

Trust Certification

This form must be completed in its entirety and must be signed by *all trustees*. A copy of the will or trust agreement may be required by Introducing Broker Dealer (“IBD”) and/or Axos Clearing LLC (“Axos Clearing”) before acceptance of the account or prior to executing certain transactions or requests.

STEP 1: TRUST INFORMATION

Account Title (Title of the Trust to which this certification applies)		Account Number
Effective Date of Trust		Latest Amendment or Restatement Date
Grantor(s), Settlor(s) or Testator(s) who Established the Trust		
Trust Type <input type="radio"/> Revocable <input type="radio"/> Irrevocable <input type="radio"/> Testamentary <input type="radio"/> Charitable <input type="radio"/> Family <input type="radio"/> Irrevocable Living Trust <input type="radio"/> Living		
If Revocable, Name of Person(s) who can Revoke		
Tax ID/Social Security Number	Governing State Law	

STEP 2: TRUSTEES

All trustees to the Trust Agreement must be listed below.

Check one of the following if there is more than one Trustee:

- The Trust Agreement explicitly authorizes each of the following Trustees to *act individually without the approval of the other Trustees*. The IBD and/or Axos Clearing has the authority to accept orders and other instructions in connection with the Trust account from any one of these Trustees, acting alone, and such Trustee may execute any documents on behalf of the Trust that IBD may require. (Under certain circumstances and in its discretion, the IBD or Axos Clearing may require the written approval of all Trustees.)
- The Trust Agreement *does not* authorize the trustees to act individually on behalf of the Trust account.

Trustee 1 Name
Address
Trustee 2 Name
Address
Trustee 3 Name
Address
Trustee 4 Name
Address
Successor Trustee 1 Name
Address
Successor Trustee 2 Name
Address
Successor Trustee 3 Name
Address

For additional trustee(s), attach an additional Trust Certification.

Account Number:

STEP 3: POWERS OF THE TRUSTEE

The undersigned Trustee(s) certify that, under the Trust Agreement and/or applicable state or local law, they have the power to enter into transactions for the purchase and sale of securities and other investments, including without limitation, stocks (preferred or common), bonds, mutual funds and certificates of deposit.

In Addition to the Foregoing Powers, the Undersigned Trustees are Specifically Authorized to:

<input type="radio"/> Yes <input type="radio"/> No	Maintain margin accounts and through such accounts borrow money to withdraw funds on margin, purchase securities on margin and to pledge those securities and all others in the margin account as security for the loan.
<input type="radio"/> Yes <input type="radio"/> No	Borrow money or make any contract the effect of which is to borrow money, and secure such obligations by mortgages or other liens upon any Trust property; borrow, guarantee and/or pledge any Trust assets as collateral, as the case may be, with respect to a loan; guarantee a borrowing of money or to make any contract the effect of which is to guarantee a borrowing, and secure such obligations by mortgages or other liens upon any Trust property.
<input type="radio"/> Yes <input type="radio"/> No	Sell securities that the Trust does not own (short sales) and borrow securities to facilitate this practice.
<input type="radio"/> Yes <input type="radio"/> No	Engage in the purchase of call options.
<input type="radio"/> Yes <input type="radio"/> No	Engage in covered call writing.
<input type="radio"/> Yes <input type="radio"/> No	Engage in the purchase of put options.
<input type="radio"/> Yes <input type="radio"/> No	Engage in the sale of uncovered call and put options and engage in spread, straddle and all other options strategies.
<input type="radio"/> Yes <input type="radio"/> No	Delegate Trustee powers, including check writing authority, to third parties, such as investment advisors or other agents, under a Power of Attorney ("POA"), other document, or the Trust Agreement. A copy of the POA, other document, or section of the Trust Agreement showing the delegation of such power in undersigned's capacity as Trustee is also required.
<input type="radio"/> Yes <input type="radio"/> No	Establish and maintain an account with a debit card and/or check writing, from which account funds are directly spent, the responsibility for which is entirely that of the Trustee(s).
<input type="radio"/> Yes <input type="radio"/> No	Receive on behalf of the Trust or deliver to the Trust or third parties any and all assets including, but not limited to, monies, stocks, bonds, and other securities. To sell, assign and endorse for transfer certificates representing stocks, bonds, and other securities now registered or hereafter registered in the name of the Trust.
<input type="radio"/> Yes <input type="radio"/> No	Transfer any and all assets of the Trust to any of the Trustee(s) individually.
<input type="radio"/> Yes <input type="radio"/> No	Execute and sign Form W-9 for a US trust, or the relevant Form W-8 for a foreign trust.

STEP 4: TRUSTEE(S) INDEMNIFICATION AND SIGNATURES

The undersigned Trustees jointly and severally indemnify and hold harmless the IBD and Axos Clearing, and each of their affiliates, officers, directors, employees, agents, successors or assigns from any liability (including attorney's fees) from and against any claims, judgments, expenses, liabilities or costs of defense or settlement arising out of or related to breach of any representation or warranty made herein, or from effecting any transfer or transactions pursuant to instructions given by any of the Trustees or Successor Trustees listed above, or any actual improper or unsuitable action resulting from instructions given to the IBD or Axos Clearing by any of the Trustees. This indemnification is made by us both in our capacities as Trustees and in our individual capacities. We certify that the Trust is currently in existence, has not been revoked, modified or amended in any manner that would cause the certifications herein to be incorrect and, we agree to inform the IBD and/or Axos Clearing immediately in writing of any amendment to the Trust, any change in the composition of the Trustees, or any other event which could alter the certifications made above. We acknowledge the IBD's and/or Axos Clearing's right to examine the Trust Agreement or any other governance document and hereby agree to provide the IBD or Axos Clearing with a copy of these documents if so requested. (Where applicable, plural references in this certification shall be deemed singular.)

SIGNATURES		
Trustee 1 Signature ✕	Print Name	Date
Trustee 2 Signature ✕	Print Name	Date
Trustee 3 Signature ✕	Print Name	Date
Trustee 4 Signature ✕	Print Name	Date
Broker Signature ✕	Print Name	Date
General Principal Signature ✕	Print Name	Date

Mail completed form(s) to: York Securities, 160 Broadway, East Bldg Floor 9, New York NY 10038.