_____ , _____    _____
*(enter last name)*                   *(enter first name(s))*                       *(enter account number)*

## RE: DTC Transfer Request

Dear York Securities / Axos Clearing,

Please process the following security transfer(s) via DTC. Detailed instructions are below:

_____  _____    _____
*(Enter Quantity)*  *(enter Symbol or CUSIP)*           *(input Security Name/Description)*

_____  _____    _____
*(Enter Quantity)*  *(enter Symbol or CUSIP)*           *(input Security Name/Description)*

_____  _____    _____
*(Enter Quantity)*  *(enter Symbol or CUSIP)*           *(input Security Name/Description)*

_____  _____    _____
*(Enter Quantity)*  *(enter Symbol or CUSIP)*           *(input Security Name/Description)*

| Deliver From: | Deliver To: |
|---|---|
| _____ | _____ |
| *(enter Trading Direct  account number)* | *(enter receiving broker firm name)* |
| _____ | _____ |
| *(enter Trading Direct  account title)* | *(enter receiving broker 4-digit DTC number)* |
| _____ | _____ |
| *(enter home address)* | *(enter receiving broker account title)* |
| _____ | _____ |
| *(enter home address)* | *(enter receiving broker account number)* |
| _____ | _____ |
| *(enter home address)* | *(enter receiving brokerage account Tax ID number)* |

X_____    _____
 *(Signature)*                                            *(Date)*


X_____    _____
 *(Joint Signature, if applicable)*                    *(Date)*