CAP GROWTH INDEX ADMIRAL	ADMIRAL	NO LOAD	OPEN	1600	3000	3000
VMGRX	VANGUARD	VANGUARD MID CAP GROWTH INVESTOR SHARES	INVESTOR	NO LOAD	OPEN	1600	3000	3000
VIMAX	VANGUARD	VANGUARD MID CAP INDEX FUND ADM	ADMIRAL	NO LOAD	OPEN	1600	3000	3000
VMCIX	VANGUARD	VANGUARD MID CAP INDEX INSTITUTIONAL SHARES	INSTITUTIONAL	NO LOAD	REDEEM ONLY	1600	5000000	5000000
VMVAX	VANGUARD	VANGUARD MID CAP VALUE INDEX ADMIRAL	ADMIRAL	NO LOAD	OPEN	1600	3000	3000
VMNIX	VANGUARD	VANGUARD MKT NEUTRAL INST	INSTITUTIONAL	NO LOAD	REDEEM ONLY	1600	5000000	5000000
VMNFX	VANGUARD	VANGUARD MKT NEUTRAL INV	INVESTOR	NO LOAD	OPEN	1600	0	0
VMBSX	VANGUARD	VANGUARD MORTGAGE BACKED SEC INDEX ADMIRAL	ADMIRAL	NO LOAD	OPEN	1600	3000	3000
VMSAX	VANGUARD	VANGUARD MULTISECTOR INCOME BOND ADM	ADMIRAL	NO LOAD	REDEEM ONLY	1600	0	0
VMSXX	VANGUARD	VANGUARD MUNI MONEY MKT FD	INVESTOR	NO LOAD	OPEN	1600	3000	3000
VNJUX	VANGUARD	VANGUARD NJ LONG TERM TAX EXEMPT FD ADM CL	ADMIRAL	NO LOAD	REDEEM ONLY	1600	0	0
VNJTX	VANGUARD	VANGUARD NJ LONG TERM TAXEXEMPT FD INV SHS	INVESTOR	NO LOAD	OPEN	1600	3000	3000
VNYUX	VANGUARD	VANGUARD NY LONG TERM TAX EXEMPT ADM SHS	ADMIRAL	NO LOAD	REDEEM ONLY	1600	0	0
VNYTX	VANGUARD	VANGUARD NY LONG TERM TAXEXEMPT FND	INVESTOR	NO LOAD	OPEN	1600	3000	3000
VYFXX	VANGUARD	VANGUARD NY MUNI MONEY MKT FD INV	INVESTOR	NO LOAD	OPEN	1600	3000	3000
VOHIX	VANGUARD	VANGUARD OHIO LONG TERM TAXEXEMPT FUND	INVESTOR	NO LOAD	OPEN	1600	3000	3000
VPALX	VANGUARD	VANGUARD PA LONG TERM TAX EXEMPT FD ADM	ADMIRAL	NO LOAD	REDEEM ONLY	1600	0	0
VPAIX	VANGUARD	VANGUARD PA LONG TERM TAXEXEMPT FND	INVESTOR	NO LOAD	OPEN	1600	3000	3000
VPKIX	VANGUARD	VANGUARD PACIFIC STK INDEX INSTL	INSTITUTIONAL	NO LOAD	REDEEM ONLY	1600	5000000	5000000
VPADX	VANGUARD	VANGUARD PACIFIC STOCK INDEX ADMIRAL	ADMIRAL	NO LOAD	OPEN	1600	3000	3000
VPCCX	VANGUARD	VANGUARD PRIMECAP CORE FD	INVESTOR	NO LOAD	OPEN	1600	0	0
VPMAX	VANGUARD	VANGUARD PRIMECAP FUND ADMIRAL SHARE	ADMIRAL	NO LOAD	REDEEM ONLY	1600	0	0
VPMCX	VANGUARD	VANGUARD PRIMECAP FUND INV	INVESTOR	NO LOAD	OPEN	1600	3000	3000
VGSLX	VANGUARD	VANGUARD REAL ESTATE INDEX FD ADMIRAL SHRS	ADMIRAL	NO LOAD	OPEN	1600	3000	3000
VGSNX	VANGUARD	VANGUARD REAL ESTATE INDEX FD INST SHRS	INSTITUTIONAL	NO LOAD	REDEEM ONLY	1600	5000000	5000000
VRGWX	VANGUARD	VANGUARD RUSSELL 1000 GROWTH INDEX INSTL	INSTITUTIONAL	NO LOAD	REDEEM ONLY	1600	5000000	5000000

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VRNIX	VANGUARD	VANGUARD RUSSELL 1000 INDEX INSTL	INSTITUTIONAL	NO LOAD	REDEEM ONLY	1600	5000000	5000000
VRVIX	VANGUARD	VANGUARD RUSSELL 1000 VALUE INDEX INSTL	INSTITUTIONAL	NO LOAD	REDEEM ONLY	1600	5000000	5000000
VRTGX	VANGUARD	VANGUARD RUSSELL 2000 GWTH INDEX INST	INSTITUTIONAL	NO LOAD	REDEEM ONLY	1600	5000000	5000000
VRTIX	VANGUARD	VANGUARD RUSSELL 2000 INDEX INST	INSTITUTIONAL	NO LOAD	REDEEM ONLY	1600	5000000	5000000
VRTVX	VANGUARD	VANGUARD RUSSELL 2000 VALUE INDEX INST	INSTITUTIONAL	NO LOAD	REDEEM ONLY	1600	5000000	5000000
VRTTX	VANGUARD	VANGUARD RUSSELL 3000 INDEX INSTL	INSTITUTIONAL	NO LOAD	REDEEM ONLY	1600	5000000	5000000
VSPVX	VANGUARD	VANGUARD S&P 500 VALUE INDEX INSTL	INSTITUTIONAL	NO LOAD	REDEEM ONLY	1600	5000000	5000000
VMFGX	VANGUARD	VANGUARD S&P MID CAP 400 GROWTH INDEX INSTL	INSTITUTIONAL	NO LOAD	REDEEM ONLY	1600	5000000	5000000
VSPMX	VANGUARD	VANGUARD S&P MID CAP 400 INDEX INSTL	INSTITUTIONAL	NO LOAD	REDEEM ONLY	1600	5000000	5000000
VMFVX	VANGUARD	VANGUARD S&P MID CAP 400 VALUE INDEX INSTL	INSTITUTIONAL	NO LOAD	REDEEM ONLY	1600	5000000	5000000
VSMSX	VANGUARD	VANGUARD S&P SM CAP 600 INDEX INST	INSTITUTIONAL	NO LOAD	REDEEM ONLY	1600	5000000	5000000
VASVX	VANGUARD	VANGUARD SELECTED VALUE FUND	INVESTOR	NO LOAD	OPEN	1600	3000	3000
VBITX	VANGUARD	VANGUARD SHORT TERM BD INDEX INSTL	INSTITUTIONAL	NO LOAD	REDEEM ONLY	1600	5000000	5000000
VBIRX	VANGUARD	VANGUARD SHORT TERM BOND ADM	ADMIRAL	NO LOAD	OPEN	1600	3000	3000
VSCSX	VANGUARD	VANGUARD SHORT TERM CORP BD INDEX ADMIRAL	ADMIRAL	NO LOAD	OPEN	1600	3000	3000
VSTBX	VANGUARD	VANGUARD SHORT TERM CORP BD INDEX INST	INSTITUTIONAL	NO LOAD	REDEEM ONLY	1600	5000000	5000000
VSGDX	VANGUARD	VANGUARD SHORT TERM FED FUND ADM	ADMIRAL	NO LOAD	REDEEM ONLY	1600	0	0
VSGBX	VANGUARD	VANGUARD SHORT TERM FED FUND INVESTOR SHARES	INVESTOR	NO LOAD	OPEN	1600	3000	3000
VTAPX	VANGUARD	VANGUARD SHORT TERM INFL PROT SEC INDEX ADM	ADMIRAL	NO LOAD	OPEN	1600	3000	3000
VTSPX	VANGUARD	VANGUARD SHORT TERM INFL PROT SEC INDEX INST	INSTITUTIONAL	NO LOAD	REDEEM ONLY	1600	5000000	5000000
VFSTX	VANGUARD	VANGUARD SHORT TERM INVESTMENT GRADE FUND	INVESTOR	NO LOAD	OPEN	1600	3000	3000
VFSIX	VANGUARD	VANGUARD SHORT TERM INVESTMENT GRADE INS	INSTITUTIONAL	NO LOAD	REDEEM ONLY	1600	5000000	5000000
VWSUX	VANGUARD	VANGUARD SHORT TERM TAX EXEMPT ADMIRAL SHARE	ADMIRAL	NO LOAD	REDEEM ONLY	1600	0	0
VWSTX	VANGUARD	VANGUARD SHORT TERM TAXEXEMPT FUND INVESTOR SHS	INVESTOR	NO LOAD	OPEN	1600	3000	3000
VFIRX	VANGUARD	VANGUARD SHORT TERM TREASURY FUND ADMIRAL SHARES	ADMIRAL	NO LOAD	REDEEM ONLY	1600	0	0
VFISX	VANGUARD	VANGUARD SHORT TERM TREASURY FUND INVESTOR SHARES	INVESTOR	NO LOAD	OPEN	1600	3000	3000
VSBSX	VANGUARD	VANGUARD SHORT TERM TRSY INDEX ADMIRAL	ADMIRAL	NO LOAD	OPEN	1600	3000	3000
VSBIK	VANGUARD	VANGUARD SHORT TERM TRSY INDEX INST	INSTITUTIONAL	NO LOAD	REDEEM ONLY	1600	5000000	5000000
VFSUX	VANGUARD	VANGUARD SHT TRM INVEST GRADE BD ADM	ADMIRAL	NO LOAD	REDEEM ONLY	1600	0	0
VSGIX	VANGUARD	VANGUARD SMALL CAP GROWTH INDEX INSTL	INSTITUTIONAL	NO LOAD	REDEEM ONLY	1600	5000000	5000000
VSGAX	VANGUARD	VANGUARD SMALL CAP GWTH INDEX FD ADM	ADMIRAL	NO LOAD	OPEN	1600	3000	3000
VSMAX	VANGUARD	VANGUARD SMALL CAP INDEX ADMIRAL SHARE	ADMIRAL	NO LOAD	OPEN	1600	3000	3000
VSCIX	VANGUARD	VANGUARD SMALL CAP INDEX FUND INSTL SHRS	INSTITUTIONAL	NO LOAD	REDEEM ONLY	1600	5000000	5000000
VSIAX	VANGUARD	VANGUARD SMALL CAP VALUE INDEX ADM	ADMIRAL	NO LOAD	OPEN	1600	3000	3000
VSIIX	VANGUARD	VANGUARD SMALL CAP VALUE INDEX INSTL	INSTITUTIONAL	NO LOAD	REDEEM ONLY	1600	5000000	5000000
VGSTX	VANGUARD	VANGUARD STAR FUND	INVESTOR	NO LOAD	OPEN	1600	1000	1000
VSEQX	VANGUARD	VANGUARD STRATEGIC EQUITY FUND	INVESTOR	NO LOAD	OPEN	1600	3000	3000
VSTCX	VANGUARD	VANGUARD STRATEGIC SMALL CAP EQUITY	INVESTOR	NO LOAD	OPEN	1600	3000	3000
VTTVX	VANGUARD	VANGUARD TARGET RETIREMENT 2025 FUND	INVESTOR	NO LOAD	OPEN	1600	1000	1000
VTTHX	VANGUARD	VANGUARD TARGET RETIREMENT 2035 FUND	INVESTOR	NO LOAD	OPEN	1600	1000	1000
VTIVX	VANGUARD	VANGUARD TARGET RETIREMENT 2045 FUND	INVESTOR	NO LOAD	OPEN	1600	1000	1000

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VFFVX	VANGUARD	VANGUARD TARGET RETIREMENT 2055 INV	INVESTOR	NO LOAD	OPEN	1600	1000	1000
VLXVX	VANGUARD	VANGUARD TARGET RETIREMENT 2065	INVESTOR	NO LOAD	OPEN	1600	1000	1000
VSVNX	VANGUARD	VANGUARD TARGET RETIREMENT 2070 FUND	INVESTOR	NO LOAD	OPEN	1600	0	0
VTINX	VANGUARD	VANGUARD TARGET RETIREMENT INCOME FUND	INVESTOR	NO LOAD	OPEN	1600	1000	1000
VTTSX	VANGUARD	VANGUARD TARGET RTRMT 2060 INV	INVESTOR	NO LOAD	OPEN	1600	1000	1000
VTEAX	VANGUARD	VANGUARD TAX EXEMPT BOND INDEX ADM	ADMIRAL	NO LOAD	OPEN	1600	3000	3000
VTMFX	VANGUARD	VANGUARD TAX MANAGED BALANCE FD ADMIRAL CL	ADMIRAL	NO LOAD	OPEN	1600	10000	10000
VTCLX	VANGUARD	VANGUARD TAX MANAGED CAP APPRECIATION ADMIRAL	ADMIRAL	NO LOAD	OPEN	1600	10000	10000
VTMSX	VANGUARD	VANGUARD TAX MANAGED SMALL CAP FD ADMIRAL SHRS	ADMIRAL	NO LOAD	OPEN	1600	10000	10000
VTSIX	VANGUARD	VANGUARD TAX MGD SMALL CAP INST SHRS	INSTITUTIONAL	NO LOAD	REDEEM ONLY	1600	5000000	5000000
VTWNX	VANGUARD	VANGUARD TGT RETIREMENT 2020	INVESTOR	NO LOAD	OPEN	1600	1000	1000
VTHRX	VANGUARD	VANGUARD TGT RETIREMENT 2030	INVESTOR	NO LOAD	OPEN	1600	1000	1000
VFORX	VANGUARD	VANGUARD TGT RETIREMENT 2040	INVESTOR	NO LOAD	OPEN	1600	1000	1000
VFIFX	VANGUARD	VANGUARD TGT RETIREMENT 2050	INVESTOR	NO LOAD	OPEN	1600	1000	1000
VBMPX	VANGUARD	VANGUARD TOTAL BD MKT IDEX INSTL PLS	INST PLUS	NO LOAD	REDEEM ONLY	1600	100000000	100000000
VBTLX	VANGUARD	VANGUARD TOTAL BOND MARKET INDEX ADMIRAL SHR	ADMIRAL	NO LOAD	OPEN	1600	3000	3000
VBTIX	VANGUARD	VANGUARD TOTAL BOND MARKET INDEX FD INST SHR	INSTITUTIONAL	NO LOAD	REDEEM ONLY	1600	5000000	5000000
VTABX	VANGUARD	VANGUARD TOTAL INTL BD INDEX ADMIRAL	ADMIRAL	NO LOAD	OPEN	1600	3000	3000
VTIFX	VANGUARD	VANGUARD TOTAL INTL BD INDEX INST	INSTITUTIONAL	NO LOAD	REDEEM ONLY	1600	5000000	5000000
VTIAX	VANGUARD	VANGUARD TOTAL INTL STK INDEX ADMIRAL	ADMIRAL	NO LOAD	OPEN	1600	3000	3000
VTSNX	VANGUARD	VANGUARD TOTAL INTL STK INDEX INSTL	INSTITUTIONAL	NO LOAD	REDEEM ONLY	1600	5000000	5000000
VTPSX	VANGUARD	VANGUARD TOTAL INTL STK INDEX INSTL PLS	INST PLUS	NO LOAD	REDEEM ONLY	1600	100000000	100000000
VTSAX	VANGUARD	VANGUARD TOTAL STOCK MKT INDEX FD ADM SH	ADMIRAL	NO LOAD	OPEN	1600	3000	3000
VITSX	VANGUARD	VANGUARD TOTAL STOCK MKT INDEX FUND INST SHARE	INSTITUTIONAL	NO LOAD	REDEEM ONLY	1600	5000000	5000000
VTWAX	VANGUARD	VANGUARD TOTAL WORLD STK INDEX ADM SHR	ADMIRAL	NO LOAD	OPEN	1600	3000	3000
VTWIX	VANGUARD	VANGUARD TOTAL WORLD STK INDEX INSTL CL SHR	INSTITUTIONAL	NO LOAD	REDEEM ONLY	1600	5000000	5000000
VUSXX	VANGUARD	VANGUARD TRSY MONEY MKT FD INV SHRS	INVESTOR	NO LOAD	OPEN	1600	3000	3000
VWUAX	VANGUARD	VANGUARD U.S. GROWTH FD ADMIRAL SHARE	ADMIRAL	NO LOAD	REDEEM ONLY	1600	0	0
VUSFX	VANGUARD	VANGUARD ULTRA SHORT TERM BD ADM	ADMIRAL	NO LOAD	REDEEM ONLY	1600	0	0
VUBFX	VANGUARD	VANGUARD ULTRA SHORT TERM BD INV	INVESTOR	NO LOAD	OPEN	1600	3000	3000
VWUSX	VANGUARD	VANGUARD US GROWTH	INVESTOR	NO LOAD	OPEN	1600	3000	3000
VFMFX	VANGUARD	VANGUARD US MULTIFACTOR ADMIRAL	ADMIRAL	NO LOAD	OPEN	1600	50000	50000
VUIAX	VANGUARD	VANGUARD UTILITIES INDEX ADM	ADMIRAL	NO LOAD	OPEN	1600	100000	100000
VBPIX	VANGUARD	VANGUARD VALLEY FORG	INVESTOR	NO LOAD	OPEN	1600	0	0
VVIAX	VANGUARD	VANGUARD VALUE INDEX ADMIRAL SHARE	ADMIRAL	NO LOAD	OPEN	1600	3000	3000
VIVIX	VANGUARD	VANGUARD VALUE INDEX FUND INSTL SHR	INSTITUTIONAL	NO LOAD	REDEEM ONLY	1600	5000000	5000000
VWINX	VANGUARD	VANGUARD WELLESLEY INCOME	INVESTOR	NO LOAD	OPEN	1600	3000	3000
VWIAX	VANGUARD	VANGUARD WELLESLEY INCOME FD ADMIRAL SHARE	ADMIRAL	NO LOAD	REDEEM ONLY	1600	0	0
VWELX	VANGUARD	VANGUARD WELLINGTON	INVESTOR	NO LOAD	OPEN	1600	3000	3000
VWENX	VANGUARD	VANGUARD WELLINGTON FD ADMIRAL SHARE	ADMIRAL	NO LOAD	REDEEM ONLY	1600	0	0
VWNEX	VANGUARD	VANGUARD WINDSOR FD ADM	ADMIRAL	NO LOAD	REDEEM ONLY	1600	0	0

SYMBOL	FUND FAMILY	FUND DESCRIPTION	SHARE CLASS	FUND TYPE	STATUS	CUT- OFF	IRA MIN	NON-IRA MIN
VWNDX	VANGUARD	VANGUARD WINDSOR FUND	INVESTOR	NO LOAD	OPEN	1600	3000	3000
VWNFX	VANGUARD	VANGUARD WINDSOR II	INVESTOR	NO LOAD	OPEN	1600	3000	3000
VWNAX	VANGUARD	VANGUARD WINDSOR II FD ADMIRAL SHARE	ADMIRAL	NO LOAD	REDEEM ONLY	1600	0	0
IMPCX	VARIANT	VARIANT IMPACT FUND INSTITUTIONAL	INSTITUTIONAL	NO LOAD	OPEN	1600	1000000	1000000
ADVMX	VAUGHAN NELSON	VAUGHAN NELSON EMRG MKTS OPPTY FD INST	I	NO LOAD	OPEN	1600	0	0
ADVLX	VAUGHAN NELSON	VAUGHAN NELSON INTL SM CAP VALUE FD INST	I	NO LOAD	OPEN	1600	0	0
VIOAX	VELA	VELA FDS	A	LOAD	OPEN	1600	100	100
VIOIX	VELA	VELA FDS	I	NO LOAD	OPEN	1600	2500	2500
VASDX	VELA	VELA FDS SHORT DURATION A	A	LOAD	OPEN	1600	100	100
VESDX	VELA	VELA FDS SHORT DURATION I	I	NO LOAD	OPEN	1600	2500	2500
VEILX	VELA	VELA INTERNATIONAL A	A	LOAD	OPEN	1600	100	100
VEITX	VELA	VELA INTERNATIONAL I	I	NO LOAD	OPEN	1600	2500	2500
VELAX	VELA	VELA LARGE CAP 13030 A	A	LOAD	OPEN	1600	100	100
VELIX	VELA	VELA LARGE CAP 13030 I	I	NO LOAD	OPEN	1600	2500	2500
VESAX	VELA	VELA SMALL CAP A	A	LOAD	OPEN	1600	100	100
VESMX	VELA	VELA SMALL CAP I	I	NO LOAD	OPEN	1600	2500	2500
VCMIX	VERSUS CAPITAL	VERSUS CAP MULTI MNGR REAL ESTATE INCM FD INST	SHARES OF BENEF	NO LOAD	OPEN	1600	0	0
VCRDX	VERSUS CAPITAL	VERSUS CAPITAL INFRA INCOME FUND	SHARES OF BENEF	NO LOAD	OPEN	1600	0	0
VCRRX	VERSUS CAPITAL	VERSUS CAPITAL REAL ASSETS	SHARES OF BENEF	NO LOAD	OPEN	1600	1000	2500
USSPX	VICTORY	VICTORY 500 INDEX MEMBER	MEMBER	NO LOAD	OPEN	1600	3000	3000
USPRX	VICTORY	VICTORY 500 INDEX REWARD	REWARD	NO LOAD	SUBS ONLY	1600	100000	100000
USAUX	VICTORY	VICTORY AGGRESSIVE GROWTH FD	NO LOAD	NO LOAD	OPEN	1600	3000	3000
UIAGX	VICTORY	VICTORY AGGRESSIVE GROWTH INST	INSTITUTIONAL	NO LOAD	OPEN	1600	1000000	1000000
USCBX	VICTORY	VICTORY CA BOND FUND	NO LOAD	NO LOAD	OPEN	1600	3000	3000
UCBIX	VICTORY	VICTORY CA BOND INSTITUTIONAL	INSTITUTIONAL	NO LOAD	OPEN	1600	1000000	1000000
USCGX	VICTORY	VICTORY CAPITAL GROWTH FD	NO LOAD	NO LOAD	OPEN	1600	3000	3000
UICGX	VICTORY	VICTORY CAPITAL GROWTH INST	INSTITUTIONAL	NO LOAD	OPEN	1600	1000000	1000000
URIBX	VICTORY	VICTORY CORE PLUS INTERMEDIATE BOND R6	R6	NO LOAD	REDEEM ONLY	1600	0	0
USIBX	VICTORY	VICTORY CORE PLUS INTERMEDIATE FD	NO LOAD	NO LOAD	OPEN	1600	3000	3000
UIITX	VICTORY	VICTORY CORE PLUS INTERMEDIATE INST	INSTITUTIONAL	NO LOAD	OPEN	1600	1000000	1000000
UCAGX	VICTORY	VICTORY CORNERSTONE AGGR FD	NO LOAD	NO LOAD	OPEN	1600	500	500
USCCX	VICTORY	VICTORY CORNERSTONE CONSERVATIVE	NO LOAD	NO LOAD	OPEN	1600	500	500
UCEQX	VICTORY	VICTORY CORNERSTONE EQTY FD	NO LOAD	NO LOAD	OPEN	1600	500	500
USCRX	VICTORY	VICTORY CORNERSTONE MOD AGGRESSIVE FD	NO LOAD	NO LOAD	OPEN	1600	1000	1000
UCMCX	VICTORY	VICTORY CORNERSTONE MOD CONSERVATIVE	NO LOAD	NO LOAD	OPEN	1600	500	500
USBSX	VICTORY	VICTORY CORNERSTONE MOD FD	NO LOAD	NO LOAD	OPEN	1600	500	500
VDSCX	VICTORY	VICTORY DIVERSIFIED STK C	C	LOAD	OPEN	1600	1000	2500
VDSRX	VICTORY	VICTORY DIVERSIFIED STK CL R6	R6	NO LOAD	REDEEM ONLY	1600	0	0
SRVEX	VICTORY	VICTORY DIVERSIFIED STK FD CL A	A	LOAD	OPEN	1600	1000	2500
VDSIX	VICTORY	VICTORY DIVERSIFIED STK I	I	NO LOAD	OPEN	1600	2000000	2000000
GRINX	VICTORY	VICTORY DIVERSIFIED STOCK R	R	NO LOAD	REDEEM ONLY	1600	0	0

SYMBOL	FUND FAMILY	FUND DESCRIPTION	SHARE CLASS	FUND TYPE	STATUS	CUT- OFF	IRA MIN	NON-IRA MIN
USEMX	VICTORY	VICTORY EMERGING MARKETS FD	NO LOAD	NO LOAD	OPEN	1600	3000	3000
UIEMX	VICTORY	VICTORY EMERGING MARKETS INST	INSTITUTIONAL	NO LOAD	OPEN	1600	1000000	1000000
USMIX	VICTORY	VICTORY EXTENDED MARKET INDEX FD	NO LOAD	NO LOAD	OPEN	1600	3000	3000
RSFLX	VICTORY	VICTORY FLOATING RATE FUND CL A	A	LOAD	OPEN	1600	1000	2500
RSFCX	VICTORY	VICTORY FLOATING RATE FUND CL C	C	LOAD	OPEN	1600	1000	2500
RSFYX	VICTORY	VICTORY FLOATING RATE FUND CL Y	Y	NO LOAD	OPEN	1600	1000000	1000000
IPFIX	VICTORY	VICTORY FUND FOR INCOME CL A	A	LOAD	OPEN	1600	1000	2500
VFFCX	VICTORY	VICTORY FUND FOR INCOME CL C	C	LOAD	OPEN	1600	1000	2500
VFFIX	VICTORY	VICTORY FUND FOR INCOME CL I	I	NO LOAD	OPEN	1600	2000000	2000000
GGIFX	VICTORY	VICTORY FUND FOR INCOME CL R	R	NO LOAD	REDEEM ONLY	1600	0	0
VFFRX	VICTORY	VICTORY FUND FOR INCOME CL R6	R6	NO LOAD	REDEEM ONLY	1600	0	0
VFFYX	VICTORY	VICTORY FUND FOR INCOME CL Y	Y	NO LOAD	OPEN	1600	1000000	1000000
UGEIX	VICTORY	VICTORY GLBL EQTY INCM FD FUND	NO LOAD	NO LOAD	OPEN	1600	3000	3000
UGMVX	VICTORY	VICTORY GLBL MANAGED VOLATILITY FD	FUND SHARE	NO LOAD	OPEN	1600	3000	3000
RSNRX	VICTORY	VICTORY GLOBAL ENERGY TRANSITION A	A	LOAD	OPEN	1600	1000	2500
RGNCX	VICTORY	VICTORY GLOBAL ENERGY TRANSITION C	C	LOAD	OPEN	1600	1000	2500
RSNYX	VICTORY	VICTORY GLOBAL ENERGY TRANSITION Y	Y	NO LOAD	OPEN	1600	1000000	1000000
UGOFX	VICTORY	VICTORY GLOBAL MANAGED VOLATILITY INST	INSTITUTIONAL	NO LOAD	OPEN	1600	1000000	1000000
UIGSX	VICTORY	VICTORY GOVT SECURITIES INST	INSTITUTIONAL	NO LOAD	OPEN	1600	1000000	1000000
USGRX	VICTORY	VICTORY GROWTH & INCOME FUND	NO LOAD	NO LOAD	OPEN	1600	3000	3000
UIGIX	VICTORY	VICTORY GROWTH & INCOME INST	INSTITUTIONAL	NO LOAD	OPEN	1600	1000000	1000000
USBLX	VICTORY	VICTORY GROWTH AND TAX STRATEGY	NO LOAD	NO LOAD	OPEN	1600	3000	3000
UGTIX	VICTORY	VICTORY GROWTH AND TAX STRATEGY INST	INSTITUTIONAL	NO LOAD	OPEN	1600	2000000	2000000
USAAX	VICTORY	VICTORY GROWTH FUND	NO LOAD	NO LOAD	OPEN	1600	3000	3000
UIGRX	VICTORY	VICTORY GROWTH INST	INSTITUTIONAL	NO LOAD	OPEN	1600	1000000	1000000
USHYX	VICTORY	VICTORY HIGH INCOME FD	NO LOAD	NO LOAD	OPEN	1600	3000	3000
UIHIX	VICTORY	VICTORY HIGH INCOME INST	INSTITUTIONAL	NO LOAD	OPEN	1600	1000000	1000000
RSHMX	VICTORY	VICTORY HIGH INCOME MUNICIPAL BOND FUND CL A	A	LOAD	OPEN	1600	1000	2500
RSHCX	VICTORY	VICTORY HIGH INCOME MUNICIPAL BOND FUND CL C	C	LOAD	OPEN	1600	1000	2500
RHMYX	VICTORY	VICTORY HIGH INCOME MUNICIPAL BOND FUND CL Y	Y	NO LOAD	OPEN	1600	1000000	1000000
RSYYX	VICTORY	VICTORY HIGH YIELD FD Y	Y	NO LOAD	OPEN	1600	1000000	1000000
GUHYX	VICTORY	VICTORY HIGH YIELD FUND CL A	A	LOAD	OPEN	1600	1000	2500
RHYCX	VICTORY	VICTORY HIGH YIELD FUND CL C	C	LOAD	OPEN	1600	1000	2500
USAIX	VICTORY	VICTORY INCOME FD	NO LOAD	NO LOAD	OPEN	1600	3000	3000
UIINX	VICTORY	VICTORY INCOME INST	INSTITUTIONAL	NO LOAD	OPEN	1600	1000000	1000000
URIFX	VICTORY	VICTORY INCOME R6	R6	NO LOAD	REDEEM ONLY	1600	100000	100000
USISX	VICTORY	VICTORY INCOME STOCK FD	NO LOAD	NO LOAD	OPEN	1600	3000	3000
UIISX	VICTORY	VICTORY INCOME STOCK INST	INSTITUTIONAL	NO LOAD	OPEN	1600	1000000	1000000
MMEYX	VICTORY	VICTORY INTEGRITY DISCOVERY CL Y	Y	NO LOAD	OPEN	1600	1000000	1000000
MMEAX	VICTORY	VICTORY INTEGRITY DISCOVERY FUND CL A	A	LOAD	OPEN	1600	1000	2500
MMECX	VICTORY	VICTORY INTEGRITY DISCOVERY FUND CL C	C	LOAD	OPEN	1600	1000	2500

SYMBOL	FUND FAMILY	FUND DESCRIPTION	SHARE CLASS	FUND TYPE	STATUS	CUT- OFF	IRA MIN	NON-IRA MIN
MRIMX	VICTORY	VICTORY INTEGRITY MID CAP VALUE R6	R6	NO LOAD	REDEEM ONLY	1600	0	0
MYIMX	VICTORY	VICTORY INTEGRITY MID CAP VALUE Y	Y	NO LOAD	OPEN	1600	1000000	1000000
MAIMX	VICTORY	VICTORY INTEGRITY MIDCAP VALUE A	A	LOAD	OPEN	1600	1000	2500
MYISX	VICTORY	VICTORY INTEGRITY SMALL MID CAP VALUE Y	Y	NO LOAD	OPEN	1600	1000000	1000000
VSCVX	VICTORY	VICTORY INTEGRITY SMALLCAP VALUE FUND	A	LOAD	OPEN	1600	1000	2500
MCSVX	VICTORY	VICTORY INTEGRITY SMALLCAP VALUE FUND CL C	C	LOAD	OPEN	1600	1000	2500
MRVSX	VICTORY	VICTORY INTEGRITY SMALLCAP VALUE FUND CL R	R	NO LOAD	REDEEM ONLY	1600	0	0
MVSSX	VICTORY	VICTORY INTEGRITY SMALLCAP VALUE FUND CL R6	R6	NO LOAD	REDEEM ONLY	1600	0	0
VSVIX	VICTORY	VICTORY INTEGRITY SMALLCAP VALUE FUND CL Y	Y	NO LOAD	OPEN	1600	1000000	1000000
MAISX	VICTORY	VICTORY INTEGRITY SMALLMIDCAP VALUE A	A	LOAD	OPEN	1600	1000	2500
MIRSX	VICTORY	VICTORY INTEGRITY SMALLMIDCAP VALUE R6	R6	NO LOAD	REDEEM ONLY	1600	0	0
USIFX	VICTORY	VICTORY INTERNATIONAL FD	NO LOAD	NO LOAD	OPEN	1600	3000	3000
UIIFX	VICTORY	VICTORY INTERNATIONAL INST	INSTITUTIONAL	NO LOAD	OPEN	1600	1000000	1000000
URITX	VICTORY	VICTORY INTERNATIONAL R6	R6	NO LOAD	REDEEM ONLY	1600	100000	100000
SBFCX	VICTORY	VICTORY INVESTMENT GRADE CONVERTIBLE CL A	A	LOAD	OPEN	1600	1000	2500
VICIX	VICTORY	VICTORY INVESTMENT GRADE CONVERTIBLE CL I	I	NO LOAD	REDEEM ONLY	1600	2000000	2000000
RLDAX	VICTORY	VICTORY LOW DURATION BOND FUND CL A	A	LOAD	OPEN	1600	1000	2500
RLDCX	VICTORY	VICTORY LOW DURATION BOND FUND CL C	C	LOAD	OPEN	1600	1000	2500
RSDYX	VICTORY	VICTORY LOW DURATION BOND FUND CL Y	Y	NO LOAD	OPEN	1600	1000000	1000000
CBHAX	VICTORY	VICTORY MARKET NEUTRAL INCM FD CL A	A	LOAD	OPEN	1600	1000	2500
CBHIX	VICTORY	VICTORY MARKET NEUTRAL INCM FD CL I	I	NO LOAD	OPEN	1600	2000000	2000000
CBHCX	VICTORY	VICTORY MARKET NEUTRAL INCOME C	C	LOAD	OPEN	1600	1000	2500
MGOAX	VICTORY	VICTORY MUNDER MID CAP CORE GROWTH FUND CL A	A	LOAD	OPEN	1600	1000	2500
MGOTX	VICTORY	VICTORY MUNDER MID CAP CORE GROWTH FUND CL C	C	LOAD	OPEN	1600	1000	2500
MGOYX	VICTORY	VICTORY MUNDER MID CAP CORE GROWTH FUND CL Y	Y	NO LOAD	OPEN	1600	1000000	1000000
MGOSX	VICTORY	VICTORY MUNDER MID CAP CORE GWTH R6	R6	NO LOAD	REDEEM ONLY	1600	0	0
MNNAX	VICTORY	VICTORY MUNDER MULTICAP FUND CL A	A	LOAD	OPEN	1600	1000	2500
MNNCX	VICTORY	VICTORY MUNDER MULTICAP FUND CL C	C	LOAD	OPEN	1600	1000	2500
MNNYX	VICTORY	VICTORY MUNDER MULTICAP FUND CL Y	Y	NO LOAD	OPEN	1600	1000000	1000000
UINQX	VICTORY	VICTORY NASDAQ 100 INDEX INST	INSTITUTIONAL	NO LOAD	OPEN	1600	2000000	2000000
UANQX	VICTORY	VICTORY NASDAQ100 A	A	LOAD	OPEN	1600	1000	2500
USNQX	VICTORY	VICTORY NASDAQ100 FD	NO LOAD	NO LOAD	OPEN	1600	3000	3000
URNQX	VICTORY	VICTORY NASDAQ100 R6	R6	NO LOAD	REDEEM ONLY	1600	100000	100000
USNYX	VICTORY	VICTORY NEW YORK	NO LOAD	NO LOAD	OPEN	1600	3000	3000
UNYIX	VICTORY	VICTORY NEW YORK INST	INSTITUTIONAL	NO LOAD	OPEN	1600	1000000	1000000
USAGX	VICTORY	VICTORY PRECIOUS METALS AND MINERALS FD	NO LOAD	NO LOAD	OPEN	1600	3000	3000
UIPMX	VICTORY	VICTORY PRECIOUS METALS AND MINERALS INST	INSTITUTIONAL	NO LOAD	OPEN	1600	1000000	1000000
RSGGX	VICTORY	VICTORY RS GLOBAL A	A	LOAD	OPEN	1600	1000	2500
RGGRX	VICTORY	VICTORY RS GLOBAL R6	R6	NO LOAD	REDEEM ONLY	1600	100000	100000
RGGYX	VICTORY	VICTORY RS GLOBAL Y	Y	NO LOAD	OPEN	1600	1000000	1000000
RSGRX	VICTORY	VICTORY RS GROWTH FUND CL A	A	LOAD	OPEN	1600	1000	2500

SYMBOL	FUND FAMILY	FUND DESCRIPTION	SHARE CLASS	FUND TYPE	STATUS	CUT- OFF	IRA MIN	NON-IRA MIN
RGWCX	VICTORY	VICTORY RS GROWTH FUND CL C	C	LOAD	OPEN	1600	1000	2500
RGRYX	VICTORY	VICTORY RS GROWTH FUND CL Y	Y	NO LOAD	OPEN	1600	1000000	1000000
GUBGX	VICTORY	VICTORY RS INTERNATIONAL FUND CL A	A	LOAD	OPEN	1600	1000	2500
RIGCX	VICTORY	VICTORY RS INTERNATIONAL FUND CL C	C	LOAD	OPEN	1600	1000	2500
RSIGX	VICTORY	VICTORY RS INTERNATIONAL FUND CL Y	Y	NO LOAD	OPEN	1600	1000000	1000000
RSINX	VICTORY	VICTORY RS INVESTORS FUND CL A	A	LOAD	OPEN	1600	1000	2500
RIVCX	VICTORY	VICTORY RS INVESTORS FUND CL C	C	LOAD	OPEN	1600	1000	2500
RSIYX	VICTORY	VICTORY RS INVESTORS FUND CL Y	Y	NO LOAD	OPEN	1600	1000000	1000000
GPAFX	VICTORY	VICTORY RS LARGE CAP ALPHA FUND CL A	A	LOAD	OPEN	1600	1000	2500
RCOCX	VICTORY	VICTORY RS LARGE CAP ALPHA FUND CL C	C	LOAD	OPEN	1600	1000	2500
RCEYX	VICTORY	VICTORY RS LARGE CAP ALPHA FUND CL Y	Y	NO LOAD	OPEN	1600	1000000	1000000
RMOCX	VICTORY	VICTORY RS MID CAP GROWTH FD CL C	C	LOAD	OPEN	1600	1000	2500
RSMOX	VICTORY	VICTORY RS MID CAP GROWTH FUND CL A	A	LOAD	OPEN	1600	1000	2500
RMOYX	VICTORY	VICTORY RS MID CAP GROWTH FUND CL Y	Y	NO LOAD	OPEN	1600	1000000	1000000
RSPFX	VICTORY	VICTORY RS PARTNERS FUND CL A	A	LOAD	OPEN	1600	1000	2500
RSPKX	VICTORY	VICTORY RS PARTNERS FUND CL R	R	NO LOAD	REDEEM ONLY	1600	0	0
RSPYX	VICTORY	VICTORY RS PARTNERS FUND CL Y	Y	NO LOAD	OPEN	1600	1000000	1000000
RSIFX	VICTORY	VICTORY RS SCIENCE AND TECHNOLOGY FUND CL A	A	LOAD	OPEN	1600	1000	2500
RINCX	VICTORY	VICTORY RS SCIENCE AND TECHNOLOGY FUND CL C	C	LOAD	OPEN	1600	1000	2500
RIFYX	VICTORY	VICTORY RS SCIENCE AND TECHNOLOGY FUND CL Y	Y	NO LOAD	OPEN	1600	1000000	1000000
RSDGX	VICTORY	VICTORY RS SELECT GROWTH FUND CL A	A	LOAD	OPEN	1600	1000	2500
RSGFX	VICTORY	VICTORY RS SELECT GROWTH FUND CL C	C	LOAD	OPEN	1600	1000	2500
RSSYX	VICTORY	VICTORY RS SELECT GROWTH FUND CL Y	Y	NO LOAD	OPEN	1600	1000000	1000000
RSCCX	VICTORY	VICTORY RS SMALL CAP EQUITY C	C	LOAD	OPEN	1600	1000	2500
GPSCX	VICTORY	VICTORY RS SMALL CAP EQUITY FUND CL A	A	LOAD	OPEN	1600	1000	2500
RSCYX	VICTORY	VICTORY RS SMALL CAP EQUITY FUND CL Y	Y	NO LOAD	OPEN	1600	1000000	1000000
RSEJX	VICTORY	VICTORY RS SMALL CAP GROWTH FD CL R6	R6	NO LOAD	REDEEM ONLY	1600	0	0
RSEGX	VICTORY	VICTORY RS SMALL CAP GROWTH FUND CL A	A	LOAD	OPEN	1600	1000	2500
REGWX	VICTORY	VICTORY RS SMALL CAP GROWTH FUND CL C	C	LOAD	OPEN	1600	1000	2500
RSYEX	VICTORY	VICTORY RS SMALL CAP GROWTH FUND CL Y	Y	NO LOAD	OPEN	1600	1000000	1000000
RSVAX	VICTORY	VICTORY RS VALUE FUND CL A	A	LOAD	OPEN	1600	1000	2500
RVACX	VICTORY	VICTORY RS VALUE FUND CL C	C	LOAD	OPEN	1600	1000	2500
RSVYX	VICTORY	VICTORY RS VALUE FUND CL Y	Y	NO LOAD	OPEN	1600	1000000	1000000
MUXAX	VICTORY	VICTORY S&P 500 INDEX FD CL A	A	LOAD	OPEN	1600	1000	2500
MUXYX	VICTORY	VICTORY S&P 500 INDEX Y	Y	NO LOAD	OPEN	1600	1000000	1000000
USTCX	VICTORY	VICTORY SCIENCE & TECHNOLOGY CLASS A	A	LOAD WAIVED	REDEEM ONLY	1600	3000	3000
USSCX	VICTORY	VICTORY SCIENCE AND TECH	NO LOAD	NO LOAD	OPEN	1600	3000	3000
URSBX	VICTORY	VICTORY SHORT TERM BOND R6	R6	NO LOAD	REDEEM ONLY	1600	100000	100000
USSBX	VICTORY	VICTORY SHORTTERM FD	NO LOAD	NO LOAD	OPEN	1600	3000	3000
UISBX	VICTORY	VICTORY SHORTTERM INST	INSTITUTIONAL	NO LOAD	OPEN	1600	1000000	1000000
USCAX	VICTORY	VICTORY SMALL CAP STK FD	NO LOAD	NO LOAD	OPEN	1600	3000	3000

SYMBOL	FUND FAMILY	FUND DESCRIPTION	SHARE CLASS	FUND TYPE	STATUS	CUT- OFF	IRA MIN	NON-IRA MIN
UISCX	VICTORY	VICTORY SMALL CAP STOCK INST	INSTITUTIONAL	NO LOAD	OPEN	1600	1000000	1000000
GBEMX	VICTORY	VICTORY SOPHUS EMERGING MARKETS FUND CL A	A	LOAD	OPEN	1600	1000	2500
REMGX	VICTORY	VICTORY SOPHUS EMERGING MARKETS FUND CL C	C	LOAD	OPEN	1600	1000	2500
REMKX	VICTORY	VICTORY SOPHUS EMERGING MARKETS FUND CL R	R	NO LOAD	REDEEM ONLY	1600	0	0
RSENX	VICTORY	VICTORY SOPHUS EMERGING MARKETS FUND CL Y	Y	NO LOAD	OPEN	1600	1000000	1000000
RSERX	VICTORY	VICTORY SOPHUS EMRG MKTS FD CL R6	R6	NO LOAD	REDEEM ONLY	1600	0	0
VSVCX	VICTORY	VICTORY SPECIAL VALUE C	C	LOAD	OPEN	1600	1000	2500
SSVSX	VICTORY	VICTORY SPECIAL VALUE FD CL A	A	LOAD	OPEN	1600	1000	2500
VSPIX	VICTORY	VICTORY SPECIAL VALUE I	I	NO LOAD	OPEN	1600	2000000	2000000
VSVGX	VICTORY	VICTORY SPECIAL VALUE R	R	NO LOAD	REDEEM ONLY	1600	0	0
SBALX	VICTORY	VICTORY STRATEGIC ALLOCATION FUND CL A	A	LOAD	OPEN	1600	1000	2500
VBFCX	VICTORY	VICTORY STRATEGIC ALLOCATION FUND CL C	C	LOAD	OPEN	1600	1000	2500
VBFIX	VICTORY	VICTORY STRATEGIC ALLOCATION FUND CL I	I	NO LOAD	OPEN	1600	2000000	2000000
USAWX	VICTORY	VICTORY SUSTAINABLE WORLD FD	NO LOAD	NO LOAD	OPEN	1600	3000	3000
UIWGX	VICTORY	VICTORY SUSTAINABLE WORLD INST	INSTITUTIONAL	NO LOAD	OPEN	1600	1000000	1000000
VEVCX	VICTORY	VICTORY SYCAMORE ESTABLISHED VALUE C	C	LOAD	SUBS ONLY	1600	1000	2500
VETAX	VICTORY	VICTORY SYCAMORE ESTABLISHED VALUE FUND CL A	A	LOAD	SUBS ONLY	1600	1000	2500
VEVIX	VICTORY	VICTORY SYCAMORE ESTABLISHED VALUE FUND CL I	I	NO LOAD	SUBS ONLY	1600	2000000	2000000
GETGX	VICTORY	VICTORY SYCAMORE ESTABLISHED VALUE FUND CL R	R	NO LOAD	SUBS ONLY	1600	0	0
VEVRX	VICTORY	VICTORY SYCAMORE ESTABLISHED VALUE R6	R6	NO LOAD	REDEEM ONLY	1600	0	0
VEVYX	VICTORY	VICTORY SYCAMORE ESTABLISHED VALUE Y	Y	NO LOAD	SUBS ONLY	1600	1000000	1000000
SSGSX	VICTORY	VICTORY SYCAMORE SMALL COMPANY OPPTY CL A	A	LOAD	SUBS ONLY	1600	1000	2500
VSOIX	VICTORY	VICTORY SYCAMORE SMALL COMPANY OPPTY CL I	I	NO LOAD	SUBS ONLY	1600	2000000	2000000
GOGFX	VICTORY	VICTORY SYCAMORE SMALL COMPANY OPPTY CL R	R	NO LOAD	SUBS ONLY	1600	0	0
VSORX	VICTORY	VICTORY SYCAMORE SMALL COMPANY OPPTY R6	R6	NO LOAD	REDEEM ONLY	1600	0	0
VSOYX	VICTORY	VICTORY SYCAMORE SMALL COMPANY OPPTY Y	Y	NO LOAD	REDEEM ONLY	1600	1000000	1000000
URTRX	VICTORY	VICTORY TARGET RETIREMENT 2030 FUND	NO LOAD	NO LOAD	OPEN	1600	500	500
URFRX	VICTORY	VICTORY TARGET RETIREMENT 2040 FD	NO LOAD	NO LOAD	OPEN	1600	500	500
URFFX	VICTORY	VICTORY TARGET RETIREMENT 2050 FD	NO LOAD	NO LOAD	OPEN	1600	500	500
URSIX	VICTORY	VICTORY TARGET RETIREMENT 2060 FD	NO LOAD	NO LOAD	OPEN	1600	500	500
URINX	VICTORY	VICTORY TARGET RETIREMENT INCOME FD	NO LOAD	NO LOAD	OPEN	1600	500	500
USATX	VICTORY	VICTORY TAX EXEMPT INTERMEDIATE TERM	NO LOAD	NO LOAD	OPEN	1600	3000	3000
UITIX	VICTORY	VICTORY TAX EXEMPT INTERMEDIATETERM INST	INSTITUTIONAL	NO LOAD	OPEN	1600	1000000	1000000
USTEX	VICTORY	VICTORY TAX EXEMPT LONG TERM	NO LOAD	NO LOAD	OPEN	1600	3000	3000
UTELX	VICTORY	VICTORY TAX EXEMPT LONGTERM CLASS A	A	LOAD	OPEN	1600	1000	2500
ULTIX	VICTORY	VICTORY TAX EXEMPT LONGTERM INST	INSTITUTIONAL	NO LOAD	OPEN	1600	1000000	1000000
USSTX	VICTORY	VICTORY TAX EXEMPT SHORTTERM	NO LOAD	NO LOAD	OPEN	1600	3000	3000
USTIX	VICTORY	VICTORY TAX EXEMPT SHORTTERM INST	INSTITUTIONAL	NO LOAD	OPEN	1600	1000000	1000000
GUTEX	VICTORY	VICTORY TAXEXEMPT FUND CL A	A	LOAD	OPEN	1600	1000	2500
RETCX	VICTORY	VICTORY TAXEXEMPT FUND CL C	C	LOAD	OPEN	1600	1000	2500
RSTYX	VICTORY	VICTORY TAXEXEMPT FUND CL Y	Y	NO LOAD	OPEN	1600	1000000	1000000

SYMBOL	FUND FAMILY	FUND DESCRIPTION	SHARE CLASS	FUND TYPE	STATUS	CUT- OFF	IRA MIN	NON-IRA MIN
THBVX	VICTORY	VICTORY THB US SMALL OPPORTUNITIES A	A	LOAD	OPEN	1600	0	0
THBIX	VICTORY	VICTORY THB US SMALL OPPORTUNITIES I	I	NO LOAD	OPEN	1600	2000000	2000000
MUCAX	VICTORY	VICTORY TOTAL RETURN BOND FUND CL A	A	LOAD	OPEN	1600	1000	2500
MUCCX	VICTORY	VICTORY TOTAL RETURN BOND FUND CL C	C	LOAD	OPEN	1600	1000	2500
MUCYX	VICTORY	VICTORY TOTAL RETURN BOND FUND CL Y	Y	NO LOAD	OPEN	1600	1000000	1000000
MCISX	VICTORY	VICTORY TRIVALENT INTERNATIONAL SMCP C	C	LOAD	SUBS ONLY	1600	1000	2500
MAICX	VICTORY	VICTORY TRIVALENT INTL CORE EQTY A	A	LOAD	OPEN	1600	1000	2500
MICYX	VICTORY	VICTORY TRIVALENT INTL CORE EQTY Y	Y	NO LOAD	OPEN	1600	1000000	1000000
MICIX	VICTORY	VICTORY TRIVALENT INTL FD CORE EQTY I	I	NO LOAD	OPEN	1600	2000000	2000000
MISAX	VICTORY	VICTORY TRIVALENT INTL SMALL CAP A	A	LOAD	SUBS ONLY	1600	1000	2500
MISIX	VICTORY	VICTORY TRIVALENT INTL SMALL CAP INST	I	NO LOAD	REDEEM ONLY	1600	2000000	2000000
MSSIX	VICTORY	VICTORY TRIVALENT INTL SMALL CAP R6	R6	NO LOAD	REDEEM ONLY	1600	0	0
MYSIX	VICTORY	VICTORY TRIVALENT INTL SMALL CAP Y	Y	NO LOAD	REDEEM ONLY	1600	1000000	1000000
UUSTX	VICTORY	VICTORY ULTRA SHORTTERM	NO LOAD	NO LOAD	OPEN	1600	3000	3000
UUSIX	VICTORY	VICTORY ULTRA SHORTTERM INST	INSTITUTIONAL	NO LOAD	OPEN	1600	1000000	1000000
CUHAX	VICTORY	VICTORY US 500 ENHANCED VOL WTD INDEX FD CL A	A	LOAD	OPEN	1600	2500	2500
CUHCX	VICTORY	VICTORY US 500 ENHANCED VOL WTD INDEX FD CL C	C	LOAD	SUBS ONLY	1600	1000	2500
CUHIX	VICTORY	VICTORY US 500 ENHANCED VOL WTD INDEX FD CL I	I	NO LOAD	SUBS ONLY	1600	2000000	2000000
USGNX	VICTORY	VICTORY USAA GOVT SECS FD	NO LOAD	NO LOAD	OPEN	1600	3000	3000
UVALX	VICTORY	VICTORY VALUE FD	NO LOAD	NO LOAD	OPEN	1600	3000	3000
UIVAX	VICTORY	VICTORY VALUE INST	INSTITUTIONAL	NO LOAD	OPEN	1600	1000000	1000000
USVAX	VICTORY	VICTORY VIRGINIA	NO LOAD	NO LOAD	OPEN	1600	3000	3000
UVAIX	VICTORY	VICTORY VIRGINIA INST	INSTITUTIONAL	NO LOAD	OPEN	1600	1000000	1000000
ASFYX	VIRTUS FUNDS	VIRTUS ALPHASIMPLEX MANAGED FUTURES STRATEGY I	I	NO LOAD	OPEN	1600	100000	100000
AMFAX	VIRTUS FUNDS	VIRTUS ALTERNATIVE S	A	LOAD	OPEN	1600	100	2500
GAFAX	VIRTUS FUNDS	VIRTUS ALTERNATIVE S	A	LOAD	OPEN	1600	100	2500
ASFCX	VIRTUS FUNDS	VIRTUS ALTERNATIVE S	C	LOAD	OPEN	1600	2500	2500
GAFCX	VIRTUS FUNDS	VIRTUS ALTERNATIVE S	C	LOAD	OPEN	1600	100	2500
GAFYX	VIRTUS FUNDS	VIRTUS ALTERNATIVE S	I	NO LOAD	OPEN	1600	100000	100000
AMFNX	VIRTUS FUNDS	VIRTUS ALTERNATIVE S	R6	NO LOAD	REDEEM ONLY	1600	2500000	2500000
GAFNX	VIRTUS FUNDS	VIRTUS ALTERNATIVE S	R6	NO LOAD	REDEEM ONLY	1600	2500000	2500000
SVIIX	VIRTUS FUNDS	VIRTUS CEREDEx LARGE CAP VALUE EQ A	A	LOAD	OPEN	1600	2000	2000
SVIFX	VIRTUS FUNDS	VIRTUS CEREDEx LARGE CAP VALUE EQ C	C	LOAD	OPEN	1600	2000	5000
STVTX	VIRTUS FUNDS	VIRTUS CEREDEx LARGE CAP VALUE EQ I	I	NO LOAD	OPEN	1600	0	0
STVZX	VIRTUS FUNDS	VIRTUS CEREDEx LARGE CAP VALUE EQ R6	R6	NO LOAD	REDEEM ONLY	1600	0	0
SMVZX	VIRTUS FUNDS	VIRTUS CEREDEx MID CAP VALUE EQ R6	R6	NO LOAD	REDEEM ONLY	1600	0	0
SAMVX	VIRTUS FUNDS	VIRTUS CEREDEx MIDCAP VALUE EQ A	A	LOAD	OPEN	1600	100	2500
SMVFX	VIRTUS FUNDS	VIRTUS CEREDEx MIDCAP VALUE EQ C	C	LOAD	OPEN	1600	2000	5000
SMVTX	VIRTUS FUNDS	VIRTUS CEREDEx MIDCAP VALUE EQ I	I	NO LOAD	OPEN	1600	0	0
SASVX	VIRTUS FUNDS	VIRTUS CEREDEx SMALL CAP VALUE EQ A	A	LOAD	OPEN	1600	2000	2000
STCEX	VIRTUS FUNDS	VIRTUS CEREDEx SMALL CAP VALUE EQ C	C	LOAD	OPEN	1600	2000	5000

SYMBOL	FUND FAMILY	FUND DESCRIPTION	SHARE CLASS	FUND TYPE	STATUS	CUT-OFF	IRA MIN	NON-IRA MIN
SCETX	VIRTUS FUNDS	VIRTUS CEREDX SMALL CAP VALUE EQ I	I	NO LOAD	OPEN	1600	0	0
VVERX	VIRTUS FUNDS	VIRTUS CEREDX SMALLCAP VALUE EQ R6	R6	NO LOAD	REDEEM ONLY	1600	2500000	2500000
ANZAX	VIRTUS FUNDS	VIRTUS CONVERTIBLE A	A	LOAD	OPEN	1600	1000	1000
ANZCX	VIRTUS FUNDS	VIRTUS CONVERTIBLE C	C	LOAD	OPEN	1600	1000	1000
ANNPX	VIRTUS FUNDS	VIRTUS CONVERTIBLE I	INSTITUTIONAL	NO LOAD	OPEN	1600	100000	100000
VAADX	VIRTUS FUNDS	VIRTUS CONVERTIBLE R6	R6	NO LOAD	REDEEM ONLY	1600	2500000	2500000
VRGEX	VIRTUS FUNDS	VIRTUS DUFF & PHELPS GLB RL ESTT SECS R6	R6	NO LOAD	REDEEM ONLY	1600	2500000	2500000
VGIRX	VIRTUS FUNDS	VIRTUS DUFF & PHELPS GLOBAL INFRAS R6	R6	NO LOAD	REDEEM ONLY	1600	2500000	2500000
PDPAX	VIRTUS FUNDS	VIRTUS DUFF & PHELPS REAL ASSET FD CL A	A	LOAD	OPEN	1600	100	2500
PDPCX	VIRTUS FUNDS	VIRTUS DUFF & PHELPS REAL ASSET FD CL C	C	LOAD	OPEN	1600	25	500
VADIX	VIRTUS FUNDS	VIRTUS DUFF & PHELPS REAL ASSET FD CL I	I	NO LOAD	OPEN	1600	100000	100000
VAABX	VIRTUS FUNDS	VIRTUS DUFF & PHELPS REAL ASSET R6	R6	NO LOAD	REDEEM ONLY	1600	2500000	2500000
VLP CX	VIRTUS FUNDS	VIRTUS DUFF & PHELPS SEL MLP & ENGY C	C	LOAD	OPEN	1600	100	2500
VLP IX	VIRTUS FUNDS	VIRTUS DUFF & PHELPS SEL MLP & ENGY I	I	NO LOAD	OPEN	1600	100000	100000
AWTAX	VIRTUS FUNDS	VIRTUS DUFF & PHELPS WATER A	A	LOAD	OPEN	1600	1000	1000
AWTCX	VIRTUS FUNDS	VIRTUS DUFF & PHELPS WATER C	C	LOAD	OPEN	1600	1000	1000
AWTIX	VIRTUS FUNDS	VIRTUS DUFF & PHELPS WATER I	INSTITUTIONAL	NO LOAD	OPEN	1600	100000	100000
PGUAX	VIRTUS FUNDS	VIRTUS DUFF PHELPS GLBL INFRASTRUCTURE CL A	A	LOAD	OPEN	1600	100	2500
PGUCX	VIRTUS FUNDS	VIRTUS DUFF PHELPS GLBL INFRASTRUCTURE CL C	C	LOAD	OPEN	1600	100	2500
PGIUX	VIRTUS FUNDS	VIRTUS DUFF PHELPS GLBL INFRASTRUCTURE CL I	I	NO LOAD	OPEN	1600	100000	100000
VGSAX	VIRTUS FUNDS	VIRTUS DUFF PHELPS GLBL REAL ESTATE SEC CL A	A	LOAD	OPEN	1600	100	2500
VGSCX	VIRTUS FUNDS	VIRTUS DUFF PHELPS GLBL REAL ESTATE SEC CL C	C	LOAD	OPEN	1600	100	2500
VGISX	VIRTUS FUNDS	VIRTUS DUFF PHELPS GLBL REAL ESTATE SEC CL I	I	NO LOAD	OPEN	1600	100000	100000
PHRAX	VIRTUS FUNDS	VIRTUS DUFF PHELPS REAL ESTATE SEC CL A	A	LOAD	OPEN	1600	100	2500
PHRCX	VIRTUS FUNDS	VIRTUS DUFF PHELPS REAL ESTATE SEC CL C	C	LOAD	OPEN	1600	0	0
PHRIX	VIRTUS FUNDS	VIRTUS DUFF PHELPS REAL ESTATE SEC CL I	I	NO LOAD	OPEN	1600	100000	100000
VRREX	VIRTUS FUNDS	VIRTUS DUFF PHELPS REAL ESTATE SEC CL R6	R6	NO LOAD	REDEEM ONLY	1600	0	0
VLPAX	VIRTUS FUNDS	VIRTUS DUFF PHELPS SELECT MLP AND ENERGY CL A	A	LOAD	OPEN	1600	100	100
AOTAX	VIRTUS FUNDS	VIRTUS EMERGING MARKETS OP A	A	LOAD	OPEN	1600	1000	1000
AOTCX	VIRTUS FUNDS	VIRTUS EMERGING MARKETS OP C	C	LOAD	OPEN	1600	1000	1000
AOTIX	VIRTUS FUNDS	VIRTUS EMERGING MARKETS OP I	INSTITUTIONAL	NO LOAD	OPEN	1600	100000	100000
AEMOX	VIRTUS FUNDS	VIRTUS EMERGING MARKETS OP R6	R6	NO LOAD	REDEEM ONLY	1600	0	0
VKSRX	VIRTUS FUNDS	VIRTUS EQUITY TR KAR SML MID R6	R6	NO LOAD	REDEEM ONLY	1600	2500000	2500000
VKSCX	VIRTUS FUNDS	VIRTUS EQUITY TR KAR SMLL MID C	C	LOAD	OPEN	1600	2500	2500
PALAX	VIRTUS FUNDS	VIRTUS GLOBAL ALLOCATION A	A	LOAD	OPEN	1600	1000	1000
PALCX	VIRTUS FUNDS	VIRTUS GLOBAL ALLOCATION C	C	LOAD	OPEN	1600	1000	1000
PALLX	VIRTUS FUNDS	VIRTUS GLOBAL ALLOCATION I	INSTITUTIONAL	NO LOAD	OPEN	1600	100000	100000
AGASX	VIRTUS FUNDS	VIRTUS GLOBAL ALLOCATION R6	R6	NO LOAD	REDEEM ONLY	1600	0	0
AZNAX	VIRTUS FUNDS	VIRTUS INCOME AND GROWTH A	A	LOAD	OPEN	1600	1000	1000
AZNCX	VIRTUS FUNDS	VIRTUS INCOME AND GROWTH C	C	LOAD	OPEN	1600	1000	1000
AZNIX	VIRTUS FUNDS	VIRTUS INCOME AND GROWTH I	INSTITUTIONAL	NO LOAD	OPEN	1600	100000	100000

SYMBOL	FUND FAMILY	FUND DESCRIPTION	SHARE CLASS	FUND TYPE	STATUS	CUT- OFF	IRA MIN	NON-IRA MIN
AOPAX	VIRTUS FUNDS	VIRTUS INTERNATIONAL SMALL CAP A	A	LOAD	OPEN	1600	1000	1000
ALOIX	VIRTUS FUNDS	VIRTUS INTERNATIONAL SMALL CAP I	INSTITUTIONAL	NO LOAD	OPEN	1600	100000	100000
AIISX	VIRTUS FUNDS	VIRTUS INTERNATIONAL SMALL CAP R6	R6	NO LOAD	REDEEM ONLY	1600	0	0
PSTAX	VIRTUS FUNDS	VIRTUS KAR CAPITAL GROWTH CL A	A	LOAD	OPEN	1600	100	2500
SSTFX	VIRTUS FUNDS	VIRTUS KAR CAPITAL GROWTH CL C	C	LOAD	OPEN	1600	25	500
PLXGX	VIRTUS FUNDS	VIRTUS KAR CAPITAL GROWTH CL I	I	NO LOAD	OPEN	1600	100000	100000
VDMAX	VIRTUS FUNDS	VIRTUS KAR DEVELOPING MARKETS A	A	LOAD	OPEN	1600	100	100
VIDMX	VIRTUS FUNDS	VIRTUS KAR DEVELOPING MARKETS I	P	NO LOAD	OPEN	1600	100000	100000
VRESX	VIRTUS FUNDS	VIRTUS KAR EMERGING MARKETS SMALLCAP R6	R6	NO LOAD	REDEEM ONLY	1600	2500000	2500000
VAESX	VIRTUS FUNDS	VIRTUS KAR EMRG MKTS SM CAP FD CL A	A	LOAD	OPEN	1600	100	2500
VCESX	VIRTUS FUNDS	VIRTUS KAR EMRG MKTS SM CAP FD CL C	C	LOAD	OPEN	1600	100	2500
VIESX	VIRTUS FUNDS	VIRTUS KAR EMRG MKTS SM CAP FD CL I	I	NO LOAD	OPEN	1600	0	100000
PDIAX	VIRTUS FUNDS	VIRTUS KAR EQUITY INCOME FD CL A	A	LOAD	OPEN	1600	100	2500
PGICX	VIRTUS FUNDS	VIRTUS KAR EQUITY INCOME FD CL C	C	LOAD	OPEN	1600	25	500
PXIIX	VIRTUS FUNDS	VIRTUS KAR EQUITY INCOME FD CL I	I	NO LOAD	OPEN	1600	100000	100000
PPTAX	VIRTUS FUNDS	VIRTUS KAR GLBL QUALITY DIV CL A	A	LOAD	OPEN	1600	100	2500
PPTCX	VIRTUS FUNDS	VIRTUS KAR GLBL QUALITY DIV CL C	C	LOAD	OPEN	1600	25	500
PIPTX	VIRTUS FUNDS	VIRTUS KAR GLBL QUALITY DIV CL I	I	NO LOAD	OPEN	1600	100000	100000
RGSAX	VIRTUS FUNDS	VIRTUS KAR GLOBAL SMALLCAP A	A	LOAD	OPEN	1600	1000	1000
RGSCX	VIRTUS FUNDS	VIRTUS KAR GLOBAL SMALLCAP C	C	LOAD	OPEN	1600	1000	1000
DGSCX	VIRTUS FUNDS	VIRTUS KAR GLOBAL SMALLCAP I	INSTITUTIONAL	NO LOAD	OPEN	1600	100000	100000
RAGHX	VIRTUS FUNDS	VIRTUS KAR HEALTH SCIENCES A	A	LOAD	OPEN	1600	1000	1000
RCGHX	VIRTUS FUNDS	VIRTUS KAR HEALTH SCIENCES C	C	LOAD	OPEN	1600	1000	1000
HLHIX	VIRTUS FUNDS	VIRTUS KAR HEALTH SCIENCES I	INSTITUTIONAL	NO LOAD	OPEN	1600	100000	100000
VCISX	VIRTUS FUNDS	VIRTUS KAR INTERNATIONAL SMALLCAP C	C	LOAD	OPEN	1600	2500	2500
VISAX	VIRTUS FUNDS	VIRTUS KAR INTL SMALL CAP CL A	A	LOAD	OPEN	1600	100	2500
VIISX	VIRTUS FUNDS	VIRTUS KAR INTL SMALL CAP CL I	I	NO LOAD	OPEN	1600	100000	100000
VRISX	VIRTUS FUNDS	VIRTUS KAR INTL SMALL CAP R6	R6	NO LOAD	REDEEM ONLY	1600	0	0
VLSAX	VIRTUS FUNDS	VIRTUS KAR LONG SHORT EQTY FD A	A	LOAD	OPEN	1600	100	100
VLSIX	VIRTUS FUNDS	VIRTUS KAR LONG SHORT EQTY FD I	I	NO LOAD	OPEN	1600	100000	100000
VLSCX	VIRTUS FUNDS	VIRTUS KAR LONGSHORT EQUITY C	C	LOAD	OPEN	1600	100	2500
VMACX	VIRTUS FUNDS	VIRTUS KAR MID CAP CORE FD CL A	A	LOAD	OPEN	1600	100	2500
VMCCX	VIRTUS FUNDS	VIRTUS KAR MID CAP CORE FD CL C	C	LOAD	OPEN	1600	25	500
VIMCX	VIRTUS FUNDS	VIRTUS KAR MID CAP CORE FD CL I	I	NO LOAD	OPEN	1600	100000	100000
PHSKX	VIRTUS FUNDS	VIRTUS KAR MID CAP GROWTH FD CL A	A	LOAD	OPEN	1600	100	2500
PSKCX	VIRTUS FUNDS	VIRTUS KAR MID CAP GROWTH FD CL C	C	LOAD	OPEN	1600	25	500
PICMX	VIRTUS FUNDS	VIRTUS KAR MID CAP GROWTH FD CL I	I	NO LOAD	OPEN	1600	100000	100000
VRMGX	VIRTUS FUNDS	VIRTUS KAR MID CAP GWTH FD R6	R6	NO LOAD	REDEEM ONLY	1600	0	0
PQSAX	VIRTUS FUNDS	VIRTUS KAR QUALITY SMALL CAP FD CL A	A	LOAD	OPEN	1600	100	2500
PQSCX	VIRTUS FUNDS	VIRTUS KAR QUALITY SMALL CAP FD CL C	C	LOAD	OPEN	1600	100	2500
PXQSX	VIRTUS FUNDS	VIRTUS KAR QUALITY SMALL CAP FD CL I	I	NO LOAD	OPEN	1600	100000	100000

SYMBOL	FUND FAMILY	FUND DESCRIPTION	SHARE CLASS	FUND TYPE	STATUS	CUT- OFF	IRA MIN	NON-IRA MIN
PKSAX	VIRTUS FUNDS	VIRTUS KAR SMALL CAP CORE CL A	A	LOAD	SUBS ONLY	1600	100	2500
PKSCX	VIRTUS FUNDS	VIRTUS KAR SMALL CAP CORE CL C	C	LOAD	SUBS ONLY	1600	25	500
PKSFX	VIRTUS FUNDS	VIRTUS KAR SMALL CAP CORE CL I	I	NO LOAD	REDEEM ONLY	1600	100000	100000
PSGAX	VIRTUS FUNDS	VIRTUS KAR SMALL CAP GROWTH FD CL A	A	LOAD	OPEN	1600	100	2500
PSGCX	VIRTUS FUNDS	VIRTUS KAR SMALL CAP GROWTH FD CL C	C	LOAD	OPEN	1600	100	2500
PXSGX	VIRTUS FUNDS	VIRTUS KAR SMALL CAP GROWTH FD CL I	I	NO LOAD	OPEN	1600	100000	100000
VRSGX	VIRTUS FUNDS	VIRTUS KAR SMALL CAP GWTH FD R6	R6	NO LOAD	REDEEM ONLY	1600	0	0
VKSAX	VIRTUS FUNDS	VIRTUS KAR SMALL MID CAP CORE FD A	A	LOAD	OPEN	1600	100	100
VKSIX	VIRTUS FUNDS	VIRTUS KAR SMALL MID CAP CORE FD I	I	NO LOAD	OPEN	1600	100000	100000
VSCRX	VIRTUS FUNDS	VIRTUS KAR SMALLCAP CORE R6	R6	NO LOAD	REDEEM ONLY	1600	2500000	2500000
VQSRX	VIRTUS FUNDS	VIRTUS KAR SMALLCAP VALUE R6	R6	NO LOAD	REDEEM ONLY	1600	2500000	2500000
VAKSX	VIRTUS FUNDS	VIRTUS KAR SMALLMID CAP GROWTH A	A	LOAD	OPEN	1600	100	100
VIKSX	VIRTUS FUNDS	VIRTUS KAR SMALLMID CAP GROWTH I	I	NO LOAD	OPEN	1600	100000	100000
VKSDX	VIRTUS FUNDS	VIRTUS KAR SMALLMID CAP VALUE A	A	LOAD	OPEN	1600	100	100
VKSFX	VIRTUS FUNDS	VIRTUS KAR SMALLMID CAP VALUE I	I	NO LOAD	OPEN	1600	0	0
MERFX	VIRTUS FUNDS	VIRTUS MERGER A	A	LOAD	OPEN	1600	100	100
MERIX	VIRTUS FUNDS	VIRTUS MERGER I	INSTITUTIONAL	NO LOAD	OPEN	1600	100000	100000
SAVAX	VIRTUS FUNDS	VIRTUS NEWFLEET CORE PLUS BOND FD CL A	A	LOAD	OPEN	1600	100	2500
SAVCX	VIRTUS FUNDS	VIRTUS NEWFLEET CORE PLUS BOND FD CL C	C	LOAD	OPEN	1600	25	500
SAVYX	VIRTUS FUNDS	VIRTUS NEWFLEET CORE PLUS BOND FD CL I	I	NO LOAD	OPEN	1600	100000	100000
PHCHX	VIRTUS FUNDS	VIRTUS NEWFLEET HIGH YIELD FD CL A	A	LOAD	OPEN	1600	100	2500
PGHCX	VIRTUS FUNDS	VIRTUS NEWFLEET HIGH YIELD FD CL C	C	LOAD	OPEN	1600	100	2500
PHCIX	VIRTUS FUNDS	VIRTUS NEWFLEET HIGH YIELD FD CL I	I	NO LOAD	OPEN	1600	100000	100000
HIBIX	VIRTUS FUNDS	VIRTUS NEWFLEET LOW DURATION CORE PLUS BD FD CL I	I	NO LOAD	OPEN	1600	100000	100000
HIMZX	VIRTUS FUNDS	VIRTUS NEWFLEET LOW DURATION CORE PLUS BOND FD CL A	A	LOAD	OPEN	1600	100	2500
PCMZX	VIRTUS FUNDS	VIRTUS NEWFLEET LOW DURATION CORE PLUS BOND FD CL C	C	LOAD	OPEN	1600	100	2500
NAMFX	VIRTUS FUNDS	VIRTUS NEWFLEET MULTI SECTOR INTERM BD FD CL A	A	LOAD	OPEN	1600	100	2500
NCMFX	VIRTUS FUNDS	VIRTUS NEWFLEET MULTI SECTOR INTERM BD FD CL C	C	LOAD	OPEN	1600	100	2500
VMFIX	VIRTUS FUNDS	VIRTUS NEWFLEET MULTI SECTOR INTERM BD FD CL I	I	NO LOAD	OPEN	1600	100000	100000
PMSTX	VIRTUS FUNDS	VIRTUS NEWFLEET MULTI SECTOR SHORT TERM BD C1	C1	LOAD	OPEN	1600	0	0
NARAX	VIRTUS FUNDS	VIRTUS NEWFLEET MULTI SECTOR SHORT TERM BD CL A	A	LOAD	OPEN	1600	100	2500
PSTCX	VIRTUS FUNDS	VIRTUS NEWFLEET MULTI SECTOR SHORT TERM BD CL C	C	LOAD	REDEEM ONLY	1600	0	0
PIMSX	VIRTUS FUNDS	VIRTUS NEWFLEET MULTI SECTOR SHORT TERM BD CL I	I	NO LOAD	OPEN	1600	100000	100000
PSFRX	VIRTUS FUNDS	VIRTUS NEWFLEET SENIOR FLOATING RATE CL A	A	LOAD	OPEN	1600	100	2500
PFSRX	VIRTUS FUNDS	VIRTUS NEWFLEET SENIOR FLOATING RATE CL C	C	LOAD	OPEN	1600	100	2500
PSFIX	VIRTUS FUNDS	VIRTUS NEWFLEET SENIOR FLOATING RATE INST	I	NO LOAD	OPEN	1600	100000	100000
ASHAX	VIRTUS FUNDS	VIRTUS NEWFLEET SHORT DUR HIGH INC A	A	LOAD	OPEN	1600	1000	1000
ASHCX	VIRTUS FUNDS	VIRTUS NEWFLEET SHORT DUR HIGH INC C	C	LOAD	OPEN	1600	1000	1000
ASHIX	VIRTUS FUNDS	VIRTUS NEWFLEET SHORT DUR HIGH INC I	INSTITUTIONAL	NO LOAD	OPEN	1600	100000	100000
ASHSX	VIRTUS FUNDS	VIRTUS NEWFLEET SHORT DUR HIGH INC R6	R6	NO LOAD	REDEEM ONLY	1600	0	0
PNEAX	VIRTUS FUNDS	VIRTUS NFJ DIVIDEND VALUE FD CL A	A	LOAD	OPEN	1600	1000	1000

SYMBOL	FUND FAMILY	FUND DESCRIPTION	SHARE CLASS	FUND TYPE	STATUS	CUT- OFF	IRA MIN	NON-IRA MIN
PNECX	VIRTUS FUNDS	VIRTUS NFJ DIVIDEND VALUE FD CL C	C	LOAD	OPEN	1600	1000	1000
ANDVX	VIRTUS FUNDS	VIRTUS NFJ DIVIDEND VALUE FD CL R6	R6	NO LOAD	REDEEM ONLY	1600	0	0
NFJEX	VIRTUS FUNDS	VIRTUS NFJ DIVIDEND VALUE FD INST	INSTITUTIONAL	NO LOAD	OPEN	1600	100000	100000
AZMAX	VIRTUS FUNDS	VIRTUS NFJ EMERGING MARKETS VALUE FD CL A	A	LOAD	OPEN	1600	1000	1000
AZMCX	VIRTUS FUNDS	VIRTUS NFJ EMERGING MARKETS VALUE FD CL C	C	LOAD	OPEN	1600	1000	1000
AZMIX	VIRTUS FUNDS	VIRTUS NFJ EMERGING MARKETS VALUE FD INST	INSTITUTIONAL	NO LOAD	OPEN	1600	100000	100000
ASUAX	VIRTUS FUNDS	VIRTUS NFJ GLOBAL SUSTAINABILITY A	A	LOAD	OPEN	1600	1000	1000
ASTNX	VIRTUS FUNDS	VIRTUS NFJ GLOBAL SUSTAINABILITY I	INSTITUTIONAL	NO LOAD	OPEN	1600	100000	100000
AFJAX	VIRTUS FUNDS	VIRTUS NFJ INTERNATIONAL VALUE FD CL A	A	LOAD	OPEN	1600	1000	1000
AFJCX	VIRTUS FUNDS	VIRTUS NFJ INTERNATIONAL VALUE FD CL C	C	LOAD	OPEN	1600	1000	1000
ANAVX	VIRTUS FUNDS	VIRTUS NFJ INTERNATIONAL VALUE FD CL R6	R6	NO LOAD	REDEEM ONLY	1600	0	0
ANJIX	VIRTUS FUNDS	VIRTUS NFJ INTERNATIONAL VALUE FD INST	INSTITUTIONAL	NO LOAD	OPEN	1600	100000	100000
PNBAX	VIRTUS FUNDS	VIRTUS NFJ LARGE CAP VALUE FD CL A	A	LOAD	OPEN	1600	1000	1000
PNBCX	VIRTUS FUNDS	VIRTUS NFJ LARGE CAP VALUE FD CL C	C	LOAD	OPEN	1600	1000	1000
ANVIX	VIRTUS FUNDS	VIRTUS NFJ LARGE CAP VALUE FD INST	INSTITUTIONAL	NO LOAD	OPEN	1600	100000	100000
VAAGX	VIRTUS FUNDS	VIRTUS NFJ LARGE CAP VALUE R6	R6	NO LOAD	REDEEM ONLY	1600	2500000	2500000
ANPRX	VIRTUS FUNDS	VIRTUS NFJ MID CAP VALUE R6	R6	NO LOAD	REDEEM ONLY	1600	0	0
PQNAX	VIRTUS FUNDS	VIRTUS NFJ MIDCAP VALUE FD CL A	A	LOAD	OPEN	1600	1000	1000
PQNCX	VIRTUS FUNDS	VIRTUS NFJ MIDCAP VALUE FD CL C	C	LOAD	OPEN	1600	1000	1000
PRNIX	VIRTUS FUNDS	VIRTUS NFJ MIDCAP VALUE FD INST	INSTITUTIONAL	NO LOAD	OPEN	1600	100000	100000
PCVAX	VIRTUS FUNDS	VIRTUS NFJ SMALLCAP VALUE FD CL A	A	LOAD	OPEN	1600	1000	1000
PCVCX	VIRTUS FUNDS	VIRTUS NFJ SMALLCAP VALUE FD CL C	C	LOAD	OPEN	1600	1000	1000
ANFVX	VIRTUS FUNDS	VIRTUS NFJ SMALLCAP VALUE FD CL R6	R6	NO LOAD	REDEEM ONLY	1600	0	0
PSVIX	VIRTUS FUNDS	VIRTUS NFJ SMALLCAP VALUE FD INST	INSTITUTIONAL	NO LOAD	OPEN	1600	100000	100000
VSGEX	VIRTUS FUNDS	VIRTUS RETIREMENT TR	C	LOAD	OPEN	1600	1000	2500
STGIX	VIRTUS FUNDS	VIRTUS SEIX CORE BD FD A	A	LOAD	OPEN	1600	2000	2000
STIGX	VIRTUS FUNDS	VIRTUS SEIX CORE BD FD I	I	NO LOAD	OPEN	1600	0	0
STGZX	VIRTUS FUNDS	VIRTUS SEIX CORE BD R6	R6	NO LOAD	REDEEM ONLY	1600	0	0
SAINX	VIRTUS FUNDS	VIRTUS SEIX CORPORATE BD A	A	LOAD	OPEN	1600	2000	2000
STIFX	VIRTUS FUNDS	VIRTUS SEIX CORPORATE BD C	C	LOAD	OPEN	1600	2000	5000
STICX	VIRTUS FUNDS	VIRTUS SEIX CORPORATE BD I	I	NO LOAD	OPEN	1600	0	0
SFRAX	VIRTUS FUNDS	VIRTUS SEIX FLOAT RATE HIGH INC A	A	LOAD	OPEN	1600	2000	2000
SFRCX	VIRTUS FUNDS	VIRTUS SEIX FLOAT RATE HIGH INC C	C	LOAD	OPEN	1600	2000	5000
SAMBX	VIRTUS FUNDS	VIRTUS SEIX FLOAT RATE HIGH INC I	I	NO LOAD	OPEN	1600	0	0
SFRZX	VIRTUS FUNDS	VIRTUS SEIX FLOAT RATE HIGH INCM R6	R6	NO LOAD	REDEEM ONLY	1600	2500000	2500000
SFLTXX	VIRTUS FUNDS	VIRTUS SEIX HIGH GRADE MUNI BD A	A	LOAD	OPEN	1600	2000	2000
SCFTX	VIRTUS FUNDS	VIRTUS SEIX HIGH GRADE MUNI BD I	I	NO LOAD	OPEN	1600	0	0
HYPXX	VIRTUS FUNDS	VIRTUS SEIX HIGH YIELD A	A	LOAD	OPEN	1600	2000	2000
SAMHX	VIRTUS FUNDS	VIRTUS SEIX HIGH YIELD I	I	NO LOAD	OPEN	1600	0	0
HYIZX	VIRTUS FUNDS	VIRTUS SEIX HIGH YIELD R6	R6	NO LOAD	REDEEM ONLY	1600	2500000	2500000
SISIX	VIRTUS FUNDS	VIRTUS SEIX INVT GRADE TAX EXMPT BD A	A	LOAD	OPEN	1600	2000	2000

SYMBOL	FUND FAMILY	FUND DESCRIPTION	SHARE CLASS	FUND TYPE	STATUS	CUT- OFF	IRA MIN	NON-IRA MIN
STTBX	VIRTUS FUNDS	VIRTUS SEIX INVT GRADE TAX EXMPT BD I	I	NO LOAD	OPEN	1600	0	0
HXBZX	VIRTUS FUNDS	VIRTUS SEIX TAXEXEMPT BOND A	A	LOAD	OPEN	1600	100	2500
PXCZX	VIRTUS FUNDS	VIRTUS SEIX TAXEXEMPT BOND C	C	LOAD	OPEN	1600	100	2500
HXBIX	VIRTUS FUNDS	VIRTUS SEIX TAXEXEMPT BOND I	I	NO LOAD	OPEN	1600	100000	100000
CBPSX	VIRTUS FUNDS	VIRTUS SEIX TOTAL RETURN BD A	A	LOAD	OPEN	1600	2000	2000
SAMFX	VIRTUS FUNDS	VIRTUS SEIX TOTAL RETURN BD I	I	NO LOAD	OPEN	1600	0	0
SAMZX	VIRTUS FUNDS	VIRTUS SEIX TOTAL RETURN BOND R6	R6	NO LOAD	OPEN	1600	2500000	2500000
SIGVX	VIRTUS FUNDS	VIRTUS SEIX US GOVSEC ULTRA SHORT BD I	I	NO LOAD	OPEN	1600	0	0
SSAGX	VIRTUS FUNDS	VIRTUS SEIX US GOVT SECS ULTRSHRT BD A	A	LOAD WAIVED	OPEN	1600	100	100
SIGZX	VIRTUS FUNDS	VIRTUS SEIX US GOVT SECS ULTRSHRT BD R6	R6	NO LOAD	REDEEM ONLY	1600	2500000	2500000
HEMZ	VIRTUS FUNDS	VIRTUS SGA EMERGING MARKETS EQUITY A	A	LOAD	OPEN	1600	100	2500
PICEX	VIRTUS FUNDS	VIRTUS SGA EMERGING MARKETS EQUITY C	C	LOAD	OPEN	1600	100	2500
HIEMX	VIRTUS FUNDS	VIRTUS SGA EMERGING MARKETS EQUITY I	I	NO LOAD	OPEN	1600	100000	100000
VREMX	VIRTUS FUNDS	VIRTUS SGA EMERGING MARKETS EQUITY R6	R6	NO LOAD	REDEEM ONLY	1600	0	0
SGAAX	VIRTUS FUNDS	VIRTUS SGA GLOBAL GROWTH A	A	LOAD	OPEN	1600	2000	2000
SGACX	VIRTUS FUNDS	VIRTUS SGA GLOBAL GROWTH C	C	LOAD	OPEN	1600	2500	2500
SGAPX	VIRTUS FUNDS	VIRTUS SGA GLOBAL GROWTH FD CL I	I	NO LOAD	OPEN	1600	0	0
SGARX	VIRTUS FUNDS	VIRTUS SGA GLOBAL GROWTH FD CL R6	R6	NO LOAD	REDEEM ONLY	1600	250000	250000
SCIZX	VIRTUS FUNDS	VIRTUS SGA INTERNATIONAL GROWTH R6	R6	NO LOAD	REDEEM ONLY	1600	2500000	2500000
SCIIX	VIRTUS FUNDS	VIRTUS SGA INTL GROWTH FD CL A	A	LOAD	OPEN	1600	2000	2000
STITX	VIRTUS FUNDS	VIRTUS SGA INTL GROWTH FD CL I	I	NO LOAD	OPEN	1600	0	0
PGWAX	VIRTUS FUNDS	VIRTUS SILVANT FOCUSED GROWTH A	A	LOAD	OPEN	1600	1000	1000
PGWCX	VIRTUS FUNDS	VIRTUS SILVANT FOCUSED GROWTH C	C	LOAD	OPEN	1600	1000	1000
PGFIX	VIRTUS FUNDS	VIRTUS SILVANT FOCUSED GROWTH I	INSTITUTIONAL	NO LOAD	OPEN	1600	100000	100000
AFGFX	VIRTUS FUNDS	VIRTUS SILVANT FOCUSED GROWTH R6	R6	NO LOAD	REDEEM ONLY	1600	0	0
STCIX	VIRTUS FUNDS	VIRTUS SILVANT LARGE CAP GWTH STK A	A	LOAD	OPEN	1600	2000	2000
STCAX	VIRTUS FUNDS	VIRTUS SILVANT LARGE CAP GWTH STK I	I	NO LOAD	OPEN	1600	0	0
RMDAX	VIRTUS FUNDS	VIRTUS SILVANT MIDCAP GROWTH A	A	LOAD	OPEN	1600	1000	1000
RMDCX	VIRTUS FUNDS	VIRTUS SILVANT MIDCAP GROWTH C	C	LOAD	OPEN	1600	1000	1000
DRMCX	VIRTUS FUNDS	VIRTUS SILVANT MIDCAP GROWTH I	INSTITUTIONAL	NO LOAD	OPEN	1600	100000	100000
AZBAX	VIRTUS FUNDS	VIRTUS SMALL CAP A	A	LOAD	OPEN	1600	1000	1000
AZBCX	VIRTUS FUNDS	VIRTUS SMALL CAP C	C	LOAD	OPEN	1600	1000	1000
AZBIX	VIRTUS FUNDS	VIRTUS SMALL CAP I	INSTITUTIONAL	NO LOAD	OPEN	1600	100000	100000
ASCFX	VIRTUS FUNDS	VIRTUS SMALL CAP R6	R6	NO LOAD	REDEEM ONLY	1600	0	0
SHCDX	VIRTUS FUNDS	VIRTUS STONE HARBOR EMERGING MARKETS I	I	NO LOAD	OPEN	1600	100000	100000
SHMDX	VIRTUS FUNDS	VIRTUS STONE HARBOR EMRG MKT DEBT INC I	I	NO LOAD	OPEN	1600	100000	100000
SHLMX	VIRTUS FUNDS	VIRTUS STONE HARBOR LOCAL MARKETS I	I	NO LOAD	OPEN	1600	100000	100000
POICX	VIRTUS FUNDS	VIRTUS TACTICAL ALLOC FD CL C	C	LOAD	OPEN	1600	100	2500
NAINX	VIRTUS FUNDS	VIRTUS TACTICAL ALLOCATION FUND CL A	A	LOAD	OPEN	1600	100	2500
VTAX	VIRTUS FUNDS	VIRTUS TACTICAL ALLOCATION I	I	NO LOAD	OPEN	1600	100000	100000
SAGAX	VIRTUS FUNDS	VIRTUS ZEVENBERGEN INNOVATIVE GWTH STOCK A	A	LOAD	OPEN	1600	2000	2000

SYMBOL	FUND FAMILY	FUND DESCRIPTION	SHARE CLASS	FUND TYPE	STATUS	CUT- OFF	IRA MIN	NON-IRA MIN
SCATX	VIRTUS FUNDS	VIRTUS ZEVENBERGEN INNOVATIVE GWTH STOCK I	I	NO LOAD	OPEN	1600	0	0
RAGTX	VIRTUS FUNDS	VIRTUS ZEVENBERGEN TECHNOLOGY A	A	LOAD	OPEN	1600	1000	1000
RCGTX	VIRTUS FUNDS	VIRTUS ZEVENBERGEN TECHNOLOGY C	C	LOAD	OPEN	1600	1000	1000
DRGTX	VIRTUS FUNDS	VIRTUS ZEVENBERGEN TECHNOLOGY I	INSTITUTIONAL	NO LOAD	OPEN	1600	100000	100000
WCERX	VIRTUS FUNDS	WESTCHESTER CAP FDS	A	LOAD	OPEN	1600	100	100
WCFRX	VIRTUS FUNDS	WESTCHESTER CAP FDS	A	LOAD	OPEN	1600	100	100
WCEIX	VIRTUS FUNDS	WESTCHESTER CAP FDS	I	NO LOAD	OPEN	1600	100000	100000
WCFIX	VIRTUS FUNDS	WESTCHESTER CAP FDS	I	NO LOAD	OPEN	1600	100000	100000
VARAX	VIVALDI FUNDS	FIRST TRUST MERGER ARBITRAGE FUND A	A	LOAD	OPEN	1600	0	0
VARBX	VIVALDI FUNDS	FIRST TRUST MERGER ARBITRAGE FUND I	I	NO LOAD	OPEN	1600	0	0
FTMAX	VIVALDI FUNDS	FIRST TRUST MULTI STRATEGY FUND A	A	LOAD	OPEN	1600	0	0
FTMIX	VIVALDI FUNDS	FIRST TRUST MULTI STRATEGY FUND I	INST	NO LOAD	OPEN	1600	100000	100000
FTMCX	VIVALDI FUNDS	FIRST TRUST MULTISTRATEGY CL C	C	LOAD	OPEN	1600	0	0
VNGIX	VONTOBEL	VONTOBEL GLOBAL EQUITY INSTITUTIONAL	INSTITUTIONAL	NO LOAD	OPEN	1600	1000000	1000000
VNIAX	VONTOBEL	VONTOBEL INTERNATIONAL EQUITY A	A	NO LOAD	OPEN	1600	100	100
VNIIX	VONTOBEL	VONTOBEL INTERNATIONAL EQUITY INST	INSTITUTIONAL	NO LOAD	OPEN	1600	100000	100000
VTUIX	VONTOBEL	VONTOBEL US EQTY INST CL I	INSTITUTIONAL	NO LOAD	OPEN	1600	0	0
VYCAx	VOYA	VOYA CORPORATE LEADERS 100 FUND CLASS A	A	LOAD	OPEN	1600	250	1000
VYCBX	VOYA	VOYA CORPORATE LEADERS 100 FUND CLASS C	C	LOAD	OPEN	1600	250	1000
VYCCX	VOYA	VOYA CORPORATE LEADERS 100 FUND CLASS I	I	NO LOAD	OPEN	1600	0	0
VYCIX	VOYA	VOYA CORPORATE LEADERS 100 W	W	NO LOAD	OPEN	1600	0	0
LEXCX	VOYA	VOYA CORPORATE LEADERS TRUST SERIES B	SERIES B	NO LOAD	OPEN	1600	100	100
XSIAX	VOYA	VOYA CREDIT INCOME FUND A	A	LOAD	OPEN	1600	250	1000
XSICX	VOYA	VOYA CREDIT INCOME FUND C	C	LOAD	OPEN	1600	250	1000
XSIIX	VOYA	VOYA CREDIT INCOME I	I	NO LOAD	OPEN	1600	0	0
VWYFX	VOYA	VOYA EQUITY TR SMALL CAP GR A	A	LOAD	OPEN	1600	0	0
VWYGX	VOYA	VOYA EQUITY TR SMALL CAP GR C	C	LOAD	OPEN	1600	0	0
VWYKX	VOYA	VOYA EQUITY TR SMALL CAP GR W	W	NO LOAD	OPEN	1600	1000	1000
TCMSX	VOYA	VOYA FDS TR SMALL CAP GR I	I	NO LOAD	OPEN	1600	0	0
VVITX	VOYA	VOYA FDS TR VACS SER HYB FD	NO LOAD	NO LOAD	REDEEM ONLY	1600	0	0
IFRAX	VOYA	VOYA FLOATING RATE FUND CLASS A	A	LOAD	OPEN	1600	250	1000
IFRCX	VOYA	VOYA FLOATING RATE FUND CLASS C	C	LOAD	OPEN	1600	250	1000
IFRIX	VOYA	VOYA FLOATING RATE FUND CLASS I	I	NO LOAD	OPEN	1600	0	0
IFRWX	VOYA	VOYA FLOATING RATE W	W	NO LOAD	OPEN	1600	0	0
INGBX	VOYA	VOYA GLOBAL BOND FUND CLASS A	A	LOAD	OPEN	1600	250	1000
IGBCX	VOYA	VOYA GLOBAL BOND FUND CLASS C	C	LOAD	OPEN	1600	250	1000
IGBIX	VOYA	VOYA GLOBAL BOND FUND CLASS I	I	NO LOAD	OPEN	1600	0	0
IGBWx	VOYA	VOYA GLOBAL BOND FUND CLASS W	W	NO LOAD	OPEN	1600	0	0
VYGQX	VOYA	VOYA GLOBAL DIVERSIFIED PAYMENT FD CL A	A	LOAD	OPEN	1600	250	1000
VYGRX	VOYA	VOYA GLOBAL DIVERSIFIED PAYMENT FD CL C	C	LOAD	OPEN	1600	0	0
VYGSX	VOYA	VOYA GLOBAL DIVERSIFIED PAYMENT FD CL I	I	NO LOAD	OPEN	1600	0	0

SYMBOL	FUND FAMILY	FUND DESCRIPTION	SHARE CLASS	FUND TYPE	STATUS	CUT- OFF	IRA MIN	NON-IRA MIN
VYGUX	VOYA	VOYA GLOBAL DIVERSIFIED PAYMENT FD CL R6	R6	NO LOAD	REDEEM ONLY	1600	0	0
VYGWX	VOYA	VOYA GLOBAL DIVERSIFIED PAYMENT FD CL W	W	NO LOAD	OPEN	1600	0	0
NAWGX	VOYA	VOYA GLOBAL HIGH DIVIDEND LOW VOLATILITY FD CL A	A	LOAD	OPEN	1600	250	1000
NAWCX	VOYA	VOYA GLOBAL HIGH DIVIDEND LOW VOLATILITY FD CL C	C	LOAD	OPEN	1600	250	1000
NAWIX	VOYA	VOYA GLOBAL HIGH DIVIDEND LOW VOLATILITY FD CL I	I	NO LOAD	OPEN	1600	0	0
IGVWX	VOYA	VOYA GLOBAL HIGH DIVIDEND LOW VOLATILITY FD CL W	W	NO LOAD	OPEN	1600	0	0
VYGNX	VOYA	VOYA GLOBAL MULTI ASSET FD R6	R6	NO LOAD	REDEEM ONLY	1600	0	0
VYGJX	VOYA	VOYA GLOBAL MULTI ASSET FD CL A	A	LOAD	OPEN	1600	250	1000
VYGKX	VOYA	VOYA GLOBAL MULTI ASSET FD CL C	C	LOAD	OPEN	1600	250	1000
VYGLX	VOYA	VOYA GLOBAL MULTI ASSET FD CL I	I	NO LOAD	OPEN	1600	0	0
ICPVX	VOYA	VOYA GLOBAL PERSPECTIVES C	C	LOAD	OPEN	1600	0	0
IAPVX	VOYA	VOYA GLOBAL PERSPECTIVES FD A	A	LOAD	OPEN	1600	250	1000
IIPVX	VOYA	VOYA GLOBAL PERSPECTIVES FD I	I	NO LOAD	OPEN	1600	0	0
IWPVX	VOYA	VOYA GLOBAL PERSPECTIVES W	W	NO LOAD	OPEN	1600	0	0
LEXNX	VOYA	VOYA GNMA INCOME FUND CLASS A	A	LOAD	OPEN	1600	250	1000
LEGNX	VOYA	VOYA GNMA INCOME FUND CLASS C	C	LOAD	OPEN	1600	250	1000
LEINX	VOYA	VOYA GNMA INCOME FUND CLASS I	I	NO LOAD	OPEN	1600	0	0
IGMWX	VOYA	VOYA GNMA INCOME FUND CLASS W	W	NO LOAD	OPEN	1600	0	0
VYAXX	VOYA	VOYA GOVT MONEY MARKET CL A	A	LOAD	OPEN	1600	250	1000
VYCXX	VOYA	VOYA GOVT MONEY MKT FD I	I	NO LOAD	REDEEM ONLY	1600	1000	2500
IHYIX	VOYA	VOYA HIGH YIELD BD CL I	I	NO LOAD	OPEN	1600	0	0
IHYWX	VOYA	VOYA HIGH YIELD BD CL W	W	NO LOAD	REDEEM ONLY	1600	0	0
IHYAX	VOYA	VOYA HIGH YIELD BOND FUND CLASS A	A	LOAD	OPEN	1600	250	1000
IMYCX	VOYA	VOYA HIGH YIELD BOND FUND CLASS C	C	LOAD	OPEN	1600	250	1000
IIBAX	VOYA	VOYA INTERMEDIATE BOND FUND CLASS A	A	LOAD	OPEN	1600	250	1000
IICCX	VOYA	VOYA INTERMEDIATE BOND FUND CLASS C	C	LOAD	OPEN	1600	250	1000
IICIX	VOYA	VOYA INTERMEDIATE BOND FUND CLASS I	I	NO LOAD	OPEN	1600	0	0
IIBOX	VOYA	VOYA INTERMEDIATE BOND R	R	NO LOAD	REDEEM ONLY	1600	0	0
IIBZX	VOYA	VOYA INTERMEDIATE BOND R6	R6	NO LOAD	REDEEM ONLY	1600	0	0
IIBWX	VOYA	VOYA INTERMEDIATE BOND W	W	NO LOAD	REDEEM ONLY	1600	0	0
VACFX	VOYA	VOYA INVESTMENT GRADE CREDIT A	A	LOAD	OPEN	1600	0	0
VIGCX	VOYA	VOYA INVESTMENT GRADE CREDIT I	I	NO LOAD	OPEN	1600	0	0
NLCAX	VOYA	VOYA LARGE CAP GROWTH A	A	LOAD	OPEN	1600	250	1000
NLCCX	VOYA	VOYA LARGE CAP GROWTH C	C	LOAD	OPEN	1600	250	1000
VGOSX	VOYA	VOYA LARGE CAP GROWTH CL R6	R6	NO LOAD	REDEEM ONLY	1600	0	0
PLCIX	VOYA	VOYA LARGE CAP GROWTH INST	I	NO LOAD	OPEN	1600	0	0
IGOWX	VOYA	VOYA LARGE CAP GROWTH W	W	NO LOAD	OPEN	1600	0	0
IEDAX	VOYA	VOYA LARGE CAP VALUE FUND CLASS A	A	LOAD	OPEN	1600	250	1000
IEDCX	VOYA	VOYA LARGE CAP VALUE FUND CLASS C	C	LOAD	OPEN	1600	250	1000
IEDIX	VOYA	VOYA LARGE CAP VALUE FUND CLASS I	I	NO LOAD	OPEN	1600	0	0
IWEDX	VOYA	VOYA LARGE CAP VALUE FUND CLASS W	W	NO LOAD	OPEN	1600	0	0

SYMBOL	FUND FAMILY	FUND DESCRIPTION	SHARE CLASS	FUND TYPE	STATUS	CUT- OFF	IRA MIN	NON-IRA MIN
VGORX	VOYA	VOYA LARGE CAP GROWTH R	R	NO LOAD	REDEEM ONLY	1600	0	0
VYMQX	VOYA	VOYA MID CAP RESEARCH ENHANCED INDEX A	A	LOAD	OPEN	1600	250	1000
VYMRX	VOYA	VOYA MID CAP RESEARCH ENHANCED INDEX C	C	LOAD	OPEN	1600	250	1000
VYMSX	VOYA	VOYA MID CAP RESEARCH ENHANCED INDEX I	I	NO LOAD	OPEN	1600	0	0
NMCAX	VOYA	VOYA MIDCAP OPPORTUNITIES FUND CLASS A	A	LOAD	OPEN	1600	250	1000
NMCCX	VOYA	VOYA MIDCAP OPPORTUNITIES FUND CLASS C	C	LOAD	OPEN	1600	250	1000
NMCIX	VOYA	VOYA MIDCAP OPPORTUNITIES FUND CLASS I	I	NO LOAD	OPEN	1600	0	0
IMOZX	VOYA	VOYA MIDCAP OPPORTUNITIES FUND CLASS R6	R6	NO LOAD	REDEEM ONLY	1600	0	0
IMOWX	VOYA	VOYA MIDCAP OPPORTUNITIES FUND CLASS W	W	NO LOAD	OPEN	1600	0	0
IEMGX	VOYA	VOYA MULTI MANAGER EMRG MKTS EQTY I	I	NO LOAD	OPEN	1600	0	0
IIGIX	VOYA	VOYA MULTI MANAGER INTL EQTY I	I	NO LOAD	OPEN	1600	0	0
IEMHX	VOYA	VOYA MULTIMANAGER EMERGING MARKETS EQUITY FUND CL A	A	LOAD	OPEN	1600	250	1000
IEMJX	VOYA	VOYA MULTIMANAGER EMERGING MARKETS EQUITY FUND CL C	C	LOAD	OPEN	1600	250	1000
IEMLX	VOYA	VOYA MULTIMANAGER EMERGING MARKETS EQUITY FUND CL W	W	NO LOAD	OPEN	1600	0	0
NTKLX	VOYA	VOYA MULTIMANAGER INTERNATIONAL SMALL CAP FUND CL A	A	LOAD	OPEN	1600	250	1000
NARCX	VOYA	VOYA MULTIMANAGER INTERNATIONAL SMALL CAP FUND CL C	C	LOAD	OPEN	1600	250	1000
NAPIX	VOYA	VOYA MULTIMANAGER INTERNATIONAL SMALL CAP FUND CL I	I	NO LOAD	OPEN	1600	0	0
ISCWX	VOYA	VOYA MULTIMANAGER INTERNATIONAL SMALL CAP FUND CL W	W	NO LOAD	OPEN	1600	0	0
IMCVX	VOYA	VOYA MULTIMANAGER MID CAP VALUE I	I	NO LOAD	OPEN	1600	0	0
VCFAA	VOYA	VOYA SECURITIZED CREDIT A	A	LOAD	OPEN	1600	250	1000
VCFIX	VOYA	VOYA SECURITIZED CREDIT I	I	NO LOAD	OPEN	1600	0	0
VVJBX	VOYA	VOYA SHORT DURATION HIGH INCOME A	A	NO LOAD	OPEN	1600	0	0
VVJCX	VOYA	VOYA SHORT DURATION HIGH INCOME I	I	NO LOAD	OPEN	1600	0	0
IASBX	VOYA	VOYA SHORT TERM BD FD A	A	LOAD	OPEN	1600	0	0
IGZAX	VOYA	VOYA SHORT TERM BD FD R6	R6	NO LOAD	REDEEM ONLY	1600	0	0
ICSBX	VOYA	VOYA SHORT TERM BOND C	C	LOAD	OPEN	1600	0	0
IISBX	VOYA	VOYA SHORT TERM BOND I	I	NO LOAD	OPEN	1600	0	0
VLNPX	VOYA	VOYA SMALL CAP GROWTH R6	R6	NO LOAD	REDEEM ONLY	1600	1000000	1000000
VYSEX	VOYA	VOYA SMALL COMPANY FD CL R6	R6	NO LOAD	REDEEM ONLY	1600	0	0
VYSGX	VOYA	VOYA SMALL COMPANY FD CL W	W	NO LOAD	OPEN	1600	0	0
VYSYX	VOYA	VOYA SMALL COMPANY FUND CLASS A	A	LOAD	OPEN	1600	250	1000
VYSZX	VOYA	VOYA SMALL COMPANY FUND CLASS C	C	LOAD	OPEN	1600	250	1000
VYSAX	VOYA	VOYA SMALL COMPANY FUND CLASS I	I	NO LOAD	OPEN	1600	0	0
ISIAA	VOYA	VOYA STRATEGIC INCM OPPTY FD A	A	LOAD	OPEN	1600	250	1000
ISICX	VOYA	VOYA STRATEGIC INCM OPPTY FD C	C	LOAD	OPEN	1600	0	0
IISIX	VOYA	VOYA STRATEGIC INCM OPPTY FD I	I	NO LOAD	OPEN	1600	0	0
ISIWX	VOYA	VOYA STRATEGIC INCM OPPTY FD W	W	NO LOAD	OPEN	1600	0	0
VTRWX	VOYA	VOYA TARGET IN RTRMT R6	R6	NO LOAD	REDEEM ONLY	1600	0	0
VTRVX	VOYA	VOYA TARGET INRETIREMENT A	A	LOAD	OPEN	1600	0	0
ISOLX	VOYA	VOYA TARGET INRETIREMENT I	I	NO LOAD	OPEN	1600	250000	250000
VRRFX	VOYA	VOYA TARGET INRETIREMENT R	R	NO LOAD	REDEEM ONLY	1600	0	0

SYMBOL	FUND FAMILY	FUND DESCRIPTION	SHARE CLASS	FUND TYPE	STATUS	CUT- OFF	IRA MIN	NON-IRA MIN
VTRCX	VOYA	VOYA TARGET RETIREMENT 2025 A	A	LOAD	OPEN	1600	0	0
IRSLX	VOYA	VOYA TARGET RETIREMENT 2025 I	I	NO LOAD	OPEN	1600	250000	250000
VRRHX	VOYA	VOYA TARGET RETIREMENT 2025 R	R	NO LOAD	REDEEM ONLY	1600	0	0
VTREX	VOYA	VOYA TARGET RETIREMENT 2030 A	A	LOAD	OPEN	1600	0	0
IRSMX	VOYA	VOYA TARGET RETIREMENT 2030 I	I	NO LOAD	OPEN	1600	0	0
VRRIX	VOYA	VOYA TARGET RETIREMENT 2030 R	R	NO LOAD	REDEEM ONLY	1600	0	0
VTRGX	VOYA	VOYA TARGET RETIREMENT 2035 A	A	LOAD	OPEN	1600	0	0
IRSNX	VOYA	VOYA TARGET RETIREMENT 2035 I	I	NO LOAD	OPEN	1600	250000	250000
VRRJX	VOYA	VOYA TARGET RETIREMENT 2035 R	R	NO LOAD	REDEEM ONLY	1600	0	0
VTRJX	VOYA	VOYA TARGET RETIREMENT 2040 A	A	LOAD	OPEN	1600	0	0
IRSOX	VOYA	VOYA TARGET RETIREMENT 2040 I	I	NO LOAD	OPEN	1600	250000	250000
VTRMX	VOYA	VOYA TARGET RETIREMENT 2045 A	A	LOAD	OPEN	1600	0	0
IRSPX	VOYA	VOYA TARGET RETIREMENT 2045 I	I	NO LOAD	OPEN	1600	250000	250000
VRR LX	VOYA	VOYA TARGET RETIREMENT 2045 R	R	NO LOAD	REDEEM ONLY	1600	0	0
VTROX	VOYA	VOYA TARGET RETIREMENT 2050 A	A	LOAD	OPEN	1600	0	0
IRSQX	VOYA	VOYA TARGET RETIREMENT 2050 I	I	NO LOAD	OPEN	1600	250000	250000
VRRMX	VOYA	VOYA TARGET RETIREMENT 2050 R	R	NO LOAD	REDEEM ONLY	1600	0	0
VTRQX	VOYA	VOYA TARGET RETIREMENT 2055 A	A	LOAD	OPEN	1600	0	0
IRSVX	VOYA	VOYA TARGET RETIREMENT 2055 I	I	NO LOAD	OPEN	1600	250000	250000
VRSAX	VOYA	VOYA TARGET RETIREMENT 2060 I	I	NO LOAD	OPEN	1600	250000	250000
VTIUX	VOYA	VOYA TARGET RETIREMENT 2065 I	I	NO LOAD	OPEN	1600	250000	250000
VTUFX	VOYA	VOYA TARGET RETIREMENT 2065 R6	R6	NO LOAD	REDEEM ONLY	1600	0	0
VTRDX	VOYA	VOYA TARGET RTRMT 2025 R6	R6	NO LOAD	REDEEM ONLY	1600	0	0
VTRFX	VOYA	VOYA TARGET RTRMT 2030 R6	R6	NO LOAD	REDEEM ONLY	1600	0	0
VTRHX	VOYA	VOYA TARGET RTRMT 2035 R6	R6	NO LOAD	REDEEM ONLY	1600	0	0
VTRKX	VOYA	VOYA TARGET RTRMT 2040 R6	R6	NO LOAD	REDEEM ONLY	1600	0	0
VTRNX	VOYA	VOYA TARGET RTRMT 2045 R6	R6	NO LOAD	REDEEM ONLY	1600	0	0
VTRPX	VOYA	VOYA TARGET RTRMT 2050 R6	R6	NO LOAD	REDEEM ONLY	1600	0	0
VTRRX	VOYA	VOYA TARGET RTRMT 2055 R6	R6	NO LOAD	REDEEM ONLY	1600	0	0
VTRSX	VOYA	VOYA TARGET RTRMT 2060 A	A	LOAD	OPEN	1600	0	0
VTRUX	VOYA	VOYA TARGET RTRMT 2060 R6	R6	NO LOAD	REDEEM ONLY	1600	0	0
VVIQX	VOYA	VOYA VACS SERIES EMHCD	NO LOAD	NO LOAD	REDEEM ONLY	1600	0	0
VVIRX	VOYA	VOYA VACS SERIES SC	NO LOAD	NO LOAD	REDEEM ONLY	1600	100000	100000
VVILX	VULCAN VALUE PARTNERS FUNDS	VULCAN VALUE PARTNERS FD INST	I	NO LOAD	OPEN	1600	1000000	1000000
VVPLX	VULCAN VALUE PARTNERS FUNDS	VULCAN VALUE PARTNERS FD INV	INVESTOR	NO LOAD	OPEN	1600	100	100
VVPSX	VULCAN VALUE PARTNERS FUNDS	VULCAN VALUE PARTNERS SM CAP FD INV	INVESTOR	NO LOAD	OPEN	1600	0	0
VVISX	VULCAN VALUE PARTNERS FUNDS	VULCAN VALUE PARTNERS SMALL CAP INST	I	NO LOAD	OPEN	1600	1000000	1000000
EWMCX	WALL STREET FD	EVERCORE EQUITY FD	NO LOAD	NO LOAD	OPEN	1600	100	100
WGROX	WASATCH	WASATCH CORE GROWTH FD INV	INVESTOR	NO LOAD	SUBS ONLY	1600	100	100
WIGRX	WASATCH	WASATCH CORE GROWTH FUND INST	INSTITUTIONAL	NO LOAD	SUBS ONLY	1600	100000	100000
WIINX	WASATCH	WASATCH EMRG INDIA FD INST	INSTITUTIONAL	NO LOAD	OPEN	1600	100000	100000

SYMBOL	FUND FAMILY	FUND DESCRIPTION	SHARE CLASS	FUND TYPE	STATUS	CUT- OFF	IRA MIN	NON-IRA MIN
WAINX	WASATCH	WASATCH EMRG INDIA FD INV	INVESTOR	NO LOAD	OPEN	1600	100	100
WIESX	WASATCH	WASATCH EMRG MKT SLCT FD INST	INSTITUTIONAL	NO LOAD	OPEN	1600	100000	100000
WAESX	WASATCH	WASATCH EMRG MKT SLCT FD INV	INVESTOR	NO LOAD	OPEN	1600	100	100
WAEMX	WASATCH	WASATCH EMRG MKT SMALL CAP FD INV	INVESTOR	NO LOAD	OPEN	1600	100	100
WIEMX	WASATCH	WASATCH EMRG MKTS SMALL CAP FD INST	INSTITUTIONAL	NO LOAD	OPEN	1600	100000	100000
WIFMX	WASATCH	WASATCH FRONTIER EMRG SM COUNTRIES INST	INSTITUTIONAL	NO LOAD	OPEN	1600	100000	100000
WAFMX	WASATCH	WASATCH FRONTIER EMRG SM COUNTRIES INV	INVESTOR	NO LOAD	OPEN	1600	100	100
WIGOX	WASATCH	WASATCH GLOBAL OPPTY FD INST	INSTITUTIONAL	NO LOAD	OPEN	1600	100000	100000
WAGOX	WASATCH	WASATCH GLOBAL OPPTY FD INV	INVESTOR	NO LOAD	OPEN	1600	100	100
WGGSX	WASATCH	WASATCH GLOBAL SELECT FD INST	INSTITUTIONAL	NO LOAD	OPEN	1600	100000	100000
WAGSX	WASATCH	WASATCH GLOBAL SELECT FD INV	INVESTOR	NO LOAD	OPEN	1600	100	100
WILCX	WASATCH	WASATCH GLOBAL VALUE FD INST	INSTITUTIONAL	NO LOAD	OPEN	1600	100000	100000
FMIEX	WASATCH	WASATCH GLOBAL VALUE FD INV	INVESTOR	NO LOAD	OPEN	1600	100	100
WGGCX	WASATCH	WASATCH GREATER CHINA FD INST	INSTITUTIONAL	NO LOAD	OPEN	1600	100000	100000
WAGCX	WASATCH	WASATCH GREATER CHINA FD INV	INVESTOR	NO LOAD	OPEN	1600	100	100
WHOSX	WASATCH	WASATCH HOISINGTON US TREASURY FD INV	INVESTOR	NO LOAD	OPEN	1600	100	100
WAIIX	WASATCH	WASATCH INTERNATIONAL OPPORTUNITIES FD INV	INVESTOR	NO LOAD	OPEN	1600	100	100
WIIGX	WASATCH	WASATCH INTL GROWTH FD INST	INSTITUTIONAL	NO LOAD	OPEN	1600	100000	100000
WAIIX	WASATCH	WASATCH INTL GROWTH FD INV	INVESTOR	NO LOAD	OPEN	1600	100	100
WIIOX	WASATCH	WASATCH INTL OPPTY FD INST	INSTITUTIONAL	NO LOAD	OPEN	1600	100000	100000
WGISX	WASATCH	WASATCH INTL SELECT FD INST	INSTITUTIONAL	NO LOAD	OPEN	1600	100000	100000
WAIIX	WASATCH	WASATCH INTL SELECT FD INV	INVESTOR	NO LOAD	OPEN	1600	100	100
WGLSX	WASATCH	WASATCH LONG SHORT ALPHA INST	INSTITUTIONAL	NO LOAD	OPEN	1600	100000	100000
WALSX	WASATCH	WASATCH LONG SHORT ALPHA INV	INVESTOR	NO LOAD	OPEN	1600	100	100
WGICX	WASATCH	WASATCH MICRO CAP FD INST	INSTITUTIONAL	NO LOAD	OPEN	1600	100000	100000
WMICX	WASATCH	WASATCH MICRO CAP FD INV	INVESTOR	NO LOAD	OPEN	1600	100	100
WGMVX	WASATCH	WASATCH MICRO CAP VALUE FD INST	INSTITUTIONAL	NO LOAD	OPEN	1600	100000	100000
WAMVX	WASATCH	WASATCH MICRO CAP VALUE FD INV	INVESTOR	NO LOAD	OPEN	1600	100	100
WIAEX	WASATCH	WASATCH SMALL CAP GROWTH FD INST	INSTITUTIONAL	NO LOAD	OPEN	1600	100000	100000
WAAEX	WASATCH	WASATCH SMALL CAP GROWTH FD INV	INVESTOR	NO LOAD	OPEN	1600	100	100
WMCVX	WASATCH	WASATCH SMALL CAP VALUE FD INV	INVESTOR	NO LOAD	OPEN	1600	100	100
WICVX	WASATCH	WASATCH SMALL CAP VALUE FUND INST	INSTITUTIONAL	NO LOAD	OPEN	1600	100000	100000
WGMCX	WASATCH	WASATCH ULTRA GROWTH FD INST	INSTITUTIONAL	NO LOAD	OPEN	1600	100000	100000
WAMCX	WASATCH	WASATCH ULTRA GROWTH FD INV	INVESTOR	NO LOAD	OPEN	1600	100	100
WGUSX	WASATCH	WASATCH US SELECT INST	INSTITUTIONAL	NO LOAD	OPEN	1600	100000	100000
WAUSX	WASATCH	WASATCH US SELECT INVESTOR	INVESTOR	NO LOAD	OPEN	1600	100	100
WAYFX	WAYCROSS	WAYCROSS FOCUSED CORE EQUITY FUND	NO LOAD	NO LOAD	OPEN	1600	5000	5000
WCMCX	WCM INVEST FUNDS	WCM CHINA QUALITY GROWTH INST	I	NO LOAD	OPEN	1600	100000	100000
WCQGX	WCM INVEST FUNDS	WCM CHINA QUALITY GROWTH INVESTOR	INVESTOR	NO LOAD	OPEN	1600	100	100
WCMDX	WCM INVEST FUNDS	WCM DEVELOPING WORLD EQUITY I	I	NO LOAD	REDEEM ONLY	1600	100000	100000
WCMWX	WCM INVEST FUNDS	WCM FOCUSED EMERGING MKTS EX CHN INST	I	NO LOAD	OPEN	1600	100000	100000

SYMBOL	FUND FAMILY	FUND DESCRIPTION	SHARE CLASS	FUND TYPE	STATUS	CUT- OFF	IRA MIN	NON-IRA MIN
WCMEX	WCM INVEST FUNDS	WCM FOCUSED EMRG MARKETS FD INST	I	NO LOAD	OPEN	1600	100000	100000
WFEMX	WCM INVEST FUNDS	WCM FOCUSED EMRG MARKETS FD INV	INVESTOR	NO LOAD	OPEN	1600	100	100
WCMGX	WCM INVEST FUNDS	WCM FOCUSED GLBL GROWTH FD INST	I	NO LOAD	OPEN	1600	100000	100000
WFGGX	WCM INVEST FUNDS	WCM FOCUSED GLBL GROWTH FD INV	INVESTOR	NO LOAD	OPEN	1600	100	100
WCMVX	WCM INVEST FUNDS	WCM FOCUSED INTERNATIONAL VALUE FUND INST	I	NO LOAD	OPEN	1600	100000	100000
WLIVX	WCM INVEST FUNDS	WCM FOCUSED INTERNATIONAL VALUE INVESTOR	INVESTOR	NO LOAD	OPEN	1600	100	100
WCMIX	WCM INVEST FUNDS	WCM FOCUSED INTL GROWTH FD INST	I	NO LOAD	SUBS ONLY	1600	100000	100000
WCMRX	WCM INVEST FUNDS	WCM FOCUSED INTL GROWTH FD INV	INVESTOR	NO LOAD	SUBS ONLY	1600	100	100
WCMOX	WCM INVEST FUNDS	WCM FOCUSED INTL OPPORTUNITIES INST	INSTITUTIONAL	NO LOAD	OPEN	1600	100000	100000
WCMMX	WCM INVEST FUNDS	WCM INTERNATIONAL EQUITY FD I	I	NO LOAD	REDEEM ONLY	1600	100000	100000
WCMSX	WCM INVEST FUNDS	WCM INTL SMALL CAP GWTH FD INST	I	NO LOAD	SUBS ONLY	1600	100000	100000
WCMAX	WCM INVEST FUNDS	WCM MID CAP QUALITY VALUE FUND	INSTITUTIONAL	NO LOAD	OPEN	1600	100000	100000
WCMYX	WCM INVEST FUNDS	WCM QUALITY DIVIDEND GROWTH INST	INSTITUTIONAL	NO LOAD	OPEN	1600	100000	100000
WQDGX	WCM INVEST FUNDS	WCM QUALITY DIVIDEND GROWTH INVESTOR	INVESTOR	NO LOAD	OPEN	1600	100	100
WCMLX	WCM INVEST FUNDS	WCM SM CAP GROWTH INST	I	NO LOAD	OPEN	1600	100000	100000
WCMNX	WCM INVEST FUNDS	WCM SMALL CAP GROWTH INVESTOR	INVESTOR	NO LOAD	OPEN	1600	100	100
WCMFX	WCM INVEST FUNDS	WCM SMID QUALITY VALUE I	I	NO LOAD	OPEN	1600	100000	100000
WCMJX	WCM INVEST FUNDS	WCM SMID QUALITY VALUE INV	INVESTOR	NO LOAD	OPEN	1600	100	100
WBAIX	WEITZ	WEITZ CONSERVATIVE ALLOCATION INST	INSTITUTIONAL	NO LOAD	OPEN	1600	1000000	1000000
WBALX	WEITZ	WEITZ CONSERVATIVE ALLOCATION INV	INVESTOR	NO LOAD	OPEN	1600	100	100
WCPBX	WEITZ	WEITZ CORE PLUS INCM FD INST	INSTITUTIONAL	NO LOAD	OPEN	1600	1000000	1000000
WCPNX	WEITZ	WEITZ CORE PLUS INCM FD INV	INVESTOR	NO LOAD	OPEN	1600	0	0
WVAIX	WEITZ	WEITZ LARGE CAP EQUITY INST	INSTITUTIONAL	NO LOAD	OPEN	1600	1000000	1000000
WVALX	WEITZ	WEITZ LARGE CAP EQUITY INV	INVESTOR	NO LOAD	OPEN	1600	100	100
WPVIX	WEITZ	WEITZ MULTI CAP EQUITY INST	INSTITUTIONAL	NO LOAD	OPEN	1600	1000000	1000000
WPVLX	WEITZ	WEITZ MULTI CAP EQUITY INV	INVESTOR	NO LOAD	OPEN	1600	100	100
WNTFX	WEITZ	WEITZ NE TAX FREE INCOME INV	INVESTOR	NO LOAD	OPEN	1600	2500	2500
WPOPX	WEITZ	WEITZ PARTNERS III OPPORTUNITY	INSTITUTIONAL	NO LOAD	OPEN	1600	1000000	1000000
WPOIX	WEITZ	WEITZ PARTNERS III OPPTY FD INV	INVESTOR	NO LOAD	OPEN	1600	100	100
WSHNX	WEITZ	WEITZ SHORT INTER INCM INV	INVESTOR	NO LOAD	OPEN	1600	100	100
WEFIX	WEITZ	WEITZ SHORT INTERMEDIATE INCOME FD INST	INSTITUTIONAL	NO LOAD	OPEN	1600	1000000	1000000
SAFEX	WEITZ	WEITZ ULTRA SHORT GOVERNMENT INST	INSTITUTIONAL	NO LOAD	OPEN	1600	1000000	1000000
WICGX	WILLIAM BLAIR	WILLIAM BLAIR CHINA GROWTH I	I	NO LOAD	OPEN	1600	500000	500000
WRCGX	WILLIAM BLAIR	WILLIAM BLAIR CHINA GROWTH R6	R6	NO LOAD	REDEEM ONLY	1600	1000000	1000000
WBEIX	WILLIAM BLAIR	WILLIAM BLAIR EMERGING MARKETS GROWTH CL I	I	NO LOAD	OPEN	1600	500000	500000
WBENX	WILLIAM BLAIR	WILLIAM BLAIR EMERGING MARKETS GROWTH FD CL N	N	NO LOAD	OPEN	1600	100	100
WXCIX	WILLIAM BLAIR	WILLIAM BLAIR EMERGING MKTS EX CHN GR I	I	NO LOAD	OPEN	1600	500000	500000
WXCRX	WILLIAM BLAIR	WILLIAM BLAIR EMERGING MKTS EX CHN GR R6	R6	NO LOAD	REDEEM ONLY	1600	1000000	1000000
BIEMX	WILLIAM BLAIR	WILLIAM BLAIR EMRG MKTS GROWTH FD CL R6	R6	NO LOAD	REDEEM ONLY	1600	1000000	1000000
WELIX	WILLIAM BLAIR	WILLIAM BLAIR EMRG MKTS LEADERS FD CL R6	R6	NO LOAD	REDEEM ONLY	1600	1000000	1000000
WBELX	WILLIAM BLAIR	WILLIAM BLAIR EMRG MKTS LEADERS I	I	NO LOAD	OPEN	1600	500000	500000

SYMBOL	FUND FAMILY	FUND DESCRIPTION	SHARE CLASS	FUND TYPE	STATUS	CUT- OFF	IRA MIN	NON-IRA MIN
WELNX	WILLIAM BLAIR	WILLIAM BLAIR EMRG MKTS LEADERS N	N	NO LOAD	OPEN	1600	100	100
WESJX	WILLIAM BLAIR	WILLIAM BLAIR EMRG MKTS SM CAP GWTH FD CL R6	R6	NO LOAD	REDEEM ONLY	1600	1000000	1000000
BESIX	WILLIAM BLAIR	WILLIAM BLAIR EMRG MKTS SM CAP GWTH I	I	NO LOAD	OPEN	1600	500000	500000
WESNX	WILLIAM BLAIR	WILLIAM BLAIR EMRG MKTS SM CAP GWTH N	N	NO LOAD	OPEN	1600	100	100
WVMIX	WILLIAM BLAIR	WILLIAM BLAIR FDS	I	NO LOAD	OPEN	1600	0	0
ICSCX	WILLIAM BLAIR	WILLIAM BLAIR FDS	I	NO LOAD	OPEN	1600	500000	500000
WVMRX	WILLIAM BLAIR	WILLIAM BLAIR FDS	R6	NO LOAD	REDEEM ONLY	1600	0	0
WEDIX	WILLIAM BLAIR	WILLIAM BLAIR FDS EMGMKT DBT FD I	I	NO LOAD	OPEN	1600	500000	500000
WEDRX	WILLIAM BLAIR	WILLIAM BLAIR FDS EMMKT DEBT CL R6	R6	NO LOAD	REDEEM ONLY	1600	1000000	1000000
WGFIX	WILLIAM BLAIR	WILLIAM BLAIR GLOBAL LEADERS CL I	I	NO LOAD	OPEN	1600	500000	500000
WGGNX	WILLIAM BLAIR	WILLIAM BLAIR GLOBAL LEADERS CL N	N	NO LOAD	OPEN	1600	100	100
BGGIX	WILLIAM BLAIR	WILLIAM BLAIR GLOBAL LEADERS FD CL R6	R6	NO LOAD	REDEEM ONLY	1600	1000000	1000000
BGFRX	WILLIAM BLAIR	WILLIAM BLAIR GROWTH R6	R6	NO LOAD	REDEEM ONLY	1600	1000000	1000000
BGFIX	WILLIAM BLAIR	WILLIAM BLAIR GROWTH SHS CL I	I	NO LOAD	OPEN	1600	500000	500000
WBG SX	WILLIAM BLAIR	WILLIAM BLAIR GROWTH SHS CLASS N	N	NO LOAD	OPEN	1600	100	100
WBIX	WILLIAM BLAIR	WILLIAM BLAIR INSTL INTL GWTH FD	I	NO LOAD	REDEEM ONLY	1600	500000	500000
WBIRX	WILLIAM BLAIR	WILLIAM BLAIR INTERNATIONAL GROWTH R6	R6	NO LOAD	REDEEM ONLY	1600	1000000	1000000
WBGX	WILLIAM BLAIR	WILLIAM BLAIR INTL GROWTH FD CLASS N	N	NO LOAD	OPEN	1600	100	100
BIGIX	WILLIAM BLAIR	WILLIAM BLAIR INTL GRWTH FUND CL I	I	NO LOAD	OPEN	1600	500000	500000
WILJX	WILLIAM BLAIR	WILLIAM BLAIR INTL LEADERS FD CL R6	R6	NO LOAD	REDEEM ONLY	1600	1000000	1000000
WILIX	WILLIAM BLAIR	WILLIAM BLAIR INTL LEADERS I	I	NO LOAD	OPEN	1600	500000	500000
WILNX	WILLIAM BLAIR	WILLIAM BLAIR INTL LEADERS N	N	NO LOAD	OPEN	1600	100	100
WISIX	WILLIAM BLAIR	WILLIAM BLAIR INTL SMALL CAP GROWTH CL I	I	NO LOAD	SUBS ONLY	1600	500000	500000
WISNX	WILLIAM BLAIR	WILLIAM BLAIR INTL SMALL CAP GROWTH CL N	N	NO LOAD	SUBS ONLY	1600	100	100
WISX	WILLIAM BLAIR	WILLIAM BLAIR INTL SMALL CAP GROWTH FD CL R6	R6	NO LOAD	OPEN	1600	1000000	1000000
LCGJX	WILLIAM BLAIR	WILLIAM BLAIR LARGE CAP GROWTH CL R6	R6	NO LOAD	REDEEM ONLY	1600	1000000	1000000
LCGNX	WILLIAM BLAIR	WILLIAM BLAIR LARGE CAP GROWTH FUND CL N	N	NO LOAD	OPEN	1600	100	100
LCGFX	WILLIAM BLAIR	WILLIAM BLAIR LARGE CAP GROWTH FUND CLASS I	I	NO LOAD	OPEN	1600	500000	500000
WBSIX	WILLIAM BLAIR	WILLIAM BLAIR SMALL CAP GROWTH FUND CLASS I	I	NO LOAD	OPEN	1600	500000	500000
WBSNX	WILLIAM BLAIR	WILLIAM BLAIR SMALL CAP GROWTH FUND CLASS N	N	NO LOAD	OPEN	1600	100	100
WBSRX	WILLIAM BLAIR	WILLIAM BLAIR SMALL CAP GROWTH R6	R6	NO LOAD	REDEEM ONLY	1600	1000000	1000000
WBNVX	WILLIAM BLAIR	WILLIAM BLAIR SMALL CAP VALUE N	N	NO LOAD	OPEN	1600	100	100
WBVRX	WILLIAM BLAIR	WILLIAM BLAIR SMALL CAP VALUE R	R6	NO LOAD	REDEEM ONLY	1600	1000000	1000000
WBCIX	WILLIAM BLAIR	WILLIAM BLAIR SMALL MID CAP CORE I	I	NO LOAD	OPEN	1600	500000	500000
WSMDX	WILLIAM BLAIR	WILLIAM BLAIR SMALL MID CAP GROWTH FUND CL I	I	NO LOAD	OPEN	1600	500000	500000
WBCRX	WILLIAM BLAIR	WILLIAM BLAIR SMALLMID CAP CORE R6	R6	NO LOAD	REDEEM ONLY	1600	1000000	1000000
WSMNX	WILLIAM BLAIR	WILLIAM BLAIR SMALLMID CAP GROWTH FUND CL N	N	NO LOAD	OPEN	1600	100	100
WSMRX	WILLIAM BLAIR	WILLIAM BLAIR SMALLMID CAP GROWTH R6	R6	NO LOAD	REDEEM ONLY	1600	1000000	1000000
ISMVX	WILLIAM BLAIR	WILLIAM BLAIR SMALLMID CAP VALUE FUND CLASS I	I	NO LOAD	OPEN	1600	0	0
RSMVX	WILLIAM BLAIR	WILLIAM BLAIR SMALLMID CAP VALUE FUND CLASS R6	R6	NO LOAD	REDEEM ONLY	1600	1000000	1000000
DBALX	WILLIAMSBURG	DAVENPORT BALANCED INCOME	NO LOAD	NO LOAD	OPEN	1600	2000	5000

SYMBOL	FUND FAMILY	FUND DESCRIPTION	SHARE CLASS	FUND TYPE	STATUS	CUT- OFF	IRA MIN	NON-IRA MIN
DAVPX	WILLIAMSBURG	DAVENPORT CORE LEADERS	NO LOAD	NO LOAD	OPEN	1600	2000	5000
DEOPX	WILLIAMSBURG	DAVENPORT EQTY OPPTY FD	NO LOAD	NO LOAD	OPEN	1600	2000	5000
DBUYX	WILLIAMSBURG	DAVENPORT INSIDER BUYING	NO LOAD	NO LOAD	OPEN	1600	2000	5000
DSCPX	WILLIAMSBURG	DAVENPORT SMALL CAP FOCUS FD	NO LOAD	NO LOAD	OPEN	1600	0	5000
DVIPX	WILLIAMSBURG	DAVENPORT VALUE AND INCM FD	NO LOAD	NO LOAD	OPEN	1600	2000	5000
WDIAX	WILMINGTON	ENHANCED DIVIDEND INCOME STRATEGY CL A	A	LOAD	OPEN	1600	250	1000
WDIIX	WILMINGTON	ENHANCED DIVIDEND INCOME STRATEGY CL I	INSTITUTIONAL	NO LOAD	OPEN	1600	0	0
WABMX	WILMINGTON	WILMINGTON BROAD MKT BD FD A	A	LOAD	OPEN	1600	250	1000
WIBMX	WILMINGTON	WILMINGTON BROAD MKT BD FD INST	INSTITUTIONAL	NO LOAD	OPEN	1600	1000000	1000000
WRAAX	WILMINGTON	WILMINGTON GLOBAL ALPHA EQUITIES CL A	A	LOAD	OPEN	1600	100	100
WRAIX	WILMINGTON	WILMINGTON GLOBAL ALPHA EQUITIES CL I	INSTITUTIONAL	NO LOAD	OPEN	1600	1000000	1000000
WINAX	WILMINGTON	WILMINGTON INTL FD CL A	A	LOAD	OPEN	1600	250	1000
WINIX	WILMINGTON	WILMINGTON INTL FD INST	INSTITUTIONAL	NO LOAD	OPEN	1600	1000000	1000000
WMLIX	WILMINGTON	WILMINGTON LG CAP STRAT FD CL I	INSTITUTIONAL	NO LOAD	OPEN	1600	1000000	1000000
WTABX	WILMINGTON	WILMINGTON MUNI BD A	A	LOAD	OPEN	1600	250	1000
WTAIX	WILMINGTON	WILMINGTON MUNI BD I	INSTITUTIONAL	NO LOAD	OPEN	1600	1000000	1000000
WNYIX	WILMINGTON	WILMINGTON NY MUNI BD I	INSTITUTIONAL	NO LOAD	OPEN	1600	0	0
WMMRX	WILMINGTON	WILMINGTON REAL ASSET FUND CL A	A	LOAD	OPEN	1600	100	100
WMRIX	WILMINGTON	WILMINGTON REAL ASSET FUND CL I	INSTITUTIONAL	NO LOAD	OPEN	1600	1000000	1000000
WGEXX	WILMINGTON	WILMINGTON US GOVERNMENT MONEY MKT SEL	SELECT	NO LOAD	OPEN	1600	100000	100000
WINDX	WILSHIRE	WILSHIRE 5000 INDEX FD INSTL CL	INSTITUTIONAL	NO LOAD	OPEN	1600	0	0
WFIVX	WILSHIRE	WILSHIRE 5000 INDEX FD INV CL	INVESTMENT	NO LOAD	OPEN	1600	0	0
WIOPX	WILSHIRE	WILSHIRE INCM OPPTY INST	INSTITUTIONAL	NO LOAD	OPEN	1600	250000	250000
WIORX	WILSHIRE	WILSHIRE INCM OPPTY INV	INVESTMENT	NO LOAD	OPEN	1600	100	100
WLCTX	WILSHIRE	WILSHIRE INTERNATIONAL EQTY FD INV	INVESTMENT	NO LOAD	OPEN	1600	0	0
WLCVX	WILSHIRE	WILSHIRE LAREG CO VALUE INSTITUTIONAL	INSTITUTIONAL	NO LOAD	OPEN	1600	0	0
WLTTX	WILSHIRE	WILSHIRE LARGE CAP CORE 13030 FD INST	INSTITUTIONAL	NO LOAD	OPEN	1600	0	0
WLCGX	WILSHIRE	WILSHIRE LARGE CO GROWTH INSTITUTIONAL	INSTITUTIONAL	NO LOAD	OPEN	1600	0	0
DTLGX	WILSHIRE	WILSHIRE LARGE CO GROWTH INVESTMENT	INVESTMENT	NO LOAD	OPEN	1600	0	0
DTLVX	WILSHIRE	WILSHIRE LARGE CO VALUE INVESTMENT	INVESTMENT	NO LOAD	OPEN	1600	0	0
WSMGX	WILSHIRE	WILSHIRE SMALL CO GROWTH INST FD	INSTITUTIONAL	NO LOAD	OPEN	1600	0	0
DTSGX	WILSHIRE	WILSHIRE SMALL CO GROWTH INVESTMENT	INVESTMENT	NO LOAD	OPEN	1600	0	0
WSMVX	WILSHIRE	WILSHIRE SMALL CO VALUE INST CL	INSTITUTIONAL	NO LOAD	OPEN	1600	0	0
DTSVX	WILSHIRE	WILSHIRE SMALL CO VALUE INVESTMENT	INVESTMENT	NO LOAD	OPEN	1600	0	0
AFAZX	WORLD FUNDS	APPLIED FINANCE DIVIDEND INST	INSTITUTIONAL	NO LOAD	OPEN	1600	10000	10000
AFALX	WORLD FUNDS	APPLIED FINANCE DIVIDEND INV	INVESTOR	NO LOAD	OPEN	1600	100	100
AFDZX	WORLD FUNDS	APPLIED FINANCE EXPLORER FD INST	INSTITUTIONAL	NO LOAD	OPEN	1600	10000	10000
AFDVX	WORLD FUNDS	APPLIED FINANCE EXPLORER FD INV	INVESTOR	NO LOAD	OPEN	1600	0	0
AFVZX	WORLD FUNDS	APPLIED FINANCE SELECT FD INST	INSTITUTIONAL	NO LOAD	OPEN	1600	0	0
AFVLX	WORLD FUNDS	APPLIED FINANCE SELECT FD INV	INVESTOR	NO LOAD	OPEN	1600	0	0
PDFDX	WORLD FUNDS	PERKINS DISCOVERY FD	NO LOAD	NO LOAD	OPEN	1600	100	100

SYMBOL	FUND FAMILY	FUND DESCRIPTION	SHARE CLASS	FUND TYPE	STATUS	CUT- OFF	IRA MIN	NON-IRA MIN
USPFX	WORLD FUNDS	UNION STREET PARTNERS VALUE FD ADV	ADVISOR	NO LOAD	OPEN	1600	0	0
USPVX	WORLD FUNDS	UNION STREET PARTNERS VALUE FD CL A	A	LOAD	OPEN	1600	2500	2500
BTCVX	WORLD FUNDS	VEST BITCOIN STRATEGY MANAGED VOL INS	INSTITUTIONAL	NO LOAD	OPEN	1600	100000	100000
BTCYX	WORLD FUNDS	VEST BITCOIN STRATEGY MANAGED VOL Y	Y	NO LOAD	OPEN	1600	30000000	30000000
BTCRX	WORLD FUNDS	VEST BITCOIN STRATEGY MANAGED VOLATILITY FD R	R	NO LOAD	REDEEM ONLY	1600	0	0
KNGIX	WORLD FUNDS	VEST S&P 500 DIV ARISTOCRATS TRGT I	INSTITUTIONAL	NO LOAD	OPEN	1600	100000	100000
KNGLX	WORLD FUNDS	VEST S&P 500 DIV ARISTOCRATS TRGT INV	INVESTOR	NO LOAD	OPEN	1600	1000	1000
KNGYX	WORLD FUNDS	VEST S&P 500 DIV ARISTOCRATS TRGT Y	Y	NO LOAD	OPEN	1600	10000000	10000000
KNGRX	WORLD FUNDS	VEST S&P 500DIVIDEND ARISTOCRATS TARGET INCOME FD R	R	NO LOAD	REDEEM ONLY	1600	0	0
BUIGX	WORLD FUNDS	VEST US LARGE CAP 10 BUFFER STRAT I	INSTITUTIONAL	NO LOAD	OPEN	1600	100000	100000
BUMGX	WORLD FUNDS	VEST US LARGE CAP 10 BUFFER STRAT INV	INVESTOR	NO LOAD	OPEN	1600	100	100
BUYGX	WORLD FUNDS	VEST US LARGE CAP 10 BUFFER STRAT Y	Y	NO LOAD	OPEN	1600	3000000	3000000
BURGX	WORLD FUNDS	VEST US LARGE CAP 10 BUFFER STRATS R	R	NO LOAD	REDEEM ONLY	1600	0	0
ENGIX	WORLD FUNDS	VEST US LARGE CAP 20 BUFFER STRAT I	INSTITUTIONAL	NO LOAD	OPEN	1600	0	0
ENGLX	WORLD FUNDS	VEST US LARGE CAP 20 BUFFER STRAT INV	INVESTOR	NO LOAD	OPEN	1600	100	100
ENGYX	WORLD FUNDS	VEST US LARGE CAP 20 BUFFER STRAT Y	Y	NO LOAD	OPEN	1600	10000000	10000000
ENGRX	WORLD FUNDS	VEST US LARGE CAP 20 BUFFER STRATEGIES FD R	R	NO LOAD	REDEEM ONLY	1600	0	0
WPLCX	WP TRUST	WP LARGE CAP INCOME PLUS INSTITUTIONAL	INSTITUTIONAL	NO LOAD	OPEN	1600	0	0
SQBFX	XSQUARE	XSQUARE BALANCED FUND, LLC A	A	LOAD WAIVED	OPEN	1600	500	5000
YCGEX	YCG	YCG ENHANCED FD	R	NO LOAD	OPEN	1600	100	100
AFGGX	YORKTOWN FUNDS	YORKTOWN GROWTH A	A	LOAD	OPEN	1600	1000	1000
APGRX	YORKTOWN FUNDS	YORKTOWN GROWTH INST	INSTITUTIONAL	NO LOAD	OPEN	1600	0	0
APITX	YORKTOWN FUNDS	YORKTOWN GROWTH L	L	LOAD	OPEN	1600	1000	1000
APIUX	YORKTOWN FUNDS	YORKTOWN MULTI SECTOR BD A	A	LOAD	OPEN	1600	1000	1000
AFFCX	YORKTOWN FUNDS	YORKTOWN MULTI SECTOR BD C	C	LOAD	OPEN	1600	0	0
APIIX	YORKTOWN FUNDS	YORKTOWN MULTI SECTOR BD INST	INSTITUTIONAL	NO LOAD	OPEN	1600	0	0
AFFIX	YORKTOWN FUNDS	YORKTOWN MULTI SECTOR BD L	L	LOAD	OPEN	1600	1000	1000
APIMX	YORKTOWN FUNDS	YORKTOWN SHORT TERM BOND A	A	LOAD	OPEN	1600	1000	1000
APIBX	YORKTOWN FUNDS	YORKTOWN SHORT TERM BOND INST	INSTITUTIONAL	NO LOAD	OPEN	1600	0	0
AFMMX	YORKTOWN FUNDS	YORKTOWN SHORT TERM BOND L	L	LOAD	OPEN	1600	1000	1000
YOVIX	YORKTOWN FUNDS	YORKTOWN SMALL CAP INST	INSTITUTIONAL	NO LOAD	OPEN	1600	0	0
YOVAX	YORKTOWN FUNDS	YORKTOWN SMALLCAP A	A	LOAD	OPEN	1600	1000	1000
YOVLX	YORKTOWN FUNDS	YORKTOWN SMALLCAP L	L	LOAD	OPEN	1600	1000	1000
CZOVX	ZACKS	ZACKS TR	INSTITUTIONAL	NO LOAD	OPEN	1600	100	100
ZSCIX	ZACKS	ZACKS TR	INSTITUTIONAL	NO LOAD	OPEN	1600	1000	2500
ZDIIX	ZACKS	ZACKS TR	INSTITUTIONAL	NO LOAD	REDEEM ONLY	1600	100000	100000
ZSCCX	ZACKS	ZACKS TR	INVESTOR	NO LOAD	REDEEM ONLY	1600	100	100
ZDIVX	ZACKS	ZACKS TR	INVESTOR	NO LOAD	REDEEM ONLY	1600	100	100
ZVGIX	ZEVENBERGEN FUNDS	ZEVENBERGEN GENE A FD INSTL CL	INSTITUTIONAL	NO LOAD	OPEN	1600	50000	50000
ZVGNX	ZEVENBERGEN FUNDS	ZEVENBERGEN GENE A FD INV CL	INVESTOR	NO LOAD	OPEN	1600	100	100
ZVNIX	ZEVENBERGEN FUNDS	ZEVENBERGEN GROWTH FD INSTL CL	INSTITUTIONAL	NO LOAD	OPEN	1600	50000	50000

SYMBOL	FUND FAMILY	FUND DESCRIPTION	SHARE CLASS	FUND TYPE	STATUS	CUT- OFF	IRA MIN	NON-IRA MIN
ZVNBX	ZEVENBERGEN FUNDS	ZEVENBERGEN GROWTH FD INV CL	INVESTOR	NO LOAD	OPEN	1600	100	100
	MASTER2024100900520000000000016975							